

~~THE~~ PUMPING LEMMA
IF L IS REGULAR THEN

(P) THERE EXISTS A $p \geq 0$ SUCH THAT FOR ANY
STRING $s \in L$ WITH $|s| \geq p$, THERE EXIST STRINGS
 x, y, z SUCH THAT $s = xyz$, $y \neq \epsilon$, $|xy| \leq p$, AND FOR ALL
 $i \geq 0$, THE STRING $xy^iz \in L$

CONTRA POSITIVE - USED TO PROVE LANGS. NOT REGULAR
 $\neg P \Rightarrow L$ IS NOT REGULAR.

($\neg P$) FOR ALL $p \geq 0$ THERE EXISTS A STRING $s \in L$
WITH $|s| \geq p$, ~~AND~~ AND FOR ALL x, y, z SUCH
THAT $xyz = s$, $y \neq \epsilon$, $|xy| \leq p$ THERE EXISTS AN $i \geq 0$
SUCH THAT $xy^iz \notin L$.

DEMON GAME

DEMON PICKS p

WE PICK $s \in L$ AND $|s| \geq p$

DEMON PICKS x, y, z $\Rightarrow s = xyz$ $y \neq \epsilon$

WE PICK i

PROVE REGARDLESS OF DEMON x, y, z PICK

$xy^iz \notin L$

L REGULAR IMPLIES

$$\Leftarrow \exists z \mid s \mid p \wedge \neg z \mid s \mid (sA)(dE)$$

$$(\exists x, y, z)(s = x y z, \mid x y \mid \leq p, \mid y \mid \geq 1 \text{ and}$$

$$(((\neg z \mid y \mid z)(x y z))$$

PUMPING LEMMA PROOF

LET $M = (Q, \Sigma, \delta, q_0, F)$ BE DFA RECOG. $A = L(M)$

LET $p = |Q|$ NUMBER OF STATES ~~REPEATED~~

LET $w = a_1 a_2 \dots a_m$ BE A STRING IN A
AND $m \geq p$

NOTE $|w| = m$

LET $q_0, s_1, s_2, \dots, s_m \in F$ BE SEQ OF
STATES THAT PROCESSES w

$$\text{I.E. } s_{i+1} = \delta(s_i, a_{i+1})$$

IN THE FIRST $p+1$ STATES OF SEQ
~~S SEQ HAS $m+1$ STATES I.E. $> p+1$ STATES~~

AT LEAST TWO MUST BE THE SAME. PIGEON HOLE
PRINCIPLE

CALL FIRST PAIR s_i AND s_l NOTE $i \leq p$

~~NOTE FOR ALL i, l IN $\{1, 2, \dots, p\}$~~

NOW LET $x = a_1 \dots a_i$

$y = a_{i+1} \dots a_l$

$z = a_{l+1} \dots a_m$

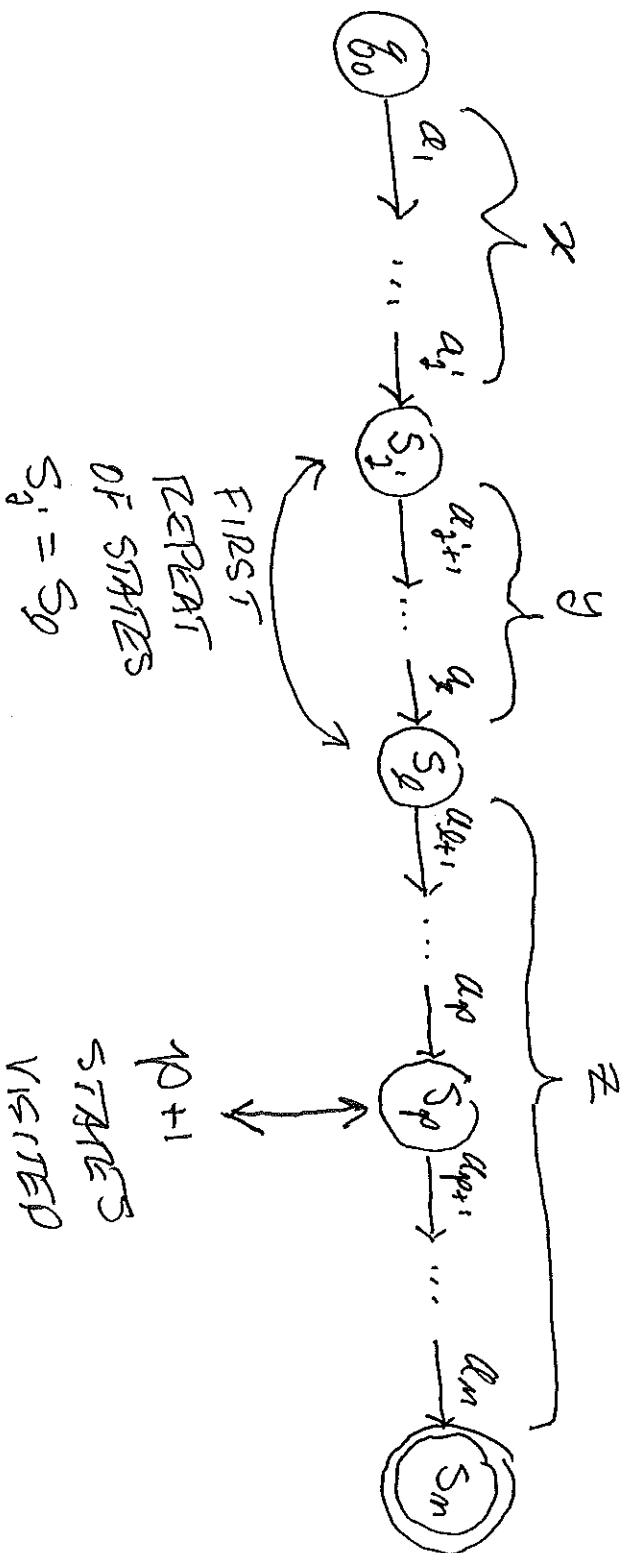
x TAKES M FROM q_0 TO s_i
 y FROM s_i TO s_l
 z FROM s_l TO s_m

(NOTE $s_i = s_l$ SO y LOOPS)



$m \geq p$

FOR $m = p$
THERE ARE $p+1$
STATES VISITED



s_i INDICATES WITH STATE VISITED
NOT NECESSARILY THE STATE LABEL.

CAN INSERT ARBITRARY NUMBER OF y 'S
AND STRING MUST STILL BE ACCEPTED,

M MUST ACCEPT $x y^{z'} z$ FOR ALL $z' \geq 0$

NOTE $j \neq l$ SO $|y| > 0$

NOTE $l \leq p$ SO $|xy| \leq p$

Q.E.D.

EXAMPLE OF USING PUMPING LEMMA

$$A = \{0^m 1^n \mid m \geq 0\} \text{ is NOT REGULAR.}$$

DEMON PICKS p

WE PICK s s.t. $|s| \geq p$

$$s = 0^p 1^p$$

THEN FOR ANY PARTITION $s = xyz$

s.t. $|xy| \leq p$

$$|y| > 0$$

WE CAN FIND AN $i \geq 0$ s.t.

$$xy^i z \notin A$$

LET $i = 2$

NOTE $|xy| \leq p \Rightarrow xy$ CONSISTS OF ONLY 0's
 $|y| > 0 \Rightarrow y$ IS ONE OR MORE 0's

SO xy^2z HAS ~~MORE~~ ~~ONE OR~~ MORE

0's THAN 1's SO $\notin A$.

USING CLOSURE PROPERTIES.

$$L'' = \{ w \mid \#0's = \#1's \}$$

$$p$$

$$s = 0^p 1^p$$

WE CAN PICK ANY STRING THAT FITS CRITERIA,
DON'T HAVE TO PICK ONE THAT'S DIFFICULT.

$$s = xyz \quad |y| \geq 1, |xy| \leq p \text{ AND } \forall i \geq 0 \quad x y^i z \in L$$

$\Rightarrow y$ all 0's
 $i = 2 \Rightarrow$ MORE 0's THAN 1's CONTRADICTION

ANOTHER PROOF ~~FOR~~ LET $L = \{ 0^m 1^m \mid m \geq 1 \}$ ~~KNOW NOT REG~~

$$L = L'' \cap 0^* 1^*$$

$\Rightarrow L''$ NOT REG.

\uparrow
REG

\uparrow
NOT REG

EXAMPLE 2 ~~ON USE OF PUMPING~~ PROVING NOT REG.

LET $B = \{w \mid w \text{ HAS EQUAL \# OF 1's AND 0's}\}$

B IS NOT REGULAR.

WE KNOW OR CAN PROVE

$C = \{0^m 1^m \mid m \geq 0, m \geq 0\}$
IS REGULAR.

$B \cap C = A = \{0^m 1^m \mid m \geq 0\}$ NOT REG

TOWARD CONTRADICTION ASSUME B REG.

THEN $B \cap C$ MUST BE REG. CONTRADICTION.

Q.E.D

$L = \{ 1^j 0^i \mid j < i \}$ IS NOT REGULAR

DEAMON PICKS $p \geq 0$

WE PICK $S = 1^p 0^{p+1}$

NOTE $S \in L$ AND $|S| \geq p$

DEAMON PICKS $x y z$ SUCH THAT

$$xyz = S$$

$$|xy| \leq p$$

$$|y| > 0$$

WE PICK $i = 2$

THEN $xy^2z \notin L$ BECAUSE

$|xy| \leq p$ AND $|y| > 0 \Rightarrow y$ IS ONE OR MORE 1s

AND $xy^2z = 1^{p+1} 0^{p+1} \notin L$.

$L = \{ 0^i 1^j \mid i > j \}$ IS NOT REGULAR

NOTE L REG $\Rightarrow L^R$ IS REG

SO L^R NOT REG $\Rightarrow L$ IS NOT REGULAR.

$$L^R = \{ 1^j 0^i \mid j < i \}$$

ALSO PUMPING DOWN

$$s = 0^{p+1} 1^p$$
$$xyz = 0^{p+1} 1^p$$

$$|xy| \leq p$$
$$|y| > 0$$

PICK $i = 0$

THEN

$$xy^0z = 0^{\leq p} 1^p \notin L$$

~~CLP~~ BOOK SIPSEK

$F = \{ ww \mid w \in \{0, 1\}^* \}$ IS NOT REGULAR.

p

$$S = 0^p 1 0^p$$

FOR ANY $xyz = 0^p 1 0^p$

WITH $|xy| \leq p$

WE HAVE x AND y ARE ONLY 0s

SO WITH $|y| > 0$ y MUST BE ONLY 0s

SO LET $z = z$ THEN

A $xy^2z \notin F$

BECAUSE THERE WILL BE MORE 0s BEFORE
THE FIRST 1 THAN BEFORE THE SECOND ONE.

NOTE 1 MUST END THE STRING w

PUMPING LEMMA FOR PALINDROMES

$$L = \{ w \mid w = w^R \}$$

L IS NOT REGULAR

PROOF:

DRAGON PICKS p

WE PICK $S = 0^p 1 0^p$ CLEARLY $\in L$

DRAGON PICKS SOME $x y z$ SUCH THAT

$$x y z = S$$

$$|x y| \leq p$$

$$|y| > 0$$

WE SHOW THAT FOR ANY SUCH CHOICE WE CAN
PICK AN i' SUCH THAT

$$x y^{i'} z \notin L$$

NOTE $x y$ CONSISTS ONLY OF 0'S

SO $i' \geq 2$ PRODUCES

$$x y^{i'} z = 0^{>p} 1 0^p \notin L$$

$0^p 1 1 0^p$ WORKS ALSO

$$L = \{ 0^m 1^n \mid m \neq n \}$$

1.46 (b)

$$S = 0^p 1^{p+p!}$$

$$xyz = 0^p 1^{p+p!}$$

$|xy| \leq p$
 $|y| > 0$ } $\Rightarrow y$ is ONE OR MORE 0s
 SAY $1 \leq k \leq p$

PICK $z' = \frac{p!}{k} + 1$ SO $|y| = k$ AND $|y^{z'}| = k \cdot z' = k \cdot \left(\frac{p!}{k} + 1 \right) = p! + k$

THEN

$$xyz = \cancel{0^{p-k} 0^{p!+k} 1^{p+p!}} \\ = 0^{p+p!} 1^{p+p!} \notin L$$

TOWARD CONTRADICTION ASSUME L REGULAR

THEN \bar{L} IS REGULAR

$\bar{L} \cap 0^* 1^*$ REGULAR

i.e. $\{ 0^m 1^m \mid m = m \}$ REG

CONTRADICTION!

WHY $p!$?

FIND STRING IN L THAT WHEN PUMPED BECOMES $0^m 1^m$

$$0^p 1^{p+m}$$

$m > 0$

WANT #1s TO BE DIFF.
THAN #0s

$$0^{p-k} 0^k 1^p$$

WANT PUMPING TO MAKE
EQUAL TO $p + m$

$$p - k + k \cdot 2 = p + m$$

$$k(z-1) = m$$

$$(z-1) = \frac{m}{k}$$

$$z = \frac{p!}{k} + 1$$

SO WANT
 $k \mid m$

BUT k CAN BE
1 TO p

SO LET $m = p!$

31PSEK EX 1.76

$$L = \{1^{m^2} \mid m \geq 0\}$$

DRAGON PICKS p

WE PICK $S = 1^{p^2}$ NOTE $S \in L$ AND $|S| \geq p$

DRAGON PICKS x, y, z SUCH THAT

$$xyz = S$$

$$|xy| \leq p$$

$$|y| \geq 1$$

WE PICK $z = 2$ THEN

NOTE $y \leq p$

$$|xy^2z| - |xyz| = |y| \leq p$$

$$|xy^2z| \leq \overset{p^2}{p^2} + p$$

← ADDING p^2 TO BOTH SIDES
CLAIM CANNOT BE
PERFECT SQUARE

BECAUSE $p^2 < p^2 + p < (p+1)^2$

IN BETWEEN
CONSECUTIVE SQS.

$$p^2 + 2p + 1$$

FOR FINITE SET p MUST BE GREATER THAN LONGEST STRING
OTHERWISE THE SET COULD NOT BE FINITE

THESE ARE ALL
REGULAR SETS

min length string

MINIMUM

p

NO STRINGS
LENGTH p OR GREATER

$\{\epsilon\}$

~~min length string~~

0

$\{\epsilon\}$

0

1

$\{\epsilon\}$

1

2