

# Quantum Kicked Rotor Systems

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### **Abstract**

We discuss kicked rotor systems and the properties of dynamical localisation in these system, particularly their robustness to noise. We also discuss the quasiperiodic kicked rotor and the change in its properties as it crosses the critical point of the metal-insulator transition, particularly the change in the spectrum. We present results on the evaluation of the bipartite entanglement entropy in the 3d quasiperiodic kicked rotor system.

# Chapter 1

## Introduction

The quantum kicked rotor is defined by the Hamiltonian:

$$H = \frac{p^2}{2} + k \cos(\theta) \sum_{n \in \mathbb{Z}} \delta(t - n\tau) \quad (1.1)$$

This classical analog of this system reduces to the Chirikov standard map [5]:

$$p_{n+1} = p_n + k \sin(\theta_n) \quad (1.2)$$

$$\theta_{n+1} = \theta_n + p_{n+1} \quad (1.3)$$

This map is chaotic and shows a great deal of complexity, but we will only deal with the quantum version here. The key point for us, is that this classical map displays diffusion in the angular momentum space. This can be seen qualitatively by looking at 1.3. When  $p_n$  becomes larger than  $2\pi$ , which will happen after a few kicks if  $k$  is large, then the successive  $\theta_n$  will become uncorrelated. As the sign of  $\sin(\theta_n)$  becomes random, the sequence  $p_n$  describes a random walk. This can be backed by a quantitative calculation which shows that the the distribution  $f_N(p)$  i.e. the distribution of momentum after  $N$  steps wrt different initial conditions ( $\theta_0$ ) is well approximated by a gaussian distribution with a diffusion constant [5]:

$$D = \frac{k^2}{2} \quad (1.4)$$

As a time dependent problem, the quantum kicked rotor has no energy eigenstates. However, since it is a periodic kicking potential, we can use floquet operators to simulate it.

$$F = \lim_{\epsilon \rightarrow 0} \int_0^{\tau+\epsilon} \exp(-iHt/\hbar) dt \quad (1.5)$$

$$= \exp\left(\frac{-i}{\hbar} \cos \theta\right) \exp\left(\frac{-i\tau}{2\hbar} p^2\right) \quad (1.6)$$

The floquet operator allows us to obtain the state of the system at  $t = n\tau$ . Working in the eigenbasis of the (angular) momentum operator  $p|n\rangle = \hbar n|n\rangle$ ;  $\langle\theta|n\rangle = e^{in\theta}$ , we get:

$$\langle m|F|n\rangle = \exp\left(-\frac{i\tau}{2\hbar} m^2\right) i^{n-m} J_{n-m}\left(\frac{k}{\hbar}\right) \quad (1.7)$$

We can use this expression for the floquet matrix to simulate the quantum kicked rotor system as  $F^N |\psi(0)\rangle = |\psi(N\tau)\rangle$ . Even though, the system doesn't have stationary states, we can obtain floquet eigenstates by diagonalising the floquet operator.

An important variant of the kicked rotor system is the quasiperiodic kicked rotor, which is discussed further in the report. It is given by the hamiltonian:

$$H = \frac{p^2}{2} + \mathcal{K}(t) \cos(\theta) \sum_{n \in \mathbb{Z}} \delta(t - n\tau) \quad (1.8)$$

where  $\mathcal{K}(t) = k(1 + \epsilon \cos(\omega_2 t + \phi_2) \cos(\omega_3 t + \phi_3))$ .

# Chapter 2

## Readings and Work Done

### 2.1 Localisation

The quantum kicked rotor also shows the same diffusion mechanism as the classical analog in the beginning. If we take the initial state as  $|0\rangle$  which has a uniform distribution of  $\theta$ 's, then the system shows a gaussian shape in the momentum space. But after a certain time, the diffusion is suppressed by quantum effects which lead to an exponential localisation in the momentum space distribution around  $|0\rangle$ . This phenomenon is called 'dynamical localisation'. It is analogous to the Anderson localisation found in tight-binding systems.

We now simulate the system using  $|\psi(N\tau)\rangle = F^N |0\rangle$ . The results are shown in Figure 2.1. The figure shows the state of the system as after different number of timesteps. The initial stages ( $t = 100$ ) show the classically expected gaussian profile in the momentum space probability distribution. But after that the quantum effects begin to dominate and we obtain a exponentially linear profile i.e.  $P(p) \sim e^{-p/p_{loc}}$ .

Though it is hard to see on the log scale,  $\langle p^2 \rangle$  shows a lineary increasing profile during the diffusion phase (this is the same as the classical case) but then saturates as localisation occurs at longer time scales.

So why does localisation occur? Qualitatively speaking, after certain number of timesteps we see destructive interference happening for the transition amplitudes (i.e. the probability of  $|m\rangle = F|n\rangle$ ) when we calculate the expectation  $\langle p^2 \rangle$ . For a more quantitate argument, the reader is encouraged to see section 4.2.1 of [5].

A different way to see the emergence of localisation is through a nonlinear transformation that connects the kicked rotor system with the Anderson model. The next section will cover the Anderson model in more detail. Here we just briefly outline the mapping, for more details see [5]. Consider a 1d quantum kicked rotor of the form:

$$H = K(p) + V(\theta) \sum_n \delta(t - n) \quad (2.1)$$

Then we obtain the floquet operator:

$$F = e^{-iV(\theta)} e^{-iK(p)} \quad (2.2)$$

$$F_{nm} = e^{-iK(m)} J_{n-m} \quad (2.3)$$

$$\text{where } J_n = \frac{1}{2\pi} \int_0^{2\pi} e^{-iV(\theta)} e^{in\theta} d\theta \quad (2.4)$$

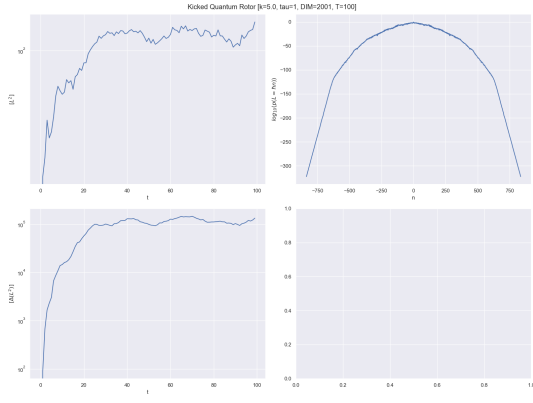
Then we consider the eigenvector  $\mathbf{a}$  of  $F$  with eigenphase  $\phi$  and use the mapping  $W(\theta) = -\tan(V(\theta)/2)$  and  $\bar{\mathbf{a}} = (e^{i(\phi-K)} + 1)\mathbf{a}$ . Then we obtain:

$$\tan\left(\frac{\phi - K}{2}\right) \bar{\mathbf{a}} + W\bar{\mathbf{a}} = 0 \quad (2.5)$$

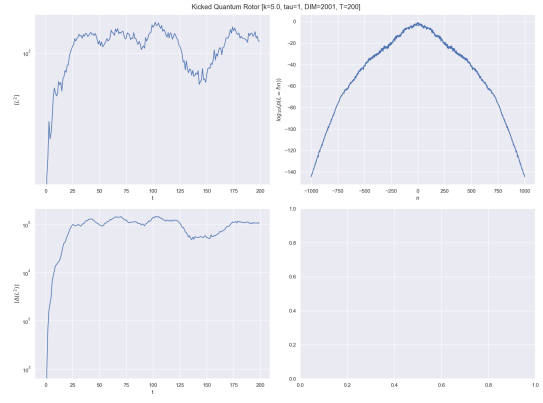
Taking a fourier transform of all the components wrt  $\theta$

$$\sum_{k \neq n} W_{n-k} \bar{a}_k + E_n^0 \bar{a}_n = E \bar{a}_n \quad (2.6)$$

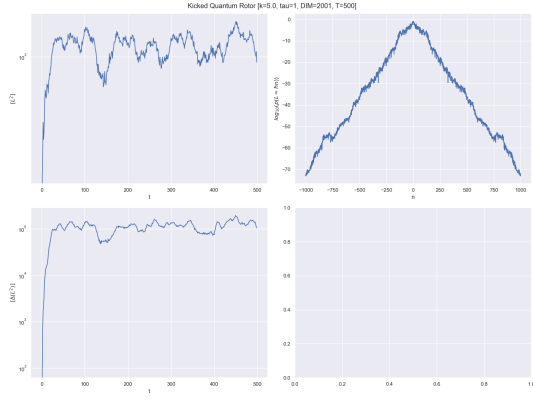
where  $E_n^0 = \tan((\phi - K(n))/2)$  and  $E = -W_0$ . This is the Anderson tight-binding model with bond strengths given by the  $W_{n-m}$ 's and site energies given by  $E_n^0$ 's.



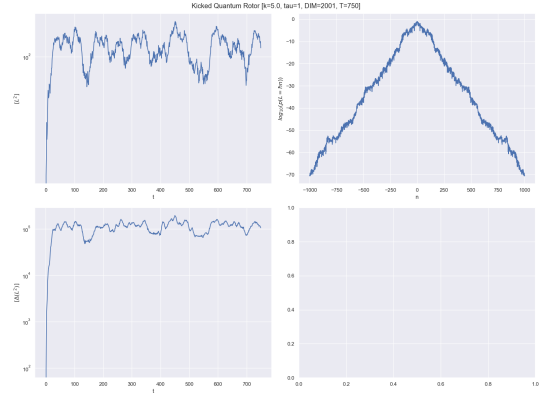
(a) After 100 timesteps.



(b) After 200 timesteps



(c) After 500 timesteps.



(d) After 750 timesteps.

Figure 2.1: Evolution of the kicked rotor system with  $k = 5$ ,  $\hbar = 1$  and  $\tau = 1$  in a basis of  $\{|-1000\rangle, \dots, |1000\rangle\}$ . The top left plot is  $[p^2]$  vs  $t$ ; top right is  $\log_{10}(P(p = n\hbar))$  vs  $n$  i.e the log of the probability distribution in momentum space and the bottom left plot is the variance of momentum square  $[\Delta(p^2)]$  vs time  $t$ .

## 2.2 Anderson Localisation

We now take a short detour to explain the phenomenon of Anderson Localisation. The contents of this section are largely taken from [4].

Consider a non-relativistic particle or gaussian wave packet propagating through a channel. If the channel has a constant potential throughout then the wave will propagate through it unimpeded performing ballistic motion. If the channel has a constant potential with a small noise term, then the potential landscape will look like a series of speckles on an otherwise flat surface. Let us assume these speckles are well separated and look more like spikes rather than shallow hills.

We have three length scales here,  $l$  the spacing between these speckles,  $\delta$  the width of the speckle and  $\lambda$  the de Broglie wavelength of the particle. We assume  $\delta \ll \lambda \ll l$  and thus, the particle sees the speckles as well-separated  $\delta$ -spikes.

Each of these speckles - wells and peaks - acts as a scatter for our particle. Quantum mechanically, each of them has a finite, non-zero probability of both reflecting and transmitting the particle.

Suppose then that our particle hits scatterer 1 and gets transmitted with some probability. It then performs ballistic motion and hits scatterer 2. Again there is some probability of transmission and reflection. The particle may get transmitted immediately, or it may undergo reflection twice (a complete internal reflection) and then get transmitted. It may undergo a complete internal reflection multiple times before crossing the scatterer. This leads to the net transmission probability being dependent on the phase difference accumulated over a complete internal reflection.

The net transmission probability can be found by multiplying the transfer matrices of the two scatterers and obtaining an overall transfer matrix. This yields the expression:

$$T_{12} = \frac{T_1 T_2}{1 + \sqrt{R_1 R_2} e^{i\theta}} \quad (2.7)$$

where  $T_i$  and  $R_i$  denote the transmission and reflection probabilities of the  $i$ th scatterer,  $\theta$  is the phase accumulated in a complete internal reflection.

This phase is distributed randomly irrespective of the distribution of distances between the scatterers as  $\lambda \ll l$  and thus, we may assume a uniform distribution for  $\theta$ .

Decoherence can occur if during the ballistic part, the particle couples to an external degree of freedom. In such a scenario, we can assume a uniform distribution for  $\theta$  and obtain an average transmission probability. In doing this, we are effectively killing any interference effects as we are considering the phase to be completely scrambled by the time it reaches scatterer 2. The calculations then yield  $\langle T_{12} \rangle = T_1 T_2 / (1 - R_1 R_2)$ . For a channel of length  $L$  and nearly uniform scatterer density  $n$ , this gives us  $R/T \propto nL$  which is Ohm's law.

But things are much more interesting if we have phase-coherent transmission through the channel. We must then find a quantity that is additive for such a transmission and average over it.  $\kappa = -\ln T$  is such a quantity. It exhibits the property  $\langle \ln T_{12} \rangle = \ln T_1 + \ln T_2$  and due to this, it displays self-averaging.

Therefore, for a channel of length  $L$ ,  $|\langle \ln T \rangle| \propto nL$ . Since  $T < 1$ ,  $\ln T < 0$  and thus we have  $\exp(\langle \ln T \rangle) \sim \exp(-L/\xi_{loc})$  where  $\xi_{loc}$  is called the localisation length. This exponential localisation in the absence of absorption is a hallmark of strong localisation.

Here,  $\ln T$  exhibits a normal distribution and its peak corresponds to the most likely value  $T_{typ} = \exp(\langle \ln T \rangle) = e^{-L/\xi_{loc}}$ . This phenomenon is called Anderson localisation.

In 3D, the situation becomes more complicated and the system can either allow transmission or show localisation based on disorder strength. These two regimes are separated by a 2nd order phase transition known as the Anderson transition or the metal-insulator transition, metal referring to transmission and insulator referring to localisation of the particle.

Even though Anderson originally introduced it in the form of a tight-binding model with discrete spatial sites, our presented model also exhibits the same behaviour and thus has been used in this report.

## 2.3 Robustness

We now consider the question of how robust the phenomenon of dynamical localisation is to noise in the kicking potential. For the Anderson model, the localisation is destroyed mainly if there are long-range correlations in the scattering peaks. But we know that the mapping between the Anderson model and the kicked rotor is a nonlinear mapping and hence, it may show a very different behaviour to noise in the kicks.

We investigate the robustness of the kicked rotor model by introducing noise in two different ways:

1. Through kick strengths  $k$ : At each time step we add a small noise term to the kick strength  $k$ . So  $F(k + \delta k) |\psi((N-1)\tau)\rangle = |\psi(N\tau)\rangle$ .
2. Through kicking periods  $\tau$ : At each timestep the kick is delivered at time  $t = N\tau + \delta\tau$ .

This was implemented numerically in the same way as quantum kicked rotor, the only difference being that a new floquet matrix must be generated at each timestep. In the implementation, there is only one point of note - the perturbation in the kicking period must be compensated for on the next step in order to keep the kicking times of the form  $t = N\tau + \delta\tau$ .

## 2.4 Anderson Transition

The Anderson transition is a second order phase transition occurring in the tight-binding Anderson model of dimension  $d > 2$  [4]. The system shows metallic conduction i.e. delocalised states for small values of disorder strength and insulator-like behaviour - localisation for larger values of disorder strength. This phenomenon can be investigated analytically through the use of a scaling function  $\beta$  which describes the behaviour of the (dimensionless) conduction as the length  $L$  of the sample changes. This is described in many places such as [4]. We shall not be pursuing that line of attack here.

We have already show the relation between the kicked rotor systems and the Anderson tight-binding models in previous sections. Now we consider a particular case of this. We consider the quasiperiodic kicked rotor:

$$H = \frac{p^2}{2} + \mathcal{K}(t)\cos(\theta) \sum_n \delta(t - n\tau) \quad (2.8)$$

where  $\mathcal{K}(t) = 1 + \epsilon \cos(\omega_2 t + \phi_2) \cos(\omega_3 t + \phi_3)$ . The dynamics of this 1d system is identical to the following 3d kicked rotor system:

$$H_{3d} = \frac{p_1^2}{2} + p_2\omega_2 + p_3\omega_3 \quad (2.9)$$

$$+ k\cos(\theta_1)(1 + \epsilon\cos(\theta_2)\cos(\theta_3)) \sum_n \delta(t - n\tau) \quad (2.10)$$

$$\text{with } |\psi_{3d}(0)\rangle = |\psi_{1d}(0)\rangle |\theta_2 = \phi_2\rangle |\theta_3 = \phi_3\rangle \quad (2.11)$$

If we consider the fourier transform of the eigenvalue equation for the floquet operator of this Hamiltonian i.e.  $F_{3d}|\phi_\omega\rangle = e^{i\omega}|\phi_\omega\rangle$ . Taking a fourier transform of this equation we obtain:

$$\epsilon_{\mathbf{m}}\Phi_{\mathbf{m}} + \sum_{\mathbf{r} \neq 0} W_{\mathbf{r}}\Phi_{\mathbf{m}-\mathbf{r}} = -W_0\Phi_{\mathbf{m}} \quad (2.12)$$

where  $\mathbf{m} = (m_1, m_2, m_3)$  and  $\mathbf{r}$  label sites on a 3d lattice and the  $\Phi_{\mathbf{m}}$  are related to the fourier components of the floquet eigenstate  $|\phi_\omega\rangle$  [1][2].

The quasiperiodic kicked rotor is therefore expected to show a phase transition from delocalised to localised states as the the parameters are varied and certain conditions are satisfied. In particular, the quadruplet  $(\hbar, \omega_2, \omega_3, 2\pi)$  should be an incommensurate quadruplet ( $\hbar$  is the effective Planck's constant given by  $[\theta, p] = i\hbar$ ). The transition is observed as we increase  $K$  and  $\epsilon$ . In particular, we use a set of values  $\hbar = 2.85$ ,  $\omega_2 = 2\pi\sqrt{5}$ ,  $\omega_3 = 2\pi\sqrt{13}$ ,  $K = 6.24 \rightarrow 6.58$  and  $\epsilon = 0.413 \rightarrow 0.462$ . [2]

This phase transition has been studied in detail and the author sought to reproduce the results from [2]. [2] uses single parameter scaling theory to utilize simulation data to evaluate properties of the critical point of the transition in order to mitigate finite time effects. The quantity  $\Lambda =$

$\langle(p/\hbar)^2\rangle t^{-2/3}$  is the relevant scaling parameter for this transition. The average in  $\langle(p/\hbar)^2\rangle$  is an average over initial conditions i.e. over  $(\phi_1, \phi_2)$ .

## 2.5 Bipartite Entanglement

The 1d quasiperiodic kicked rotor shows the dynamics of the 3d kicked rotor for a particular value of  $(\phi_2, \phi_3)$ . It doesn't emulate the full rotor as it lacks the degrees of freedom. Particularly missing from the 1d case is the entanglement between the 1st momentum space and the 2nd, 3rd (quasi)momentum spaces. That the floquet operator entangles the spaces is evident from the calculation of the floquet operator:

$$F_{3d} \quad (2.13)$$

$$= e^{-iK\cos\theta_1(1+\alpha\cos\theta_2\cos\theta_3)/\hbar} e^{-i(p_1^2/2+p_2\omega_2+p_3\omega_3)/\hbar} \quad (2.14)$$

$$\langle \mathbf{m} | F_{3d} | \mathbf{n} \rangle \quad (2.15)$$

$$= \langle m_1, m_2, m_3 | F_{3d} | n_1, n_2, n_3 \rangle \quad (2.16)$$

$$= \langle \mathbf{m} | e^{-iK\cos\theta_1(1+\alpha\cos\theta_2\cos\theta_3)/\hbar} | \mathbf{n} \rangle \quad (2.17)$$

$$e^{-i(\hbar n_1^2/2+n_2\omega_2+n_3\omega_3)} \quad (2.18)$$

$$= \langle \mathbf{m} | \int_{[0,2\pi]^3} d^3\theta e^{-iK\cos\theta_1(1+\alpha\cos\theta_2\cos\theta_3)/\hbar} | \boldsymbol{\theta} \rangle \quad (2.19)$$

$$\langle \boldsymbol{\theta} | \mathbf{n} \rangle e^{-i(\hbar n_1^2/2+n_2\omega_2+n_3\omega_3)} \quad (2.20)$$

$$= \int_{[0,2\pi]^3} d^3\theta \langle \mathbf{m} | \boldsymbol{\theta} \rangle e^{-iK\cos\theta_1(1+\alpha\cos\theta_2\cos\theta_3)/\hbar} \quad (2.21)$$

$$\langle \boldsymbol{\theta} | \mathbf{n} \rangle e^{-i(\hbar n_1^2/2+n_2\omega_2+n_3\omega_3)} \quad (2.22)$$

$$= e^{-i(\hbar n_1^2/2+n_2\omega_2+n_3\omega_3)} \frac{1}{(2\pi)^3} \quad (2.23)$$

$$\int_{[0,2\pi]^3} d^3\theta e^{-i(\mathbf{m}-\mathbf{n}) \cdot \boldsymbol{\theta}} e^{-iK\cos\theta_1(1+\alpha\cos\theta_2\cos\theta_3)/\hbar} \quad (2.24)$$

$$\approx e^{-i(\hbar n_1^2/2+n_2\omega_2+n_3\omega_3)} DFT_N(f(\boldsymbol{\theta}))[\mathbf{m}-\mathbf{n}] \quad (2.25)$$

where

$$f(\boldsymbol{\theta}) = e^{-iK\cos\theta_1(1+\alpha\cos\theta_2\cos\theta_3)/\hbar} \quad (2.26)$$

The fourier transform integral clearly mixes up the  $\theta_1$ ,  $\theta_2$  and  $\theta_3$  terms and hence leads to entanglement. Therefore, we should be able to measure the entanglement between the subspaces  $\mathcal{H}_1$  and  $\mathcal{H}_{2,3}$ . And this is what we proceed to accomplish. However, there are several issues in doing this. The first and foremost issue is that we need to simulate a 3d quantum system in order to calculate the bipartite entanglement entropy between  $\mathcal{H}_1$  and  $\mathcal{H}_{2,3}$ . Therefore, the memory required and the computational complexity for computing the floquet and density matrices scales as  $N^6$  where  $N$  is the size of the momentum eigenbasis being used.



## 2.6 Spectral Analysis

The theory of random matrices has been studied extensively by other people. In this section, we draw from this and try to understand the spectral structure of the floquet matrix. As the floquet operator elements consist of the fourier transform of a kicking function with a pseudo-random strength (at least for longer time scales). So we expect that the elements are randomly distributed. Consequently, we would like to analyse it as a random matrix.

Now random matrix theory makes predictions about the eigenvalue spectrum of the any matrix whose elements are sampled from a gaussian distribution. Depending on the symmetries of the matrix, we can divide it into 3 different ensembles: GUE (Gaussian Unitary Ensemble), GOE (Gaussian Orthogonal Ensemble) and GSE (Gaussian Symplectic Ensemble).

To get an easily observable quantity, we consider the level spacings in the spectrum of these matrices. These theories were made from the viewpoint of analysing the Hamiltonian matrix of different quantum systems. Therefore, the analysed quantity consists of the difference of consecutive eigenvalues i.e.  $d_i = \lambda_{i+1} - \lambda_i$ . Since the Hamiltonian is hermitian, the  $\lambda_i$ 's are real and thus we can talk about consecutive eigenvalues. We define  $P(s)$  as the probability distribution of the  $s_i = d_i/\bar{d}$  where  $\bar{d}$  is the mean level spacing. For systems where the local density of states varies a lot, an 'unfolding' procedure may be required to obtain this. We will not need it and hence, do not describe it.

It can be shown that if the classical analog of the system is integrable, then the eigenvalues of the matrix are uncorrelated and thus the spacings form a Poisson distribution [5][3]. The systems which have a chaotic classical analog will fall into one of the 3 mentioned ensembles: GOE (if the system has time inversion invariance and rotational invariance), GSE (if the system is time inversion invariant but not rotationally invariant) and GUE (in all other cases). A complicated but exact distribution function can be obtained for the level spacings of all of these [3], but Wigner derived a simple formula from the  $2 \times 2$  matrix case which holds reasonably well, especially for large N. These are listed below [5]:

$$P_{int}(s) = \exp(-s) \quad (2.27)$$

$$P_{GOE}(s) = \frac{\pi}{2} s \exp\left(-\frac{\pi}{4} s^2\right) \quad (2.28)$$

$$P_{GUE}(s) = \frac{32}{\pi^2} s^2 \exp\left(-\frac{4}{\pi} s^2\right) \quad (2.29)$$

$$P_{GSE}(s) = \frac{2^{18}}{3^6 \pi^3} s^4 \exp\left(-\frac{64}{9\pi} s^2\right) \quad (2.30)$$

However, our kicked rotor systems have time dependent Hamiltonians, and therefore we cannot apply this analysis to it. However, it turns out that we can use the same strategy on the eigenenergies. These are the

phase angles of the eigenvalues of the floquet matrix. It turns out that their distribution is nearly identical to the gaussian ensembles' eigenvalues. Therefore, there exist analogs of each of the ensembles called COE (Circular Orthogonal Ensemble), CUE (Circular Unitary Ensemble) and CSE (Circular Symplectic Ensemble). Since the space of these phases is compact  $[0, 2\pi)$ , we also avoid complications with unfolding as the density of states is uniform  $\frac{N}{2\pi}$  ( $N$  being the number of eigenphases). Correspondingly, we define

$$P(s) = \frac{2\pi}{N} (\phi_{i+1} - \phi_i) \quad (2.31)$$

where  $\phi_i$  are the eigenphases of the floquet operator arranged in increasing order.

## Chapter 3

# Epilogue

### 3.1 Future Prospects

### 3.2 Conclusion

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