CSCI 4118/6105 Lab 1 (Part 1):

Analysis and Comparison of Sorting Algorithms.

Winter 2022

Objective

- Understand asymptotic analysis of Sorting algorithms: Insertion Sort, Merge Sort, and Quick Sort.
- 2. Compare the above sorting algorithms with different inputs.

Extension for Graduate students:

3. Explore and experiment with optimization techniques for improving the time and space complexities using Hybrid Sorting algorithms.

Pre-requisites

- 1. Understanding of Linear array-like data structures.
- 2. Knowledge to use basic git commands to clone, pull, push, commit and merge.
- 3. Java programming language: While it's not necessary to have proficiency in Java, the ability to read and understand any programming language is crucial.

Pre-read/Terminologies

- 1. Priori Analysis and Posteriori Testing.
- 2. Asymptotic Analysis: Time and Space Complexity in big O, big Theta (Θ), and big Omega (Ω).
- 3. Sorting: Ascending, Descending order; Lexical Order for Strings.
- 4. Stability of sorting algorithms and In-place algorithms.
- 5. Tail and Head Recursion.
- 6. Algorithm Design Paradigm: Divide and Conquer.

Resources

Gitlab Repository: https://git.cs.dal.ca/courses/2022-winter/csci-4118-6105/lab1/???? Where ???? is your CSID

Procedure

Note: Undergraduate students are encouraged to attempt additional questions meant for graduate students and get bonus points.

- 1. Clone the repository: *git clone https://git.cs.dal.ca/courses/2022-winter/csci-4118-6105/lab1/????.git* where *????* is your CSID.
- 2. Get the latest pull of the master branch: git pull origin main
- 3. Execute all three sorting algorithms for different input sizes and degree of sortedness.
- 4. Inputs: sorted, reverse sorted, and randomly sorted for an input size of 100, 1000, 100000 (For example, refer to the function: "compare" in "Driver.java" and "Generator.java" for utility functions).
- 5. Analyze and compare the performance of sorting algorithms (Order of growth and Watch time).
- 6. Report your findings in "output part 1.txt" (refer to submission section for format).

Extension for Graduate students:

Perform further analysis for nearly sorted arrays by either rotating the array or changing the order of elements in a sorted array.

- 7. Example inputs (Sorted, sorted in reverse, left/right rotated by 1 to n 1, random sorted, 1 to n 1 elements out of order):
 - a. [1, 4, 5, 7, 10, 13, 14, 22, 46]
 - b. [46, 22, 14, 13, 10, 7, 5, 4, 1]
 - c. [46, 1, 4, 5, 7, 10, 13, 14, 22]
 - d. [13, 14, 22, 46, 1, 4, 5, 7, 10]
 - e. [4, 5, 7, 10, 13, 14, 22, 46, 1]
 - f. [13, 14, 22, 46, 1, 4, 5, 7, 10]
 - g. [22, 1, 10, 4, 13, 5, 14, 7, 46]
 - h. [1, 4, 5, 7, 46, 10, 13, 14, 22]
 - i. [4, 5, 7, 10, 1, 13, 14, 22, 46]
- 8. Report your findings for sorted, unsorted, nearly sorted arrays for different input sizes.

Compute the runtime of the program (All Students)

- 1. Capture the time before and after running the program using java.time (Instant and Duration) or equivalent in order programming languages.
- 2. Executing the program:
 - a. If you are using an IDE such as IntelliJ (Recommended), build the project and run Driver.main()
 - b. Optionally, you can run the java program from the command line: *javac lab/sorting/*.java* (compile) and *java lab.sorting.Driver* (Execute).
- 3. Sample output

| Random-order Array Algorithm | Length | Duration |
|---|--|---|
| Merge Sort Insertion Sort Hoare Quick Sort Lomuto Quick Sort | 10 10 10 10 10 | PT0.000497S PT0.000491S PT0.000241S PT0.000009S |
| Merge Sort Insertion Sort Hoare Quick Sort Lomuto Quick Sort Merge Sort Insertion Sort Hoare Quick Sort Lomuto Quick Sort | 1000 1000 1000 1000 100000 100000 100000 | PT0.001029S PT0.003203S PT0.001855S PT0.000537S PT0.021066S PT0.853875S PT0.008145S PT0.02058S |

Questions

- 1. Which sorting algorithm performs the best for a sorted/nearly sorted array?
- 2. Which algorithm is efficient for small and large datasets?
- 3. If you had to choose one sorting algorithm among the three for all input types, which one would you choose, despite the tradeoffs?

Extension for Graduate students:

- 4. Write a hybrid algorithm (Tim sort) with a combination of Insertion sort and Merge sort.
 - a. Choose a chunk size that is efficient for insertion sort: 32
 - b. Sort subarrays (in-place) of the input array using insertion sort.
 - c. Merge subarrays using merge sort.

Submission

Note: For submission - git add, commit and push the answers to the lab1/???? repository and verify the submission in the GitLab web interface.

- 1. Answer the questions in "questions_part_1.txt"
- 2. Paste the output for all three algorithms for different inputs and summarize your findings from the experiment in "output part 1.txt".

Extension for Graduate students:

3. Create a new file, "TimSort" (Example: "TimSort.java" for java program), under the lab/sorting package in the same repository clone earlier (refer to resources section). Write a program for Tim Sort (Merge sort + Insertion Sort). The reference code is in Java, but you can choose any other language you are comfortable with.

Sorting Algorithms

Optional read: A brief introduction on Insertion, Merge, and Quick sort algorithms.

Insertion Sort

Overview:

- 1. Time complexity
 - a. Worst-case and Average-case: O(n^2)
 - b. Best-case: O(n)
- 2. Space complexity: Constant.
- 3. In-place and Stable Algorithm.
- 4. Steps:
 - Divide the arrays into a sorted and unsorted portion and expand the sorted portion element by element.
 - b. While expanding, insert the new element in its proper place within the sorted subarray until the sorted subarray length is equal to the input array length.

Merge Sort

Overview:

- 1. Divide and Conquer Algorithm.
- 2. Stable Algorithm.
- 3. Time complexity (worst, average, and best): O(n log n)
- 4. Space complexity: O(n) (Typical Implementation).
- 5. Merge is the key function.
- 6. Steps:
 - a. Partition the array into two equal partitions.
 - b. Recursively sort the left and right half and merge the solutions (Merging two sorted arrays).

Quick Sort

- 1. Divide and Conquer, Unstable (Typical Implementation) Algorithm.
- 2. Time complexity (T(n) is the time taken by quick sort for input size n):
 - a. Worst-case: $T(n) = T(n-1) + \Theta(n) = O(n^2)$
 - b. Best-case: $T(n) = 2T(n/2) + \Theta(n) = O(n \log n)$
 - c. Average-case (Average of all permutations): O(n log n)
- 3. Space complexity:
 - a. Worst-case: O(n)
 - b. Best-case: O(log n)
 - c. Average-case: O(log n)
- 4. Despite the worst-case time complexity of O(n^2), quick sort is
 - a. In-place algorithm (Does not require the auxiliary space to partition the array).
 - b. Cache Friendly (Since the algorithm is in-place).
 - c. Average Case time complexity: O(n log n).
 - d. Tail Recursive (Recursive call is the last thing the recursive function does).
- 5. Partition is the key function: Stable: Naive, Unstable: Lomuto, and Hoare (Most Efficient).
- 6. Steps:
 - a. An element is said to be in the sorted position if the elements on the left are lesser and those on the right are greater.
 - b. Pick an element as a pivot and place it in a sorted position or in lesser/greater portion; the left and right sections of the pivot are unsorted and hence recursively repeat until all elements are in sorted positions.

Grading

| Task | 1 Point (x3) | 0 Points |
|------|--|-----------------------------------|
| 1 | For each question in questions_part_1.txt, answered correctly. | Incorrect answer or not answered. |

| Task | 2 Points (x1) | 1 Point | 0 Points |
|------|--|---|---|
| 2 | Thoroughly tested and reported findings in "output_part_1.txt" for all three sorting algorithms with different input array sizes and degree of sortedness. | Tested less than three sorting algorithms or only a few cases of array sizes and degree of sortedness and reported findings in "output_part_1.txt". | No evidence of testing any of the sorting algorithms. |

Extension for Graduate students:

| Task | 5 Points (x1) | 3 Point | 0 Points |
|------|---|--|-----------------------------------|
| 3 | Tim Sort hybrid sorting algorithm implemented and tested. | Tim Sort hybrid sorting algorithm implemented, logically correct (pseudo-code), and/or evidence for testing and explanation. | Incorrect answer or not answered. |