- Molecular Evolution of the Meiotic Recombination Pathway in Mammals
- 2 Investigations

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- 8 Running Title: Evolution of the Recombination Pathway
- 9 Keywords: (up to 5)

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15 Abstract

Abstract Word Count : (< 250)

Meiotic recombination, the exchange of genetic material between homologous chromosomes during meiosis, 16 is required for successful gametogenesis in most sexually reproducing species. Recombination is also a 17 fundamental evolutionary force, influencing the fate of new mutations and determining the genomic scale over 18 which selection shapes genetic variation. Despite the central importance of recombination, basic questions 19 about its evolution have yet to be addressed. Although many genes that play roles in recombination have been identified, the molecular evolution of most of these genes remains uncharacterized. Using a 21 phylogenetic comparative approach, we measure rates of evolution in 32 recombination pathway genes across 16 mammalian species, spanning primates, murids, and laurasithians. By analyzing a carefully-selected panel of genes involved in key components of recombination – spanning double strand break formation, strand invasion, the crossover/non-crossover decision, and resolution – we generate a comprehensive picture of the evolution of the recombination pathway in mammals. Recombination genes exhibit marked heterogeneity in the rate of protein evolution, both across and within genes. We report signatures of rapid evolution and positive selection that could underlie species differences in recombination rate. [NEEDS WORK HERE]

30 Introduction

- 31 The reciprocal exchange of DNA between homologous chromosomes during meiosis recombination is
- required for successful gametogenesis in most species that reproduce sexually (Hassold and Hunt 2001). The
- rate of recombination is a major determinant of patterns of genetic diversity in populations, influencing the
- fate of new mutations (Hill and Robertson 1966), the efficacy of selection (Felsenstein 1974; Charlesworth et
- 35 al. 1993; Comeron et al. 1999; Gonen et al. 2017), and important features of the genomic landscape (Begun
- and Aquadro 1992; Charlesworth et al. 1994; Duret and Arndt 2008).
- Although recombination rate is often treated as a constant, this fundamental parameter evolves over time.
- ³⁸ Genomic regions ranging in size from short sequences to entire chromosomes vary in recombination rate –
- both within and between species (Burt and Bell 1987; Broman et al. 1998; Jeffreys et al. 2005; Coop and
- 40 Przeworski 2007; Kong et al. 2010; Dumont et al. 2011; Smukowski and Noor 2011; Comeron et al. 2012;
- Segura et al. 2013; Dapper and Payseur 2017; Stapley et al. 2017).
- 42 Genome-wide association studies are beginning to reveal the genetic basis of differences in recombination
- 43 rate within species. Individual recombination rates have been associated with variation in specific genes in
- 44 populations of *Drosophila melanogaster* (Hunter et al. 2016), humans (Kong et al. 2008, 2014; Chowdhury
- et al. 2009; Fledel-Alon et al. 2011), domesticated cattle (Sandor et al. 2012; Ma et al. 2015; Kadri et al.
- ⁴⁶ 2016; Shen et al. 2018), domesticated sheep (Petit et al. 2017), Soay sheep (Johnston et al. 2016), and red
- 47 deer (Johnston et al. 2018). Variants in several of these genes correlate with recombination rate in multiple
- species, including: Rnf212 (Kong et al. 2008; Chowdhury et al. 2009; Fledel-Alon et al. 2011; Sandor et al.
- ⁴⁹ 2012; Johnston et al. 2016; Kadri et al. 2016; Petit et al. 2017), Rnf212B (Johnston et al. 2016, 2018; Kadri
- ₅₀ et al. 2016), Rec8 (Sandor et al. 2012; Johnston et al. 2016, 2018), Hei10/Ccnb1ip1 (Kong et al. 2014; Petit
- 51 et al. 2017), Msh4 (Kong et al. 2014; Ma et al. 2015; Kadri et al. 2016; Shen et al. 2018), Cplx1 (Kong et al.
- ⁵² 2014; Ma et al. 2015; Johnston et al. 2016; Shen et al. 2018) and Prdm9 (Fledel-Alon et al. 2011; Sandor et
- ⁵³ al. 2012; Kong et al. 2014; Ma et al. 2015; Shen et al. 2018).
- In contrast, the genetics of recombination rate variation among species remains poorly understood. Divergence
- at the di-cistronic gene mei-217/mei-218 explains much of the disparity in genetic map length between D.
- melanogaster and D. mauritiana (Brand et al. 2018). mei-217/mei-218 is the only gene known to confer
- 57 a recombination rate difference between species, though quantitative trait loci that contribute to shifts in
- rate among subspecies of house mice have been identified (Dumont and Payseur 2010; Murdoch et al. 2010;
- ⁵⁹ Balcova *et al.* 2016).
- 60 One strategy for understanding how species diverge in recombination rate is to inspect patterns of molecular

evolution at genes involved in the recombination pathway. This approach incorporates knowledge of the molecular and cellular determinants of recombination and is motivated by successful examples. mei-217/mei-218 was targeted for functional analysis based on its profile of rapid evolution between D. melanogaster and D. mauritiana (Brand et al. 2018). Prdm9, a protein that positions recombination hotspots in house mice and humans through histone methylation (Myers et al. 2010; Parvanov et al. 2010; Grey et al. 2011, Paigen2018; 2018), shows accelerated divergence across mammals (Oliver et al. 2009). The rapid evolution of Prdm9 – which localizes to its zinc-finger DNA binding domain (Oliver et al. 2009) – appears to be driven by selective pressure to recognize new hotpot motifs as old ones are destroyed via biased gene conversion (Myers et al. 2010; Ubeda and Wilkins 2011; Lesecque et al. 2014; Latrille et al. 2017). Although these examples demonstrate the promise of signatures of molecular evolution for illuminating recombination rate differences between species, patterns of divergence have yet to be reported for most genes involved in meiotic recombination.

Mammals provide a useful system for dissecting the molecular evolution of the recombination pathway for several reasons. First, the evolution of recombination rate has been measured along the mammalian phylogeny (Dumont and Payseur 2008; Segura et al. 2013). Second, recombination rate variation has been associated with specific genes in mammalian populations (Kong et al. 2008, 2014; Chowdhury et al. 2009; Sandor et al. 2012; Ma et al. 2015; Johnston et al. 2016, 2018; Kadri et al. 2016; Petit et al. 2017; Shen et al. 2018). Third, laboratory mice have proven to be instrumental in the identification and functional characterization of recombination genes (Vries et al. 1999; Baudat et al. 2000; Romanienko and Camerini-Otero 2000; Yang et al. 2006; Ward et al. 2007; Schramm et al. 2011; Bisig et al. 2012; Bolcun-Filas and Schimenti 2012; La Salle et al. 2012; Kumar et al. 2015; Finsterbusch et al. 2016; Stanzione et al. 2016).

Work in mice indicates that the mammalian recombination pathway is roughly divided into five major steps,
each of which is regulated by a handful of genes. The first step is the formation of hundreds of double
strand breaks (DSBs) throughout the genome (Bergerat et al. 1997; Keeney et al. 1997; Baudat et al. 2000;
Romanienko and Camerini-Otero 2000; Baudat and Massy 2007; Finsterbusch et al. 2016; Lange et al.
2016). After formation, DSBs are identified, processed, and paired with their corresponding location on
the homologous chromosome through the processes of homology search and strand invasion (Keeney 2007;
Cloud et al. 2012; Brown and Bishop 2014; Finsterbusch et al. 2016; Kobayashi et al. 2016; Oh et al. 2016;
Xu et al. 2017). The pairing of homologous chromosomes is then stabilized by a proteinaceous structure
referred to as the synaptonemal complex (SC) (Meuwissen et al. 1992; Schmekel and Daneholt 1995; Costa
et al. 2005; Vries et al. 2005; Hamer et al. 2006; Yang et al. 2006; Schramm et al. 2011; Fraune et al.
2014; Hernández-Hernández et al. 2016). The SC also forms a substrate on which the eventual crossover

events will take place [citations]. It is at this point that a small subset of DSBs is designated to mature into crossovers, leaving the majority of DSBs to be resolved as non-crossovers (Snowden et al. 2004; Yang et al. 2008; Reynolds et al. 2013; Finsterbusch et al. 2016; Rao et al. 2017). Finally, this designation is followed, and each DSB is repaired as a crossover or a non-crossover (Baker et al. 1996; Edelmann et al. 1996; Lipkin et al. 2002; Rogacheva et al. 2014; Xu et al. 2017).

In this article, we examine the molecular evolution of 32 key recombination genes, evenly distributed across each major step in the recombination pathway, in 16 mammalian species spanning primates, murals and laurasiatherians. Our results identify steps of the pathway most likely to contribute to differences in recombination rate between species.

102 Materials and Methods

Data Acquisition & Processing

We selected a focal panel of 32 recombination genes (See Table1). The panel was constructed to: (1) cover each major step in the recombination pathway as evenly as possible, (2) contain genes that have integral 105 functions in each step, and (3) include genes that have been associated with inter-individual differences in recombination rate within mammalian populations. Reference sequences from 16 species of mammals for each 107 gene were downloaded from both NCBI and Ensembl (Release-89) (Wheeler et al. 2006; Zerbino et al. 2017). 108 Alternative splicing is widespread and presents a challenge for molecular evolution studies (Pan et al. 2008; Barbosa-Morais et al. 2012). To focus our analyses on coding sequences that are transcribed during meiosis 110 and to validate the computational annotations for each gene in each species, we used available testes expression datasets. We downloaded raw testes expression data for each species from NCBI Gene Expression Omnibus 112 (GEO) (Table S1)(Barrett et al. 2012). We converted the SRA files into FASTQ files using SRAtoolkit 113 (Leinonen et al. 2010). The reads were mapped to an indexed reference genome (Table S2,3) (Bowtie2, 114 (Langmead and Salzberg 2012)) using TopHat (Trapnell et al. 2009). The resulting bam files were sorted 115 using Samtools (Li et al. 2009) and visualized using IGV 2.4.10 (Thorvaldsdóttir et al. 2013). We used this 116 approach to: (1) identify the transcript expressed in testes, (2) check the reference transcript for errors, and 117 (3) revise the reference transcript based upon the transcript data.

We compared expression data to annotations from both Ensembl and NCBI (Wheeler *et al.* 2006; Zerbino *et al.* 2017). When both transcripts were identical, we selected the NCBI transcript. The Ensembl transcript was used instead when: (1) the NCBI reference sequence was not available, (2) when none of the NCBI transcripts

matched the expression data, or (3) when there were sequence differences between the two transcripts and the Ensembl transcript was more parsimonious - (i.e. had the fewest differences when compared to the rest of sequences in the alignment). The use of testes expression data was a key quality control step and the inclusion of species in this study was primarily determined by the availability of testes expression data.

Phylogenetic Comparative Approach

For each gene, we used phylogenetic analysis by maximum likelihood (PAML 4.8) to measure the rate of
evolution across the mammalian phylogeny and to search for molecular signatures indicative of positive
selection (Table 2) (Yang 1997, 2007). This approach requires a sequence alignment and a phylogenetic
tree. For each gene, sequences were aligned using Translator X, a codon-based alignment tool, powered by
MUSCLE v3.8.31 (Edgar 2004; Abascal et al. 2010). Each alignment was examined by hand and edited as
necessary. We used a species tree that reflects current understanding of the phylogenetic relationships of the
species included in our study (Figure 1) (Prasad et al. 2008; Perelman et al. 2011; Fan et al. 2013; Chen et al.
2017).

Due to the ambiguity in the relationship between laurasithians and the placement of tree shrews, we also inferred gene trees using MrBayes (Ronquist *et al.* 2012; Fan *et al.* 2013; Chen *et al.* 2017). This approach allowed us to account for effects of incomplete lineage sorting (ILS) (Pamilo and Nei 1988; Rosenberg 2002; Scornavacca and Galtier 2017). Using gene trees and using the consensus species tree produced highly similar results (Table S4).

For 19 genes, transcripts from all 16 species were used. For 11 genes in which the chimpanzee and bonobo sequences were identical, we excluded the bonobo sequence. For one gene in which the chimpanzee, bonobo and human sequences were all identical, we excluded the chimpanzee and bonobo sequences. In only a small number of instances, a suitable reference sequence could not be identified for a given species.

We estimated rates of synonymous and non-synonymous substitutions per site using the CODEML program in PAML4.8 (Yang 2007). This program considers multiple substitutions per site, different rates of transitions and transversions, and effects of codon usage (Yang 2007). Rates of substitution were computed for 6 different models of molecular evolution (Table 2). The fit of each model was compared using a likelihood ratio test.

Reported substitution rates assume the best-fit model for each gene.

149 Identifying Signatures of Selection

To test for positive selection, we compared the fit of models including a class of sites with ω greater than 150 1 to the fit of models in which all classes of sites have ω values equal to, or less than, 1. Specifically, we 151 report three comparisons: M1 vs. M2, M7 vs. M8, and M8 vs. M8a (Table 2). The first comparison, M1 152 vs. M2, compares a model with two classes of sites ($\omega < 1$, $\omega = 1$) to a model with a third class of sites 153 where ω is greater than 1, indicative of positive selection (Yang 2007). More complex models (M7 & M8) 154 were developed to take into account variation in ω less than 1 among sites within genes by including 10 155 site classes drawn from a beta distribution ranging between 0 and 1 (Yang 2007). In this case, Model 8 includes one additional class of sites in which ω is greater than 1 (for a total of 11 site classes), allowing 157 for the identification of signatures of positive selection (Yang 2007). In cases in which a large fraction of sites within a gene are evolving neutrally ($\omega = 1$), Model 8 will fit significantly better due to a very poor 159 fit of Model 7 rather than a signature of positive selection. To avoid incorrectly identifying signatures of 160 positive selection, Model 8 is also compared to Model 8a which contains a larger fraction of neutrally evolving 161 sites than Model 7 [citations]. We also report the number of significant Bayes-Empirical-Bayes 162 (BEB) ω estimates for individual codons in each gene. 163

164 Multinucleotide Mutations

Multi-nucleotide mutations (MNMs) occur when two mutations happen simultaneously in close proximity (Schrider et al. 2011; Besenbacher et al. 2016). MNMs violate the PAML assumption that the probability of two simultaneous mutations in the same codon is 0 (Yang 2007; Venkat et al. 2018). Recent work has shown 167 that MNMs can lead to the false inference of positive selection when using branch-site tests in PAML (Venkat et al. 2018). Although we did not use branch-site tests, it is possible that MNMs contributed to some of the 169 signatures of positive selection we observed. Although we could not directly identify MNMs in our dataset, we conducted an additional analysis to gauge the potential effects of MNMs on our results. We used PAML 171 to reconstruct the ancestral sequence at each node in the phylogeny (Yang 2007). For the reconstruction, Model 8 was chosen because we specifically re-analyzed genes that showed evidence for positive selection when 173 comparing Model 7 with Model 8. From the ancestrally reconstructed sequences, we identified any codons in 174 which PAML inferred more than 1 substitution on a single branch (codons with multiple differences; CMDs). 175 All identified CMDs were removed from the sequences in which they occurred. For example, if a CMD was 176 identified in an external branch, that codon was replaced with '--' only in the sequence of that species. If a 177 CMD was inferred on an internal branch, the codon was replaced with '—' in all species descended from that 178

internal branch. For each gene that showed evidence of positive selection using the unedited sequences, we also conducted PAML analyses using sequences from which all CMDs were removed.

Polymorphism & Divergence in the Primate Lineage

To further examine evidence for selection on recombination genes, we compared divergence between humans 182 and macaque to polymorphism within humans in the recombination genes. Human polymorphism data was downloaded from ExAC database (Lek et al. 2016). The ExAC database spans 60,706 unrelated 184 individuals sequenced as part of both disease-specific and population genetic studies (Lek et al. 2016). To avoid biases introduced by population structure, we restricted our analyses to the population with the largest representation in the database: European, non-Finnish, individuals (N = 33,370)(Lek et al. 2016). Polymorphism data for the correct transcript of RNF212 (based upon expression data) was not available in 188 the ExAC database; this gene was not included in this analysis. We compared counts of non-synonymous and synonymous polymorphisms to counts of non-synonymous and synonymous substitutions using the McDonald-Kreitman test (McDonald and Kreitman 1991). The 191 neutral expectation is that the ratio of non-synonymous to synonymous substitutions is equal to the ratio of non-synonymous to synonymous polymorphisms (McDonald and Kreitman 1991). Significant deviations 193 provide evidence of natural selection. The neutrality index (NI) measures the direction and degree of 194 departures from the neutral expectation (Charlesworth 1994). An NI of less than 1 indicates positive selection, 195 and the fraction of adaptive amino acid substitutions can be estimated as 1 - NI (Charlesworth 1994; Fay et al. 2001; Smith and Eyre-Walker 2002). We also measure the direction of selection (DoS) for each gene, 197 an additional statistic that measures the direction and degree of departures from the neutral expectation 198 and has been shown to be less sensitive to bias than NI under certain conditions (Stoletzki and Eyre-Walker 2010). A positive DoS is consistent with positive selection, and vice versa (Stoletzki and Eyre-Walker 2010). 200 Additionally, we estimated pairwise divergence (ω) between humans and macaques using the $yn\theta\theta$ package in PAML (Yang 2007).

Identifying Evolutionary Patterns

To identify evolutionary patterns among recombination genes, we compared the rate of evolution and the proportion of genes experiencing positive selection among groups of interest. We asked: (1) Do genes that function in different steps of the pathway exhibit different rates of evolution? (2) Do genes that function post-synapsis evolve more rapidly than genes that function pre-synapsis? and (3) Do genes associated with

between-individual variation in recombination rate diverge more rapidly between species? All statistical analyses were performed in R [citation].

To determine whether recombination genes co-evolve, we computed the evolutionary rate covariation (ERC)
metric: the correlation coefficient between branch-specific rates among pairs of proteins (Clark et al. 2012).
ERC is frequently elevated among interacting proteins (Pazos and Valencia 2001; Hakes et al. 2007; Clark et al. 2009) and is assumed to result from: (1) concordance in fluctuating evolutionary pressures, (2) parallel evolution
of expression level, or (3) compensatory changes between co-evolving genes (Clark et al. 2012, 2013; Priedigkeit
et al. 2015). We used a publicly available ERC dataset (https://csb.pitt.edu/erc_analysis/index.php) to
compare the median ERC-value among a subset of the focal recombination genes (N = 25) to other genes in
the genome, as described in Priedigkeit et al. (2015).

To control for an observed elevation in ERC among recombination genes and test for relationships between specific groups, we also conducted an ERC analysis that was restricted to the focal set of 32 recombination genes. Branch lengths were calculated using the *aaML* package in PAML (Yang 2007) and pairwise ERC values were calculated following the methods of Clark et al. (2012). Using this approach, we specifically compared the ERC values among three of the most rapidly evolving recombination genes (*TEX11*, *SHOC1*, and *SYCP2*) to the other recombination genes.

224 Results

Recombination genes evolve at different rates in mammals

We observed substantial heterogeneity in the rate of evolution of recombination genes, spanning a range of 0.0268 - 0.8483 (mean $\omega = 0.3275$, SD = 0.1971, median = 0.30945) (Figure 2A, Figure 3, Table 3). Four 227 genes exhibit particularly rapid evolution compared to other recombination genes, with evolutionary rates greater than 1 SD above the mean (IHO1, SHOC1, SYCP2, TEX11). At the other end of the spectrum, 229 five genes have evolutionary rates more than 1 SD below the mean and are highly conserved across the mammalian phylogeny (BRCC3, DMC1, HEI10, RAD50, RAD51). In comparisons between human and 231 macaque sequences, six genes have evolutionary rates more than 1 SD above the mean (CNTD1, IHO1, 232 MEI4, RAD21L, SHOC1, TEX11) and six genes have evolutionary rates more than 1 SD below the mean 233 (DMC1, HORMAD1, MLH1, MRE11, RAD50, RAD51). The genes that show the most rapid and most 234 conserved rates of divergence between humans and macaques are mostly the same genes that show extreme 235 evolutionary rates across the mammalian phylogeny. Notable exceptions include MEI4 ($\omega_{\text{mammals}} = 0.4332$, 236 $\omega_{\text{human-macaque}} = 0.7252$), CNTD1 ($\omega_{\text{mammals}} = 0.2496$, $\omega_{\text{human-macaque}} = 0.6803$), HEI10 ($\omega_{\text{mammals}} = 0.0496$) 0.1226, $\omega_{\text{human-macaque}} = 0.3235$), and HORMAD1 ($\omega_{\text{mammals}} = 0.3036$, $\omega_{\text{human-macaque}} = 0.0901$. In general, 238 there is very high concordance between evolutionary rate across mammals and pairwise divergence between humans and macaques (mean $\omega = 0.3301$, SD = 0.2370, median = 0.30925)(Spearman's $\rho = 0.833774$, p =240 3.11e-9)(Figure 2B, Table 4). It should be noted, however, that these two measures are not independent divergence between human and macaque sequences was incorporated in the phylogenetic analysis. 242

Recombination genes evolve faster than other genes in primates

Gradnigo et al. (2016) measured the rate of divergence between human and macaque for 3,606 genes throughout the genome. We used this dataset to ask whether the rate of evolution of recombination genes as a group is different than expected from the genome-wide distribution. Mean rates for sets of 32 ω values randomly sampled from the 3,606-gene list rarely exceeded the mean rate for recombination genes (p = 0.0075, 10,000 random draws) (Figure 4), suggesting that recombination genes evolve faster on average, at least between human and macaque.

250 Recombination genes display signatures of positive selection across mammals

Comparing polymorphism within humans to divergence between humans and macaques revealed that 17 out 251 of 31 genes depart from neutral predictions in the form of significant McDonald-Kreitman tests (Fisher's 252 **Exact Test** p < 0.05; Table 5). Seventeen genes harbor an excess of non-synonymous polymorphisms (Table 253 5). This pattern suggests the presence of weakly deleterious mutations at recombination genes in human 254 populations. Contrary to predictions under this model, however, we detected no significant differences in allele frequencies among non-synonymous polymorphism than synonymous polymorphism (statistical test, 256 p < 0.05). None of the recombination genes we surveyed displayed a significant excess of non-synonymous substitutions, the expected signature of positive selection. Only one gene (TEX11) has a higher ratio of non-synonymous to synonymous substitutions than non-synonymous to synonymous polymorphisms (NI =0.7879; DoS = 0.0534)(Table 5). 260 Phylogenetic comparative methods enable the identification of signatures of selection acting on a subset of 261 sites within a gene. We identified signatures of positive selection in 11 of 32 (34.3%) recombination genes using site models in CODEML. These genes include: IHO1, MSH4, MRE11, NBS1, RAD21L, REC8, RNF212, 263 SHOC1, SYCP1, SYCP2, and TEX11 (Table 2). For each of these genes, models that include a fraction of sites where the rate of non-synonymous substitutions is estimated to be greater than the rate of synonymous 265 substitutions ($\omega > 1$, Model 8) fit better than models that did not include such a class of sites (Model 7, 8a). To mitigate the potential for multi-nucleotide mutations to produce false signatures of positive selection, we 267 re-analyzed this subset of genes after removing any codons inferred to have accumulated multiple changes 268 on a single branch (CMDs). After removing all CMDs, 1 gene (TEX11) retained a significant signature of positive selection (Table 5). 270

Recombination genes associated with inter-individual differences do not diverge more rapidly between species

Recombination genes previously associated with inter-individual differences in recombination rate within species do not evolve faster between species of mammals (average $\omega=0.3943$ vs. average $\omega=0.2925$, respectively; p=0.2381, Mann-Whitney U Test), though the difference in evolutionary rates between these two classes of genes is greater when considering only divergence between humans and macaques (average $\omega=0.4181$ vs. average $\omega=0.2839$, respectively; p=0.08816, Mann-Whitney U Test). Likewise, the proportion of recombination genes that exhibit signatures of positive selection is not higher among genes that have been associated with inter-individual differences (5/11 vs. 6/21; p=0.719, **Fisher's Exact Test**).

280 Recombination gene evolution does not depend strongly on position in the pathway

Comparisons among groups of genes assigned to six major steps in the recombination pathway yielded no significant differences in evolutionary rate (mammals: p=0.1422, Kruskal-Wallis Test; human vs. macaque: p=0.2682, Kruskal-Wallis Test)(Figure 6). Similarly, genes acting before and after synapsis show similar evolutionary rates across mammals (average ω _before = 0.2723 vs. ω _after = 0.3762, p=0.1425, Mann-Whitney U Test)/. Post-synapsis genes show modest evidence of evolving faster than pre-synapsis genes in comparisons between humans and macaques (average ω _before = 0.2514 vs. ω _after = 0.3994, p=0.05827, Mann-Whitney U Test).

Evolutionary rates are correlated among recombination genes

We used a publicly available database (https://csb.pitt.edu/erc_analysis/index.php) to measure correlations in evolutionary rate among pairs of recombination genes across mammals. Recombination genes show levels of evolutionary rate covariation (mean ERC = 0.134) that are significantly higher than the genome-wide distribution of gene pairs (permutation p = 0.000358).

Motivated by the findings that TEX11, SYCP2, and SHOC1 are three of the most rapidly evolving recombination genes among mammals (Table 3) and that TEX11 has direct protein-to-protein interactions with both SHOC1 and SYCP2 (Yang et al. 2008; Guiraldelli et al. 2018), we focused on rate correlations between these genes. TEX11, SYCP2, and SHOC1 show significantly higher rate correlations (mean ERC = 0.42369) than randomly sampled subsets of recombination genes (permutation p = 0.025).

Discussion Discussion

While substantial variation in recombination rate has been observed between mammalian species (citations), the genetic changes underlying this divergence remain poorly understood. By measuring the rate of molecular 300 evolution of key genes across the mammalian phylogeny, we have uncovered a detailed portrait of genetic 301 divergence in the recombination pathway. Notably, we observed substantial variation in the rate of evolution 302 of genes in the recombination pathway, ranging from highly conserved genes ($\omega = 0.0268$) to rapidly diverging 303 genes ($\omega = 0.8483$). Importantly, genetic divergence is likely to underlie the observed phenotypic divergence, 304 allowing us to nominate genes and steps of the pathway that have likely contributed to the evolution of recombination rate. 306 Inter-individual variation in recombination rate has been linked to a handful of recombination genes in mam-307

mals (citations), providing an intriguing set of candidate genes for inter-species variation in recombination rate (Table 1). However, we did not find evidence that genes associated with inter-individual variation in 300 recombination rate evolve more rapidly, or are more likely to have signatures of adaptive evolution, than recombination genes without such associations. This observation indicates that genes that produce inter-311 individual variation in recombination rate are not more likely to contribute to between species differences than other genes in the recombination pathway. This lack of an association may suggest that genes underlie species 313 differences in recombination rate are subject to strong directional selection within populations, reducing their 314 contribution inter-individual variation, or that genes that are responsible for within-species rate difference 315 may often be targets of diversifying or antagonistic selection, limiting their divergence between species. This 316 is supported by the observation that variants of RNF212, a gene repeatably associated with inter-individual 317 variation in recombination rate, have different effects on recombination rate in female and male humans 318 [citations]. Alternatively, it is possible we did not detect an association because we have imperfect knowledge of the genes responsible for inter-individual variation. However, the repeated discovery that the same genes 320 are associated with inter-individual variation in recombination rate in diverse species reduces the likelihood of this possibility (citations). 322

Work in mice indicates that the mammalian recombination pathway is divided into a series of functionally distinct steps, suggesting that position in the recombination pathway may be a good predictor of evolutionary rate. However, we did not see a strong pattern that the rate of evolution of a gene could be predicted by the step in the recombination pathway in which a gene functions (Figure 6). The heterogeneity in evolutionary rate of genes with steps suggests position in the recombination pathway is not a good proxy for the selection pressures acting on a gene. It is possible that this association is obscured by rate heterogeneity among protein

domains. For example, the signal of rapid and adaptive evolution in *PRDM9* is restricted to the zinc finger residues, with much of the gene sequence being conserved between species [citations]. Rate heterogeneity between genes within steps of the recombination pathway motivates a more careful investigation of the specific role of genes in the pathway, as well as variation in functional domains within gene of interest.

Genes that exhibit particularly rapid evolution compared to other recombination genes present compelling 333 candidates for driving between species differences. Four genes exhibit particularly rapid evolution across 334 the mammalian phylogeny compared to other recombination genes (average $\omega = 0.3275$), with evolutionary rates greater than 1 SD above the mean (IHO1: $\omega = 0.7095$, SHOC1: $\omega = 0.6113$, SYCP2: $\omega = 0.5572$, 336 $TEX11: \omega = 0.8483$). Interestingly, TEX11 is known to directly interact with of two of the three other genes 337 that evolve most rapidly across the mammalian phylogeny - functioning by binding to the synaptonemal 338 complex (SYCP2) and recruiting factors that regulate the first step of the crossover vs. non-crossover decision 339 (SHOC1)(citations). The forth gene, IHO1, is a recently discovered gene that recruits and activated SPO11, 340 a topoisomerase-like gene that generates DSBs [citations]. Thus, all four rapidly evolving recombination 341 genes are well-positioned to potentially influence the rate of recombination rate, either by influencing the 342 number of DSBs formed or the designation of which DSBs will mature into crossovers. At the other end of 343 the spectrum, five genes have evolutionary rates more than 1 SD below the mean and are highly conserved across the mammalian phylogeny (BRCC3, DMC1, HEI10, RAD50, RAD51). With the exception of HEI10, 345 these genes predominantly function in the detection and processing of DSB breaks (citations).

It is very important to note that rapid evolution does mean adaptive evolution. Furthermore, as the role of
adaptive evolution in shaping divergence in recombination rate between species is unclear (citations), it is
also worth noting that there only limited evidence to suggest that the genes that underlie species difference
should be expected to have signatures of adaptive evolution. Surprisingly, we identified a significant signature
of positive selection in 11 of the 32 recombination genes we analyzed using phylogenetic comparative methods.
These 11 genes include the 4 recombination genes identified as rapidly evolving and are predominantly found
in two steps of the pathway: genes that form the synaptonemal complex and (REC8, RAD21L, SYCP1, and
SYCP2) and genes that regulate the first steps of the crossover vs. non-crossover decision (TEX11, SHOC1,
RNF212, and MSH4).

Seemingly in contrast with these results, many recombination gene (17/31) have evidence of negative selection in primates – diagnosed by an excess of non-synonymous polymorphisms in human populations. These results highlight a pattern of conservation among recombination genes. However, this approach identifies patterns of selection at the level of the entire gene and positive selection is often thought to target certain domains within proteins, as observed in PRDM9 [citations]. Phylogenetic comparative methods leverage more sequence data to allow for a more sensitive test for sites of selection, potentially resulting in this discrepancy. In fact, this pattern is exemplified with MSH4. MSH4 exhibits a significant signature of positive selection, but a lower than average evolutionary rate along the mammalian phylogeny ($\omega = 0.2132$, Table 3). MSH4 also exhibits an excess of non-synonymous polymorphism within humans, a significant signature of negative selection (DoS= -0.2959, Table 5). These two seemingly disparate results are unified by the observation that all 6 codons in MSH4 with significant signatures of positive selection (BEB, p < 0.05) are highly localized in the first 100 bp in a putative DNA binding domain (citations).

However, the increased sensitivity of PAML is not without tradeoffs. PAML has been shown to be susceptible 368 to false positives when assumptions are violated. One potentially pervasive issue are multi-nucleotide mutations, which violate the assumption that the probability of two simultaneous mutations in a single 370 codon is zero [citations]. It is not possible to directly identify MNMs in our dataset, so we choose a highly 371 conservative approach of removing all codons that are inferred to have accumulated multiple mutations on a 372 single branch in the phylogeny. Codons removed using this approach could be MNMs, but they also likely 373 include codons that either have accumulated sequential mutations along the long branches in the mammalian 374 phylogeny or are neither MNMs or CMDs, due to uncertainty in the inference of ancestral sequences. Despite 375 the conservative nature of this approach, we still found a signature of positive selection in TEX11, even when all putative CMDs were removed. While this result suggests that MNMs are unlikely to have produced an 377 erroneous signature of selection in TEX11, the conservative nature of the filter makes it difficult to draw conclusions about the nature of the signals of selection in the other recombination genes. It is always to 379 prudent to consider the possibility that relaxed selection has led to elevated rates of evolution.

The population genetic patterns, paired with knowledge of the molecular function, nominate TEX11 as a very interesting candidate for further investigation. TEX11 is the most rapidly evolving recombination gene in 382 primates ($\omega = 0.9068$) and mammals ($\omega = 0.8483$) and exhibits a robust signature of positive selection even in conservative analyses. While TEX11 does not exhibit an excess of non-synonymous substitutions between 384 humans and macaques, it is the only recombination gene that we surveyed that had more non-synonymous substitutions than expected based upon the patterns of polymorphism in humans, indicated by a neutrality index less than one (0.7879) and positive DoS (0.05335). Interestingly, TEX11 also has 14 individual residues 387 that exhibit signatures of positive selection (BEB, p < 0.05). Unlike PRDM9 or MSH4, these residues are distributed across the length of the gene and not localized to a specific domain. The lack of such a discrete, 389 localized signature of selection is likely due to the fact that the majority of TEX11 is encompassed by 3 large TRP domains (Guiraldelli et al. 2018), ubiquitous protein interaction domains, and suggests that much of 391 the gene may be targeted by selection.

The position on TEX11 at the very beginning of the crossover vs. non-crossover decision, provides some interesting suggestions as to how recombination rate may be evolving across mammals. If recombination rate is under directional selection across mammals, as suggested by Segura et al. (2013), a key role of TEX11 395 suggests that variation in crossover number maybe generated very early during the crossover vs. non-crossover decision. Alternatively, the positioning of TEX11 at this particular stage in the pathway may instead 397 suggest that selection favors a consistent recombination rate across the mammalian phylogeny. In this case, the signature of positive selection in TEX11 could be driven by its role in maintaining crossover 399 homeostasis despite the accumulation of changes in other genes in the pathway. Interestingly, the correlation 400 in evolutionary rates between TEX11, SYCP2, and SHOC1 can support either scenario - either all three 401 genes experience concordant selection pressures due to their closely-related functions in the recombination 402 pathway or the correlation in evolutionary rate is driven by compensatory changes in TEX11.

While our analysis of the molecular evolution highlights key genes, such as *TEX11*, that provide excellent candidates for genes underlying the evolution of recombination rate between species, it is important to note that our results do not exclude the possibility that other genes play important roles in between species divergence. For example, genes without signatures of positive selection may contribute to species differences in recombination rate, and genes with evidence of positive selection may not contribute. Additionally, we did not consider all genes in the recombination pathway, nor did we consider the evolution of non-coding sequences.

411 WHERE SHOULD THIS GO????

While we focus primarily on differences between recombination genes, our analyses also allow us to make
observations about the evolution of recombination genes as a group. Rate correlations among recombination
genes are higher than expected from rate correlations among other genes - evidence that the recombination
pathway shapes the evolution of recombination genes.

As a group, recombination genes tend to evolve more rapidly than other genes in primates. There are a couple of explanations as to why a group of genes may exhibited elevated rates of evolution. Genes with lower breadth of expression tend to evolve more rapidly. Consistent with this explanation, recombination genes tend to be tissue-specific with low overall levels of expression. It is worth noting that reproductive genes, a group in which recombination genes can be included, have been observed to evolve more rapidly than other genes. This pattern of rapid divergence is generally thought to be driven by strong post-copulatory sexual selection or via a relaxation of selection due to sex-specific expression and low female re-mating

rates. However, these genes are unlikely to be sex-specific as they affect recombination phenotypes in both sexes. Furthermore, recombination occurs prior to copulation in mammals making it a poor candidate for involvement in post-copulatory sexual selection. However, it is possible that on average, recombination genes are more frequently subject to positive selection, elevating divergence between species.

In general, there is very high concordance between the rate of evolution of recombination genes in primates 427 (human vs. macaque) and across the mammalian phylogeny, suggesting that the strength and direction of 428 selection on recombination genes may be quite similar across mammals. The caveat here is that these two measures are not independent, divergence between humans and macaques is part of the measurement of 430 divergence across mammals. Note, there are some notable exceptions to the general concordance between the 431 rate of evolution in primates and mammals - which may highlight genes that have experienced differences 432 in the strength and direction of selection along the primate lineage. In particular, CNTD1, HORMAD1, 433 and MEI4 have relatively average rates of evolution across the mammalian phylogeny. However, MEI4 434 and CNTD1 are among the most rapidly evolving recombination genes along the primate lineage, while 435 HORMAD1 is one of the most conserved.

Table 1: List of 32 recombination genes surveyed by step in the recombination pathway. Genes in bold have
been associated with inter-individual differences in recombination rate in at least one species of mammals.

Pathway Step	Genes
DSB Formation	HORMAD1, IHO1, MEI4, SPO11, REC114
DSB Processing	BRCC3, HORMAD2, MRE11, NBS1, RAD50
Strand Invasion	DMC1, MEIOB, MCMDC2, SPATA22, RAD51
Homologous Pairing	SYCP1, SYCP2, RAD21L, REC8, TEX12
CO vs. NCO Decision	MSH4, MSH5, RNF212, RNF212B, TEX11, SHOC1
Resolution	CNTD1, HEI10 , MER3 , MLH1, MLH3 , MUS81

Table 2: Six PAML site models used to measure evolutionary rate and test for positive selection. Models varied in the number of ω classes, the range of ω for each of these classes, and whether a class of sites subject to positive selection was included.

Model	# Site Classes	ω Range	Pos. Selection?
0	1	<1	No
1	2	<1, =1	No
2	3	<1, =1, >1	Yes
7	10	0-1	No
8	11	0-1, >1	Yes
8a	6	0-1, =1	No

Figure 1: Species tree assumed in analyses of molecular evolution.

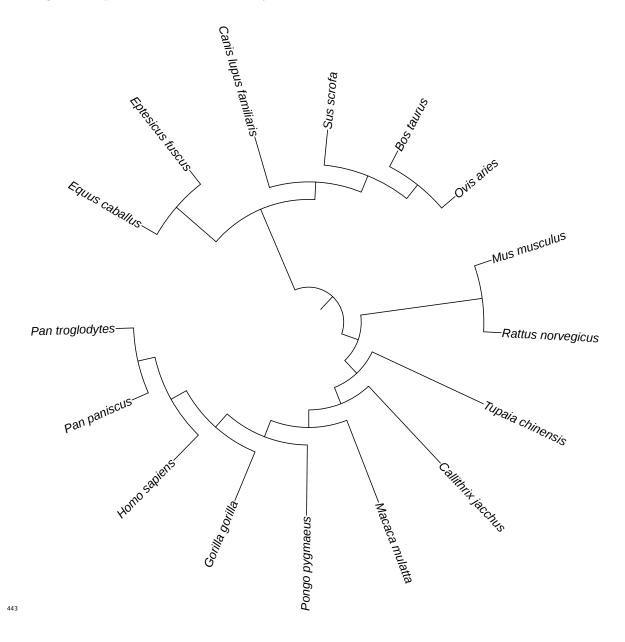
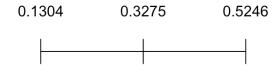
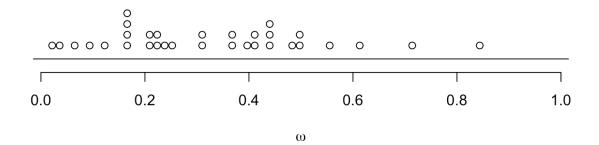


Figure 2:Distribution of ω for 32 recombination genes. Bar shows the mean +/- 1 standard deviation.

(A) Divergence estimated across the mammalian phylogeny. (B) Pairwise divergence between human and macaque.

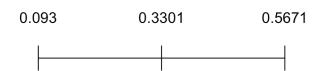
447 (A)

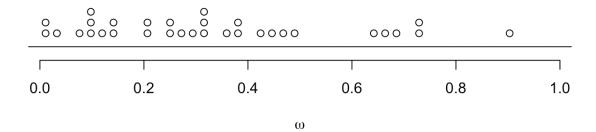




448

449 (B)





450

- Figure 3: Pathway Figure Description The color of each gene represents its evolutionary rate relative to
- the average rate of evolution of recombination genes ($\omega = 0.3275$): more rapidly evolving genes are depicted
- $_{453}$ in darker shades of red and the more conserved genes are depicted in darker shades of blue. Genes that
- exhibit a signature of positive selection are in bold.

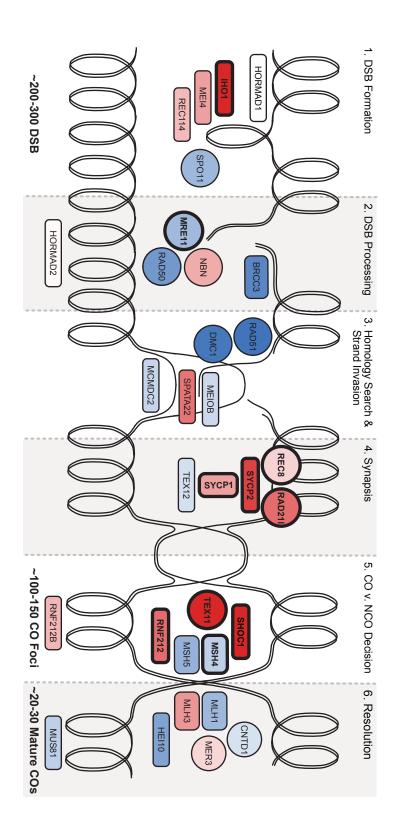


Figure 4: Distribution of the mean divergence (ω) between human and macaque of 10,000 random draws from the entire genome. Mean ω among these random draws was observed to be equal to or greater than that observed among recombination genes less than 1% of the time (p = 0.0075, 10,000 random draws).

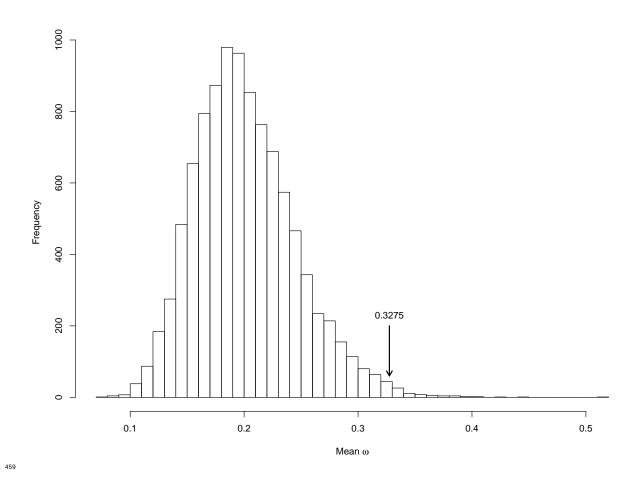


Figure 5: High concordance between there rate of evolution of recombination gene between human and macaques and the rate of evolution among mammals. The linear regression is shown in red and the 1:1 line is shown as a dashed line.

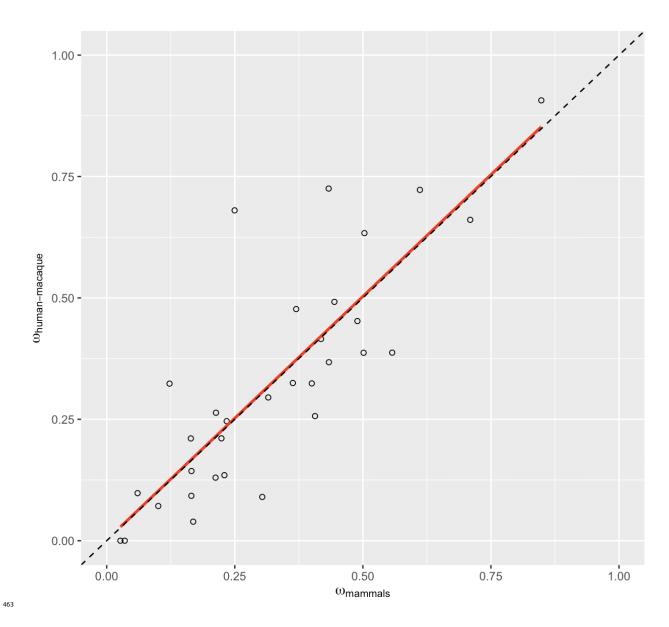


Figure 6: Boxplot of ω by step in recombination pathway.

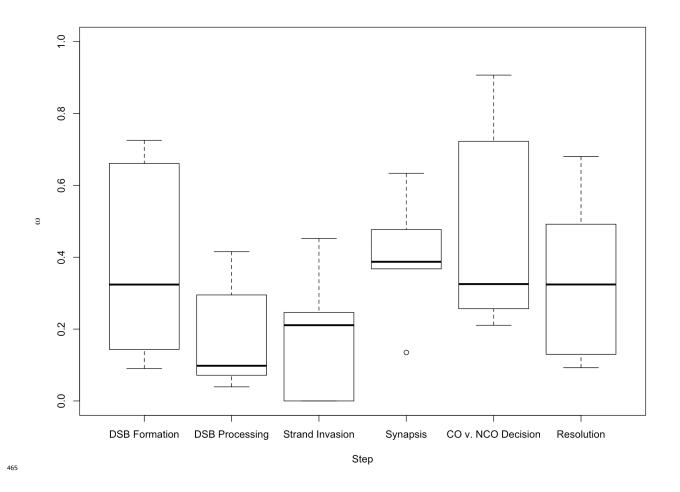


Table 3: PAML analysis of 32 recombination genes in mammals (Yang 2007).

Gene	bp	N	ω	M	M1-M2	$p ext{-}value$	<i>M7-M8</i>	$p ext{-}value$	M8a- $M8$	$p ext{-}value$	BEB
A)											
HORMAD1	1212	16	0.3036	7	0	1.000	1.795	0.4076	_	_	0
MEI4	1170	16	0.4332	7	0	1.000	0.005	0.9976	_	_	0
REC114	870	15	0.4003	7	0	1.000	5.384	0.0677	_	_	0
IHO1	1824	16	0.7095	8	13.061	0.0015	17.571	0.0002	14.527	0.0001	1
SPO11	1188	15	0.1654	7	0	1.000	4.648	0.0980	_	_	0
B)											
HORMAD2	981	15	0.3153	7	0	1.000	3.650	0.1612	_	_	0
MRE11	2136	16	0.1688	8	0.363	0.8342	11.931	0.0026	4.706	0.0301	0
NBS1	2289	15	0.4183	8	0	1.000	12.763	0.0017	4.087	0.0432	0
RAD50	3936	16	0.1006	7	0	1.000	0.301	0.8605	_		0
BRCC3	954	15	0.0602	7	0	1.000	0.250	0.8826	_	_	0
C)											
DMC1	1020	15	0.0351	1	0.488	0.7835	5.000	0.0821	_	_	1
RAD51	1017	16	0.0268	7	0	1.000	0	1.000	_	_	0
SPATA22	1101	16	0.4893	7	0	1.000	0.429	0.8070	_	_	0
MEIOB	1425	16	0.2341	7	0	1.000	0.665	0.7172	_	_	0
MCMDC2	2052	16	0.2239	7	0	1.000	0.628	0.7307	_	_	0
D)											
REC8	1833	16	0.3698	8	0	1.000	14.690	0.0006	5.927	0.0149	0
RAD21L	1686	15	0.503	8	12.124	0.0023	32.050	>0.0001	12.049	0.0005	4
SYCP1	3015	16	0.4337	8	8.711	0.0128	26.860	>0.0001	9.243	0.0024	3
SYCP2	4650	16	0.5572	8	11.584	0.0031	37.200	>0.0001	15.838	0.0001	0
TEX12	369	14	0.2297	7	0.0565	0.9721	1.549	0.4610	_	_	0
$\mathbf{E})$											
TEX11	2844	15	0.8483	8	60.872	>0.0001	82.665	>0.0001	61.141	>0.0001	14
SHOC1	4644	16	0.6113	8	12.447	0.0020	30.561	>0.0001	15.645	0.0001	0
RNF212	948	16	0.5014	8	0	1.000	16.366	0.0003	5.202	0.0226	1
RNF212B	906	14	0.4066	7	0	1.000	0.500	0.7788	_	_	0
MSH4	2814	16	0.2132	8	16.608	0.0002	39.447	>0.0001	23.238	>0.0001	6

Gene	bp	N	ω	M	M1-M2	$p ext{-}value$	M7-M8	$p ext{-}value$	M8a- $M8$	$p ext{-}value$	BEB
MSH5	2565	15	0.1642	7	0	1.000	4.214	0.1216	_	_	0
$\mathbf{F})$											
MER3	4458	16	0.3633	8a	0	1.000	12.838	0.0016	3.109	0.0779	0
CNTD1	1026	15	0.2496	7	0	1.000	0.936	0.6263	_	_	0
HEI10	831	15	0.1226	7	0	1.000	0.250	0.8826	_	_	0
MLH1	2313	15	0.1652	8a	0	1.000	12.221	0.0022	0.280	0.5970	0
MLH3	4419	16	0.4444	7	0	1.000	3.757	0.1528		_	0
MUS81	1665	16	0.2124	7	0	1.000	0.628	0.7304	_	_	0

Table 4: PAML - MNM Analysis

\overline{Gene}	bp	N	ω	M	M1-M2	$p ext{-}value$	M7-M8	$p ext{-}value$	M8a-M8	p- $value$
IHO1	1824	16	0.6104	7	0	1.000	0.258	0.8789	_	_
MRE11	2136	16	0.1330	7	0.226	0.8930	3.056	0.2169	_	_
NBS1	2289	15	0.3413	7	0	1.000	1.956	0.3761	_	_
REC8	1833	16	0.2905	7	0	1.000	5.321	0.0699	_	_
RAD21L	1686	15	0.4271	8a	2.329	0.3121	9.497	0.0087	1.620	0.2031
SYCP1	3015	16	0.3731	8a	3.328	0.1893	13.440	0.0012	2.122	0.1452
SYCP2	4650	16	0.4752	7	0	1.000	1.758	0.4151	_	_
TEX11	2844	15	0.7287	8	9.989	0.0068	18.776	0.0001	10.656	0.0011
SHOC1	4644	16	0.5519	8a	0	1.000	7.439	0.0242	0.292	0.5887
RNF212	948	16	0.3685	7	0	1.000	0	1.000	_	_
MSH4	2814	16	0.1509	7	0	1.000	2.079	0.3536	_	_

Table 5: Polymorphism & Divergence Data

Gene	ω	Pn	Ps	Pn/Ps	Dn	Ds	Dn/Ds	MK Test	NI	DoS	
A)											
HORMAD1	0.0901	43	10	4.3	5	12	0.4167	0.0002	10.32	-0.5172	Neg.
MEI4	0.7252	9	2	4.5	24	9	2.6667	0.7013	1.6875	-0.0909	_
REC114	0.3239	49	21	2.3333	11	14	0.7857	0.02949	2.9700	-0.2600	Neg.
IHO1	0.6608	72	28	2.5714	36	19	1.8947	0.4658	1.3571	-0.0645	_
SPO11	0.1434	62	28	2.2143	11	22	0.5000	0.0008	4.4286	-0.3556	Neg.
B)											
HORMAD2	0.295	50	16	3.125	7	9	0.7778	0.0177	4.0179	-0.3201	Neg.
MRE11	0.0392	139	48	2.8958	5	35	0.1429	>0.0001	20.2708	-0.6183	Neg.
NBS1	0.4155	119	58	2.0517	34	25	1.3600	0.2086	1.5086	-0.0960	_
RAD50	0.0714	168	55	3.0517	8	43	0.1860	>0.0001	16.4182	-0.5965	Neg.
BRCC3	0.0979	7	12	0.5833	2	6	0.3333	0.6758	1.7500	-0.1184	_
C)											
DMC1	0.000	43	25	1.72	0	11	0.0000	< 0.0001	_	-0.6324	Neg.
RAD51	0.000	27	29	0.9310	0	13	0.0000	0.0010	_	-0.4821	Neg.
SPATA22	0.4523	67	26	2.5769	21	10	2.1000	0.6535	1.2271	-0.0430	_
MEIOB	0.2462	45	17	2.6471	20	22	0.9091	0.0094	2.9118	-0.2496	Neg.
MCMDC2	0.2108	90	24	3.7500	16	26	0.6154	< 0.0001	6.0938	-0.4085	Neg.
D)											
REC8	0.477	90	45	2.000	38	31	1.2258	0.1264	1.6316	-0.1159	_
RAD21L	0.6334	21	6	3.500	27	13	2.0769	0.4176	1.6852	-0.1028	_
SYCP1	0.3676	122	60	2.033	33	37	1.2222	0.1204	1.6636	-0.1203	_
SYCP2	0.3676	246	87	2.8276	74	53	1.3962	0.0015	2.0252	-0.1561	Neg.
TEX12	0.1349	15	9	1.6667	2	4	0.5000	0.3598	3.3333	-0.2917	_
$\mathbf{E})$											
TEX11	0.9068	78	45	1.7333	55	25	2.200	0.4541	0.7879	0.05335	_
SHOC1	0.7225	227	72	3.1528	85	37	2.2973	0.2199	1.3724	-0.0625	_
RNF212	0.387	_	_	_	17	18	0.9444	_	_	_	_
RNF212B	0.2566	9	3	3.000	8	12	0.6667	0.0759	4.5000	-0.3500	
MSH4	0.2635	149	50	2.9800	24	29	0.8276	< 0.0001	3.6008	-0.2959	Neg.

\overline{Gene}	ω	Pn	Ps	Pn/Ps	Dn	Ds	Dn/Ds	MK Test	NI	DoS	
MSH5	0.2106	129	64	2.0156	19	33	0.5758	0.0001	3.5008	-0.3030	Neg.
F)											
MER3	0.3247	236	92	2.5652	54	44	1.2273	0.0029	2.0902	-0.1685	Neg.
CNTD1	0.6803	56	29	1.9310	13	8	1.6250	0.8001	1.1883	-0.0398	_
HEI10	0.3235	50	21	2.3810	4	5	0.8000	0.1417	2.9762	-0.2598	_
MLH1	0.0924	161	48	3.3542	9	29	0.3103	>0.0001	10.8079	-0.5335	Neg.
MLH3	0.4919	252	90	2.8	77	57	1.3509	0.0009	2.0727	-0.1622	Neg.
MUS81	0.1299	129	49	2.6327	17	40	0.4250	>0.0001	6.1945	-0.4265	Neg.

469 Acknowledgements

- 470 We thank Nathan Clark for assistance with evolutionary covariation rate analyses. A.L.D. was supported by
- NHGRI Training Grant to the Genomic Sciences Training Program 5T32HG002760. B.A.P. was supported
- by NIH grant NIH grant R01 GM120051.

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