## Dalhousie University CSCI 6057/4117 — Advanced Data Structures Winter 2022 Assignment 1

Distributed Wednesday, January 12 2022. Due at 23:59 Wednesday, January 26 2022.

## **Guidelines:**

- 1. All assignments must be done individually. The solutions that you hand in must be your own work.
- 2. Submit a PDF file with your assignment solutions via Brightspace. We encourage you to typeset your solutions using LaTeX. However, you are free to use other software or submit scanned handwritten assignments as long as **they are legible**.
- 3. Working on the assignments of this course is a learning process and some questions are expected to be challenging. Start working on assignments early.
- 4. Whenever you are asked to prove something, give sufficient details in your proof. When it is straightforward to prove a lemma/property/observation, simply say so, but do not claim something to be straightforward when it is not.
- 5. We have the following late policy for course work: http://web.cs.dal.ca/~mhe/csci6057/assignments.htm

## Questions:

1. [10 marks] A *sorted stack* is a stack in which elements are sorted in increasing order from bottom to top. It supports the following operations:

pop(S), which removes and returns the top element from the sorted stack S. push(S, x), which first pushes item x onto the top of the sorted stack S and then maintains the increasing order by repeatedly removing the item immediately below x until x becomes the largest item in the stack.

Note that here the push operation is different from that of a standard stack. For example, suppose that the items in the sorted stack S, from bottom to top, are currently -9, -7, 0, 1, 4, 11, 12, 20. Then, after calling push(S, 5), the content of the stack, from bottom to top, becomes -9, -7, 0, 1, 4, 5.

We implement the stack as a linked list.

Show that the amortized costs of both operations are O(1).

2. [10 marks] In the vector solution to the problem of maintaining resizable arrays shown in class, we allocate a new array with twice the size as the old one when inserting into a full array.

In this question, we study the following alternative solution: Initially the array has a block of memory that can store at most  $c_0$  entries, where  $c_0$  is a positive constant. Each time we insert into a full array, we allocate a new memory block whose size (i.e., the maximum number of entries that it could store) is the size of the current memory block plus a fixed constant value c. We then copy all the elements from the current memory block to the newly allocated memory block, deallocate the old memory block and insert the new element into the new block. The new memory block will be used to store the content of the array afterwards, until we attempt to insert into a full array again.

Prove that, under this scheme, performing a series of n insertions into an initially empty resizable array takes  $\Omega(n^2)$  time, no matter what constant value we assign to c.

- 3. [15 marks] In class we saw the example of incrementing an initially 0 binary counter. Now, suppose that the counter is expressed as a base-3 number. That is, it has digits from  $\{0, 1, 2\}$ .
  - (i) [5 marks] Write down the pseudocode for INCREMENT for this counter. The running time should be proportional to the number of digits that this algorithm changes.
  - (ii) [5 marks] Define the actual cost of INCREMENT to be the exact number of digits changed during the execution of this algorithm. Let C(n) denote the total cost of calling INCREMENT n times over an initially 0 base-3 counter. Use amortized analysis to show that  $C(n) \leq (3/2)n$  for any positive integer n. To simplify your work, assume that the counter will not overflow during this process.
    - Note: I will give the potential function as a hint after the problem statement, though you will learn more if you try to come up with your own potential function.
  - (iii) [5 marks] Prove that for any c such that  $C(n) \leq cn$  for any positive integer n, the inequality  $c \geq 3/2$  holds. Again, assume that the counter will not overflow during this process.

Hint: Let  $D_i$  be the counter after the *i*-th INCREMENT, and Let  $|D_i|_d$  be the number of digit d in  $D_i$  for  $d \in \{0, 1, 2\}$ . Define  $\Phi(D_i) = |D_i|_1/2 + |D_i|_2$ .

4. [15 marks] In this problem, we consider two stacks, X and Y. n and m denote the sizes of X and Y (here the size of a stack is the number of elements currently in the stack), respectively. We maintain these two stacks to support the following operations:

PushX(a): Push element a onto stack X;

PushY(a): Push element a onto stack Y;

MultiPopX(k): pop min(k, n) elements out of stack X;

MultiPopY(k): pop min(k, m) elements out of stack Y;

Move(k): pop  $\min(k, n)$  elements out of stack X, and each time an element is popped, it is pushed onto stack Y.

We represent each stack using a doubly-linked list, so that PushX, PushY, and a single pop operation on either stack can be performed in O(1) worst-case time.

- (i) [6 marks] What is the worst-case running time of MultiPopX(k), MultiPopY(k) and Move(k)?
- (ii) [9 marks] Perform amortized analysis to show that each of these five operations uses O(1) amortized time.

Hint: Define a potential function that makes use of both n and m. In one possible solution, under the potential function of this method, some operations may have negative amortized cost. This is however fine. Why? Think about what conclusion can be drawn when an operation has negative amortized cost with respect to a particular potential function that starts out at 0 and always remains nonnegative.