

Bottom-up parsing

Review of exam questions
LR(1) parsing

The content is mostly copied from

- <https://web.stanford.edu/class/cs143/lectures/lecture07.pdf>
- <https://web.stanford.edu/class/cs143/lectures/lecture08.pdf>
- Engineering a Compiler by Cooper and Torczon, 2nd Ed.
ch. 3
- <https://www3.nd.edu/~dthain/compilerbook/chapter4.pdf>

Review

We have seen **Top-down parser**

- Recursive descent parsing
 - A simple function for each non-terminal in the grammar
- Predictive parser LL(1)
- LL(1) table-driven parsing
 - Stack, input, action

- Needs left-factored grammars
- An entry in table is defined multiple times
 - ◆ If G is ambiguous
 - ◆ If G is left recursive
 - ◆ If G is not left factored
- Most programming languages are not LL(1)

Bottom-up parsers

- Shift-reduce technique
- more general than top-down parsing
 - And just as efficient
 - Builds on ideas in top-down parsing
- Bottom-up parsers don't need left-factored grammars
- Bottom-up is the preferred method

Bottom-Up Parsing

Read the input left to right

Whenever we've matched the right hand side of a production,

- **reduce** it to the appropriate **non-terminal**
- and add that non-terminal to the parse tree

The upper edge of this partial parse tree is known as the **frontier**

The idea of bottom-up parsing

Revert to the “natural” grammar for our example:

$$E \rightarrow T + E \mid T$$

$$T \rightarrow \text{int} * T \mid \text{int} \mid (E)$$

Consider the string: **int * int + int**

- ★ Read the productions in reverse (from bottom to top)
- ★ This is a reverse rightmost derivation!

reduce a string to the start symbol by inverting productions:

$$\begin{aligned} &\rightarrow \text{int} * \text{int} + \text{int} \\ &\quad \diamondsuit \quad T \rightarrow \text{int} \\ &\rightarrow \text{int} * T + \text{int} \\ &\quad \diamondsuit \quad T \rightarrow \text{int} * T \\ &\rightarrow T + \text{int} \\ &\quad \diamondsuit \quad T \rightarrow \text{int} \\ &\rightarrow T + T \\ &\quad \diamondsuit \quad E \rightarrow T \\ &\rightarrow T + E \\ &\quad \diamondsuit \quad E \rightarrow T + E \\ &\rightarrow E \end{aligned}$$

For derivation

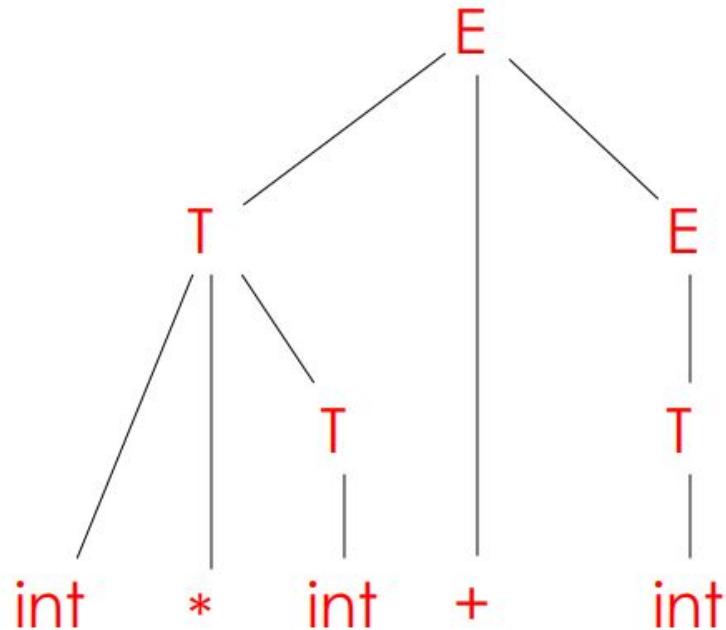
Goal = $\gamma_0 \rightarrow \gamma_1 \rightarrow \gamma_2 \rightarrow \dots \rightarrow \gamma_{n-1} \rightarrow \gamma_n = \text{sentence}$,

The bottom-up parser discovers $\gamma_i \rightarrow \gamma_{i+1}$ before it discovers $\gamma_{i-1} \rightarrow \gamma_i$

Important Fact #1 about bottom-up parsing:

A bottom-up parser traces a rightmost derivation in reverse

- int * int + int
 - ◆ T → int
- int * T + int
 - ◆ T → int * T
- T + int
 - ◆ T → int
- T + T
 - ◆ E → T
- T + E
 - ◆ E → T + E
- E



Example: draw bottom-up parse tree

$S \rightarrow baTba$

$T \rightarrow Ta \mid Tb \mid a \mid b \mid \epsilon$

input : b a a a b b a

Where Do Reductions Happen?

Important Fact #1 has an interesting consequence:

- Let $\alpha\beta\omega$ be a step of a bottom-up parse
- Assume the next reduction is by $X \rightarrow \beta$

That is $\alpha X \omega \rightarrow \alpha\beta\omega$

- Then ω is a string of terminals

Why?

- ★ Because $\alpha X \omega \rightarrow \alpha\beta\omega$ is a step in a right-most derivation

Summary of key ideas

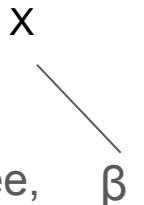
$\alpha\beta\omega$ Assume β is at position k , and we have a rule $X \rightarrow \beta$.

- Parser looks the current **frontier**
 - If it finds β in the frontier,
 - it can replace β with X to create a **new frontier**.
- **Handle:** $\langle X \rightarrow \beta, k \rangle$ this pair is a handle
 - if replacing β with X at position k is the next step in a **valid derivation for the input string**
 - then the parser should replace β with X .

- **Reduction:**

- This replacement is called a reduction because it reduces the number of symbols on the frontier, unless $|\beta| = 1$.

In the parse tree,



- build a node for X ,
- add that node to the tree,
- and connect the nodes representing β as X 's children.

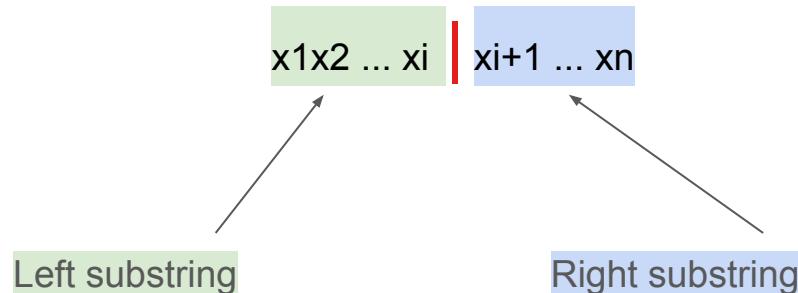
Shift-Reduce Parsing

Notation:

Idea: Split string into two substrings

The dividing point is marked by a |

- The | is not part of the string



- has terminals and non-terminals
- is as yet unexamined by parsing (a string of terminals)
- Initially, all input is unexamined
 - | $x_1 x_2 \dots x_n$

Bottom-up parsing uses only two kinds of actions:

Shift

Reduce

Shift and Reduce

Shift:

Move | one place to the right

Shifts a terminal to the left string

$$\text{ABC|xyz} \Rightarrow \text{ABCx|yz}$$

Reduce:

Apply an inverse production at the right end of the left string

If $A \rightarrow xy$ is a production, then

$$\text{Cbxy|ijk} \Rightarrow \text{CbA|ijk}$$

Example with reductions

- int * int | + int
 - ◆ reduce T → int
- int * T | + int
 - ◆ reduce T → int * T
- T + int |
 - ◆ reduce T → int
- T + T |
 - ◆ reduce E → T
- T + E |
 - ◆ reduce E → T + E
- E |

Example with shift-reduce parsing

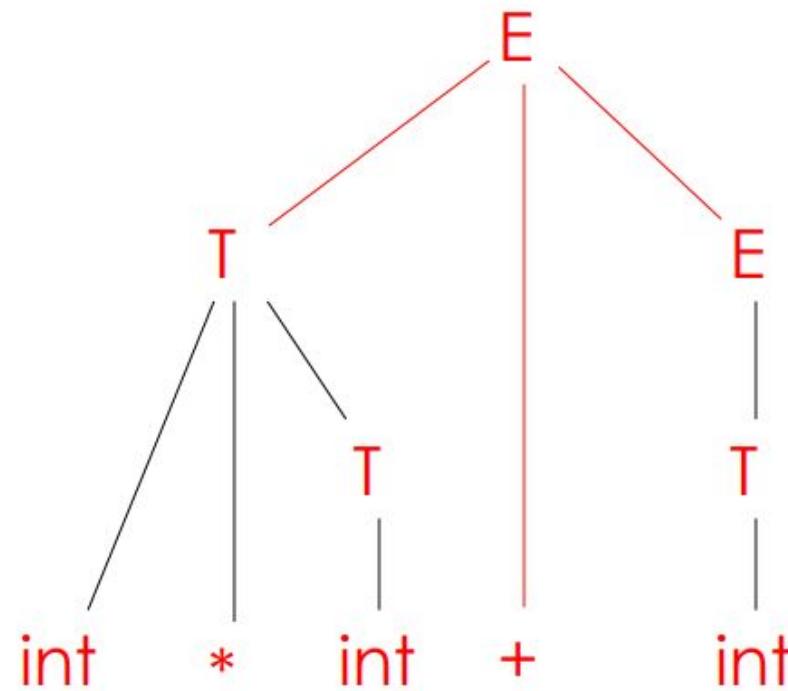
→ int * int + int	→ T + int
◆ shift	◆ shift
→ int * int + int	→ T + int
◆ shift	◆ shift
→ int * int + int	→ T + int
◆ shift	◆ reduce T → int
→ int * int + int	→ T + T
◆ reduce T → int	◆ reduce E → T
→ int * T + int	→ T + E
◆ reduce T → int * T	◆ reduce E → T + E
→ T + int	→ E

```

→ | int * int + int
  ♦ shift
→ int | * int + int
  ♦ shift
→ int * | int + int
  ♦ shift
→ int * int | + int
  ♦ reduce T → int
→ int * T | + int
  ♦ reduce T → int * T
→ T | + int
  ♦ shift
→ T + | int
  ♦ shift
→ T + int |
  ♦ reduce T → int
→ T + T |
  ♦ reduce E → T
→ T + E |
  ♦ reduce E → T + E
→ E |

```

The generation of parse tree



The stack

Left string can be implemented by a stack

- Top of the stack is the |
- Shift
 - pushes a terminal on the stack
- Reduce
 - pops 0 or more symbols off of the stack (production rhs)
 - and pushes a non-terminal on the stack (production lhs)

1. $P \rightarrow E$
2. $E \rightarrow E + T$
3. $E \rightarrow T$
4. $T \rightarrow id(E)$
5. $T \rightarrow id$

An example Shift-Reduce Parsing with 1 lookahead

Stack	Input	Action
	id (id + id) \$	shift
id	(id + id) \$	shift
id (id + id) \$	shift
id (id	+ id) \$	reduce $T \rightarrow id$
id (T	+ id) \$	reduce $E \rightarrow T$
id (E	+ id) \$	shift
id (E +	id) \$	shift
id (E + id) \$	reduce $T \rightarrow id$
id (E + T) \$	reduce $E \rightarrow E + T$
id (E) \$	shift
id (E)	\$	reduce $T \rightarrow id(E)$
T	\$	reduce $E \rightarrow T$
E	\$	reduce $P \rightarrow E$
P	\$	accept

Key issue

Example grammar:

$$E \rightarrow T + E \mid T$$

$$T \rightarrow \text{int} * T \mid \text{int} \mid (E)$$

Consider step

- int | * int + int
 - ◆ We could reduce by $T \rightarrow \text{int}$
- T | * int + int
- A fatal mistake!
 - ◆ No way to reduce to the start symbol E

★ **Issue1:** How do we decide when to shift or reduce?

Another issue: Conflicts

- In a given state, more than one action (shift or reduce) may lead to a valid parse
- **A shift-reduce conflict**
 - If it is legal to shift or reduce
- **A reduce-reduce conflict**
 - if it is legal to reduce by two different productions

You will see such conflicts in your project!

★ **Issue2:** How do we solve conflicts?

Handles

- ★ Intuition: Want to reduce only if the result can still be reduced to the start symbol

Assume a rightmost derivation

$$S \rightarrow^* \alpha X \omega \rightarrow \alpha \beta \omega$$

- Then $X \rightarrow \beta$ in the position after α is a handle of $\alpha \beta \omega$
- Can and must reduce at handles

Handles formalize the intuition

We only want to reduce at handles

- A handle is a string that can be reduced and also allows further reductions back to the start symbol (using a particular production at a specific spot)

- ★ Note: We have said what a handle is, not how to find handles

Summary of key ideas

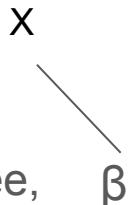
$\alpha\beta\omega$ Assume β is at position k , and we have a rule $X \rightarrow \beta$.

- Parser looks the current **frontier**
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- **Handle:** $\langle X \rightarrow \beta, k \rangle$ this pair is a handle
 - if replacing β with X at position k is the next step in a **valid derivation for the input string**
 - then the parser should replace β with X .

- **Reduction:**

- This replacement is called a reduction because it reduces the number of symbols on the frontier, unless $|\beta| = 1$.

In the parse tree,



- build a node for X ,
- add that node to the tree,
- and connect the nodes representing β as X 's children.

★ **Important Fact #2 about bottom-up parsing:**

- In shift-reduce parsing, handles appear only at the top of the stack, never inside

Why?

Informal induction on # of reduce moves:

- initially, stack is empty
- Immediately after reducing a handle
 - right-most non-terminal on top of the stack
 - next handle must be to right of right-most non-terminal, because this is a right-most derivation
 - Sequence of shift moves reaches next handle

Summary of Handles

In shift-reduce parsing, handles always appear at the top of the stack

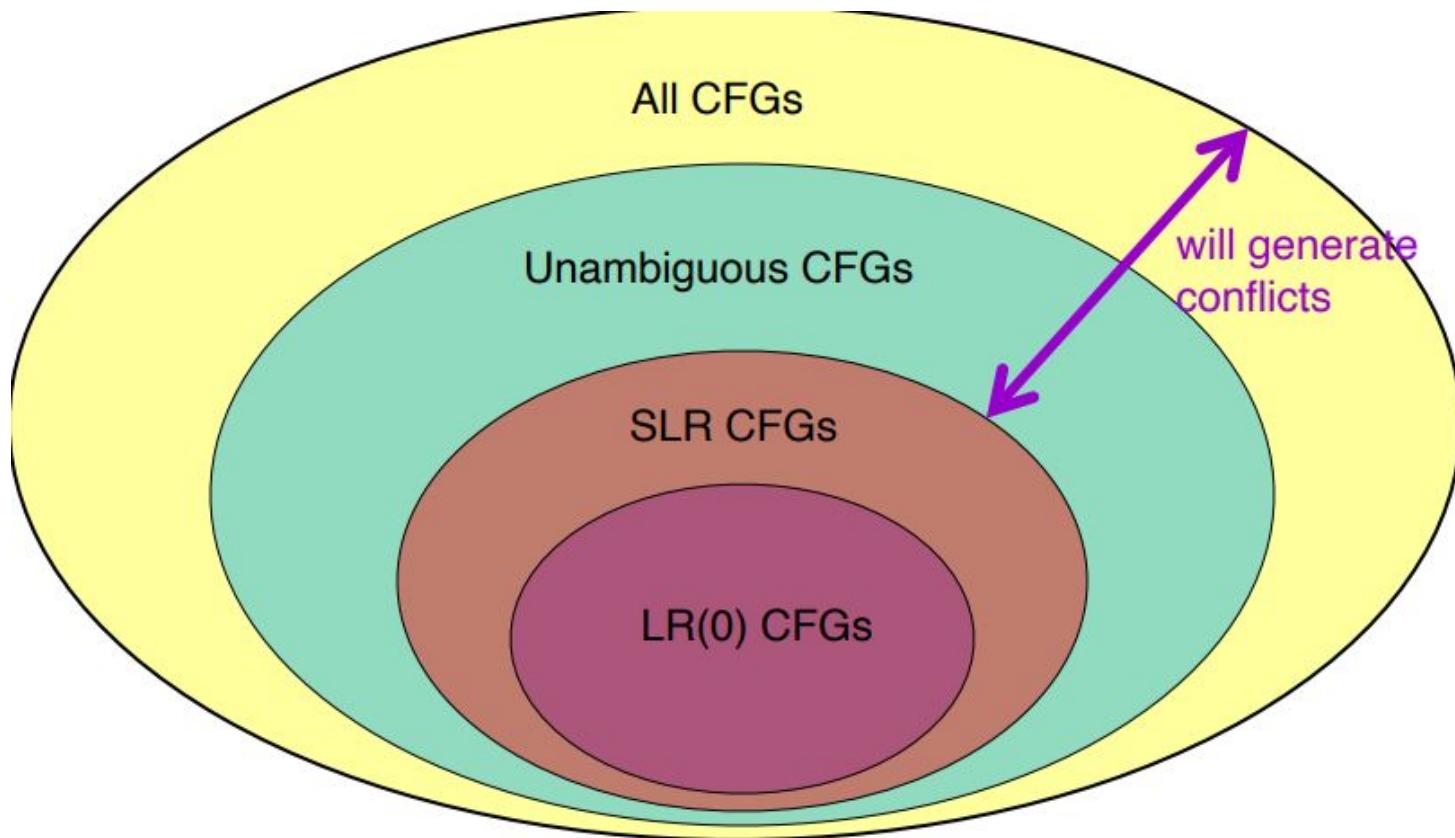
Handles are never to the left of the rightmost nonterminal

- Therefore, shift-reduce moves are sufficient;
 - **No need for | to move left**

Bottom-up parsing algorithms are based on recognizing handles

Recognizing handles

- ★ There are no known efficient algorithms to recognize handles
 - Solution: use heuristics to guess which stacks are handles
 - On some CFGs, the heuristics always guess correctly
 - For the heuristics we use here, these are the SLR grammars
 - Other heuristics work for other grammars



Viable Prefixes

It is not obvious how to detect handles

At each step the parser sees only the stack, not the entire input; start with that . . .

α is a viable prefix

- if there is an ω such that $\alpha|\omega$ is a state of a shift-reduce parser

What does this mean?

What does this mean?

- the right end of the handle
 - A viable prefix does not extend past this point
- **It's viable** prefix because it is a **prefix of the handle**
- As long as a parser has viable prefixes on the stack no parsing error has been detected

before shifting + to the stack
we have reduced (E) to B

$A \rightarrow B + id \rightarrow (E) + id$

Right most derivation

Operation performed

(.E)+id

(E.)+id

(E).+id

B.+id

B+.id

B+id.

A

we can only have (, (E, (E) on stack

- but we cannot have (E)+ on stack because (E) is a handle and the items in the stack cannot exceed beyond the handle

Stack

(

(E

(E)

B

B +

B + id

A

Comments

shift (

shift E

shift)

reduce (E) to B

shift +

shift id

reduce B + id to A



(, (E, (E) are all viable prefixes for the handle (E)



and only these prefixes are present in stack of shift reduce parser.

we keep on shifting the items until we reach the handle or an error occurs.

Once a handle is reached we reduce it with a non-terminal using the suitable production.

Thus viable prefixes help in taking appropriate shift-reduce decisions.

As long as stack contains these prefixes there cannot be any error.

$S \rightarrow AA$

$A \rightarrow bA \mid a$

S.No	Reverse Rightmost Derivation with Handles	Viable Prefix	Comments
Input string: bbbbaa	1. $S \rightarrow bbbaa$	b, bb, bbb, bbba	Here, a is the handle so viable prefix cannot exceed beyond a.
	2. $S \rightarrow bbAa$	b, bb, bbb, bbbA	Here, bA is the handle so viable prefix cannot exceed beyond bA.
	3. $S \rightarrow bAa$	b, bb, bbA	Here also, bA is the handle so viable prefix cannot exceed beyond bA.
	4. $S \rightarrow Aa$	b, bA	Here also, bA is the handle so viable prefix cannot exceed beyond bA.
	5. $S \rightarrow Aa$	A, Aa	Here, a is the handle so viable prefix cannot exceed beyond a.
	6. $S \rightarrow AA$	A, AA	Here, AA is the handle so viable prefix cannot exceed beyond AA.

Important Fact #3 about bottom-up parsing:

For any grammar,

the set of viable prefixes is a **regular language**

Important Fact #3 is non-obvious

Next

we will show how to compute automata that accept viable prefixes

And how to build action, goto tables

A simple table driven LR(1) parser.

- LR(1): left-to-right scan, reverse rightmost derivation, and 1 symbol of lookahead

We will build these tables!

State	Action Table			Goto Table	
	eof	()	List	Pair
0		s 3		1	2
1	acc	s 3			4
2	r 3	r 3			
3	s 6	s 7			5
4	r 2	r 2			
5			s 8		
6		s 6	s 10		9
7	r 5	r 5			
8	r 4	r 4			
9			s 11		
10			r 5		
11			r 4		

1	$Goal \rightarrow List$
2	$List \rightarrow List\ Pair$
3	$\quad Pair$
4	$Pair \rightarrow (_ \quad Pair _)$
5	$\quad (_ \quad)$

Behaviour for the input string “()”

Iteration	State	word	Stack	Handle	Action
initial	—	(\$ 0	— none —	—
1	0	(\$ 0	— none —	shift 3
2	3)	\$ 0 (3) 7	— none —	shift 7
3	7	eof	\$ 0 (3) 7	()	reduce 5
4	2	eof	\$ 0 Pair 2	Pair	reduce 3
5	1	eof	\$ 0 List 1	List	accept

When it finds a handle $\langle A \rightarrow \beta, k \rangle$, it reduces β at k to A

Recognizing viable prefixes

Items:

An item is a production with a “.” somewhere on the rhs, denoting a focus point

The items for $T \rightarrow (E)$

Items

$T \rightarrow .(E)$

$T \rightarrow (.E)$

$T \rightarrow (E.)$

$T \rightarrow (E).$

Items are often called “LR(0) items”

The item for $X \rightarrow \epsilon$ is $X \rightarrow .$

$$\begin{aligned} E &\rightarrow T + E \mid T \\ T &\rightarrow \text{int} * T \mid \text{int} \mid (E) \end{aligned}$$

Intuition

The problem in recognizing viable prefixes is that

- the stack has only bits and pieces of the rhs of productions
 - If it had a complete rhs, we could reduce
- These bits and pieces are always prefixes of rhs of productions

$$\begin{aligned} E &\rightarrow T + E \mid T \\ T &\rightarrow \text{int} * T \mid \text{int} \mid (E) \end{aligned}$$

Intuition

Consider the input **(int)**

Then **(E |)** is a state of a shift-reduce parse

- **(E** is a prefix of the rhs of $T \rightarrow (E)$
 - Will be reduced after the next shift

Item **T → (E.)**

- says that so far we have seen **(E** of this production
- and hope to see **)**

generalization

The stack may have many prefixes of rhs's

Prefix₁ Prefix₂ . . . Prefix_{n-1} Prefix_n

Let Prefix_i be a prefix of rhs of $X_i \rightarrow \alpha_i$

- Prefix_i will eventually reduce to X_i
- The missing part of Prefix_{i-1} of α_{i-1} starts with X_i
- i.e. there is a $X_{i-1} \rightarrow \text{Prefix}_{i-1} X_i \beta$ for some β

Recursively, Prefix_{k+1}...Prefix_n eventually reduces to the missing part of α_k

$$\begin{aligned} E &\rightarrow T + E \mid T \\ T &\rightarrow \text{int} * T \mid \text{int} \mid (E) \end{aligned}$$

example

Consider the string **(int * int)**:

(int * | int) is a state of a shift-reduce parser

From top of the stack:

“**int ***” is a prefix of the rhs of $T \rightarrow \text{int} * T$

“ **ϵ** ” is a prefix of the rhs of $E \rightarrow T$

“(” is a prefix of the rhs of $T \rightarrow (E)$

$$\begin{aligned} E &\rightarrow T + E \mid T \\ T &\rightarrow \text{int} * T \mid \text{int} \mid (E) \end{aligned}$$

example

The stack of items

$$T \rightarrow \text{int} * .T$$

$$E \rightarrow .T$$

$$T \rightarrow (.E)$$

Says

We've seen $\text{int} *$ of $T \rightarrow \text{int} * T$

We've seen ϵ of $E \rightarrow T$

We've seen $($ of $T \rightarrow (E)$

Back to recognizing viable prefixes

Idea: To recognize viable prefixes, we must

- Recognize a sequence of partial rhs's of productions,

where

- Each sequence can eventually reduce to part of the missing suffix of its predecessor

NFA to recognize viable prefixes

1. Add a new start production

$S' \rightarrow S$ to G

2. States are the items of G

3. Every state is an accepting state

4. Start state is $S' \rightarrow .S$

Transitions

For item $E \rightarrow \alpha . X \beta$

- add transition

$E \rightarrow \alpha . X \beta \xrightarrow{X} E \rightarrow \alpha X . \beta$

For item $E \rightarrow \alpha . X \beta$ and production $X \rightarrow Y$

- Add transition

$E \rightarrow \alpha . X \beta \xrightarrow{\epsilon} X \rightarrow .Y$

Example $E \rightarrow T + E \mid T$
 $T \rightarrow \text{int} * T \mid \text{int} \mid (E)$

Start state:

$S' \rightarrow . E$

- Transition with E

$S' \rightarrow E.$

- Transition with ϵ

$E \rightarrow . T+E$

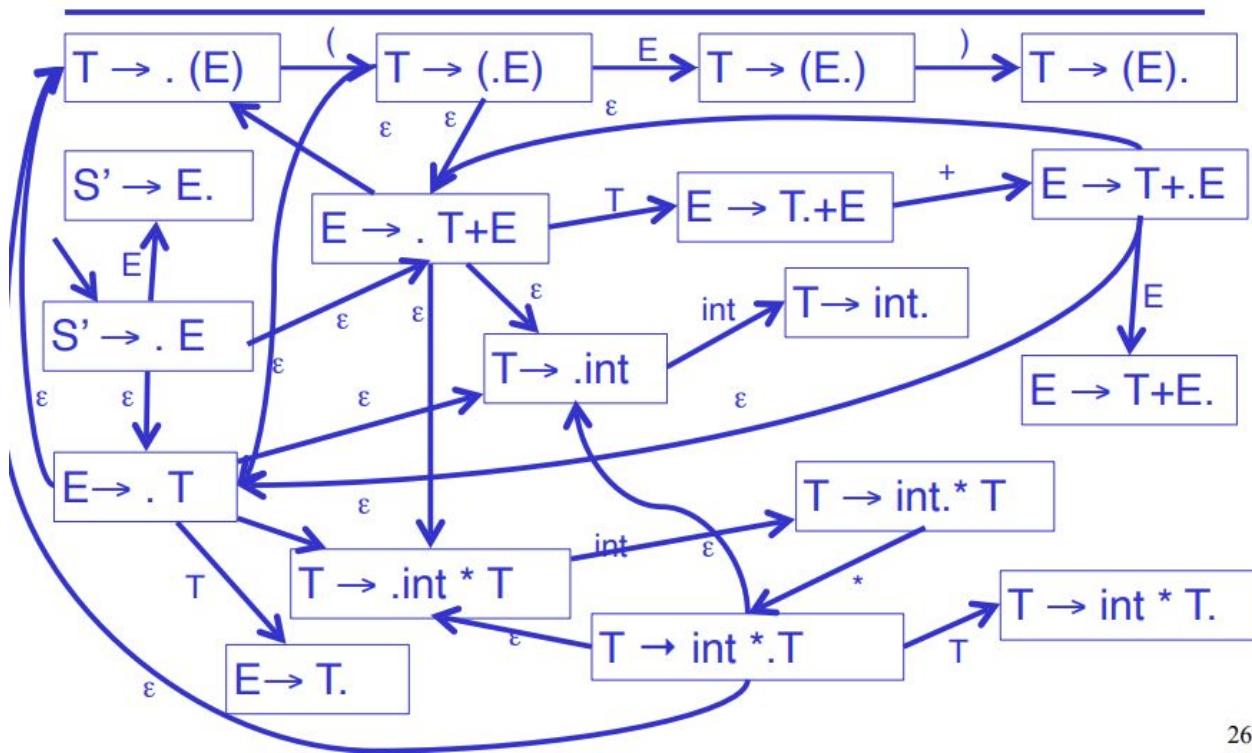
$E \rightarrow . T$

Let's draw the rest as NFA

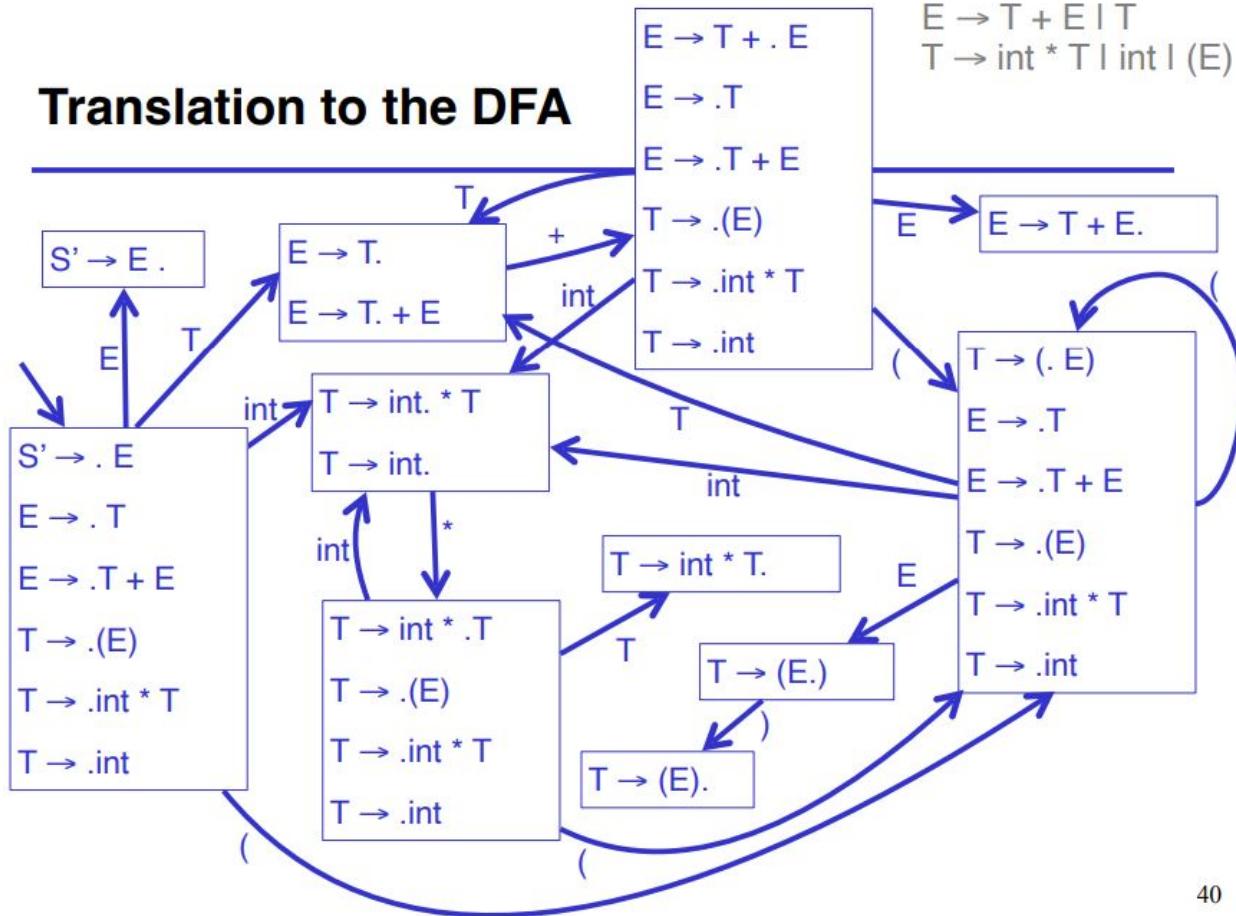
$$E \rightarrow T + E \mid T$$

$$T \rightarrow \text{int}^* T \mid \text{int} \mid (E)$$

NFA for Viable Prefixes



Translation to the DFA



LR(0) Automaton

The states of the DFA are

“canonical collections of items”

or

“canonical collections of LR(0) items”

An LR(0) automaton represents

- all the possible rules that are currently under consideration by a shift-reduce parser.
- **compact finite state machine of the grammar.**

Another way of finding LR(0) automaton

1. $P \rightarrow E$

2. $E \rightarrow E + T$

3. $E \rightarrow T$

4. $T \rightarrow \text{id} (E)$

5. $T \rightarrow \text{id}$

State0 = Start(Kernel)

$P \rightarrow . E$

Compute the ϵ -closure of
State0

$P \rightarrow . E$

$E \rightarrow . E + T$

$E \rightarrow . T$

keep repeating until no newly element added

Final ϵ -closure of State0

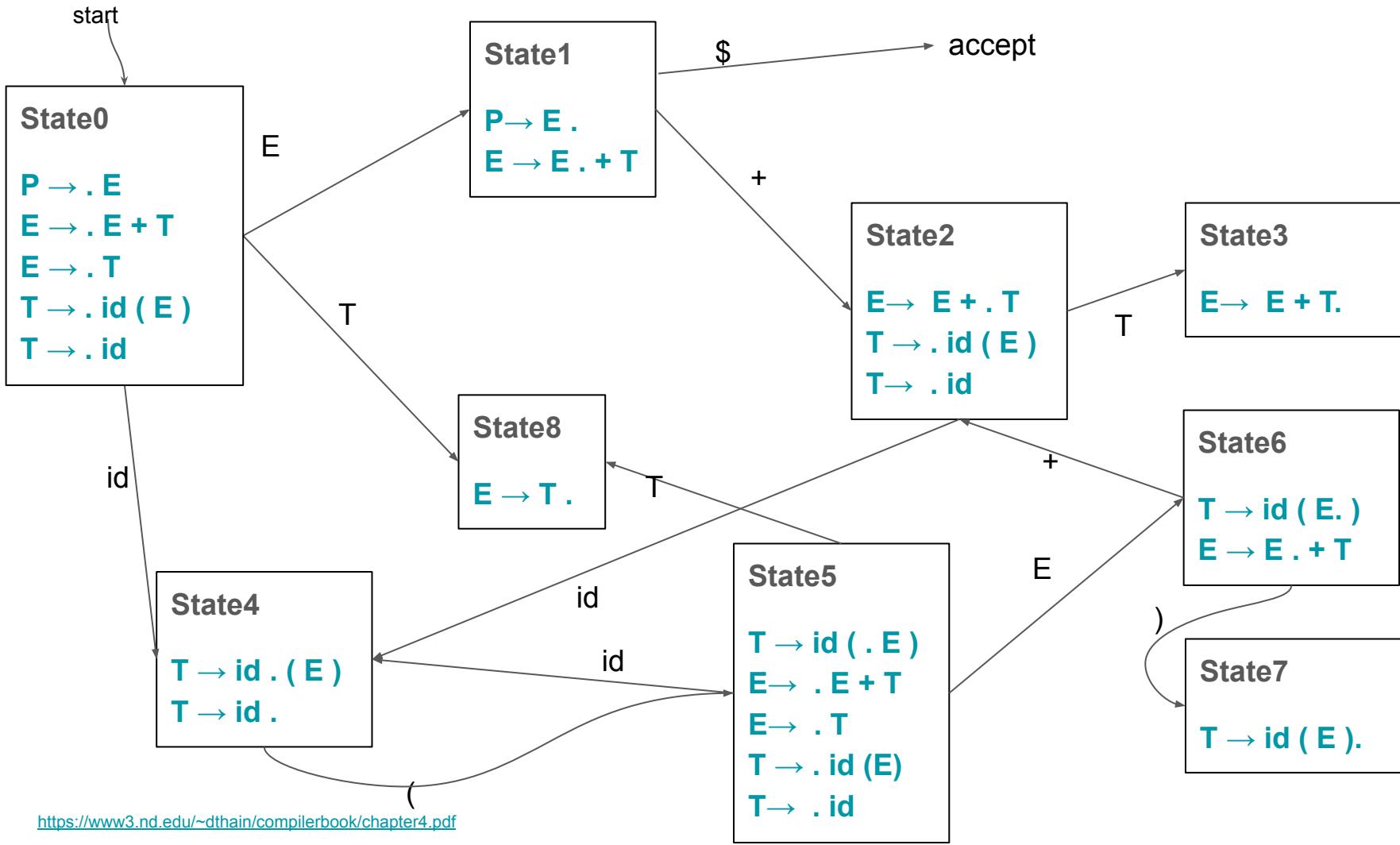
$P \rightarrow . E$

$E \rightarrow . E + T$

$E \rightarrow . T$

$T \rightarrow . \text{id} (E)$

$T \rightarrow . \text{id}$



LR(0) automaton

The LR(0) automaton tells us the choices available at any step of bottom up parsing

Reduction:

- A state containing an item with a “.” at the end of the rule, that indicates a possible reduction

Shift:

- A transition on a terminal that moves the “.” one position to the right indicates a possible shift.

Shift and reductions

Assume

- stack contains α
- next input is t
- DFA on input α terminates in state s

Reduce by $X \rightarrow \beta$ if

- s contains item $X \rightarrow \beta$.

Shift if

- s contains item $X \rightarrow \beta.t\omega$
- equivalent to saying s has a transition labeled t

Conflicts

shift-reduce conflict

A state

$T \rightarrow id . (E)$

$T \rightarrow id .$

$S \rightarrow ifthen S .$

$S \rightarrow ifthen S . else S$

- ★ Any state has a reduce item and a shift item:

$X \rightarrow \beta .$ and $Y \rightarrow \omega . t \delta$

reduce-reduce conflict

$S \rightarrow id (E) .$

$E \rightarrow id (E) .$

- ★ Any state has two reduce items
 - $X \rightarrow \beta .$ and $Y \rightarrow \omega .$

LR(0) automaton

- forms the basis of LR parsing, by telling us which actions are available in each state.
- But, it does not tell us which action to take or how to resolve shift-reduce and reduce-reduce conflicts

SLR = “Simple Left-to-right scan”

SLR improves on LR(0) shift/reduce heuristics –
Fewer states have conflicts

SLR Parsing

Idea:

use FOLLOW sets to resolve conflicts in the LR(0) automaton

- take the reduction $X \rightarrow \beta$ only when the next token on the input is in $\text{FOLLOW}(X)$

- stack contains α
- next input is t
- DFA on input α terminates in state s

Reduce by $X \rightarrow \beta$ if

- s contains item $X \rightarrow \beta$.
- and $t \in \text{Follow}(X)$

Shift if

- s contains item $X \rightarrow \beta.t\omega$

If there are still conflicts under these rules;

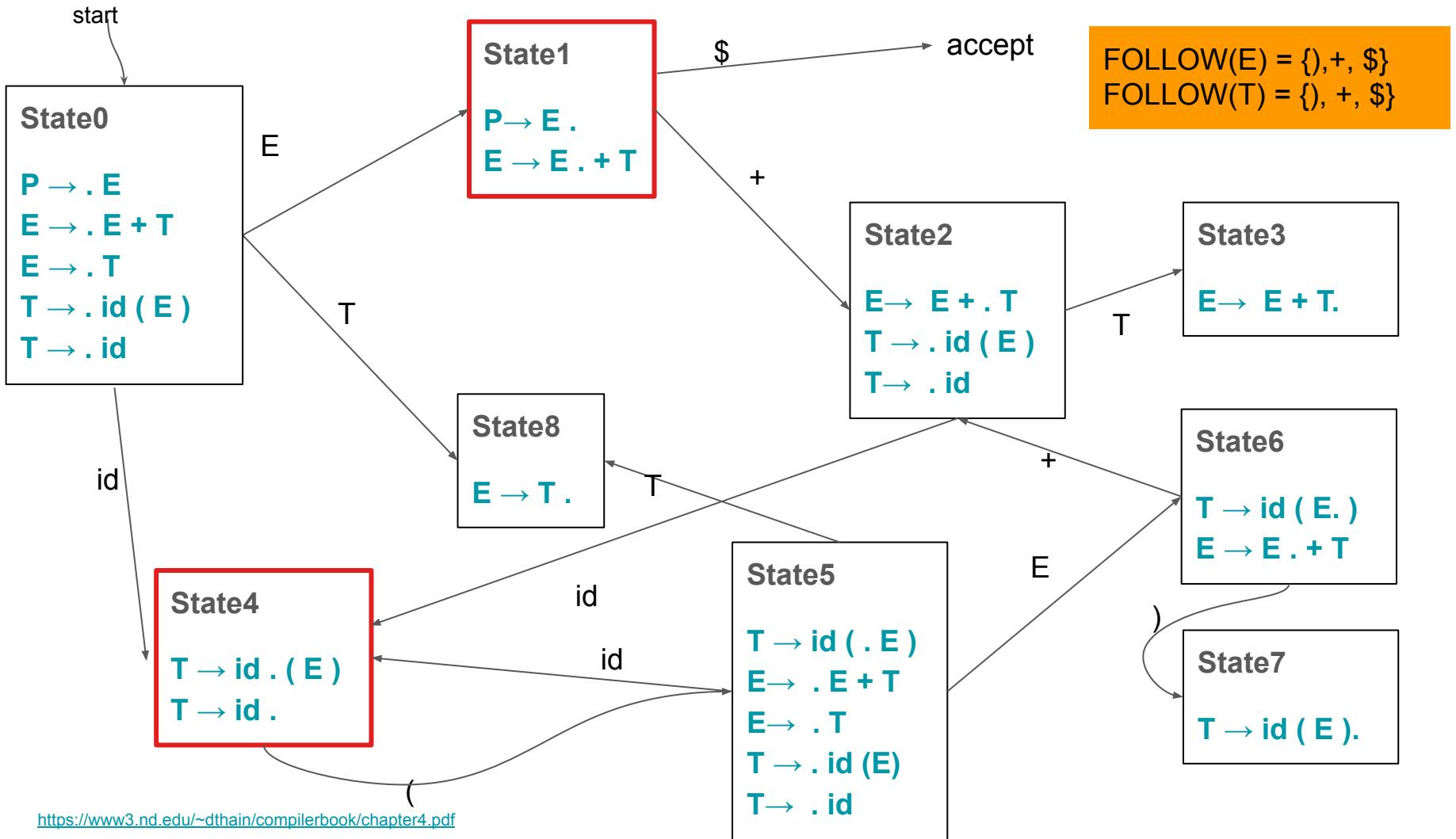
- the grammar is not SLR

The rules amount to a heuristic for detecting handles

- The SLR grammars are those where the heuristics detect exactly the handles

To solve further conflicts:

1. Fix grammar
2. choose to shift instead of reduce
3. Precedence Declarations
4. Declaring "*" has higher precedence than "+"
 - $E \rightarrow E^* E .$
 - $E \rightarrow E . + E$



SLR parser table: goto table

Avoiding DFA Rescanning

Encode the DFA in a Table

- Goto table
 - the transitions to take when we back up into a state after a reduction
 - and then make a transition using the newly pushed (reduced) non-terminal
- Action table
 - what to do given the current state and the next input symbol

Goto table

goto[i,A] = j

- if $\text{state}_i \xrightarrow{A} \text{state}_j$

goto is just the transition function of the DFA

– One of two parsing tables

SLR parser table: actions

Action Table

For each state s_i and terminal t

$\text{action}[i,t] = \text{shift } k$

- If s_i has item $X \rightarrow \alpha.t\beta$ and $\text{goto}[i,t] = k$

$\text{action}[i,t] = \text{reduce } X \rightarrow \alpha$

- If s_i has item $X \rightarrow \alpha$.
- and $t \in \text{Follow}(X)$ and $X \neq S'$

$\text{action}[i,t] = \text{error}$

- If s_i has item $S' \rightarrow S.$

Otherwise,

SLR Parse Table for Grammar

1. $P \rightarrow E$
2. $E \rightarrow E + T$
3. $E \rightarrow T$
4. $T \rightarrow id (E)$
5. $T \rightarrow id$

State	GOTO					ACTION		
	E	T	id	()	+	\$	
0	G1	G8	S4					
1						S2	R1	
2		G3	S4					
3						R2	R2	R2
4					S5	R5	R5	R5
5	G6	G8	S4					
6					S7	S2		
7						R4	R4	R4
8						R3	R3	R3

R2 means reduced by 2: $E \rightarrow E + T$
This pops 3 symbols from top of stack.

SLR Parsing Algorithm.

Let S be a stack of $LR(0)$ automaton states.

Push $\langle a, S_0$ onto S .

Let a be the first input token.

Loop:

 Let s be the top of the stack.

 If $ACTION[s, a]$ is accept:

 Parse complete.

 Else if $ACTION[s, a]$ is shift t :

 Push state t on the stack.

 Let a be the next input token.

 Else if $ACTION[s, a]$ is reduce $A \rightarrow \beta$:

 Pop states corresponding to β from the stack.

 Let t be the top of stack.

 Push $GOTO[t, A]$ onto the stack.

Otherwise:

 Halt with a parse error.

Stack =symbols	Symbols	Input	Action
0		id (id + id) \$	shift 4
0 4	id	(id + id) \$	shift 5
0 4 5	id (id + id) \$	shift 4
0 4 5 4	id (id	+ id) \$	reduce T → id
0 4 5 8	id (T	+ id) \$	reduce E → T
0 4 5 6	id (E	+ id) \$	shift 2
0 4 5 6 2	id (E +	id) \$	shift 4
0 4 5 6 2 4	id (E + id) \$	reduce T → id
0 4 5 6 2 3	id (E + T) \$	reduce E → E + T
0 4 5 6	id (E) \$	shift 7
0 4 5 6 7	id (E)	\$	reduce T → id(E)
0 8	T	\$	reduce E → T
0 1	E	\$	accept

Step-by-Step Explanation for Parse of "id (id + id) \$"

Step 1: 0 + id → shift 4

- Stack: 0, Input: id (id + id) \$
- ACTION[0,id] = S4 (shift to state 4)
- Stack becomes: 0 4

Step 2: 0 4 + (→ shift 5

- Stack: 0 4, Input: (id + id) \$
- ACTION[4,() = S5
- Stack becomes: 0 4 5

Step 3: 0 4 5 + id → shift 4

- Stack: 0 4 5, Input: id + id) \$
- ACTION[5,id] = S4
- Stack becomes: 0 4 5 4

Step 4: 0 4 5 4 + + → reduce T → id

- Stack: 0 4 5 4, Input: + id) \$
- ACTION[4,+] = R5 (reduce by T → id)
- Pop 1 state (state 4), revealing state 5
- GOTO[5,T] = 8
- Stack becomes: 0 4 5 8

Step 5: 0 4 5 8 + + → reduce E → T

- Stack: 0 4 5 8, Input: + id) \$
- ACTION[8,+] = R3 (reduce by E → T)
- Pop 1 state (state 8), revealing state 5
- GOTO[5,E] = 6
- Stack becomes: 0 4 5 6

Step 6: $0 \ 4 \ 5 \ 6 \ ++ \rightarrow \text{shift } 2$

- Stack: $0 \ 4 \ 5 \ 6$, Input: $+$ id) \$
- ACTION[6,+] = S2
- Stack becomes: $0 \ 4 \ 5 \ 6 \ 2$

Step 7: $0 \ 4 \ 5 \ 6 \ 2 \ + \ \text{id} \rightarrow \text{shift } 4$

- Stack: $0 \ 4 \ 5 \ 6 \ 2$, Input: id) \$
- ACTION[2,id] = S4
- Stack becomes: $0 \ 4 \ 5 \ 6 \ 2 \ 4$

Step 8: $0 \ 4 \ 5 \ 6 \ 2 \ 4 \ + \) \rightarrow \text{reduce } T \rightarrow \text{id}$

- Stack: $0 \ 4 \ 5 \ 6 \ 2 \ 4$, Input:) \$
- ACTION[4,)] = R5 (reduce by $T \rightarrow \text{id}$)
- Pop 1 state (state 4), revealing state 2
- GOTO[2,T] = 3
- Stack becomes: $0 \ 4 \ 5 \ 6 \ 2 \ 3$

Step 9: $0 \ 4 \ 5 \ 6 \ 2 \ 3 \ + \) \rightarrow \text{reduce } E \rightarrow E + T$

- Stack: $0 \ 4 \ 5 \ 6 \ 2 \ 3$, Input:) \$
- ACTION[3,)] = R2 (reduce by $E \rightarrow E + T$)
- Pop 3 states (states 3,2,6), revealing state 5
- GOTO[5,E] = 6
- Stack becomes: $0 \ 4 \ 5 \ 6$

Step 10: $0 \ 4 \ 5 \ 6 \ + \) \rightarrow \text{shift } 7$

- Stack: $0 \ 4 \ 5 \ 6$, Input:) \$
- ACTION[6,)] = S7
- Stack becomes: $0 \ 4 \ 5 \ 6 \ 7$
-

Step 11: $0 \ 4 \ 5 \ 6 \ 7 + \$ \rightarrow \text{reduce } T \rightarrow \text{id}(E)$

- Stack: $0 \ 4 \ 5 \ 6 \ 7$, Input: $\$$
- $\text{ACTION}[7,\$] = R4$ (reduce by $T \rightarrow \text{id}(E)$)
- Pop 4 states (states 7,6,5,4), revealing state 0
- $\text{GOTO}[0,T] = 8$
- Stack becomes: $0 \ 8$

Step 12: $0 \ 8 + \$ \rightarrow \text{reduce } E \rightarrow T$

- Stack: $0 \ 8$, Input: $\$$
- $\text{ACTION}[8,\$] = R3$ (reduce by $E \rightarrow T$)
- Pop 1 state (state 8), revealing state 0
- $\text{GOTO}[0,E] = 1$
- Stack becomes: $0 \ 1$

Step 13: $0 \ 1 + \$ \rightarrow \text{accept}$

- Stack: $0 \ 1$, Input: $\$$
- $\text{ACTION}[1,\$] = R1$ (reduce by $P \rightarrow E$) and accept

Notes on SLR parsing

SLR: Uses FOLLOW sets globally

- Reductions allowed if next token \in FOLLOW(left-hand-side)

Some common constructs are not SLR(1)

LR(1): Uses precise lookahead sets computed for each item in each state

- Reductions allowed only if next token \in specific lookahead set for that particular item

LR(1) is more powerful (**L**eft to right scan, **R**ightmost derivation, **1** symbol lookahead)

- An LR(1) item is a pair: (LR(0) item, x lookahead)

[$T \rightarrow . \text{int}^* T, \$$] means

- After seeing $T \rightarrow \text{int}^* T$ reduce if lookahead is \$

More accurate than just using follow sets

LR(1)

The lookahead is always a subset of the FOLLOW of the relevant non-terminal.

For an item like $A \rightarrow \alpha.B$ with a lookahead of $\{L\}$,

- add new rules like $B \rightarrow .\gamma$ with a lookahead of $\{L\}$.

For an item like $A \rightarrow \alpha.B\beta$, with a lookahead of $\{L\}$,

- add new rules like $B \rightarrow .\gamma$ with a lookahead
 - $\text{FIRST}(\beta)$ If β cannot produce ϵ ,
 - $\text{FIRST}(\beta) \cup \{L\}$ If β can produce ϵ ,

Example LR(1)

1. $S \rightarrow V = E$

2. $S \rightarrow id$

3. $V \rightarrow id$

4. $V \rightarrow id [E]$

5. $E \rightarrow V$

state0

$S \rightarrow . V = E$ $\{ \$ \}$

$S \rightarrow . id$ $\{ \$ \}$

Closure of state0

$S \rightarrow . V = E$ $\{ \$ \}$

$S \rightarrow . id$ $\{ \$ \}$

$V \rightarrow . id$ $\{ = \}$ since “=” follows V

$V \rightarrow . id [E]$ $\{ = \}$

Closure of state1

$S \rightarrow id .$ $\{ \$ \}$

$V \rightarrow id .$ $\{ = \}$

$V \rightarrow id . [E]$ $\{ = \}$

- When the next token is \$,
 - reduce by $S \rightarrow id$.
- When the next token is =,
 - reduce by $V \rightarrow id$.

Almost all practical programming languages have an LR(1) grammar

- LALR(1) is the merged states of LR(1):
 - lookahead is the union of the lookaheads of the LR(1) items
 - can parse most real languages,
 - tables are more compact,
 - used by YACC/Bison/CUP/etc.

Final relation

- $\text{LL}(1) \subset \text{SLR} \subset \text{LALR} \subset \text{LR}(1) \subset \text{CFG}$