

# PREPARATION FOR MYHILL-NERODE

## EQUIVALENCE RELATIONS ON A SET $A$

IDENTITY

EQUALS

SAME AS CONDITIONS E.G.  $x$  HAS SAME BIRTHDAY AS  $y$

MOD

RATIONAL NUMBERS

RELATION THAT IS  
REFLEXIVE

SYMMETRIC

TRANSITIVE

PARTITION SET INTO DISJOINT PARTS

EQUIVALENCE CLASSES

IF  $R$  IS AN EQUIVALENCE RELATION ON  $A$

$$[x]_R = \{y \mid x R y\} \text{ EQUIVALENCE CLASS OF } x$$

GIVEN  $[x]_R$  AND  $[y]_R$  THEY ARE EITHER

THE SAME OR DISJOINT.

$x R y \Rightarrow$  SAME

$x \not R y \Rightarrow$  DISJOINT

$$A = \bigcup_{x \in A} [x]_R$$

INDEX OF EQUIVALENCE  
RELATION  
IS

# OF EQUIVALENCE  
CLASSES

# EQUIVALENCE RELATION

PREP MYHILL - NERODE

FOR ALL  $x, y \in A$

$$I \quad x \approx x$$

$$II \quad x \approx y \Rightarrow y \approx x$$

$$III \quad x \approx y \text{ AND } y \approx z \Rightarrow x \approx z$$

WHY DOESN'T

$$II + III \Rightarrow I$$

THERE MAY BE  $x$  S.T.  $\forall y \neq x \quad y \not\approx x$   
THAT'S NOT EQUIVALENT TO ANYTHING ELSE

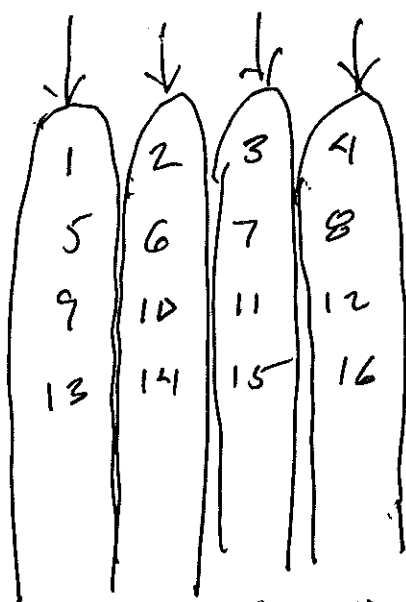
$$x R y \text{ iff } [x]_R = [y]_R$$

IF FOR ALL  $x \in A$

$$[x]_n \subseteq [x]_m$$

THEN  $n$  IS FINER THAN  $m$

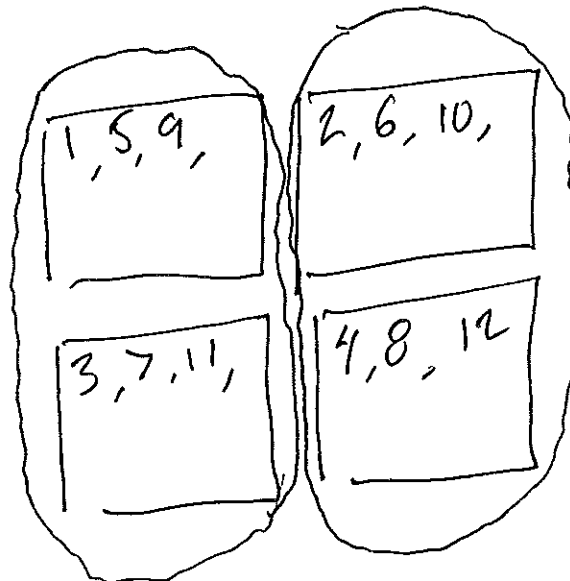
EXAMPLE:



$\begin{matrix} 1 & 2 & 3 & 0 \\ 1 & 0 & 1 & 0 \end{matrix}$

MOD 4

MOD 2



$$[M]_{\text{MOD } 4} \subseteq [M]_{\text{MOD } 2}$$

$$\text{INDEX } R_{\text{MOD } 4} \geq \text{INDEX } R_{\text{MOD } 2}$$

4
2

$$\forall x, y \quad x R_1 y \Rightarrow x R_2 y$$

$$[x]_{R_1} \subseteq [x]_{R_2}$$

$$\text{INDEX OF } R_1 \geq \text{INDEX OF } R_2$$

# MYHILL - NERODE

IDEA. WITH EVERY LANGUAGE  $L \subseteq \Sigma^*$

WE CAN ASSOCIATE A SPECIAL RELATION  $R_L \subseteq \Sigma^* \times \Sigma^*$

$x R_L y$  iff for all  $z \in \Sigma^*$  ( $xz \in L \iff yz \in L$ )

PROP  $R_L$  IS AN EQUIVANCE RELATION.

$$\forall x \in \Sigma^* \quad x R_L x$$

$$\forall x, y \in \Sigma^* \quad x R_L y \Rightarrow y R_L x$$

$$\forall x, y, z \in \Sigma^* \quad x R_L y \text{ AND } y R_L z \Rightarrow x R_L z$$

PROOF  
STRAIGHT FORWARD FROM S, R, T PROPERTIES OF " $\iff$ "

THM

LET  $L \subseteq \Sigma^*$  THEN THE FOLLOWING TWO STATEMENTS ARE EQUIVALENT.

I.  $L$  IS REGULAR.

II. THE INDEX OF  $R_L$  IS FINITE.

PROOF II  $\Rightarrow$  I

ASSUME  $R_L$  HAS FINITELY MANY EQUIVALENCE CLASSES.  
WE WANT TO BUILD A DFA  $M$  SUCH THAT  $L = L(M)$ .

IDEA TAKE THE EQ. CLASSES OF  $R_L$  AS THE STATES OF  $M$ .

$$M = (Q, \Sigma, \delta, q_0, F)$$

$$Q = \{ [x]_{R_L} \mid x \in \Sigma^* \}$$

$$\delta : Q \times \Sigma \rightarrow Q$$

$$\delta([x]_{R_L}, a) = [xa]_{R_L}$$

NEED ~~LEMMA~~ TO SHOW WELL DEFINED.

$$q_0 = [\epsilon]_{R_L}$$

$$F = \{ [x]_{R_L} \mid x \in L \}$$

MYHILL - NERODE

CONSTRUCTION OF DFA FOR FINITE # EQ. CLASSES.

FINITE OF EQ. RELATION

$L$  REG IMPLIES INDEX  $R_L$  FINITE

EXAMPLES

1.  $\{0^n 1^m \mid m \geq 1\}$  ON HANDOUT

2.  $\{w \mid w \text{ IS A PALINDROME}\}$   
 $\{01, 001, 0001, \dots\}$

3.  $\{1^{m!} \mid m \geq 1\}$

4. 1.54 p 91

5. Pump Reg.  $L = \{0^{2^m} \mid m \geq 1\}$  ON HANDOUT

2WAY AUTOMATA

# PATTERN MYHILL-NEUBOL PROOF.

IDENTIFY A SET OF STRINGS IN  $\Sigma^*$  S.T.  $AS^* \subseteq$

$|S|$  IS INFINITE

AND FOR ALL  $x, y \in S$   $x \neq y$

~~$x R_L y$~~

SO NO TWO STRINGS ARE IN THE SAME  
EQUIVALENCE CLASS

~~THEREFORE THERE MUST BE A FINITE  
NUMBER OF EQUIVALENCE CLASSES.~~

$|\{[x]_{R_L} \mid x \in S\}|$  IS INFINITE

AND SINCE  ~~$\{[x]_{R_L} \mid x \in S\} \subseteq \{[x]_{R_L} \mid x \in L\}$~~   
THE INDEX OF  $R_L$  IS INFINITE.



EXAMPLE

$$L = \{w \mid w \text{ IS A PALINDROME}\}$$

~~ERRORS  
IN  
THIS?~~

YES

TAKE  $x, y \in \Sigma^*$  AND  $x \neq y$

NOTE  $x \neq y \Rightarrow x^R \neq y^R$

~~COUNTER EXAMPLE~~

~~DISTINGUISHING~~

counter example.

$$x = 1111$$

$$y = 11$$

$$xx^R = 11111111 \in L$$

$$yy^R = 1111 \in L$$

$$xx^R \in L$$

$$yy^R \in L$$

$$x^R = y^R \Rightarrow x = y \text{ CONTRADICTION.}$$

INFINITE # OF SUCH  $x, y \Rightarrow$  INDEX INFINITE.

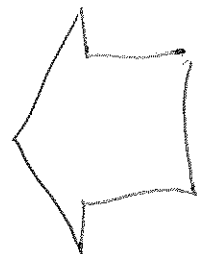
CORRECTION LET  $\Sigma = \{0, 1\}$   
LET  $x \neq y$  BE OF FORM

101  
101001  
1010010001

THIS WORKS

ALSO

01  
001  
0001  
...



$$0^j 1 0^j \in L$$

$$0^k 1 0^j \notin L \text{ if } j \neq k$$

INFINITE # OF  $0^j 1$

ALL PAIRWISE NOT EQUIVALENT.

$\therefore$  INFINITE # EQUIV. CLASSES

$\therefore$  L NOT REGULAR.

EXAMPLE

$$L = \{ 1^{m!} \mid m \geq 1 \}$$

TAKE  $z', j'$  WITH  $z' \neq j'$

CONSIDER  $1^{z'!}$  AND  $1^{j'!}$

CLAIM  $1^{z'!} \not\sim_L 1^{j'!}$

PROOF

$$\begin{array}{c} 1^{z'!} \\ 1^{j'!} \end{array} \begin{array}{c} 1^{z'+1} \\ 1^{z'+1} \end{array} = 1^{(z'+1)!} \notin L$$

NOT TRUE!  
BAD ALGEBRA.  
SEE NEXT PAGE  
FOR GOOD PROOF.

$$\in L \quad j'! + z' + 1$$

BECAUSE  $j'! (z'+1)$   
CAN NOT BE A FACTORIAL  
IF IS NOT EQUAL TO  $j'!$

SO  $\{ 1^{z'!} \mid z' \geq 1 \}$  IS INFINITE SET ALL  
OF WHICH ARE PAIR WISE NON EQUIVALENT.  
SO INDEX IS INFINITE.

$$\begin{array}{c} 1^{z'!} (z'+1)! \\ 1^{j'!} (z'+1)! \\ 1^{z'!} + (z'+1)! \\ 1^{j'!} + (z'+1)! \\ 1^{z'!} (z'+1)! + 1^{j'!} (z'+1)! \\ 1^{z'!} (z'+1)! + 1^{j'!} (z'+1)! \\ 1^{z'!} (z'+1)! + 1^{j'!} (z'+1)! \\ 1^{z'!} (z'+1)! + 1^{j'!} (z'+1)! \end{array}$$

$$i < j$$

$$\frac{j!}{i!} \cdot \frac{i!}{i!} = \frac{(1+i) i!}{i!} = \frac{(i+1)!}{i!} \in L$$

$$\frac{j!}{i!} \cdot \frac{i!}{i!} =$$

$$\frac{j!}{i!} \cdot \frac{i!}{i!} = \frac{(\frac{j!}{i!} + i) i!}{i!}$$

✗ L  
proof

~~$$\frac{j!}{i!} \cdot \frac{i!}{i!} = \frac{(\frac{j!}{i!} + i) i!}{i!}$$~~

CAN THIS BE A FACTORIAL NUMBER

$$q_i = \left( \frac{j!}{i!} + i \right) i!$$

$$q_i \cdot (i-1) \dots (i+1) = \frac{j!}{i!} + i$$

DIV BY  $i!$

$$= i(i-1) \dots (i+1) + i$$

LEFT SIDE  
Remainder  
of 0  
when divided by  $i+1$

CAN'T BE BECAUSE

RIGHT SIDE  
leave remainder of  $i$   
when divided by  $i+1$

PROB 1.54 page 91. EXAMPLE M-N WORKS PUMP LEMMA DOESN'T.

$$L = \{ a^i b^j c^k \mid i, j, k \geq 0 \quad i=1 \Rightarrow j=k \}$$

(aka F)

if  $i=0$  (no  $a$ 's)  
 any string  $|S| \geq 1$  can be pumped.  
 just make  $y$  either  $b$  or  $c$ .  
first letter

if  $i=1$

$$|S| \geq 1$$

$$y = a$$

if  $i > 2$   $\begin{cases} i=2 \\ y=aa \end{cases}$   $aa b \dots c \dots$

$$|S| \geq 1$$

$y = \text{first } a$

~~$a b^j c^j \in L$~~   
 ~~$a b^{j'} c^{j'} \notin L$~~

$a b^{j'} c^j \in L$

$a b^k c^{j'} \notin L$

FOR ALL  $j' \neq k$

BECAUSE  
 $i \neq k$

$$A = \{ a b^i \mid i \geq 1 \}$$

$$L = \{ ww \mid w \in \Sigma^{\neq} \}$$

$$S = \{ 0^i 1 \mid i \geq 1 \}$$

$$0^i 1 0^{i'} \in L$$

$$0^i 1 0^i \notin L \text{ for } i \neq i'$$

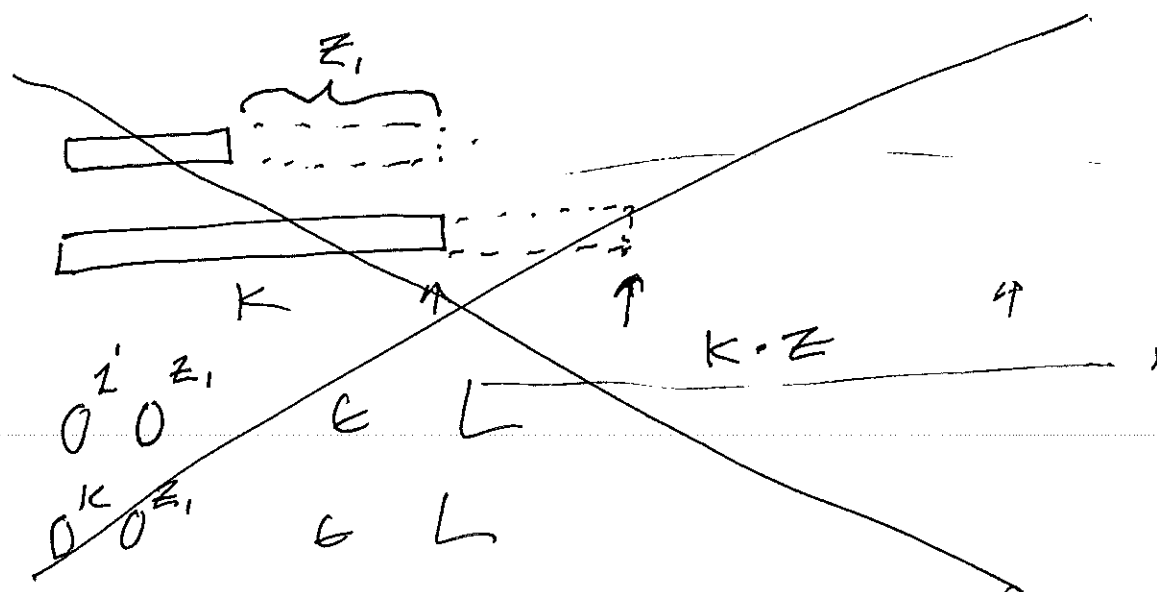
$$L = \{ 0^m \mid m \text{ is a prime number} \}$$

$$\{ 0^{z'} \mid z' \text{ is prime} \} \text{ infinite}$$

TAKE ANY TWO DIFFERENT ONES  
TOWARD CONTRADICTION ASSUME THEY ARE EQUIVALENT

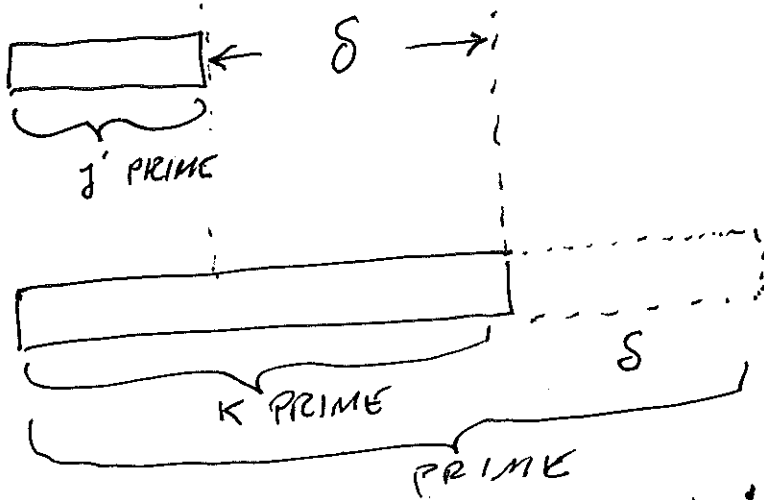
$$\begin{matrix} 0^{z'} \\ 0^k \end{matrix}$$

$$k > z'$$



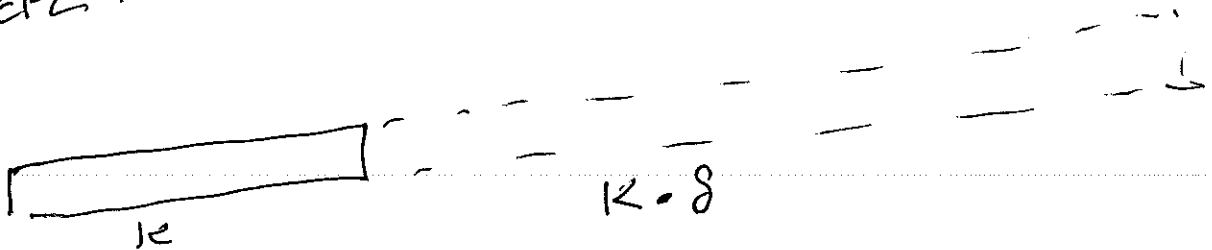
SEE NEXT PAGE

$$L = \{0^p \mid p \text{ is a prime number}\}$$



$0^j 0^s$  is PRIME BECAUSE  $K$  IS GIVEN PRIME  
 BECAUSE OF ASSUMPTION  
 $\Rightarrow 0^K 0^s$  IS PRIME ~~OF  $R_L 0^K$~~

REPEAT SAME ARGUMENT  $K$  TIMES



$K(1+s)$  IS PRIME  
 CONTRADICTION!



# EXAMPLE

$$L = \{ w \in \{0,1\}^* \mid w \text{ HAS EVEN \# OF 0s AND EVEN \# OF 1s} \}$$

4 EQ. CLASSES FOR  $R_L$

$$[\epsilon]_{R_L} = \{ w \mid \text{EVEN \# OF 0s AND EVEN \# OF 1s} \}$$

$$[0]_{R_L} = \{ w \mid \text{ODD \# OF 0s AND EVEN \# OF 1s} \}$$

$$[1]_{R_L} = \{ w \mid \text{EVEN \# OF 0s AND ODD \# OF 1s} \}$$

$$[01]_{R_L} = \{ w \mid \text{ODD \# OF 0s AND ODD \# OF 1s} \}$$

