# High-Sensitivity CMOS Super-Regenerative Receiver with Quench-Controlled High-Q Metamaterial Resonator for Millimeter-Wave Imaging at 96 and 135 GHz

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Abstract—High-sensitivity super-regenerative receivers (SRXs) are demonstrated at 96 and 135 GHz, respectively, in this paper. They are based on high-Q quench-controlled metamaterial resonators with a differential transmission line loaded with a split-ring resonator (DTL-SRR) and a differential transmission line loaded with a complementary split-ring resonator (DTL-CSRR) in 65-nm CMOS. High-Q oscillatory amplifications are established by the sharp stopband introduced by metamaterial resonators. As such, high detection sensitivity is achieved for SRXs at millimeter-wave regions. The fabricated 96-GHz DTL-CSRR-based SRX has a compact core chip area of 0.014 mm<sup>2</sup> with measured power consumption of 2.8 mW, sensitivity of -79 dBm, noise figure (NF) of 8.5 dB, and noise equivalent power (NEP) of 0.67 fW/ $\sqrt{\rm Hz}$ . The fabricated 135-GHz DTL-SRR-based SRX has a compact core chip area of 0.0085 mm<sup>2</sup> with measured power consumption of 6.2 mW, sensitivity of -76.8 dBm, NF of 9.7 dB, and NEP of 0.9 fW/ $\sqrt{\text{Hz}}$ . Compared to the conventional SRX with an LC-tank-based resonator at similar frequencies, the proposed SRXs have 2.8~4 dB sensitivity improvement and 60% smaller area. The integrated SRXs are also demonstrated for the imaging applications.

Index Terms—Imaging, metamaterial resonator, 65-nm CMOS, super-regenerative receiver (SRX).

### I. INTRODUCTION

ILLIMETER-WAVE radiation above 100 GHz has a moderate wavelength that not only allows penetration into nonconductive materials with nonionizing nature, but alsohas high spatial resolution. It shows great potential for noninvasive imaging applications in various areas such as pharmacy and security [1]–[5]. With the recent advance of CMOS technology, CMOS-based millimeter-wave (60~300 GHz) signal

Manuscript received April 02, 2014; revised August 01, 2014; accepted September 07, 2014. Date of publication October 15, 2014; date of current version December 02, 2014. This work was supported by the Singapore MOE Tier-1 funding under Grant RG 26/10 and under Grant NRF2010NRF-POC001-001. This is paper is an expanded version from the IEEE Radio Frequency Integrated Circuits Symposium, June 2, 2013.

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Digital Object Identifier 10.1109/TMTT.2014.2360677

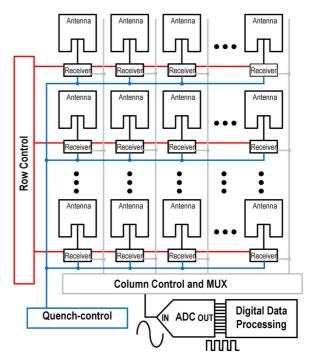


Fig. 1. Large-arrayed CMOS millimeter-wave imaging system.

transmitting and receiving components have been recently developed [6]–[11]. Similarly, one can thereby develop a low-cost, portable, and large-arrayed millimeter-wave imaging system in CMOS, as illustrated in Fig. 1, where each millimeter-wave image pixel consists of a receiver and an antenna. However, the signal strength of millimeter-wave radiation above 100 GHz is usually weak when generated by CMOS, and great path loss will be introduced by absorption and diffraction during the propagation. As such, it is challenging to design a high-sensitivity receiver to generate sufficient margin in the link budget. Moreover, a large-arrayed receiver is desired to improve both spatial resolution and image capturing speed, which requires a compact design of each millimeter-wave image pixel.

The recent super-regenerative receiver (SRX) [12] can achieve high sensitivity within narrow band, which is optimum for millimeter-wave imaging [8], [13] that has a relative low data rate. Note that even though the data rate of the SRX is usually limited by the bandwidth, it is still more than enough

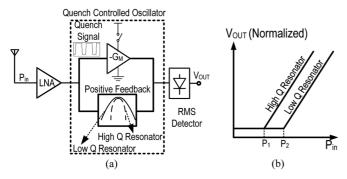


Fig. 2. (a) Block diagram of SRX. (b) Impact of resonator Q-factor to receiver sensitivity.

to carry a VGA video stream. As depicted in Fig. 2(a), the core of the SRX is a quench-controlled oscillator, which consists of a resonator with positive feedback to realize an oscillatory amplification. When a periodic quench-control signal is applied, the average of the detected signal envelope is amplified for the injected RF signal from the low-noise amplifier (LNA). Although an LNA stage with high gain can help improve the receiver responsivity and sensitivity, it has to be implemented with a much larger chip area and power consumption when compared to the SRX design. Note that the available power gain of a typical nMOS transistor in 65-nm CMOS is approaching unity at 150 GHz. Therefore, the LNA is replaced by a common source buffering stage for the isolation above 100 GHz in the SRX design. As illustrated in Fig. 2(b), one compact and high-sensitivity SRX for an millimeter-wave imaging system requires a compact and high quality factor (Q) resonator. Note that  $V_{\text{OUT}}$  is a normalized voltage of the root mean square (rms) detector. However, the Q of the traditional LC-tank-based resonator [14]-[16] has significant performance degradation with a large area at the millimeter-wave region.

Recently, metamaterial-based resonators have been explored in [17]–[19] to improve the Q with a compact area at the millimeter-wave region. A split-ring resonator (SRR) or a complementary split-ring resonator (CSRR) can be designed in the CMOS process to provide negative permeability  $(\mu)$ or negative permittivity  $(\varepsilon)$  for millimeter-wave wave propagation, respectively. When loading the SRR or CSRR to a host transmission line (TL-SRR and TL-CSRR), the integrated structure becomes a nontransmission medium with single-negative property  $(\mu \cdot \varepsilon < 0)$  in the vicinity of resonance frequency. A sharp stopband is thereby formed to perfectly reflect the incident millimeter-wave at the SRR or CSRR loading point with a stable standing wave established in the host T-line [17]–[19]. Compared to the traditional LC-tank-based resonator, the TL-SRR and TL-CSRR have stable electromagnetic (EM) energy storage within a compact area, which result in a much higher Q. As such, it becomes relevant to study the CMOS on-chip SRR or CSRR for the compact and high-sensitivity SRX design in a millimeter-wave imaging system. As demonstrated in this paper, two SRXs with quench-controlled high-Q metamaterial resonators, differential T-line loaded with a complementary split-ring resonator (DTL-CSRR) and differential T-line loaded with a split-ring resonator (DTL-SRR) have much higher sensitivity as well as a smaller area than

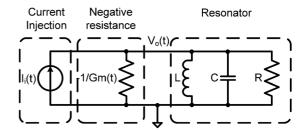


Fig. 3. Simplified equivalent-circuit model of super-regenerative amplifier.

the traditional *LC*-tank-based designs [8], [13]. In addition, an integrated 135-GHz imager has been demonstrated with various pharmacy and security imaging applications. The remainder of this paper is organized as follows. The fundamentals of SRA are first reviewed in Section II, and the design of the quench-controlled oscillator by metamaterial resonators is discussed in Section III. Section IV introduces the CMOS SRX designs at 96 and 135 GHz, respectively. The measurement results are presented in Section V with a conclusion in Section VI.

# II. FUNDAMENTALS OF SRA

Generally, an SRX consists of a quench-controlled oscillator injected by an external signal and an envelope detector. The process of injecting external signal into a quench-controlled oscillator is firstly reviewed to understand the operation of the SRX, called super-regenerative amplification (SRA).

### A. Equivalent Circuit of SRA

A simplified circuit model of SRA is shown in Fig. 3. The resonator is modeled by an RLC block, and its oscillation is quench controlled by a time-dependent negative resistance  $-1/G_m(t)$ , where  $G_m$  is the equivalent conductance determined by the associated active devices. The external signal injected is modeled as a time-dependent current source  $I_i(t)$ .  $V_o(t)$  is the output voltage. The resonance frequency is  $\omega_0 = 1/\sqrt{LC}$ ; the quality factor is  $Q_0 = R/Z_0 = 0.5\zeta_0^{-1}$ ;  $Z_0$  and  $\zeta_0$  are the characteristic impedance and quiescent damping factor, respectively.

Assuming  $G_m(t)$  varies much slower than  $\omega[0]$  such that a quasi-static condition holds in the system to have a time-varying transfer function in the s-domain by

$$\frac{V_o(s,t)}{I_i(s)} = \frac{Z_0 \omega_0 s}{s^2 + 2\zeta(t)\omega_0 s + \omega_0^2} \tag{1}$$

where  $\zeta(t) = \zeta_0[1 - G_m(t)R]$  is the instantaneous damping factor.

A second-order linear time variant system can be observed from (1). By varying  $\zeta(t)$ , the pole can be shifted between left and right sides of the s-plane periodically. In other words, the oscillation starts in SRA when  $\zeta(t)$  is negative, and stops when  $\zeta(t)$  is positive. Note that (1) is only valid when SRA works in the linear mode such that  $V_o(s,t)$  is small enough to prevent significant distortion in each quench cycle. Generally, SRA working in the linear mode is preferred in the application of millimeter-wave imaging since it has a better sensitivity than that in the logarithmic mode [20], [21].

After Laplace transform, (1) can be written as a second-order differential equation in time domain

$$v_o''(t) + 2\zeta(t)\omega_0 v_o'(t) + \omega_0^2 v_o(t) = Z_0 \omega_0 I_o'(t)$$
 (2)

assuming the oscillation is fully quenched in each cycle, such that  $v_o(t)$  is independent of the previous ones. For a particular quench cycle  $t \in (t_a, t_b]$  with  $t_a < 0 < t_b$ , if  $\zeta(t)$  is positive for  $t \in (t_a, 0]$  and negative for  $t \in (0, t_b]$ , (2) can be written as [21]

$$v_o(t) = \frac{Z_0}{s(t)} \int_{t_o}^t I_i'(\tau) s(\tau) sin\left[\omega_0(t-\tau)\right] d\tau \tag{3}$$

where  $s(t)=e^{\omega_0\int_0^t\zeta(\lambda)d\lambda}$  is called the sensitivity function, and it reaches maximum when t=0; and decays rapidly with t. As a result, the SRA is only sensitive to the input  $I_i'(t)$  in the time window centered at t=0 when  $\zeta(t)$  turns from positive to negative.

### B. Frequency Response of SRA

The frequency response of SRA can be analyzed with a convolution model [22]. For a ac input with  $I_i(t) = I_0 \sin(\omega_i t + \phi_i)$ , the output waveform can be approximated by

$$v_o(t) \approx \frac{Z_0 \omega_i I_0}{2s(t)} |S(\Delta \omega)| \sin(\omega_0 t + \phi_i)$$
 (4)

where  $\Delta \omega = \omega_0 - \omega_i$  and  $S(\omega)$  is the Fourier transform of s(t). In the application of millimeter-wave imaging, we are more interested in the envelope of  $v_0$ , which is

$$\operatorname{Env}\left[v_o(t)\right] = \frac{Z_0 \omega_i I_0}{2s(t)} \left| S(\Delta \omega) \right|. \tag{5}$$

Assuming  $\omega_i$  is very close to  $\omega_0$  ( $\Delta\omega\ll\omega_i$ ), a quasi-static condition holds in (5) that the frequency response of  $\mathrm{Env}(v_o(t))$  is determined by  $|S(\Delta\omega)|$ .

For a typical ramping quench signal with time variant conductance  $G_m = (1/R)(kt+1)$ , where k is the normalized ramping slope of  $G_m$  with the unit of 1/s, the instantaneous damping factor is  $\zeta(t) = -(k/2Q_0)t$ . Thus, the envelope of  $v_o(t)$  can be solved by

$$\operatorname{Env}\left[v_o(t)\right]_{\text{ramp}} = \frac{\sqrt{\pi}Z_0\omega_i I_0}{\Omega_0} e^{\frac{\Omega_0^2}{4}t^2} e^{-\frac{\Delta\omega^2}{\Omega_0^2}} \tag{6}$$

where  $\Omega_0 = \sqrt{k\omega_0/Q_0}$  is a constant that determines the frequency response of SRA, e.g., the 3-dB bandwidth of SRA equals 1.177  $\Omega_0$ . As such, one can observe that for the given k and  $\omega_0$ , the bandwidth is inversely proportional to  $Q_0$ .

### C. Sensitivity of SRA

The sensitivity of SRA is defined as the minimum detected power that means the induced output signal power is the same as its variance

$$S_{\text{SRA}} = P_{\min}|_{I_x^2 = \sigma_x^2} = \frac{I_0^2 R}{2}\Big|_{I_x^2 = \sigma_x^2}$$
 (7)

where  $I_x$  is the equivalent induced in SRA in response to the ac input  $I_i$ , and  $\sigma_x^2$  is the variance of  $I_x$ .

As discussed in [22], for a typical ramp-damping function with a normalized ramping slope of k, we have

$$I_x = \frac{I_0 \omega_0 \sigma_s}{2} \quad \sigma_x^2 = \frac{N \omega_0^2 E_g}{2} \tag{8}$$

where  $\sigma_s = \sqrt{2Q_0/\omega_0 k}$  is the SRA time constant with a unit of  $s/\sqrt{\mathrm{rad}}$ ,  $E_g = \sigma_s \sqrt{\pi}$  is the energy of density function, and N is the noise power density with  $N=4K\cdot T\cdot F/R$ . Note that K and F denote the Boltzmann constant and noise factor of SRA contributed by active devices, respectively.

As such, the sensitivity of the SRX can be found by substituting (8) into (7)

$$S = 2KTF\sqrt{\frac{k\omega_0}{\pi Q_0}}. (9)$$

Note that the receiver noise figure (NF) can be approximated as [8]

$$NF = \frac{S}{K \cdot T \cdot B}.$$
 (10)

Note that the NF of an SRX is independent of the quench signal. For a typical 3-dB bandwidth of the SRX ( $B=1.177~\Omega_0$ ), the NF becomes 0.958 F. In addition, the noise equivalent power (NEP) can be calculated by  $S/\sqrt{B}$ 

$$NEP = 1.38KTF \sqrt[4]{\frac{k\omega_0}{\pi Q_0}}.$$
 (11)

Note that k is usually determined by the frequency of the quench signal and the sampling rate of one SRA. Therefore, it can be observed from (9) and (11) that, for a given  $\omega_0$  and k, the sensitivity and NEP are inversely proportional to the square-root and the fourth root of  $Q_0$ , respectively.

Thus, the resonator with higher Q will significantly improve the sensitivity within the interested bandwidth for imaging application.

# D. Millimeter-Wave Imaging by SRA Detection

Millimeter-wave radiation is usually attenuated due to absorption and scattering during the propagation [23], which can be modeled by

$$I_{\gamma_1} = I_{\gamma_0} e^{-\int_{\gamma_0}^{\gamma_1} \alpha_e(z) dz}$$
 (12)

where  $I_{\gamma_0}$  and  $I_{\gamma_1}$  are the incoming and outgoing radiance intensity along path  $(\gamma_0,\gamma_1)$ ; and  $\alpha_e(z)$  is the extinction coefficient, which is the summation of absorption  $(\alpha_a)$  and scattering  $(\alpha_s)$  coefficients. For a homogeneous material placed between  $(\gamma_0,\gamma_1)$ ,  $\alpha_a$  is a constant. The scattering only happens at the interface with scattering coefficients of  $\alpha_s(\gamma_0)$  and  $\alpha_s(\gamma_1)$ . The received power  $(P_R)$  in a transmission-type millimeter-wave imaging system is [24]

$$\begin{split} P_R \left( \mathrm{dBm} \right) &= P_T \left( \mathrm{dBm} \right) + G_R \left( \mathrm{dBi} \right) - L \left( \mathrm{dB} \right) \\ &- 8.686 \left[ \Delta \gamma \alpha_a + \alpha_s (\gamma_0) + \alpha_s (\gamma_1) \right] \left( \mathrm{dB} \right) \end{split} \tag{13}$$

where  $P_T$  is the effective isotropic radiated power (EIRP) of the transmitter,  $G_R$  is the receiver antenna gain, and L is the path loss without any objects placed in the propagation path, including both the free-space path loss (FSPL) and atmosphere absorption.

As shown in (5), the envelope of receiver output is proportional to the injected ac or the square-root of input power. A dc output can be obtained by averaging  $\mathrm{Env}[v_o(t)]$  in each periodic quenching cycle

$$V_{\rm DC} = \frac{\omega_i Z_0 |S(\Delta\omega)| \sqrt{P_R}}{\sqrt{2R}(t_b - t_a)} \int_0^{t_b} \frac{1}{s(t)} dt.$$
 (14)

As such, the received power could be detected by measuring  $V_{\rm DC}$  from the SRA output. As a result, the millimeter-wave image of an object can be further obtained by the 2-D scanning of  $V_{\rm DC}$  with fixed  $P_T$ ,  $G_R$ , and L. Moreover, by analyzing  $V_{\rm DC}$  as well as  $P_R$  with various object thickness  $(\Delta\gamma)$ , one can further find the absorption coefficient of the object under test.

# III. QUENCH-CONTROLLED OSCILLATOR BY METAMATERIAL RESONATOR

As discussed in Section II, a high-Q resonator is needed in the quench-controlled oscillator to improve the sensitivity of the SRX at the millimeter-wave region. In this section, the design of the high-Q on-chip metamaterial resonator will be discussed followed by the design of quench-controlled oscillator.

### A. On-Chip Metamaterial Resonators

Metamaterial with a negative refraction index was first demonstrated in 2001 with SRRs showing  $\mu < 0$  at resonance frequency [25]. A planar SRR structure can be considered as a magnetic dipole excited by the magnetic field (H-field) along the ring axis. A CSRR was proposed [26] as a dual counterpart of the SRR with  $\varepsilon < 0$  at resonance frequency. A planar CSRR structure can be considered as an electric dipole excited by the electric field (E-field) along the ring axis. Since the feature size of the passive device is inversely proportional to the operation frequency, the size of the SRR or CSRR will thereby become more compact for on-chip implementation in the millimeter-wave region. As explored in the millimeter-wave region, both the SRR and CSRR can be applied as the load for a T-line to build compact on-chip metamaterial resonators with a high-Q factor [19].

An electric or magnetic plasmonic medium with single negative  $\varepsilon$  or  $\mu$  could be formed when coupling either the SRR or CSRR as the load to a host T-line, respectively. There is a sharp bandgap or stopband in such a medium at the resonant frequency such that the EM wave can be perfectly reflected back into the host T-line to form a stable standing wave. Ideally, the EM energy is stored into the compact SRR or CSRR structure, where the energy density can be significantly increased with a high-Q factor.

1) T-Line Loaded With SRR: T-line loaded with SRR (TL-SRR) can be implemented on chip by the top most metal layer, as shown in Fig. 4(a). A magnetic plasmonic medium is formed in the vicinity of SRR resonance frequency by exciting the SRR with the magnetic flux generated from the differential ac in the

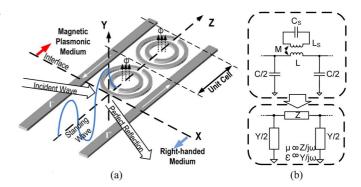


Fig. 4. (a) Standing wave formed by perfect reflection at DTL-SRR load. (b) Equivalent circuit of DTL-SRR with metamaterial condition to form perfect reflection

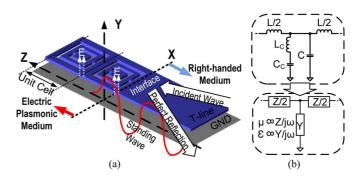


Fig. 5. (a) Standing-wave formed by perfect reflection at DTL-CSRR load. (b) Equivalent circuit of DTL-CSRR with metamaterial condition to form perfect reflection.

host T-line. The equivalent circuit of TL-SRR unit-cell is depicted in Fig. 4(b), where L and C are the intrinsic series inductance and shunt capacitance of the T-line,  $L_s$  and  $C_s$  are the equivalent inductance and capacitance of the SRR, and M is the mutual inductance between the SRR and T-line.

The metamaterial property for the TL-SRR can be analyzed by the T-line model [27] with distributed series impedance (Z) and shunt admittance (Y), which are determined by  $\varepsilon$  and  $\mu$ , respectively. It can be shown that the  $\varepsilon>0$  and  $\mu<0$  condition is satisfied by the TL-SRR in the frequency range  $\sqrt{1/L_s'C_s'}<\omega<\sqrt{(L+L_s')/LL_s'C_s'}$ , where  $L_s'=C_sM^2\omega^2$  and  $C_s'=L_s/(M^2\omega^2)$  are the equivalent series inductance and capacitance of the SRR [19]. Note that M needs to be sufficiently high for a negative  $\mu$ . As such, a differential host T-line is deployed in the design with SRRs placed in between as close as possible.

2) T-Line Loaded With CSRR: What is more, a T-line loaded with a CSRR (TL-CSRR) can be implemented on chip by engraving CSRRs on the T-line in the top most metal layer, as shown in Fig. 5(a). An electric plasmonic medium is formed by exciting the CSRR with the E-field in the host T-line in the vicinity of CSRR resonance frequency. The equivalent circuit of the TL-CSRR unit-cell is depicted in Fig. 5(b), where  $L_C$  and  $C_C$  are the equivalent inductance and capacitance of the CSRR resonator. Comparing the equivalent circuit of the TL-CSRR unit-cell with the one of the T-line unit-cells, one can observe the evanescent wave condition ( $\varepsilon < 0$  and  $\mu > 0$ ) is satisfied in the TL-CSRR in the frequency range  $\sqrt{1/C_cL_c} < \omega < \sqrt{C + C_c/CC_cL_c}$ .

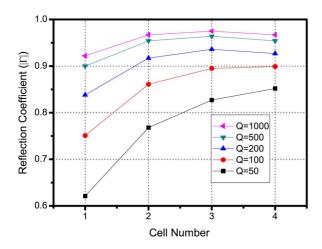


Fig. 6. Reflection coefficient of T-line loaded with CSRR unit-cells.

### B. Quench-Controlled Oscillation

1) High-Q Resonance With Standing Wave: In the practical on-chip resonator design with a finite Q of the SRR or CSRR, the reflection coefficient  $(|\Gamma|)$  depends on the number of cascading TL-SRR or TL-CSRR unit-cells. Fig. 6 shows the circuit-level simulation of the TL-CSRR at 96-GHz resonance frequency with the following observations. First, the reflection coefficient  $|\Gamma|$  is more sensitive to the cells number when Q is below 200. Second,  $|\Gamma|$  can be improved by cascading more unit-cells.

2) Voltage-Controlled Negative Resistance: The oscillation can be sustained by compensating the reflection loss ( $|\Gamma| < 1$ ) with a negative resistance. Similarly, a quench-controlled oscillating can be achieved by controlling voltage-controlled negative resistance (VCNR), which determines the instantaneous damping factor ( $\zeta(t)$ ) of (1) as discussed in Section II. The sensitivity of the SRX is also a function of  $\zeta(t)$  that is determined by VCNR. Usually a cross-coupled nMOS pair is applied for the differential negative resistance design, as depicted in Fig. 7, where the tail dc of the cross-coupled nMOS pair ( $I_D$ ) can be quench controlled by another nMOS biased in the saturation region. The equivalent differential negative conductance between nodes "a" and "b" can be expressed as below by neglecting the channel-length modulation

$$G_m = \frac{gm_2}{2} = \frac{I_D}{2V_{od2}} \tag{15}$$

where  $gm_2$  and  $V_{od2}$  are the transconductance and overdrive voltage of cross-coupled nMOS field-effect transistors (FETs), respectively. Note that  $I_D$  can be obtained by

$$I_D = \frac{W_1}{2L_1} \mu_n C_{\text{ox}} V_{od1}^2 = \frac{W_2}{L_2} \mu_n C_{\text{ox}} V_{od2}^2$$
 (16)

where  $W_1$ ,  $L_1$ , and  $V_{od1} = V_Q - V_T$  are the channel width, length, and overdrive voltage of the tail NMOS;  $W_2$ ,  $L_2$ , and  $V_{od2}$  are the channel width, length, and overdrive voltage of the cross-coupled nMOS pair; and  $\mu_n C_{\rm ox}$  and  $V_T$  are the process-

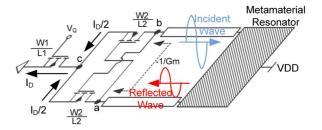


Fig. 7. Reflection loss compensation by cross-coupled nMOS pair with controlled tail dc.

related parameters. As such, (15) can be written as a function of  $V_Q$  by

$$G_m = \frac{\mu_n C_{\text{ox}}(V_Q - V_T)}{4} \sqrt{\frac{2W_1 W_2}{L_1 L_2}}.$$
 (17)

One can observe from (17) that  $G_m$  is linearly controlled by  $V_Q$  of which the slope is determined by the product of  $W_1/L_1$  and  $W_2/L_2$ . Note that the oscillation starts when  $1/G_m < R$  and stops when  $1/G_m > R$ . As such,  $(W_1/L_1)(W_2/L_2)$  must be large enough to satisfy the oscillation start conduction  $(1/G_m < R)$ . However, large  $W_2/L_2$  will introduce additional parasitic capacitance, which will be counted into the resonator rank and reduce the oscillation frequency. Moreover, in order to provide sufficient head room for the cross-coupled nMOS pair,  $W_1/L_1$  is selected several times larger than  $W_2/L_2$ .

### IV. SRX DESIGN BY METAMATERIAL RESONATOR

In order to demonstrate the advantages of the metamaterial resonator based SRX, two SRXs working at 96 and 135 GHz are implemented in the 65-nm CMOS process with TL-CSRR and TL-SRR, respectively.

### A. SRX Design by TL-CSRR

1) Folded Differential T-Line Loaded With CSRR: The TL-CSRR structure cannot be directly employed for the SRX design. Firstly, the single-ended approach will bring large common-mode noise in the oscillator; secondly, cascading more unit-cells will increase area overhead. A folded differential T-line loaded with a CSRR (DTL-CSRR) structure is proposed to reduce the area by half while doubling the number of the unit-cell [28]. As shown in Fig. 8, two cascaded TL-CSRR unit-cells (with a CSRR size of  $60 \times 60 \ \mu m^2$ ) are folded in the two top most metal layers (M6 and M7).

The S-parameters of the proposed DTL-CSRR structure is verified by the EM simulation tool EMX with a parasitic capacitance of 40 fF from transistors. Both  $\varepsilon$  and  $\mu$  of the DTL-CSRR are extracted from the simulation results according to the procedure in [29], which become both complex numbers due to the existence of loss factor induced imaginary parts. The metamaterial property is illustrated by the real parts of  $\varepsilon$  and  $\mu$  in Fig. 9. At the vicinity of 105-GHz resonance frequency, an electric plasmonic medium is formed with  $\varepsilon < 0$  and  $\mu > 0$ . A stopband is thereby formed within a narrow bandwidth of 1.8 GHz, where

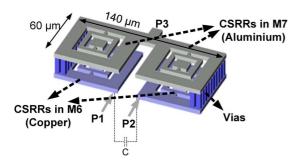


Fig. 8. Layout for CMOS on-chip implementation of DTL-CSRR for 96-GHz SRX.

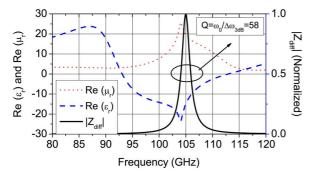


Fig. 9. EM-simulation based comparison of DTL-CSRR and LC-tank resonator for CMOS 96-GHz SRX design.

the Q factor is found to be 58 by  $Q = \omega_0/\omega_3$  dB from the differential impedance ( $Z_{\rm diff}$ ) between P1 and P2.

2) 96-GHz DTL-CSRR-Based SRX: Fig. 10 depicts the schematic of the DTL-CSRR-based SRX. The DTL-CSRR is firstly connected to a differential negative resistance formed by cross-coupled nMOS (M2 and M3). To further improve the detection efficiency, a virtual ground at 96 GHz is formed by two  $\lambda/4$  stubs. The size of M4 is designed as four times of M2 and M3. Note that  $W_{
m Total}$  and  $W_{
m Single}$  are the total and individual finger width of transistors in Fig. 10, respectively. The channel length of every active device is 60 nm. The remaining circuit consists of a common source input buffer (M1) for ac injection and an envelope detector formed by M5 and M6. The common source stage (M1) is designed for input signal injection and also reverse isolation from the oscillator to the input. The size of M1 is optimized with consideration of minimized parasitic capacitance as well as the input matching. Similarly, M5 and M6 also need to be minimized, but doing so will reduce the detection efficiency. To solve this problem, a capacitance coupling by C1 and C2 is introduced between the outputs of the oscillator tank and envelope detection. Firstly, the capacitance loading from M5 and M6 are reduced by series connection of the coupling capacitors; Secondly, M5 and M6 are biased externally by large resistors (R1, R2) to optimize the detection; thirdly, 1/f noise from M5 and M6 is also isolated. Note that a tradeoff is required to the values of C1 and C2, as the detection responsivity will be reduced due to a partially voltage transfer by the capacitance coupling.

### B. SRX Design by TL-SRR

1) Differential T-Line Loaded With SRR: The TL-SRR structure with horizontal placement of SRRs (Fig. 4) is also not

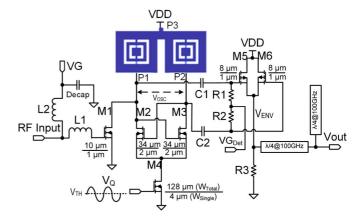


Fig. 10. Schematic of CMOS 96-GHz SRX with DTL-CSRR.

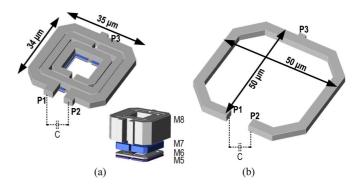


Fig. 11. Layout for CMOS on-chip implementation of DTL-SRR for 135-GHz SRX.

suitable for the practical implementation for the SRX, mainly due to the large area overhead. Compared to the TL-CSRR, the TL-SRR inherently has better layout flexibility because SRRs can be vertically stacked within a compact area. One differential T-line loaded with stacked SRRs (DTL-SRR) is proposed in this work for the application of 135-GHz SRX design in the 65-nm CMOS RF process.

As shown in Fig. 11(a), the DTL-SRR is designed by stacked SRRs with the same dimensions of 24  $\times$  24  $\mu$ m<sup>2</sup> in four metal layers (M5 to M8). All SRRs are closely coupled to the same host T-line implemented in the top most metal layer (M8). The overall size of the proposed DTL-SRR is  $35 \times 34 \ \mu m^2$ . For the purpose of comparison, a traditional LC-tank resonator is designed in the M8 metal layer, as shown in Fig. 11(b), which has the same resonance frequency of 135 GHz. The S-parameters of both structures are also verified by EMX with the same parasitic capacitance of 18 fF. As shown in Fig. 12, at the vicinity of 140-GHz resonance,  $\varepsilon > 0$  and  $\mu < 0$ , and a magnetic plasmonic medium is formed. As a result, a stopband is formed at 140 GHz within a narrow bandwidth of 3.5 GHz. The Q factor of the DTL-SRR resonator is 40, which is more than two times of the Q of the LC-tank resonator. Moreover, the DTL-SRR resonator layout area (1190  $\mu$ m<sup>2</sup>) is less than half of the LC-tank resonator (2500  $\mu$ m<sup>2</sup>).

2) 135-GHz DTL-SRR-Based SRX: Fig. 13 depicts the schematic of a 135-GHz DTL-SRR-based SRX. Firstly, a transformer based matching network is applied to the input

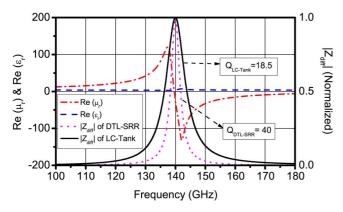


Fig. 12. EM-simulation based comparison of DTL-SRR and LC-tank resonator for CMOS 135-GHz SRX design.

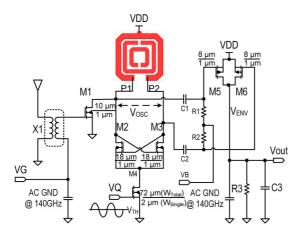


Fig. 13. Schematic of CMOS 135-GHz SRX with DTL-SRR.

matching for M1 for the electrostatic discharge (ESD) protection when integrating with the antenna; secondly, the virtual ground formed by two  $\lambda/4$  T-lines is replaced by the high-Q metal-oxide-metal (MOM) capacitor to further reduce the chip area; thirdly, the detected envelope signal  $V_{\rm ENV}$  is directly averaged by an on-chip low-pass filter formed by R3 and C3 at the output.

### V. MEASUREMENT RESULTS

### A. DTL-CSRR-Based SRX at 96 GHz

The chip micrograph of the proposed DTL-CSRR-based SRX is shown in Fig. 14(a). It is fabricated in a 65-nm CMOS process with a total die area of  $500 \times 440 \ \mu m^2$ , and a core area of  $0.014 \ mm^2$  including a resonator and active devices. The SRX is measured on a probe station (CASCADE Microtech Elite-300) with an RF input signal provided by Agilent PNA-X (N5247A) with transmit/receive (T/R) modules (N5260) of which the output power is calibrated in the range from  $-85 \sim -10 \ dBm$  by an Agilent Spectrum Analyzer E4407B with a W-band waveguide harmonic mixer (11970 W). Note that the output power of the T/R module can be controlled by N5247A, but its minimum output power level that can be calibrated is limited by the sensitivity of the spectrum analyzer. According to the specification of this spectrum analyzer, the accuracy of the output power level after calibration is  $\pm 0.3 \ dB$ . A

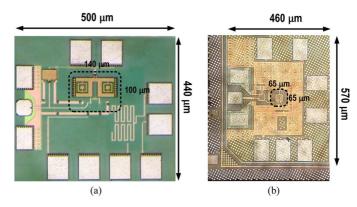


Fig. 14. Die micrographs. (a) CMOS 96-GHz SRX with DTL-CSRR. (b) CMOS 135-GHz SRX with DTL-SRR.

12.5-MHz sinusoid quench-control signal is applied by a function generator (AFG3022) with voltage swept in  $0\sim250$  mV. The receiver operates under a 1-V power supply with a power consumption of 2.8 mW.

The receiver gain is defined as Gain (dB) =  $20 \log V_{\text{out}} - P_{\text{in}}$ , where  $V_{\rm out}$  is the normalized output voltage of the receiver and  $P_{\rm in}$  is the input power level of the proposed SRX in the decibel-watt (dBW). Although the receiver gain is independent to  $P_{\rm in}$  according to (5) in Section II, a relative higher  $P_{\rm in}$  of -20 dBm is applied to have sufficient  $V_{\rm out}$  at the frequency outside the bandwidth. Fig. 15(a) shows the measured gain and input S11, where the maximum gain of the proposed SRX is 21 dB at 95.5 GHz and a 3-dB bandwidth of 560 MHz is observed. The measured gain is quite close to the post-layout simulation result. A good input match is also observed with S11smaller than -14 dB in  $94.6 \sim 96.6$  GHz. Fig. 15(b) shows the  $V_{\rm out}$  against  $P_{\rm in}$  as well as the responsivity at 95.5 GHz. The responsivity is defined by  $V_{\rm out}/P_{\rm in}$ . Almost linear responses are observed for both measurement and simulation results of  $V_{\rm out}$ . Note that the sensitivity is observed at 0.1-mV  $V_{\text{out}}$ , which is the normalized output voltage compared to the output voltage level without any RF input. The measured sensitivity is -78 dBm at 95.5GHz, which is 4 dB higher than the simulation result. Such a difference is probably due to the loss of the RF PAD when connected to the ground-signal-ground (GSG) probe. Moreover, a maximum responsivity of 6.02 MV/W is observed at the sensitivity level of input. As a result of the differences in both sensitivity and bandwidth between the measurement and simulation results, the calculated NEP of the proposed SRX based on measurement and simulation results are 0.67 and 0.26 fW/ $\sqrt{\text{Hz}}$ , respectively. Finally, the NF is found to be 8.5 dB by (10) at 95.5 GHz at room temperature of 290 K.

### B. DTL-SRR-Based SRX at 135 GHz

The proposed DTL-SRR-based SRX is implemented in a 65-nm CMOS RF process, and its chip micrograph is shown in Fig. 14(b). It has a total die area is  $570 \times 460 \ \mu \text{m}^2$ , and a core area of  $0.0085 \ \text{mm}^2$ . For the purpose of imaging system integration, the receiver chip is firstly attached to a test board (FR4, 1.6-mm thickness). As shown in Fig. 16, the whole test board is placed on a probe station for SRX measurement. As such, the SRX can be integrated within an imaging system by

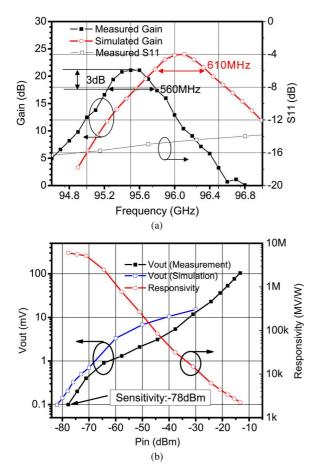


Fig. 15. Measurement results of CMOS 96-GHz SRX. (a) Gain and input S11 and (b) output voltage  $(V_{\rm out})$  and responsivity versus input power  $(P_{\rm in})$  at 95.5 GHz.

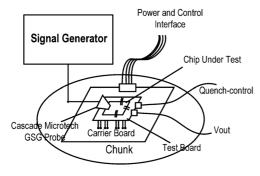


Fig. 16. Measurement setup of CMOS 135-GHz SRX with DTL-SRR.

replacing the GSG probe with bonding wires connected to an antenna. Note that the insertion loss from the bonding wires is about 1–2 dB [30]. The RF input signal is provided by a VDI D-band signal generator of which the output power is calibrated in the range from  $-85 \sim -10$  dBm by an R&S FSUP signal source analyzer with a D-band waveguide harmonic mixer. A 12.5-MHz sinusoid quench-control signal is applied from a function generator (Agilent 33250a) with a voltage sweep range of  $0\sim400$  mV. Operating from a 1-V power supply, the receiver consumes 6.2 mW.

Fig. 17(a) shows the measured gain when  $P_{in}$  is -18 dBm, where the maximum gain of the proposed SRX is 15.5 dB at

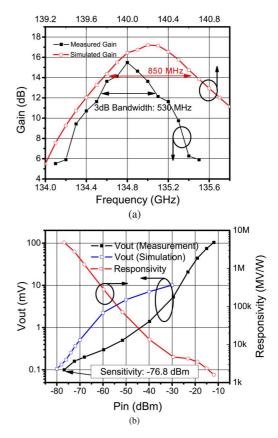


Fig. 17. Measurement results of CMOS 135-GHz SRX, (a) gain, and (b) output voltage  $(V_{\rm out})$  and responsivity versus input power  $(P_{\rm in})$  at 134.8 GHz.

134.8 GHz and the 3-dB bandwidth is 530 MHz. The measured gain is also quite close to the post-layout simulation result, but the center frequency of measurement results is 5 GHz lower than that from simulation, which is probably due to the inaccurate transistor model above 100 GHz. Fig. 17(b) shows normalized  $V_{\rm out}$  against  $P_{\rm in}$  as well as the responsivity. The receiver sensitivity (S) is observed as -76.8 dBm at 134.8 GHz, which is 3.2 dB higher than the simulation result. The measured maximum responsivity is 4.82 MV/W. The calculated NEP values are 0.9 and 0.34 fW/ $\sqrt{\rm Hz}$  for measurement and simulation results, respectively. In addition, the NF is found to be 9.7 dB by (10) at 134.8 GHz at room temperature of 290 K. A near linear relationship between  $V_{\rm out}$  and  $P_{\rm in}$  is observed when the input power is below -40 dBm, which can be utilized in post-data processing to generate millimeter-wave images.

## C. Comparison and Discussion

The performance of the measurement results of the proposed SRXs is summarized in Table I as well as the previous state-of-the-art receiver designs. Compared to the direct conversion receiver [31], the SRXs have a 16~22 dB better sensitivity due to a narrower receiver bandwidth. Compared to the traditional SRX designs with the *LC*-tank resonator [8], [13], the proposed SRXs are showing 30%~50% reduced NEP, 2.8~4 dB better sensitivity, and 60% area reduction. Compared to the DTL-CSRR based SRX, the DTL-SRR based SRX has 40% smaller core area, which makes it more suitable for the portable millimeter-wave imaging with a large sensor array.

Parameters	[8]	[13]	[31]	DTL- CSRR	DTL- SRR
Topology	SRX	SRX	DC	SRX	SRX
Resonator Type	LC- tank	LC- tank	-	TL- CSRR	TL- SRR
Technology Node	65 nm CMOS	65 nm CMOS	0.18 μm BiCMOS	65 nm CMOS	65 nm CMOS
fosc (GHz)	144	183	103	95.5	135
Power (mW)	2.5	13.5	225	2.8	6.2
Sensitivity (dBm)	-74	-72.5	-56	-78	-76.8
Bandwidth (GHz)	0.94	1.4	20	0.56	0.53
NF (dB)	10.2	9.9	15	8.5	9.7
$NEP (fW/\sqrt{Hz})$	1.3	1.5	17.8	0.67	0.9
Core Area (mm <sup>2</sup> )	0.021	0.013	0.75	0.014	0.0085

TABLE I
PERFORMANCE COMPARISON OF STATE-OF-THE-ART
RECEIVERS FOR IMAGING APPLICATION

However, more metal layers are required to form a DTL-SRR structure, which will also increase the chip cost.

### D. 135-GHz Imaging System by DTL-SRR-Based SRX

The SRX can be easily integrated with the millimeter-wave imaging system by replacing the GSG probe with bonding wires connected to an 135-GHz antenna. It is demonstrated by wires bonding from the input of the proposed 135-GHz SRX to a 2 × 4 antenna array with a hybrid series/parallel feeding network, as show in Fig. 18(a). The receiver and antenna must be well aligned to minimize the connection loss. The entire millimeter-wave imaging setup is also shown in Fig. 18(b). The 135-GHz radiation from a VDI source (0 dBm) is received by proposed SRX after propagating through the objects under test, which is held by an X-Y moving stage (STANDA) placed in the middle. Generally, the frequency tuning range (FTR) of the source needs to cover the variation of the SRX operation frequency at advanced CMOS process nodes. Moreover, the tuning step size has to be sufficiently small to enable an accurate matching to the operation frequency of the SRX. Although a substantial portion of the object is illuminated due to the divergent beam from the source antenna, only the power propagating to the direction of receiver is detected. As such, a high-resolution image can be obtained without a focus lens. The resulting  $V_{\text{out}}$  at each X-Y stage position is recorded into a 2-D matrix, which can be plotted in a color image by MATLAB with a JET color map.

Fig. 19 shows the imaging results by the proposed CMOS millimeter-wave imaging system. Fig. 19(a) demonstrates the detection of a knife, perfume, and coin inside a handbag. These items can be clearly identified in the image because different material types like metal, plastic, and liquid have different absorption and reflection properties to the millimeter-wave radiation. Fig. 19(b) shows that one can differentiate between a moisturized Panadol pill and a dry one. Due to the strong water absorption at millimeter-wave frequencies, a moisturized Panadol has higher absorbtion than the dry one. The imaging system can

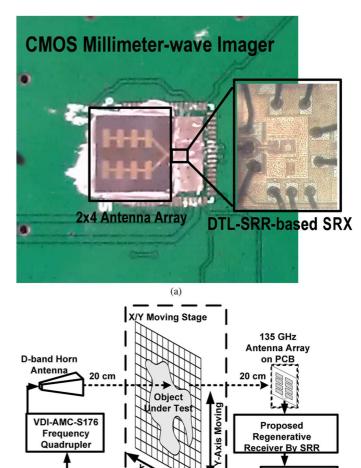


Fig. 18. (a) Printed circuit board (PCB) integration of CMOS 135-GHz SRX with antenna. (b) Millimeter-wave imaging measurement setup with the proposed receiver chip integrated on PCB and object under test fixed on an X-Y moving stage.

Stage moving

controller

(b)

R&S SMF100A Microwave Signal

Generator

Voltage

PC with testing

program

USB

+hterfac

also be applied in transmission analysis to characterize the material in the propagation path. Fig. 19(c) shows the imaging of various types of eaten oil including sunflower, olive, fresh soybean, and soybean that has been used once. Note that four types of oil samples are held by petri dishes with the same inner diameter of 35 mm, in which the oil surfaces are perpendicular to the propagation path between transmitter and receiver. The thickness of each oil sample is controlled through the volume measurement of a syringe pump with an accuracy of 5% before being injected to the petri dish. The absorption ratio can be identified by comparing the received power under a different sample volume using (13) in Section II. Note that the measurement error contributed by the reflection loss can be minimized as the first interface signal reflection is calibrated. In addition, the accuracy of measurement results can be improved by increasing the volume difference to generate wider transmission diversity. The calculated absorption ratio of each oil type is depicted in the box chart in Fig. 20. It is interesting to observe that the soybean oil

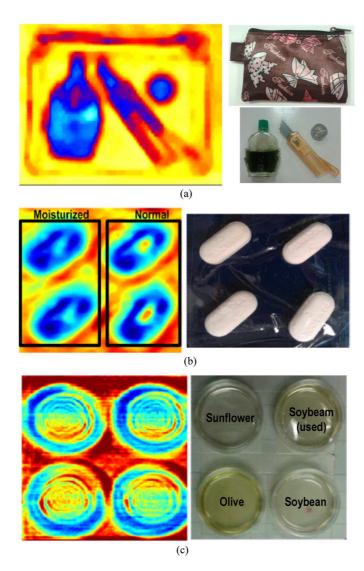


Fig. 19. Images captured by imaging system with the proposed CMOS 135-GHz SRX. (a) Knife, perfume, and coin in handbag. (b) Moisturized and normal Panadol pills. (c) Various types of oil.

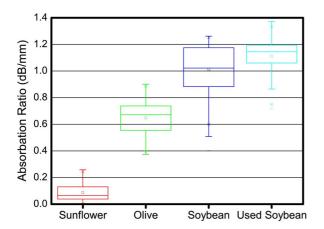


Fig. 20. Absorption ratio of various types of oil detected at 135 GHz.

that has been used once has higher absorption to the 135-GHz energy than the fresh one. With the significantly improved receiver sensitivity, the proposed CMOS millimeter-wave imager results in high contrast images and it can be further utilized in

the analysis of the moisture level, as well as the identification of particular liquid content.

### VI. CONCLUSION

In this paper, a high-sensitivity SRX has been demonstrated by compact high-Q quench-controlled metamaterial resonators at 96 and 135 GHz in a 65-nm CMOS, respectively. Compared to the conventional SRX design with an LC-tank resonator at a similar frequency, the proposed SRXs have  $2.8{\sim}4$  dB improved sensitivity and 60% reduced area. The proposed 135-GHz SRX is integrated in a millimeter-wave imaging system with various demonstrated imaging diagnosis applications. It has great potential to be utilized for the future large-arrayed transmission-type millimeter-wave imaging system.

### ACKNOWLEDGMENT

The authors would like to acknowledge the support of 65-nm CMOS tape-out from GlobalFoundries and MediaTek. The authors appreciate the measurement support by W.-M. Lim, VIRTUS IC Design Centre of Excellence, and Integrand Software for providing the EM simulation tool EMX.

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