

## DESIGN AND ANALYSIS OF ALGORITHMS.

### UNIT-1

The word Algorithm comes from the name of Persian Author Abu, Ja'far-mohammed ibn Musa al-Khowarizmi (825 AD) who wrote a book on Mathematics.

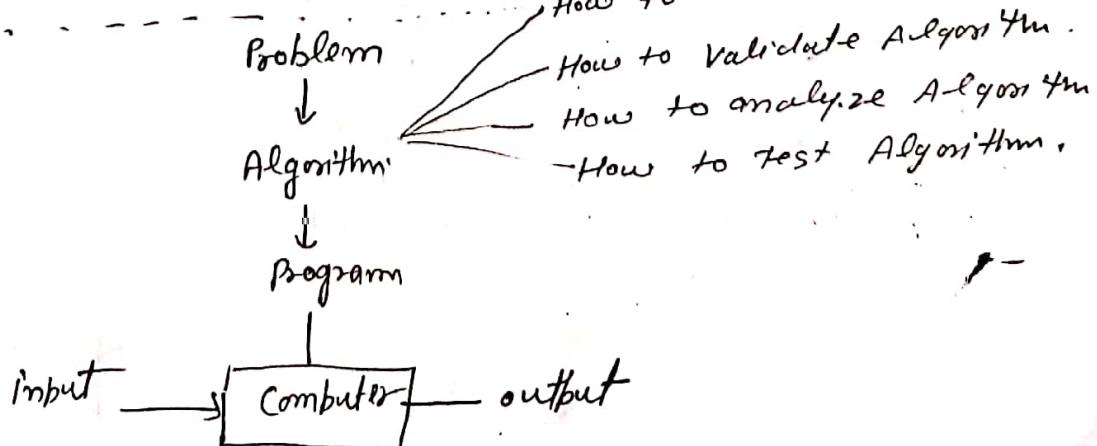
#### Definition:

- (i) An algorithm is a sequence of computational step that transform the input into output. (Thomas H. Cormen)
- (ii) An algorithm is a finite set of instructions that, if followed, accomplishes a particular task. (S. Saha)

Criteria for Algorithm: All algorithm must satisfy the following

#### Criteria:

- (i) Input: zero or more quantities are externally supplied.
- (ii) Output: At least one quantity is produced.
- (iii) Definiteness: Each instruction is clear and unambiguous.  
e.g. "S/O" is not permitted.
- (iv) Finiteness: Algorithm terminates after finite number of steps for all test cases.
- (v) Effectiveness: All operations to be performed must be sufficiently basic that they can be done exactly and in finite length.



## Why Study Algorithms (Main Issue to Algorithm)

1. How to design Algorithm: Divide and Conquer, greedy, dynamic prog. Backtracking, Branch & Bound, etc.
2. How to validate Algorithm: Once an algorithm is planned it is necessary to show that it computes the correct answer for all legal input into a finite number of steps.
3. How to analyze Algorithm: Time and space complexity.
4. How to test an Algorithm: Debugging and Profiling, Performance measurement

## Difference between Algorithm, Pseudocode, and Program

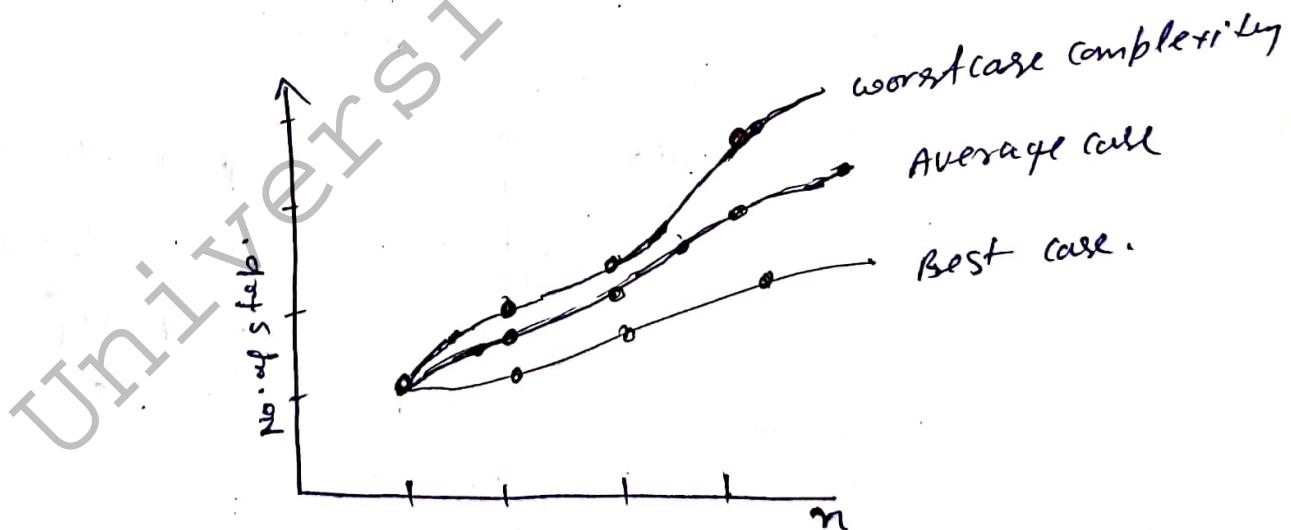
Algorithm	Pseudocode	Program
<p>Systematic logical approach to solve any problem.</p> <p>it can be expressed using Natural language.</p> <p>e.g Linear search</p> <ol style="list-style-type: none"> <li>1. start from leftmost element of arr[] and one by one compare <del>with</del> x with each element of arr[].</li> <li>2. if x matches with an element return index.</li> <li>3. if x doesn't match with any element return -1.</li> </ol>	<p>It is simple version of programming code in plain English, which uses to write code before it implemented in specific PL</p> <p>e.g linear search</p> <pre> FUNCTION linearSearch(list, item)   FOR index from 0 to length(list)     IF list[index] == item THEN       RETURN index     END IF   END LOOP   RETURN -1 END FUNCTION </pre>	<p>it is exact code written for problem in programming language.</p> <p>e.g linear search in C</p> <pre> int search(int arr[], int            int x) {   int i;   for (i = 0; i &lt; n; i++)     if (arr[i] == x)       return i;   return -1; } </pre>

## Analysis of Algorithm

Analysis of an algorithm is requisite to decide the correctness and measure the efficiency of Algorithm. The efficiency of algorithm depends on two factors amount of computer memory and time required for successful execution of the algorithm.

Generally we perform following types of analyses.

1. Worst Case: Maximum number of steps taken on any instance of size 'n'.
2. Best case: Minimum number of steps taken on any instance of size 'n'.
3. Average Case: An average number of steps taken on any instance of size 'n'.



Example: Complexity of Bubble Sort

Best  
 $O(n)$

Avg -  
 $O(n^2)$

worst  
 $O(n^2)$

## Complexity of Algorithm

1. Time Complexity: The time complexity of an algorithm is the amount of computer time it needs to run to completion.

Rules for calculating time complexity:-

1. Loop:  $\text{for } i \leftarrow 1 \text{ to } n$   
 $S \leftarrow S + A[i]$

$$\text{computation time} = \sum_{i=1}^n 1 = \Theta(n)$$

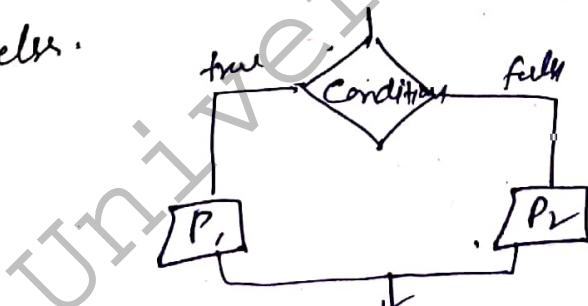
2. Nested Loops:  $\text{for } i \leftarrow 1 \text{ to } m$   
 $\quad \text{for } j \leftarrow 1 \text{ to } m$   
 $\quad \quad S \leftarrow S + A[i][j]$

$$= \sum_{i=1}^m m = \Theta(m^2)$$

3. Consecutive Statement:

$$\begin{array}{c} \boxed{P_1} \quad t_1 = \Theta(n) \\ \downarrow \\ \boxed{P_2} \quad t_2 = \Theta(n^2) \\ t_1 + t_2 = \max(t_1, t_2) \\ = \Theta(n^2) \end{array}$$

4. if else:



$$\begin{aligned} P_1 &\rightarrow t_1 = \Theta(n) \\ P_2 &\rightarrow t_2 = \Theta(n^2) \\ t_3 &= \max(t_1, t_2) \\ &= \Theta(n^2) \end{aligned}$$

5. while Loops:

$\text{while } (n > 0)$   
 $\quad \quad \quad \left\{ \begin{array}{l} i \leftarrow i + 1 \\ n \leftarrow n / 2 \end{array} \right.$   
 $\quad \quad \quad \}$   
 $\quad \quad \quad \Theta(\log n)$

$\text{while } (n > 0)$   
 $\quad \quad \quad \left\{ \begin{array}{l} i \leftarrow i + 1 \\ n \leftarrow n - 1 \end{array} \right.$   
 $\quad \quad \quad \}$   
 $\quad \quad \quad \Theta(n)$

## 6- Recursion:

Factorial (n)

1. if  $n \leq 1$
2. return 1
3. else
4. return  $n * \text{factorial}(n-1)$

$T_C =$

$O(n)$

Fib (n)

1. if  $n \leq 1$
2. return 1
3. else
4. return  $\text{Fib}(n-1) + \text{Fib}(n-2)$

$$T(n) = T(n-1) + T(n-2) + 2$$

## Example (Solved)

Algo sum(a, n)

{

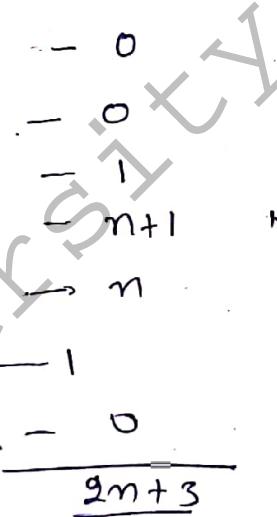
$S = 0.0$

for  $i = 1$  to  $n$  do

$S = S + a[i]$

return  $S$

}



No. of time execution.

$$T_{sum} \approx O(n)$$

Product ( $a[1 \dots m, 1 \dots n]$ ), ( $b[1 \dots n, 1 \dots p]$ )

1. for  $i \leftarrow 1$  to  $m$  do

    for  $j \leftarrow 1$  to  $p$  do

$C[i, j] \leftarrow 0$

        for  $k \leftarrow 1$  to  $n$  do

$C[i, j] \leftarrow C[i, j] + a[i, k] * b[k, j] \rightarrow m \cdot p \cdot n$

    return  $C[1 \dots m, 1 \dots p]$

$\rightarrow m+1$

$\rightarrow m(p+1)$

$\rightarrow mp$

$\rightarrow m \cdot p \cdot (n+1)$

$\rightarrow m \cdot p \cdot n$

$$T_{min, p} = O(mnp)$$

$$T_{min, p} = O(m \cdot mp)$$

## Space Complexity:

Space complexity of an algorithm is the amount of memory needed to run the algorithm to completion.

$$\text{Space Complexity} = \text{Auxiliary space} + \text{Input space.}$$

$$S(P) = S_p + C$$

instance characteristics.

e.g.

Algo abc (a, b, c)

$$\{ \quad \text{return } a+b+b*c + (a+b-c) / a+b + 4 \cdot 0$$

}

$$S(P) = 0 + 3 \text{ (word)}$$

e.g.

Algo sum(a, n)

$$\{ \quad S := 0$$

for i := 1 to n do

$$S := S + a[i]$$

return S

}

$$S_p = n + 3$$

/

a[.]

n, i, S

## Asymptotic Notation

Asymptotic Notation are mathematical tool to represent complexity in term of notation for time and space. There are following asymptotic Notation used in Analysis of algo.

1. Big-oh ( $O$ ) - upper bound or worst case.

the function  $f(n) = O(g(n))$  iff there exist positive constant  $c$  and  $n_0$  such that  $f(n) \leq c g(n)$  for all  $n, n \geq n_0$ .

$$f(n) = 3n + 2 \quad g(n) = n$$

$$\underline{f(n) = O(g(n))}$$

$$f(n) \leq c g(n), \quad c > 0, \quad n_0 \geq 1$$

$$3n+2 \leq cn, \quad c = 4$$

$$3n+2 \leq 4n, \quad n \geq 2$$

$$f(n) = 3n+2 \quad g(n) = n$$

$$f(n) = O(g(n)) \Leftarrow$$

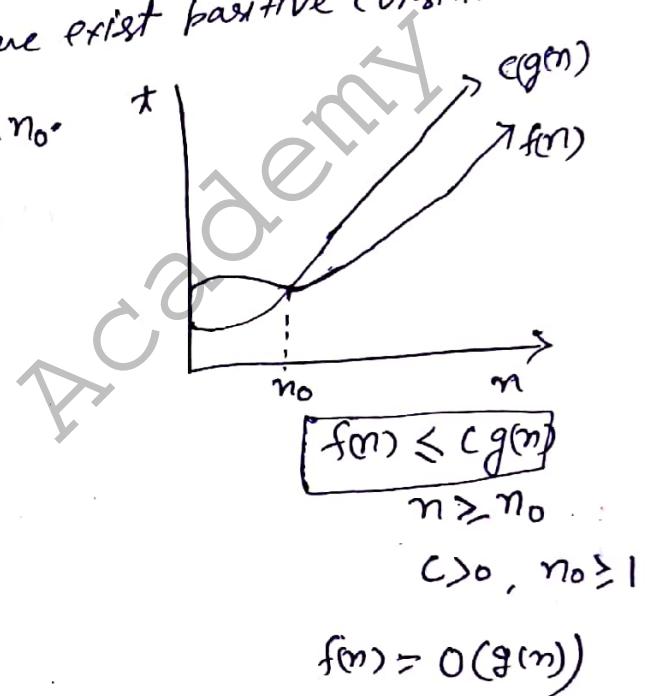
2. Big Omega ( $\Omega$ ) : lower bound or best case.

$$f(n) = 3n+2 \quad g(n) = n$$

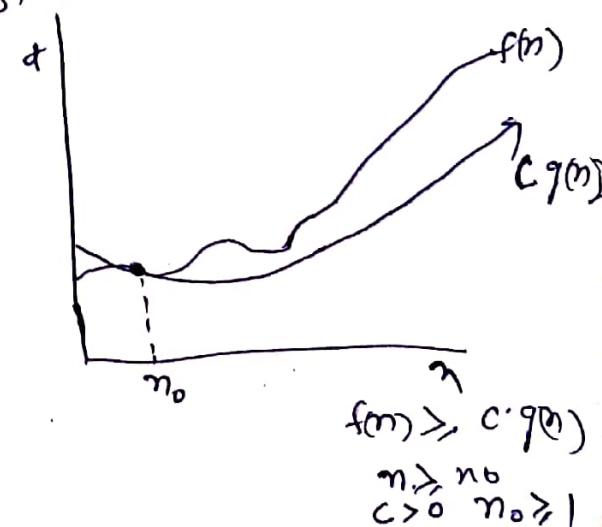
$$f(n) \geq c g(n)$$

$$3n+2 \geq cn$$

$$3n+2 \geq 3n, \quad c = 3, \quad n \geq 1$$



$$f(n) = O(g(n))$$



Big theta  $\Theta$ : Average case or tight bound  
 the function  $f(n) = \Theta(g(n))$  iff there exist positive constant  $c_1, c_2$   
 and  $n_0$  such that  $c_1 g(n) \leq f(n) \leq c_2 g(n)$  for all  $n, n > n_0$

$$f(n) = \Theta(g(n))$$

$$c_1 g(n) \leq f(n) \leq c_2 g(n)$$

$$c_1, c_2 > 0$$

$$n > n_0$$

$$n_0 \geq 1$$

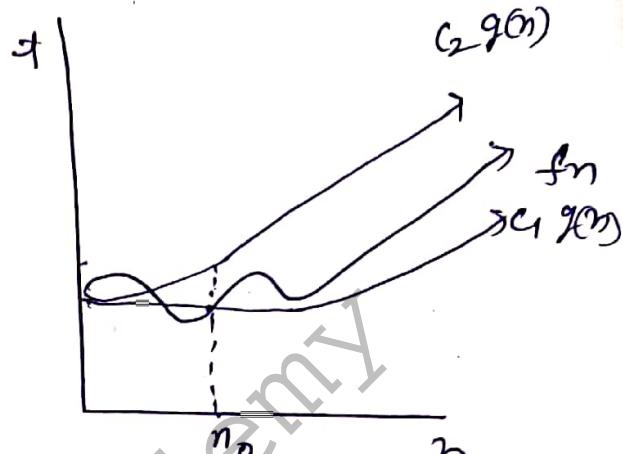
$$f(n) = 3n+2 \quad g(n) = n$$

$$f(n) \leq c_2 g(n)$$

$$3n+2 \leq 4n \quad n \geq 1$$

$$f(n) \geq c_1 g(n)$$

$$3n+2 \geq 3n \quad n \geq 1$$



(b)

Recurrences when an algo contain a recursive call to itself if running time can be described by recurrence equation. A recurrence is an equation that describe a function in term of its value on smaller input.

To solve a recurrence relation need to obtain a function defined on the natural number that satisfy the recurrence:

e.g. Recurrence Relation of MERGE SORT is

$$T(n) = \begin{cases} 1 & \text{if } n=1 \\ 2T(n/2) + n & \text{if } n>1 \end{cases}$$

①

void fun(int n)  $T(n)$

```

{
  if (n > 0)
  {
    point(n);
    fun(n-1);
  }
}
```

$$\therefore T(n) = T(n-1) + 1$$

$$T(n) = \begin{cases} 1 & n=0 \\ T(n-1) + 1 & n>0 \end{cases}$$

2. void A(n)

```

{
  if (n > 0)
  {
    for (i=0; i<n; i++)
    {
      point(n);
    }
    A(n-1);
  }
}
```

$$\begin{array}{c}
 \uparrow & & 1 \\
 & \rightarrow & n+1 \\
 & \rightarrow & n \\
 \uparrow & & T(n-1)
 \end{array}$$

$$T(n) = \begin{cases} 1 & n=0 \\ T(n-1) + n & n>0 \end{cases}$$

$$T(n) = T(n-1) + 2n + 2$$

3-  $Fib(n)$

if ( $n \leq 1$ )  
    return 1  
else  
    return  $Fib(n-1) + Fib(n-2)$

!  $T(n-1) + T(n-2) + 1$

=  $\begin{cases} 1 & \text{if } n \leq 1 \\ T(n-1) + T(n-2) + 2 & \text{if } n > 1 \end{cases}$

There are mainly three way to solving Recurrences.

- 1- Substitution method
  - 2- Recurrence Tree method
  - 3- Master method.

i- Substitution method. (Back Substitution method)

$$T(n) \begin{cases} 1 & n=0 \\ T(n-1) + 1 & n > 0 \end{cases}$$

$$T(m) = T(m-1) + 1$$

$$T(M) = T(M-1) + 1$$

$$T(n-1) = T(n-2) + 1$$

$$T(n-2) = T(n-3) + 1$$

substitute  $T(n-1)$

$$T(m) = T(m-2) + 1 +$$

$$T(n) = T(n-2) + 2$$

$$T(m) = T(m-3) + 3$$

11 11 11 . K

$$T(n) = T(n-1) + 1$$

Assume that  $n - k = 0$

$$T(n) = T(n-n) + \gamma \quad n = k$$

$$\stackrel{2}{=} T(0) + n$$

$$= \frac{1+n}{n} = O(n) \cdot \infty$$

$$\Rightarrow T(m) = \begin{cases} 1 & n=0, \\ T(m-1) + n & n>0 \end{cases}$$

$$T(n) = T(n-1) + n \quad \dots \quad (1)$$

$$T(m) = T(m-1) + m$$

$$T(n) = T(n-2) + n-1$$

$$T(n-2) = T(n-3) + n-2$$

$$T^{(n)} = + [T^{(n-2)} + n-1] + n$$

$$= T(n-2) + (n-1) + n$$

$$= \left[ T \cdot (n-3) + (n-2) \right] + (n-1) + n \quad \text{--- (ii)}$$

$$= T(n-3) + (n-2) + (n-1) + n \dots (17)$$

$$= T(n-k) + (n-(k-1)) + (n-(k-2)) + \dots + (n-1) + n$$

Assume  $n-k=0$

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$$= T(m-n) + (n-n+1) + (n-n+2) + \dots + n-1 + n$$

$$= T(0) + 1 + 2 + \dots + (n-1) + n$$

$$= 1 + \frac{n(m+1)}{2} = O(m^2),$$

3

$$T(n) = \begin{cases} 1 & n=1 \\ 2T(n/2) + n & n>1 \end{cases}$$

$$T(n) = 2T(n/2) + n \quad \dots (1)$$

void fun(n)

{

if (n&gt;1)

{

n. [for i<0 to n do  
statement;

$$T(n) = 2T(n/2) + n$$

T(n/2) fun(n/2);

$$T(n/2) = 2T(n/2/2) + \frac{n}{2}$$

T(n/2) fun(n/2);

$$T(n/2) = 2T(n/2/3) + \frac{n}{2/2} \quad \}$$

$$T(n) = 2 \left[ 2T(n/2/2) + \frac{n}{2} \right] + n$$

$$T(n) = 2T(n/2) + n$$

$$= 2^2 T\left(\frac{n}{2^2}\right) + n + n$$

$$= 2^2 \left[ 2T\left(\frac{n}{2^3}\right) + \frac{n}{2^2} \right] + 2n \quad \dots (2)$$

$$= \underbrace{\dots}_{-}$$

$$= 2^3 T\left(\frac{n}{2^3}\right) + 3n \quad \dots (3)$$

$$\vdots \quad \quad \quad \downarrow$$

$$= 2^K T\left(\frac{n}{2^K}\right) + K n$$

Assume:  $T\left(\frac{n}{2^K}\right) = T(1)$ 

$$\frac{n}{2^K} = 1 \quad n = 2^K$$

$$K = \log n$$

$$T(n) = 2^K T(1) + K n$$

$$= n \cdot 1 + K n$$

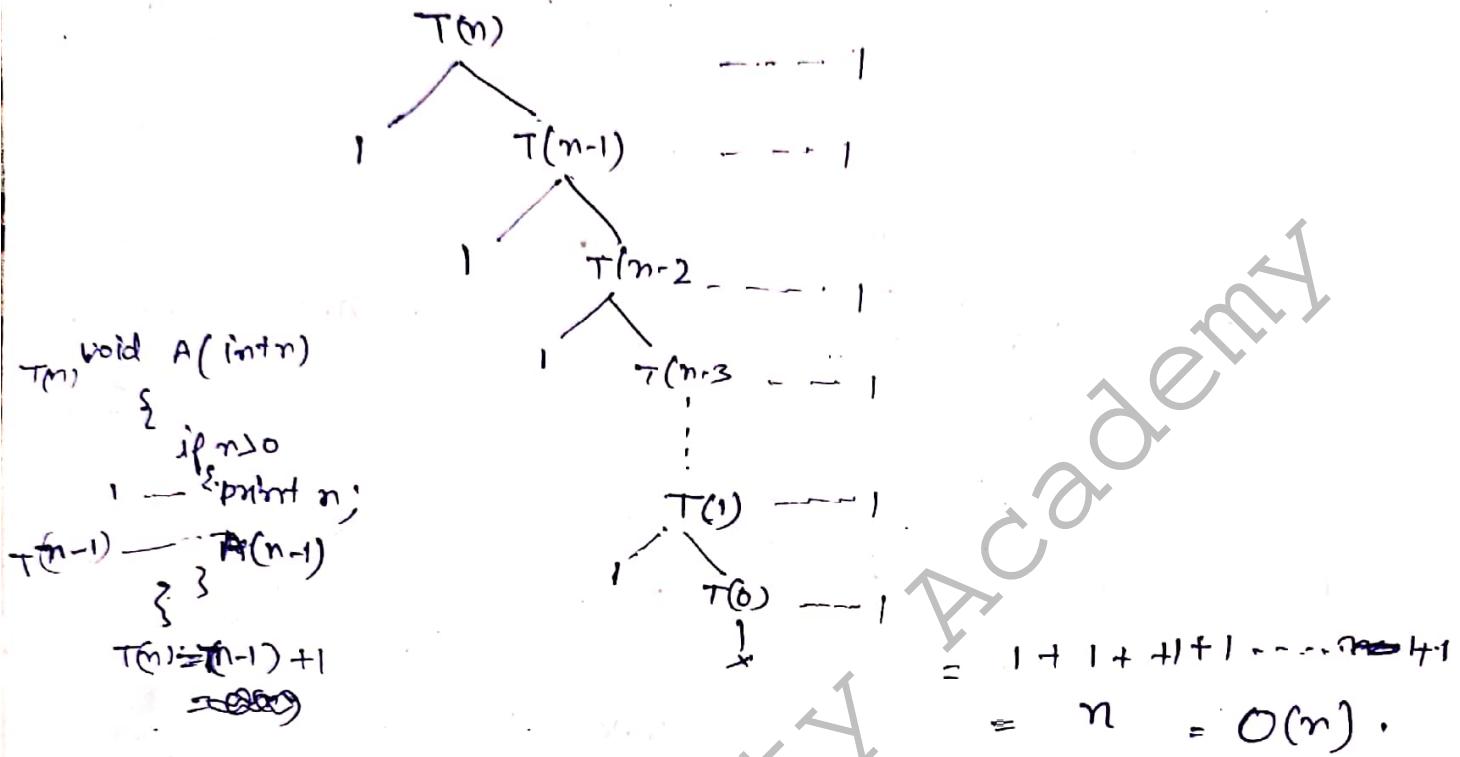
$$= n + n \log n$$

$$= \Theta(n \log n)$$

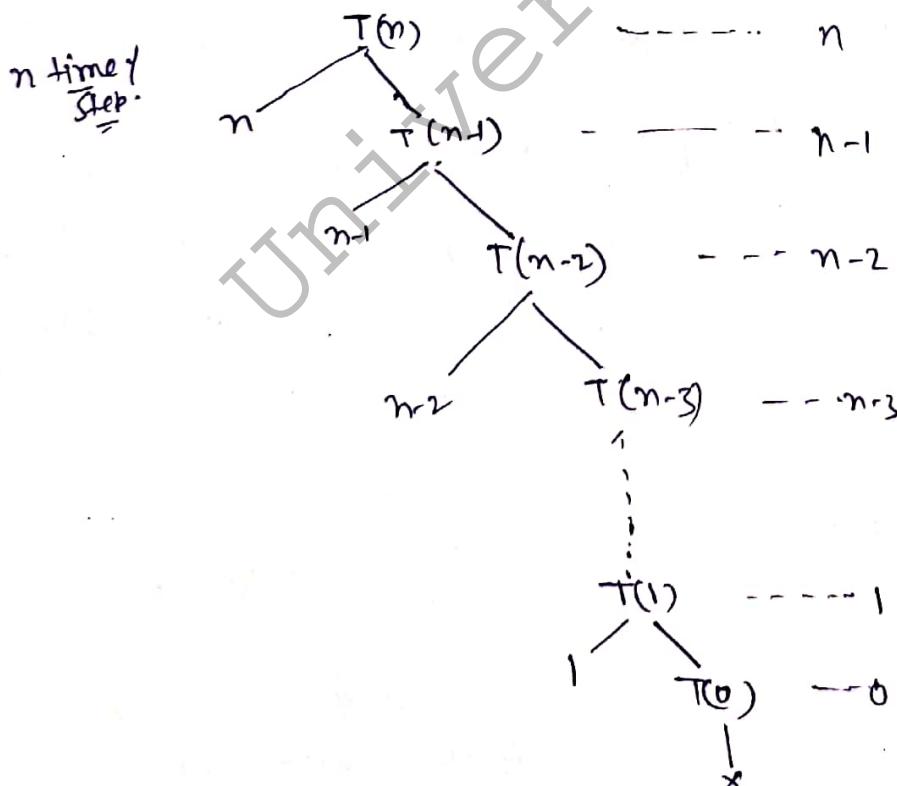
## Recurrence Tree Method.

$$\text{I- } T(n) = \begin{cases} 1 & n=0 \\ T(n-1) + 1 & n > 0 \end{cases}$$

$T(3)$

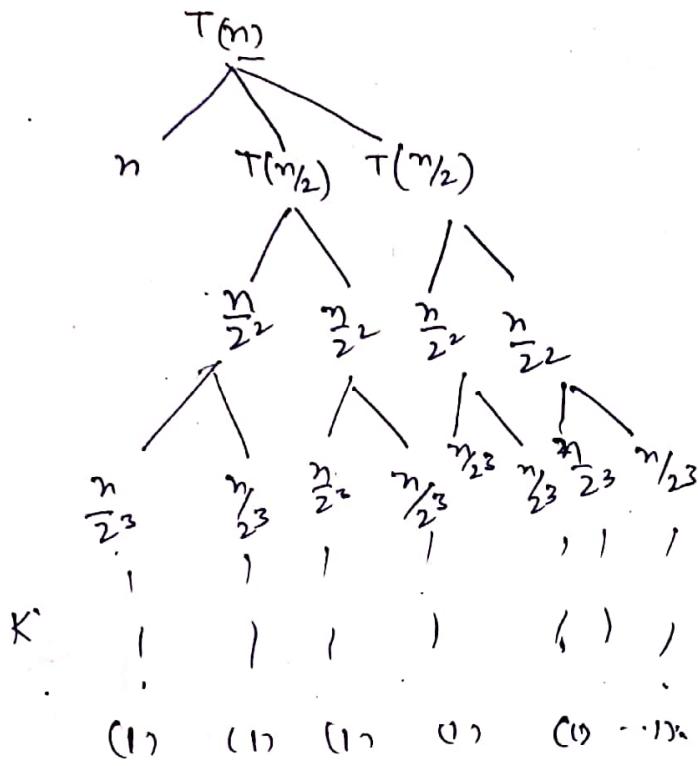


$$\text{II- } T(n) = \begin{cases} 1 & n=0 \\ n & n > 0 \end{cases}$$



3

$$T(n) = \begin{cases} 1 & n=1 \\ 2T(n/2) + n & n>1 \end{cases}$$



Answers:

$$\frac{n}{2^K} = 1$$

$$n = 2^K$$

$$K = \log n$$

$$n \cdot K \leq n \log n$$

$$= O(n \log n)$$

=

$$\frac{n}{2^K} = n$$

### Master Method:

The master method for solving recurrences of the form

$$T(n) = aT(n/b) + f(n) \text{ where}$$

$a \geq 1, b > 1$  are constant

$f(n)$  is asymptotically positive function.

$\frac{n}{b}$  means either  $\lfloor \frac{n}{b} \rfloor$  or  $\lceil \frac{n}{b} \rceil$

$T(n)$  can be bounded asymptotically as follows:

1. if  $f(n) = O(n^{\log_b a - \rho})$  for some constant  $\rho > 0$  then  $T(n) = \Theta(n^{\log_b a})$
2. if  $f(n) = \Theta(n^{\log_b a})$  then  $T(n) = \Theta(n^{\log_b a} \log n)$
3. if  $f(n) = \Omega(n^{\log_b a + \rho})$  for some constant  $\rho > 0$  and if  $a f(n/b) \leq c f(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$

~~$f(n) \geq n^{\log_b a}$~~

$$T(n) = 9T\left(\frac{n}{3}\right) + n$$

$$a = 9, b = 3 \quad f(n) = n$$

$$n^{\log_b a} = n^{\log_3 9} = \Theta(n^2)$$

hence  $f(n) = \Theta(n^{\log_3 9 - p})$  where  $p = 1$

we can apply case 1 so selection is

$$T(n) = \Theta(n^2)$$

(2)  $T(n) = T\left(\frac{2n}{3}\right) + 1$

$$a = 1, b = 3 \quad f(n) = 1$$

$$n^{\log_b a} = n^{\log_3 1} = n^0 = 1$$

~~hence  $f(n) = \Theta(n^{\log_3 1}) = \Theta(1)$~~

we can apply case 2

$$T(n) = \Theta(\log n)$$

$$= \Theta(\log n) = \Theta(\log n)$$

(3)  $T(n) = 3T\left(\frac{n}{4}\right) + n^{\log 3}$

$$a = 3, b = 4 \quad f(n) = n^{\log 3}$$

$$n^{\log_b a} = n^{\log_4 3} = \Theta(n^{0.793})$$

hence  $f(n) = \sqrt{2}(n^{\log_4 3} + p) = n^{\log 3}$

case 3 Applied if we can show that when  $p \approx 0.2$

$$a f(\frac{n}{b}) \leq c f(n) \text{ for } c < 1$$

$$3\left(\frac{n}{4}\right)^{\log_4 3} \leq \frac{3}{4} n^{\log_4 3} \quad \Rightarrow c = \frac{3}{4}$$

hence case 3  $T(n) = \Theta(n^{\log 3})$

Q. Show that solution to the Binary Search Recurrence  
 $T(n) = T\left(\frac{n}{2}\right) + O(1)$  is  $T(n) = \Theta(\log n)$  by using  
master method.

Q.

Q. Solved the following Recurrences by master method,

(i)  $T(n) = 2T\left(\frac{n}{2}\right) + n^3$  (Ans 3)

(ii)  $T(n) = T\left(\frac{9n}{10}\right) + n$  (Ans 3)

(iii)  $T(n) = 16T\left(\frac{n}{4}\right) + n^2$  (Ans 2)

Q. Consider the following recurrence tree using recursion tree method

$$T(n) = T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + n$$

## Sorting Algorithms

1. Bubble Sort
2. Selection Sort
3. Insertion Sort
4. Shell Sort
5. Quick Sort
6. Merge Sort
7. Heap Sort

### 1. Bubble Sort $\rightarrow$

input: a non-sorted list of  $n$  numbers  $a_1, a_2, \dots, a_n$

for  $i \leftarrow n$  to 1

for  $j: 1$  to  $i-1$

if  $a_j > a_{j+1}$  then

{ swap

$t \leftarrow a_j$

$a_j \leftarrow a_{j+1}$

$a_{j+1} \leftarrow t$

}

Complexity

worst case:

$$(n-1) + (n-2) + (n-3) \dots + 3 + 2 + 1$$

$$\text{sum} = \frac{n(n-1)}{2} = O(n^2)$$

Average case:  $O(n^2)$

Best case: when the list is already sorted.

$O(n)$

Space complexity:  $O(1)$

because only single additional space is required for temporary variable.

## 2. Selection Sort:

```
function selection-sort(A, n)
```

```
for i = 1 to n-1 do
```

```
    min ← i
```

```
    for j = i+1 to n do
```

```
        if A[j] < A[min] then
```

```
            min ← j
```

```
        end if
```

```
    end for
```

```
    swap(A[i], A[min])
```

```
end for
```

```
end function.
```

### Analysis of Selection Sort.

worst case =  $O(n^2)$

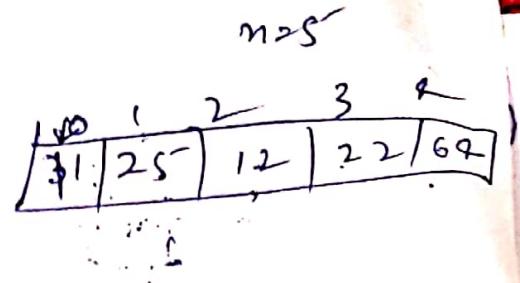
Avg =  $O(n^2)$

Best =  $O(n^2)$

Space =  $O(1)$

The selection sort algorithm sorts an array repeatedly finding minimum element from unsorted part and putting it at the beginning.

64	25	12	22	11
14	28	64	22	64



for ( $i=1$  to  $n-1$   $i++$ )

{ if  $min = i$

for ( $j=i+1$  to  $n$   $j++$ )

{ if  $a(j) < a[min]$

{  $min = j$

{ if  $min != i$

{ swap( $a(i)$ ,  $a[min]$ )

3.

Insertion- $Sort(n)$ 

{

for  $J=2$  to  $A.length$  $key = A[J]$  // insert  $A[J]$  into sorted sequence :  $A[1 \dots J-1]$  $i = J-1$ while ( $i > 0$  and  $A[i] > key$ ) $A[i+1] = A[i]$  $i = i-1$  $A[i+1] = key$ 

{

1	2	3	4	5	6	7	8	9
9	6	5	0	8	2	7	1	3

i = 0, j = 1

key = 6

6	9	5	0	8	2	7	1	3
i	↑	J						

key = 5

 $i = 3-1 = 2$ Analysis

comparisons + movement

5 6 9

$J=2 \quad 1+1=2$

2(1)

$J=3 \quad 2+2=4$

2(2)

$J=4 \quad 3+3=6$

2(3)

$J=5 \rightarrow 4+4=8$

2(5-1) = 2(4)

$n \quad (n-1) + (n-1) = \cancel{2} \cdot 2(n-1)$

$2(1) + 2(2) + \dots + 2(n-1)$

2(1)

2(2)

2(3)

2(4)

2(5)

2(6)

2(7)

2(8)

2(9)

2(10)

2(11)

2(12)

2(13)

2(14)

2(15)

2(16)

2(17)

2(18)

2(19)

2(20)

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```

for (i=0; i<n-1; i++)
{
    for (j=0; j<n-1; j++)
    {
        if (a[j] > a[j+1])
        {
            temp = a[j]
            a[j] = a[j+1]
            a[j+1] = temp
        }
    }
}

```

5	4	3	2	1
0	1	2	3	4

$i=0$  1<sup>st</sup> element  
 $i=1$  2<sup>nd</sup> element  
 $i=2$  3<sup>rd</sup> element  
 $i=3$  4<sup>th</sup> element

```

for (i=0; i<n-1; i++)
{
    min = i;
    for (j=i+1; j<n; j++)
    {
        if (a[j] < a[min])
        {
            min = j;
        }
    }
    temp = a[i];
    a[i] = a[min];
    a[min] = temp;
}

```

Shell Sort

77	62	19	9	30	21	80	25	70	55
----	----	----	---	----	----	----	----	----	----

$$N=10$$

$$\text{gap (Pass1)} = \text{floor}(N/2) \\ = \text{floor}(10/2)$$

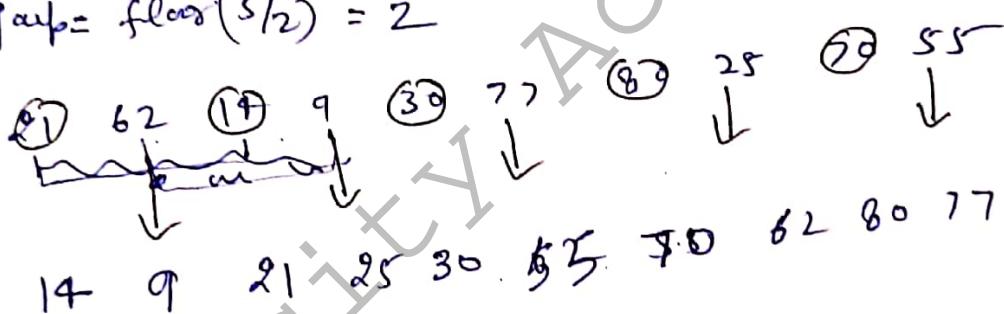
Pass1



$\rightarrow 21 \ 62 \ 14 \ 9 \ 30 \ 77 \ 80 \ 25 \ 70 \ 55$

Pass2

$$\text{gap} = \text{floor}(5/2) = 2$$



Pass3

$$\text{gap} = \text{floor}(2/2) = 1$$

$14 \ 9 \ 21 \ 25 \ 30 \ 55 \ 62 \ 70 \ 77 \ 80$

ShellSort (int arr[], int n)

{ for (gap =  $\lfloor n/2 \rfloor$ ; gap > 0; gap = gap/2)

{ for (j = gap; j < n; j++)

{ temp = arr[i]

for (j = i; j >= gap && arr[j - gap] > temp;

$j = j - \text{gap}$

{ arr[j] = arr[j - gap];

arr[j] = temp;

Best case =  $n \log n$

Worst case =  $n \log^2 n$

Avg =  $n \log^2 n$

Time  $(n)$  to  $n^2$

Space =  $O(1)$

=

0	1	2	3	4	5	6	7	8	9	10	11	12
25	30	10	90	72	77	87	91	67	85	38	47	56

$$i = 7$$

$$\text{temp} = 91$$

$$j = 7$$

$$n = 13$$

$$\text{gap} = \frac{n}{2} = 6$$

$$i = \text{gap} = 6$$

$$i = 10$$

$$\text{temp} = 38$$

$$\text{temp} = 92 \text{ & } [i] = 87$$

$$j = 6$$

$$A[6] = \text{temp} = 87$$

25 30 10 70 38 47 87 91 67 85 72 77

#

$$i = 12$$

$$\text{temp} = 56$$

$$j = 12$$

56

87

12	34	54	2	3	4	3
0	1	2	3	4	5	6

Pass 1

$$i = \text{gap} = 2$$

$$\text{temp} = 54$$

$$j = 2$$

$$A[2] = 0[2] = 54$$

$$n = 5$$

$$\text{gap} = 2$$

12	2	54	34	3	4	3
0	1	2	3	4	5	6

$$i = 4$$

$$\text{temp} = 3$$

Pass 2

$$j = 4$$

12	2	3	34	54
3	2	12	34	54

Ans

0	1	2	3	4
3	2	12	34	54

$$gap = gap/2 = 11$$

$$d=1$$

$$temp = 2$$

$$j=1$$

2 3 12 34 54

$$j=2$$

$$temp = 12$$

$$j=2$$

2 3 12 34 54

$$j=3$$

$$temp = 34$$

Quick Sort Quicksort is based on divide and conquer paradigm. There are three steps: divide-and-conquer process for sorting an array  $A[p \dots r]$ .

1. Divide: Partition the array  $A[p \dots r]$  into two subarrays  $A[p \dots q-1]$  and  $A[q+1 \dots r]$  such that  $A[p \dots q-1] \leq A[q] \leq A[q+1 \dots r]$ .

2. Conquer: Sort the two subarrays  $A[p \dots q-1]$  and  $A[q+1 \dots r]$  by recursive call.

3. Combine: ~~Entire Array~~  $A[p \dots r]$  is now sorted.

Algo

Quicksort( $A, p, r$ )

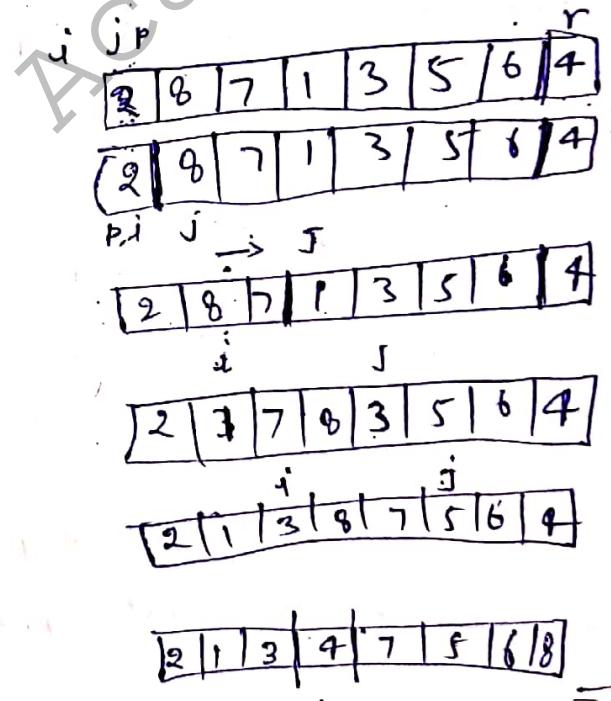
1. if  $p < r$
2.  $q = \text{partition}(A, p, r)$
3. Quicksort( $A, p, q-1$ )
4. Quicksort( $A, q+1, r$ )

partition( $A, p, r$ )

1.  $x = A[r]$
2.  $i = p-1$
3. for  $j = p$  to  $r-1$
4. if  $A[j] \leq x$
5.  $i = i+1$
6. exchange  $A[i]$  with  $A[j]$
7. exchange  $A[i+1]$  with  $A[r]$
8. return  $i+1$

Best case:  $T(n) = T(n/2) + T(n/2) + n$

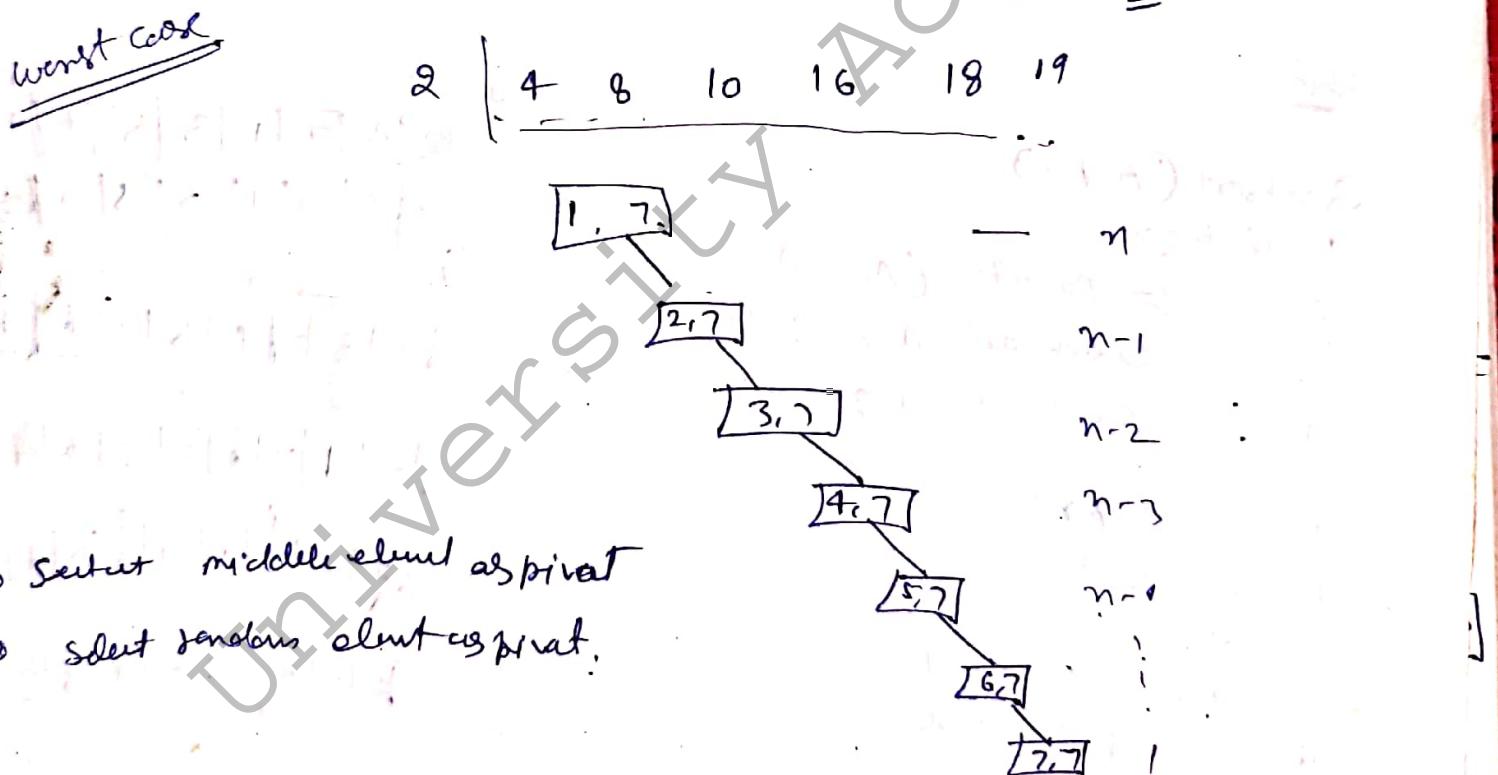
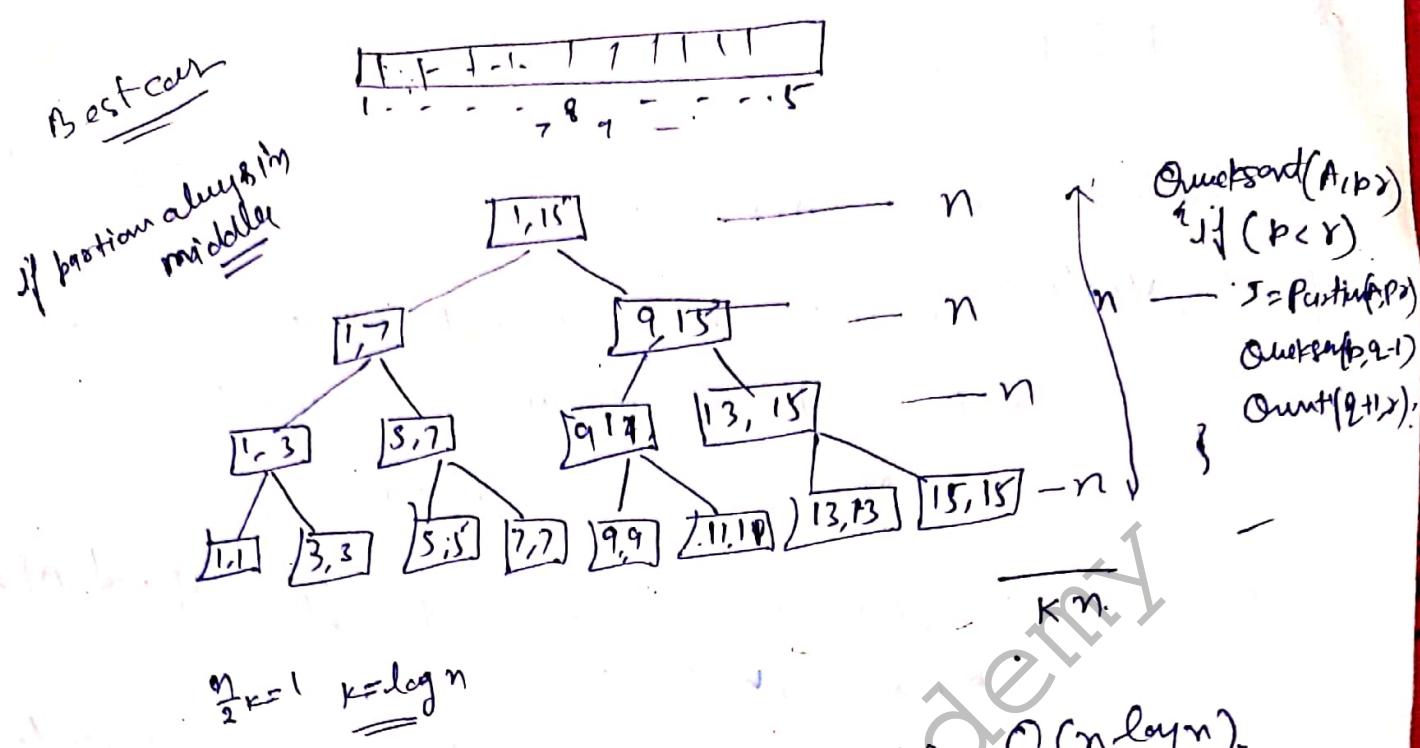
$$\begin{aligned} \text{by master method} \quad &= 2T(n/2) + \cancel{2T(n/2)} + n \\ &= O(n \log n) \end{aligned}$$



Analyze!

Time  $T(n) = \text{Partition cost} + \text{Recursive call}$ .

$$\begin{aligned} \text{worst case} \quad T(n) &= T(n-1) + T(0) + O(n) \\ &= T(n-1) + 1 + O(n) \\ &= T(n-1) + n \\ &\text{by substitution method} \\ &= O(n^2) \end{aligned}$$



Space

Stack: Best case:  $O(\log n)$   
 Worst case:  $O(n)$

$$\frac{n(n-1)}{2} = O(n^2)$$

## Merge Sort

MERGE-SORT( $A, p, r$ )

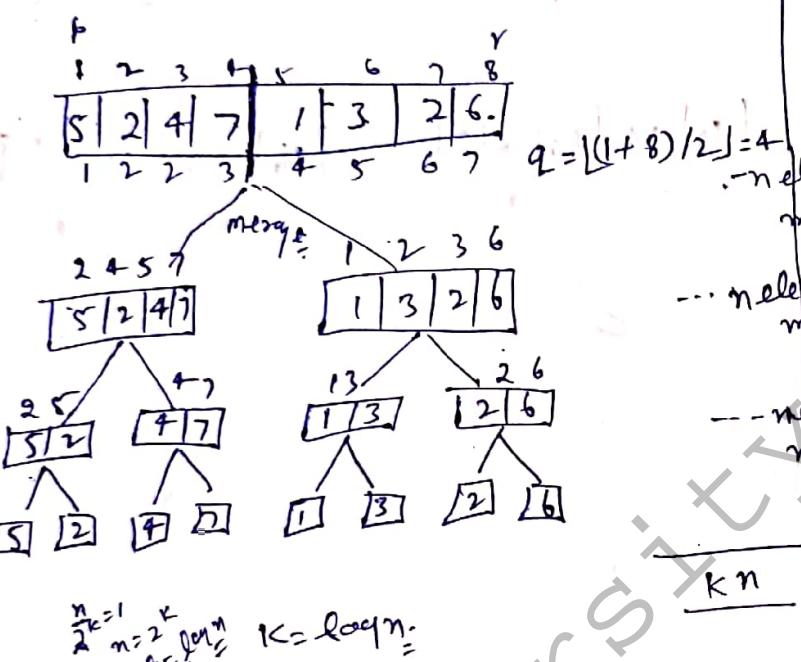
if ( $p < r$ )

$q = \lfloor (p+r)/2 \rfloor$  // mid.

    MERGE-SORT( $A, p, q$ )

    MERGE-SORT( $A, q+1, r$ )

    MERGE( $A, p, q, r$ )



$A = [2|4|5|7|1|2|3|6]$

$$n_1 = 4 - 1 + 1 = 4$$

$$n_2 = 8 - 4 = 4$$

$L = [2|4|5|7|0]$

$R = [1|2|3|6|00]$

$[1|2|3|4|5|6|7|]$

$[2|4|5|7|0]$

$[1|2|3|6|0]$

## Analysis

$$T(n) = \begin{cases} 1 & \text{if } n=1 \\ 2T(n/2) + m & \text{if } n \neq 1 \end{cases}$$

$$T(n) = \mathcal{O}(n \log n)$$

$$O(n \log n)$$

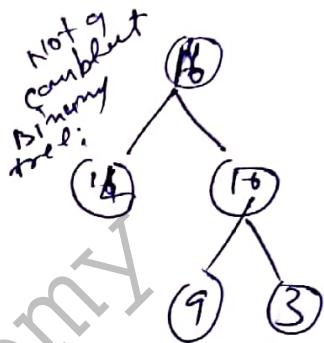
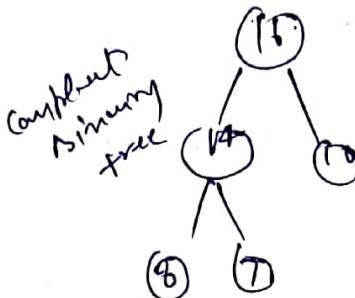
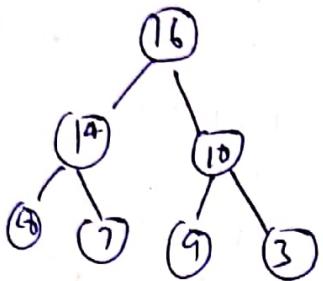
Space complexity:

Heap Sort =

Binary tree and its Array Representation  
• Full Binary + Complete Binary tree  
• not a complete Binary tree

Array Representation of B.T.

Full  
Binary tree  
complete  
Binary tree



16	14	10	8	7	9	3
1	2	3	4	5	6	7

10	14	16	8	7
1	2	3	4	5

16	14	10	8	7	9	3
1	2	3	4	5	6	7

if a node at  $i$

left child at  $2i$

right child at  $2i+1$

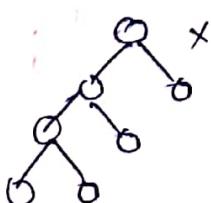
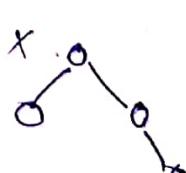
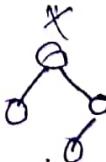
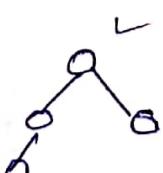
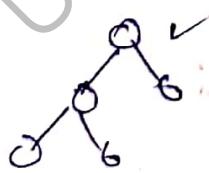
parent of  $i$  at  $\lfloor \frac{i}{2} \rfloor$

Binary tree

of height  $h$  the  $2^{h+1}$  element in full, Binary tree:

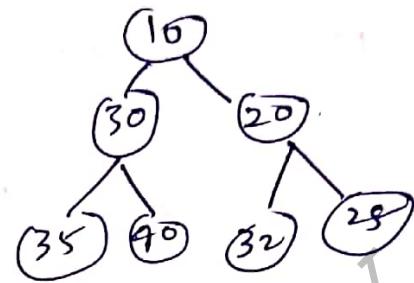
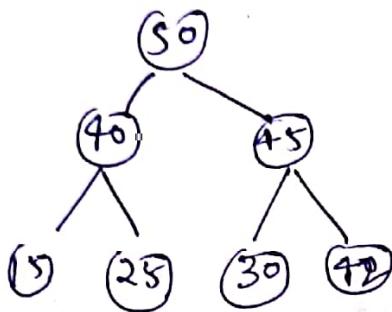
complete binary tree - No Blank cells in Array Representation

e.g.

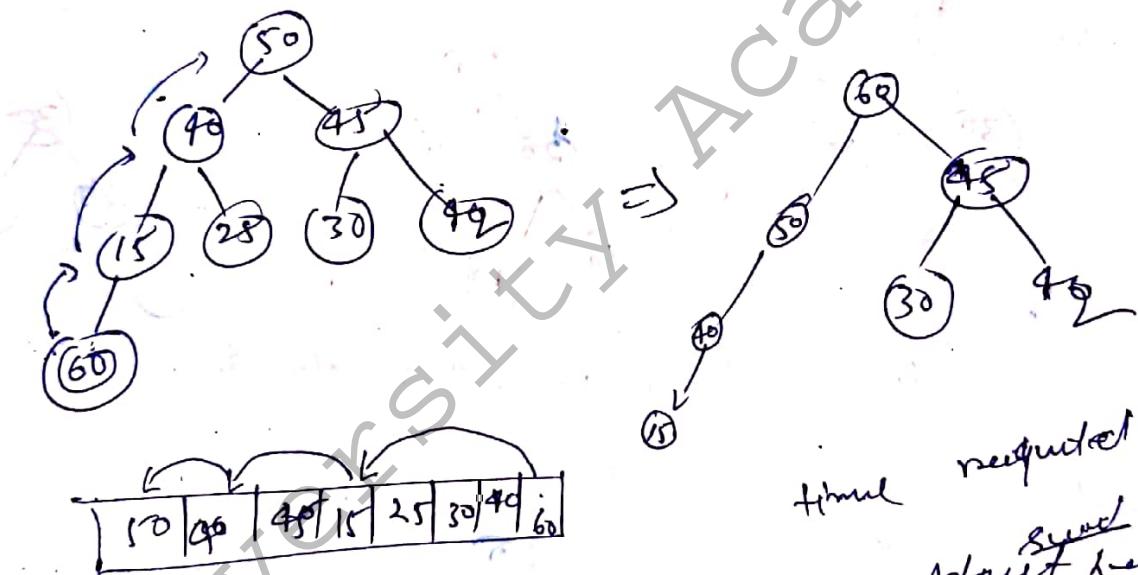


leaf node problem

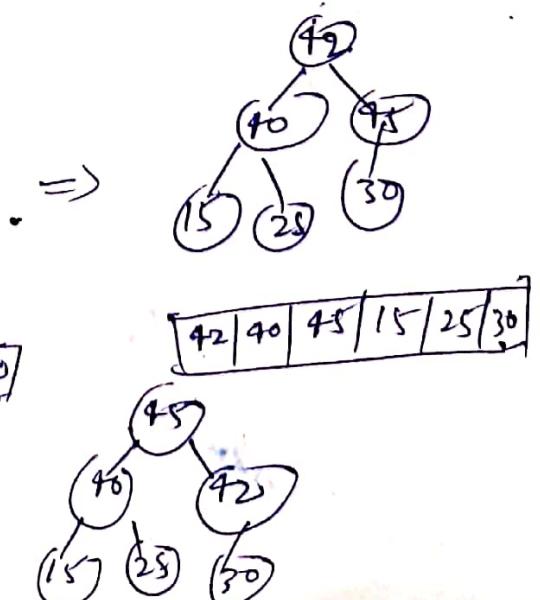
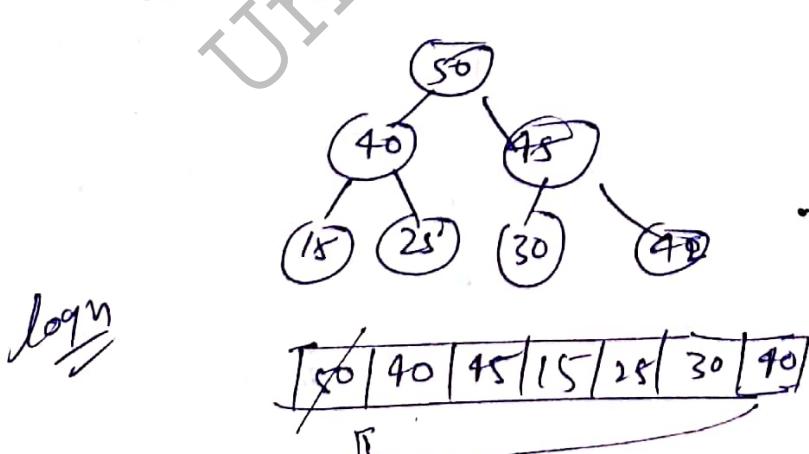
max heap  $\rightarrow$  Heap is complete binary tree where items are stored in a special order such that value in a parent node is greater than its two children.



insert 60



Delete 50 Root always will be deleted

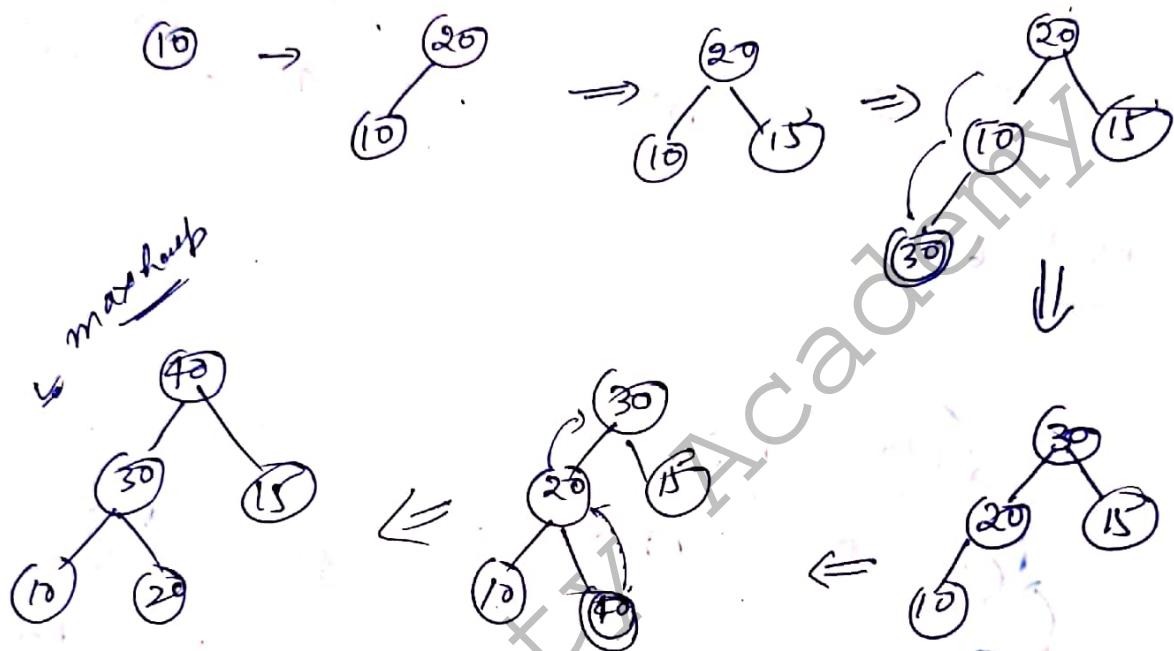


Heel cord max heel

Cent/Hub. (Cent)

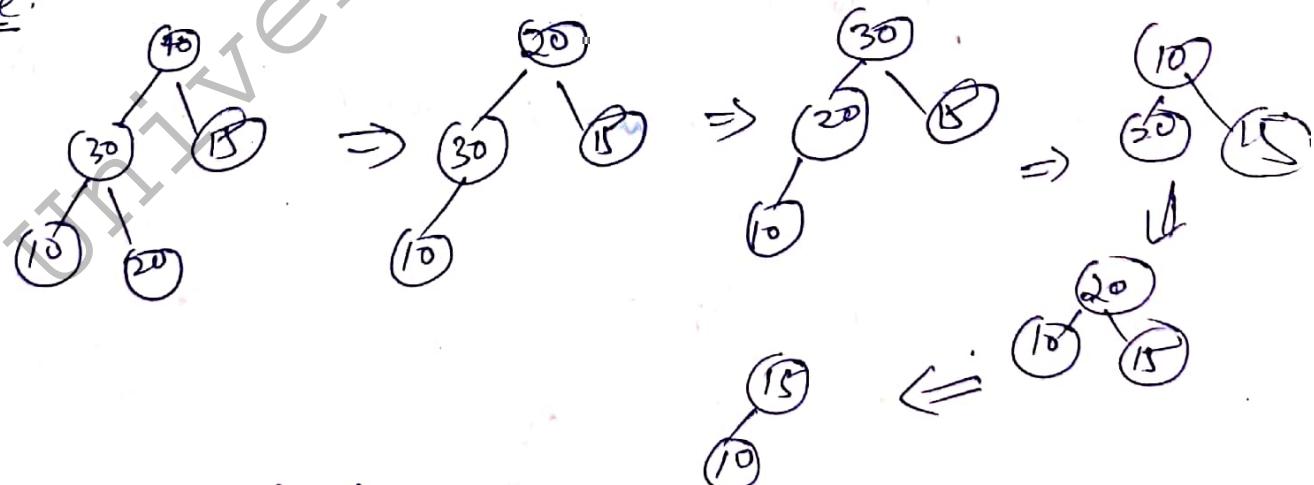
detest cell element from leaves

10, 20, 15, 30, 40



time  $\Theta(n)$  to insert an element.

deutsche



Final May

$$TME \quad O(n \log n)$$

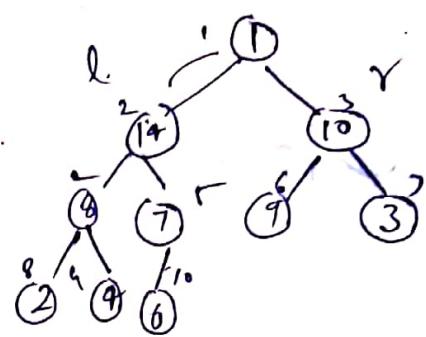
10 15, 20, 30, 40

## Heap Sort Algorithm

$A = [1, 14, 10, 8, 7, 9, 3, 2, 4, 6]$

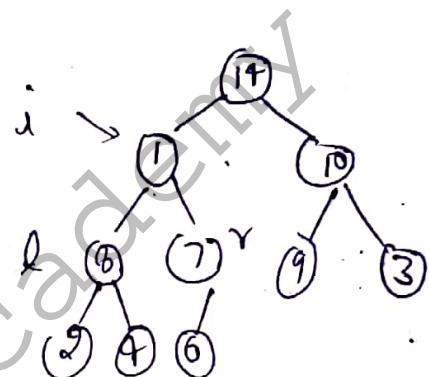
Heapsort( $A$ )

1. Build-Max-Heap( $A$ )  $\rightarrow n$
2. for  $i = A.length$  down to 2  $\rightarrow n$
3. exchange  $A[1]$  with  $A[i]$
4.  $A.heap\_size = A.length - 1$
5. Max-Heapify( $A, 1$ )  $\rightarrow \log n$



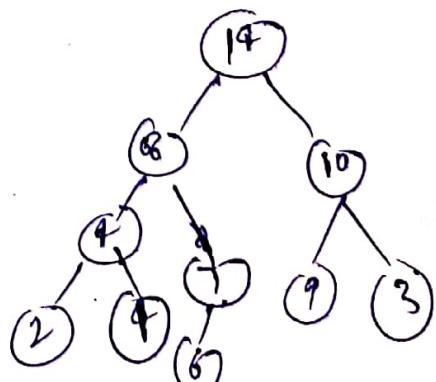
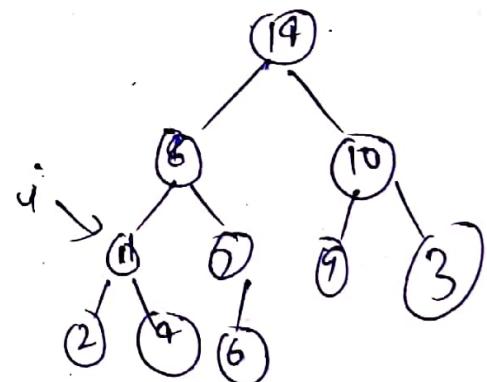
Build Max-Heap( $A$ )

1.  $A.heap\_size = A.length$
2. for  $i = \lfloor A.length / 2 \rfloor$  down to 1  $\rightarrow n$
3. Max-Heapify( $A, i$ )  $\rightarrow \log n$



Max-Heapify( $A, i$ )

1.  $l = left(i)$
2.  $r = right(i)$
3. if  $l \leq A.heap\_size$  and  $A[l] > A[i]$
4.     largest =  $l$
5. else     largest =  $i$
6. if  $r \leq A.heap\_size$  and  $A[r] > A[largest]$
7.     largest =  $r$
8. if  $largest \neq i$
9.     exchange  $A[i]$  with  $A[largest]$
10.     Max-Heapify( $A, largest$ )

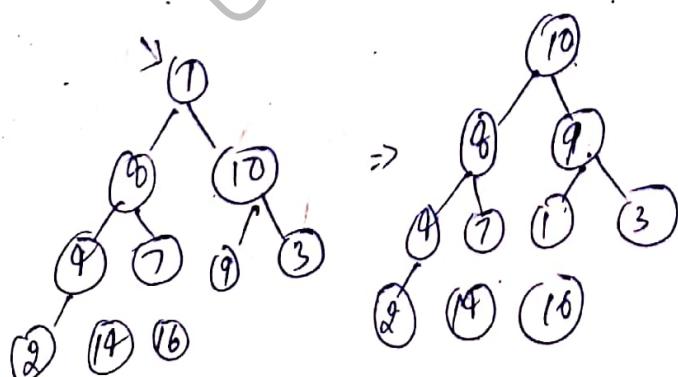
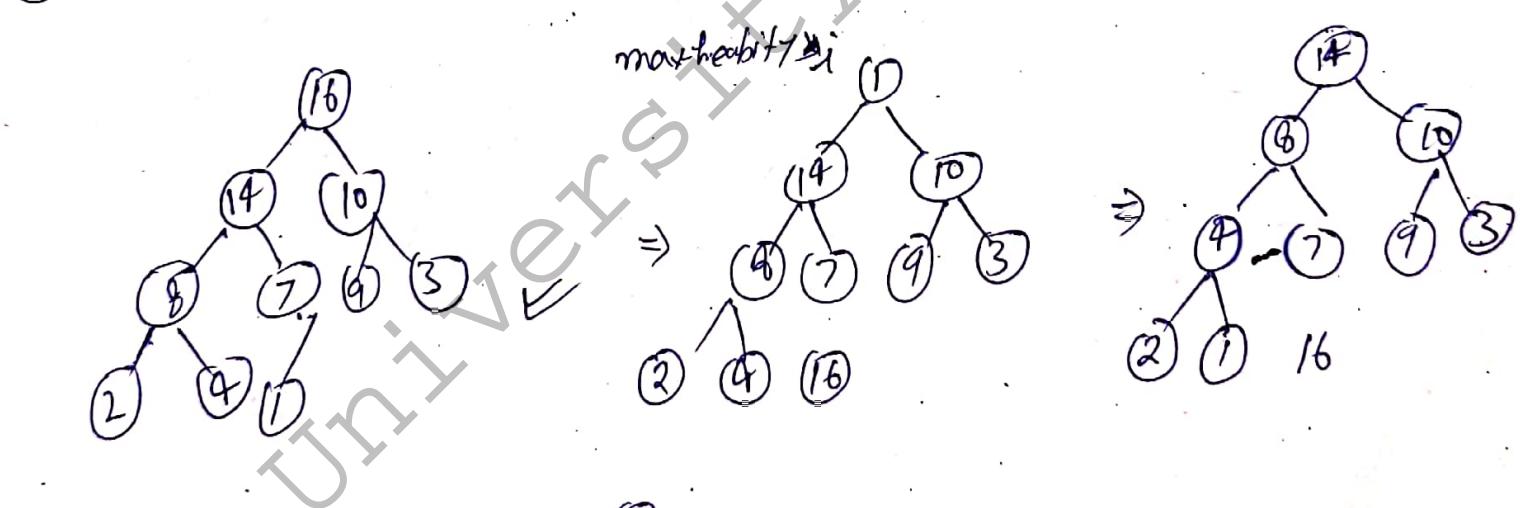
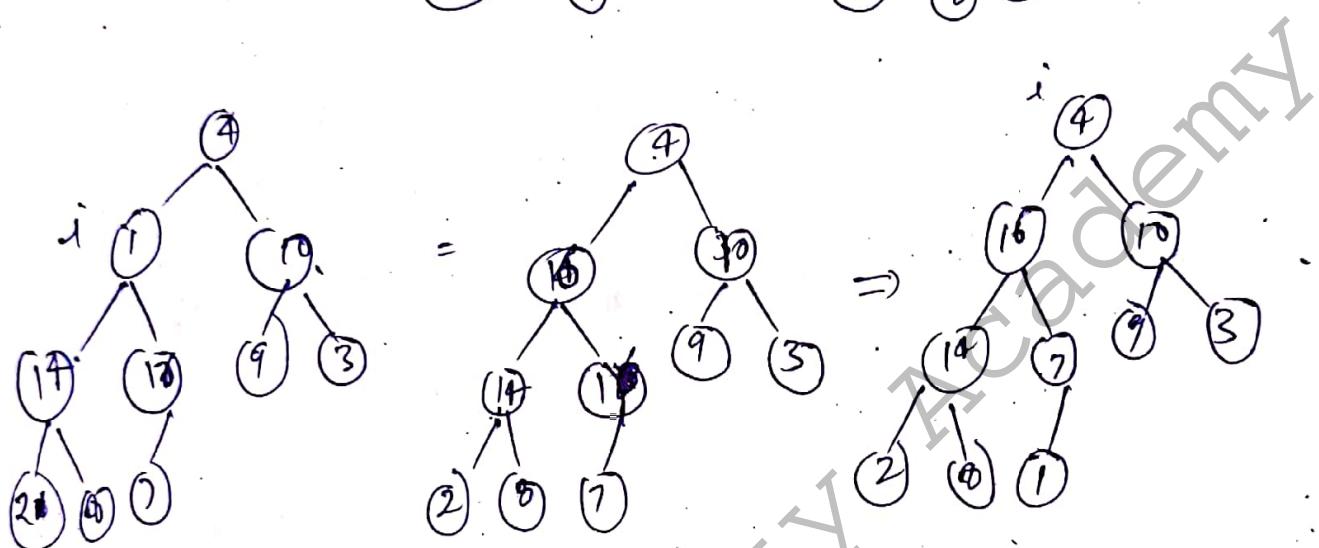
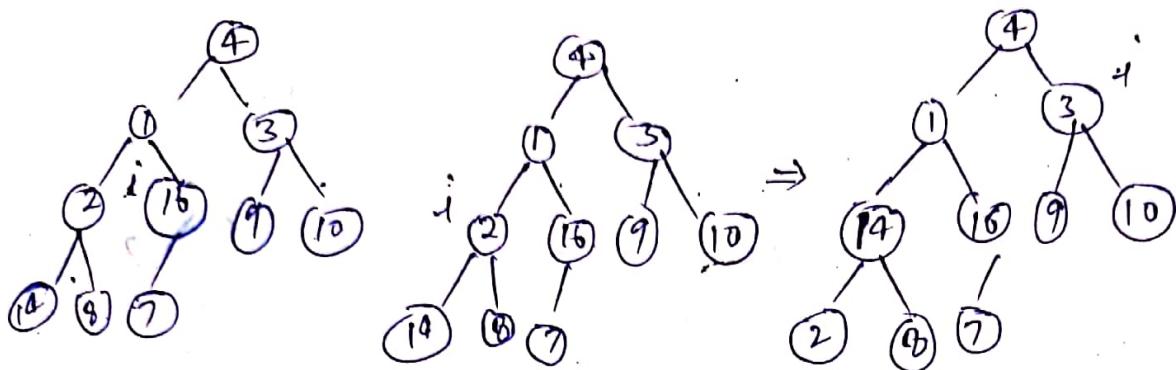


Time Complexity =  $O(n \log n)$

Space complexity =  $O(1)$

Space complexity =  $O(n)$   
 $\Rightarrow O(1)$

0	1	2	3	4	5	6	7	8	9
14	11	3	2	16	9	10	14	8	7



## Sorting in linear time

### Counting Sort

Counting Sort Assume that each of  $n$  input element is an integer in the range 0 to  $k$  for some  $k$ . The counting sort runs in  $\Theta(n)$  time.

Counting-Sort ( $A, B, k$ )

```

1. for i=0 to k
2.   do c[i] ← 0
3. for j ← 1 to length[A]
4.   do c[A[j]] ← c[A[j]] + 1
5. for i ← 1 to k
6.   do c[i] ← c[i] + c[i-1]
7. for j ← length[A] down to 1
8.   do B[c[A[j]]] ← A[j]
9.   c[A[j]] ← c[A[j]] - 1
  
```

### Example

1	2	3	4	5	6
10	7	12	4	9	13

min

max

$1 \leq 13$

range 4-13

4	5	6	7	8	9	10	11	12	13
1	0	0	1	0	1	1	1	0	1

4	5	6	7	8	9	10	11	12	13
1	1	1	2	2	3	4	4	5	6

1	2	3	4	5	6
4	7	9	10	12	13

A	1	2	3	4	5	6	7	8
	2	5	3	0	2	3	0	3

max

min

$k = 5$

range 0-5

Algorithm  
1-2

C	0	1	2	3	4	5
	0	0	0	0	0	0

C	1	1	1	1	1
	2	2	2	2	3

count 3-4

C	0	1	2	3	4	5
	2	0	2	3	0	1

C	0	1	2	3	4	5
	2	2	4	7	7	8

C	0	1	2	3	4	5
	1	3	6	5	7	7

C	0	1	2	3	4	5
	0	0	2	2	3	3

C	0	1	2	3	4	5
	0	0	2	2	3	3

✓

C	0	1	2	3	4	5
	0	0	2	2	3	3

C	0	1	2	3	4	5
	0	0	2	2	3	3

C	0	1	2	3	4	5
	0	0	2	2	3	3

C	0	1	2	3	4	5
	0	0	2	2	3	3

C	0	1	2	3	4	5
	0	0	2	2	3	3

C	0	1	2	3	4	5
	0	0	2	2	3	3

C	0	1	2	3	4	5
	0	0	2	2	3	3

C	0	1	2	3	4	5
	0	0	2	2	3	3

C	0	1	2	3	4	5
	0	0	2	2	3	3

C	0	1	2	3	4	5
	0	0	2	2	3	3

C	0	1	2	3	4	5
	0	0	2	2	3	3

C	0	1	2	3	4	5
	0	0	2	2	3	3

C	0	1	2	3	4	5
	0	0	2	2	3	3

## Radix Sort

Radix sort is a non-comparison sorting algorithm. Radix's not limited to number. It can sort Alphabets (word) also.

Input

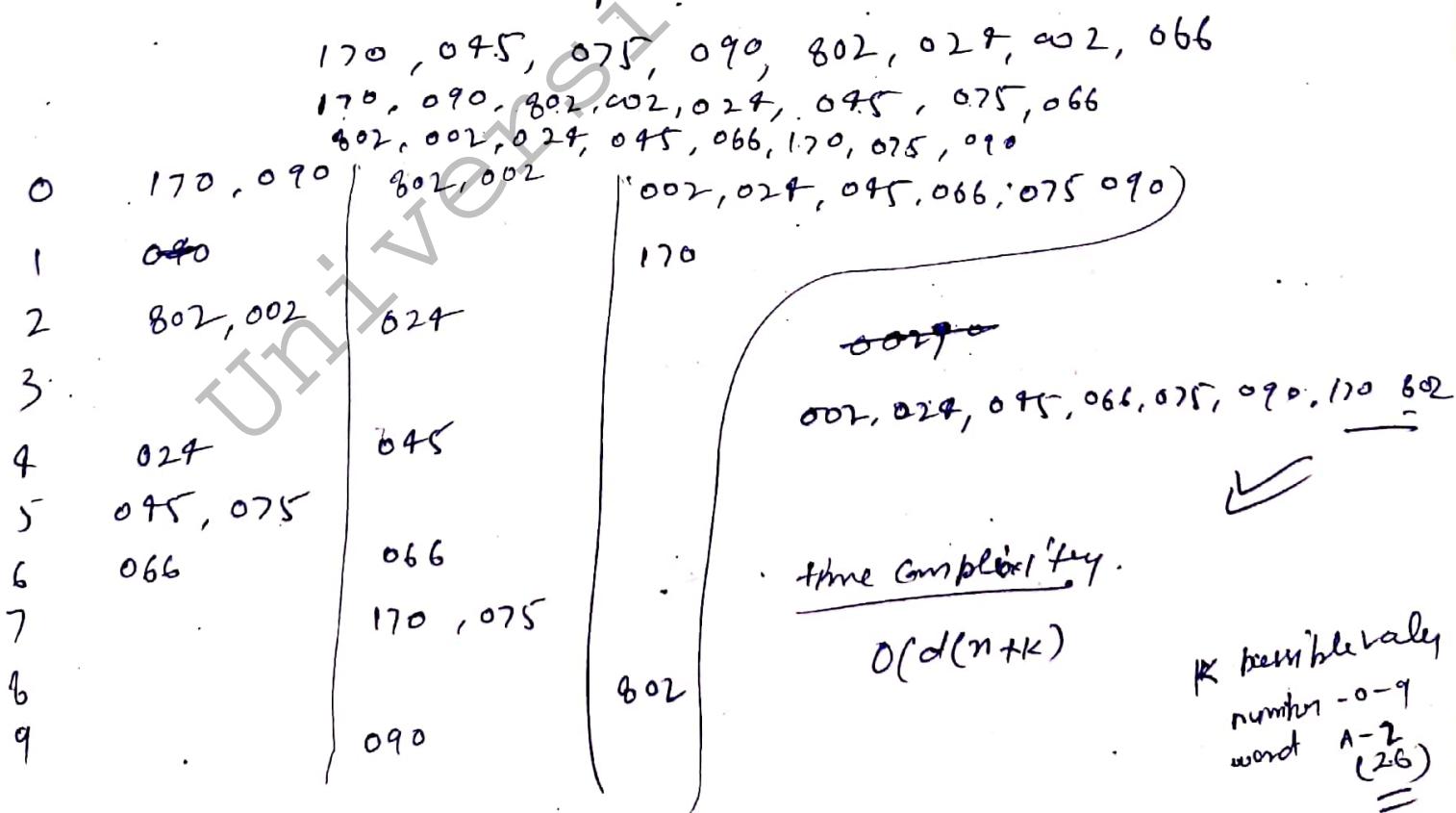
329	720	720	329
457	355	329	355
657	436	436	436
839	457	839	457
436	657	355	657
720	329	457	720
355	839	657	839

Sort the English word using Radix sort.

COW, DOG, SEA, RUG, ROW, MOB, BOX, TAB, BAR, TEAR  
TAR, BIG, TEA, NOW, FOX.

Example 170, 45, 75, 90, 802, 24, 2, 66

make all numbers of three digits



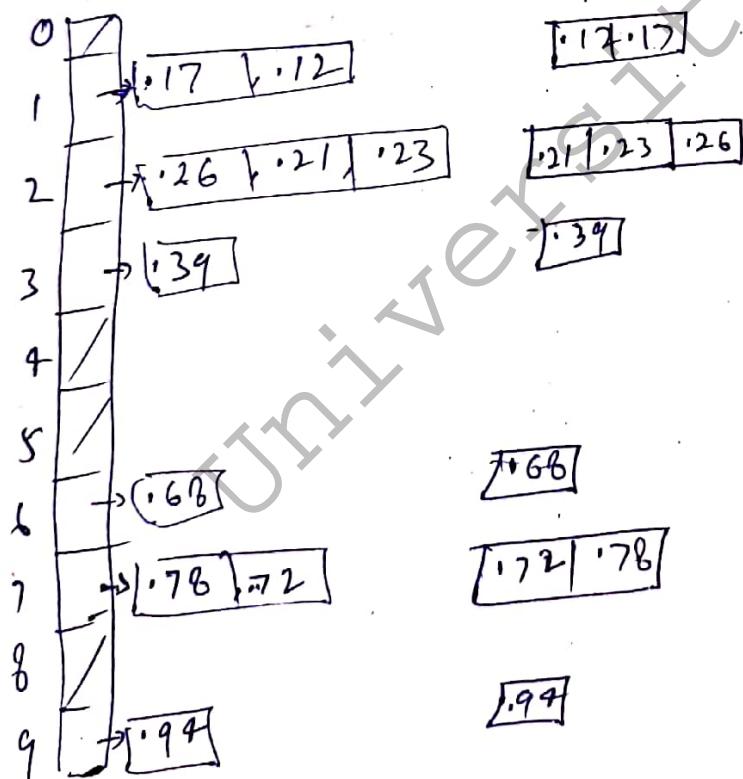
## Bucket Sort

Bucket sort algorithm that works by distributing the elements of an array into a number of buckets. Each bucket is then sorted individually by different sorting algo. It is based on comparison based.

### Bucket Sort (A)

- 1  $n \leftarrow \text{length}(A)$
- 2 for  $i \leftarrow 1$  to  $n$
- 3 do insert  $A[i]$  into list  $B[L^n A[i]]$
- 4 for  $i \leftarrow 0$  to  $n-1$
- 5 do sort list  $B[i]$  with insertion sort.
- 6 concatenate the list  $B[0], B[1], \dots, B[n-1]$ .

1	2	3	4	5	6	7	8	9	10
•78	•17	•39	•26	•72	•94	•21	•12	•23	•68



Result as

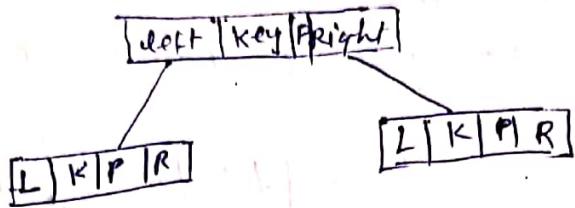
12, 17, 21, 23, 26, 39, 68, 72, 78,  
94.

Time Complexity =  $O(n)$

## RED-BLACK TREE

Background: Binary search tree:

Each Nucleus contains field, key left, Right, and P.



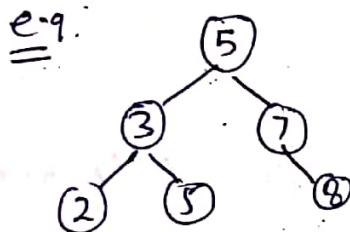
if  $x$  is a node in BST. then.

(i) Left subtree of  $n$  has less or equal

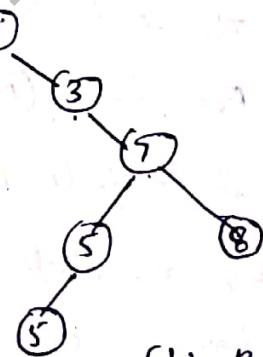
$$\text{key}[y] \leq \text{key}[x]$$

(iii) Right subtree(s) of  $x$  has greater or equal values.

$$\text{key}[y] \geq \text{key}[x]$$

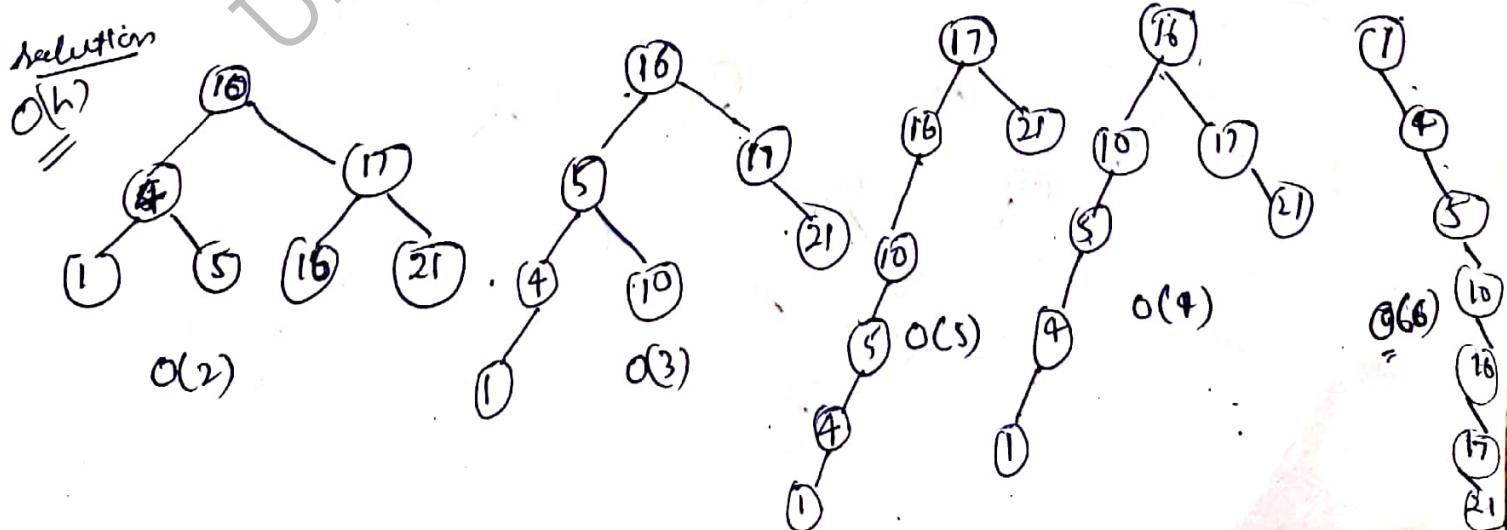


BST of height 2



(b) BST of height 4

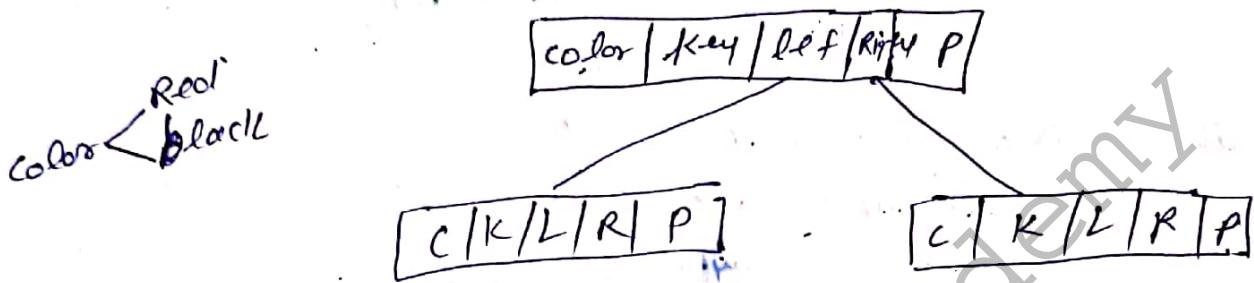
Q: For the set of key  $\{1, 4, 5, 10, 16, 17, 21\}$  draw binary search tree of height 2, 3, 4, 5, 6.



## Red-Black Tree:

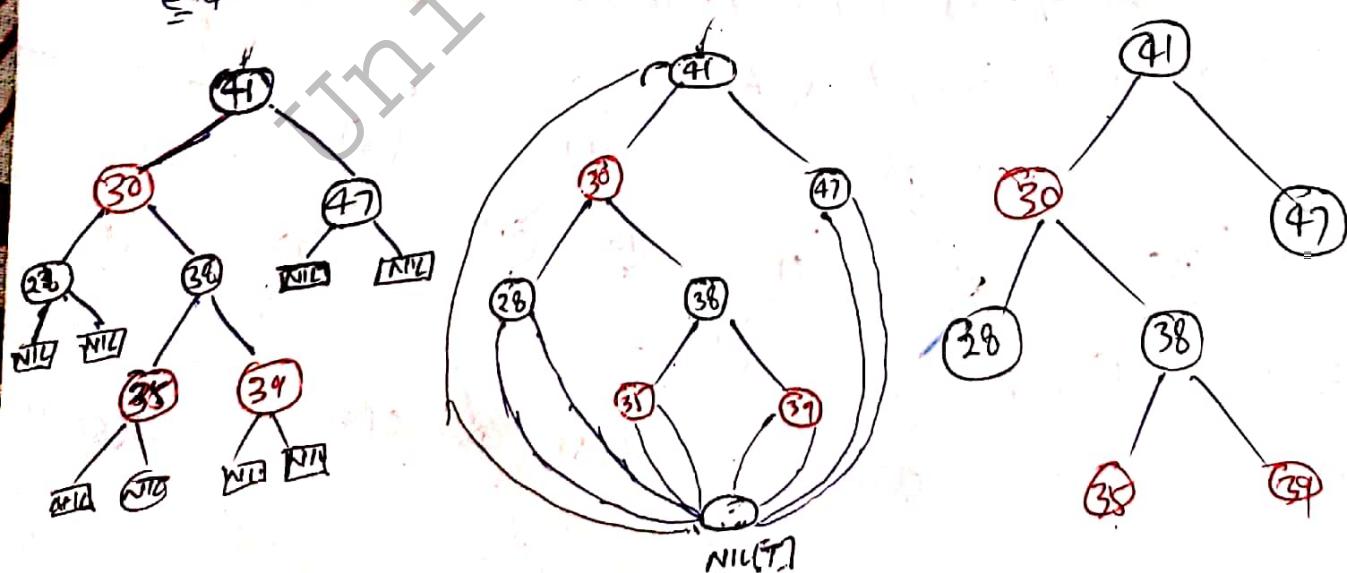
The red black tree are balanced search tree schemes in order to guarantee that ~~last~~  $O(\log n)$  time in worst case.

A red black tree is BST with extra field color. hence the node in Red-Black tree contain.

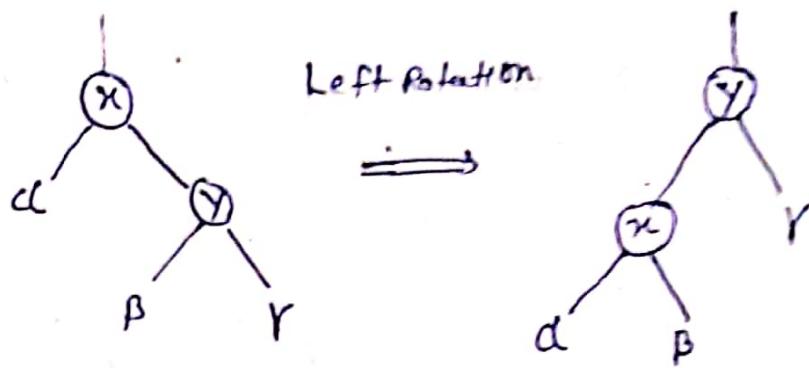


## Properties:

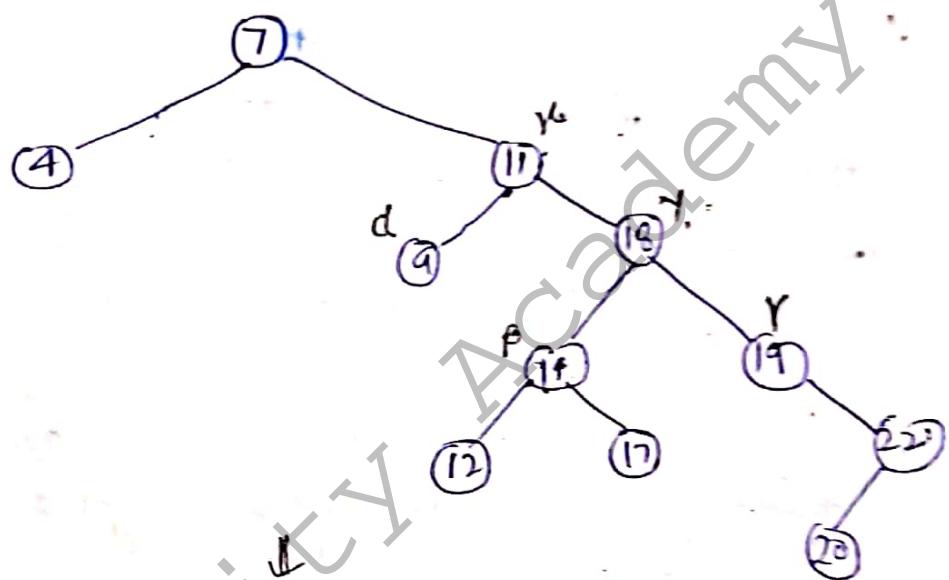
1. Every Node is either red or black
2. The root is black
3. Every leaf (NIL) is black
4. if a Node is red, then both its children are black
5. For each Node all path from the node to descendant leaves contain the same Number of black nodes



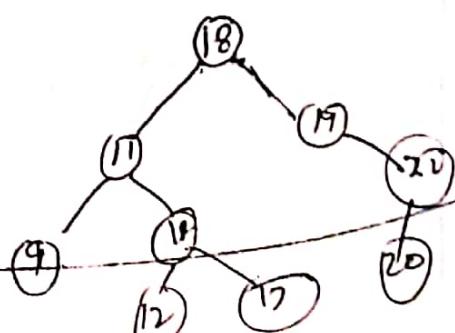
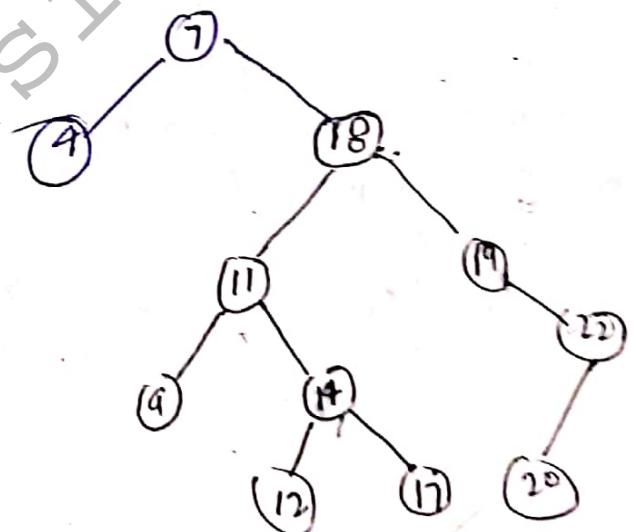
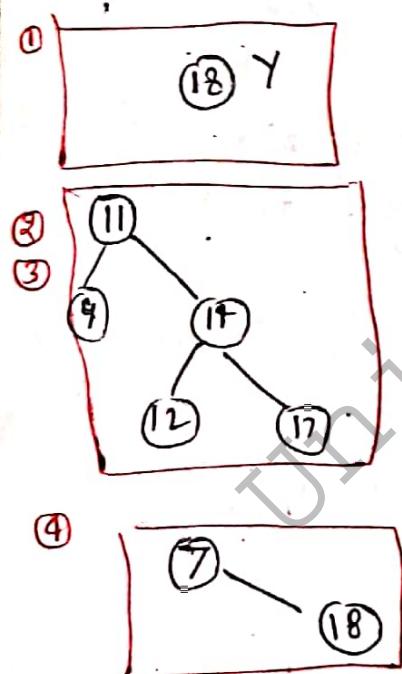
## Rotations



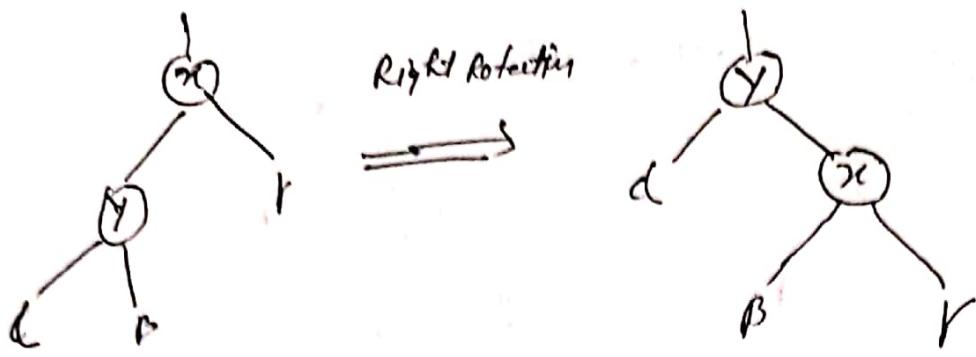
e.g.



with algorithm

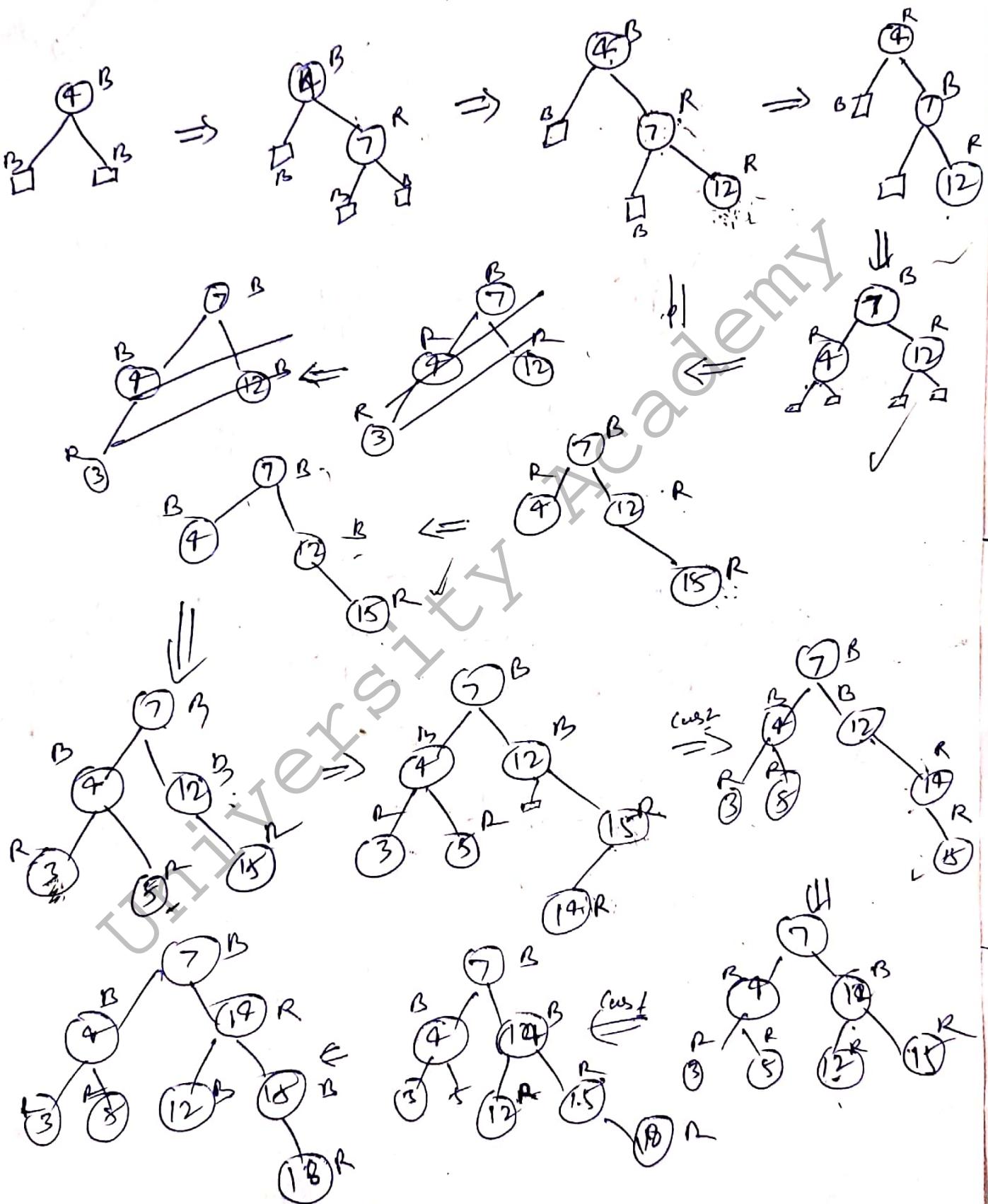


## Right Rotation.



$z$ is a root node	$z$ is not root node.	$z$ 's parent is not Black
<p>then simply color it Black</p> <p><math>z</math>'s parent is Black</p> <p>there is nothing to do, as adding red node doesn't affect RB Properties.</p>	<p><math>z</math>'s parent is left child of grandparent of <math>z</math> then</p> <p>case 1: if <math>z</math>'s uncle <math>Y</math> is Red, and <math>z</math> is the left or right child.</p> <p>case 2: if <math>z</math>'s uncle <math>Y</math> is Black and <math>z</math> is the right child</p> <p>case 3: if <math>z</math>'s uncle <math>Y</math> is black and <math>z</math> is left child.</p>	<p><math>z</math>'s parent is Right child of the grandparent of <math>z</math>.</p> <p>case 1: if <math>z</math> uncle is R and 2 is the left or right child.</p> <p>case 2: if <math>z</math>'s uncle <math>Y</math> is Black and <math>z</math> is left child.</p> <p>case 3: if <math>z</math>'s uncle <math>Y</math> is black and <math>z</math> is right child.</p>

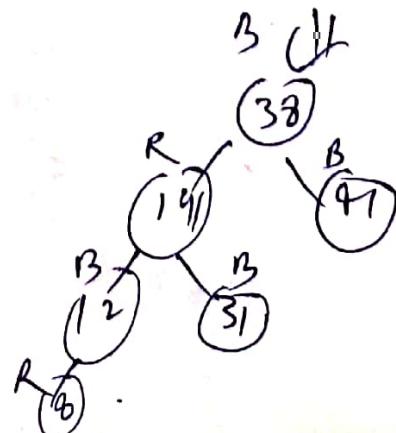
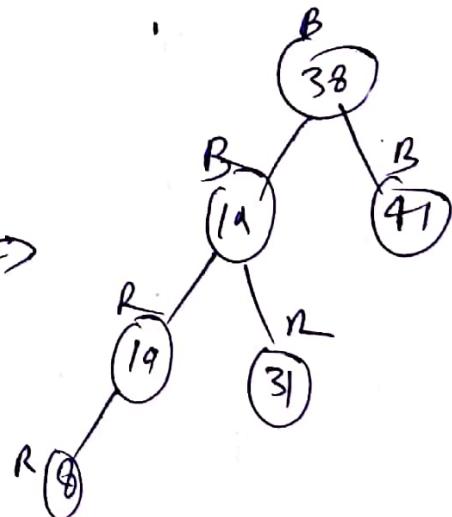
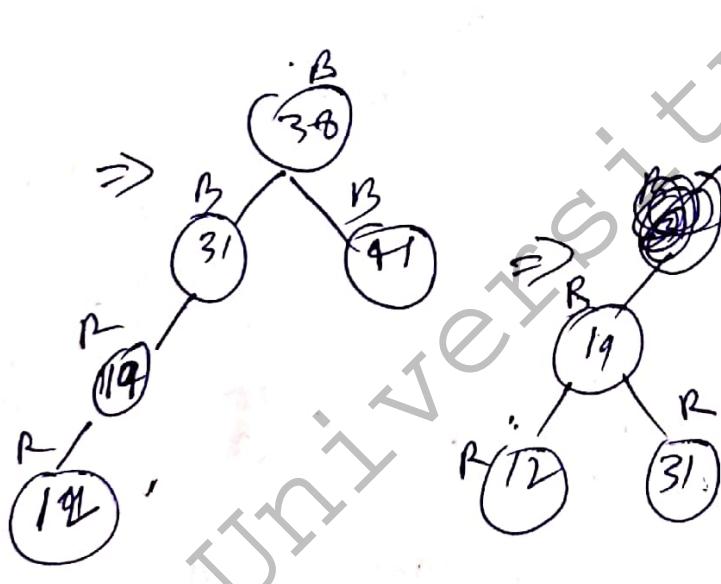
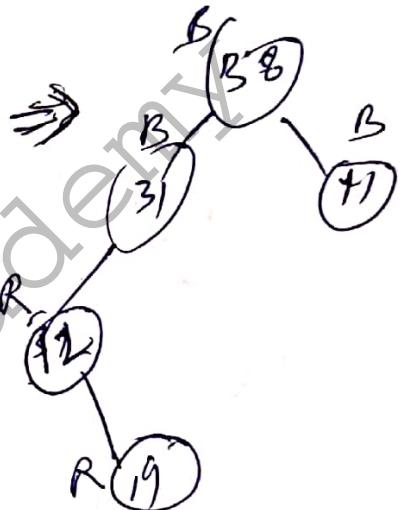
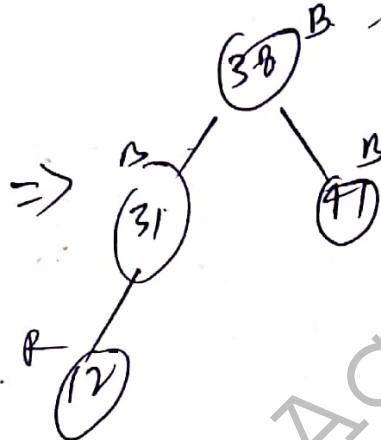
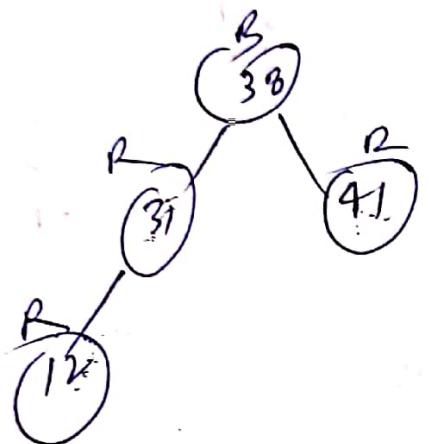
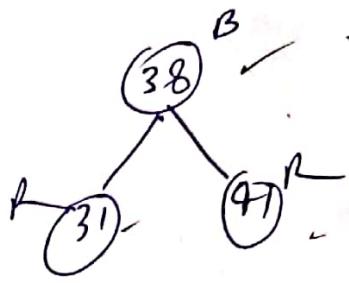
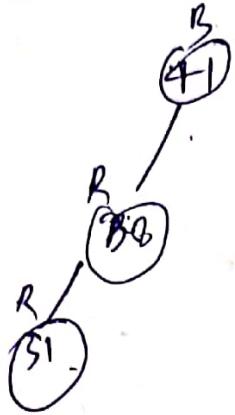
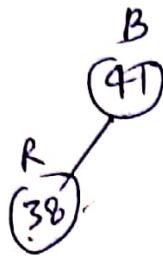
Question : Insert the following key into and initially empty Red-black tree :  $\{4, 7, 12, 15, 3, 5, 14, 18, 16\}$



R.B Tree

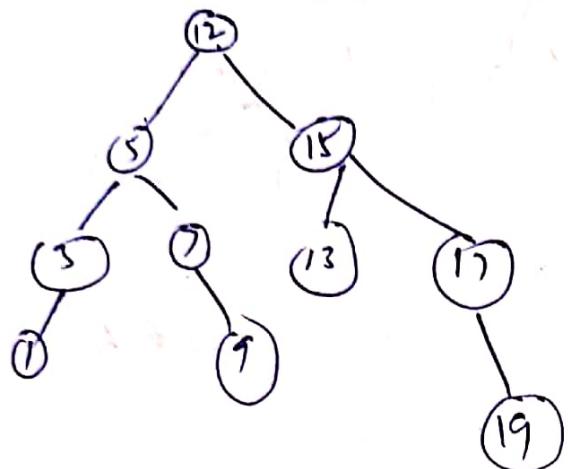
41, 38, 31, 12, 19, 8 ..

Q. 1



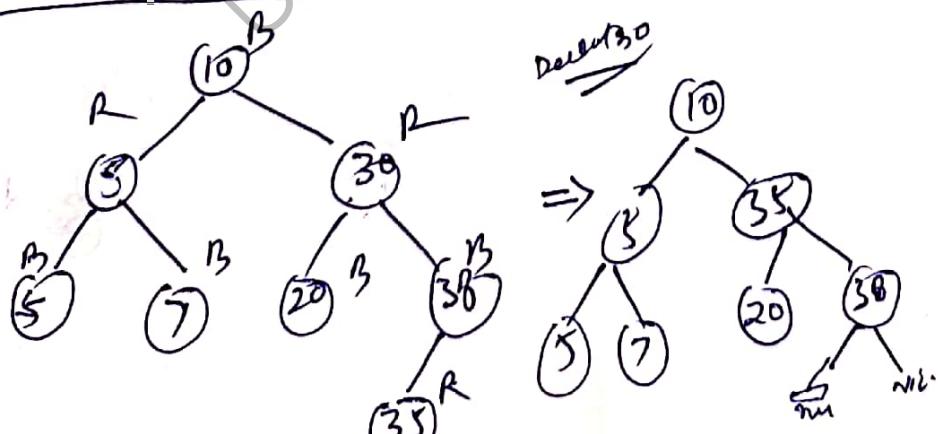
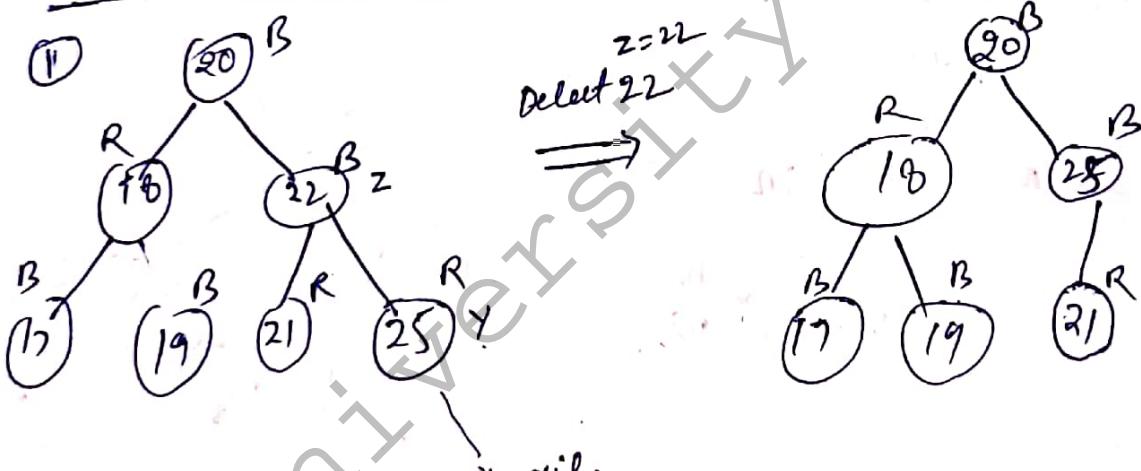
## Red-Black Tree deletion:

Background: BST deletion:

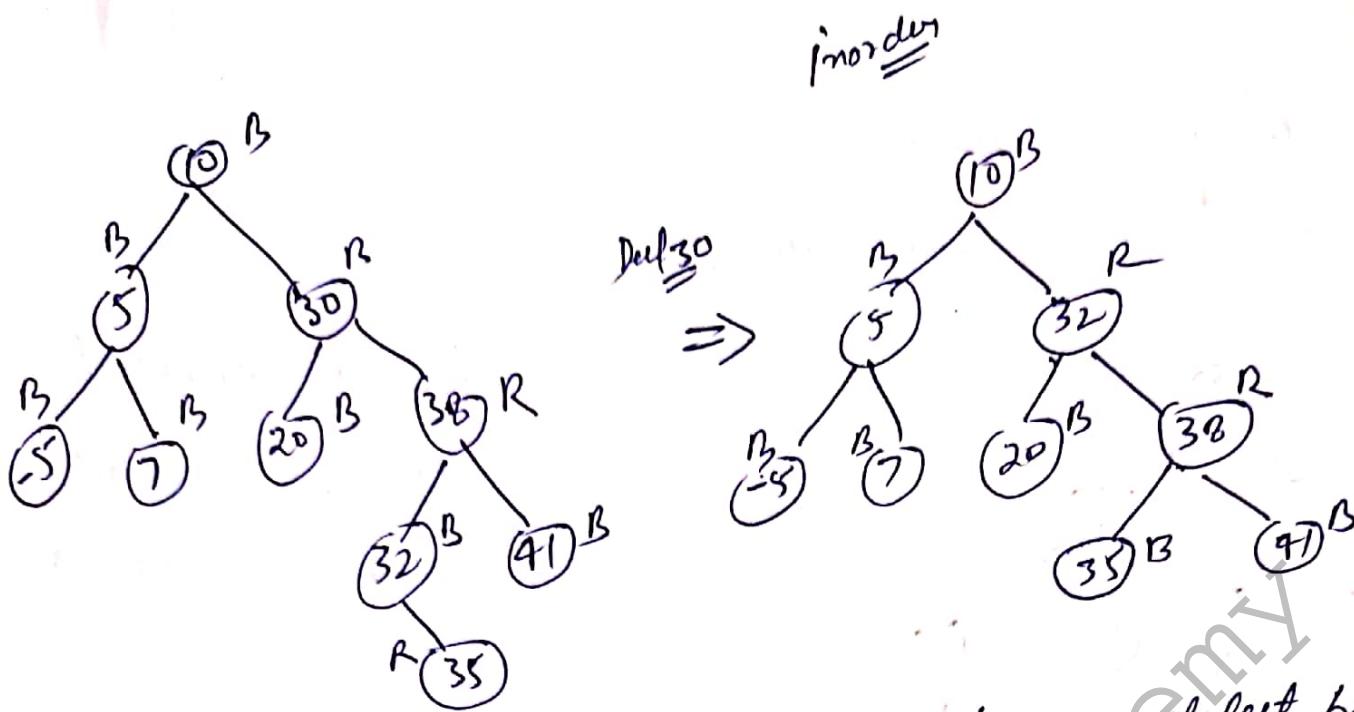


- Case 1: no child
- Case 2: one child
- Case 3: 2 children

## Red-Black Tree deletion:



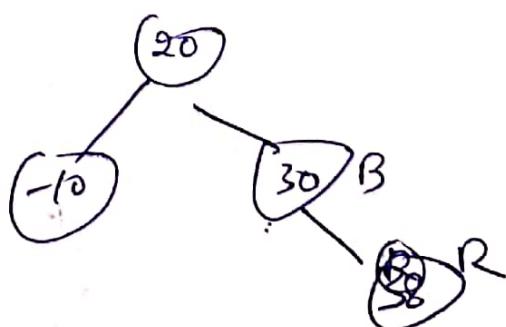
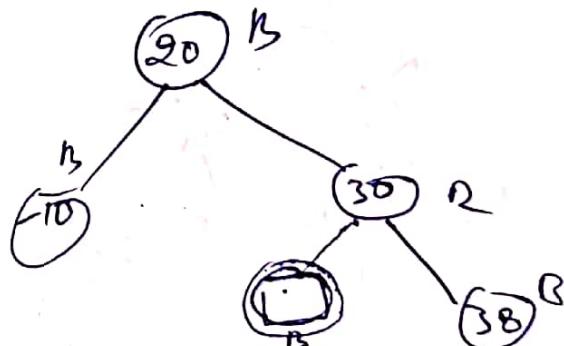
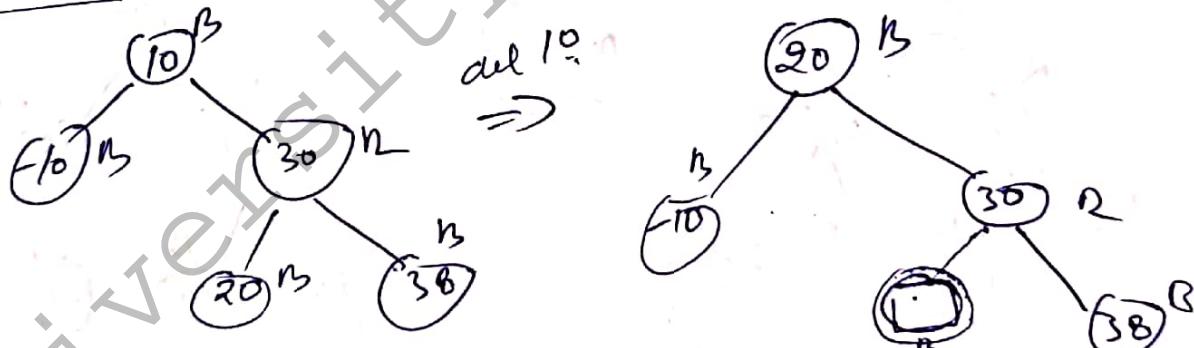
if red node just delete it!



- ④ if black node with red child then select black node and turn <sup>red</sup> into black node.

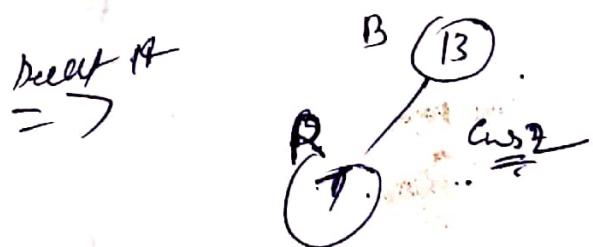
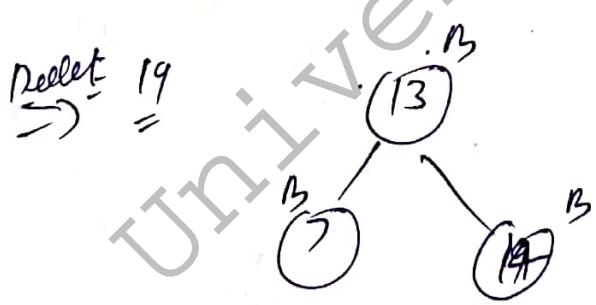
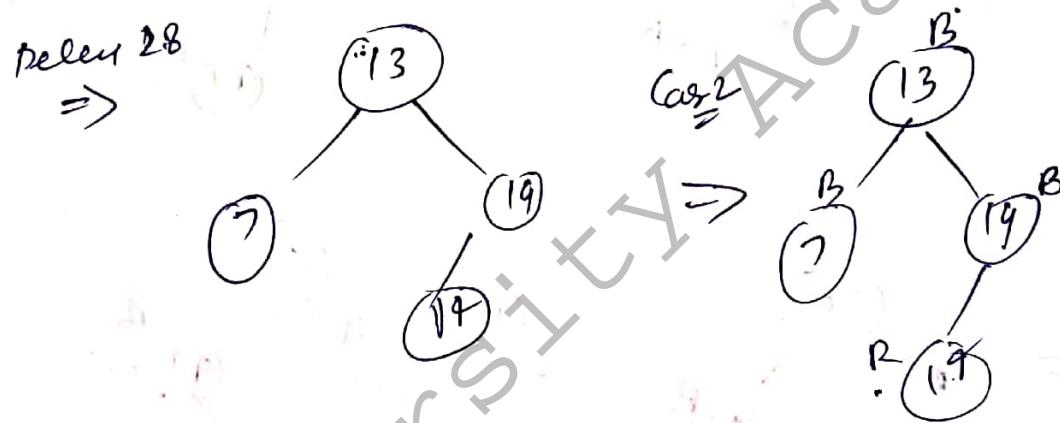
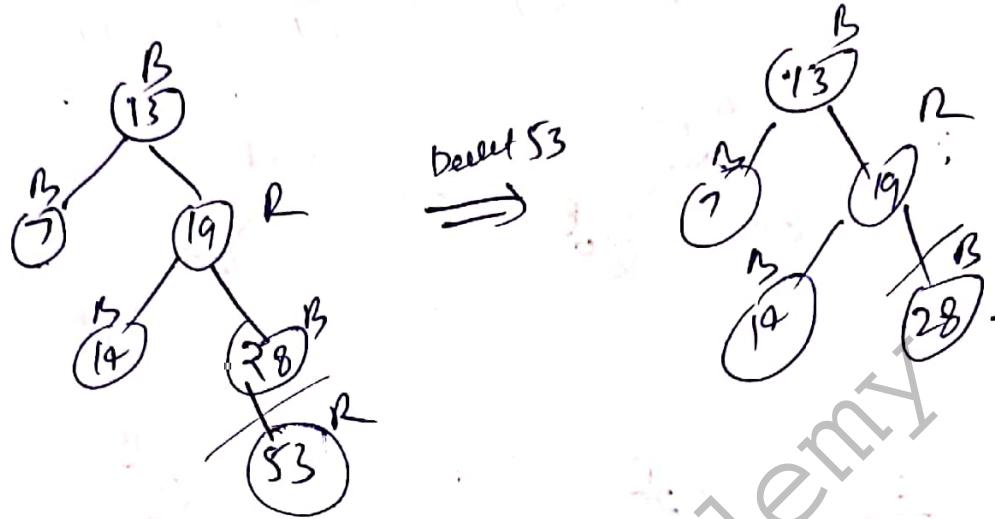
if black node with black child then then are 4 cases

Double Black Node.



Q: Consider following RB Tree  
delete the following key  
53, 28, 19, 14, 13, 7

(2)



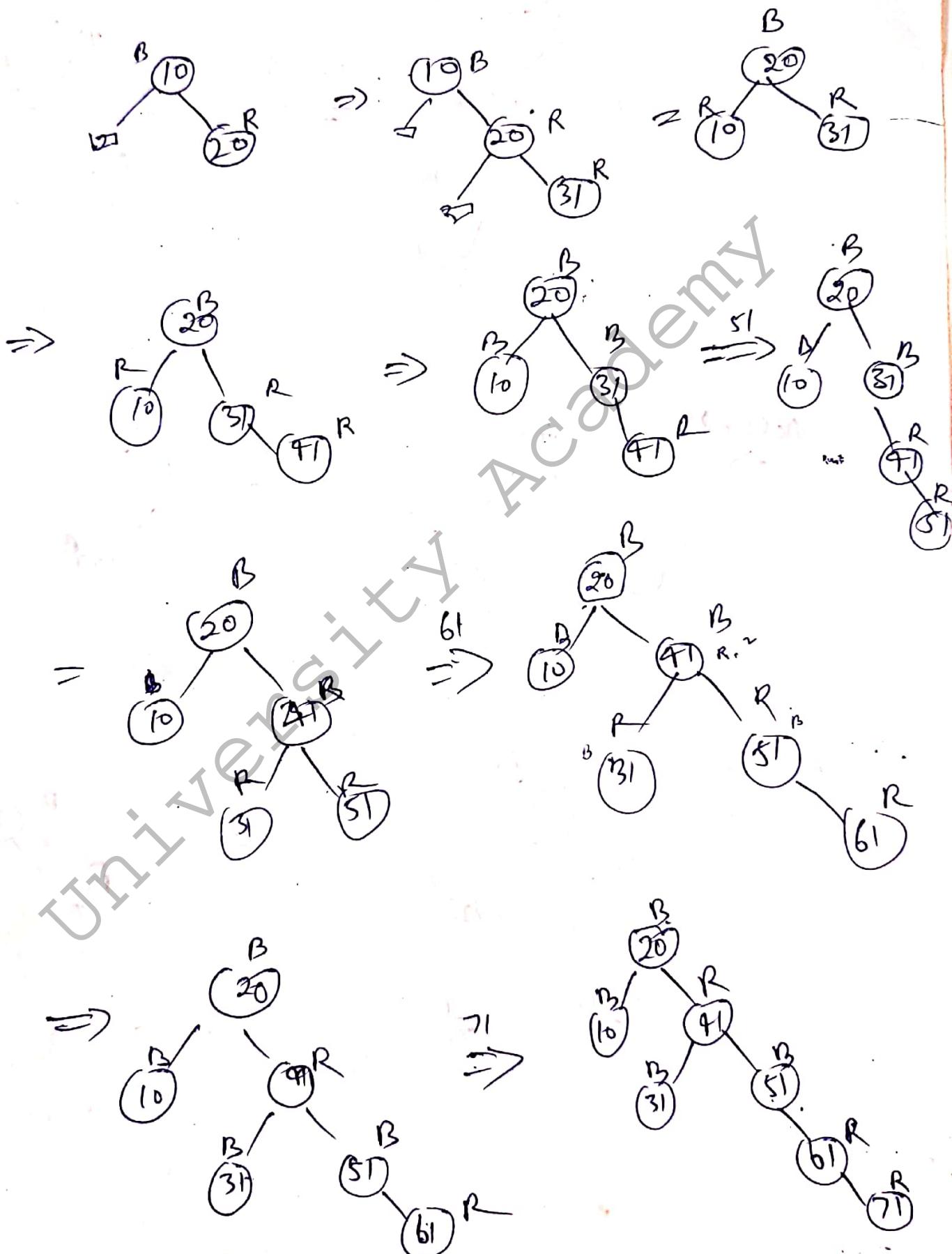
delete 13



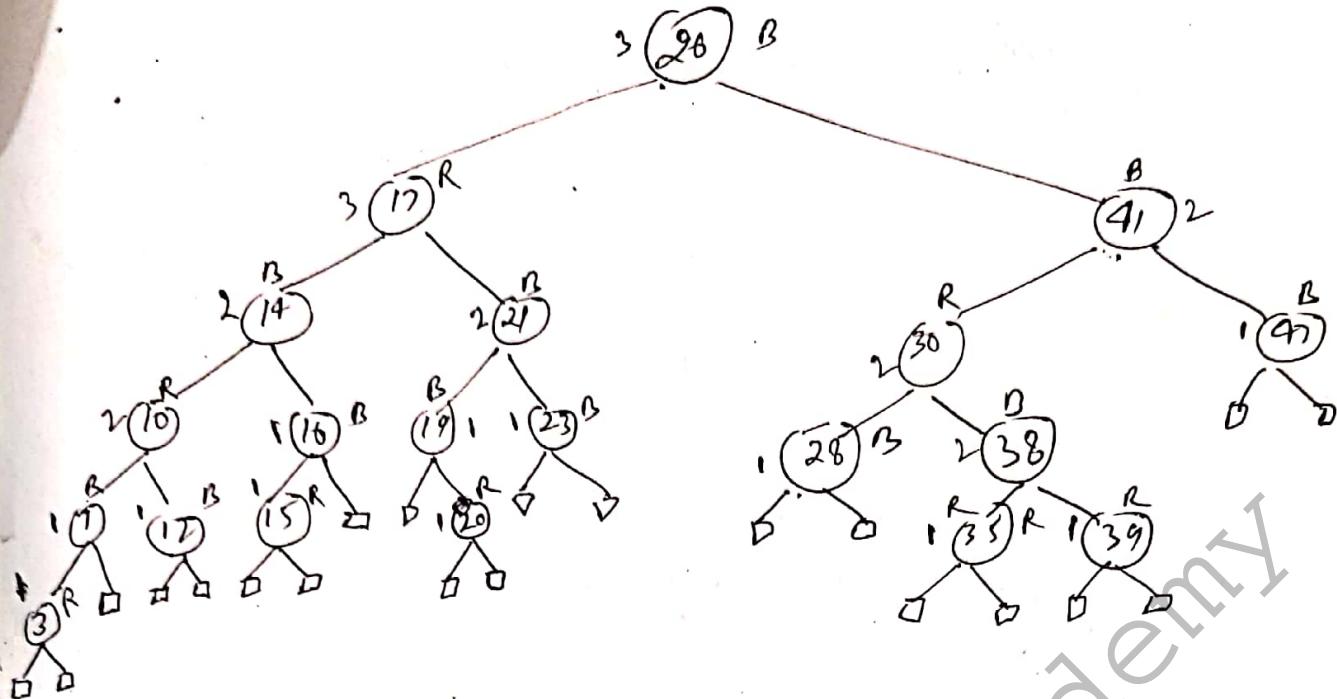
delete 7

No Tree

Draw Red-Black tree starting with an empty. key  
10, 20, 31, 41, 51, 61, 71, 81, 91, 101



Theorem: A Red-black tree with  $n$  internal nodes has height at most  $2 \log(n+1)$ .



→ we start by showing that subtree rooted at any node  $x$  contains at least  $2^{bh(x)} - 1$  internal nodes.

→ if height of  $x$  is 0 then  $x$  must be a leaf (nil).

$$= 2^{bh(x)} - 1 = 2^0 - 1 = 0 \text{ internal nodes}$$

→ consider the node( $x$ ) with two children. each child having either  $bh(x)$  or  $bh(x) - 1$  height.

then each child has at least  $2^{bh(x)} - 1$  internal nodes. then subtree rooted at  $x$  contains at least  $(2^{bh(x)} - 1) + (2^{bh(x)} - 1) + 1 = 2^{bh(x)} - 1$

let  $h$  be the height of tree → at least half of the nodes on any simple path from root to leaf must be blocks. the block height of root must be at least  $h/2$  the

$$n \geq 2^{h/2} - 1$$

$$n+1 \geq 2^{h/2}$$

$$\log(n+1) \geq h/2 \text{ or } h \leq 2 \log(n+1) \text{ from}$$

## Insertion:

• RB-INSERT( $T, z$ )

```

1.  $y \leftarrow \text{nil}[T]$ 
2.  $x \leftarrow \text{root}[T]$ 
3. while  $x \neq \text{nil}[T]$ 
4. do  $y \leftarrow x$ 
5.   if  $\text{key}[z] < \text{key}[x]$ 
6.     then  $\text{left}[x] \leftarrow \text{left}[z]$ 
7.     else  $x \leftarrow \text{right}[x]$ 
8.  $\text{P}[z] \leftarrow y$ 
9. if  $y = \text{nil}[T]$ 
10. then  $\text{root}[T] \leftarrow z$ 
11. else if  $\text{key}[z] < \text{key}[y]$ 
12.   then  $\text{left}[y] \leftarrow z$ 
13.   else  $\text{right}[y] \leftarrow z$ 
14.  $\text{left}[z] \leftarrow \text{nil}[T]$ 
15.  $\text{right}[z] \leftarrow \text{nil}[T]$ 
16.  $\text{color}[z] \leftarrow \text{RED}$ 
17. RB-Insert-Fixup( $T, z$ )

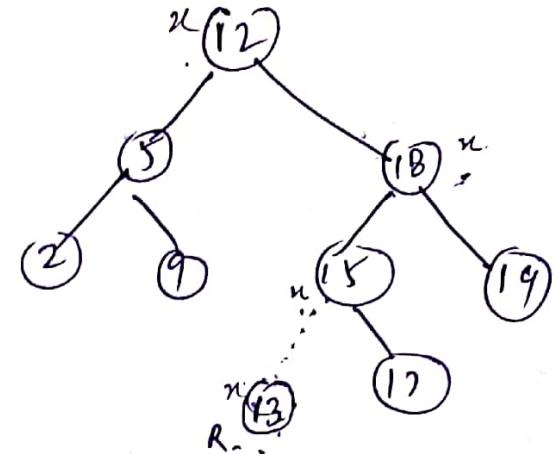
```

RB-INSERT-FIXUP( $T, z$ )

```

1. while  $\text{color}[\text{P}[z]] = \text{RED}$ 
2. do if  $\text{P}[z] = \text{left}[\text{P}[\text{P}[z]]]$ 
3.   then  $y \leftarrow \text{right}[\text{P}[\text{P}[z]]]$ 
4.   if  $\text{color}[y] = \text{RED}$ 
5.     then  $\text{color}[\text{P}[z]] \leftarrow \text{BLACK}$ 
6.      $\text{color}[y] \leftarrow \text{BLACK}$ 
7.      $\text{color}[\text{P}[\text{P}[z]]] \leftarrow \text{RED}$ 
8.      $z \leftarrow \text{P}[\text{P}[z]]$ 
9.   else if  $z = \text{right}[\text{P}[z]]$ 
10.    then  $z \leftarrow \text{P}[z]$ 
11.    LEFT ROTATE ( $T, z$ )
12.     $\text{color}[\text{P}[z]] \leftarrow \text{black}$ 
13.     $\text{color}[\text{P}[\text{P}[z]]] \leftarrow \text{RED}$ 
14. else (Same as then with right and left + exchange)
15.

```



$z = 13$

$y = \text{nil}[T]$

$x = \text{root}[T]$

$y = \text{root}[T], y = 13, y = 8$

$y = \text{root}[T], y = 8, y = 6$

Academy

16.  $\text{color}[\text{root}[T]] = \text{black}$ .

## Red Black tree: Violations & Selection.

### Case 1

↳ Uncle is Red

Solution: 1. Change color of parent, uncle and grand parent  
2. Grand parent becomes new node to check violation.

### Case 2

- 1 - Uncle is Black
- 2a. Node is a left child of a Right child
- 2.b. Node is a right child of a left child

Selection

- 1a. Right Rotate around parent for 2a = Case 3
- 1.b. left rotate around parent for 2b = Case 3
2. Parent become the new node to check for violation

### Case 3

1. Uncle is Black
- 2a. Node is a Right child of Right child
- 2b. Node is a left child of left child

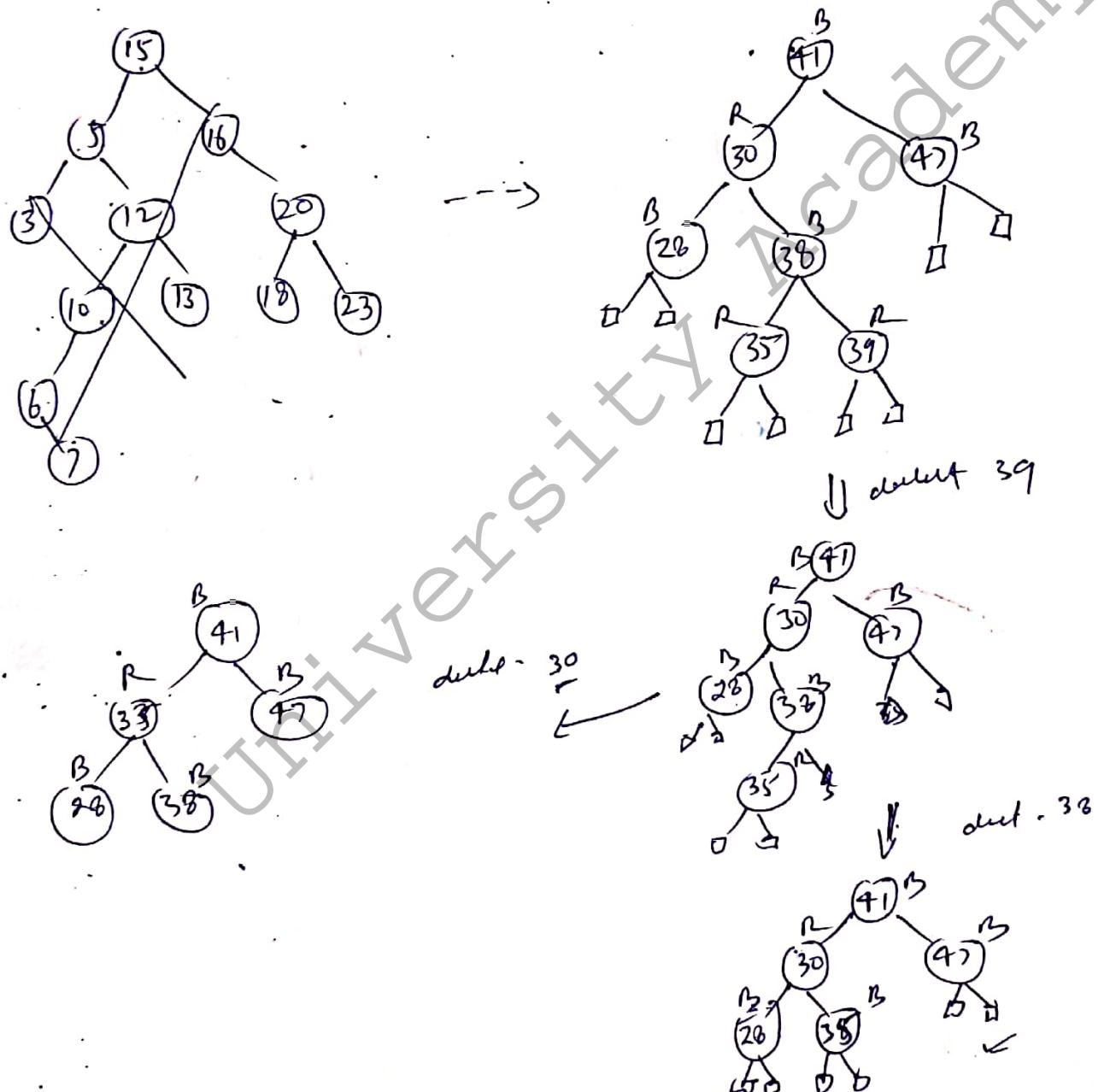
Selection:

- 1a. left Rotate around grand parent for 2a
- 1.b. Right Rotate around grand parent for 2b
- 2- Swap color of parent and grand parent
3. grand parent become the new node to check violation.

## Deletion (Red Black Tree) -

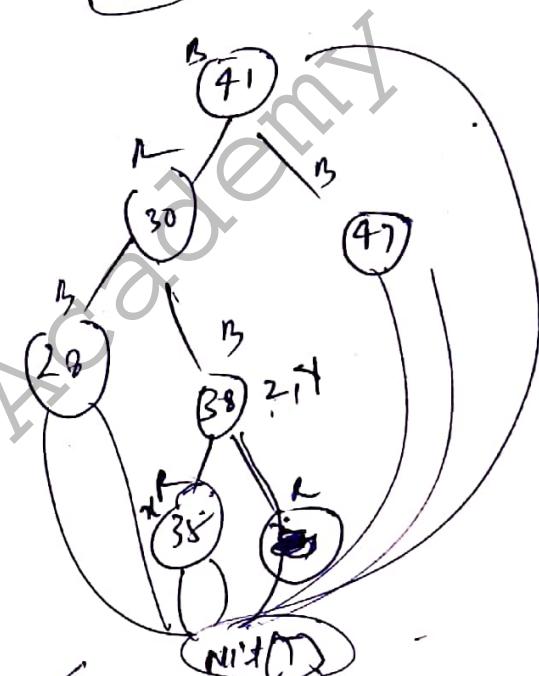
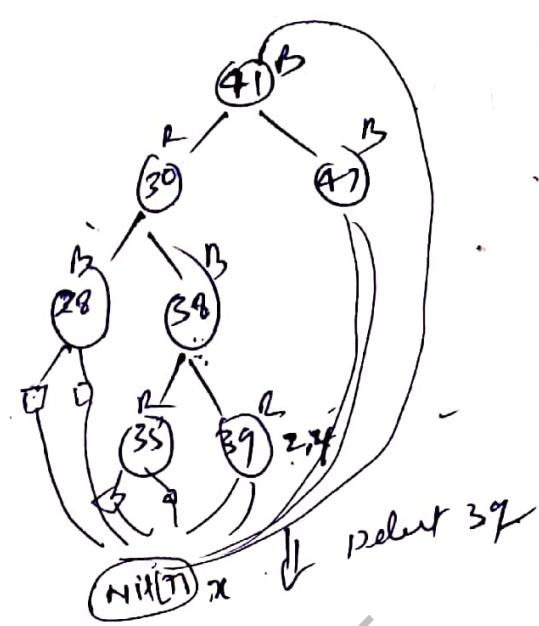
Deletion of node takes  $O(\log n)$  time. To understand deletion notion of double black is used. when Black node is deleted and replaced by Black child : the child is marked as double black

Deletion steps : Perform Abnormal. when we perform delete operation in BST or RBT we always end up deleting a node which is either leaf or has only one child.

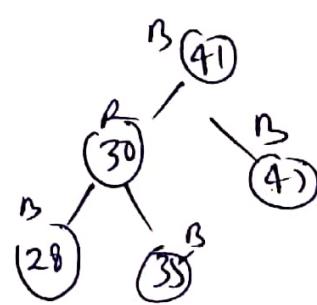
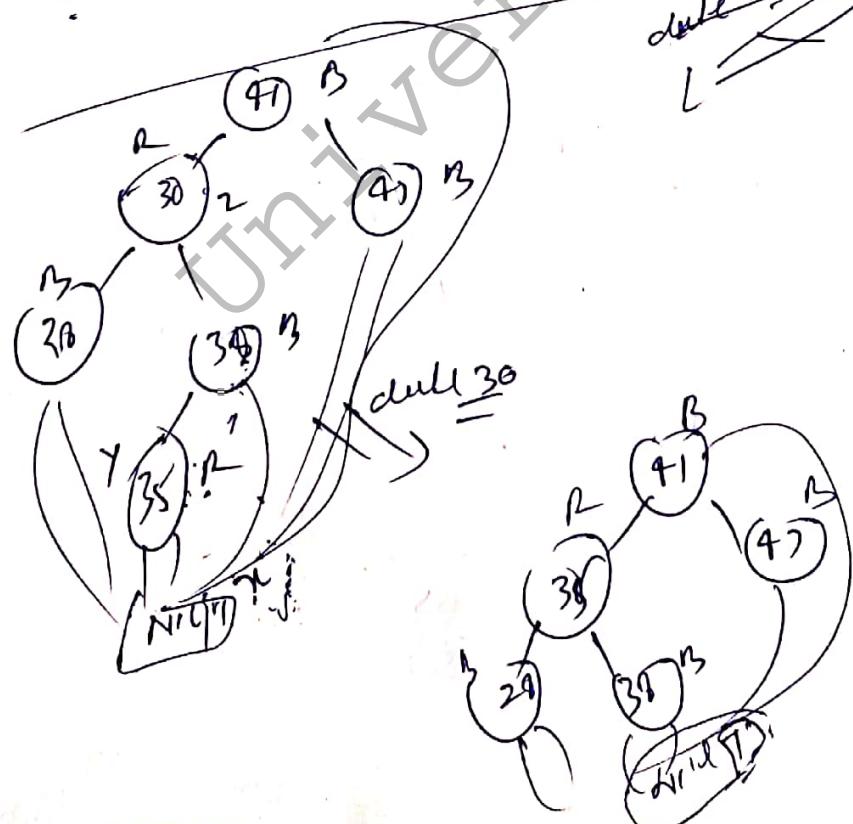


### RB-Delete( $T, z$ )

1. if  $\text{left}[z] = \text{nil}[T]$  or  $\text{right}[z] = \text{nil}[T]$
2. then  $Y \leftarrow z$
3. else  $Y \leftarrow \text{Tree-Successor}(z)$
4. if  $\text{left}[Y] \neq \text{nil}[T]$
5. then  $x \leftarrow \text{left}[Y]$
6. else  $x \leftarrow \text{right}[Y]$
7.  $P[x] \leftarrow \text{nil}[Y]$
8. if  $P[Y] \leq \text{parent}[T]$
9. then  $\text{root}[T] \leftarrow x$
10. else if  $Y = \text{left}[P[Y]]$
11. then  $\text{left}[P[Y]] \leftarrow x$
12. else  $\text{right}[P[Y]] \leftarrow x$
13. if  $Y \neq z$
14. then  $\text{key}[z] \leftarrow \text{key}[Y]$
15. copy  $Y$ 's state/fit data into  $z$
16. if color[Y] = black
17. then RB-Delete Fixup( $T, x$ )
18. return  $y$



II case 38.

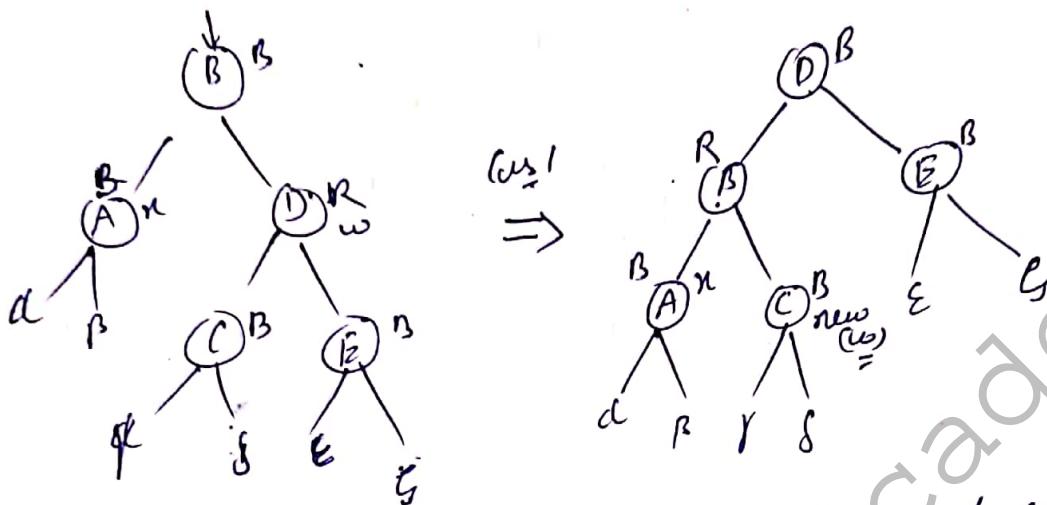


$d$  - alphy  
 $B$  - Beta  
 $r$  - Gamma  
 $s$  - delta  
 $E$  - Epsilon  
 $g$  - Zeta

$\rightarrow$  RB-Delete  $R \leftarrow \text{rb}$   
 $\rightarrow$  Color of  $w = \text{Black}$  and  $w$  is not root =  
 $\underline{\text{Case 1:}}$   $w$ 's sibling  $u$  is red!

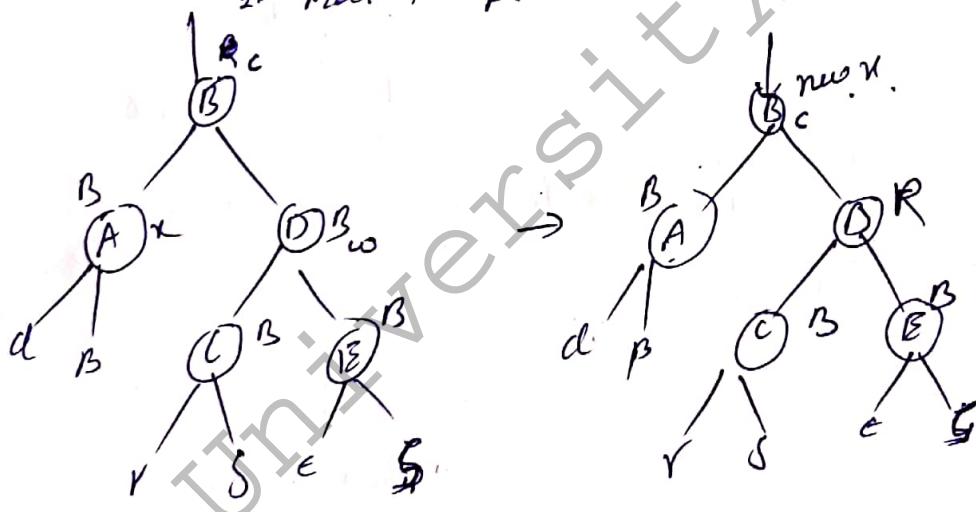
(1) Change the color of  $w$  and  $P(w)$

(2) Perform left rotation on  $P(w)$  = Case 2, Case 3, Case 4



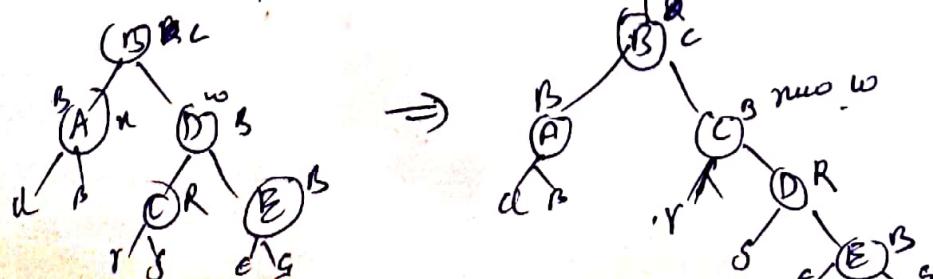
$\underline{\text{Case 2:}}$   $w$ 's sibling  $u$  is black and both of  $w$ 's children are black.

1. Change the color of  $w$
2. mark  $P(w)$  as new  $w$ .



$\underline{\text{Case 3:}}$   $w$ 's sibling  $u$ 's Black  $w$ 's left child is Red and  $w$ 's right child is Black

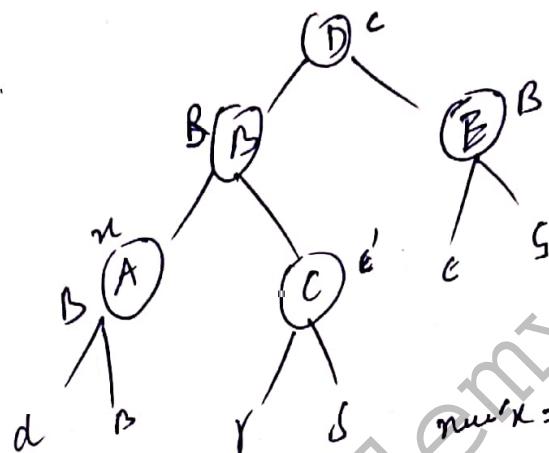
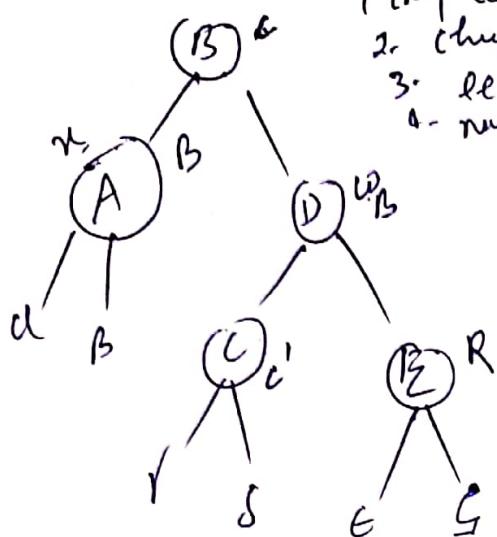
1. change the color of  $w$  and 1st left child color
2. right rotation on  $w$  = Case 4.



## Case 4

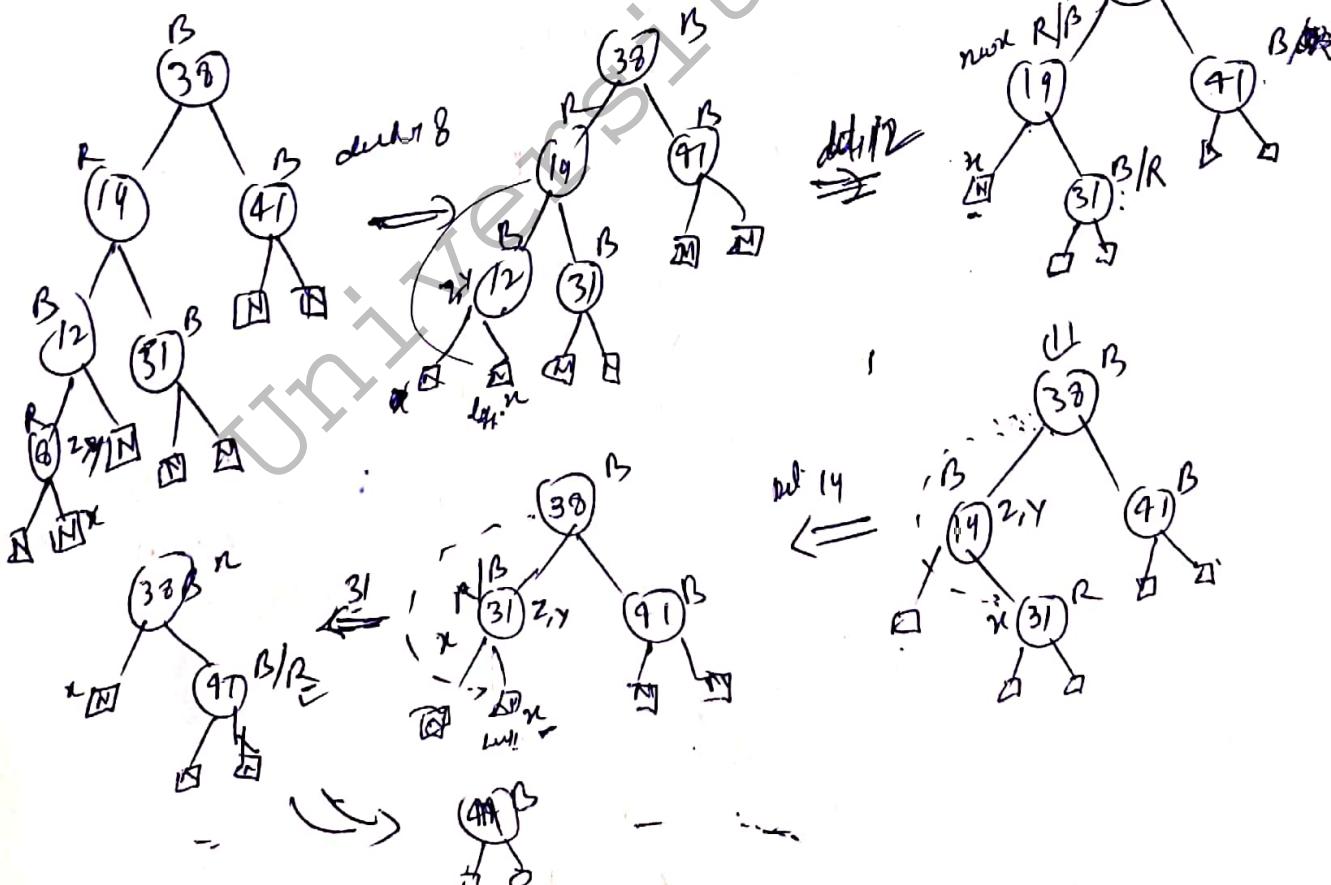
7. sibling  $w$  is black and  $w$ 's right child is red.

1. change color of  $w$  to red, the color of  $P(w)$  to black, and color of right child of  $w$  to black.
2. change the color of right child of  $w$  to black.
3. left rotation around  $P(w)$
4. now  $w$  is red



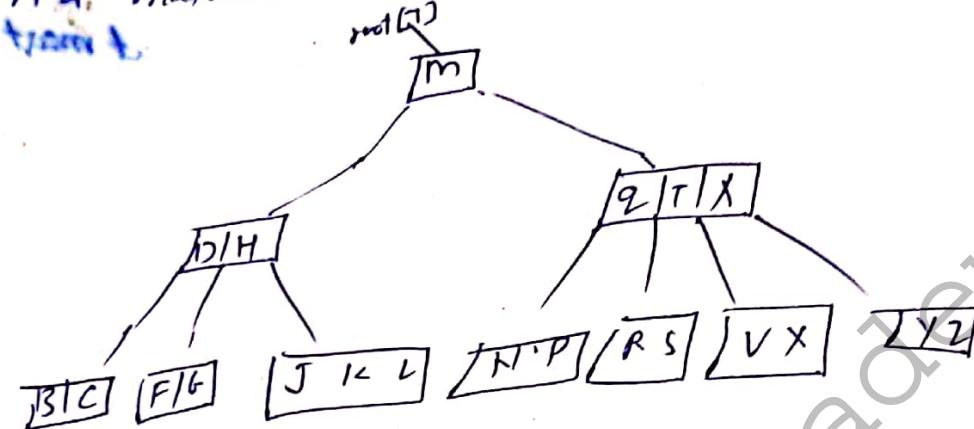
$$m\omega' x = \sinh(T) =$$

In the R-B Tree given below shows the ~~result~~ ~~the~~ result of the deletion of the keys in the tree that result from successive order 8, 12, 19, 31, 38, 41.



B-Tree B-Tree are balanced search-tree designed to work well on magnetic disks or other direct access secondary storage devices.

B-Tree node may have many children from handful to thousands. B-Tree generalize binary search tree in a natural manner.



if internal node  $x$  contains  $n[x]$  keys then  $x$  has  $n[x]+1$  children, and all leaves are at the same depth.

A B-Tree  $T$  is a rooted tree (whose root is  $\text{Root}[T]$ ) having following properties.

1. Every node  $x$  has following properties.
  - a.  $n[x]$  the number of keys currently stored in node  $x$ .
  - b. the  $n[x]$  key themselves sorted in non-decreasing order.
  - c.  $\text{leaf}[x] = \begin{cases} \text{true} & \text{if } x \text{ is leaf} \\ \text{false} & \text{if } x \text{ is an internal node.} \end{cases}$
2. Each internal node also contains  $n[x]+1$  pointers  $c_1[x], c_2[x], \dots, c_{n[x]+1}[x]$  to its children.
3. These keys  $\text{key}_i[x]$  separate the range of keys stored in each subtree.
 
$$k_i \leq \text{key}_1[x] \leq \text{key}_2[x] \leq \dots \leq \text{key}_{n[x]} \leq k_{n[x]+1}$$
4. All leaves have same depth, which is the tree's height.
5. There are lower and upper bound on the number of keys a node contain.

thus Bound can be expressed in term of fixed integer  $t \geq 2$  called minimum degree.

lower Bound: every node other than root must have atleast  $t-1$  key and atleast  $t$  children

upper Bound: every node can contain at most  $2t-1$

key :-

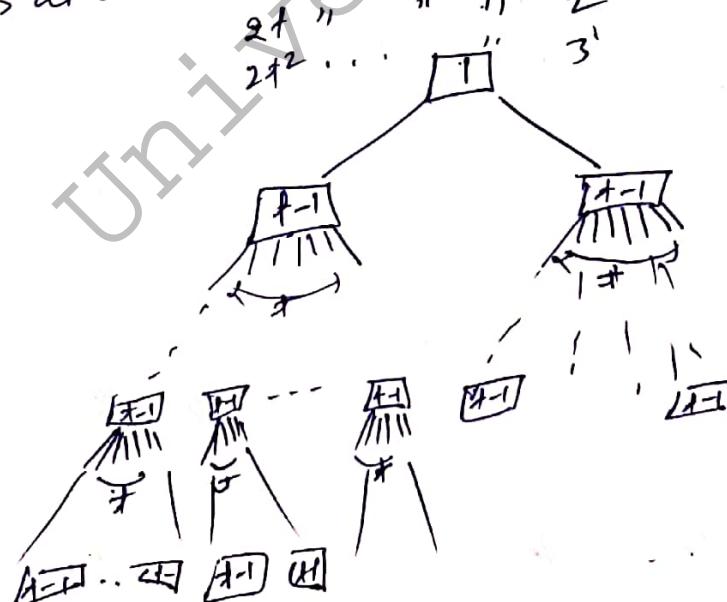
Every internal node has at most  $2t$  children

Note: the simplest B-tree occurs when  $t=2$ . Every internal node then has either 2, 3, or 4 children also called 2-3-4 tree.

Theorem if  $n \geq 1$  then for any  $n$ -key B-Tree  $T$  of height  $h$  and minimum degree  $t \geq 2$ ,  $n \leq \log_t \frac{n+1}{2}$

Proof :-

if B-Tree has height  $h$   
the root contains atleast 1 key  
all other node contains  $t-1$  key  
there are at least 2 nodes at depth 1,



$$\begin{aligned} n &\geq 1 + (t-1) \sum_{i=1}^h 2^i \\ &\geq 1 + 2(t-1) \left( \frac{t^h - 1}{t-1} + \frac{t^h - t^h}{t-1} \right) \\ &= 1 + 2(t-1) \left( \frac{t^h - 1}{t-1} \right) \end{aligned}$$

$$\begin{aligned} n &= 2^{h-1} \Rightarrow t^h = \frac{n+1}{2} \\ h &= \log_t \frac{n+1}{2} \end{aligned}$$

depth      Now key

0              1

1              2

2               $2t$

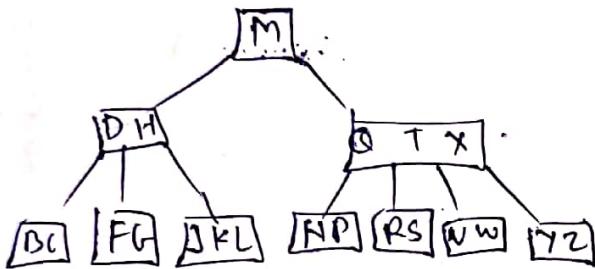
3               $2t^2 -$

## B-Tree operations

1. B-Tree search.
2. B-Tree - create
3. B-Tree - Insert
4. B-Tree - delete.

Root of B-Tree always in main memory  
Only Read never requires

## B Tree Search.



Search  $(x, k)$

$K = R$

B-Tree - Search  $(x, k)$

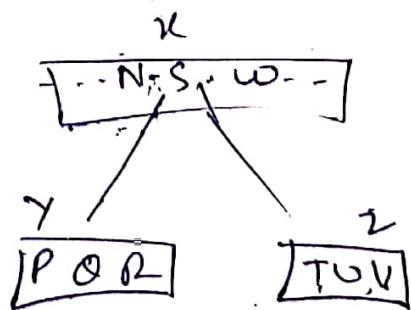
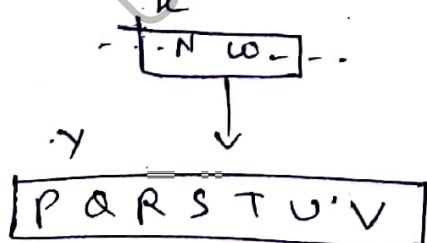
```

1 i ← 1
2 while i ≤ n[x] and k ≥ keyi[x]
3   do i ← i + 1
4   if i ≤ n[x] and k = keyi[x]
5     then return  $(x, i)$ 
6   if leaf[x]
7     then return NIL
8   else DISK READ( $e_i[x]$ )
9   Return BTree Search( $e_i[x]$ )
  
```

Ans BTree (create) → create empty node then call B-Tree  
 B-Tree Insert → Insert to obtain key

splitting a node.

$\frac{x}{y}$

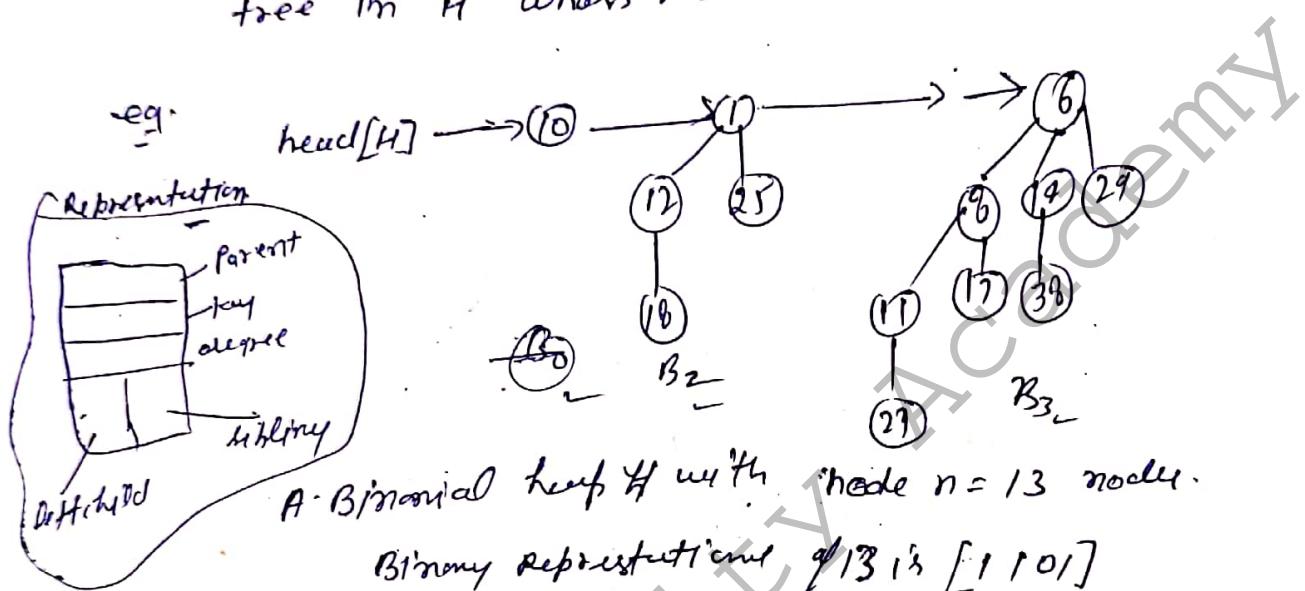


L M N O R

## Binomial Heap

A Binomial Heap is a set of binomial trees that satisfies following Binomial - Heap properties.

1. Each Binomial - Heap obeys the min - heap properties.
2. for any non-negative integer  $K$ , there is at most one binomial tree in  $H$  whose root has degree  $K$ .



## Operations on Binomial Heap

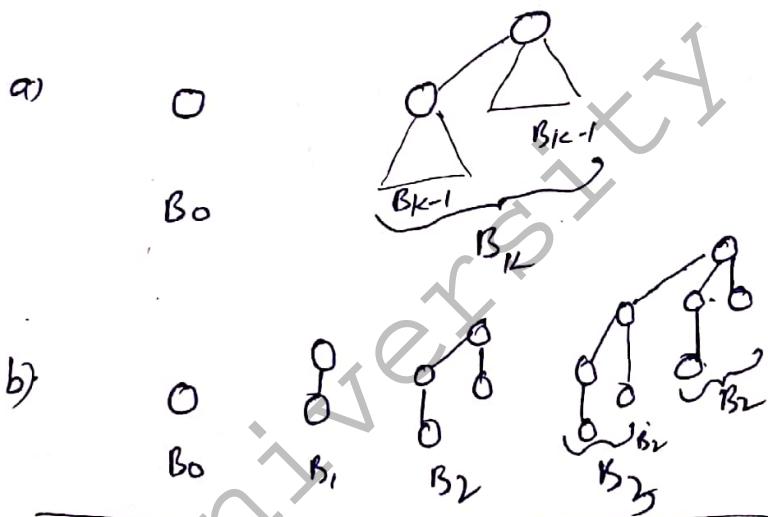
1. Creating a new Binomial Heap  $\rightarrow$  To make an empty binomial heap  $O(1)$
2. Finding the minimum key  $\rightarrow$  the minimum key reside at root node.  $O(1)$
3. Union of two Binomial Heap  $\rightarrow$   $O(\log n)$   $O(\log n + 1)$  times to take finding minimum.
4. Inserting a node  $\rightarrow$   $O(\log n)$
5. Removal of the root of tree  $\rightarrow$   $O(\log n)$
6. Decreasing a key  $\rightarrow$   $O(\log n)$
7. Deleting a node  $\rightarrow$  Decrease key  $\rightarrow$   $O(\log n)$  then call extract min -  $O(1)$

## Binomial Heaps

A Binomial Heap is a data structure that has similar behaviour to a heap but it allows the merge operation.

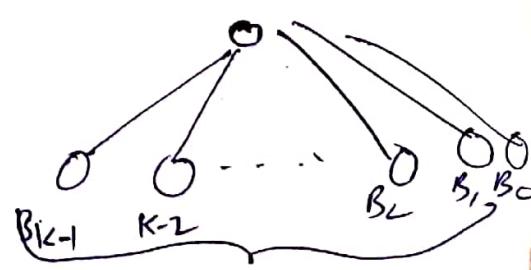
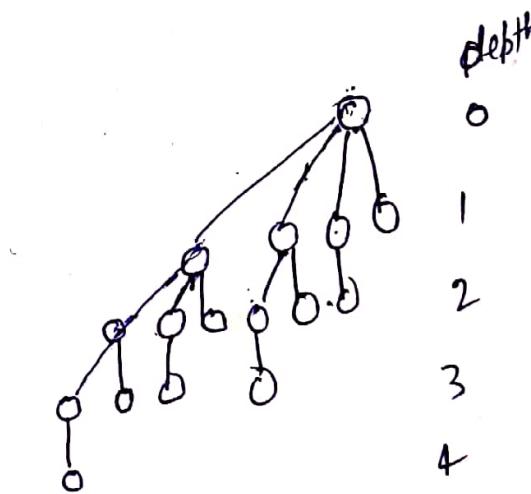
Binomial trees.

of which satisfies the requirement,   
Binomial tree - Binomial tree  $B_k$  is an ordered tree define  
 recursively \* the Binomial tree  $B_0$  consist of single node.  
 \* the Binomial tree  $B_k$  consist two tree  $B_{k-1}$  that  
 are linked together (Root of one is the leftmost  
 child of the root of the other).



## Properties of Binomial trees for $B_{IK}$

1. there are  $2^k$  nodes
  2. the height of tree is  $k$ .
  3. there are exactly  $k_i$  nodes at depth  $i$   $i = 0, 1, \dots, k$ .
  4. the root has degree  $k$  which is greater than any other node. the children of the root are numbered from left to right  $[k-1, k-2, \dots, 0]$



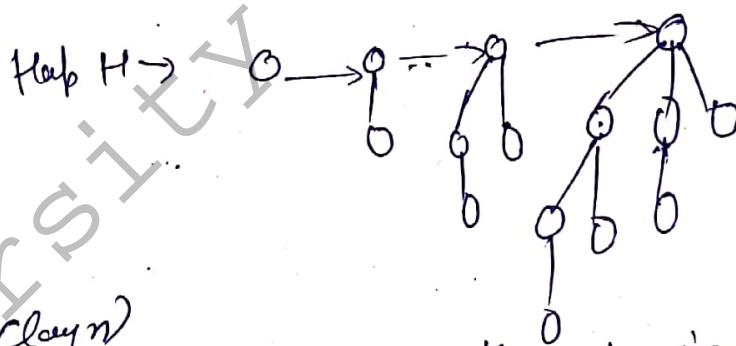
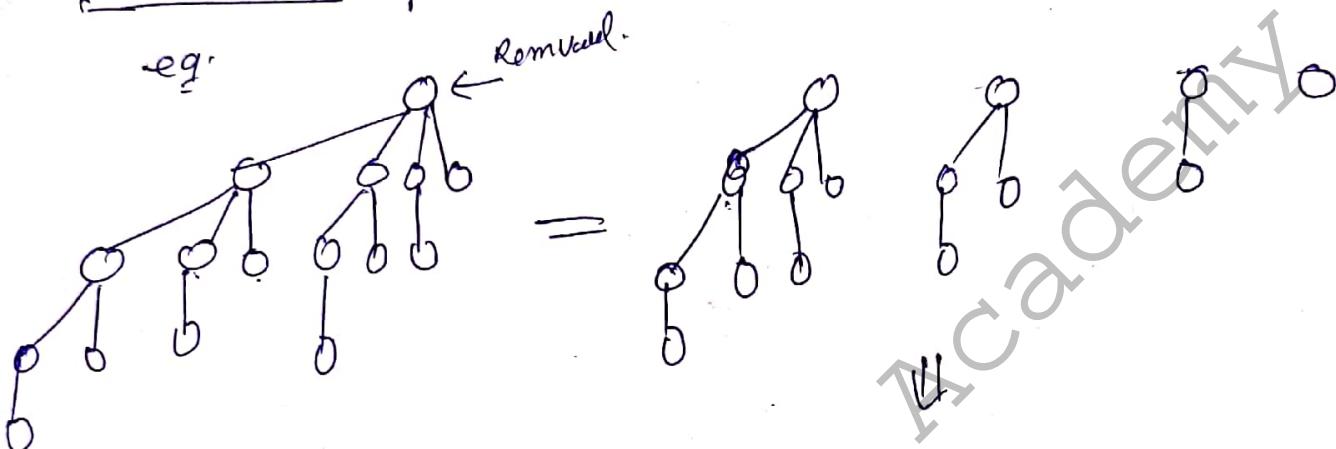
$$K_{C_4} = \frac{LK}{L(k-1)} \cdot \frac{14}{13 \cdot 14 - 3} = \frac{4}{4} = 1$$

## Inserting a node. (lay'n)

To insert an element  $x$  into a heap  $H$ , simply create a new heap containing  $x$  and unify it with  $H$ . the following procedure insert node  $x$  into binomial heap  $H$ .

## Removal of the Root of a tree or Extracting the node with minimum key. (lay'n)

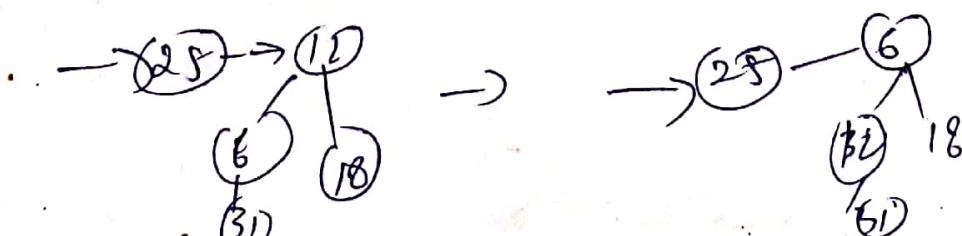
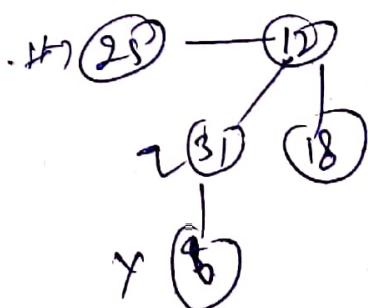
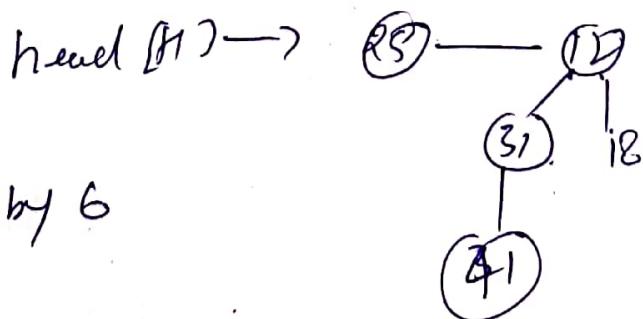
e.g.



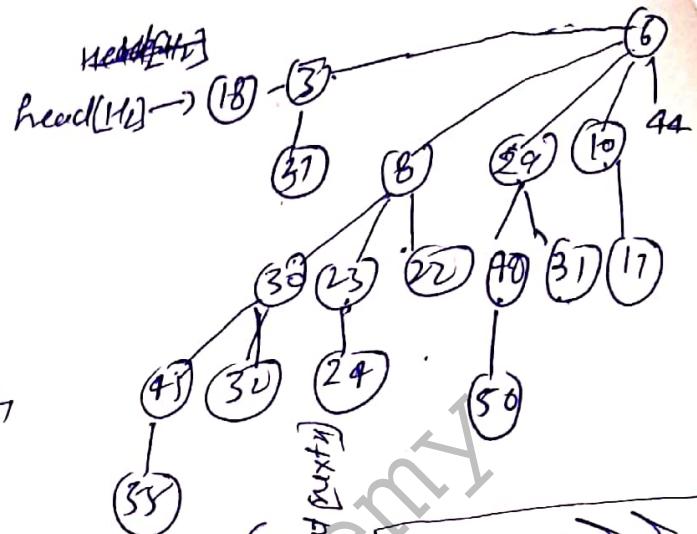
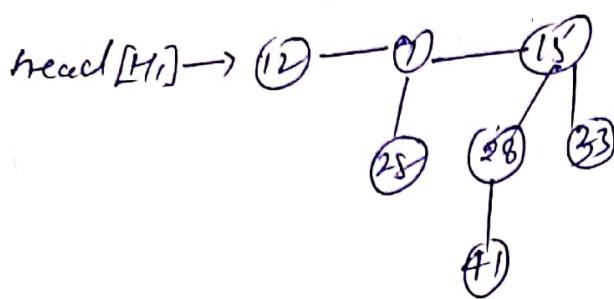
## Decreasing a key. (lay'n)

Procedure decreasing key ~~in~~ in same manner as in ~~binary~~ min-heap

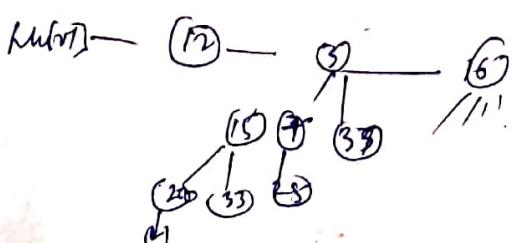
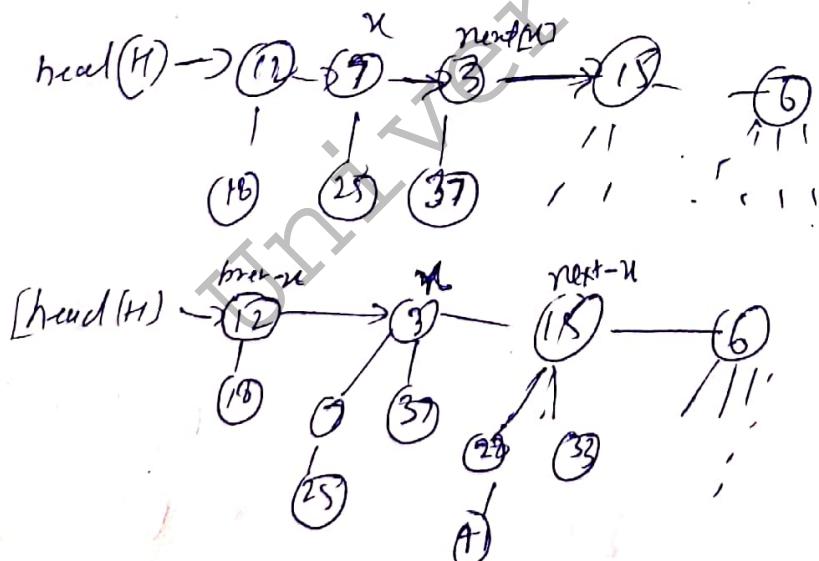
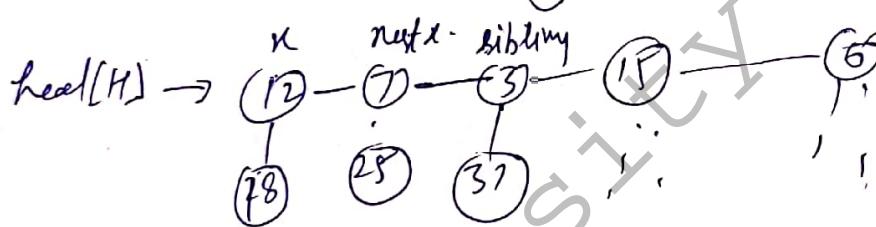
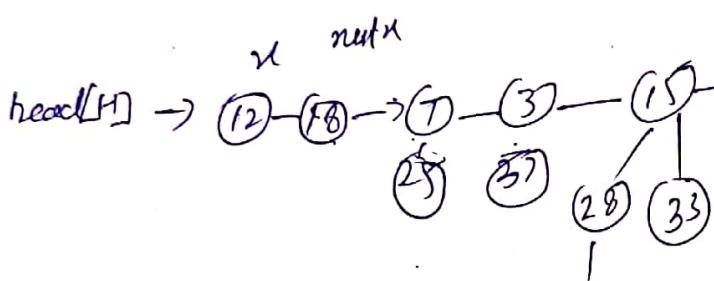
decreased 41 by 6



### 3- Union of Two Binary'ul trees



merge in increasing order of root degrees



Case I:  $\text{degree}[x] = \text{degree}[\text{next}[x]] \neq \text{degree}[\text{sibling}[\text{next}[x]]]$  then  
 then remove  $x$  from root list & attach it next  $x$  and  $\text{next}[x]$   $\text{next}[\text{next}[x]]$   
 Case II:  $\text{degree}[x] \neq \text{degree}[\text{next}[x]] \neq \text{degree}[\text{sibling}[\text{next}[x]]]$  then move the pointers of  $x$  and  
 $\text{next}[x]$  to  $\text{next}[\text{next}[x]]$  then move the pointers of  $x$  and  
 again  $\rightarrow$  more pointers ahead.

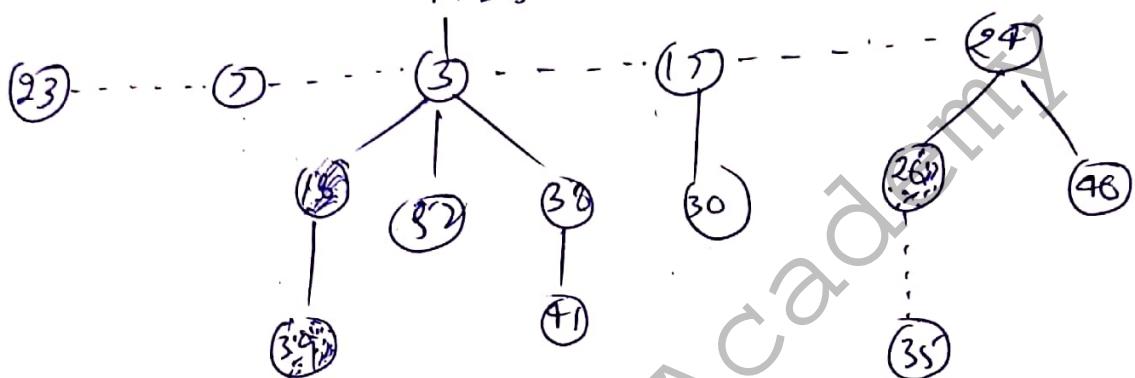
Case III:  $\text{degree}[x] = \text{degree}[\text{next}[x]] \neq \text{degree}[\text{sibling}[\text{next}[x]]]$  then  
 $\text{next}[\text{next}[x]] \leq \text{key}[\text{next}[x]]$  then remove  $\text{next}[x]$  from root and  
 attach the list to  $\text{next}[\text{next}[x]]$  in order to create  $\text{B}[x+1]$  tree.

## Fibonacci Heap

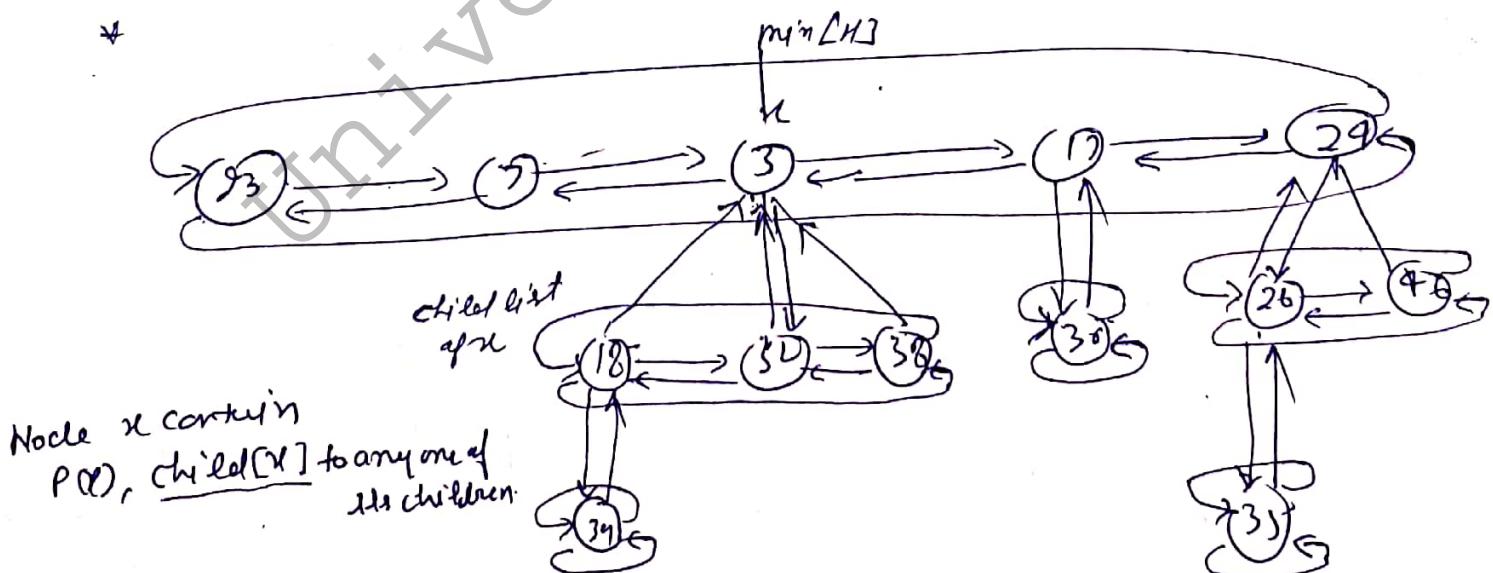
The Fibonacci heap is a collection of min-heaps ordered trees. The trees in Fibonacci heap are not constrained to be binomial. Operations Insert, find minimum, decrease key, and merge (union) work in constant  $O(1)$ ; and operation delete and delete minimum work in  $O(\lg n)$  time.

Example of Fibonacci Reep shown in figure 11.1

min[1]



- unlike binomial heap the tree in fibonacci heap does not have exactly  $2^k$  nodes
  - tree within Fibonacci heap are rooted but unordered
  - roots and sibling lists kept as circular ~~linked~~<sup>doubly</sup> linked list
    - Allows constant time deletion



- \* No. of children in child list of node  $x$  is stored in degree $[x]$ .
- \* Each node has mark $[x]$ , a boolean field indicating whether it has lost a child since the last time it was made a child of another node.
- \* newly created node is unmarked.
- \* Fibonacci heap  $H$  is associated accessed by a pointer min $[H]$  to the root of tree containing minimum key. If min $[H] = \text{nil}$  then Fibonacci heap is empty.
- \*  $n[H]$  keeps number of nodes in Fibonacci heap.

Potential function Analyze the performance of Fibonacci heap

$$\phi(H) = t(H) + 2m(H)$$

/ number of marked node  
 now free root

$$\phi(H) = 5 + 2 \times 3 = 11$$

### Fibonacci-heap Operations.

1 - Creating a new Fibonacci-heap.	$O(1)$
2 - Inserting a node	$O(1)$
3 - Finding minimum node	$O(1)$
4 - Uniting two Fibonacci-heaps	$O(1)$
5 - Extracting the minimum node	$O(\log n)$
6 - Decreasing a key	$O(1)$
7 - Deleting a node	$O(\log n)$

① Creating a new Fibonacci heap:  
 → the operating creating a new heap  
 creates empty fibonaci heap

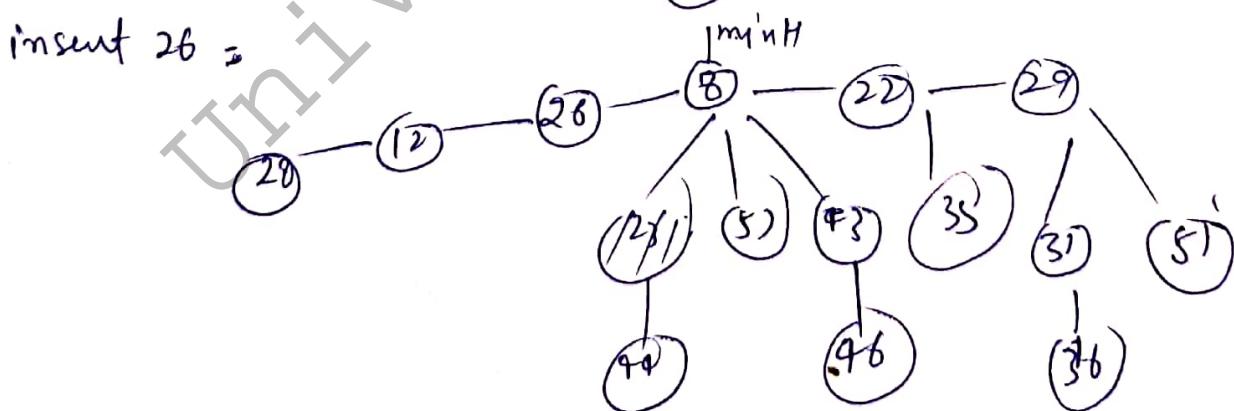
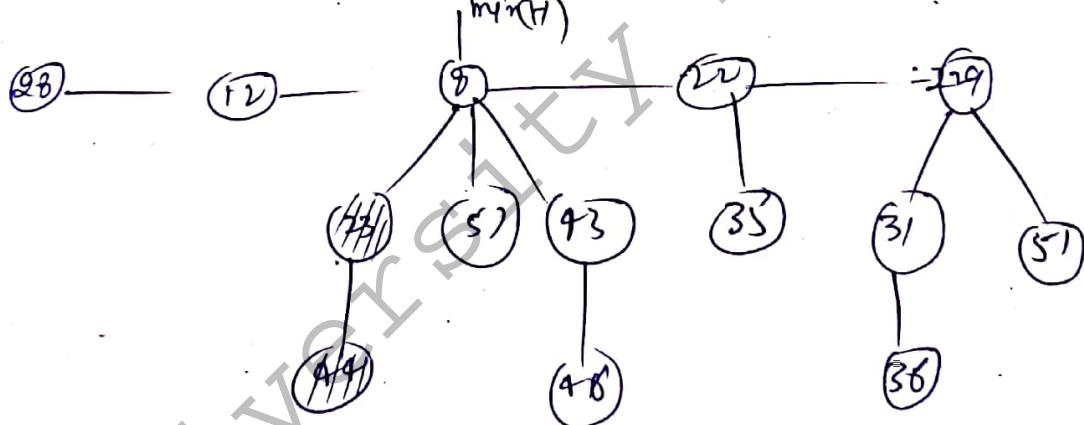
e.g.  $\min(H)$   
 |  
 NIL

$$\begin{aligned} \alpha(H) &= 0 \\ \phi(H) &= 0 \\ \delta(H) &= 0 \\ m(H) &= 0 \end{aligned}$$

Inserting node

Create a new singleton tree and add it to the left of  $\min(H)$  pointer

$$\begin{aligned} \delta(H') &= \delta(H) + 1 \\ m(H') &= m(H) \\ \phi(H') &= \phi(H) + 1 \end{aligned}$$



Finding minimum!  $\rightarrow$  minimum node in Fibonacci heap ( $H$ ) is given by pointer  $\text{min}(H)$ . It always points to minimum key.

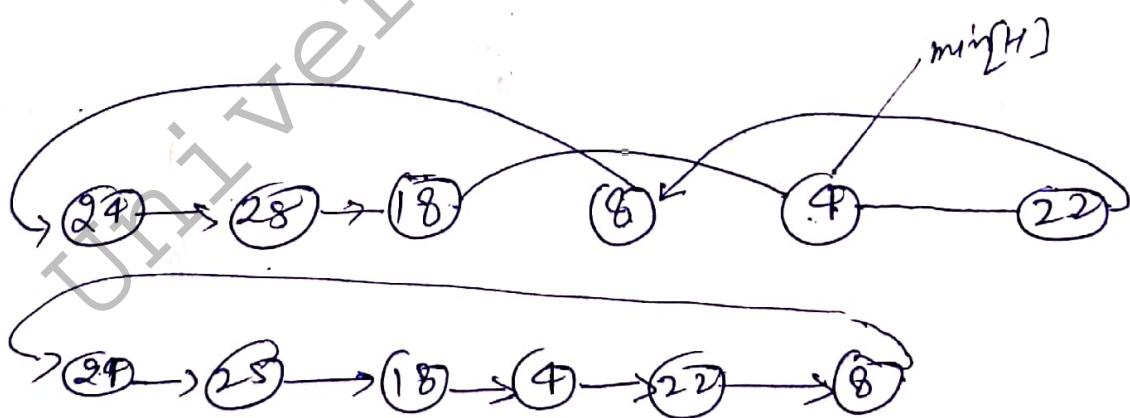
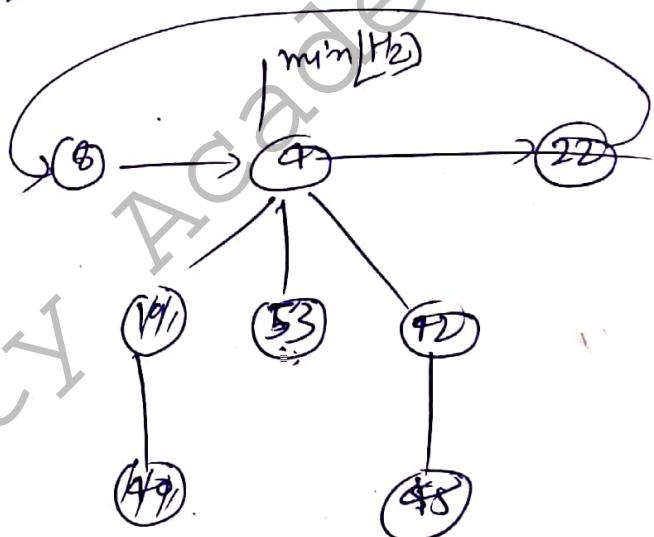
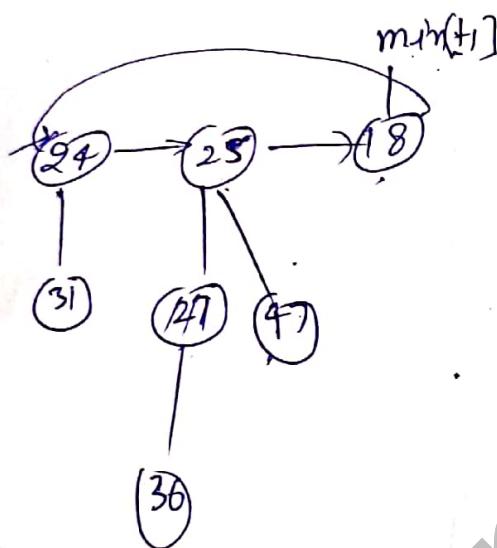
Uniting two Fibonacci heap-

The operation simply concatenates the root list of  $H_1$  and  $H_2$  and maintaining the new  $\text{min}(H)$  pointer

$$\phi(H) = \phi(H_1) \cup \phi(H_2)$$

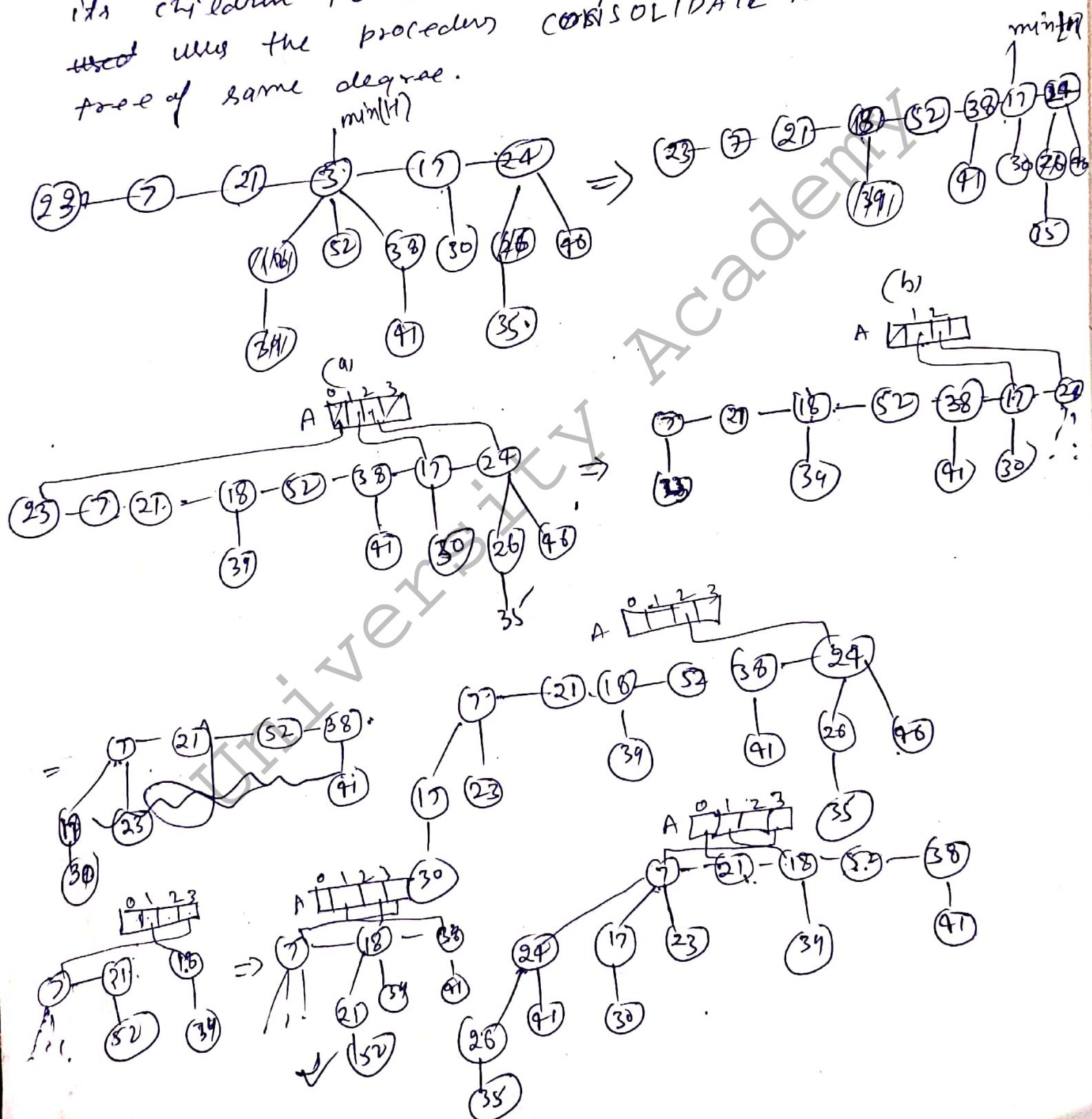
$$t(H) = t(H_1) + t(H_2)$$

$$m(H) = m(H_1) \cup m(H_2)$$

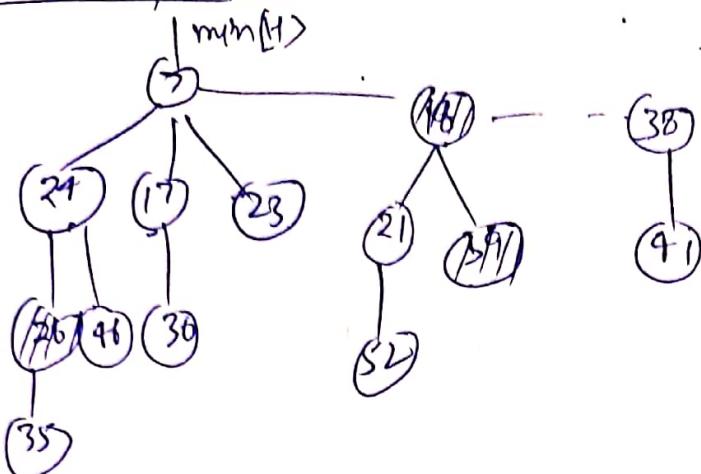


Extract min(H)

the process of extracting the minimum node is the most complicated of the operations presented in this section — the operation is accomplished by first deleting the minimum key node and then moves all its children to root list of Fibonacci heap. It also uses the procedure CONSOLIDATE to merge the tree of same degree.



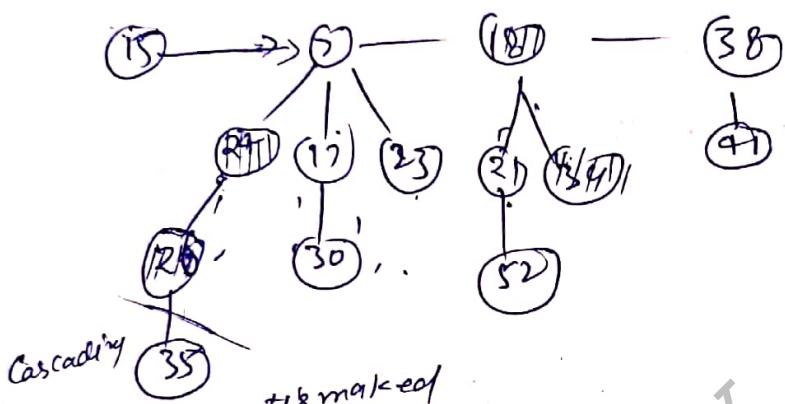
Decreasing key:



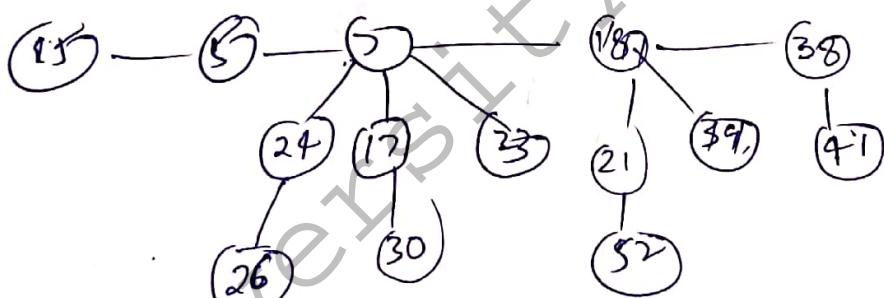
decrease key 46 to 15

- (1) insert key 15
- (2) cut the x from tree.
- (3) if parent of x is unmarked, mark it  
else: cut parent and insert to tree and unmark it

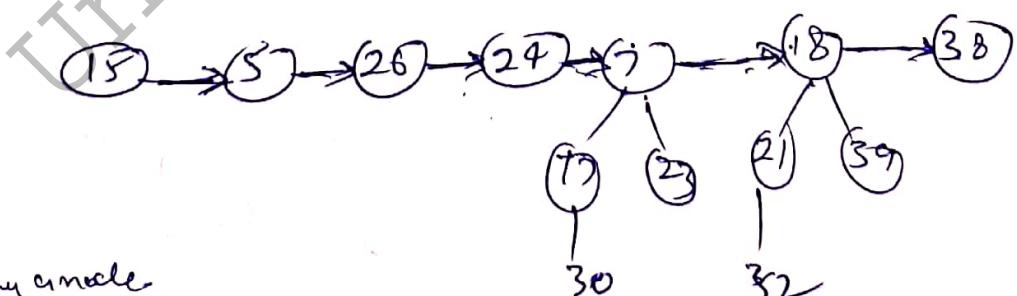
decreases 35 to 5



Cascading  
Cut: Parent is marked



Cascading cut.



Decreasing min(H)

- (1) Fib-tree decrease key to (-∞)
- (2) Fib-tree - extract min(H)

Trie (digital tree or Prefix tree):

tries are a special and useful data structure that are based on prefix of a string. They are useful used to represent the Retrieval of data and thus the name trie.

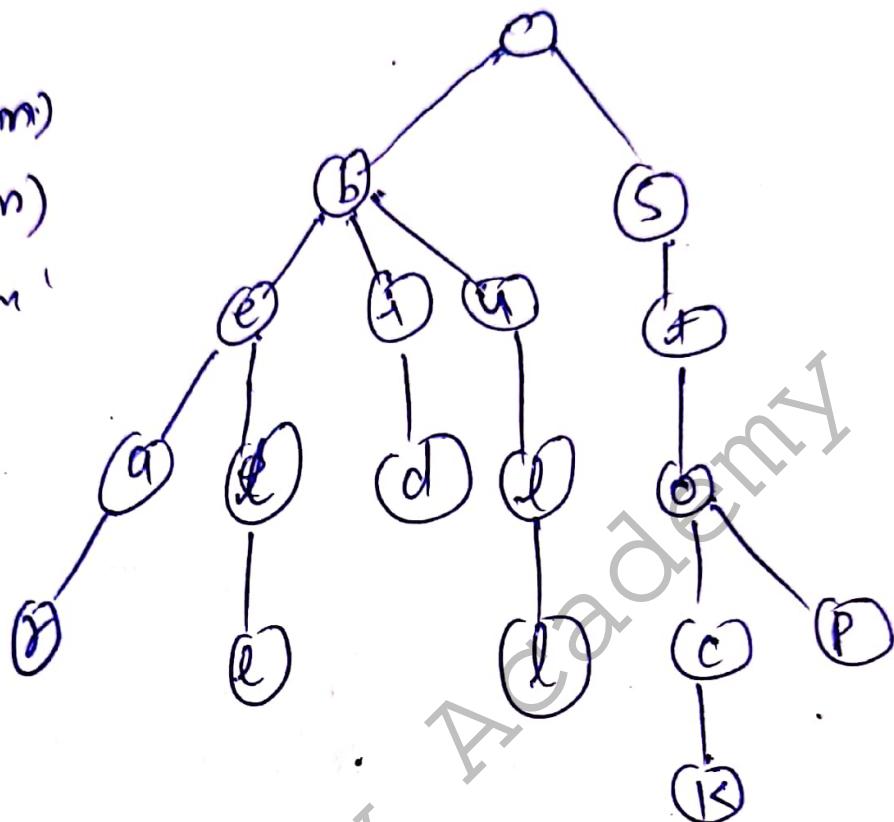
Tries consist of node and edge each node to consist of 26 children and edge to connect each parent node to children. There 26 children are letters of English Alphabets.

example

- Tree
- stores a set of strings
- every node (except root) will store a letter in Alphabets

e.g.  $S = \{ \text{bear, bell, bid, bull, stock, stop} \}$

Search -  $O(m)$   
Insert  $O(m)$   
Delete  $O(m)$

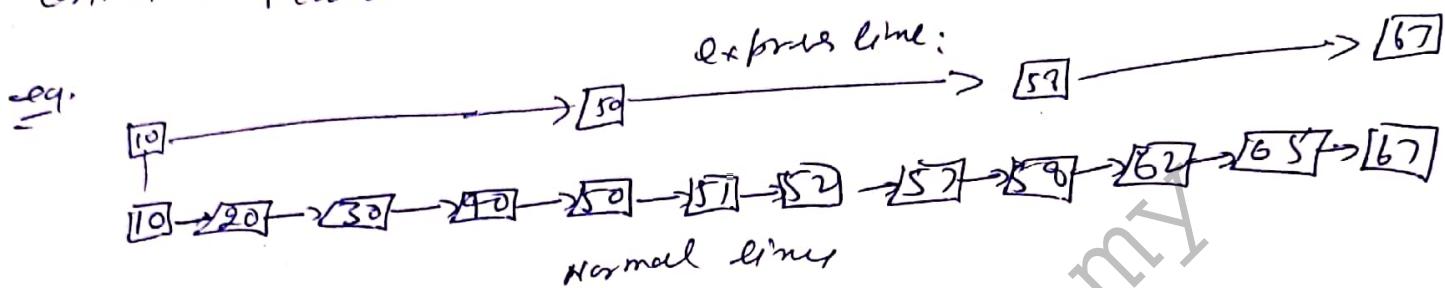


### Application

- ① ~~dictionary~~ dictionary.
- ② spell checker
- ③ prefix matching
- ④ network browser history

## Skip list

The skip list is a probabilistic data structure that is built subsequent layer of linked list upon an original linked list. Each additional layer of links contains fewer elements that not new.



Time complexity

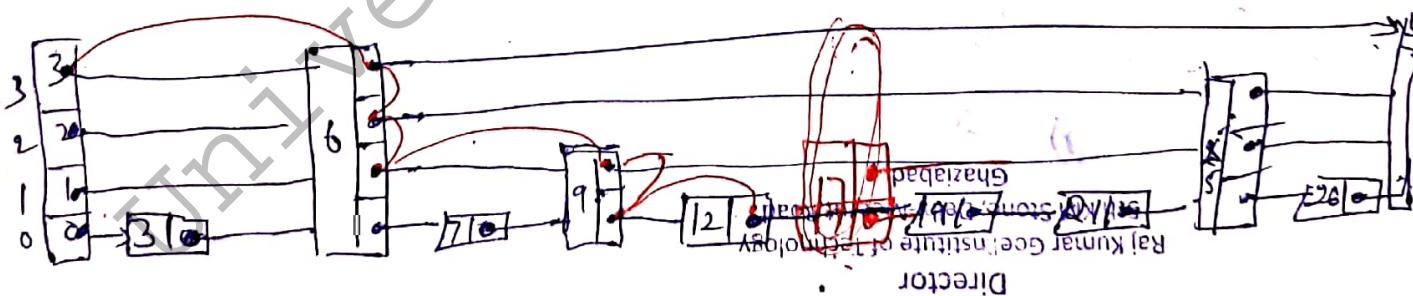
Linear search  $O(n)$

Binary search  $O(\log n)$

Skip list  $\rightarrow$  Time complexity depend on number of layers. In average case time complexity for search, Insert delete  $O(\log n)$

## Insertion

insert - 17



Search  $\rightarrow$  12

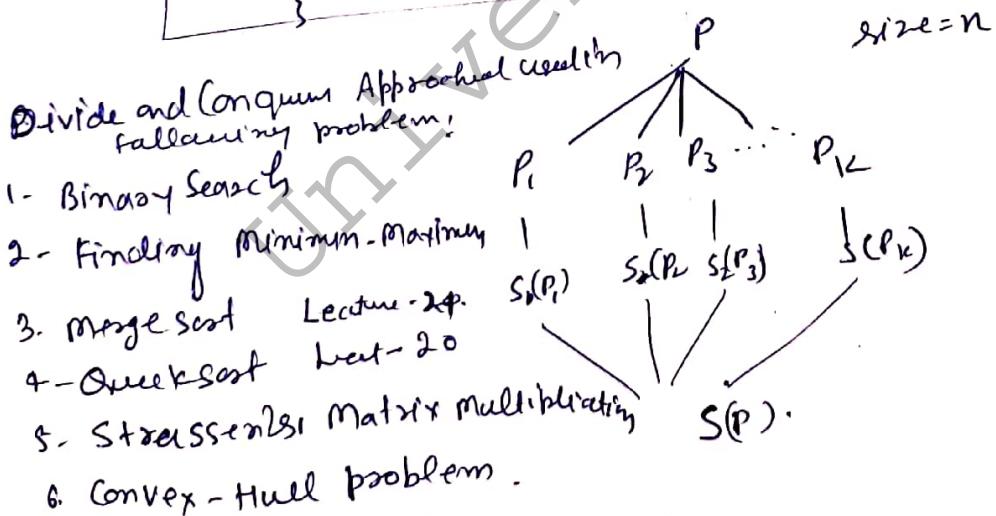
Result: 16 - Second one level

## Divide and Conquer

- Divide and conquer is a top down technique for designing algorithms that consist of dividing the problem into following major phases.
- 1- Divide: Breaking the problem into sub-problems that are similar to original problem but smaller in size.
  - 2- Conquer: solve the sub-problem recursively
  - 3- Combine: combine these solution to subproblems to create a solution to the original problem.

Algo DAndC( $P$ )

```
if small( $P$ ) then return  $S(P)$ 
else
  divide  $P$  into smaller  $P_1, P_2, \dots, P_k$  ( $k > 1$ )
  Apply DAndC to each subproblem; Recursively
  return combine (DAndC( $P_1$ ), DAndC( $P_2$ ), ..., DAndC( $P_k$ ))
```



## Binary Search

```
Algorithm BinSrch(a, i, l, x)
{
    if (l == i) then //smallp
    {
        if (x == a[i]) then return i;
        else return 0;
    }
}
```

else // Reduce P in sub problem

$$\text{mid} = \lfloor (i+l)/2 \rfloor$$

if (x == a[mid]) then return mid;

else if (x < a[mid]) then

return BinSrch(a, i, mid-1, x)

else return BinSrch(a, i+1, l, x);

}

}

example:

1	2	3	4	5	6	7	8	9	10	11	12	13	14
15	-6	0	7	9	23	54	82	101	112	125	131	142	151
i						mid							l

$x = 151$

if ( $x == a[\text{mid}]$ ) = ( $151 == 54$ ) False.

$x = 151$	$i$	$l$	$\text{mid}$
	1	14	7
	8	14	11
	12	14	13
	14	14	14
			Found.

$x = -14$

1	14	7
1	6	3
1	2	1
2	2	Not found.
2	1	Not found.

$$T(n) = \begin{cases} 1 & n=1 \\ T(n/2) + 1 & n>1 \end{cases}$$

mathematically

$$T(n) = O(\log n)$$

Finding minimum & maximum (maximum & minimum) (problem to find maximum and minimum item in the set of  $n$  elements)

Algo. MaxMin( $i, j, \max, \min$ )

$T(n)$

{  
if ( $i == j$ ) then  $\max = \min = a[i]$  // small P}

else if ( $i = j-1$ ) then // small P

{ if ( $a[i] < a[j]$ ) then

$\max = a[j]$ ; ~~not~~  $\min = a[i]$

else

$\max = a[i]$ ; ~~not~~  $\min = a[j]$ ;

else

{ // not a small P

$mid := \lfloor \frac{i+j}{2} \rfloor$

MaxMin( $i, mid, \max, \min$ )

$T(n/2)$

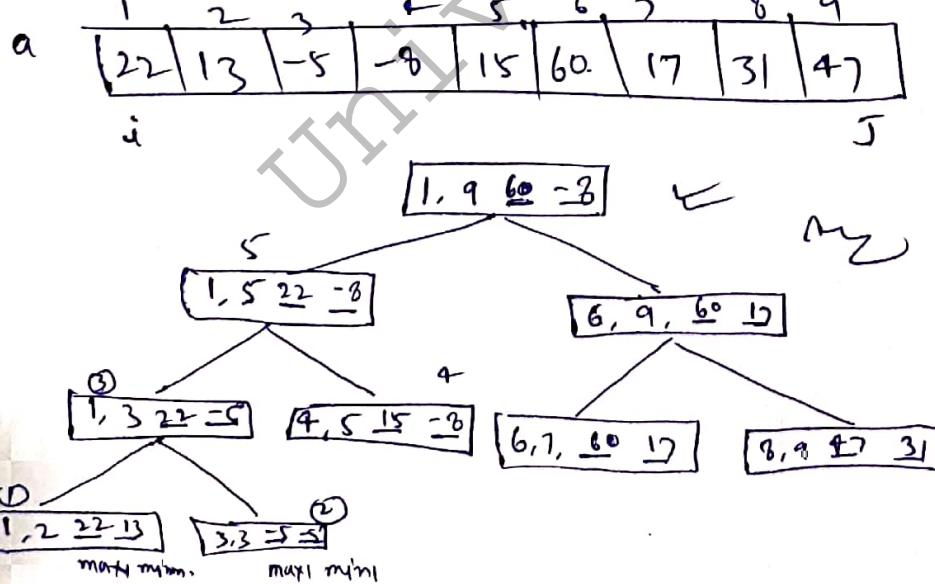
MaxMin( $mid+1, j, \max1, \min1$ )

if ( $\max < \max1$ ) then  $\max = \max1$ ;

if ( $\min > \min1$ ) then  $\min = \min1$ ;

}

}



$$T(n) = \begin{cases} 1 & n=1 \text{ or } 2 \\ 2T\left(\frac{n}{2}\right) + 1 & n > 2 \end{cases}$$

$\Theta(n)$

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

$$= a = 2 \quad b = 2 \quad f(n) = 1$$

$$n^{\log_b a} = n^{\log_2 2} = n$$

$f(n) = 1$

call 1  $f(n) < n^{\log_b a}$

$$T(n) = O(n^{\log_2 2})$$

$\Theta(n)$

Ans

## STRASSEN'S MATRIX MULTIPLICATION

1. Conventional method
  2. Divide and conquer strategy
  3. Strassen's matrix multiplication strategy
1. Conventional method.

$$A = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix}_{2 \times 2} \times B = \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}_{2 \times 2} = C = \begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix}_{2 \times 2}$$

$$A \times B = C$$

- ⇒ matrix multiplication ( $A \times B$ )
- + multiply two  $n \times n$  matrices  $A$  and  $B$
  - 2.  $n \leftarrow \text{row}[A]$
  - 3. let  $C$  be an  $n \times n$  matrix
  - 4. for  $i \leftarrow 1$  to  $n$ 
    - 5. do for  $j \leftarrow 1$  to  $n$ 
      - 6. do  $C[i, j] = 0$
      - 7. do for  $k \leftarrow 1$  to  $n$ 
        - 8. do  $C[i, j] = C[i, j] + A[i, k] + B[k, j]$

time complexity =  $O(n^3)$ .

### 2. Divide and Conquer strategy :-

for  $n \times n$  matrix,  $n = 2^k$   $k = 1, 2, 3, \dots$  positive integer if  $n$  is not power of two then rows and columns of zero can be added. to but  $A$  and  $B$ .

$$\left. \begin{array}{l} C_{11} = A_{11}B_{11} + A_{12}B_{21} \\ C_{12} = A_{11}B_{12} + A_{12}B_{22} \\ C_{21} = A_{21}B_{11} + A_{22}B_{21} \\ C_{22} = A_{21}B_{12} + A_{22}B_{22} \end{array} \right\} \text{for } 2 \times 2 \text{ matrix:}$$

$$\begin{bmatrix} A_{11} & A_{12} & A_{13} & A_{14} \\ A_{21} & A_{22} & A_{23} & A_{24} \\ \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots \end{bmatrix} \times \begin{bmatrix} B_{11} & B_{12} & B_{13} & B_{14} \\ B_{21} & B_{22} & B_{23} & B_{24} \\ \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots \end{bmatrix} = \begin{bmatrix} C_{11} & C_{12} & C_{13} & C_{14} \\ C_{21} & C_{22} & C_{23} & C_{24} \\ \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots \end{bmatrix}$$

$$4 \times 4 = 4[n/2 \times n/2] = 4 \times 4$$

is multiplication of  $n/2 \times n/2$  matrices  
+ addition of  $n/2 \times n/2$  matrices

$$T(n) = \begin{cases} 1 & n \leq 2 \\ 8 T(n/2) + cn^2 & n > 2 \end{cases}$$

Recursive call

Also  $MM(A, B, n)$

$\{$   
if ( $n \leq 2$ )

$$c_{11} = A_{11} \times B_{11} + A_{12} \times B_{21}$$

$$c_{12} = \dots \quad \dots$$

$$c_{21} = \dots \quad \dots$$

$$c_{22} = \dots \quad \dots$$

$\} \quad \text{else}$

$$\{ \text{mid} = n/2, \text{mid};$$

$$MM(A_{11}, B_{11}, n/2) + MM(A_{12}, B_{21}, n/2)$$

$$MM(A_{11}, B_{12}, n/2) + MM(A_{12}, B_{22}, n/2)$$

$$a=8 \quad b=2 \quad \log_2 9 = \underline{\log_2 8} = 3$$

$$f(n) = n^2$$

$$n^2 = n \quad K < \log_2 9$$

$$\text{Case} \quad O(n^3)$$

### 3 Strassen's formulae

This method computes 7  $n/2 \times n/2$  matrices  $P, Q, R, S, T, U, V$  as follows

$$\left\{ \begin{array}{l} P = (A_{11} + A_{22}) \cdot (B_{11} + B_{22}) \\ Q = (A_{21} + A_{22}) \cdot B_{11} \\ R = A_{11} (B_{12} - B_{22}) \\ S = A_{22} (B_{21} - B_{11}) \\ T = (A_{11} + A_{12}) \cdot B_{22} \\ U = (A_{21} - A_{11}) \cdot (B_{11} + B_{12}) \\ V = (A_{12} - A_{22}) (B_{21} + B_{22}) \end{array} \right. \quad \text{7 multiplications}$$

total  
7 multiply and  
10+18 addition  
subtraction

matrix multiplication

$$a=7 \quad b=2$$

$$\log_2 9 = \log_2 7 = 2.81$$

$$f(n) = n^2 = n \quad K < 2.81$$

$$\text{Ans} \quad f(n) = O(n^{2.81})$$

$$\left\{ \begin{array}{l} G_F = P + S - T + V \\ C_{12} = R + T \\ C_{21} = Q + S \\ C_{22} = P + R - Q + U \end{array} \right. \quad \text{8:}$$

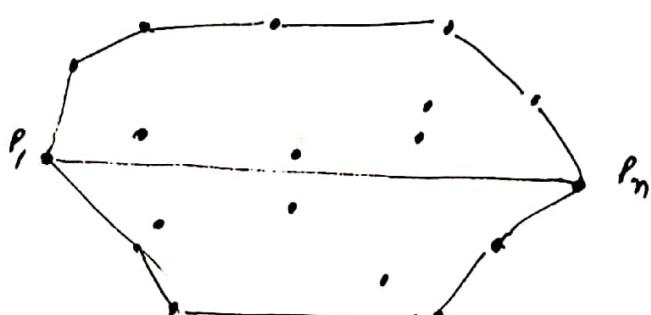
$$T(n) = \begin{cases} 1 & n \leq 2 \\ 7f(n/2) + cn^2 & n > 2 \end{cases}$$

## CONVEX-HULL Problem

- Convex hull is the ~~line~~ is the smallest convex polygon that contains all the points in the plane.
- Given a set  $X$  of  $n$  points  $P_1(x_1, y_1), \dots, P_n(x_n, y_n)$  in the plane we want to find convex hull of  $X$ .
- The divide and conquer algorithm takes  $O(n \log n)$  time to compute convex hull in clockwise order.
- The problem reduces to finding upper and lower hull and then putting together.
- Computation of upper and lower hull are similar hence we can compute here upper hull.
- Partition  $X$  into  ~~$x_1$  and  $x_2$~~  two nearly equal halves. half. according  $x$  - coordinates. value.

## Process of finding upper hull

- ① if  $X_1$  is empty then upper hull is simply line with end points  $P_1$  and  $P_n$ .
2. if  $X_1$  is not empty the algorithm finds  $P_{\max}$  in  $X_1$  which is farthest from the line  $P_1, P_n$ .
3. if there is tie then ~~max~~ point that maximizes the angle  $\angle P_{\max} P_1 P_n$  can be selected.
4. Now algorithm identifies all the points of  $X_1$  that are left of line  $P_1, P_{\max}$  ~~and~~ goto step 1.



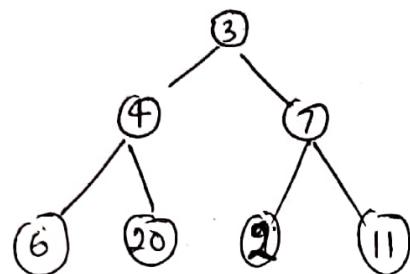
## Greedy Algorithm.

A greedy algorithm is a strategy that makes the best optimal choice at each stage. with hope of finding a global optimal

Pros - simple, easy to implement, run fast.

cons: this algorithm do not always yield optimal solution.

optimal solution.



minimum sum.

greedy approach.

$$3 + 4 + 6 = 13$$

actual: -

$$3 + 7 + 2 = 12$$

finding the path with maximum sum and minimum sum

→ maximum sum:  
greedy approach:

$$3 + 7 + 11 = 21$$

actual:  $3 + 4 + 20 = 27$

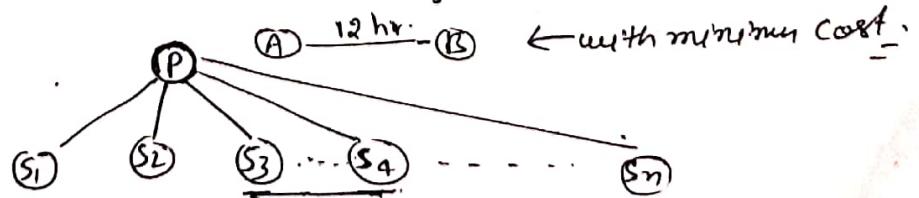
Note - greedy Algorithm always make a choice that looks best at the moment.

optimization problem:

optimization problem are those problem which objective to maximize or minimize some value. for exm.

- 1- finding the shortest path between two vertices.
- 2- get the maximum no. of activity per from by a person.

start time & finish time:



↑  
optimal selection.

Optimal optimization problem is the problem of finding best selection from all feasible selection.

```

Algo Greedy(a, n)
  // a[1...n] contain n input
  {
    solution = 0;
    for i=1 to n do
    {
      x = select(a);
      if Feasible(solution, x) then
        solution union (solution, x)
    }
    return solution;
  }

```

### Application of Greedy Algorithm

- 1- Activity Selection problem
- 2- Huffman coding
- 3- Job sequencing problem
- ✓ 4- Fractional knapsack Problem.
- ✓ 5- minimum spanning tree
- ✓ 6- single source shortest path.

### Activity Selection Problem

There are  $n$  different activity are given with their starting and ending time. Select the maximum no of activity to solve by a single person. Greedy method will give optimal solution always.

- 1- sort the activity with their finishing time.
- 3- find compatible activity and add it ~~to~~ <sup>in</sup> setup list.

### Greedy-Activity-Selector (S, F)

1.  $n \leftarrow \text{length}[S]$
2.  $A \leftarrow \{1\}$
3.  $j \leftarrow 1$
4. for  $i \leftarrow 2$  to  $n$ - 5.     do if  $s_i \geq f_j$ - 6.         the  $A \leftarrow A \cup \{i\}$ - 7.          $j \leftarrow i$

8. return A

$$S = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$$

$$S_1 = \{1, 2, 4, 1, 5, 6, 9, 11, 13\}$$

$$f_i = \{3, 5, 7, 8, 9, 10, 11, 14, 16\}$$

1	2	3	4	5	6	7	8	9
$s_i$	1	2	4	1	5	8	9	11
$f_i$	3	5	7	8	9	10	11	14

$$A = \{1, 3, 6, 8\}$$

optimal solution

this is not only optimal schedule but  $\{2, 5, 7, 9\}$  is also optimal

time complexity :- sorting time  $n \log n$  and other part take  $n^2$  time.  
so total is  $n \log n + n^2$  big O

Ques Given 10 activity along with their start and end time.

$$S = \{A_1, A_2, A_3, A_4, A_5, A_6, A_7, A_8, A_9, A_{10}\}$$

$$S_1 = \{1, 2, 3, 4, 7, 6, 9, 9, 11, 12\}$$

$$f_i = \{3, 5, 4, 7, 10, 9, 11, 13, 12, 14\}$$

Step 1:- Sort activity with increasing order of end time.

$A_1$	$A_3$	$A_2$	$A_4$	$A_6$	$A_5$	$A_7$	$A_9$	$A_8$	$A_{10}$
1	3	2	4	6	7	9	11	9	12
3	4	5	7	9	10	11	12	13	14

Ans  $A = \{A_1, A_3, A_2, A_6, A_7, A_9, A_{10}\}$  ✓ optimal

## Huffman Coding

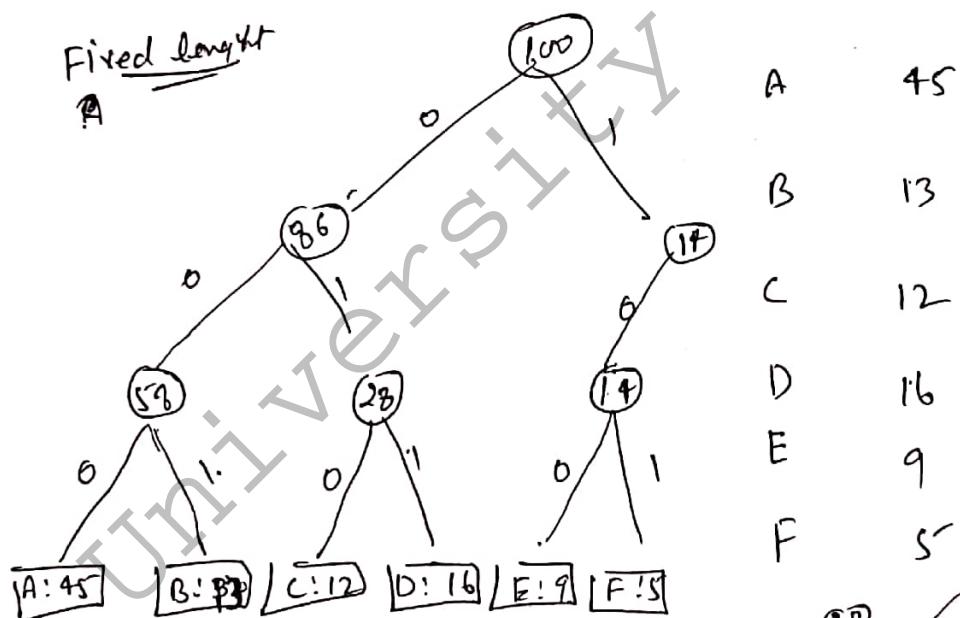
Huffman codes are used to compress the data upto 90%.  
depending on the data.

Suppose we have 100,000 character data having six different characters.

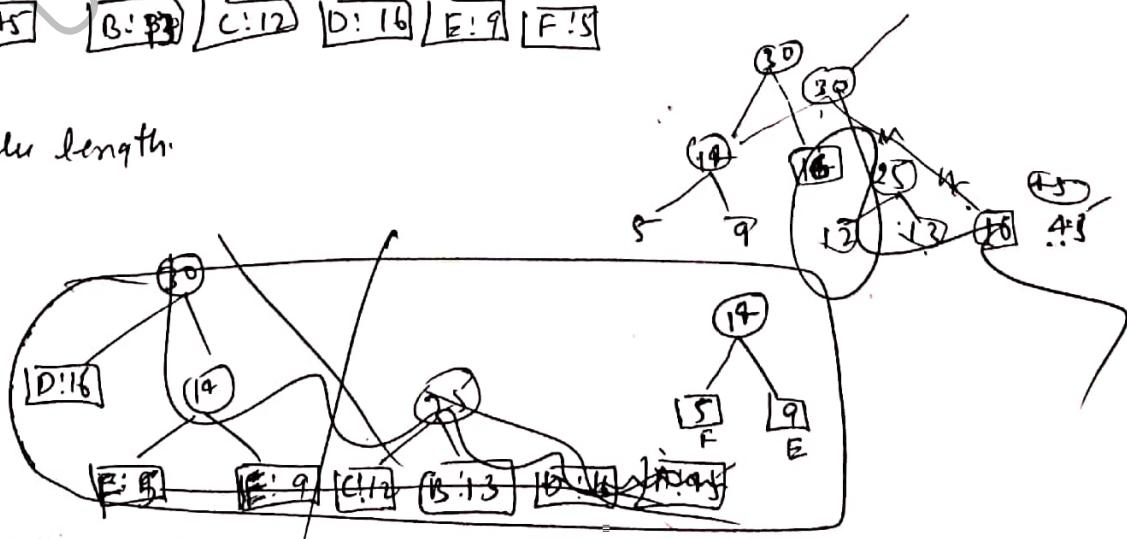
A	B	C	D	E	F
45000	13000	12000	16000	9000	5000
0000000000	0100001000	0100001000	1000000000	1010000000	1010000000

ASCII code (fixed-length 8bit)  
variable length - 0 101 100 111 1101 1100 = 45Kx1 + 13Kx3 + 12Kx3 + 16Kx3 + 9Kx4 + 5Kx4 = 224000 bits

## Huffman coding (Variable length.)

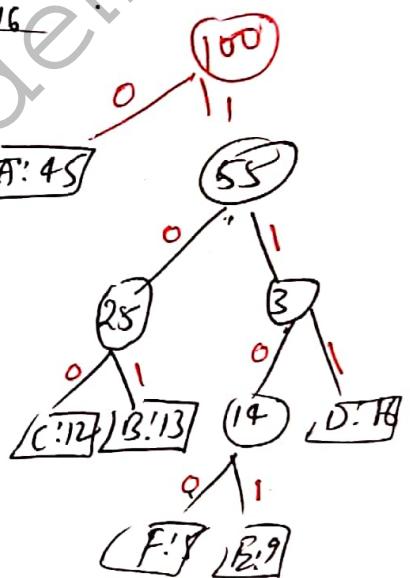
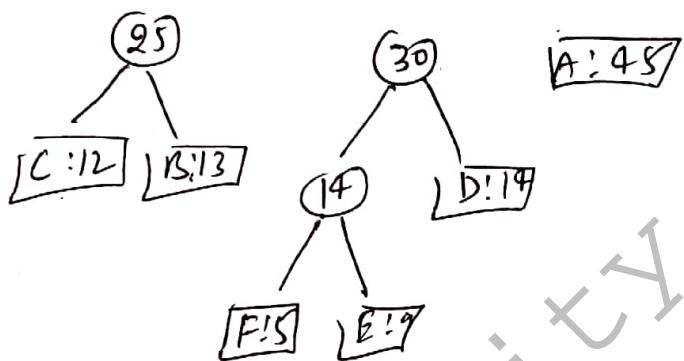
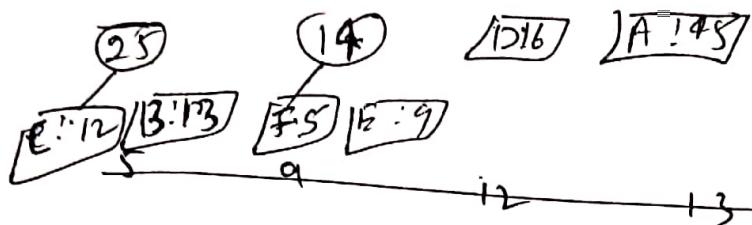
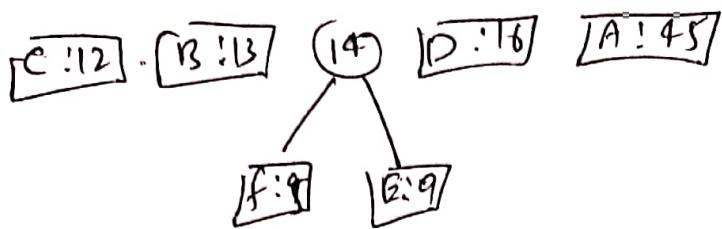


## Variable length



(3)

F:5 E:9 C:12 B:13 ~~D:16~~ A:45



time complexity ( $n \log n$ )

~~Extract min can't be done in time  $n \log n$~~

$$(\log n) * n = \mathcal{O}(n \log n)$$

## Job Sequencing with Deadline

we are given a set of  $n$  jobs, each job, are associated with Profit  $P_i > 0$ , and dead completion of completion  $d_i \geq 0$ . Profit is earned iff it is completed within deadline. Assume the machine that each job take one unit of time to complete it.

$$n = 4$$

Jobs	$J_1$	$J_2$	$J_3$	$J_4$
Profit	$P_1$	$P_2$	$P_3$	$P_4$
	100	10	15	27
deadline	$d_1$	$d_2$	$d_3$	$d_4$
	2	1	2	1

max profit, unit time

maximization problem by Greedy method:

(1) sort the job by profit in non-increasing order.

$$\frac{P_1}{100}, \frac{P_4}{27}, \frac{P_3}{15}, \frac{P_2}{10}$$

$$0 \xrightarrow{J_4} 1 \xrightarrow{J_1} 2$$

$(J_4, J_1)$  order optimal

$$100 + 27 = 127 \text{ profit}$$

Cyber cafe example

possible solution

	Sequence 1 (Job)	100
1 (1)		
2 (2)	(2)	10
3 (3)	3	15
4 (4)	4	27
5 1,2	2, 1, 2	110
6 1,3	1, 3, 2 or 3, 1	115
7 1,4	4, 1, 2	127 optimal
8 2,3	2, 3	25
9 2,4	2, 4	
10 3,4	4, 3, 2	42

Question

$$n=5$$

$$\text{Job}(J_1 \dots J_5) = 1, 2, 3, 4, 5$$

$$\text{Profit}(P_1 \dots P_5) = 10, 15, 10, 5, 1$$

$$\text{Deadline}(d_1 \dots d_5) = 2, 2, 1, 3, 3$$

## Knapsack Problem (fraction)

we have  $m$  object and a knapsack (bag). each object have some profit ( $P_i$ ) and weight ( $w_i$ ), the capacity of bag is  $m$ . the problem is to filling bag with object that maximize total profit earned.

Consider  $n = 3, m = 20$   
 $\text{Profit}(P_1, P_2, P_3) = (25, 24, 15)$  and  $\text{weight}(w_1, w_2, w_3) = (18, 15, 10)$

object	1	2	3		
Profit	25	24	15		
weight	18	15	10		
greedyability	$P/w$	$1.38$	$1.6$	$1.5$	
1- Maximum profit first	$(x_1, x_2, x_3)$			$\sum w_i x_i$	$\sum P_i x_i$
	$(1, 2/15, 0)$			$18 + 2 = 20$	$25 + 3 \cdot 2 = 28.2$
2- minimum weight first	$(0, 2/3, 1)$			$10 + 10 = 20$	$16 + 15 = 31$
3- randomley	$(1/2, 1/3, 1/4)$			$9 + 5 + 2.5 = 16.5$	$12.5 + 8 + 3.75 = 24.25$
4- Profit / weight (maximum first)	$(0, 1, 1/2)$			$15 + 5 = 20$	$24 + 7.5 = 31.5$
					<u>optimal</u>

Fractionable item = Vegetables, ~~and~~ grocery

Nonfractionable item = Electronic item, e.g:  
washing machine  
AC, Refrigerator

$$1. \frac{9}{15}, \frac{24 \times 2}{18 \times 5} = \frac{16}{5} = 3.2$$

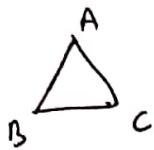
$$2. \frac{10}{15}, \frac{24 \times 10}{18 \times 3} = \frac{80}{9} = 8.8$$

Q.  $n=7, m=15, (P_1, P_2, P_3, \dots, P_7) = (10, 5, 15, 7, 6, 18, 13)$   
 $(w_1, w_2, \dots, w_7) = (2, 3, 5, 7, 1, 4, 1)$

Ans  $54.6$

## Minimum Spanning Tree.

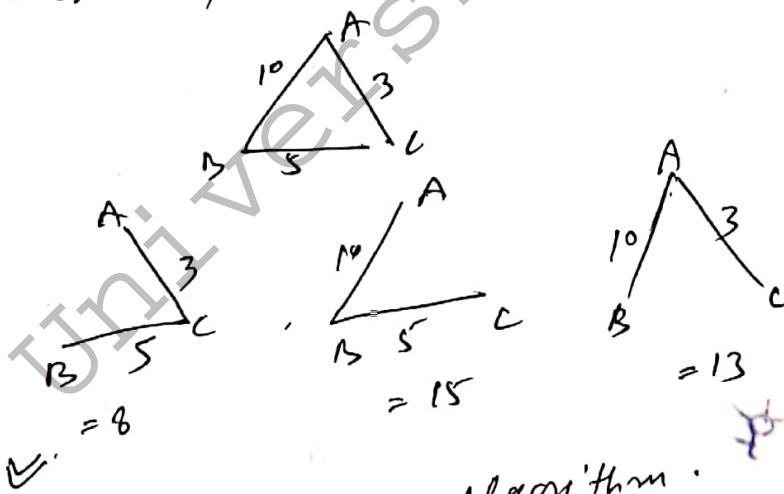
Spanning tree: A spanning tree is a subset of Graph  $G$  which has all the edges. vertices covered with minimum possible number hence tree does not have any cycle and it can't be disconnected.



spanning tree:

## Minimum Spanning Tree -

In a weighted graph a minimum spanning tree is a spanning tree that has minimum weight than all other spanning tree of same graph.



minimum spanning tree. Algorithm.

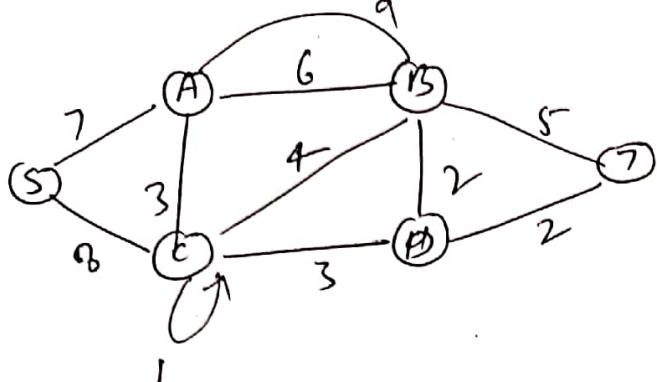
- 1 - KusKall's Algorithm
- 2 - Prims Algorithm

1. Spanning tree has  $n-1$  edges. where  $n$  is no. of node
2. Complete graph can have maximum  $n^{n-2}$  number of spanning tree.
3. All possible spanning tree have same no. of edges and vertices.

## Kruskal's Algorithm

Kruskal's Algo to find minimum cost spanning tree very greedy approach.

To understand Kruskal Algo let us consider example:

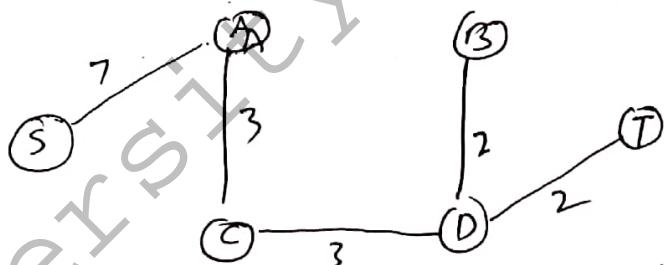


Step-1. Remove all loops and parallel edge.

Step-2. Arrange all edges in their increasing order of weight.

B,D	D,T	C,D	A-C	C,B	B,T	A,B	A,S	S,C
2	2	3	3	4	5	6	7	8

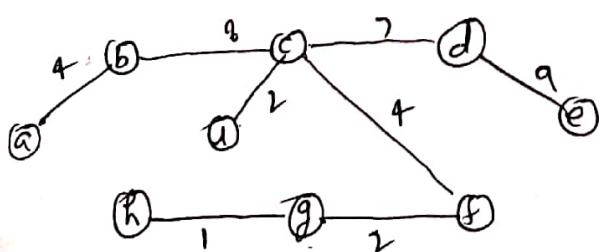
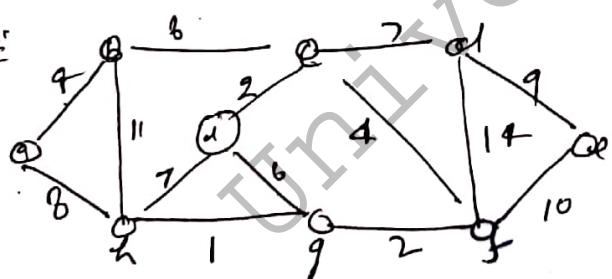
Step-3 Add the edge which has the least weight



minimum span. spanning tree

ANST - KRUSKAL ( $G, w$ )

- 1-  $A \leftarrow \emptyset$
- 2- for each vertex  $v \in V[G]$ 
  - 3 do **MAKE-SET**( $v$ )
  4. sort the edges  $g$  into nondecreasing order by weight
  5. for each edges  $(u, v) \in E$  taken in  $-M$
  6. do if **FIND-SET**( $u$ )  $\neq$  **FIND-SET**( $v$ ) in non-increasing order by weight
  7. then  $A \leftarrow A \cup \{(u, v)\}$
  8. **UNION**( $u, v$ )



total = 37 weight

$$A = \{(A, B), (B, C), (B, D), (C, D), (C, E), (D, E), (D, F), (E, F)\}$$

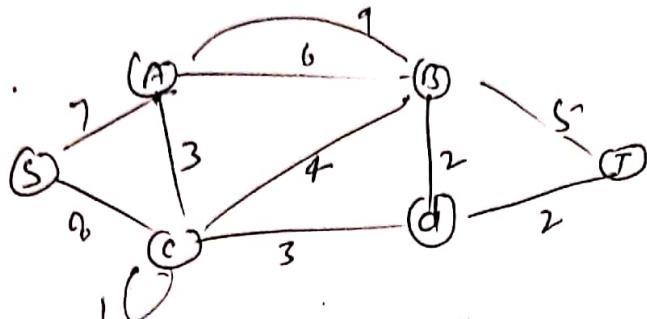
$$\begin{aligned} \text{time complexity} &= E \log E \\ \log V &= \log E \\ \log V &= \log E \end{aligned}$$

## Prim's Algorithm

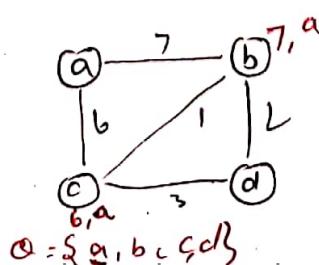
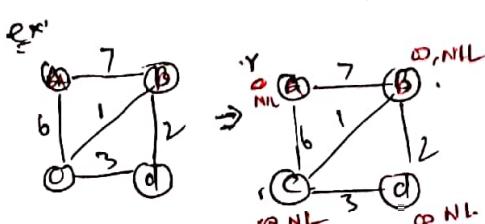
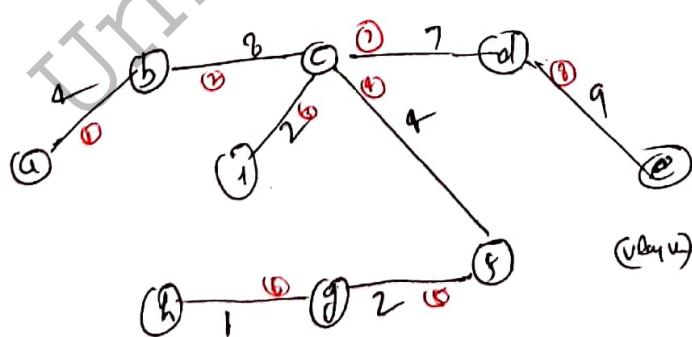
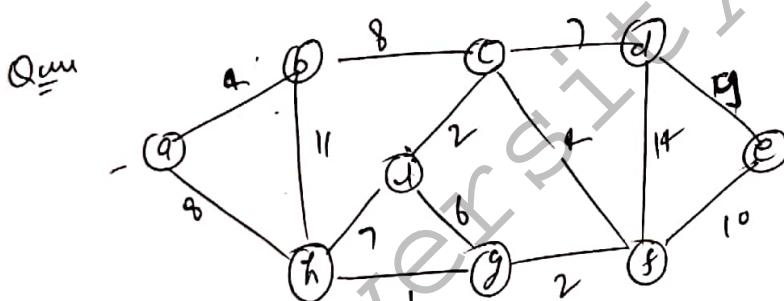
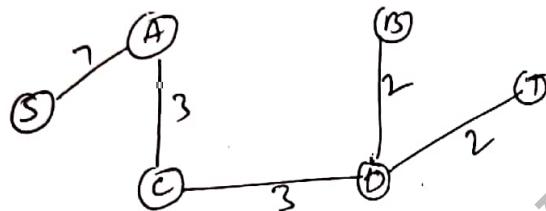
Prim's Algo to find minimum cost spanning tree uses greedy approach

Prim's algo - tree is a single tree and keeps on adding new nodes to spanning tree.

Ex:-



1. Remove loops and parallel edges.
2. choose any arbitrary node as root node



```

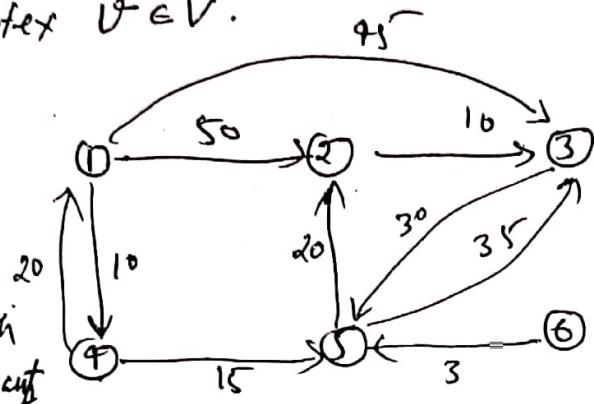
mst_PRIM(G, w, r)
1. for each  $u \in V(G)$ 
2.   do  $key(u) \leftarrow \infty$ 
3.    $\pi(u) \leftarrow \text{NIL}$ 
4.  $key(r) \leftarrow 0$ 
5.  $Q \leftarrow V(G)$ 
6. while  $Q \neq \emptyset$ 
7.   do  $u \leftarrow \text{EXTRACT-MIN}(Q)$ 
8.   for each  $v \in \text{Adj}[u]$ 
9.     do if  $v \in Q$  and  $w(u, v) < key(v)$ 
10.      then  $\pi(v) \leftarrow u$ 
11.       $key(v) \leftarrow w(u, v)$ 

```

## SINGLE-SOURCE SHORTEST PATH

Given a graph  $G = (V, E)$ , we want to find a shortest path from a given source vertex  $s \in V$  to each vertex  $v \in V$ .

vertex  
edges  
weight edges  
→ Positive weight  
→ Negative weight



Path	length
1) 1, 4 : (1, 4)	10
2) 1, 2 : (1, 4, 5, 2)	45
3) 1, 3 : (1, 3)	45
4) 1, 5 : (1, 4, 5)	25
5) 1, 6 : —	$\infty$

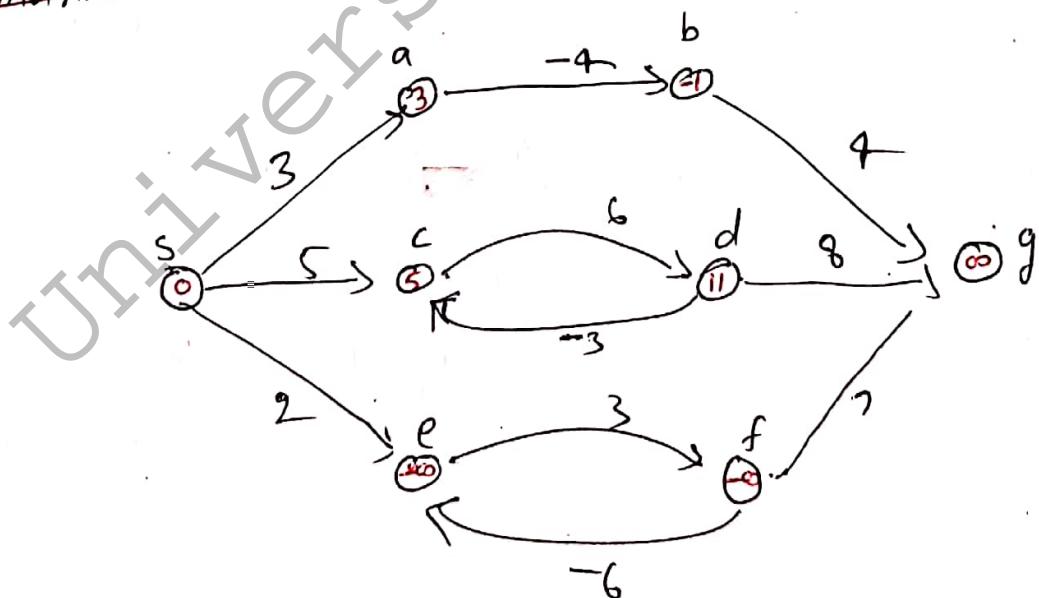
shortest path from 1

cycle

- Positive weight cycles
  - Negative weight cycle
- There are two algorithms to solve single source shortest path.

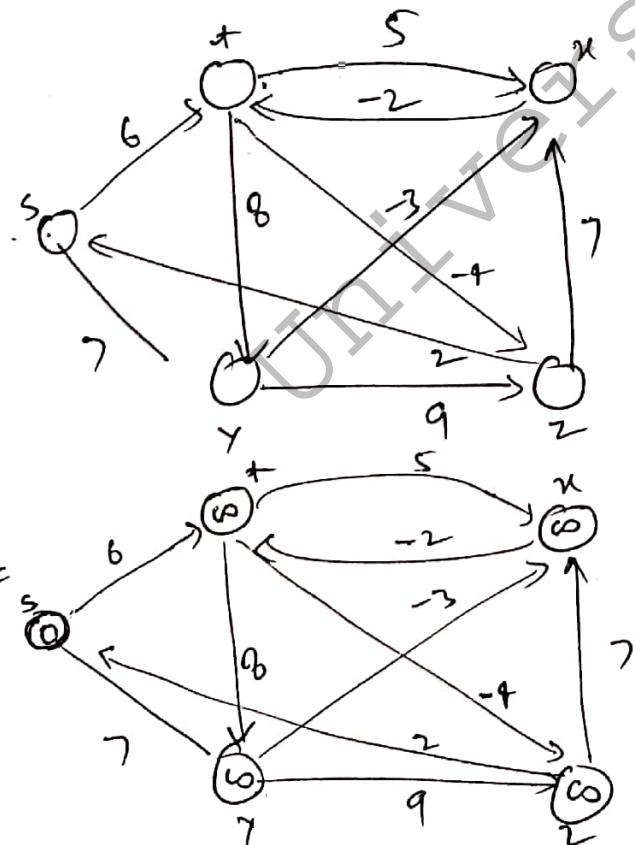
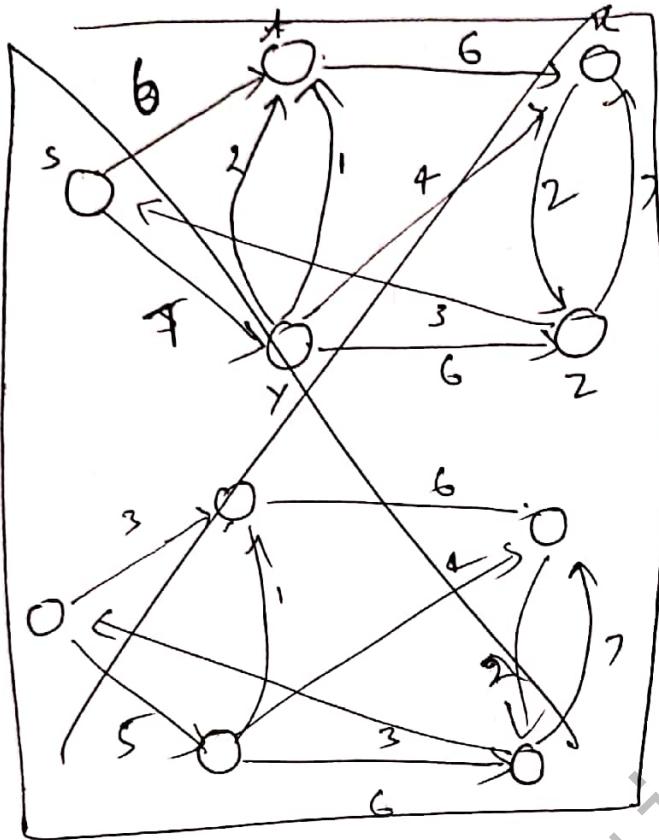
1. Bellman-Ford Algorithm (weight may negative).
2. Dijkstra Algorithm (non-negative weight).

1. ~~Bellman-Ford Algorithm~~ -



## Bellman-Ford Algorithm

Bellman-Ford algorithm solve the single source shortest path problem in the general case in which weight may be negative but not negative weight cycle.



Bellman-Ford( $G, w, s$ )

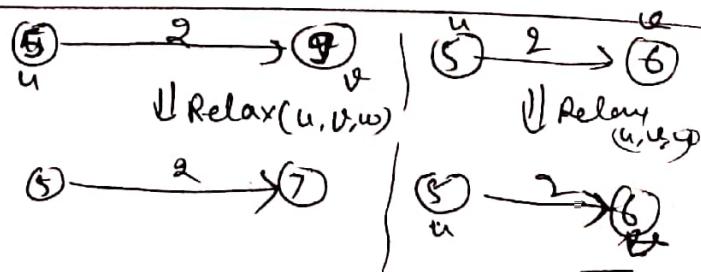
1. Initialize - single source ( $G, s$ )
2. for  $i \in 1$  to  $|V[G]| - 1$
3. do for each edge  $(u, v) \in E[G]$
4. do Relax( $u, v, w$ )
5. for each edge  $(u, v) \in E[G]$
6. do if  $d[v] > d[u] + w(u, v)$
7. then Return FALSE
8. Return TRUE

Initialize - single source - ( $G, s$ )

1. for each vertex  $v \in V[G]$
2. do  $d[v] \leftarrow \infty$
3.  $\pi[v] \leftarrow \text{null}$
4.  $d[s] \leftarrow 0$

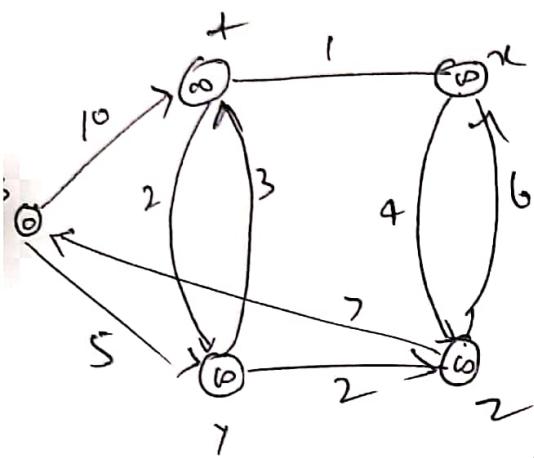
RELAX( $u, v, w$ )

1. if  $d[v] > d[u] + w(u, v)$
2. then  $d[v] \leftarrow d[u] + w(u, v)$
3.  $\pi[v] \leftarrow u$



## Dijkstra's Algorithm.

Dijkstra algo solve the single source shortest-path problem on a weighted directed graph  $G = (V, E)$  for the case in which all edge weight are non-negative.



DIJKSTRA ( $G, w, s$ )

1. Initialize -single source ( $G, s$ )
2.  $S \leftarrow \emptyset$
3.  $Q \leftarrow V[G]$
4. while  $Q \neq \emptyset$   
do  $u \leftarrow \text{ExtractMin}(Q)$
5.  $S \leftarrow S \cup \{u\}$
6. for each vertex  $v \in \text{Adj}[u]$   
do Relax ( $u, v, w$ )

## Dynamic Programming

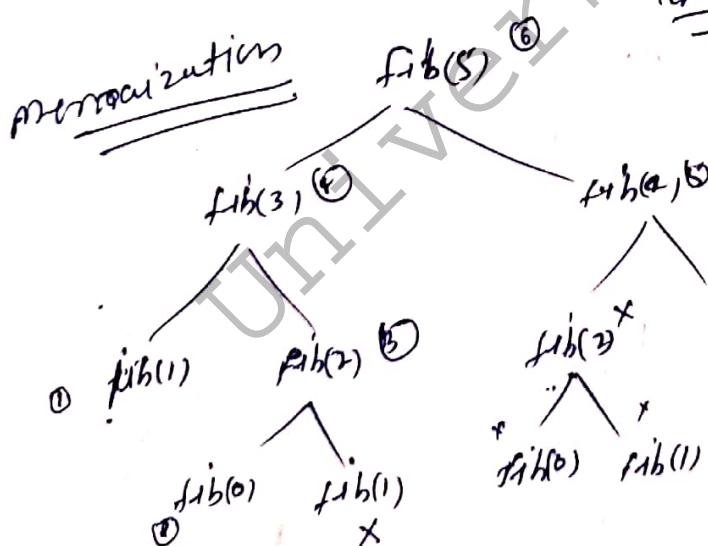
Dynamic programming approach is similar to divide and conquer in breaking down the problem into smaller subproblems, but unlike divide and conquer these subproblems are not solved independently.

in the dynamic programming approach before solving the subproblem examine the result of previously solved subproblems.

Dynamic problems used in optimization problems.  
(minimum / maximum)

Dynamic Programming is technique for solving problem recursively or nonrecursively by memoization or tabulation method.

Example: Fibonacci



Tabulation approach

```
int fib(int n)
{
    if(n <= 1)
        return n;
    return fib(n-2) + fib(n-1);
}
```

$$\begin{aligned} \text{Assume } (n-2) &\stackrel{?}{=} (n-1) \\ T(n) &= 2T(n-1) + 1 \\ &= O(2^n) \end{aligned}$$

15 call

$\text{fib}(5)$

0	1	1	2	3	5
0	1	2	3	4	5

$T(n) = n+1$  calls  
 $= O(n)$ .

## Iterative Tabulation - Bottom up -

0	1	1	2	3	5
0	1	2	3	4	5
1					

int fib(int n)

{

if (n == 1)

return n;

F[0] = 0; F[1] = 1

for (i = 2; i <= n; i++)

{

F[i] = F[i-2] + F[i-1]

}

return F[n]

}

Multistage Graph  
(Tabulation method)

The multistage graph is to find a minimum cost path both from s to t.  
(Forward approach)

$$\text{cost}(i, s) = \min_{\substack{l \in V_{i+1} \\ (j, l) \in E}} \{ c(j, l) + \text{cost}(i+1, l) \}$$

stage vertex  
 $\text{cost}(s, 12) = 0$

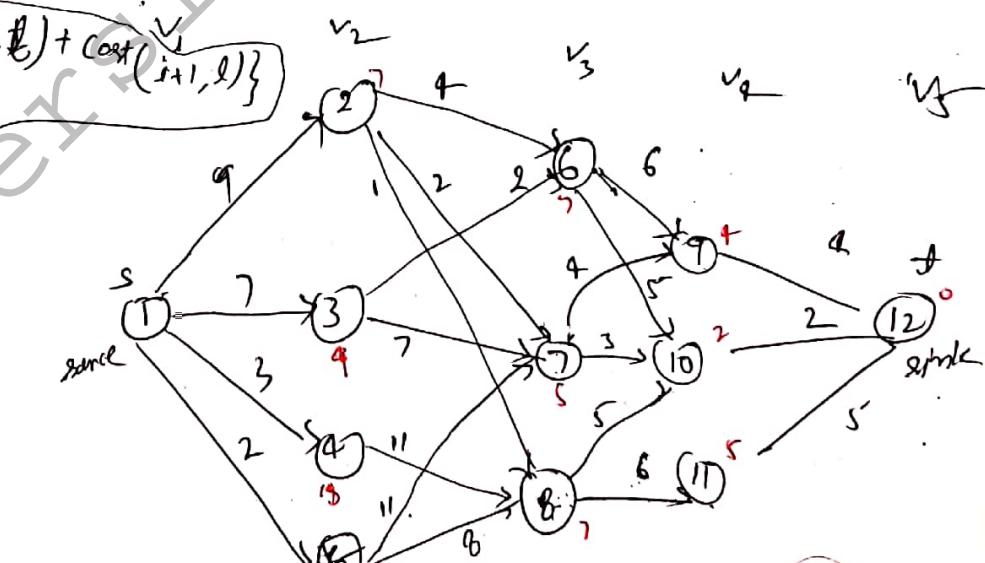
$\text{cost}(4, 9) = 4$

$\text{cost}(4, 10) = 2$

$\text{cost}(4, 11) = 5$

$$\text{cost}(3, 6) = \min \{ 6 + \text{cost}(4, 9), 5 + \text{cost}(4, 10) \} = \{ 10, 7 \} = 7$$

$\text{cost}(3, 7) =$



work	1	2	3	4	5	6	7	8	9	10	11	12
cost	16	7	9	19	15	7	5	7	4	2	5	0
d.	2/3	7	6	8	8	10	10	10	12	12	12	12

# 0/1 Knapsack Problem

- ① Tabular method
- ② Sols method.

$$m = 8$$

$$P \{ 1, 2, 5, 6 \}$$

$$n = 4$$

$$w \{ 2, 4, 5 \}$$

$$x_i = 0/1 \{ 1 \ 0 \ 0 \ \dots \}$$

$$\max \sum p_i x_i$$

$$\sum w_i x_i \leq m$$

Tables

		w								
		0	1	2	3	4	5	6	7	8
	i	0	0	0	0	0	0	0	0	0
1	2	0	0	1	1	1	1	1	1	1
2	3	0	0	0	2	2	3	3	3	3
3	4	0	0	1	2	5	5	6	7	7
4	5	0	0	1	2	5	6	6	7	8

$$K[i, w] = \max \{ K[i-1, w], K[i-1, w - w(i)] + p_i \}$$

$$K[4, 4] = \max \{ K[3, 1], K[3, 1-5] + 6 \}$$

$$= \max \{ 0, 6 \}$$

$$K[4, 5] = \max \{ K[3, 3], K[3, 3-5] + 6 \}$$

$$= \max \{ K[3, 3], 6 \}$$

$$= 6$$

$$K[4, 6] = \max \{ K[3, 5], K[3, 5-5] + 6 \}$$

$$= \{ 5, 6 \} = 6$$

Set meth

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$$S^0 = \{ (0, 0) \}$$

/ Profit weight

$$S_1^o = \{ \{ 1, 2 \} \}$$

$$S^1 = \{ \{0, 0\}, \{1, 2\} \} ; \quad S_1 = \{ \{2, 3\}, \{3, 5\} \}$$

$$S^2 = \{(0,0), (1,2), (2,3), (3,5)\}$$

$$S_1^2 = \{(5, 4), (6, 6), (7, 7), (8, 9)\}$$

$$S^3 = \{(0,0)(1,2), (2,5), (3,5) \overset{w_1}{\cancel{(5,4)}}, (6,6), (7,7)\} \overset{w_2}{\cancel{\{(8,9)\}}} \text{ descreasing}$$

$S_1 = \{(6, 5), (7, 7), (8, 8), (11, 9), (12, 11), (13, 14)\}$

~~Profit:~~ weight increase profit  
increas.

~~Missed lower profit?~~

$$S_0 = \{(0,0), (1,1), (2,2), (3,3), (4,4), (5,5), (6,6), (7,7), (8,8)\} \text{ by dominance rule}$$

*Scelotes* <sup>taeniatus</sup>

$$(8,8) \in S^4$$

$$P_j \leq P_{jC} \quad \text{and} \quad w_j \geq w_k$$

discord( $P_j, w_j$ )

$$(8-6, 8-5) \\ (2, 3) \in S$$

$$(2,3) \in S^2 \quad x_2 = 1$$

2,3) 4

(0,0) --

(0,0) --

$$n=3 \quad (P_1, P_2, P_3) = (1, 2, 5)$$

$$m=6 \quad (w_1, w_2, w_3) = (2, 3, 4)$$

$\rightarrow w$

		0	1	2	3	4	5	6
		0	0	0	0	0	0	0
P	w	0	0	1	0	0	0	0
		1	0	0	1	0	0	0
2	3	2	0	0	1	2	2	3
5	4	3	0	0	1	2	5	6

$$(6, 0) \in S^3$$

$$(6, 6) \notin S^2$$

$$x_3=1$$

$$(6-5, 6-4) = (1, 2)$$

$$(1, 2) \in S^1$$

Profit

$$(1, 4) \in S^0$$

$$(1, 2) \notin S^0$$

$$x_1=1$$

Set methods

$$S^0 = \{(0, 0)\} : S_1^0 = \{(1, 2)\}$$

$$S^1 = \{(0, 0), (1, 2)\} : S_1^1 = \{(2, 3), (3, 5)\}$$

$$S^2 = \{(0, 0), (1, 2), (2, 3), (3, 5)\}$$

$$S_1^2 = \{(5, 4), (6, 6), (7, 7), (8, 9)\}$$

$$S^3 = \{(0, 0), (2, 2), (2, 3), (3, 5), (5, 1), (6, 6), (7, 2)\}$$

$$(6, 6) \in S^3$$

$$(6, 6) \notin S^2$$

$$x_3=1$$

$$(6, 6) \notin S^1$$

$$(6-5, 6-4) = (1, 2)$$

$$(1, 2) \in S^2$$

$$(1, 2) \in S^1$$

$$(1, 2) \notin S^0$$

(3, 5) discarded by dominance rules.

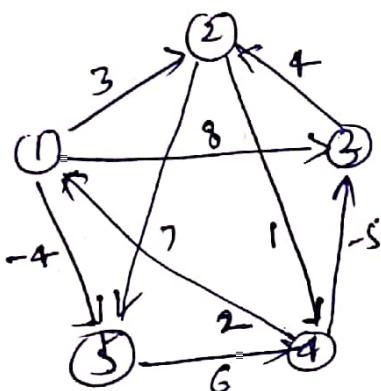
$$(P_j, w_j) \quad (P_{ik}, w_{ik})$$

$P_j \leq P_{ik}$  and  $w_j \geq w_{ik}$

then  $(P_j, w_j)$  discarded.

All pair shortest Path

The All pair shortest path problem is the determining of shortest distance or weight between every pair of vertices in given directed graph. The Floyd-Warshall algorithm runs in  $O(V^3)$  time to solve the problem. This algorithm also work on negative weight but no negative weight cycle are allowed.



$$D^{(0)} = \begin{bmatrix} 0 & 2 & 3 & 4 & 5 \\ 0 & 0 & 8 & \infty & -4 \\ 0 & 0 & 0 & 1 & 7 \\ 0 & 4 & 0 & 0 & 0 \\ 2 & \infty & -5 & 0 & 0 \end{bmatrix}$$

$$D^{(1)} = \begin{bmatrix} 0 & 2 & 3 & 4 & 5 \\ 0 & 3 & 8 & \infty & -7 \\ \infty & 0 & 0 & 1 & 7 \\ 0 & 4 & 0 & 0 & 0 \\ 2 & \infty & -5 & 0 & -2 \end{bmatrix}$$

Floyd-Warshall( $\omega$ )

```

1  n ← row( $\omega$ )
2   $D^{(0)} \leftarrow \omega$ 
3  for k ← 1 to n
   do for i ← 1 to n
      do for j ← 1 to n
          $d_{ij}^{(k)} \leftarrow \min(d_{ij}^{(k-1)}, d_{ik}^{(k-1)} + d_{kj}^{(k-1)})$ 
9. return  $D^{(n)}$ .

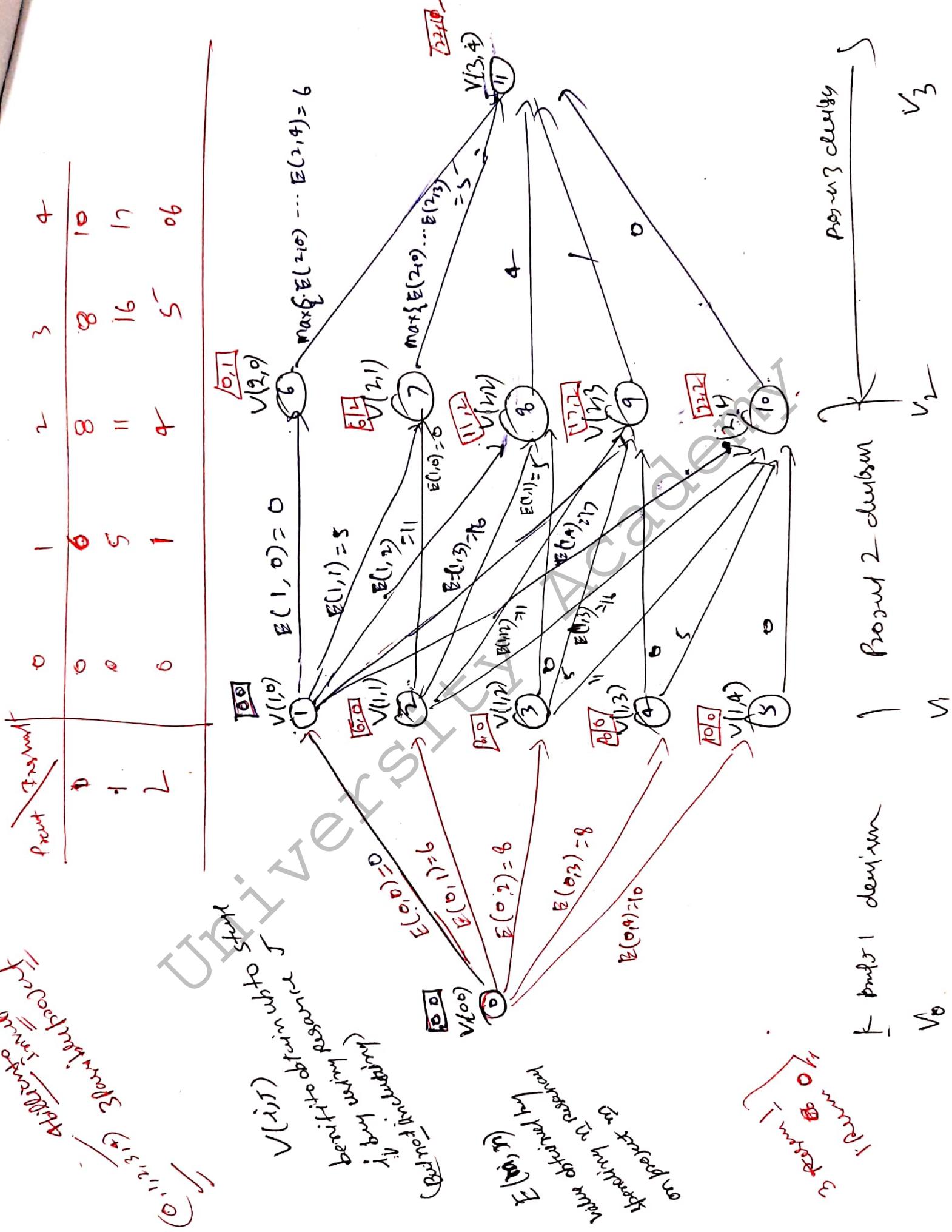
```

$$\begin{aligned}
 d_{42}^{(2)} &\leftarrow \min(d_{42}^{(1)}, d_{41}^{(1)} + d_{12}^{(1)}) \\
 d_{42}^{(2)} &\leftarrow \min(d_{42}^{(1)}, d_{41}^{(1)} + d_{12}^{(1)}) \\
 &\leftarrow \min(\infty, 2 + 3) \\
 &\leftarrow 5
 \end{aligned}$$

### Resource Allocation Problem

A Resource allocation problem, in which  $m$  resource are to be allocated to  $n$  project. If  $J$  resources allocated to  $i$  project the profit  $P(i, J)$  earned.

The problem is to allocate the resource to  $n$  project such way as to maximize total profit



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Matrix chain Multiplication

we are given a sequence of matrices, find the most efficient way to multiply these matrices together.

$$\text{e.g.: } \langle A_1, A_2, A_3 \rangle = \underbrace{(A_1, A_2)}_{A_3} = (A_1, (A_2, A_3))$$

$$\langle A_1 A_2 A_3 A_4 \rangle = A_1 \cdot A_2 \cdot A_3 \cdot A_4$$

$$\frac{2(n-1)_C_{n-1}}{n} = \frac{1}{4} \times 6 C_3 = \frac{1}{3} \times \frac{6}{6 \times 5 \times 4} = \frac{1}{4} \times \frac{120}{6} = 5$$

$$\frac{2(n-1)}{2} C_{n-1} = \frac{2(2)}{3} C_2$$

$$= \frac{4c}{\frac{2}{3}} = \frac{1}{3} \cdot \frac{1^4}{4^2 \cdot 1^4 - 2} = \frac{12}{3 \cdot 2} = 2$$

$$1) (A_1 (A_2 (A_3 A_4)))$$

$$(2) (A_1, ((A_2 \ A_3) \ A_4))$$

$$3) ((A, (A_2 A_3)) A_4)$$

$$4. ((A_1, A_2) (A_3, A_4))$$

$$S \cdot ((A_1, A_2) \cdot A_3) \cdot A_4)$$

## Matrix Multiplication

$$A = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \quad B = \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

$$C = A * B = \begin{bmatrix} A_{11} * B_{11} + A_{12} * B_{21} \\ A_{21} * B_{11} + A_{22} * B_{21} \end{bmatrix} \begin{bmatrix} A_{11} * B_{12} + A_{12} * B_{22} \\ A_{21} * B_{12} + A_{22} * B_{22} \end{bmatrix}$$

$$\text{total multiplication} = 8 = 2 + 2 \times 2 = 8$$

$$A = 2 \times 2 \quad \text{and} \quad C = 2 \times 2$$

$$B = 2 \times 2$$

$$\beta_{q \times p}^{\text{total}} \leftarrow c_{p \times r}^{\text{multiplication}}$$

Example: Consider three matrix  $\langle A_1, A_2, A_3 \rangle$  having dimension.

$$\frac{10 \times 100}{A_1}, \frac{100 \times 5}{A_2}, \frac{5 \times 50}{A_3}$$

$$\checkmark 1) ((A_1, A_2) A_3) = 10 \times 100 \times 5 = \underline{\underline{5000}}$$

$$(A_1 A_2) = 10 \times 100 \times 5 = \frac{5000}{10 \times 5,5 \times 50} = \frac{1.2500}{7500}.$$

$$2 \cdot (A, (A_2 \ A_3)) =$$

$$A_2 A_3 = 100 \times 5 + 50 = 25000$$

$$10 \times 100 \times 50 = \frac{10 \times 100 \times 50}{75000} = 500000000$$

Consider the following four matrices. Find optimal parenthesis of matrix chain multiplication.

matrix	order
$A_1$	$20 \times 30$
$A_2$	$30 \times 50$
$A_3$	$50 \times 10$
$A_4$	$10 \times 5$

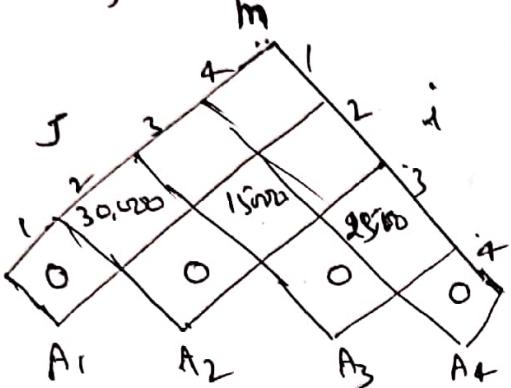
order of matrix chain  $(P) = \langle 20, 30, 50, 10, 5 \rangle$  so

$$P[0] = 20, \quad P[1] = 30, \quad P[2] = 50, \quad P[3] = 10, \quad P[4] = 5$$

$$\boxed{A_1 \times A_2 \times A_3 \times A_4}$$

$\begin{matrix} 20 \times 30 & 30 \times 50 & 50 \times 10 & 10 \times 5 \\ \underline{P_0} \quad \underline{P_1} \quad \underline{P_2} \quad \underline{P_3} \quad \underline{P_4} \end{matrix}$

		j			
		1	2	3	4
i	1	0	30,000	21,500	13,000
	2	0	15,000	18,000	
j	3	0	25,000		
	4	0			



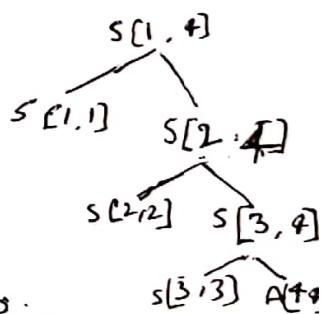
$$P \left\{ 20, 30, 50, 10, 5 \right\}$$

$$m[i, j] = \min_{j \leq k \leq j} \{ m[i, k] + m[k+1, j] + p_{i-1} \cdot p_{p_k} \cdot p_j \}$$

$$m[1, 2] = \min_{1 \leq k \leq 2} \{ m[1, 1] + m[2, 2] + p_0 \cdot p_1 \cdot p_2 \} \\ = 0 + 0 + 30,000$$

$s[1, 2] = 1$  because  $k=1$

similarly.  $m[2, 3] = 15,000$ ,  $m[3, 4] = 25,000$ .



$$m[1, 3] = \min_{1 \leq k \leq 3} \{ m[1, 1] + m[2, 3] + p_0 \cdot p_1 \cdot p_3 \} = \min \{ 0 + 15,000 + \frac{20 \times 30 \times 10}{100} \} \\ = \min \{ 20,000 + 0 + 20 \times 50 \times 10 \} \\ = \min \{ 21,000 \}$$

$$m[2, 4] = \min_{1 \leq k \leq 4} \{ m[2, 2] + m[3, 4] + p_1 \cdot p_2 \cdot p_4 \} = \min \{ 0 + 25,000 + 7500 \} \\ = \min \{ 15,000 + 1500 \} = 10,000$$

$$m[1, 4] = \min_{1 \leq k \leq 4} \{ m[1, 1] + m[2, 4] + p_0 \cdot p_1 \cdot p_4 \} = \min \{ 0 + 10000 + 30000 \} \\ = \min \{ 30,000 + 2500 + 5000 \} = \min \{ 21,000 + 0 + 10,000 \} \\ = \min \{ 31,000 \}$$

## Longest Common Subsequences (LCS)

We are given two sequences  $X = \langle x_1, x_2, x_3, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, y_3, \dots, y_n \rangle$  and we wish to find a maximum length common subsequence of  $X$  and  $Y$ .

### 1. Subsequence:

$X = \text{IRONMAN}$

ROMA

ROMAN

IRON

subsequences in  $X$

$X \rightarrow \text{IRONMAN}$

MANI

NRMA

Not a subsequence

### 2. Common Subsequence:

Str1 a b d a c e  
Str2 b a b c e

b a c e } longest common subsequence  
a b c e

ce  
bce  
ace } also common subsequence  
but

### 3. longest common subsequence.

$X = \langle A, B, C, B, A, B, A \rangle$

$Y = \langle B, D, C, A, B, A \rangle$

$LCS = (B, C, B, A)$

for  $i=1$  to  $m$   
 for  $j=1$  to  $n$ .  
 if  $(x[i]) = y[j]$

(3)

$$LCS[i, j] = LCS[i-1, j-1] + 1$$

else  $B[i, j] \leftarrow$

$$LCS[i, j] = \max(LCS[i-1, j], LCS[i, j-1])$$

↓

$$x = \langle A \ B \ C \ B \ D \ A \ B \rangle$$

$$y = \langle B \ D \ C \ A \ B \ A \rangle$$

B C B · A  
B C A B

for ( $i=1$  :  $i \leq m$  :  $i++$ )

for ( $j=1$  :  $j \leq n$  :  $j++$ )

if  $(x[i]) = y[j]$

$$\text{set } LCS[i, j] = LCS[i-1, j-1] + 1$$

$B[i, j] = \uparrow$

else

if  $LCS[i-1, j] \geq LCS[i, j-1]$

$$LCS[i, j] = LCS[i-1, j]$$

$B[i, j] = \uparrow$

else

$$LCS[i, j] = LCS[i, j-1]$$

$B[i, j] = \leftarrow$

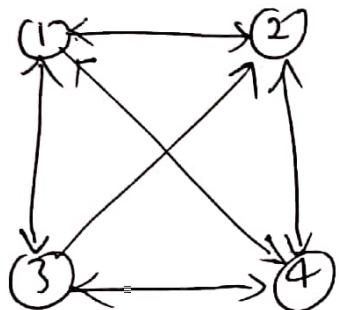
return  $L$  on  $\{B\}$ .

$m \times n$  time

## Traveling Salesperson Problem.

TSP is to obtain the shortest tour from a given set of cities while visiting each city exactly once and returning to a city from where we started with minimal distance-weight, to a city from where we started with minimal distance-weight.

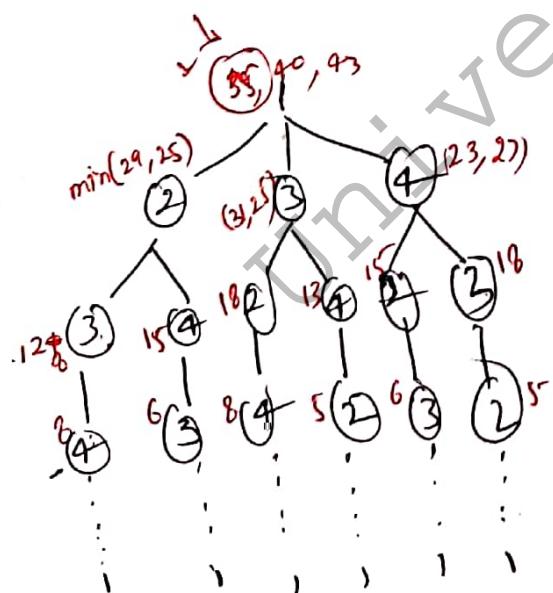
TSP is represented as a weighted graph  $G(V, E)$  where  $V$  is set of vertices (cities) and  $E$  set of edges (path between the cities).



	1	2	3	4
1	0	10	15	20
2	5	0	9	10
3	6	13	0	12
4	8	9	12	0

$$g(i, S) = \min_{j \in S} \{ c_{ij} + g(j, S - \{j\}) \}$$

$g(i, S)$  is shortest path from starting at vertex  $i$  and going all vertex in  $S$  and terminated 1.



$$\begin{aligned}
 g(2, \emptyset) &= c_{21} = 5, \quad g(3, \emptyset) = c_{31} = 6, \quad g(4, \emptyset) = c_{41} = 20 \\
 g(2, \{3\}) &= c_{23} + g(3, \emptyset) = 9 + 6 = 15 \\
 g(2, \{4\}) &= c_{24} + g(4, \emptyset) = 12 \\
 g(3, \{4\}) &= 20, \quad g(3, \{2\}) = 18 \\
 g(4, \{3\}) &= 15, \quad g(4, \{2\}) = 13
 \end{aligned}$$

## Branch & Bound

Branch - Branching using state-space tree for maximization problem.

Bounding is done by using concept of upper & lower bound.

It is similar to backtracking but ~~BF~~ finds solution if differ from backtracking in the sense that all children of E-node are generated before any other alive node can become an E-node. The selection of E-node depends on satisfying the condition of bounding function.

1. First-in-First out  $\rightarrow$  Implemented using ~~queue~~ Queue (CBF-S)
  2. Last-in-First out  $\rightarrow$  Implemented by stack (~~queue~~) O-search
  3. Least count(LC)  $\rightarrow$  Implemented by priority queue
- DPS and BFS      blind search  
LC      fun on priority

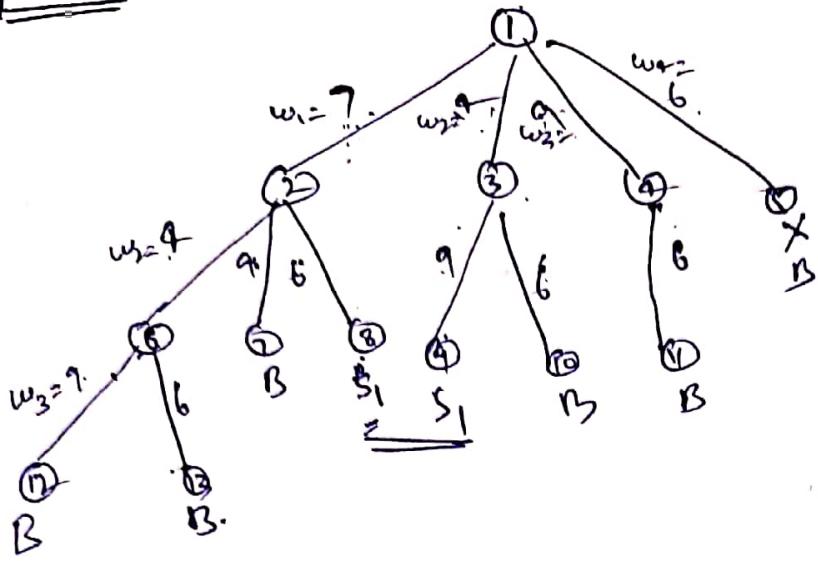
### Terms used in Backtracking

1. Live Node - the node that has been generated but none of its descendants are yet generated
2. Extended node (Front) - the live node currently being explored.
3. dead Node - not been expanded further
4. Answer node - node that represents answer of node problem

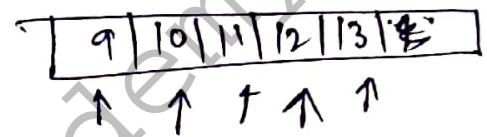
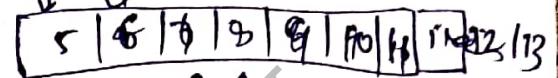
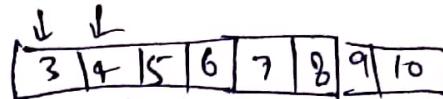
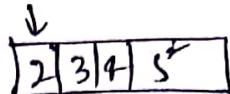
$$- n=4, w_1, w_2, w_3, w_4 = \{4, 9, 9, 6\}$$

m=13

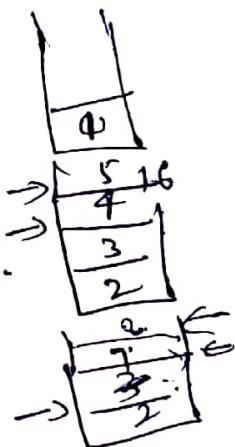
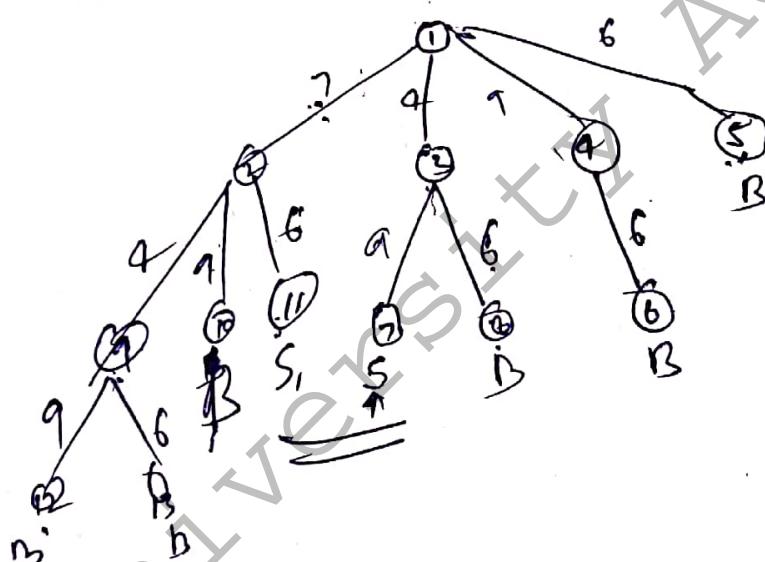
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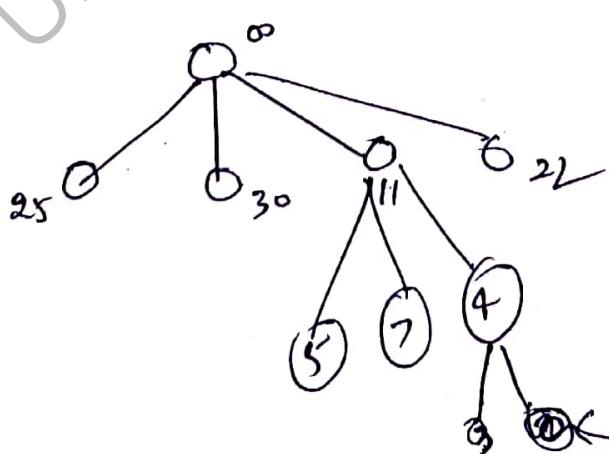
no 13



LIFO (stack)



LC



scanning

## ① 11. Knapsack Problem using 2CB3

Least cost Branch Bound aim to minimization of ~~problems~~.

so we take the objective function

$$C(\mathbf{x}) = -\sum p_i x_i \text{ subject to}$$

$\sum_{i=1}^n w_i x_i \leq m$ . In order to convert problem of

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minimization problem. we can define two boundary function  $UB(x)$  and  $LB(x)$  as boundary function.

Upper Boundary.

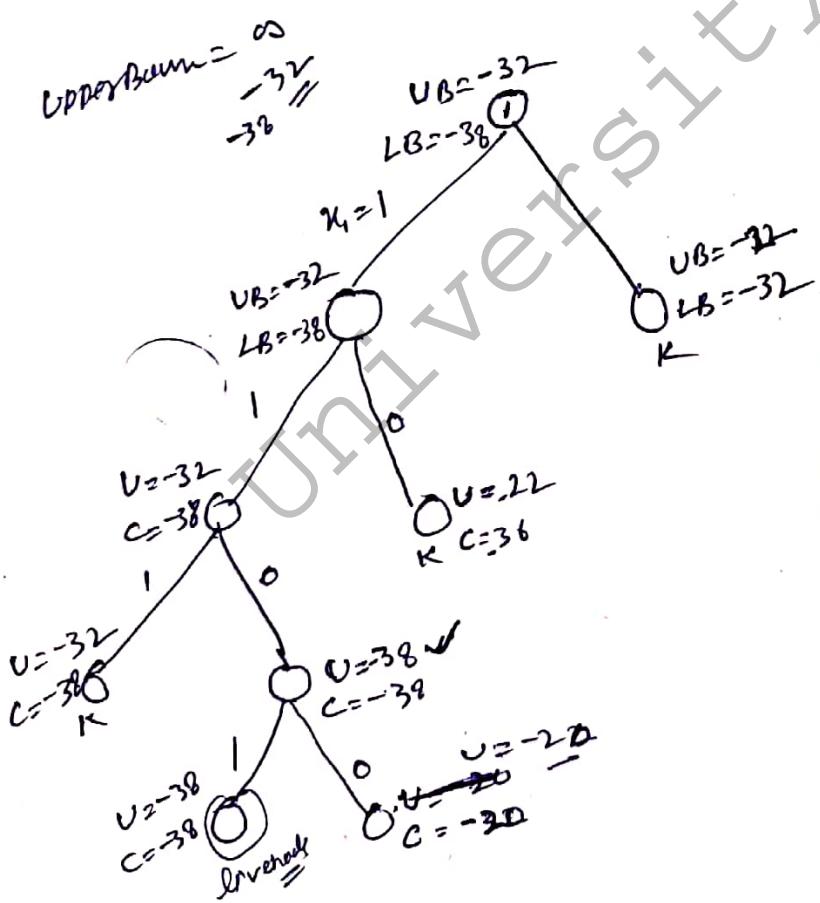
$$LB(x) \leq c\zeta t \leq UB(x)$$

## Examples

$$(P_1, P_2, P_3, P_4) = (10, 10, 12, 18)$$

$$(w, w_2w_3w_4) \in \{2, 4, 6, 9\}$$

$$m = 15$$



$$UB = \sum_{i=1}^n p_i x_i$$

$$LB = \sum_{i=1}^n p_i x_i \text{ (as 4th fraction)}$$

Fixed size selection  
e.g.  $S = \{10, 10\}$ .

$$\begin{cases} \underline{U} (UB) \in 10 + 10 + 12 = 32 \\ 2 + 6 \\ C (LB) \in 10 A \} 10 + 12 + \frac{10}{3} = 38 \\ 2 + 4 + 6 + 3 \end{cases}$$

$$U = 10 + 12 + = 32$$

$$C = 10 + 12 + \frac{7}{9} \times \frac{5}{4} = 32$$

$$v = 10 + 12 = 22$$

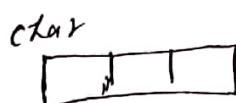
$$C = \frac{70 + 12 + 18 + 8}{2} = 36$$

## Backtracking

Brute force Approach  
(Depth First Search)

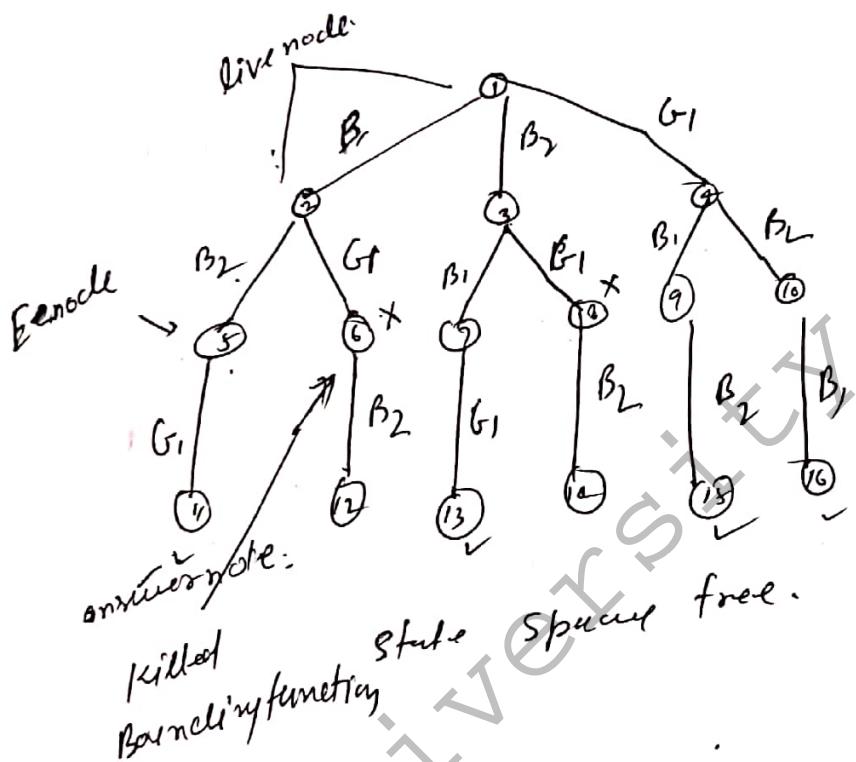
Backtracking uses Brute force Approach to solve problem.  
Brute force Approach says that for any given problem you should try out all possible solutions and pick up desired solution.  
Backtracking used to explore all possible solutions.

$$(x_1, x_2, x_3) = \{B_1, B_2, B_3\}$$



✓ Explicit

$$3! = 6 \text{ ways.}$$



4 solutions

Constraint  $\rightarrow$  girl should not sit in the middle

Implicit

Terms used in Backtracking

1. Backtracking requires two set of constraints called explicit and implicit.
2. solution space:  $\rightarrow$
3. state space tree
4. Boundary function
5. live nodes
6. B-nodes
7. answer nodes

Dead nodes:  $\rightarrow$

## N-Queens Problem

N. queens are to be placed on  $n \times n$  chessboard so that no two queens are in attacking  $\rightarrow$  to each other i.e. no two queens are in

- 1. same row
- 2. same column.
- 3. diagonal.

The solution const.  $n!$  leaf node. and total

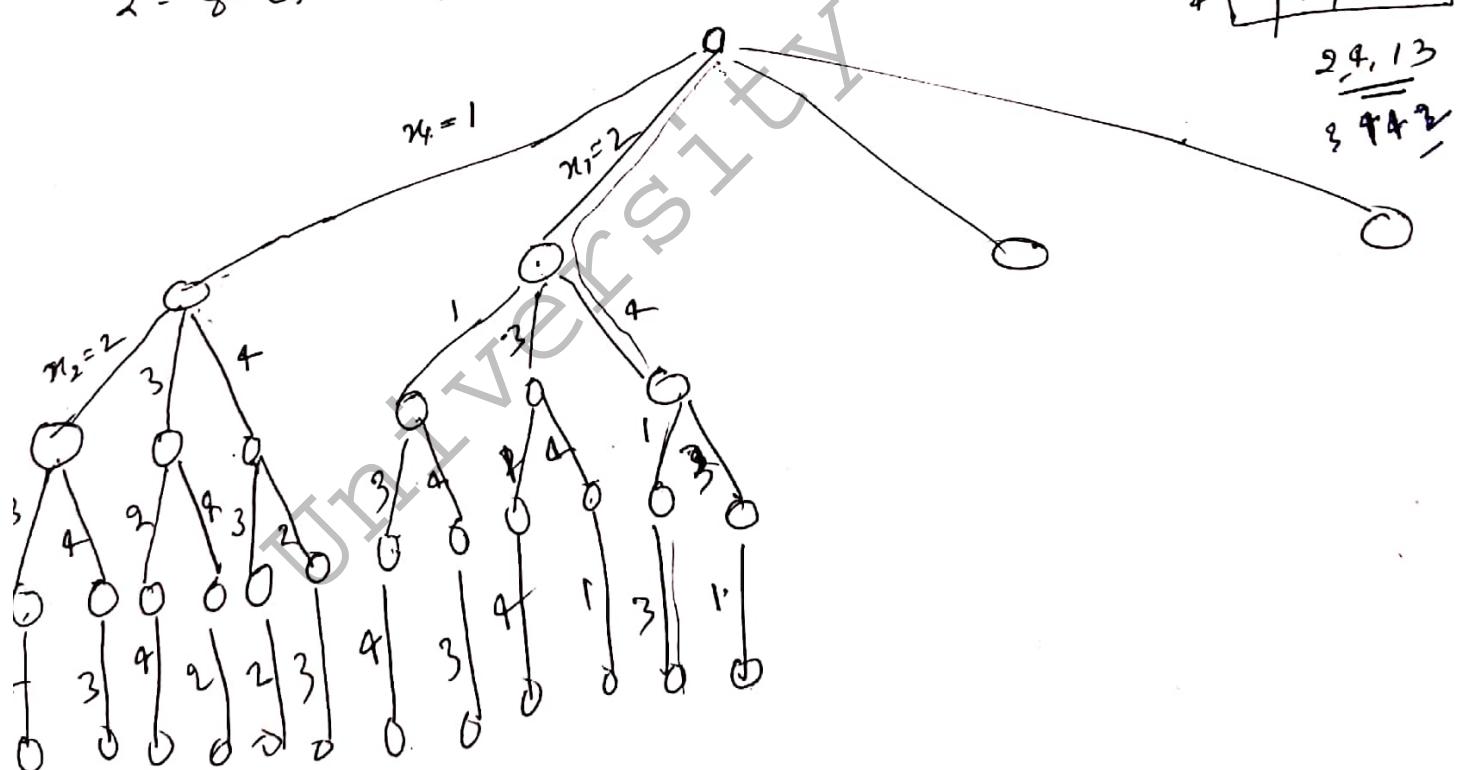
$$1 + \sum_{j=0}^{n-1} \left[ \prod_{i=0}^j (n-i) \right] \text{ node.}$$

Two variant of n-queens problem

- 1- 4-Queens problem
- 2- 8 Queen problem

1	2	3	4
1	1	1	
2	2	.	2
3	3	.	3
4	4	4	

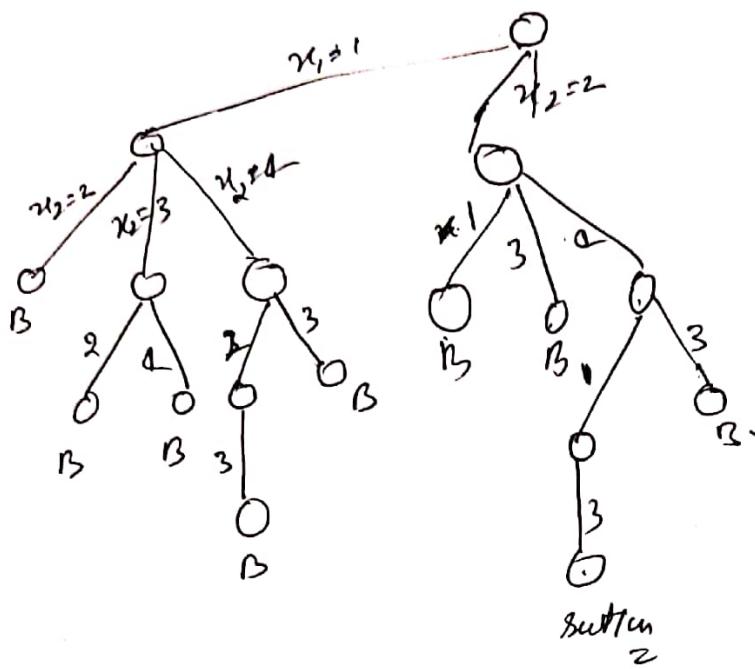
2, 4, 13  
3, 9, 4, 2



$L_4 = 24$  leaf node.

$$1 + \sum_{j=0}^{n-1} \left[ \prod_{i=0}^j (n-i) \right] = \boxed{1 + \prod_{i=0}^3 (n-i)} = 1 + 4 + 4 \times 3 + 4 \times 3 \times 2 + 4 \times 3 \times 2 \times 1 = 1 + 4 + 12 + 24 + 24 = 1 + 4 \times 8 = 65 =$$

Solution generated during Backtracking.



## Sum of Subsets

Suppose we are n distinct positive integers and we desire to find all combinations of these numbers whose sum are m. This is called sum of subset problem. numbers  $w_i$ ;  $1 \leq i \leq n$  and  $m$ . This

Problem 1 calls for finding all subsets of the  $w_i$  whose sums are  $m$ .

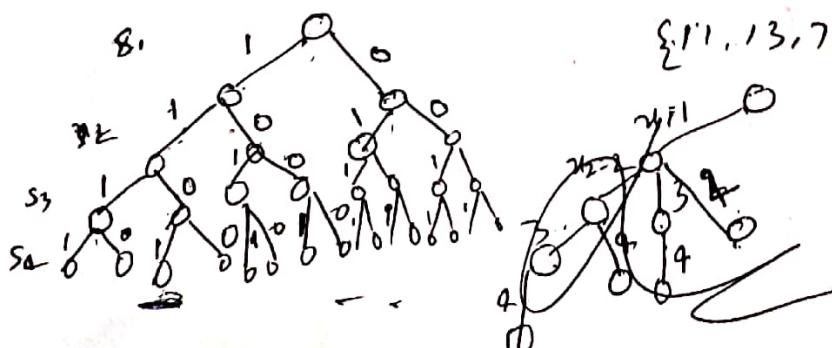
∴ the explicit constraints:  $x_i \in \{j \mid j \text{ is an integer and } 1 \leq j \leq n\}$

- **Implicit constraints:** not to be the same - avoid generating multiple instances of same subset e.g.  $(1, 2, 4)$  and  $(1, 4, 2)$ . Represent same subset.

## ~~solution space stuff~~:

CD

$$\text{Example} \quad n=4 \quad \{s_1, s_2, s_3, s_4\} = \{11, 13, 24, 7\} \Rightarrow m=31$$



$$\begin{pmatrix} 1101 \\ 0011 \end{pmatrix}$$

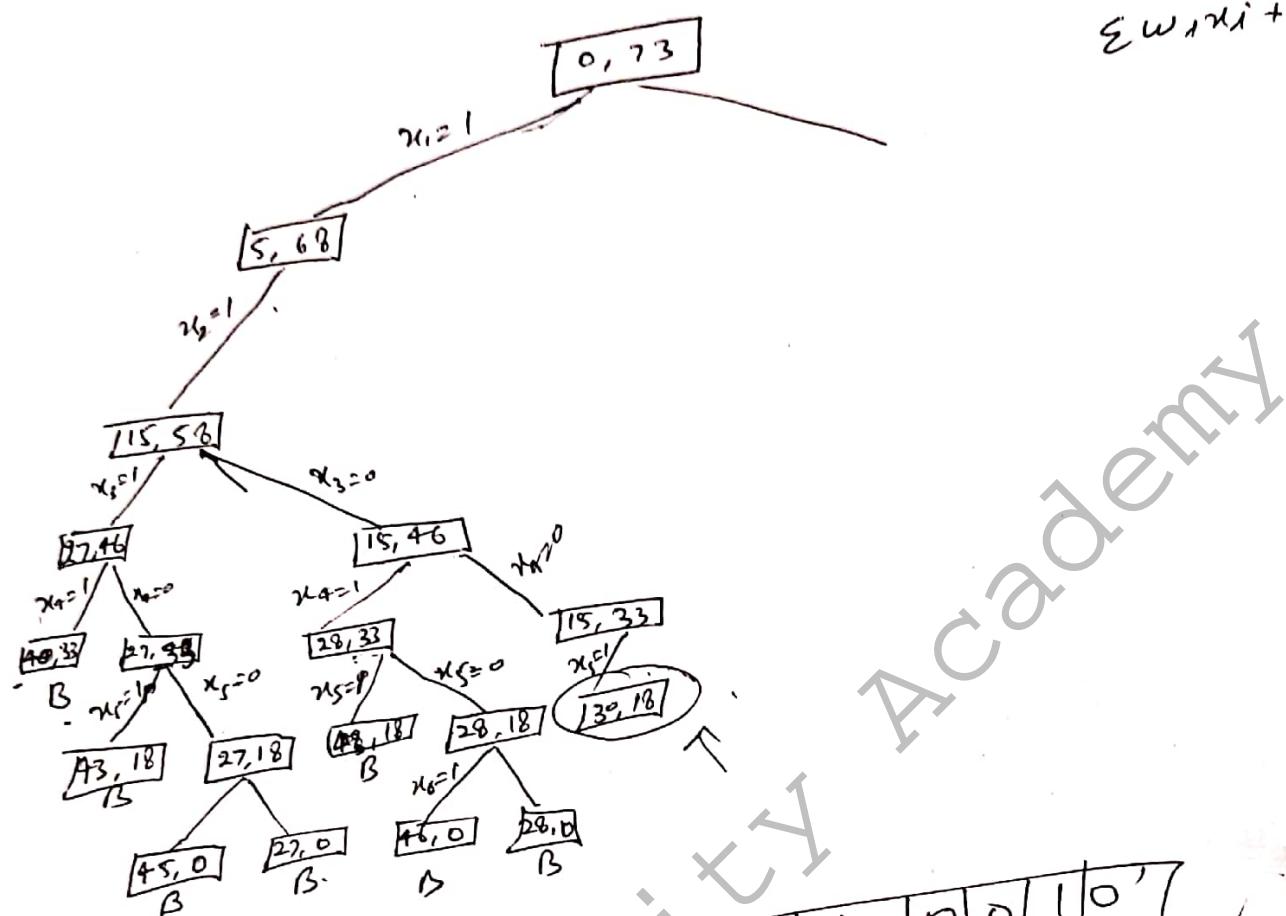
2<sup>3</sup> ~~for~~ Salutaris Party

$$n=6, m=30$$

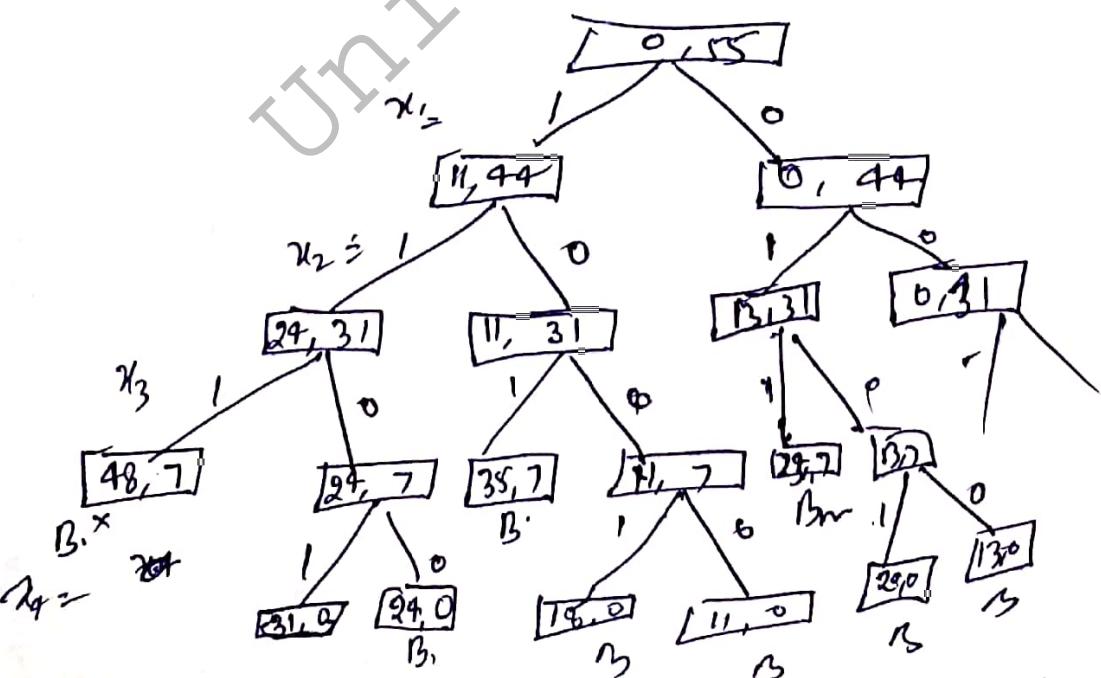
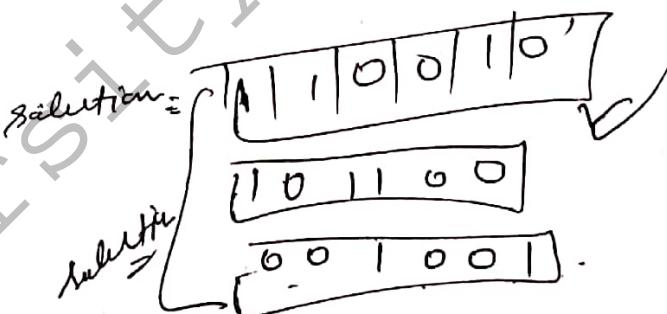
$$w\{1:6\} = \{5, 10, 12, 13, 15, 18\}$$

$$\sum w_i x_i + w_{k+1} \leq m$$

$$\sum w_i x_i + \sum w_i > m$$



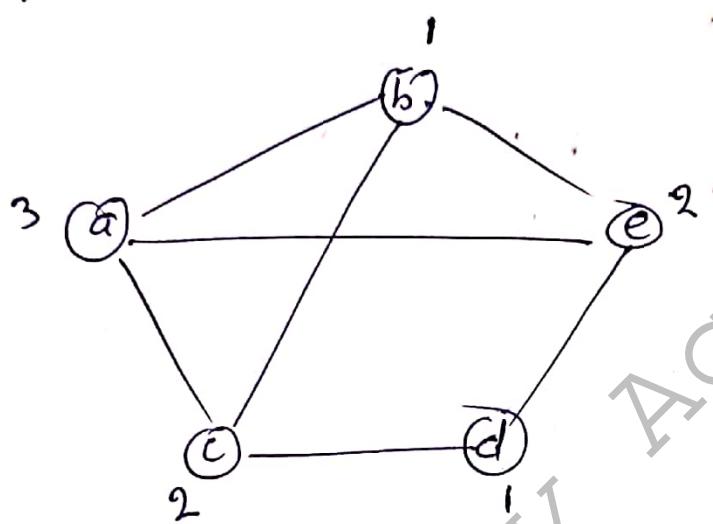
$$2^n = 2^6 = 64$$



## Graph coloring

Graph coloring is way of labelling graph component such as vertices, edges and regions under some constraints. In graph no two adjacent vertices, edges or regions are colored with same color. The minimum number of color required to color graph is called chromatic number.

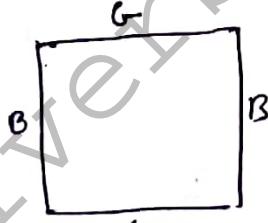
vertex coloring



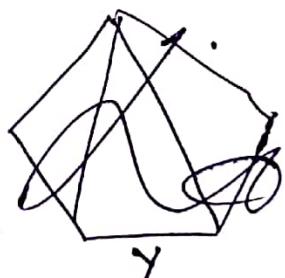
$$\text{color} = \{ 1, 2, 3 \}$$

chromatic number 3

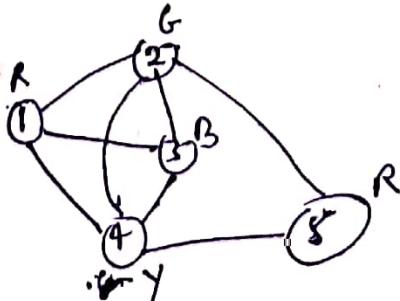
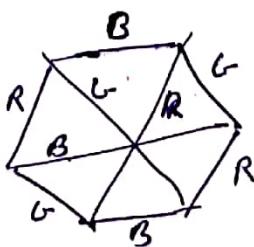
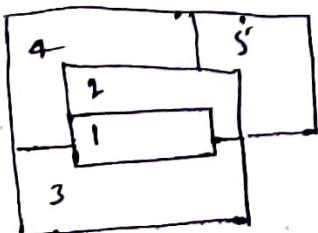
Edge coloring



chromatic num. 2

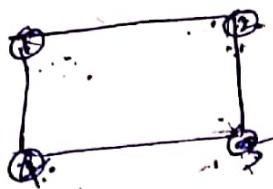


Regions or map coloring

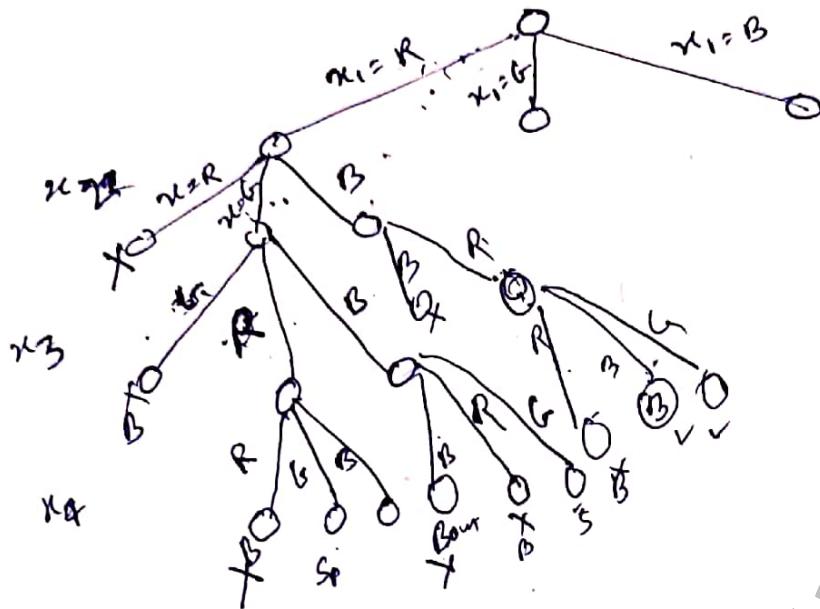


Applications

- 1. Matching schedule PFT
- 2. Radio frequency Assign.
- 3. Sudoku
- 4. map coloring.



Color  $\{R, G, B\}$

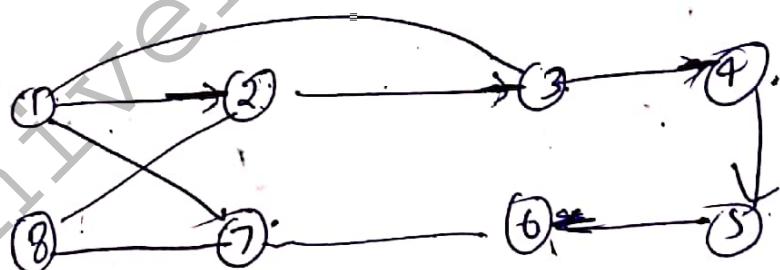


$RGRG$   
 $RGRB$   
 $RGBC$   
 $RBRB$   
 $RBRG$

chromatic number = 2

### Hamiltonian cycles

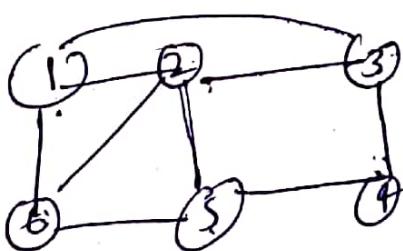
Let  $G = (V, E)$  be a connected graph with  $n$  vertices. A Hamiltonian cycle is a round-trip path along  $n$  edges of  $G$  that visits every vertex once and returns to its starting point.



$$\frac{(n-1)!}{2}$$

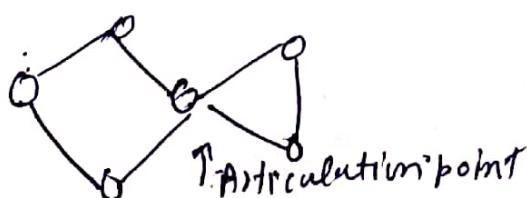
$134567821$   
 $28765431$

only distinct  
circuit is above



$1234561$   
 $1345621$   
 $1625431$

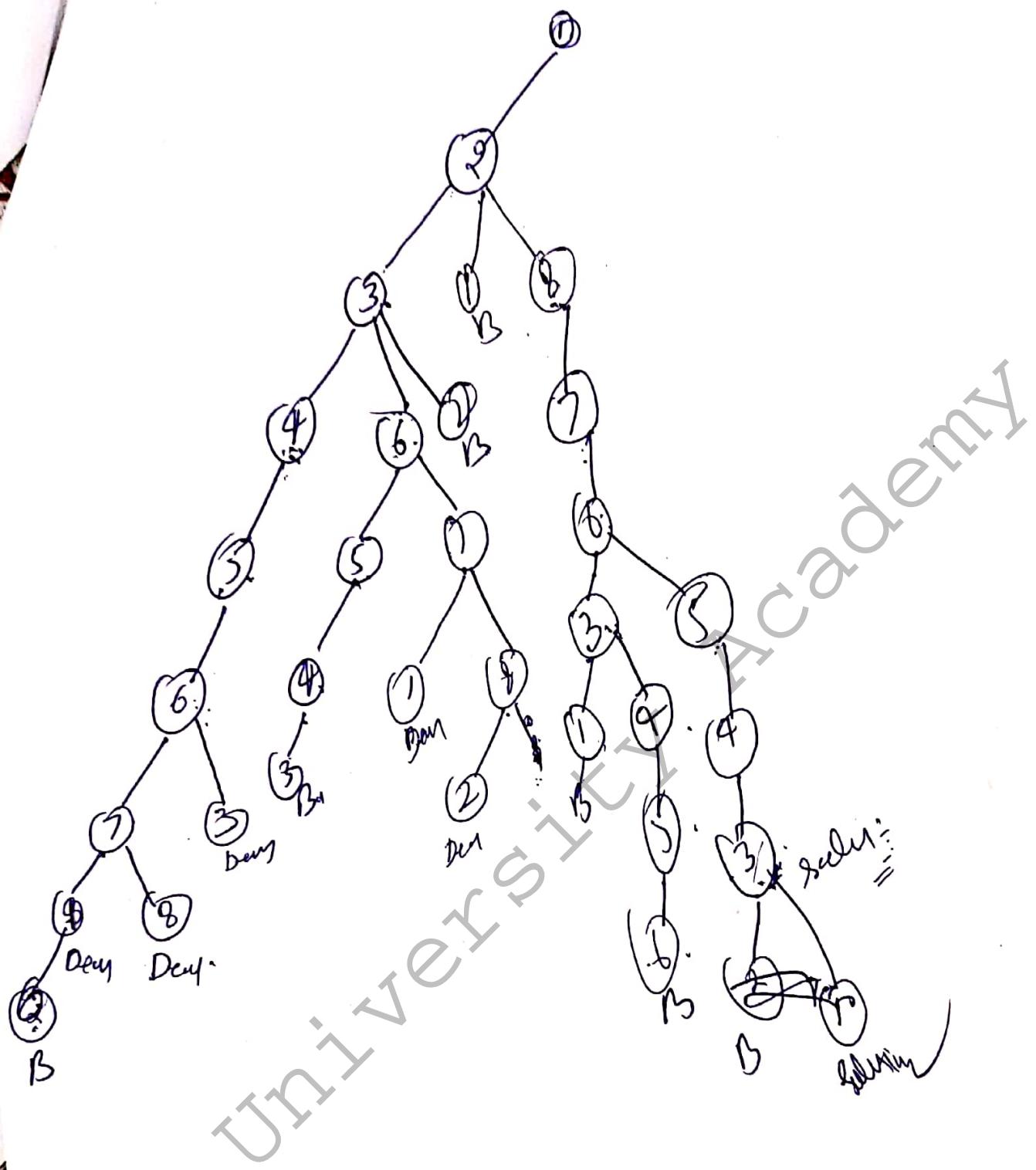
$2345612$   
 $12654321$



Articulation point



Pinch point



## STRING MATCHING

String Matching Algorithm try to find a place where one or several strings (called pattern) are found within a larger string.

we assume that the Text array  $T[1 \dots n]$  and pattern  $P[1 \dots m]$   $m \leq n$  elements of  $P$  and  $T \in \Sigma$ ,  $\{0, 1\} \cup \{a, b, c \dots z\}$ .

Ex:  $T$  a | b | c | a | b | a | a | b | c | a | b | a | c  
 $P$   $s=3 \rightarrow$  a | b | a | b

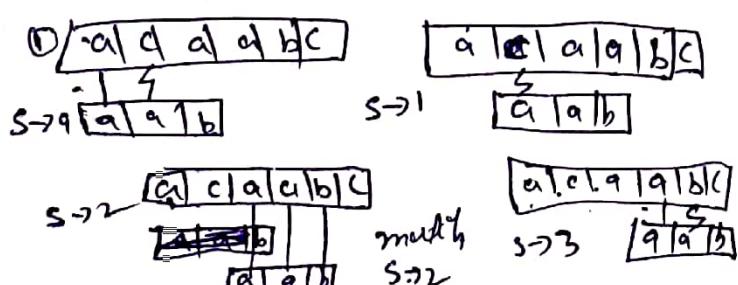
		match time	Proceeding time
1. Naive	→	$O((n-m+1)m)$	$O$
2. Rabin Karp	→	$O((n-m+1)m)$	$O(m)$
3. Finite Automata		$O(n)$	$O(m)$
4. Knuth Morris Pratt (KMP)		$O(n)$	$O(m)$

### 1- Naive String Matching Algorithm

The naive algorithm finds all valid shift using a loop that checks the condition  $P[1 \dots m] = T[s+1 \dots s+m]$  for each of the  $n-m+1$  possible value of  $s$ .

$T$  a | c | a | a | b | c  $n-m=3$

$P$  a | a | b



### NAIVE-STRING-MATCHERS( $T, P$ )

- 1-  $n \leftarrow \text{length}[T]$
- 2-  $m \leftarrow \text{length}[P]$
- 3- for  $s \leftarrow 0$  to  $n-m$
- 4- do if  $P[1 \dots m] = T[s+1 \dots s+m]$   
 then print "Pattern occurs with shift's"

$$\therefore O(n-m+1)m$$

## The Rabin-Karp algorithm:

developed by Rabin-Karp. This algorithm match the pattern in the text using hashing

$T = a c a a b a a$

$P = a a b$

$T = \begin{smallmatrix} 1 & 3 & 1 & 1 & 2 & 1 & 1 \\ 1 & 1 & 2 & 7 & 4 & 7 & 1 \end{smallmatrix}$

$P = \begin{smallmatrix} 1 & 1 & 2 & 7 & 4 & 7 \end{smallmatrix}$

$1+1+2=4$  having = sum of digit

$a = 1$

$b = 2$

$c = 3$

$d = 4$

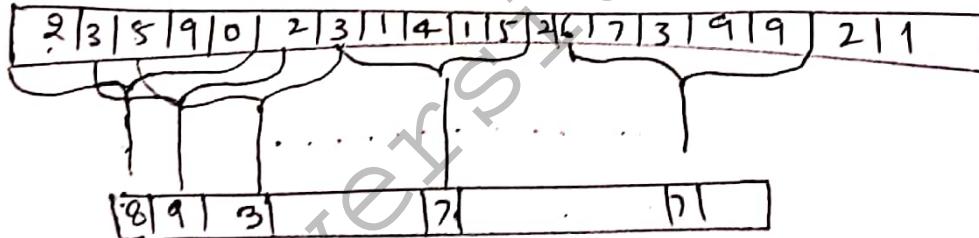
$e = 5$

$\vdots = 9$

valid hit , spurious hit.

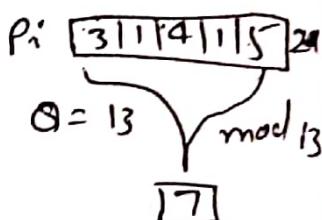
Rabin-Karp suggest a hash function  $\rightarrow$  choose a prime number as  $q$  and can calculate  $P[1 \dots m] \bmod q$ .

Ex:



valid  
hit

sturious  
hit



$T = 3141592653589793$

$938404109231925$

$Q = 11$

$O((n-m+1)m)$

## String Matching with Finite Automata.

These String Matching Automata are very efficient they examine each text character exactly once. Hence this time complexity  $O(n)$ .

A Finite state automata is 5-tuple  $(Q, q_0, F, \Sigma, \delta)$  when

$Q$  - set of states

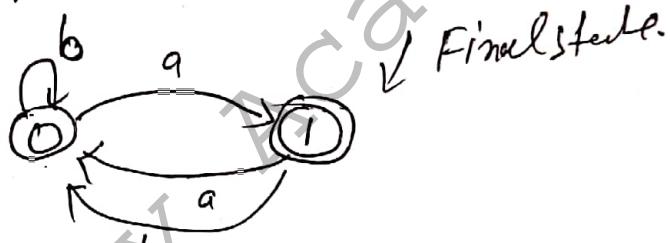
$q_0$  is start state

$F \subseteq Q$  set of final state

$\Sigma$  is input alphabet

$\delta$  transition mapping

State	a	b
0	1 0	
1	0 0	



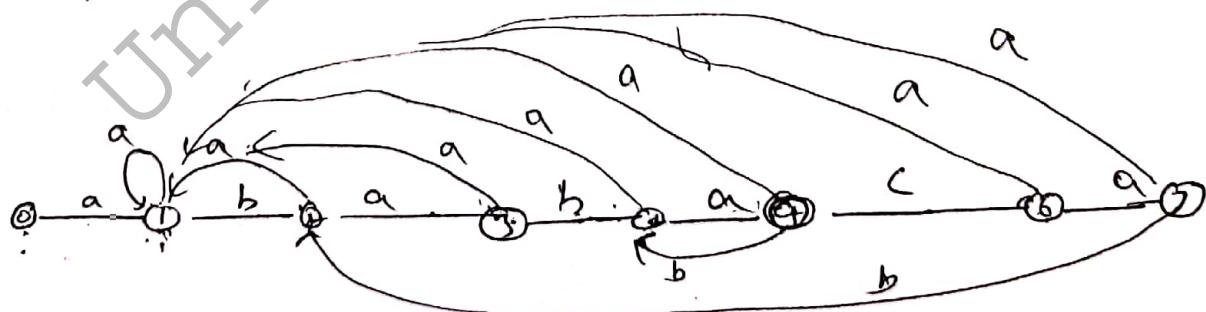
abaaq Accepted  
abbbaq Rejected -

## String Matching

$P = \begin{smallmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ a & b & a & b & a & c & a \end{smallmatrix}$

$\Sigma = \{a, b, c\}$

$m = 7$



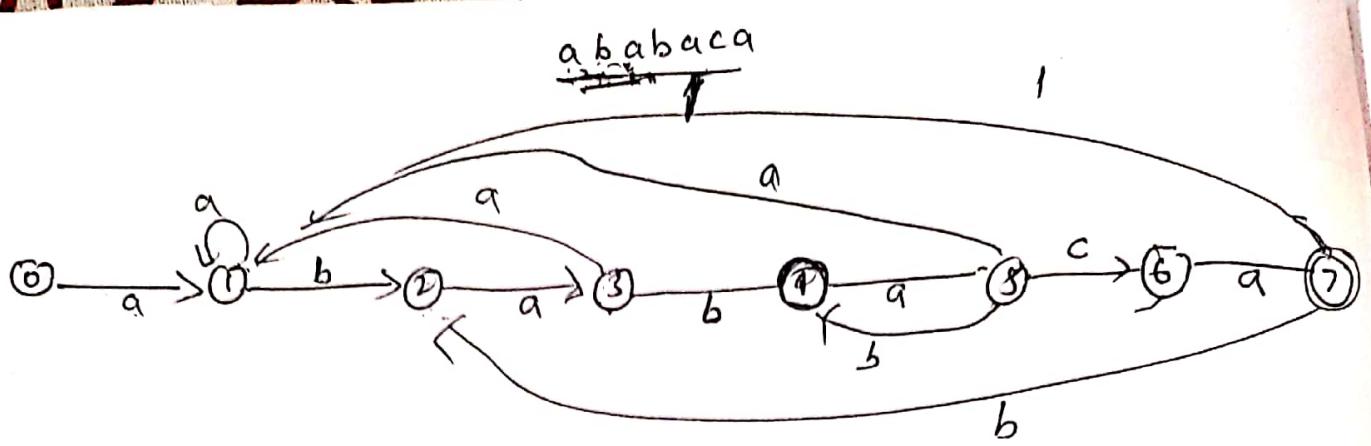
$$k \leftarrow \min(7+1, 0+2) = 2$$

$$k = 2-1 = 1 \quad P_1 \supseteq P_0 a$$

$$\delta(a, a) = k = 1$$

$$k \leftarrow \min(7+1, 0+2)$$

$$P_0 \supseteq P_0 b$$



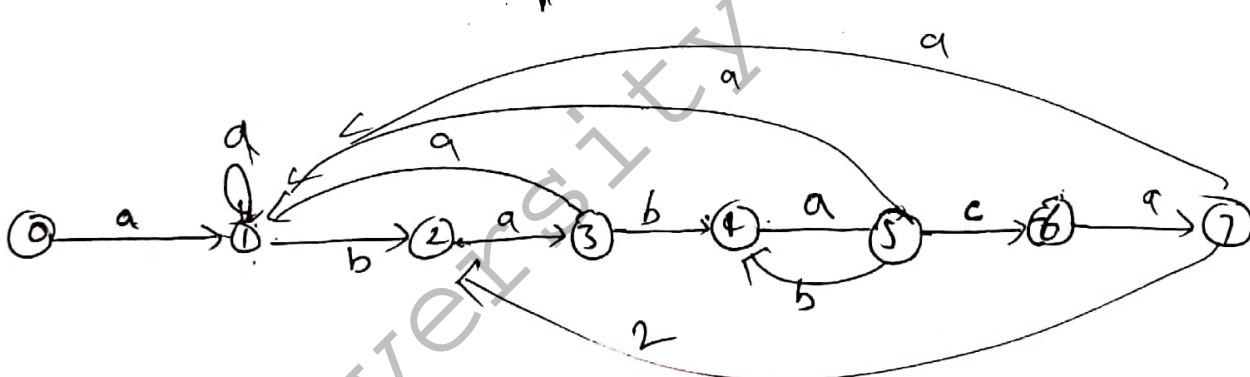
ab ab a b  $\rightarrow P_4$

longest prefix of  $P$  that semi  $\times$   
suffix of  $=$

longest prefix of  $P$  that semi  $\times$

ab ab a c a a  
ababucab

ababuc a



a a = 1

a c

ab b x

a b c x

a b a a  
a b a b

ab a b

a b a b

ab u b x

ab a b a a

ab a b b

ab a b a b  
=

a b a b a c b x  
c x

ababuc a b

input

state	a	b	c
0	1	0	0
1	1	2	0
2	3	0	0
3	1	4	0
4	5	0	0
5	1	4	6
6	7	0	0
7	1	2	0

$i' = 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11$   
 $T[i'] = a, b, ab, a, b, a, c, a, b, a$   
 $0, 1, 2, 3, 4, 5, 6, 7, 2, 3$

$$9 - 7 = 2$$

Finite Automaton-Matcher ( $T, S, m$ )

1-  $n \leftarrow \text{length}[T]$

2-  $q \leftarrow 0$

3- for  $i \leftarrow 1$  to  $n$

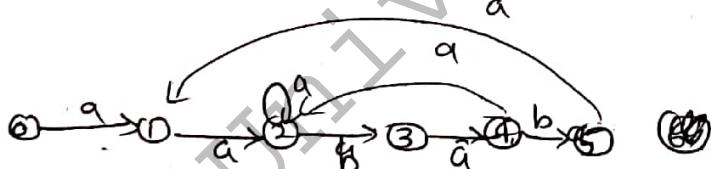
4. do  $q \leftarrow \delta(q, T[i])$

if  $q = m$

then print between  
occurred  $i^{\text{th}}$   
shift  $i-m$

$T = a a a b a b a a b a b a b a b a b$

$P = a a b a b$



$$0-5 = 1 \text{ shift } \underline{\underline{1}}$$

$$1-5 = 9$$

input

state	a	b
0	1	0
1	2	0
2	2	3
3	4	0
4	2	5
5	1	0

a a b b b  
a a b b b  
a a b a a  
a a b a b a  
y . b

$i = 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17$   
 $a, a, a, b, a, b, a, a, b, a, b, a, a, b$   
 $0, 1, 2, 2, 3, 4, 5, 1, 2, 3, 4, 2, 3, 4, 5,$

Put  $[0 \dots 4] C$

A C A C A C  
o - 4

A C A C A G A  
o - 4

$S \xrightarrow{C} \textcircled{4}$

suffix function

$$\Sigma^* = \{\phi, a, b, c\} \times \{0, 1, \dots, m\}$$

$\sigma(x)$  is length of longest prefix of  $P$  that

is suffix of  $x$

$$\sigma(x) = \max \{k : k \in \mathbb{J}^x\}$$

$S \text{ on } A$

Put  $[0 \dots 4] A$

A C A C A A  
o - 4



state

$$\delta(0, a) = \sigma(P_0, a) \text{ then } a = 1$$

$$\delta(0, b) = \sigma(P_0, b) \text{ then } b = *$$

$$\delta(0, c) = \sigma(P_0, c) \text{ then } c = *$$

$$\delta(1, a) = \sigma(P_1, a) = a \text{ then } a = 1$$

$$\delta(1, b) = \sigma(P_1, b) = b \text{ then } b = 2$$

$$\delta(1, c) = \sigma(P_1, c) = c \text{ then } c = *$$

$$\delta(2, b) = \sigma(P_2, b) = b \text{ then } b = 1$$

$$\delta(2, c) = \sigma(P_2, c) = c \text{ then } c = *$$

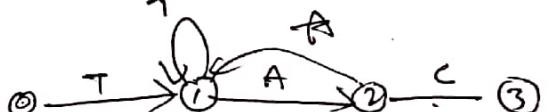
$$\delta(2, a) = 1$$

$$\delta(2, T) = ?$$

$$\delta(2, G) = 0$$

$$\delta(2, T) =$$

String TAC  $\Sigma = \{A, C, G, T\}$



delta(A) =  
 $\delta(0, A) = \sigma(A) = 0 = \delta(0, C) = \sigma(G)$

delta(T) =  $\sigma(T) = 1$  string T matches  
asymmetrical matching  
so far.

delta(1, A) = 2 since correct symbol

$\delta(1, C) = \sigma(1, G) = 0$  asym. neutral

$\delta(1, T) = 1$  is not correct  
Symbol but it is correct

## Knuth-Morris-Pratt Algorithm.

Problem with naive algorithms

KMP is linear time string matching algorithm. in  $\Theta(m+n)$

Text      a b b. a b a b b a

Pattern      ababa

$\Theta(mn)$  Naive pattern

KMP

prefix : start from beginning (LHS)  
: a, ab, abc, abcd, ...

for pattern

~~abed~~

ab ed abc

suffix      start from ending (RHS)

c, bc, abc, edabc, ...

$P_L(\pi)$  table longest prefix remains as suffix  $\underline{LPB}$

$P_1$ : a b c d a b e a b f  
0 0 0 0 1 2 0 1 2 0

$P_2$ : a b c d e a b f u b c  
0 0 0 0 1 2 0 1 2 0

$P_3$ : a b c d e a b b a b a  
0 0 1 2 9 1 1 2 2 9

$P_4$ : a a a a b a a a c d  
0 1 2 3 0 1 2 0 0

i	1 2 3 4 5 6 7 8 9 10
P[i]	a b a b a b a b c a
T[i]	0 0 1 2 3 4 5 6 0 1

String  $i = 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15$   
 a b a b c a b e a b a b a b a b d

$j = 0$

$i$	1	2	3	4	5
$j$	a	b	a	b	c
	b	0	1	2	0

$j = i$  is not back tracking

1. take two variables  $i$  and  $j$
2. compare  $j$  with  $i+1$
3. if match move both  $i$  and  $j$  to right
3. if match is not find move  $j$  to the locations in  $\pi$  table
4. if  $j = 0$  move  $i$  to the right

## Approximation Algorithm

2. Approximation

An approximation algorithm is way of dealing with NP-completeness for optimization problem. The goal of Approximation algo. is come as close as possible to optimal value in polynomial time.

## Definition

c.  $\rightarrow$  cost of solution

$c$  → cost of selection  
 $c^*$  → cost of optimal selection

$p(n) \rightarrow$  approximation Ratio.

$n \rightarrow$  input size

$$\text{maximization} \quad \frac{c^T}{c} \leq P(n)$$

$$\text{minimization} \quad \frac{c}{c^*} \leq p^m$$

$$\therefore \rho(n) \not\propto 1$$

## 1- Vertex cover problem.

## 9. TSP problems

### 3- Set covering problem.

## Approx-Order-Cover(1)

$$f \leftarrow \phi$$

$$2. E \subseteq E[G]$$

3. while  $E' \neq \emptyset$

do let  $(u, v)$  be an arbitrary edge!

5  $C \in C \cup \{L, N\}$

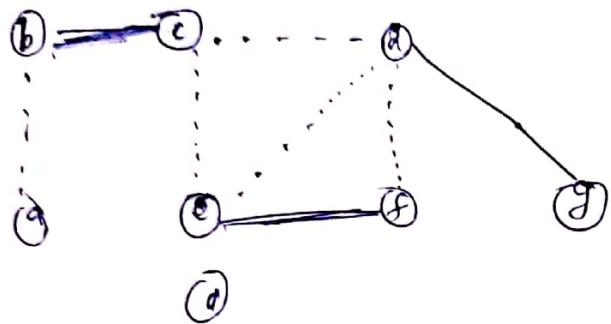
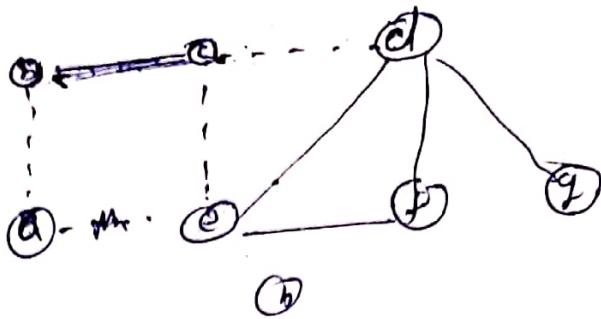
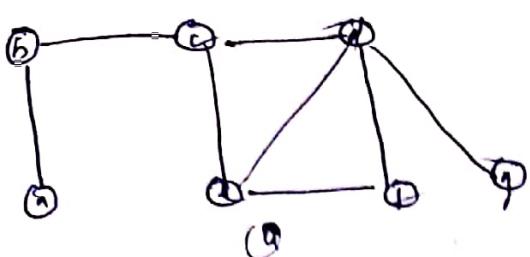
5 CECUSU, 6 Remove from  $E'$  every edge incident on either vertex.

7 return.

Y A vertex cover of a graph is a subset of vertices which cover every edge.

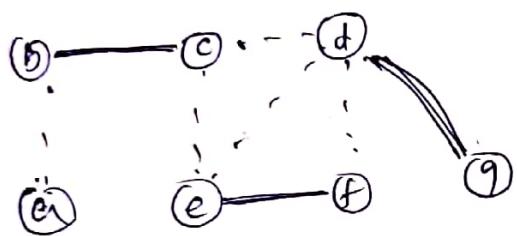
- \* An edges is covered if one of its endpoint is chosen

the vertex cover problem is the  
smallest minimum size vertex cover



$$C = [b, c, e, f, d, g]$$

$$\text{optimal } C = [b, d, e]$$



$$\frac{C}{C^*} \leq p(n)$$

$$\frac{6}{3} \leq p(2) = 2$$

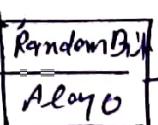
$$O(v + E)$$

## Randomized Algorithms

An Algorithm that uses random numbers to decide what to do next anywhere in its logic called Randomized algorithm. Randomness is used to reduce time or space complexity. e.g., Randomized Quicksort, approximate median.

input -  output

The output and running depends on only input.

input  $\rightarrow$   output

The output & running time depends on input and Random bit generation.

## Types of Randomized algo.

### 1. Randomized Las Vegas Algo:

- output is always correct Random Variable.
- execution time depends on output of Randomizer.

Ex. Randomized Quicksort

### 2. Randomized Monte Carlo Algo.

- output may be incorrect.
- running time is deterministic.

Ex. Randomized approximation algorithm.

## Identify Repeated Element:

No. of element in array  $A[n]$

### Algo Search Repeated $[A]$

```
{  
    for (i=0; i<n; i++)  
        for (j=i+1; j<n; j++)  
            if (A[i] == A[j])  
                return true.  
}
```

$\underline{\mathcal{O}(n^2)}$

### Algo - LV - Search Repeated $(A)$

```
while (true) do  
    i = random() mod n + 1  
    j = random() mod n + 1  
    if ((i != j) and A[i] == A[j])  
        return true.  
}
```

$\underline{\mathcal{O}(n \log n)}$

### Algo Search $(A, a)$ no. element = $n$ .

```
{  
    for (i=0; i<n-1; i++)  
        if (A[i] == a)  
            return true.  
}
```

$\underline{\mathcal{O}(n)}$

### Algo MC - Search $(A, a, n)$ non-iterative

```
{ i=0; flag=false  
while (i < n)  
    {  
        i = random() mod n + 1  
        if (A[i] == a)  
            flag = true.  
    }  
}
```

- return flag

Play mc-search( $A, a, n$ ).

play = false

for( $i=0 : i < n \rightarrow i+1$

{

$j = \text{random}() \bmod n+1$

if ( $A[i] = a$ )

play = true.

return play.

## Fast Fourier Transform

The straight forward method of addition and multiplication of two polynomials of degree  $n$  takes  $\Theta(n)$  and  $\Theta(n^2)$  time respectively. But the fast Fourier transform (FFT) can reduce the time to multiply polynomial to  $\Theta(n \log n)$ .

A Fast Fourier Transform (FFT) is an efficient algorithm to compute the discrete Fourier transform (DFT) and its inverse.

A polynomial in the variable  $x$  over an algebraic field  $F$  is a representation of a function  $A(x)$  as a formal sum

$$A(x) = \sum_{j=0}^{n-1} a_j x^j$$

$a_j$  is coefficients

\* A polynomial  $A$  in  $x$  is:

$$a_0 x^0 + a_1 x^1 + a_2 x^2 + \dots + a_{n-1} x^{n-1}$$

- \* degree  $k$  is highest non zero coefficient is  $a_k$ .
- \* degree bound is strictly greater than the degree of polynomial.
- \* the degree of a polynomial of degree bound  $n$  may not be any integer betn 0 and  $n-1$ .

examples

$$A(x) = x^3 - 2x - 1$$

$\Rightarrow A(x)$  has degree - 3  
 $\Rightarrow A(x)$  has degree bound, 4, 5, 6, ...  
 $\Rightarrow A(x)$  has coefficient (-1, -2, 0, 1)

$$A(x) = x^3 + x^2 + 1$$

degree - 3

degree bound 4, 5, 6, ...

coefficient (1, 0, 1, 1)

## Representation of Polynomials

- 1- Coefficient Representation
- 2- Point value Representation

- 1- A coefficient representation of polynomial  $A(x) = \sum_{j=0}^{n-1} a_j x^j$  of degree bound  $n$  is a vector of coefficient

$$a = (a_0, a_1, a_2, \dots, a_{n-1})$$

$$A(x) = 6x^3 + 2x^2 - 10x + 9 \quad (9, -10, 7, 6)$$

$$B(x) = -2x^3 + 4x - 5 \quad (-5, 4, 0, -2)$$

- 2- Evaluation  $A(x)$  at given point  $x_0$  consist of computing the value of  $A(x_0)$ .  $\therefore$  takes time  $O(n)$  by Horner's rule

$$A(x_0) = a_0 + x_0(a_1 + x_0(a_2 + \dots + x_0(a_{n-2} + x_0 a_{n-1})))$$

Ex:  $a(x) = a_0 x^0 + a_1 x^1 + a_2 x^2 + \dots + a_{n-2} x^{n-2} + a_{n-1} x^{n-1}$

Horner's rule  $= a_0 + x(a_1 + x(a_2 + \dots + x(a_{n-2} + x(a_{n-1}))))$

## 2. Point Value Representation

A point value representation of a polynomial  $A(x)$  of degree bound  $n$  is a set of  $n$  point-value pairs  $((x_0, y_0), (x_1, y_1), \dots, (x_{n-1}, y_{n-1}))$  for all  $x_k$  one

distinct and  $y_{ik} = A(x_k)$  for  ~~$k=0, 1, \dots, n-1$~~   $k=0, 1, \dots, n-1$

Ex:  $A(x) = x^3 - 2x + 1$

$$x_k = 0, 1, 2, 3 \quad \left\{ \begin{array}{l} (0, 1) (1, 0) (2, 5) (3, 22) \end{array} \right\}$$
$$y_{ik} = A(x_k) = 1, 0, 5, 22 \quad \left\{ \begin{array}{l} \end{array} \right\}$$

Using Horner's method  $n$ -point evaluation takes  $O(n^2)$ .

\* The inverse of evaluation is called interpolation based on Lagrange formula and take  $(n^2)$ .

$$A(x) = \sum_{k=0}^{n-1} y_{ik} \frac{\prod_{j \neq k} (x - x_j)}{\prod_{j \neq k} (x_k - x_j)}$$

Example: Using Lagrange formula we interpolate the point value representation  $\{(0, 1), (1, 0), (2, 5), (3, 22)\}$

$$y_{ik} = \{1, 0, 5, 22\}$$

$$\Rightarrow 1 \frac{(x-1)(x-2)(x-3)}{(0-1)(0-2)(0-3)} = \frac{x^3 - 6x^2 + 11x - 6}{-6} = -\frac{x^3 + 6x^2 - 11x + 6}{6}$$

$$\Rightarrow 0 \frac{(x-0)(x-2)(x-3)}{(1-0)(1-2)(1-3)} = 0$$

$$\Rightarrow 5 \frac{(x-0)(x-1)(x-3)}{(2-0)(2-1)(2-3)} = 5 \frac{x^3 - 4x^2 + 3x}{-2} = -\frac{15x^3 + 60x^2 - 45x}{6}$$

$$\Rightarrow -22 \frac{(x-0)(x-1)(x-2)}{(3-0)(3-1)(3-2)} = 22 \frac{x^3 - 3x^2 + 2x}{6} = \frac{22x^3 - 66x^2 + 44x}{6}$$

$$= \frac{6x^3 + 0x^2 - 12x + 6}{6}$$

$$= \boxed{x^3 - 2x + 1}$$

## Multiplying polynomials

if  $A(x)$  and  $B(x)$  are two polynomials of degree  $m$  and  $n$  the product  $C(x) = A(x) \cdot B(x)$  is given by

$C(x_k) = A(x_k) B(x_k)$  for any point  $x_k$ .

If  $A$  and  $B$  are degree  $B$  and  $n$  the  $C$  is degree  $B$  and  $2n$ . So extent  $A \cup B$  parent value  $2n$ .

$$A \{ (x_0, y_0), (x_1, y_1), \dots, (x_{2n-1}, y_{2n-1}) \}$$

$$B \{ (x_0, y_0^1), (x_1, y_1^1), \dots, (x_{2n-1}, y_{2n-1}^1) \}$$

$$C \{ (x_0, y_0 y_0!) (x_1, y_1 y_1!) \dots (x_{2n-1}, y_{2n-1} y_{2n-1}!) \}$$

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Example:

$$A(x) = x^3 - 2x + 1 \quad B(x) = x^3 + x^2 + 1$$

$$(Ax)^* = A^* x \cdot B(M)$$

$$x_k = -3 -2 -1 0 1 2 3$$

we need 2 coefficients  
 $(2n-1)$   
 $2x^4 - 1 = 7$

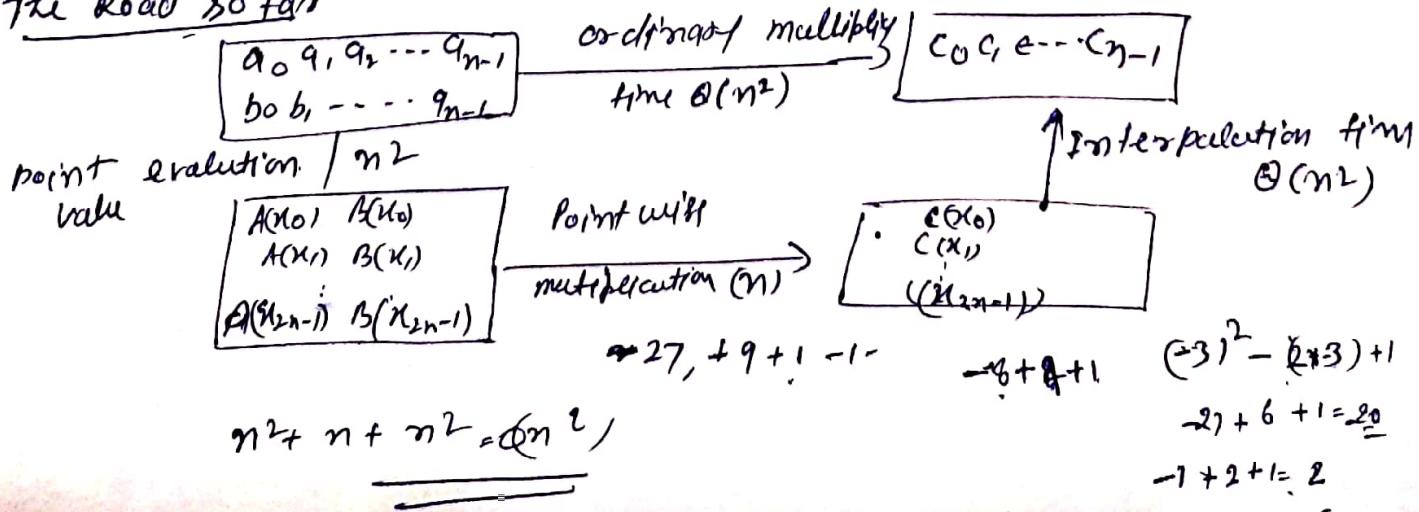
PEX

$$A: \{(-3, -20), (-2, -3), (-1, 2), (0, 1), (1, 0), (2, 5), (3, 22)\}$$

$$B : \{(-3, -1), (-2, -3), (-1, 4), (0, 1), (1, 3), (2, 13), 3, 37\}$$

$$C : \{(-3, 340), (-2, 4), (-1, 2), (0, 1), (1, 0), (2, 65), (3, 814)\}$$

## The Road so far



using Fast Fourier transform and its inverse we can do evaluation and Interpolation in time  $\Theta(n \log n)$ .

### Fast multiplication of polynomials

- using complex root of unity.
  - Evaluation by taking Discrete Fourier transform (DFT) of coefficient vector.
  - Interpolation by taking the inverse DFT of point value pair
  - Fast Fourier transform (FFT) can perform DFT and inverse DFT in  $(n \log n)$  time
- Algo
1. add  $n$  higher order zero coefficients to  $A(x)$  and  $B(x)$
  2. Evaluate  $A(x)$  and  $B(x)$  using FFT for  $2n$  points.
  3. Pointwise multiplication of point value form.
  4. Interpolation  $C(x)$  using FFT to combat inverse DFT.

Complex root of unity: A complex  $n$ th root of unity is a complex number  $w$  such that

$$w^n = 1$$

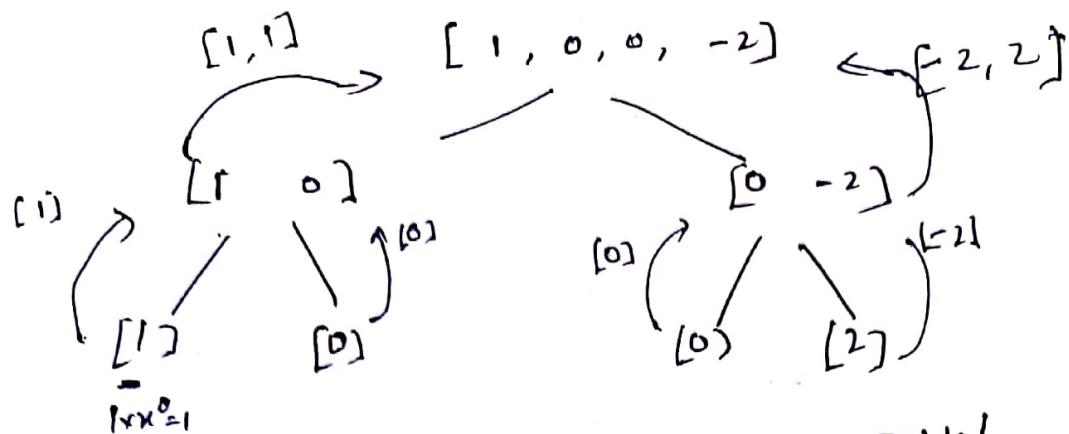
there are exactly  $n$  complex  $n$ th root of unity

$$e^{2\pi i k/n} \text{ for } k = 0, 1, \dots, n-1$$

$$e^{iu} = \cos(u) + i\sin(u).$$

A value  $w_n = e^{2\pi i / n}$  is called principle  $n$ th root of unity.

$$-2x^3 + 1 = 0 \cdot x^0 + 0 \cdot x^1 + 0 \cdot x^2 - 2x^3$$



$$n=1 \quad w_n \\ = e^{2\pi i k/n} \\ = e^{2\pi i 0/n} \\ = e^0 = 1$$

$$\begin{matrix} w & k & a_0 & \gamma_0 \\ 1 & 0 & [1] & 1+x^0 \\ & & & = 1+x(1)^0 \\ & & & = 1 \end{matrix}$$

$$\begin{array}{ccccccccc}
 \hline
 n=2 & \text{even} & w & k & a_0 & a_1 & y_0 & y_1 \\
 & e^{2\pi i k/n} & t & 0 & 0 & -2 & -2 & \\
 & -1 & & 1 & & & & \\
 \hline
 \end{array}$$

$$\begin{array}{l} \text{Ansatz } w_n = e^{2\pi i \lambda n} n \\ \begin{bmatrix} -1 \\ 1+2i \\ 3 \\ 1-2i \end{bmatrix} \quad \begin{bmatrix} 1 \\ +i \\ -1 \\ -i \end{bmatrix} \quad \begin{array}{c} w \\ 0 \\ 1 \\ 2 \\ 3 \end{array} \quad \begin{array}{c} K \\ 0 \\ 1 \\ 2 \\ 3 \end{array} \quad \begin{array}{c} q_0 \quad q_1 \quad q_2 \\ \begin{bmatrix} 1 \\ 1 \end{bmatrix} \quad \begin{bmatrix} -2 \\ 2 \end{bmatrix} \end{array} \quad \begin{array}{c} y_0 \quad y_1 \quad y_2 \quad y_3 \\ \div 1 \quad 4+2i \quad 3 \quad 1-2i \end{array} \quad = 2 \end{array}$$