A Primer on Student Growth Percentiles

Damian W. Betebenner
The Center for Assessment
DBetebenner@nciea.org

August 6, 2012

For Çiğdem

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Contents

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Introduction	3
Why Student Growth?	3
Student Growth Percentiles	5
School Level Results	8
The SGP Package	1
Overview	1
Growth Percentile Calculation: Part 1	2
Data requirements	2
bubblePlot, growthAchievementPlot, and studentGrowthPlot	
Growth Percentile Calculation: Part 2	:5
Using studentGrowthPercentiles	:5
Objects Derived from studentGrowthPercentiles calculations	8
Percentile Growth Trajectory/Projection Calculation	1
References	3

Introduction

Why Student Growth?

Accountability systems constructed according to federal adequate yearly progress (AYP) requirements currently rely upon annual "snap-shots" of student achievement to make judgments about school quality. Since their adoption, such *status measures* have been the focus of persistent criticism (Linn, 2003; Linn, Baker, & Betebenner, 2002). Though appropriate for making judgments about the achievement level of students at a school for a given year, they are inappropriate for judgments about educational *effectiveness*. In this regard, status measures are blind to the possibility of low achieving students attending effective schools. It is this possibility that has led some critics of No Child Left Behind (NCLB) to label its accountability provisions as unfair and misguided and to demand the use of growth analyses as a better means of auditing school quality.

A fundamental premise associated with using student growth for school/teacher accountability is that "good" schools/teachers bring about student growth in excess of that of "bad" schools/teachers. Students associated with such schools/teachers—commonly referred to as highly effective/ineffective schools/teachers—tend to demonstrate extraordinary growth that is causally attributed to the school or teachers instructing the students. The inherent believability of this premise is at the heart of current enthusiasm to incorporate growth into accountability systems.

Recent legislation at the federal level have seized upon this enthusiasm. In November 2005, Secretary of Education Spellings announced the Growth Model Pilot Program (GMPP) permitting states to use growth model results as a means for compliance with NCLB achievement mandates was met with great enthusiasm by states. (Spellings, 2005). More recently, the Race to the Top initiative extended the use of growth measure to the teacher level, propelling states to use student growth on large scale assessment as part of teacher evaluations (U.S. Department of Education, 2010). Many states have incorporated student growth as part of their ESEA waiver applications in an attempt to augment, and in some cases completely replace, status mandates associated with earlier NCLB based accountability systems.

Consistent with current accountability systems that hold schools and educators responsible for the assessment outcomes of their students, the primary thrust of growth analyses over the last decade has been to determine the amount of student progress/growth attributable to the school or teacher (Braun, 2005; Rubin, Stuart, & Zanutto, 2004; Ballou,

Sanders, & Wright, 2004; Raudenbush, 2004). Such analyses, often called *value-added* analyses, attempt to estimate the teacher or school contribution to student achievement. This contribution, called the *school* or *teacher effect*, purports to quantify the impact on achievement that this school or teacher would have, on average, upon similar students assigned to them for instruction. Clearly, such analyses lend themselves to accountability systems that hold schools or teachers responsible for student achievement.

Despite their utility in high stakes accountability decisions, the causal claims of teacher or school effectiveness addressed by value-added models (VAM) often fail to address questions of primary interest to many education stakeholders. For example, value-added analyses generally ignore a fundamental interest of stakeholders regarding student growth: How much growth did a student make? The disconnect reflects a mismatch between the questions of interest and the statistical model employed. In this direction, Harris (2007) distinguishes value-added for program evaluation (VAM-P) versus value-added for accountability (VAM-A). More broadly, the current climate of high-stakes test-based accountability combined with the emphasis of value-added toward school and teacher effects has skewed discussions about growth models toward causal claims at the expense of description. Research (Yen, 2007) and personal experience suggest stakeholders appear more interested in the reverse: description first that can be used secondarily as part of causal fact finding.

In a survey conducted by Yen (2007), supported by the author's own experience working with state departments of education to implement growth models, parents, teacher, and administrators were asked what "growth" questions were most of interest to them.

Parent Questions:

- Did my child make a year's worth of progress in a year?
- Is my child growing appropriately toward meeting state standards?
- Is my child growing as much in Math as Reading?
- Did my child grow as much this year as last year?

Teacher Questions:

- Did my students make a year's worth of progress in a year?
- Did my students grow appropriately toward meeting state standards?
- How close are my students to becoming Proficient?
- Are there students with unusually low growth who need special attention?

Administrator Questions:

- Did the students in our district/school make a year's worth of progress in all content areas?
- Are our students growing appropriately toward meeting state standards?
- Does this school/program show as much growth as that one?

- Can I measure student growth even for students who do not change proficiency categories?
- Can I pool together results from different grades to draw summary conclusions?

As Yen remarks, all these questions rest upon a desire to understand whether observed student progress is "reasonable or appropriate" (Yen, 2007, p. 281). More broadly, the questions seek a description rather than a parsing of responsibility for student growth. Ultimately, questions may turn to who/what is responsible. However, as indicated by this list of questions, they are not stakeholders' foremost concern.

In what follows, student growth percentiles and percentile growth projections/trajectories are introduced as a means of understanding student growth in both a normative and a criterion referenced fashion. The calculation of these quantities for large scale state assessment data using the student growth percentile (SGP) package (Betebenner, Iwaarden, & Domingue, 2012) within the R statistical software environment is then described (R Development Core Team, 2012; Betebenner et al., 2012). With these values calculated we show how growth data can be utilized both normatively and in a criterion referenced manner to inform discussion about education quality. We assert that the establishment of a normative basis for student growth eliminates a number of the problems of incorporating growth into accountability systems providing needed insight to various stakeholders by addressing the basic question of how much a student has progressed.

Student Growth Percentiles

It is a common misconception that to measure student growth in education, the subject matter and grades over which growth is examined must be on the same scale—referred to as a vertical scale. Not only is a vertical scale not necessary, but its existence obscures concepts necessary to fully understand growth. Growth, fundamentally, requires change to be examined for a single construct like reading achievement across time—growth in what? A single scale on which the construct is measured is not necessary.

Consider the familiar situation from pediatrics where the interest is on measuring the height and weight of children over time. The scales on which height and weight are measured possess properties that educational assessment scales aspire towards but can never meet.¹

An infant male toddler is measured at 2 and 3 years of age and is shown to have grown 4 inches. The magnitude of increase—4 inches—is a well understood quantity that any parent can grasp and measure at home using a simple yardstick. However, parents leaving their pediatrician's office knowing only how much their child has grown would likely be wanting for more information. In this situation, parents are not interested in an absolute criterion of growth, but instead in a normative criterion locating that 4 inch increase alongside the

¹Height and weight scales are interval (actually, ratio scales) where a unit increase reflects an equivalent increase in the underlying quality being measured no matter where on the scale the increase occurs.

height increases of similar children. Examining this height increase relative to the increases of similar children permits one to diagnose how (ab)normal such an increase is.

Given this reality in the examination of change where scales of measurement are perfect, it is absurd to think that in education, where scales are quasi-interval, one can/should examine growth differently.²

Suppose that scales did exist in education similar to height/weight scales that permitted the calculation of absolute measures of annual academic growth for students. A parent's query about, "How much did my child progress?", would be answered with some quantity of scale score points—an answer that would leave most parents bewildered wondering whether the number of points is good or bad. As in pediatrics, the search for a description regarding change in achievement over time (i.e., growth) is best served by considering a norm-referenced quantification of student growth—a student growth percentile.

A student's growth percentile describes how (ab)normal a student's growth is by examining their current achievement relative to their *academic peers*—those students beginning at the same place. That is, a student growth percentile examines the current achievement of a student relative to other students who have, in the past, "walked the same achievement path". Heuristically, if the state assessment data set were extremely large (in fact, infinite) in size, one could open the infinite data set and select out those students with the exact same prior scores and compare how the selected student's current year score compares to the current year scores of those students with the same prior year's scores—their academic peers. If the student's current year score exceeded the scores of most of their academic peers, in a normative sense they have done well. If the student's current year score was less than the scores of their academic peers, in a normative sense they have not done well.

The four panels of Figure 1.1 depict what a student growth percentile represents in a situation considering students having only two consecutive achievement test scores.

- **Upper Left Panel** Considering all pairs of 2011 and 2012 scores for all students in the state yields a bivariate (two variable) distribution. The higher the distribution, the more frequent the pair of scores.
- **Upper Right Panel** Taking account of prior achievement (i.e., conditioning upon prior achievement) fixes a the value of the 2011 scale score (in this case at 600) and is represented by the red slice taken out of the bivariate distribution.
- **Lower Left Panel** Conditioning upon prior achievement defines a *conditional distribution* which represents the distribution of outcomes on the 2012 test assuming a 2011 score of 600. This distribution is indicating as the solid red curve.

²The scales on which students are measured are often assumed to possess properties similar to height and weight but they don't. Specifically, scales are assumed to be interval where it is assumed that a difference of 100 points at the lower end of the scale refers to the same difference in ability/achievement as 100 points at the upper end of the scale. This assumption, however, fails to hold.

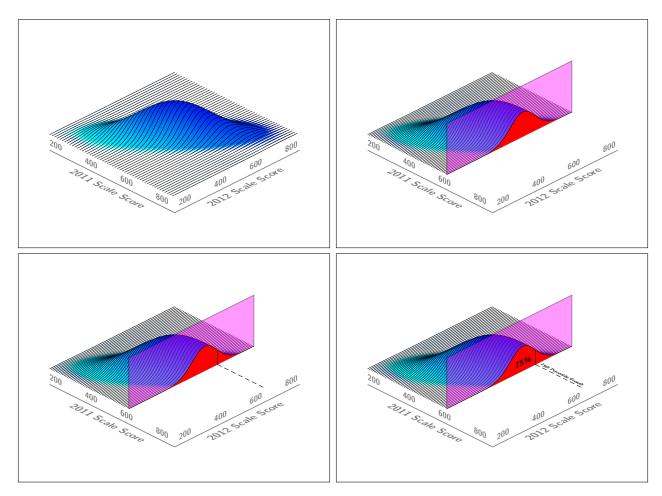


Figure 1.1: Figures depicting the distribution associated with 2011 and 2012 student scale scores together with the conditional distribution and associated growth percentile

Lower Right Panel The conditional distribution provides the context against which a student's 2012 achievement can be examined and understood normatively. Students with achievement in the upper tail of the conditional distribution have demonstrated high rates of growth relative to their academic peers whereas those students with achievement in the lower tail of the distribution have demonstrated low rates of growth. Students with current achievement in the middle of the distribution could be described as demonstrating "average" or "typical" growth. In the figure provided the student scores approximately 650 on the 2012 test. Within the conditional distribution, the value of 650 lies at approximately the 70th percentile. Thus the student's growth from 600 in 2011 to 650 in 2012 met or exceeded that of approximately 70 percent of students starting from the same place. This 50 point increase is above average. It is important to note that qualifying a student growth percentile as "adequate", "good", or "enough" is a standard setting procedure that requires stakeholders to examine a student's growth *vis-à-vis* external criteria such as performance standards/levels.

Figure 1.1 also serves to illustrate the relationship between a vertical scale and student

growth percentiles. Using the vertical scale implied by Figure 1.1, the student grew 50 points (from 600 to 650) between 2011 and 2012. This 50 points represents the absolute magnitude of change. Quantifying the magnitude of change is scale dependent. For example, different vertical achievement scales in 2011 and 2012 would yield different annual scale score increases: A scale score increase of 50 could be changed to a scale score increase of 10 using a simple transformation of the vertical scale on which all the students are measured. However, relative to other students, their growth has not changed—their growth percentile is invariant to scale transformations common in educational assessment. Student growth percentiles normatively situate achievement change bypassing questions associated with the magnitude of change, and directing attention toward relative standing which, we would assert, is what stakeholders are most interested in.

School Level Results

An advantage of quantifying growth at the student level is that it is a simple task to combine the individual level growth results to quantify group performance. For example, after growth percentiles are calculated for each of 500 students at a school, the distribution of growth percentiles for those 500 students represents how much the students at that school grew in the previous year. Summarizing this distribution's "average" would supply a single number describing the growth of a "typical" student at a given school. Because it is inappropriate to calculate the arithmetic average of a set of percentiles, the median is used as the single number which best describes where the middle of the distribution of student growth percentiles lies.

It is important to note, however, that the median is a *summary* measure of the growth of *many* students at the school. In reality at almost all schools one can find students who grow slowly—students with low growth percentiles—as well as students who grow quickly—students with high growth percentiles. There is room for improvement in all schools.

If students were randomly selected from the population, then the median growth percentile for that group of students is expected to be 50. Schools with median student growth percentiles above 50 have students demonstrating, on average, greater than expected growth. And schools with median student growth percentiles below 50 have students demonstrating, on average, less than expected growth. Figure 1.2 shows one way in which school performance can be illustrated using achievement and growth measures. In this way, student growth percentiles can be used to identify schools where student growth is extraordinarily good and bad. Like with student achievement, causal attribution of responsibility for growth should be made only after consideration of ample evidence extending beyond just the large scale assessment results.

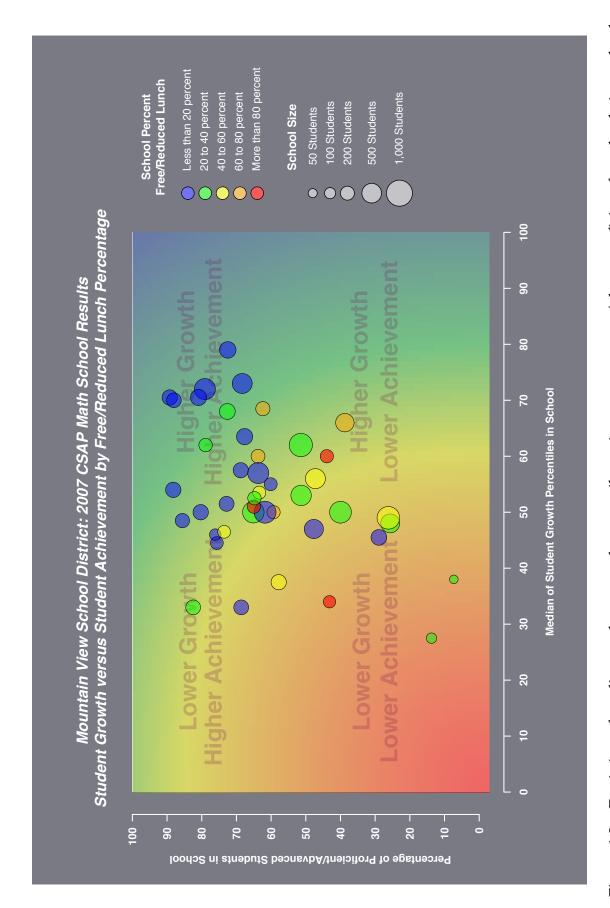


Figure 1.2: Depiction of median student growth percentile against percentage at/above proficient for schools in a school district

Measurement of student growth and assignment of responsibility for that growth involves answering two distinct but related questions:

- How much the students at this school grow/progress?
- How much did this school contribute to student growth?

The median student growth percentile is descriptive and makes no assertion about the cause of student growth. This differs from current value-added models where the purpose is to specify the contribution to student achievement provided by a given school or teacher. It is likely, that schools and teachers have a significant impact upon student learning: That their efforts are reflected in the academic progress of students. The median student growth percentile is *one of many* indicators that stakeholders can use to judge the quality of the education students receive. It is hoped that as growth percentiles become more widely available, stakeholders will use this piece of data in combination with other data assess the progress of the student as well as the factors contributing to their progress.

The SGP Package

Overview

This chapter provides a *brief* and *complete* set of instructions on how to calculate **student growth percentiles** using the open source and freely available R software combined with the SGP package (R Development Core Team, 2012; Betebenner et al., 2012). R is available from the Comprehensive R Archive Network (CRAN, http://www.cran.r-project.org/) for Windows, OSX, and Unix/Linux. After installing R, installation of SGP and other packages is performed from the menu via downloads from CRAN. Begin by installing R and the SGP package. Comprehensive R and SGP package help documentation is available locally following the installation as well as online from CRAN.

Hardware requirements for R are modest allowing one to use R even in places with older computer hardware in use. For those thinking of undertaking serious large scale or operational data analysis using, for example, the SGP package, modest hardware is likely to be insufficient to carry out these analyses. R loads all data into memory requiring a good deal of memory to perform analyses. Most users utilize 32 bit operating systems (e.g., most Windows implementations are 32 bit). These operating systems allow for access to only about 4 gb of memory. If one is planning on undertaking large analyses, we suggest using a 64 bit operating system (e.g., Linux) with 8 gb or more of memory available. Such systems have served us well in our own analyses.

As an alternative to purchasing your own hardware to perform SGP analyses, it is now possible to rent hardware capable of tackling even the largest set of analyses. As part of operational analyses for a number of states, the author currently uses Amazon Web Services' Elastic Cloud Computing facilities to conduct analyses. These cloud based services provide for systems that can run up to 32 simultaneous R processes with 62 gigabytes of memory for about 50 cents per hour. Using this hardware allows states to run analyses and construct numerous high quality visualization of these analyses, including individual student reports, in just a few hours. Parallel processing has allowed us to analyze student growth percentiles and percentile growth trajectories for a state with 300,000 students per grade across two subject and three years in less than 3 hours. As these parallel implementations are refined further, we expect another order of magnitude increase in speed.

The current version of the package (0.9-0.0) contains three data sets, sgpData_sgpData_LONG and SGPstateData, two low level functions: studentGrowthPercentiles and studentGrowthProjection and several higher level functions to simplify use of the lower level functions. These func-

tions include abcSGP, prepareSGP, analyzeSGP, combineSGP, summarizeSGP, visualizeSGP, and outputSGP. The package includes three graphics functions: bubblePlot, growthAchievementPlot and studentGrowthPlot. Please note the two licenses associated with the use of the SGP package. For functions used for the analysis of data are licensed under the Creative Commons BY-SA 3.0 US license. The license allows for use of these functions, even for commercial use, with attribution to the authors and with any modifications being shared back to the community. The graphics functions (currently, bubblePlot, growthAchievementPlot and studentGrowthPlot) are licensed under the Creative Commons BY-NC-SA 3.0 US license. In particular, these functions and their output cannot be used for any commercial purpose. Please see the package license for further details.

Growth Percentile Calculation: Part 1

It is certainly an axiom of all data analysis that the most onerous task of doing data analysis in general is getting data in a form amenable for analysis. Use of the SGP package is no different. The data used by the SGP package must fulfill certain requirements in order that the analyses are conducted correctly. In the most basic use of the SGP package, the user uses the studentGrowthPercentiles and studentGrowthProjections to calculate student growth percentiles and percent growth projections/trajectories, respectively. These functions require data in what is typically called a *wide* format where each case/row represents the results for a unique student in the dataset. In later sections where more advanced operational analyses are presented, data is supplied to functions in what is typically called a *long* format that enables much more efficient storage and use of the student growth percentile methodology and functions over time. Higher level functions in the SGP package reshape the data to the wide format for submission to the SGP function.

Based upon feedback from state data analysts over the past three years, the SGP package has matured to simplify many of the analyses and include numerous features. Over the last 24 months, the package has growth significantly in terms of its sophistication and feature set. In addition to the ability to calculate student growth percentiles and percentile growth trajectories/projections, the function calculates a number of summary quantities associated with school level reporting and includes the ability to create high quality graphical representations of the results.

Data requirements

Based upon work with more than two dozen states, the most difficult part of doing these analyses is getting the data in the appropriate format so that the analyses become "simple". The goal of the SGP package has always been to turn well formatted data into growth results, quickly and faithfully. In line with this goal, in working with states, it is possible to go from well formatted data to a growth model and reporting system completely within the package. Namely, a state growth model includes not only individual level norm and criterion-referenced growth results for students but, in addition, baseline referenced results, summary level results at the state, district, school, and teacher level. To

perform all of these computations on the results of the student growth percentile analyses themselves requires meticulous preparation of the data so that all subsequent analyses follow seamlessly.

Within the SGP package is a prototype data set (sgpData_LONG) that analysts can use to model their own data on. The data represents 5 years of annual assessment data from a fictitious state (consisting of 3 districts) with students tested from grade 3 to 10 in reading and mathematics.

with the following variables:

```
> names(sgpData_LONG)
 [1] "ID"
                                            "LAST_NAME"
 [3] "FIRST_NAME"
                                            "CONTENT_AREA"
 [5] "YEAR"
                                            "GRADE"
 [7] "SCALE_SCORE"
                                            "ACHIEVEMENT_LEVEL"
 [9] "GENDER"
                                            "ETHNICITY"
[11] "FREE_REDUCED_LUNCH_STATUS"
                                            "ELL_STATUS"
[13] "IEP_STATUS"
   "GIFTED_AND_TALENTED_PROGRAM_STATUS"
[15] "INSTRUCTOR_NUMBER_1"
                                            "INSTRUCTOR_NUMBER_2"
[17] "SCHOOL_NUMBER"
                                            "SCHOOL_NAME"
[19] "EMH_LEVEL"
                                            "DISTRICT_NUMBER"
[21] "DISTRICT_NAME"
                                            "INSTRUCTOR_1_WEIGHT"
[23] "INSTRUCTOR_2_WEIGHT"
                                            "INSTRUCTOR_1_ENROLLMENT_STATUS"
[25] "INSTRUCTOR_2_ENROLLMENT_STATUS"
                                            "SCHOOL_ENROLLMENT_STATUS"
[27] "DISTRICT_ENROLLMENT_STATUS"
                                            "STATE_ENROLLMENT_STATUS"
[29] "VALID_CASE"
```

Data must be in long format

The first and most fundamental requirement for the data is that it must be in long, as opposed to wide, format. For our purposes, this means that each row represents a unique *student* by *content area* by *year* combination. This uniqueness is relaxed somewhat with the addition of a VALID_CASE variable that defines which case is unique if there are duplicate records by student, content area and year. Thus, in the final long file, each student, by content area by year by valid case identifier *must* be unique.¹. By contrast, a row in the wide data format would contain all available information for a single student. This format is used by the studentGrowthPercentiles and studentGrowthProjections functions and is exemplified in the embedded wide data set sgpData. To illustrate the format of a long file suitable for SGP analysis, consider the first four rows (only the first 7 columns) of the sample data, sgpData_LONG:

```
> sgpData_LONG[1:4,1:7]
      ID LAST_NAME FIRST_NAME CONTENT_AREA
                                              YEAR GRADE SCALE_SCORE
1 1000372
          Daniels
                       Corey MATHEMATICS 2008_2009
                                                       3
                                                                435
                                                      4
2 1000372 Daniels
                       Corey MATHEMATICS 2009_2010
                                                                461
3 1000372 Daniels
                       Corey MATHEMATICS 2010_2011
                                                       5
                                                                444
4 1000372
         Daniels
                       Corey
                                 READING 2008_2009
                                                                523
```

¹The *SGP* class available as of version 0.1-0.0 includes validity checks that mandate the supplied long data is appropriately set up and attempt to correct simple issues allowing SGP analyses to proceed effortlessly

Notice that the same student is in each row, but that the rows represent different grades and content area combinations. The reader is encouraged to further examine the structure of the sgpData_LONG file. Note that in this file, all cases are flagged as VALID_CASE as there are no duplicate records by student, content_area, and year. This may not be the case in many state testing programs.

Required Variables

To conduct SGP analyses, there are some variables that are required and others that are nice to have but not necessary. Note that it is *not* necessary to change the names of the variables from your own native names to those used internally by the SGP functions. Following this, we describe a Names lookup table that is defined by the user to allow for translation between the two naming conventions. This allows the user to focus on getting their data formatted exactly as necessary within their naming conventions and then turn the rest over to the SGP package for analysis.

The following list gives the variables (i.e., columns in the long data file) that are required for the calculation of Student Growth Percentiles using the higher level functions abcSGP and analyzeSGP as well as how these variables should be formatted (if applicable).

- ID This column contains the unique student identifiers. Values may be of either integer or factor class. Note that if the unique student ID for a given state is larger than 2^{32} , then a factor class must be used as the maximum value for an integer in R is 2^{32} .
- CONTENT_AREA This column describes the content area for a given row. Most NCLB compliant assessment systems would presumably contain MATHEMATICS and READING, but other values and names are possible. These values must be all caps and match the states' assessment information contained in the stateData object that comes with SGP. Please contact dbetebenner@nciea.org to have assessment data added to this object. These values should be of class factor.
- YEAR—This column gives either the academic year (e.g., 2006_2007 as in the sample data) or the year in which the assessment took place (e.g., 2007). If the latter form is used, the class of this column should be set to integer otherwise the YEAR is of class factor. Hyphens should NOT be used (e.g., 2006-07).
- GRADE The grade in which the assessment was administered. The column of this class should be set to either integer or factor.
- SCALE_SCORE The assessment scale score for each observation. This column's class should be set to integer or numeric.
- VALID_CASE This column identifies those students who should be included in subsequent analyses (value set to VALID_CASE) and those that should not be included (value set to INVALID_CASE. Duplicate cases are often left in the data and flagged as an INVALID_CASE.

As most analysts do not perform data preparation natively in R and instead read their data into R from either text files or native formats to other software packages (e.g., SAS or

SPSS). There can be some inconsistency as to how the data arrives into R and is prepared for analysis. In general, when reading in from text files, R will convert any character vectors/variables to factor and others to numeric. For example, if the CONTENT_AREA variable supplied in a text file is provided as either MATHEMATICS or READING, then R will read that variable as a factor. If, however, that variable were coded as 1 for MATHEMATICS and 2 for READING, then the variable will be read in as class numeric. Note that it is critical that factors have text labels as these are used as either text labels in the graphics or as labels to match with the embedded stateData data set.

Secondary Columns

Although these columns are not required for Growth Percentile analyses, one (ACHIEVEMENT_LEVEL) is required for percentile growth trajectory/projection analysis and others are required to produce aggregate summary tables that are often used by the visualization functionality:

- ACHIEVEMENT_LEVEL The achievement or proficiency category associated with each observed scale score. Values in this column should be set to an ordered factor, and should match the assessment program information included in contained in the stateData object. These values are used to determine growth to standard growth targets as well as summary aggregate statistics (e.g., percent at/above proficient).
- FIRST_NAME Students' first names. A character string or factor. Student names are used only for individual student report production within the SGP package.
- LAST_NAME Students' last names. A character string or factor. Student names are used only for individual student report production within the SGP package.
- INSTRUCTOR_NUMBER_1 A unique identifier for a teacher/instructor associated with the student in the content area in the given year. Either an integer or factor. Used for creating summary tables for instructors.
- INSTRUCTOR_NUMBER_2 A unique identifier for a teacher/instructor associated with the student in the content area in the given year. Either an integer or factor. Used for creating summary tables for instructors. Note that there is no limitation on the number of instructors a student can be associated with.
- SCHOOL_NUMBER A unique identifier for the school/institution in which a student is enrolled in a given year. Either an integer or factor. Used for creating summary tables for schools.
- SCHOOL_NAME Name of the school/institution in which a student is enrolled in a given year. A character string or factor. Used for labeling purposes in SGP visualizations.
- DISTRICT_NUMBER A unique identifier for the district/educational authority in which a student is enrolled in a given year. Either a factor or integer. Used for creating summary tables for districts.

- DISTRICT_NAME District/educational authority name in which a student is enrolled in a given year. A character string or factor. Used for labeling purposes in SGP visualizations.
- INSTRUCTOR_1_WEIGHT A proportion (between 0 and 1 inclusive) indicating the assigned weight associated with the student and INSTRUCTOR_NUMBER_1.
- INSTRUCTOR_2_WEIGHT A proportion (between 0 and 1 inclusive) indicating the assigned weight associated with the student and INSTRUCTOR_NUMBER_2.
- INSTRUCTOR_1_ENROLLMENT_STATUS Binary indicator of whether the student was enrolled with INSTRUCTOR_NUMBER_1 and should be included in summary statistics. Indicator must be a factor, preferably with informative labels such as those in sgpData_LONG: Enrolled Instructor: Yes and Enrolled Instructor: No.
- INSTRUCTOR_2_ENROLLMENT_STATUS Binary indicator of whether the student was enrolled with INSTRUCTOR_NUMBER_2 and should be included in summary statistics. Indicator must be a factor, preferably with informative labels such as those in sgpData_LONG: Enrolled Instructor: Yes and Enrolled Instructor: No.
- STATE_ENROLLMENT_STATUS Binary indicator of whether the student was enrolled (e.g., continuously enrolled) in the state and should be included in summary statistics. Indicator must be a factor, preferably with informative labels such as those in sgp-Data_LONG: Enrolled State: Yes and Enrolled State: No.
- DISTRICT_ENROLLMENT_STATUS Binary indicator of whether the student was enrolled (e.g., continuously enrolled) and should be included in district summary statistics. Indicator must be a factor, preferably with informative labels such as those in sgp-Data_LONG: Enrolled District: Yes and Enrolled District: No.
- SCHOOL_ENROLLMENT_STATUS Binary indicator of whether the student was enrolled (e.g., continuously enrolled) and should be included in school summary statistics. Indicator must be a factor, preferably with informative labels such as those in sgp-Data_LONG; Enrolled School: Yes and Enrolled School: No.
- GENDER Variable indicating the gender of the student with labels, for example, male and female. Variable is required for summary statistics and is also required to produce gender correct random names for students when anonymized student reports are produced. Variable should be a factor.
- ETHNICITY Variable indicating the ethnicity of the student with labels, for example, White, Hispanic, Asian, African American, Variable is required for summary statistics and is also required to produce ethnicity correct random names for students when anonymized student reports are produced. Variable should be a factor.
- FREE_REDUCED_LUNCH_STATUS Variable indicating the Free and Reduced Lunch Status of the Student. Variable is required for summary statistics and should be a factor.

- IEP_STATUS Variable indicating the IEP (individual education plan) status for a student. Variable is required for summary statistics and should be a factor.
- ELL_STATUS Variable indicating the ELL (English Language Learner) status for a student. Variable is required for summary statistics and should be a factor.
- GIFTED_AND_TALENTED_PROGRAM_STATUS Variable indicating the Gifted and Talented Program status for a student. Variable is required for summary statistics and should be a factor.
- EMH_LEVEL Variable indicating whether the score in the grade, year, and content area reported for a student is considered to be at the Elementary, Middle, or High School level. Variable is required is summaries by Elementary, Middle, High School level are desired. Variable should be of type factor.

This list isn't intended to be exhaustive of all variables a state might supply. It does supply a very comprehensive list that leads to the production of a great deal of data that can be used from the individual to the school, district, and state level to comprehensively understand the progress of students throughout a state education system.

Processing

Once appropriately prepared, the data can then be summarily analyzed using set of higher level functions implemented in the SGP package:

- prepareSGP This function prepares the long data and embeds it appropriately in an object of class SGP in preparation for student growth percentile and percentile growth trajectory/projection analysis. prepareSGP also includes a number of checks including the detection of duplicate cases in the long data file.
- analyzeSGP This function conducts the student growth percentile and percentile growth trajectory/projection analyses, across multiple years, content areas and grades if requested including calculating simulated SGPs for student and group level confidence intervals. The function calculates two kinds of projections: straight projections and lagged projections. The latter are used in growth to standard analyses that can be used to judge the adequacy of student growth.
- combineSGP This function takes the results of the analyzeSGP function and combines them back with the long file that was embedded in the prepareSGP step.
- summarizeSGP This function creates summary level aggregates (e.g., school level medians) based upon grouping variables that exist in the long data file. For example, aggregations by state, district and school as well as demographic aggregations within these units are provided.
- visualizeSGP This function includes the ability to generate three types of visualizations including bubble plots depicting results across multiple levels of aggregation, growth achievement plots depicting norm- and criterion-referenced achievement and growth

simultaneously (Betebenner, 2008), and student growth plots that depict individual student level achievement and is used by multiple states to produce individual growth reports.

outputSGP This functions outputs data from the SGP object in multiple formats including Long and Wide.

abcSGP This function includes all of the previous functions into a simple function that can be both general and specific.

A comprehensive analysis can be conducted using abcSGP. An example of the call using the sample data sgpData_LONGis below. The developers of the package have tested SGP functionality on numerous state data sets and have found the package to be perform without error when the data is properly formatted.

```
DEMO_Data <- abcSGP(sgpData_LONG)
```

This call not only returns the DEMO_Data object of SGP class which contains student growth percentiles and other information, but it also produces goodness of fit and visualization folders containing PDF output files. The abcSGP function accepts an argument for state that allows the user to supply a two letter acronym for a state that is then used to access embedded data from the SGPstateData object including achievement level cutscores, achievement level labels, fixed knots and boundaries, and assessment program information. As of SGP versions 0.9-0.0, state data is included for 28 states and two organizations. To view the states with data, simply open R, load the SGP package (require(SGP)), and type names (names (stateData).

bubblePlot, growthAchievementPlot, and studentGrowthPlot

The SGP package includes numerous functions that allow for visualization of the growth percentile analyses at both the individual and the summary level. The function bubblePlot has been used in more than a dozen states to help communicate the results of the growth analyses at the district, school, and classroom level. Figure 2.1 shows 2009 Massachusetts state elementary school growth and achievement results with high poverty schools highlighted. A number of other bubble plots are available and included as "styles" within the bubblePlot_Styles functions. See the documentation associated with that function for further details.

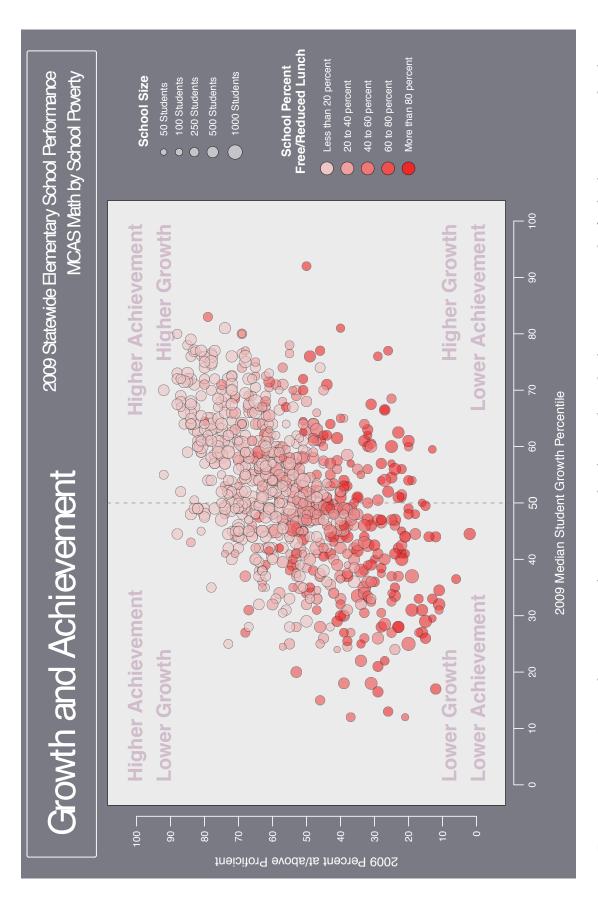


Figure 2.1: 2009 Massachusetts state elementary school growth and achievement results for high poverty schools

The growthAchievementPlot function produces plots like those in Betebenner (2008) showing norm and criterion referenced growth simultaneously

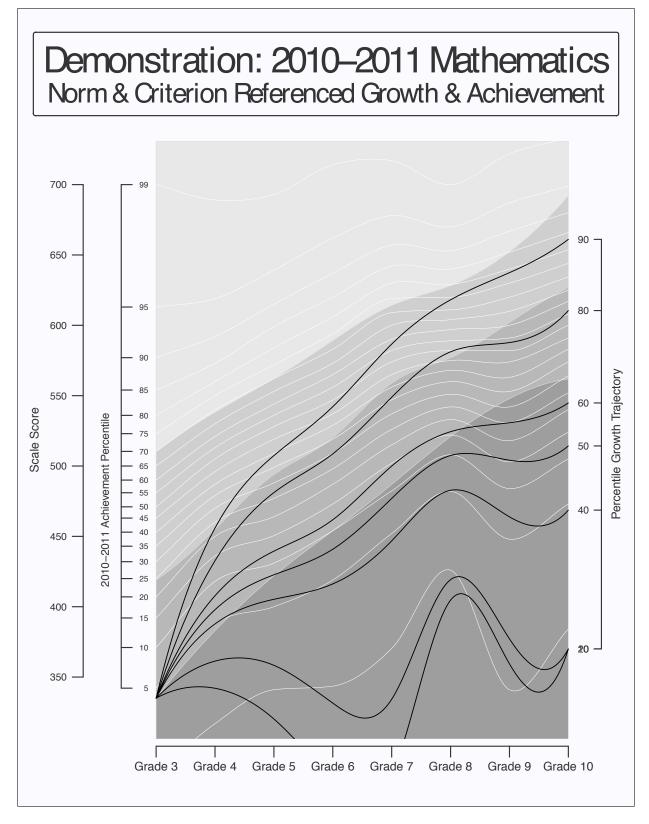


Figure 2.2: Growth chart depicting future mathematics achievement conditional upon consecutive 10th, 20th, 40th, 50th, 60th, 80th, and 90th percentile growth for a student beginning the third grade at the cutpoint between lowest and next to lowest achievement levels

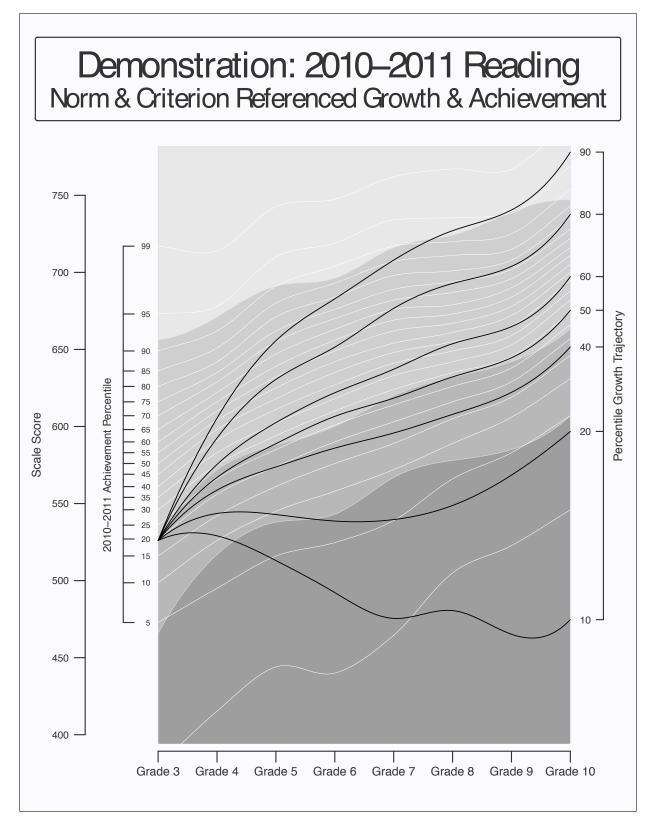


Figure 2.3: Growth chart depicting future reading achievement conditional upon consecutive 10th, 20th, 40th, 50th, 60th, 80th, and 90th percentile growth for a student beginning the third grade at the cutpoint between the achievement level 2 and achievement level 3

The function studentGrowthPlot has been used by three states to produce individual level student reports for ALL student in their testing programs. Results from their use can be seen in Figures 2.1 and 2.4, respectively.

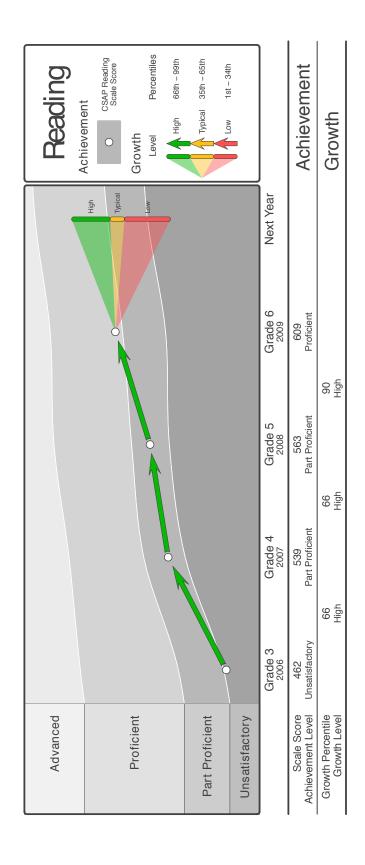


Figure 2.4: Individual student growth chart in reading depicting growth and achievement over time

Growth Percentile Calculation: Part 2

We begin with a description of the wide format file. The columns of the data file must conform to the following:

- The first column/variable indicates the unique student ID.
- The next set of columns/variables indicates the tested GRADE of the student for the years in the data.
- The final set of columns/variables indicates the SCALE SCORES for the student for the respective grades.

For example, given a data set spanning five years from 2007 to 2011, the data would be formatted as:

Because the function internally renames variables, variable names are NOT important, just their position within the data file. Additionally, through the use of the foreign package one can easily read data into R prepared from a variety of statistical software packages. R also has can access directly from relational databases for more thorough integration into data warehouse structures.

At the core of the SGP package are two functions (studentGrowthPercentiles and studentGrowthProjections) and one sample data set (sgpData) that can be used with both functions to illustrate how they work. All the other functions in the SGP package build on these two core functions. The installed help pages for the SGP package give thorough details about all the function options together with examples that calculate student growth percentiles and percentile growth projections/trajectories using the data. Use the help pages for these functions to supplement the details provided in this primer.

Using studentGrowthPercentiles

The simplest way to perform analyses for an entire state data set is to construct a "master file" in your favorite text editor (e.g., Wordpad, Notepad, emacs, vi) containing R syntax specific for the user's state specific needs. With an appropriately constructed master file, growth analyses for an entire state can be performed using a single R file. The help pages for studentGrowthPercentiles and studentGrowthProjections contain examples of master files that are subsequently used in this primer. For a state that annually tests students in three subjects, three master files, one for each subject, could be used to conduct the analyses. To "run" a master file one typically uses the source command at the R prompt:

```
> source("master_READING_2011.R")
```

Here the master file in this case is for 2011 reading. The help documentation associated with both the studentGrowthPercentiles and studentGrowthProjections functions contains example files that can be easily modified to accommodate the requirements of different large scale data sets. To help the user understand the R syntax contained within a master file, we consider the text provided in the studentGrowthPercentiles example.

```
require(SGP)
```

the require command in R loads packages that provide functions and data sets necessary to perform analyses. One can also do this with the library command. The SGP package, in turn, loads other packages it requires automatically. Note that the # symbol is the comment symbol in R.

```
# require(foreign)
# sgpData <- read.spss(file="/path/to/my/data/my_spss_file.sav",
    to.data.frame=T) ## SPSS
# sgpData <- read.xport(file="/path/to/my/data/my_sas_file.xport") ## SAS
    XPORT</pre>
```

By installing the SGP package, three datasets, sgpData_LONG and SGPstateData, are available for use from the command line. Some users not familiar with R will likely use other data analysis/preparation software to manipulate their data. R has the ability to import data in numerous format. The examples above show how functions in the foreign package can be used to import SPSS or SAS files. Specifically, if one wishes to load an SPSS or SAS Xport file named my_spss_file.sav or textttmy_sas_file.xport, respectively, then one can uncomment the syntax above to import that file, replacing the load statement.

With the packages and data loaded, one can begin growth percentile calculations. Growth percentiles are generally calculated by a student's grade cohort. As will become apparent, there are repeated pieces of R syntax used. The syntax used to calculate growth percentiles using the sgpData provided in the SGP package in grades 4 through 8 using 2007 to 2011 data is:

The first command uses the studentGrowthPercentiles function and assigns the result (assigning a result in R is done using <- or =). Note that the result of running these commands are the creation of 2 objects, g4_sgp and g5_sgp. If one doesn't wish to save each grade's results just comment out the save commands.

Growth percentile calculations produce a number of objects in addition to the student growth percentiles. Many of these objects are required for subsequent analyses including student growth projections using the studentGrowthProjections function. These additional objects are packaged within the output of the function which is an R list object. In R, a list object is a very general type of object allowing the user to put together many other types of objects within a single object. To view the names of the pieces within the list, just type names(g5_sgp) at the prompt. Previously, in versions of SGP prior to 0.0-6.0, the function constructed numerous auxiliary files with the output. Embedding the objects within a single object allows much greater control of data elements that are used in subsequent analyses, making it easier for the user to use the SGP software. The great power of the R software environment is its variety of data object that can be used to store data. The SGP package creates a number of custom objects, called classes, for holding data including the SGP and splineMatrix classes.

The two objects g4_sgp and g5_sgp contain results that will likely be combined into results reflecting growth for all student in 2011 Reading. Given this common use case, the SGP package accommodates and combines automatically when performing repeated analyses on the same content area and year. However, it is instructive to see how we would merge results from these two different objects and save the output both as an R data file as well as a comma separated variable (csv) file:

```
sgp_READING_2011_gall <- rbind(g4_sgp$SGPercentiles$READING.2011,
    g5_sgp$SGPercentiles$READING.2011)
save(sgp_READING_2011_gall, file="sgp_READING_2011_gall.Rdata")
write.csv(sgp_READING_2011_gall, file="sgp_READING_2011_gall.csv",
    row.names=FALSE, quote=FALSE, na="")</pre>
```

Like all modern programming languages, R provides a number of powerful control structures that allow loops to be employed to simplify commands associated with repeated tasks. For example, if we wish to run analyses for all grades from 4 to 8, the following loop will calculate student growth percentiles for all grades:

```
my.grade.sequences <- list(3:4, 3:5, 3:6, 3:7, 4:8)
my.sgpData <- list(Panel_Data=sgpData) ### Put sgpData into Panel_Data
slot</pre>
```

This example demonstrates a number of ways in which data in R can be stored and accessed. The variable my.grade.sequences is a list of grade sequences that will be looped over to run the grade level analyses associated with the sgpData data set. The use of the studentGrowthPercentiles function also differs from the previous uses where instead of a data.frame being supplied as an argument, a list is supplied with the data.frame embedded in a list. This, as we'll see, allows for us to recycle the object and cumulatively added results to the object. Examining the Coefficient_Matrices, Knots_Boundaries, Goodness_of_Fit

The object my.sgpData contains all of the results associated with the analysis of the 2011 Reading data. One can use the same object to store SGP reading analyses from other years as well as results from other subjects. The function uses the sgp.labels argument to index the different results so there is no limit on how many results one can store in a single R object. Saving an object in R is a simple task:

```
save(my.sgpData, file="my.sgpData.Rdata")
```

It is, however, often desirable to export results, particularly if they need to be imported into another analysis program. R can export data in multiple formats. To export just the student growth percentile results from the Reading 2011 analyses as a csv file, one could use the commands:

```
write.csv(my.sgpData$SGPercentiles$READING.2011, file="sgp_all.csv",
    row.names=FALSE, quote=FALSE, na="")
```

To explore other ways of saving and manipulating data, R includes a number of useful manuals with the package.

Objects Derived from studentGrowthPercentiles calculations

Use of the studentGrowthPercentiles function results in the creation of a number of derivative objects (with the default function settings). The objects (which are actually list objects) include: Coefficient Matrices (stored in the Coefficient Matrices list, cutscores associated with state assessments (stored in the Cutscores list, Goodness of fit results (stored in the Goodness_of_Fit list, Knots and Boundaries (stored in the Knots_Boundaries list, panel data used for the analyses (stored in the Panel_Data list), student growth percentile results (stored in the SGPercentiles list), percentile growth trajectory/projection results (stored in the SGProjections list, and simulated SGP results (stored in the Simulated_SGP list). Depending upon the analyses run by the user, these lists might or might not be occupied.

Examining the Coefficient_Matrices, Knots_Boundaries, Goodness_of_Fit lists from the previous analyses show that there are lists within lists. The argument to the function sgp.labels is used to construct the slots within each of the larger list objects. structure in R is analogous to a directory structure within a computer where different data objects are stored.

Knots and Boundaries

When calculating and making comparisons of growth percentile results across years, it is preferable to remove any ambiguities from the data analysis process that might impact year-to-year comparisons. The knots and boundaries used in the growth percentile analyses are two such ambiguities that if allowed to vary might subtly change results. To fix the knots and boundaries, after performing growth percentile analyses in a base year, the user is encouraged to use the knots and boundaries calculated in subsequent analyses in following years.

The SGP package is designed to allow the user to anchor the analyses to a specific set of knots in two ways. The studentGrowthPercentiles function accepts the argument use.my.knots.and.boundaries which is a list with slot my. subject and my. year allowing the user the ability to use the pre-calculated knots and boundaries from a base year. For example, a user might have conducted analyses for Reading in 2008. The knots and boundaries for that analyses are stored in Knots_Boundaries\$READING.2008. If the user want to use those same knots and boundaries with the 2009, 2010 and 2011 analyses, then the additional argument use.my.knot.and.boundaries=list(my.subject=''Reading'', my.year=2008) will allow the function to access these previously calculated knots and boundaries for subsequent analysis.

Additionally, to facilitate state level use of the package, as of version 0.0-6.0 of the package, there is an embedded SGPstateData set that contains relevant data for states currently using or investigating student growth percentiles. In particular, SGPstateData contains established knots and boundaries used for the analyses in a number of state. Both the sgpData and sgpData_LONG data sets have an embedded set of data in SGPstateData for the state DEMO. To calculate SGPs using these embedded knots and boundaries as well as report the growth level associated with each student growth percentile (e.g., low, SGP from 1 to 34, typical, SGP from 35 to 65, and high, SGP from 66 to 99), the follow syntax could be used.

In addition, the embedded SGPstateData data set contains cutscores associated with state assessments, achievement level names and many other data labels to be used in the graphics functions associated with the package. See the SGPstateData help file for further information.

Coefficient Matrices

The heart and most time consuming part of the SGP analysis is the production of coefficient matrices from the b-spline quantile regression procedure that provides the 100 functional relationships relating prior student scores to current scores across the percentile distribution. These matrices are stored in the Coefficient_Matrices slot according to the sgp.labels that are used with the function call. Once calculated, it is possible to use the studentGrowthPercentiles function with these already calculated coefficient matrices to calculate student growth percentiles. This is done by using the use.my.coefficient.matrices argument and supplying a list containing my.subject and my.year that will be used locate the coefficient matrices within the Coefficient_Matrices list.

Pre-made coefficient matrices can serve many functions. The SGP package currently provides the ability to calculate base-line referenced student growth percentiles using coefficient matrices derived from a baseline analysis. Several states have requested such analyses and their coefficient matrices available. These matrices are embedded in the SGPstateData data set so that users can calculate student growth percentiles based upon these matrices using just the two letter state acronym. Updates to the SGP package are indicated in the NEWS section of the SGP page on the CRAN website (http://cran.r-project.org/web/packages/SGP/NEWS).

Goodness of Fit

As part of the default settings for the studentGrowthPercentiles function, figures are produced that allow the user to see how well the data is being fit by the b-spline based quantile regression procedure. Because percentile are, by definition, a uniform distribution, across all prior score levels, we expect to see a uniform distribution of percentiles. That is, regardless of whether the student enter the year as a low achiever or high, their chances of having a high or low growth percentile are based upon the uniform distribution.

The goodness of fit plots are grid graphical objects stored in the Goodness_of_Fit slot. To view the grade 4 plot from the previous analyses, the following code could be used:

```
\begin{tabular}{ll} $\tt grid.draw(sgp\_g4\$Goodness\_of\_Fit\$READING.2011\$GRADE\_4) \\ \end{tabular}
```

It is also possible to export these figures into numerous format using graphical output drivers available in R. For example, to export as PDF files, the following code could be used:

```
for (i in names(my.sgpData$Goodness_of_Fit$READING.2011)) {
   pdf(file=paste(i, "_Reading_2011_GOF.pdf", sep=""), width=8.5,
        height=4.5)
        grid.draw(my.sgpData[["Goodness_of_Fit"]][["READING.2011"]][[i]])
        dev.off()
}
```

Simulated SGPs

For states that have CSEMs (conditional standard errors of measurement) embedded in the SGPstateData set, the studentGrowthPercentiles function can calculate simulated SGPs that can be used for the construction of both individual and group level confidence intervals. This functionality is controlled using the calculate.confidence.intervals argument to the function. The argument is a list of the form list(state=, confidence.quantiles=, simulation.iterations=, distribution=, round=) specifying the state from which to use the CSEMs (note, CSEM data must be embedded in SGPstateData set. To have your state CSEMs embedded in the SGPstateData set, please contact the package administrator), confidence.quantiles to report from the simulated student growth percentiles calculated for each student, simulation.iterations indicating the number of simulated student growth percentiles to calculate, distribution indicating whether to the the Normal or Skew-Normal to calculate SGPs, and round (defaults to 1, which is an integer—see round_any from plyr package for details) giving the level to round to. If requested, simulations are calculated and simulated SGPs are stored in the Simulated_SGPs list.

Percentile Growth Trajectory/Projection Calculation

Following the calculation of student growth percentiles, it is often desirable to use the results from these analyses to investigate what level of growth is necessary for the student to reach desirable levels of achievement in the future. These "growth projections" or "percentile growth trajectories" allow stakeholders to quantify and ultimately discuss what it will take for a student to reach desired levels of achievement. These analyses, sometimes referred to as growth-to-standard analyses, can form the basis for a criterion referenced growth model suitable for use with AYP determinations (Betebenner, 2008, 2009, 2012).² Using studentGrowthProjections

Percentile growth projections/trajectories use the coefficient matrices, saved in the Coefficient_Matrilist, derived in the calculation of student growth percentiles to calculate what future rates of growth, expressed in the growth percentile metric, lead to. The user can specify a set of cutpoints that the function uses to determine what (consecutive) percentile growth is required to reach these cutpoints. For example, users can specify cutpoints associated with state achievement levels. To facilitate use of the function, users can supply a two letter acronym and access embedded cutscores for the state or they can supply a list of cutscores of their own.³ Based upon these supplied cutscores, the function then calculates the individual (consecutive) growth percentiles necessary to reach these levels in coming years. The user can either specify the time frame to reach the achievement levels or the function will, by default, calculate target until it reaches the maximum available grade in the data.

²The Colorado Growth Model which uses percentile growth projections/trajectories to determine whether a student is track to reach/maintain proficiency was approved in January, 2009, by the U.S. DOE for use in determining AYP as part of the Growth Model Pilot Program and as part of its approved ESEA waiver application.

³If your state's cutscores are not embedded in the SGPstateData data set and you'd like them to be, please contact the package administrator.

In addition to getting cutscores necessary to reach or maintain predefined achievement level targets, the user can specify a set of percentiles and the function will output the scale scores associated with consecutive percentile growth trajectory associate with those percentiles for any number of years available in the future.

Like in calculation of student growth percentiles using the studentGrowthPercentiles function, the simplest way to calculate percentile growth projections/trajectories for an entire state data set is to construct a "master file" containing the necessary R syntax. The help pages for studentGrowthProjections contain an example of a master file that will be used in this section. Like with student growth percentiles, for a state that annually tests students in three subjects, three master files, one for each subject, could be used to conduct the projection analyses. The master files are "run" using the source command at the R prompt:

```
> source("master_sgp_proj_reading_2011.r")
```

Here the percentile growth projections master is for 2011 reading.

Because percentile growth trajectories/projections rely upon previously calculated coefficient matrices in order to extrapolate into the future, use of the studentGrowthProjections function follows after using the studentGrowthPercentiles function.

R syntax used to calculate percentile growth trajectories/projections has been simplified considerably from earlier versions of the SGP package. Arguments are available as part of the function that allow the fine tuning of the previous function. Use of these arguments should only be attempted by those with a thorough understanding of the methodology and the use of the SGP package.

The calculation of the my.sgpData object done previously, the R syntax required to calculate student growth percentiles is as follows.

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