

# Bottom-up parsing

Review of exam questions  
LR(1) parsing

The content is mostly copied from

- <https://web.stanford.edu/class/cs143/lectures/lecture07.pdf>
- <https://web.stanford.edu/class/cs143/lectures/lecture08.pdf>
- Engineering a Compiler by Cooper and Torczon, 2nd Ed. ch. 3
- <https://www3.nd.edu/~dthain/compilerbook/chapter4.pdf>

# Review

## We have seen **Top-down parser**

- Recursive descent parsing
    - A simple function for each non-terminal in the grammar
  - Predictive parser LL(1)
  - LL(1) table-driven parsing
    - Stack, input, action
- Needs left-factored grammars
- An entry in table is defined multiple times
- ◆ If G is ambiguous
  - ◆ If G is left recursive
  - ◆ If G is not left factored
- Most programming languages are not LL(1)

## **Bottom-up parsers**

- Shift-reduce technique
  - more general than top-down parsing
    - And just as efficient
    - Builds on ideas in top-down parsing
- 
- Bottom-up parsers don't need left-factored grammars
  - Bottom-up is the preferred method

# Bottom-Up Parsing

Read the input left to right

Whenever we've matched the right hand side of a production,

- **reduce** it to the appropriate **non-terminal**
- and add that non-terminal to the parse tree

The upper edge of this partial parse tree is known as the **frontier**

# The idea of bottom-up parsing

Revert to the “natural” grammar for our example:

$E \rightarrow T + E \mid T$

$T \rightarrow \text{int} * T \mid \text{int} \mid (E)$

Consider the string: `int * int + int`

- ★ Read the productions in reverse (from bottom to top)
- ★ This is a reverse rightmost derivation!

reduce a string to the start symbol by inverting productions:

→ `int * int + int`

◆  $T \rightarrow \text{int}$

→ `int * T + int`

◆  $T \rightarrow \text{int} * T$

→ `T + int`

◆  $T \rightarrow \text{int}$

→ `T + T`

◆  $E \rightarrow T$

→ `T + E`

◆  $E \rightarrow T + E$

→ `E`

For derivation

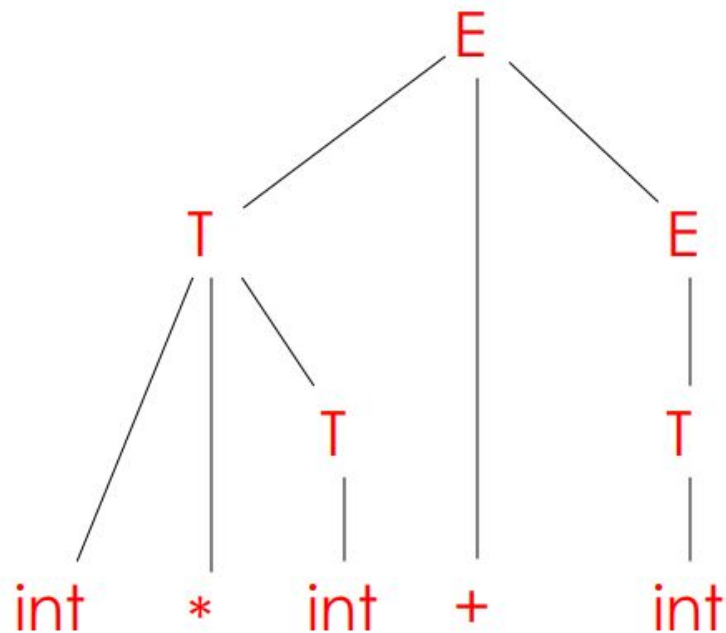
**Goal =  $\gamma_0 \rightarrow \gamma_1 \rightarrow \gamma_2 \rightarrow \cdots \rightarrow \gamma_{n-1} \rightarrow \gamma_n = \text{sentence}$ ,**

The bottom-up parser discovers  $\gamma_i \rightarrow \gamma_{i+1}$  before it discovers  $\gamma_{i-1} \rightarrow \gamma_i$

**Important Fact #1** about bottom-up parsing:

A bottom-up parser traces a rightmost derivation in reverse

→ int \* int + int  
    ◆  $T \rightarrow \text{int}$   
→ int \* T + int  
    ◆  $T \rightarrow \text{int} * T$   
→ T + int  
    ◆  $T \rightarrow \text{int}$   
→ T + T  
    ◆  $E \rightarrow T$   
→ T + E  
    ◆  $E \rightarrow T + E$   
→ E



# Example: draw bottom-up parse tree

$S \rightarrow baTba$

$T \rightarrow Ta \mid Tb \mid a \mid b \mid \epsilon$

input : b a a a b b a

# Where Do Reductions Happen?

Important Fact #1 has an interesting consequence:

- Let  $\alpha\beta\omega$  be a step of a bottom-up parse
- Assume the next reduction is by  $X \rightarrow \beta$

That is  $\alpha X \omega \rightarrow \alpha\beta\omega$

- Then  $\omega$  is a string of terminals

Why?

- ★ Because  $\alpha X \omega \rightarrow \alpha\beta\omega$  is a step in a right-most derivation



# Summary of key ideas

$\alpha\beta\omega$  Assume  $\beta$  is at position  $k$ , and we have a rule  $X \rightarrow \beta$ .

- Parser looks the current **frontier**
  - If it finds  $\beta$  in the frontier,
  - it can replace  $\beta$  with  $X$  to create a **new frontier**.
- **Handle:**  $\langle X \rightarrow \beta, k \rangle$  this pair is a handle
  - if replacing  $\beta$  with  $X$  at position  $k$  is the next step in a **valid derivation for the input string**
  - then the parser should replace  $\beta$  with  $X$ .

- **Reduction:**

- This replacement is called a reduction because it reduces the number of symbols on the frontier, unless  $|\beta| = 1$ .

In the parse tree,

- build a node for  $X$ ,
- add that node to the tree,
- and connect the nodes representing  $\beta$  as  $X$ 's children.



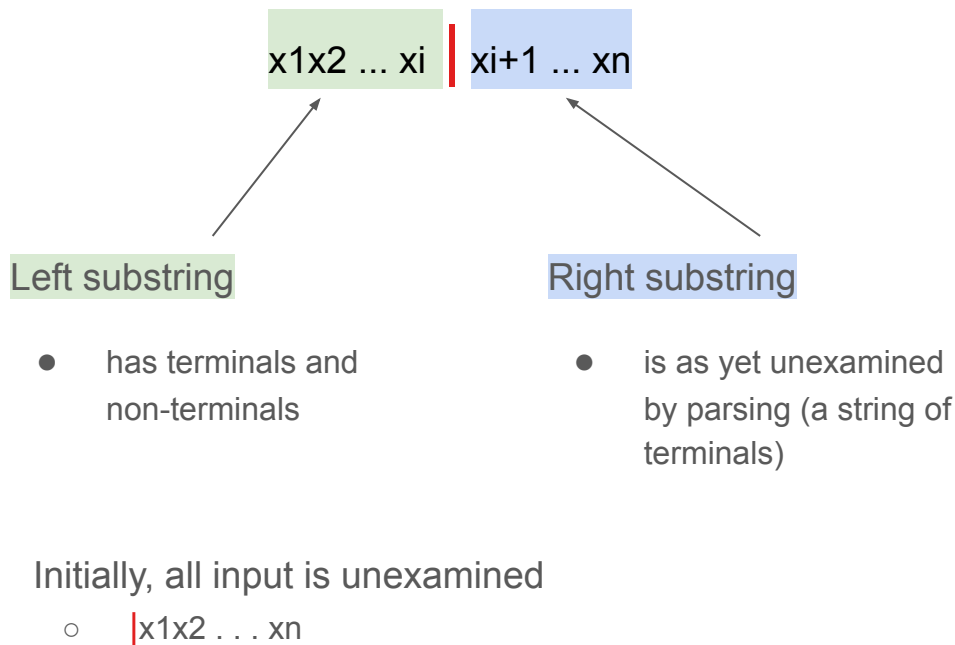
# Shift-Reduce Parsing

## Notation:

Idea: Split string into two substrings

The dividing point is marked by a |

- The | is not part of the string



Bottom-up parsing uses only two kinds of actions:

Shift

Reduce

# Shift and Reduce

## Shift:

Move **|** one place to the right

Shifts a terminal to the left string

$ABC|xyz \Rightarrow ABCx|yz$

## Reduce:

Apply an inverse production at the right end of the left string

If  $A \rightarrow xy$  is a production, then

$Cbxy|ijk \Rightarrow CbA|ijk$

# Example with reductions

→ int \* int | + int

◆ reduce  $T \rightarrow \text{int}$

→ int \* T | + int

◆ reduce  $T \rightarrow \text{int} * T$

→ T + int |

◆ reduce  $T \rightarrow \text{int}$

→ T + T |

◆ reduce  $E \rightarrow T$

→ T + E |

◆ reduce  $E \rightarrow T + E$

→ E |

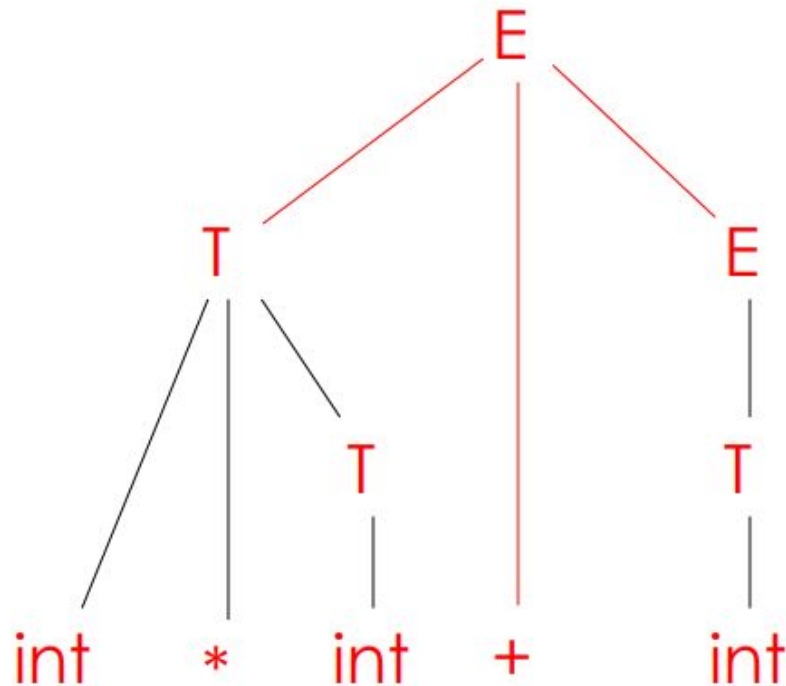
# Example with shift-reduce parsing

→ | int \* int + int  
    ◆ shift  
→ int | \* int + int  
    ◆ shift  
→ int \* | int + int  
    ◆ shift  
→ int \* int | + int  
    ◆ reduce  $T \rightarrow \text{int}$   
→ int \* T | + int  
    ◆ reduce  $T \rightarrow \text{int} * T$   
→ T | + int

→ T | + int  
    ◆ shift  
→ T + | int  
    ◆ shift  
→ T + int |  
    ◆ reduce  $T \rightarrow \text{int}$   
→ T + T |  
    ◆ reduce  $E \rightarrow T$   
→ T + E |  
    ◆ reduce  $E \rightarrow T + E$   
→ E |

→ | int \* int + int  
 ◆ shift  
 → int | \* int + int  
 ◆ shift  
 → int \* | int + int  
 ◆ shift  
 → int \* int | + int  
 ◆ reduce  $T \rightarrow \text{int}$   
 → int \* T | + int  
 ◆ reduce  $T \rightarrow \text{int} * T$   
 → T | + int  
 ◆ shift  
 → T + | int  
 ◆ shift  
 → T + int |  
 ◆ reduce  $T \rightarrow \text{int}$   
 → T + T |  
 ◆ reduce  $E \rightarrow T$   
 → T + E |  
 ◆ reduce  $E \rightarrow T + E$   
 → E |

## The generation of parse tree



# The stack

Left string can be implemented by a stack

– Top of the stack is the |

- Shift

- pushes a terminal on the stack

- Reduce

- pops 0 or more symbols off of the stack (production rhs)
- and pushes a non-terminal on the stack (production lhs)

1.  $P \rightarrow E$
2.  $E \rightarrow E + T$
3.  $E \rightarrow T$
4.  $T \rightarrow id ( E )$
5.  $T \rightarrow id$

An example Shift-Reduce Parsing with 1 lookahead

Stack	Input	Action
	id ( id + id ) \$	shift
id	( id + id ) \$	shift
id (	id + id ) \$	shift
id ( id	+ id ) \$	reduce $T \rightarrow id$
id ( T	+ id ) \$	reduce $E \rightarrow T$
id ( E	+ id ) \$	shift
id ( E +	id ) \$	shift
id ( E + id	) \$	reduce $T \rightarrow id$
id ( E + T	) \$	reduce $E \rightarrow E + T$
id ( E	) \$	shift
id ( E )	\$	reduce $T \rightarrow id(E)$
T	\$	reduce $E \rightarrow T$
E	\$	reduce $P \rightarrow E$
P	\$	accept



# Key issue

Example grammar:

$E \rightarrow T + E \mid T$

$T \rightarrow \text{int} * T \mid \text{int} \mid (E)$

Consider step

→  $\text{int} \mid * \text{int} + \text{int}$

◆ We could reduce by  $T \rightarrow \text{int}$

→  $T \mid * \text{int} + \text{int}$

→ A fatal mistake!

◆ No way to reduce to the start symbol E

★ **Issue1:** How do we decide when to shift or reduce?

# Another issue: Conflicts

- In a given state, more than one action (shift or reduce) may lead to a valid parse

- **A shift-reduce conflict**
  - If it is legal to shift or reduce
- **A reduce-reduce conflict**
  - if it is legal to reduce by two different productions

You will see such conflicts in your project!

★ **Issue2:** How do we solve conflicts?

# Handles

- ★ Intuition: Want to reduce only if the result can still be reduced to the start symbol

Assume a rightmost derivation

$$S \rightarrow^* \alpha X \omega \rightarrow \alpha \beta \omega$$

- Then  $X \rightarrow \beta$  in the position after  $\alpha$  is a handle of  $\alpha \beta \omega$
- Can and must reduce at handles

# Handles formalize the intuition

– A handle is a string that can be reduced and also allows further reductions back to the start symbol (using a particular production at a specific spot)

We only want to reduce at handles

★ Note: We have said what a handle is, not how to find handles

# Summary of key ideas

$\alpha\beta\omega$  Assume  $\beta$  is at position  $k$ , and we have a rule  $X \rightarrow \beta$ .

- Parser looks the current **frontier**
  - If it finds  $\beta$  in the frontier,
  - it can replace  $\beta$  with  $X$  to create a **new frontier**.
- **Handle:**  $\langle X \rightarrow \beta, k \rangle$  this pair is a handle
  - if replacing  $\beta$  with  $X$  at position  $k$  is the next step in a **valid derivation for the input string**
  - then the parser should replace  $\beta$  with  $X$ .

- **Reduction:**

- This replacement is called a reduction because it reduces the number of symbols on the frontier, unless  $|\beta| = 1$ .

In the parse tree,

- build a node for  $X$ ,
- add that node to the tree,
- and connect the nodes representing  $\beta$  as  $X$ 's children.



★ **Important Fact #2 about bottom-up parsing:**

- In shift-reduce parsing, handles appear only at the top of the stack, never inside

Why?

Informal induction on # of reduce moves:

- initially, stack is empty
- Immediately after reducing a handle
  - right-most non-terminal on top of the stack
  - next handle must be to right of right-most non-terminal, because this is a right-most derivation
  - Sequence of shift moves reaches next handle

# Summary of Handles

In shift-reduce parsing, handles always appear at the top of the stack

Handles are never to the left of the rightmost nonterminal

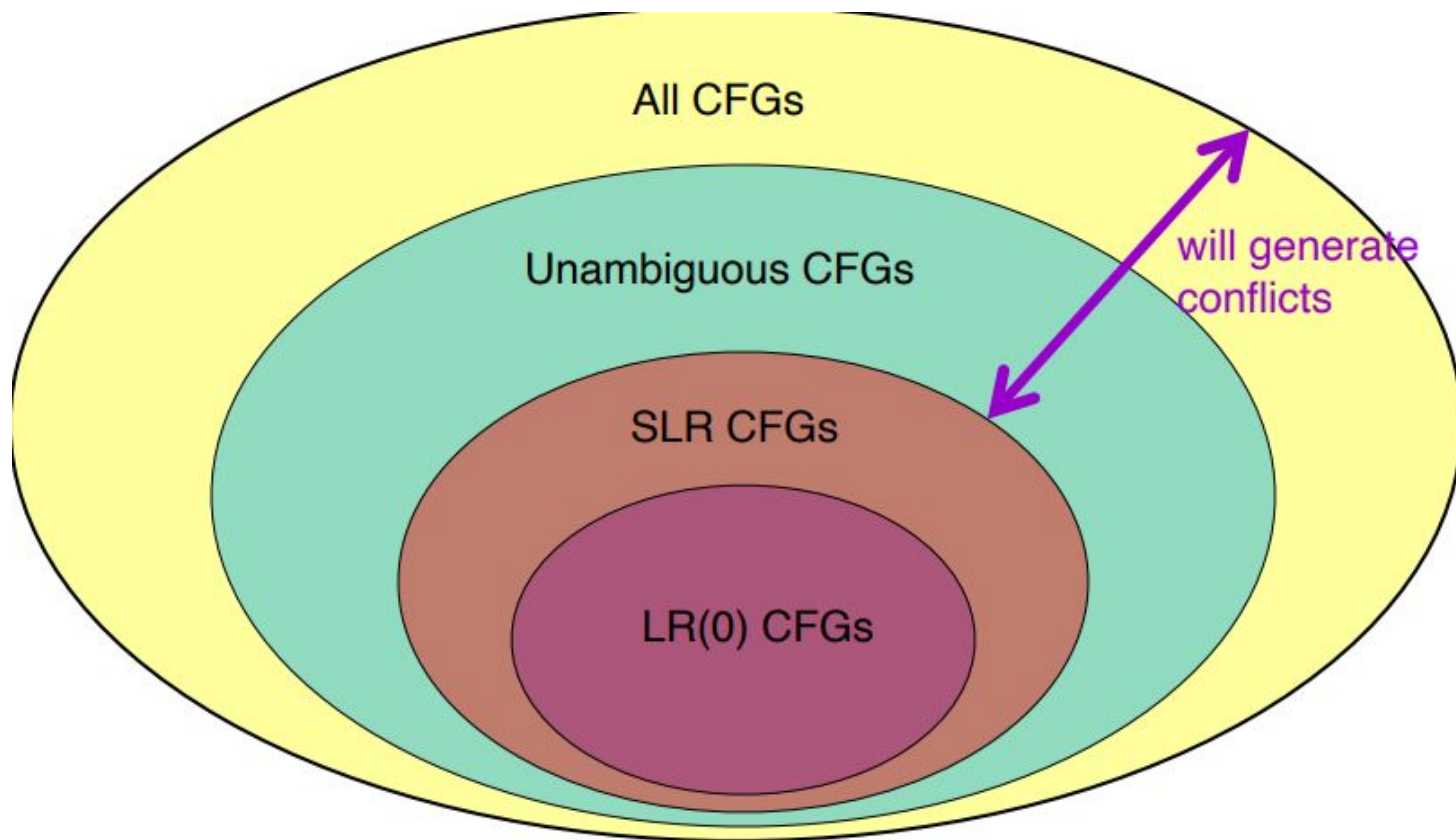
- Therefore, shift-reduce moves are sufficient;
  - **No need for | to move left**

Bottom-up parsing algorithms are based on recognizing handles

# Recognizing handles

- ★ There are no known efficient algorithms to recognize handles
- Solution: use heuristics to guess which stacks are handles
- On some CFGs, the heuristics always guess correctly
  - For the heuristics we use here, these are the SLR grammars
  - Other heuristics work for other grammars





# Viable Prefixes

It is not obvious how to detect handles

At each step the parser sees only the stack, not the entire input; start with that . . .

$\alpha$  is a viable prefix

- if there is an  $\omega$  such that  $\alpha|\omega$  is a state of a shift-reduce parser

What does this mean?

What does this mean?

- the right end of the handle
  - A viable prefix does not extend past this point
- **It's viable** prefix because it is a **prefix of the handle**
- As long as a parser has viable prefixes on the stack no parsing error has been detected

before shifting + to the stack  
we have reduced (E) to B

we can only have (, (E, (E) on stack

- but we cannot have (E)+ on stack because (E) is a handle and the items in the stack cannot exceed beyond the handle

$A \rightarrow B + id \rightarrow (E) + id$

Right most derivation

Operation performed

Stack

Comments

(.E)+id

(

shift (

(E.)+id

( E

shift E

(E).+id

( E )

shift )

B.+id

B

reduce (E) to B

B+.id

B +

shift +

B+id.

B + id

shift id

A

A

reduce B + id to A

- ★ (, (E, (E) are all viable prefixes for the handle (E)
- ★ and only these prefixes are present in stack of shift reduce parser.

we keep on shifting the items until we reach the handle or an error occurs.

Once a handle is reached we reduce it with a non-terminal using the suitable production.

Thus viable prefixes help in taking appropriate shift-reduce decisions.

As long as stack contains these prefixes there cannot be any error.

$S \rightarrow AA$

$A \rightarrow bA \mid a$

Input string:  
bbbaa

S.No .	Reverse Rightmost Derivation with Handles	Viable Prefix	Comments
1.	$S \rightarrow bbb\textcolor{red}{a}a$	b, bb, bbb, bbba	Here, a is the handle so viable prefix cannot exceed beyond a.
2.	$S \rightarrow bb\textcolor{red}{b}Aa$	b, bb, bbb, bbbA	Here, bA is the handle so viable prefix cannot exceed beyond bA.
3.	$S \rightarrow bb\textcolor{red}{A}a$	b, bb, bbA	Here also, bA is the handle so viable prefix cannot exceed beyond bA.
4.	$S \rightarrow \textcolor{red}{b}Aa$	b, bA	Here also, bA is the handle so viable prefix cannot exceed beyond bA.
5.	$S \rightarrow A\textcolor{red}{a}$	A, Aa	Here, a is the handle so viable prefix cannot exceed beyond a.
6.	$S \rightarrow \textcolor{red}{AA}$	A, AA	Here, AA is the handle so viable prefix cannot exceed beyond AA.

### Important Fact #3 about bottom-up parsing:

For any grammar,

the set of viable prefixes is a **regular language**

Important Fact #3 is non-obvious

### Next

we will show how to compute automata that  
accept viable prefixes

And how to build action, goto tables

A simple table driven LR(1) parser.

- LR(1): left-to-right scan, reverse rightmost derivation, and 1 symbol of lookahead



State	Action Table			Goto Table	
	eof	(	)	List	Pair
0		s 3		1	2
1	acc	s 3			4
2	r 3	r 3			
3		s 6	s 7		5
4	r 2	r 2			
5			s 8		
6		s 6	s 10		9
7	r 5	r 5			
8	r 4	r 4			
9			s 11		
10			r 5		
11			r 4		

1	Goal → List
2	List → List Pair
3	Pair
4	Pair → ( Pair )
5	( )

Behaviour for the input string “( )”

Iteration	State	word	Stack	Handle	Action
initial	—	(	\$ 0	— none —	—
1	0	(	\$ 0	— none —	shift 3
2	3	)	\$ 0 ( 3	— none —	shift 7
3	7	eof	\$ 0 ( 3 ) 7	( )	reduce 5
4	2	eof	\$ 0 Pair 2	Pair	reduce 3
5	1	eof	\$ 0 List 1	List	accept

When it finds a handle  $\langle A \rightarrow \beta, k \rangle$ , it reduces  $\beta$  at  $k$  to  $A$



# Recognizing viable prefixes

## Items:

An item is a production with a “.” somewhere on the rhs, denoting a focus point

Items are often called “LR(0) items”

The item for  $X \rightarrow \epsilon$  is  $X \rightarrow \cdot$

The items for  $T \rightarrow (E)$

## Items

$T \rightarrow \cdot (E)$

$T \rightarrow ( \cdot E)$

$T \rightarrow (E \cdot )$

$T \rightarrow (E) \cdot$

# Intuition

$$\begin{aligned} E &\rightarrow T + E \mid T \\ T &\rightarrow \text{int} * T \mid \text{int} \mid (E) \end{aligned}$$

The problem in recognizing viable prefixes is that

- the stack has only bits and pieces of the rhs of productions
  - If it had a complete rhs, we could reduce
- These bits and pieces are always prefixes of rhs of productions

# Intuition

$$E \rightarrow T + E \mid T$$
$$T \rightarrow \text{int} * T \mid \text{int} \mid (E)$$

Consider the input **(int)**

Then **(E | )** is a state of a shift-reduce parse

- **(E** is a prefix of the rhs of **T → (E)**
  - Will be reduced after the next shift

Item **T → (E.)**

- says that so far we have seen **(E** of this production
- and hope to see **)**

# generalization

The stack may have many prefixes of rhs's

$\text{Prefix}_1 \text{Prefix}_2 \dots \text{Prefix}_{n-1} \text{Prefix}_n$

Let  $\text{Prefix}_i$  be a prefix of rhs of  $X_i \rightarrow \alpha_i$

- $\text{Prefix}_i$  will eventually reduce to  $X_i$
- The missing part of  $\text{Prefix}_{i-1}$  of  $\alpha_{i-1}$  starts with  $X_i$
- i.e. there is a  $X_{i-1} \rightarrow \text{Prefix}_{i-1} X_i \beta$  for some  $\beta$

Recursively,  $\text{Prefix}_{k+1} \dots \text{Prefix}_n$  eventually reduces to the missing part of  $\alpha_k$

## example

$$E \rightarrow T + E \mid T$$
$$T \rightarrow \text{int} * T \mid \text{int} \mid (E)$$

Consider the string **(int \* int)**:

**(int \* | int)** is a state of a shift-reduce parser

From top of the stack:

“**int \***” is a prefix of the rhs of  $T \rightarrow \text{int} * T$

“**ε**” is a prefix of the rhs of  $E \rightarrow T$

“**(**” is a prefix of the rhs of  $T \rightarrow (E$

# example

$$E \rightarrow T + E \mid T$$
$$T \rightarrow \text{int} * T \mid \text{int} \mid (E)$$

The stack of items

$$T \rightarrow \text{int} * .T$$
$$E \rightarrow .T$$
$$T \rightarrow (.E)$$

Says

We've seen  $\text{int} *$  of  $T \rightarrow \text{int} * T$

We've seen  $\epsilon$  of  $E \rightarrow T$

We've seen  $($  of  $T \rightarrow (E)$

# Back to recognizing viable prefixes

**Idea:** To recognize viable prefixes, we must

- Recognize a sequence of partial rhs's of productions,

where

- Each sequence can eventually reduce to part of the missing suffix of its predecessor

# NFA to recognize viable prefixes

1. Add a new start production

$S' \rightarrow S$  to  $G$

2. States are **the items** of  $G$

3. Every state is an accepting state

4. Start state is  $S' \rightarrow .S$

## Transitions

For item  $E \rightarrow \alpha.X\beta$

- add transition

$E \rightarrow \alpha.X\beta \xrightarrow{X} E \rightarrow \alpha X.\beta$

For item  $E \rightarrow \alpha.X\beta$  and production  $X \rightarrow \gamma$

- Add transition

$E \rightarrow \alpha.X\beta \xrightarrow{\epsilon} X \rightarrow .\gamma$



# Example

$$E \rightarrow T + E \mid T$$
$$T \rightarrow \text{int} * T \mid \text{int} \mid (E)$$

Start state:

$S' \rightarrow .E$

- Transition with  $E$

$S' \rightarrow E.$

- Transition with  $\epsilon$

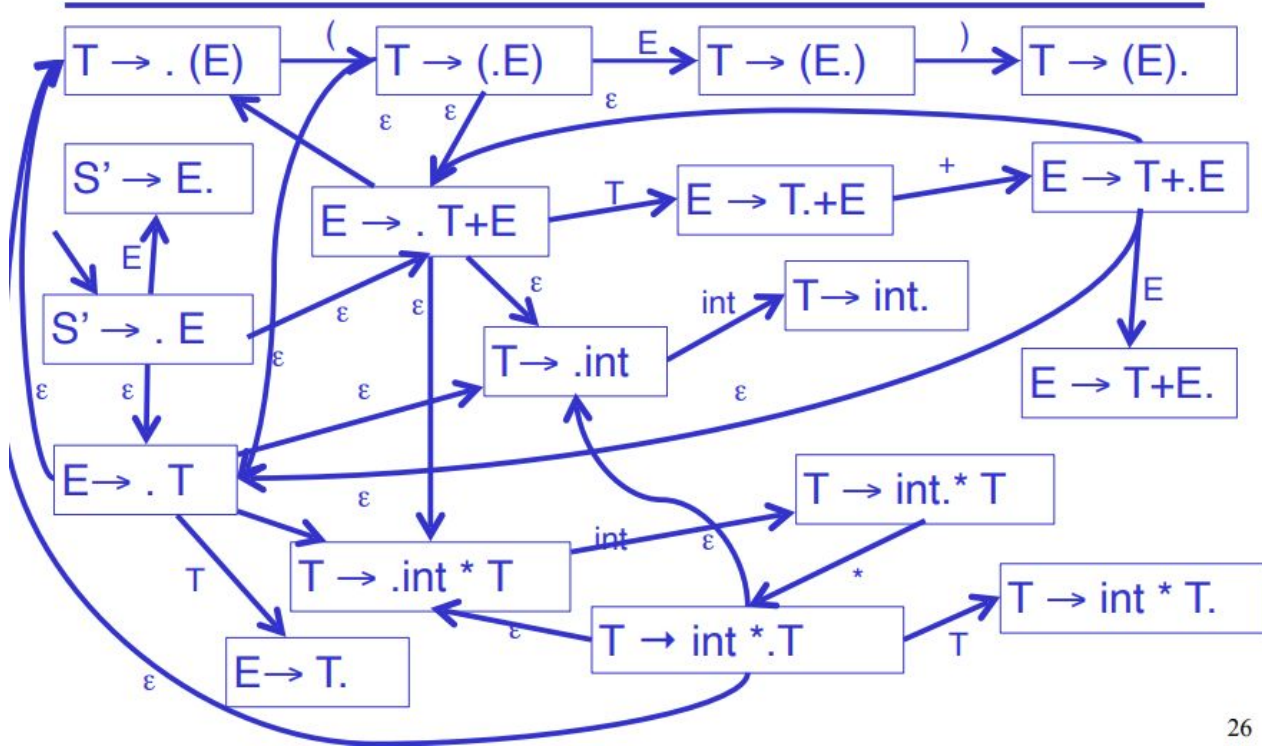
$E \rightarrow .T + E$

$E \rightarrow .T$

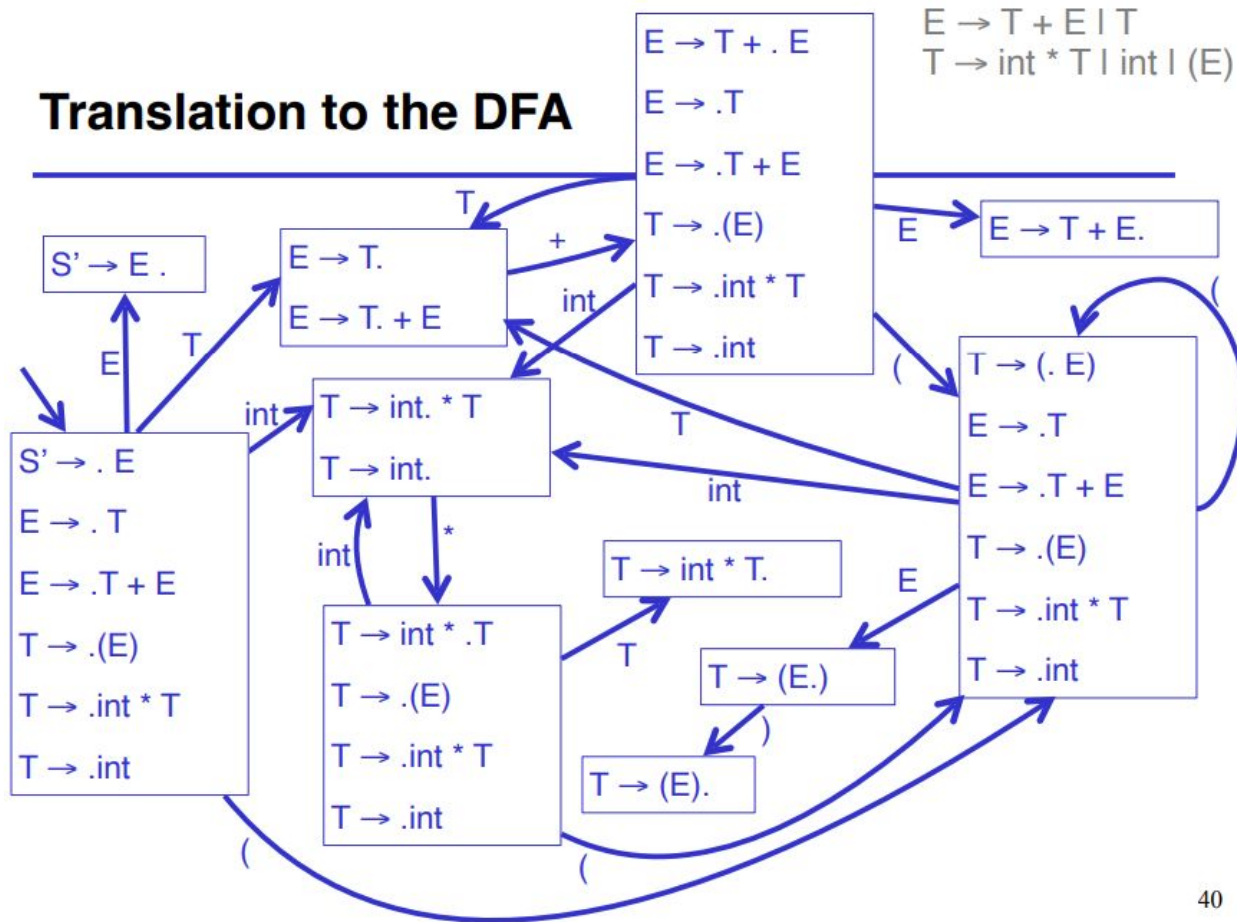
Let's draw the rest as NFA

$$\begin{aligned} E &\rightarrow T + E \mid T \\ T &\rightarrow \text{int} * T \mid \text{int} \mid (E) \end{aligned}$$

## NFA for Viable Prefixes



# Translation to the DFA



# LR(0) Automaton

The states of the DFA are

“canonical collections of items”

or

“canonical collections of LR(0) items”

An LR(0) automaton represents

- all the possible rules that are currently under consideration by a shift-reduce parser.
- **compact finite state machine of the grammar.**

# Another way of finding LR(0) automaton

1.  $P \rightarrow E$

2.  $E \rightarrow E + T$

3.  $E \rightarrow T$

4.  $T \rightarrow id ( E )$

5.  $T \rightarrow id$

**State0** = Start(Kernel)

$P \rightarrow . E$

Compute the  $\epsilon$ -closure of  
**State0**

$P \rightarrow . E$

$E \rightarrow . E + T$

$E \rightarrow . T$

keep repeating until no newly element  
added

Final  $\epsilon$ -closure of **State0**

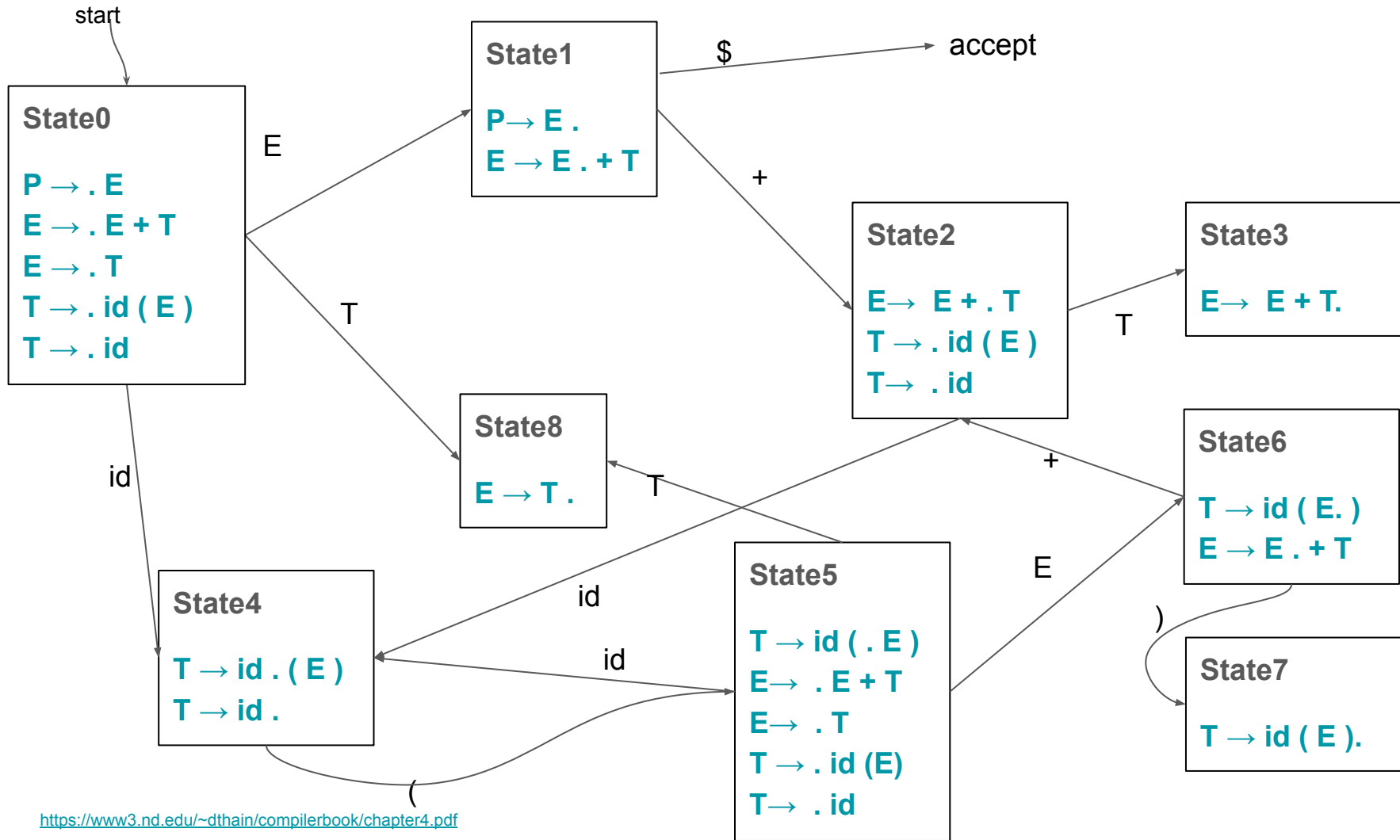
$P \rightarrow . E$

$E \rightarrow . E + T$

$E \rightarrow . T$

$T \rightarrow . id ( E )$

$T \rightarrow . id$



# LR(0) automaton

The LR(0) automaton tells us the choices available at any step of bottom up parsing

## **Reduction:**

- A state containing an item with a “.” at the end of the rule, that indicates a possible reduction

## **Shift:**

- A transition on a terminal that moves the “.” one position to the right indicates a possible shift.

# Shift and reductions

Assume

- stack contains  $\alpha$
- next input is  $t$
- DFA on input  $\alpha$  terminates in state  $s$

Reduce by  $X \rightarrow \beta$  if

- $s$  contains item  $X \rightarrow \beta.$

Shift if

- $s$  contains item  $X \rightarrow \beta.t$
- equivalent to saying  $s$  has a transition labeled  $t$



# Conflicts

## shift-reduce conflict

A state

$T \rightarrow id . ( E )$

$T \rightarrow id .$

$S \rightarrow \text{ifthen } S .$

$S \rightarrow \text{ifthen } S . \text{ else } S$

- ★ Any state has a reduce item and a shift item:

$X \rightarrow \beta .$  and  $Y \rightarrow \omega . t \delta$

## reduce-reduce conflict

$S \rightarrow id ( E ) .$

$E \rightarrow id ( E ) .$

- ★ Any state has two reduce items
  - $X \rightarrow \beta .$  and  $Y \rightarrow \omega .$

## LR(0) automaton

- forms the basis of LR parsing, by telling us which actions are available in each state.
- But, it does not tell us which action to take or how to resolve shift-reduce and reduce-reduce conflicts

## SLR = “Simple Left-to-right scan”

SLR improves on LR(0) shift/reduce heuristics –  
Fewer states have conflicts

# SLR Parsing

## Idea:

use FOLLOW sets to resolve conflicts in the LR(0) automaton

- take the reduction  $X \rightarrow \beta$  only when the next token on the input is in **FOLLOW(X)**

– stack contains  $\alpha$

– next input is  $t$

– DFA on input  $\alpha$  terminates in state  $s$

**Reduce** by  $X \rightarrow \beta$  if

- $s$  contains item  $X \rightarrow \beta$ .
- and  $t \in \text{Follow}(X)$

**Shift** if

- $s$  contains item  $X \rightarrow \beta.t$

If there are still conflicts under these rules;

- the grammar is not SLR

The rules amount to a heuristic for detecting handles

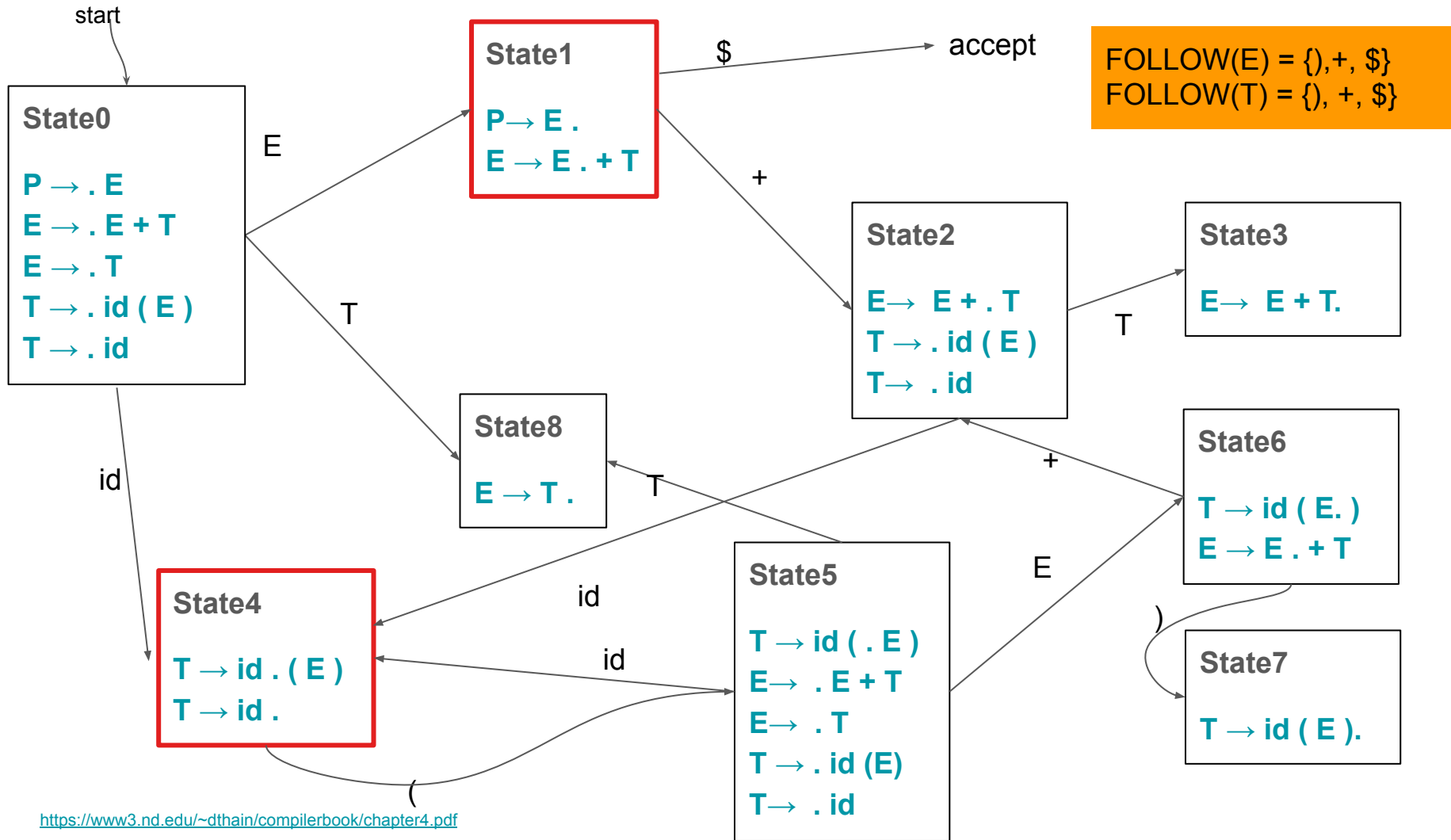
- The SLR grammars are those where the heuristics detect exactly the handles

To solve further conflicts:

1. Fix grammar
2. choose to shift instead of reduce
3. Precedence Declarations
4. Declaring “\*” has higher precedence than “+”

○  $E \rightarrow E * E .$

○  $E \rightarrow E . + E$



# SLR parser table: goto table

Avoiding DFA Rescanning

Encode the DFA in a Table

- Goto table
  - the transitions to take when we back up into a state after a reduction
  - and then make a transition using the newly pushed (reduced) non-terminal
- Action table
  - what to do given the current state and the next input symbol

**Goto table**

**goto[i,A] = j**

- if  $\text{state}_i \xrightarrow{A} \text{state}_j$

goto is just the transition function of the DFA

– One of two parsing tables

# SLR parser table: actions

## Action Table

For each state  $s_i$  and terminal  $t$

$\text{action}[i,t] = \text{shift } k$

- If  $s_i$  has item  $X \rightarrow \alpha.t\beta$  and  $\text{goto}[i,t] = k$

$\text{action}[i,t] = \text{reduce } X \rightarrow \alpha$

- If  $s_i$  has item  $X \rightarrow \alpha.$
- and  $t \in \text{Follow}(X)$  and  $X \neq S'$

$\text{action}[i,t] = \text{error}$

- If  $s_i$  has item  $S' \rightarrow S.$

Otherwise,

# SLR Parse Table for Grammar

1.  $P \rightarrow E$
2.  $E \rightarrow E + T$
3.  $E \rightarrow T$
4.  $T \rightarrow id ( E )$
5.  $T \rightarrow id$

State	GOTO		ACTION				
	E	T	id	(	)	+	\$
0	<b>G1</b>	<b>G8</b>	S4				
1						S2	<b>R1</b>
2		<b>G3</b>	S4				
3					<b>R2</b>	<b>R2</b>	<b>R2</b>
4				S5	<b>R5</b>	<b>R5</b>	<b>R5</b>
5	<b>G6</b>	<b>G8</b>	S4				
6					S7	S2	
7					<b>R4</b>	<b>R4</b>	<b>R4</b>
8					<b>R3</b>	<b>R3</b>	<b>R3</b>

R2 means reduced by 2:  $E \rightarrow E + T$   
**This pops 3 symbols from top of stack.**



# SLR Parsing Algorithm.

Let  $S$  be a stack of  $LR(0)$  automaton states.

Push  $\langle a, S_0 \rangle$  onto  $S$ .

Let  $a$  be the first input token.

Loop:

Let  $s$  be the top of the stack.

If  $ACTION[s, a]$  is accept:

Parse complete.

Else if  $ACTION[s, a]$  is shift  $t$ :

Push state  $t$  on the stack.

Let  $a$  be the next input token.

Else if  $ACTION[s, a]$  is reduce  $A \rightarrow \beta$ :

Pop states corresponding to  $\beta$  from the stack.

Let  $t$  be the top of stack.

Push  $GOTO[t, A]$  onto the stack.

Otherwise:

Halt with a parse error.

Stack =symbols	Symbols	Input	Action
0		id ( id + id) \$	shift 4
0 4	id	( id + id ) \$	shift 5
0 4 5	id (	id + id ) \$	shift 4
0 4 5 4	id ( id	+ id ) \$	reduce $T \rightarrow id$
0 4 5 8	id ( T	+ id ) \$	reduce $E \rightarrow T$
0 4 5 6	id ( E	+ id ) \$	shift 2
0 4 5 6 2	id ( E +	id )\$	shift 4
0 4 5 6 2 4	id ( E + id	) \$	reduce $T \rightarrow id$
0 4 5 6 2 3	id ( E + T	) \$	reduce $E \rightarrow E + T$
0 4 5 6	id ( E	) \$	shift 7
0 4 5 6 7	id ( E )	\$	reduce $T \rightarrow id(E)$
0 8	T	\$	reduce $E \rightarrow T$
0 1	E	\$	accept

# Step-by-Step Explanation for Parse of "id ( id + id ) \$"

## Step 1: $\emptyset + \text{id} \rightarrow \text{shift } 4$

- Stack:  $\emptyset$ , Input: id ( id + id ) \$
- ACTION[0,id] = S4 (shift to state 4)
- Stack becomes:  $\emptyset \ 4$

## Step 2: $\emptyset \ 4 + ( \rightarrow \text{shift } 5$

- Stack:  $\emptyset \ 4$ , Input: ( id + id ) \$
- ACTION[4,(] = S5
- Stack becomes:  $\emptyset \ 4 \ 5$

## Step 3: $\emptyset \ 4 \ 5 + \text{id} \rightarrow \text{shift } 4$

- Stack:  $\emptyset \ 4 \ 5$ , Input: id + id ) \$
- ACTION[5,id] = S4
- Stack becomes:  $\emptyset \ 4 \ 5 \ 4$

## Step 4: $\emptyset \ 4 \ 5 \ 4 + + \rightarrow \text{reduce } T \rightarrow \text{id}$

- Stack:  $\emptyset \ 4 \ 5 \ 4$ , Input: + id ) \$
- ACTION[4,+] = R5 (reduce by  $T \rightarrow \text{id}$ )
- Pop 1 state (state 4), revealing state 5
- GOTO[5,T] = 8
- Stack becomes:  $\emptyset \ 4 \ 5 \ 8$

## Step 5: $\emptyset \ 4 \ 5 \ 8 + + \rightarrow \text{reduce } E \rightarrow T$

- Stack:  $\emptyset \ 4 \ 5 \ 8$ , Input: + id ) \$
- ACTION[8,+] = R3 (reduce by  $E \rightarrow T$ )
- Pop 1 state (state 8), revealing state 5
- GOTO[5,E] = 6
- Stack becomes:  $\emptyset \ 4 \ 5 \ 6$

**Step 6: 0 4 5 6 + + → shift 2**

- Stack: 0 4 5 6, Input: + id ) \$
- ACTION[6,+] = S2
- Stack becomes: 0 4 5 6 2

**Step 7: 0 4 5 6 2 + id → shift 4**

- Stack: 0 4 5 6 2, Input: id ) \$
- ACTION[2,id] = S4
- Stack becomes: 0 4 5 6 2 4

**Step 8: 0 4 5 6 2 4 + ) → reduce T → id**

- Stack: 0 4 5 6 2 4, Input: ) \$
- ACTION[4,)] = R5 (reduce by  $T \rightarrow id$ )
- Pop 1 state (state 4), revealing state 2
- GOTO[2,T] = 3
- Stack becomes: 0 4 5 6 2 3

**Step 9: 0 4 5 6 2 3 + ) → reduce E → E + T**

- Stack: 0 4 5 6 2 3, Input: ) \$
- ACTION[3,)] = R2 (reduce by  $E \rightarrow E + T$ )
- Pop 3 states (states 3,2,6), revealing state 5
- GOTO[5,E] = 6
- Stack becomes: 0 4 5 6

**Step 10: 0 4 5 6 + ) → shift 7**

- Stack: 0 4 5 6, Input: ) \$
- ACTION[6,)] = S7
- Stack becomes: 0 4 5 6 7
-

**Step 11:  $\emptyset \ 4 \ 5 \ 6 \ 7 + \$ \rightarrow \text{reduce } T \rightarrow \text{id}(E)$**

- Stack:  $\emptyset \ 4 \ 5 \ 6 \ 7$ , Input:  $\$$
- $\text{ACTION}[7, \$] = R4$  (reduce by  $T \rightarrow \text{id}(E)$ )
- Pop 4 states (states 7,6,5,4), revealing state 0
- $\text{GOTO}[0, T] = 8$
- Stack becomes:  $\emptyset \ 8$

**Step 12:  $\emptyset \ 8 + \$ \rightarrow \text{reduce } E \rightarrow T$**

- Stack:  $\emptyset \ 8$ , Input:  $\$$
- $\text{ACTION}[8, \$] = R3$  (reduce by  $E \rightarrow T$ )
- Pop 1 state (state 8), revealing state 0
- $\text{GOTO}[0, E] = 1$
- Stack becomes:  $\emptyset \ 1$

**Step 13:  $\emptyset \ 1 + \$ \rightarrow \text{accept}$**

- Stack:  $\emptyset \ 1$ , Input:  $\$$
- $\text{ACTION}[1, \$] = R1$  (reduce by  $P \rightarrow E$ ) and accept

# Notes on SLR parsing

SLR: Uses FOLLOW sets globally

- Reductions allowed if next token  $\in$  FOLLOW(left-hand-side)

Some common constructs are not SLR(1)

LR(1): Uses precise lookahead sets computed for each item in each state

- Reductions allowed only if next token  $\in$  specific lookahead set for that particular item

**LR(1)** is more powerful (**L**eft to right scan, **R**ightmost derivation, **1** symbol lookahead)

- An LR(1) item is a pair: (LR(0) item, x lookahead)

$[T \rightarrow \cdot \text{int} * T, \$]$  means

- After seeing  $T \rightarrow \text{int} * T$  reduce if lookahead is  $\$$

More accurate than just using follow sets

# LR(1)

The lookahead is always a subset of the FOLLOW of the relevant non-terminal.

For an item like  $A \rightarrow \alpha.B$  with a lookahead of  $\{L\}$ ,

- add new rules like  $B \rightarrow .\gamma$  with a lookahead of  $\{L\}$ .

For an item like  $A \rightarrow \alpha.B\beta$ , with a lookahead of  $\{L\}$ ,

- add new rules like  $B \rightarrow .\gamma$  with a lookahead
  - $\text{FIRST}(\beta)$  If  $\beta$  cannot produce  $\epsilon$ ,
  - $\text{FIRST}(\beta) \cup \{L\}$  If  $\beta$  can produce  $\epsilon$ ,

# Example LR(1)

1.  $S \rightarrow V = E$

2.  $S \rightarrow id$

3.  $V \rightarrow id$

4.  $V \rightarrow id [ E ]$

5.  $E \rightarrow V$

state0

$S \rightarrow . V = E$       $\{\$ \}$

$S \rightarrow . id$       $\{\$ \}$

Closure of state0

$S \rightarrow . V = E$       $\{\$ \}$

$S \rightarrow . id$       $\{\$ \}$

$V \rightarrow . id$       $\{=\}$

$V \rightarrow . id [ E ]$       $\{=\}$

since “=” follows V

Closure of state1

$S \rightarrow id .$       $\{\$ \}$

$V \rightarrow id .$       $\{=\}$

$V \rightarrow id . [ E ]$       $\{=\}$

- When the next token is \$,
  - reduce by  $S \rightarrow id$ .
- When the next token is =,
  - reduce by  $V \rightarrow id$ .



Almost all practical programming languages have an LR(1) grammar

- LALR(1) is the merged states of LR(1):
  - lookahead is the union of the lookaheads of the LR(1) items
  - can parse most real languages,
  - tables are more compact,
  - used by YACC/Bison/CUP/etc.

Final relation

- $LL(1) \subset SLR \subset LALR \subset LR(1) \subset CFG$