

# FALL 2018 HOMOTOPY THEORY SEMINAR

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## 1. OVERVIEW: 9/5/18

This short overview was given by Richard.

In the beginning, there were homotopy groups  $\pi_n(X) := [S^n, X]$ . Homotopy theory begins with the study of these groups, which are hard to calculate. Even the homotopy groups of the spheres,  $\pi_k(S^n)$ , are complicated. However, there are patterns.

**Theorem 1.1** (Freudenthal suspension theorem). *For  $n \geq k + 2$ ,  $\pi_{k+n}(S^n) \cong \pi_{k+n+1}(S^{n+1})$ .*

The first few of these stable homotopy groups are  $\pi_n(S^n) = \mathbb{Z}$ ,  $\pi_{n+1}(S^n) = \mathbb{Z}/2$ ,  $\pi_{n+2}(S^n) = \mathbb{Z}/2$ ,  $\pi_{n+3}(S^n) = \mathbb{Z}/24$ ,  $\pi_{n+4}(S^n) = \pi_{n+5}(S^n) = 0$ ,  $\pi_{n+6}(S^n) = \mathbb{Z}/2$ , and  $\pi_{n+7}(S^n) = \mathbb{Z}/120$ .

You can encode all of this stability data in one place using spectra. There's an object  $\mathbb{S}$  called the *sphere spectrum* built in a precise way from spheres, and the homotopy groups of  $\mathbb{S}$  are the stable homotopy groups of the spheres.

These stable homotopy groups are very hard to calculate. However, we can work locally (at primes), which simplifies the problem a little bit.

**Theorem 1.2** (Fracture square). *Let  $X$  be a space,  $X_{\mathbb{Q}}$  be its rationalization, and for  $p$  a prime let  $X_p$  denote the  $p$ -completion of  $X$ . Then the following square is a homotopy pullback:*

$$\begin{array}{ccc} X & \longrightarrow & X_{\mathbb{Q}} \\ \downarrow & & \downarrow \\ \prod_{p \text{ prime}} X_p & \longrightarrow & \left( \prod_{p \text{ prime}} X_p \right)_{\mathbb{Q}} \end{array}$$

Here  $\pi_*(X_p) = \pi_*(X) \otimes \mathbb{Z}_p$  and  $\pi_*(X_{\mathbb{Q}}) \cong \pi_*(X) \otimes \mathbb{Q}$ . The upshot of Theorem 1.2 is that these groups determine the original homotopy groups of  $X$ .

The rational homotopy groups of spheres are known, due to an old theorem of Serre. Over  $p$ , there are other techniques, such as the Adams and Adams-Novikov spectral sequences. The Adams-Novikov spectral sequences uses a filtration on  $X_p$  to produce a spectral sequence with  $E_2$ -term

$$(1.3) \quad E_2^{*,*} = \text{Ext}_{BP_*BP}(BP_*, BP_*(X)),$$

and converging to  $\pi_*(X)_{(p)}$  ( $p$ -local, not  $p$ -complete!). Here  $BP$  is a spectrum, but you don't actually need to know much about it (yet):  $BP_*$  is some algebra, and  $BP_*BP$  is a Hopf algebra, and they can be described explicitly. We'll learn more about this spectral sequence in time.

If you look at a picture of the  $E_{\infty}$ -page of the Adams-Novikov spectral sequence for any  $p$  (maybe just  $p$  odd for now), there are strong patterns: a pattern along the bottom, which is the  $\alpha$ -family (said to be  $v_1$ -periodic),

and some periodic things along the diagonal (said to be  $v_2$ -periodic), containing the  $\beta$ -family. Both of these are families in the homotopy groups of spheres, providing structure in the complicated story — we don't know the stable homotopy groups of spheres past about 60, so producing families is very helpful for our understanding! In a similar way, one can find  $v_3$ -periodic elements, including something called the  $\gamma$ -family, and so forth.

Of course, there's a lot of work to do even from here: how do we get here from the  $E_2$ -page? Do the extension problems go away, giving us actual elements of the stable stem? For the  $\alpha$ -,  $\beta$ -, and  $\gamma$ -families, these are known, and there are even geometric interpretations for small  $n$  (up to 3 or 4) and large  $p$  (usually something like  $p > 5$  or  $p > 7$ ). Specifically, if  $V(0)$  denotes cofiber of the multiplication-by- $p$  map  $\mathbb{S} \rightarrow \mathbb{S}$ , the  $\alpha$ -family comes from self-maps  $\Sigma^k V(0) \rightarrow V(0)$ , together with the maps to and from  $\Sigma^k \mathbb{S}$  coming from the cofiber sequence. There are less explicit complexes  $V(1)$  and  $V(2)$  which give you the  $\beta$ - and  $\gamma$ -families, and there is a similar story.

## 2. INTRODUCTION TO SPECTRA: 9/12/18

I unfortunately missed Rok's talk, but he gave the last 10 minutes as the first 10 minutes of the second week, so here it is.

Recall that a spectrum  $X$  is a sequence of pointed spaces  $\{X_n\}_{n \in \mathbb{Z}}$  together with weak equivalences  $X_n \simeq \Omega X_{n+1}$ . There's a functor  $\Sigma^\infty$  from spaces to spectra which turns several topological concepts into algebraic ones that make  $\text{Sp}$  behave like the derived category  $\mathcal{D}(R)$  of  $R$ -modules for  $R$  a commutative ring. Here's a dictionary:

- $\Sigma^\infty \text{pt}$  is the *zero spectrum*, which corresponds to the *zero complex* of  $R$ -modules (zero in every degree).
- $\Sigma^\infty S^0$ , denoted  $\mathbb{S}$ , is the *sphere spectrum*, which corresponds to  $R$  as an  $R$ -module.
- Suspension of spaces is sent to suspension of spectra, which corresponds to the shift functor  $[1]$  of a derived category.
- The (based) loop space functor  $\Omega$  maps to *desuspension* of spectra, which corresponds to the shift functor  $[-1]$  in the derived category.
- Wedge sum of spaces turns into wedge sum of spectra, which can be thought of as a direct sum, and corresponds to the direct sum of complexes of  $R$ -modules.
- Smash product of spaces turns into smash product of spectra, which is their tensor product, and corresponds to the derived tensor product  ${}^L\otimes_R$  of complexes.
- Stable homotopy groups of spaces map to homotopy groups of spectra, which behave like cohomology groups in the derived category.

There's a homotopical reason to believe this analogy between spectra and the derived category: the Eilenberg-Mac Lane functor  $H: \text{Ab} \rightarrow \text{Sp}$  induces an equivalence between the (homotopy or  $(\infty, 1)$ ) categories  $\text{Mod}_{HR}$  of  $R$ -module spectra and  $\mathcal{D}(R)$  which sends smash product over  $HR$  to the derived tensor product over  $R$ .

The sphere spectrum is the unit for the smash product, so we can think of spectra as the category of  $\mathbb{S}$ -modules, which is a very useful, and sometimes literally, analogy.

Spectra define cohomology theories: if  $E$  is a spectrum and  $X$  is a space (non-pointed), then the associated cohomology theory is defined by  $E^i(X) := [X, \Sigma^i E]$ .

## 3. SPECTRAL SEQUENCES: 9/17/18

Here's Ricky's talk on spectral sequences, followed (TODO) by notes from Arun's part of the talk.

Let  $C = \bigoplus_{n=0}^\infty C^n$  be a graded  $R$ -module and assume it has a decreasing filtration by chain maps

$$(3.1) \quad C \supseteq \cdots \supseteq F^p C \supseteq F^{p+1} C \supseteq \cdots,$$

meaning that  $d$  carries  $F^p C^{p+q}$  into  $F^p C^{p+q+1}$ . (Upper indices typically correspond to decreasing filtrations.) Let's assume for now that

- $R = k$  is a field, and
- for each  $n$ ,  $F^\bullet C^n$  is finite.

Then there's a filtration on cohomology, where

$$(3.2) \quad F^p H^*(C) := \text{Im}(H^*(F^p C \hookrightarrow C)) = \pi(\underbrace{F^p C^{p+q} \cap \ker(d)}_{Z_\infty^{p,q}}),$$

where  $\pi: \ker(d) \rightarrow \ker(d)/\text{Im}(d) = H^{p+q}(C)$  is the quotient map. Because

$$(3.3) \quad F^p H(C)/F^{p+1} H(C) = \pi(Z_\infty^{p,q})/\pi(Z_\infty^{p+1,q-1}) = Z_\infty^{p,q}/(Z_\infty^{p+1,q-1} + B_\infty^{p,q}),$$

where  $B_\infty^{p,q} := F^p C^{p+q} \cap \text{Im}(d)$ .

Let  $E_0^{p,q} := F^p C^{p+q} / F^{p+1} C^{p+q}$ ; then, the differentials induce maps  $E_0^{p,q-1} \rightarrow E_0^{p,q} \rightarrow E_0^{p,q+1}$ , and they satisfy  $d_0^2 = 0$  because we originally had  $d^2 = 0$ . Then

$$(3.4) \quad \frac{\ker(d_0)}{\text{Im}(d_0)} = \frac{F^p C^{p+q} \cap d^{-1}(F^{p+1} C^{p+q+1})}{\underbrace{F^p C^{p+q} \cap d(F^{p+1} C^{p+q-1})}_{B_0^{p,q}} + \underbrace{F^{p+1} C^{p+q}}_{Z_0^{p,q-1}}} = \frac{Z_1^{p,q}}{B_0^{p,q} + Z_0^{p,q-1}}.$$

Define

$$(3.5) \quad \begin{aligned} Z_r^{p,q} &:= F^p C^{p+q} \cap d^{-1}(F^{p+r} C^{p+q+1}) \\ B_r^{p,q} &:= F^p C^{p+q} \cap d(F^{p-r} C^{p+q-1}) \\ E_r^{p,q} &:= Z_r^{p,q} / (Z_{r-1}^{p,q-1} + B_{r-1}^{p,q}). \end{aligned}$$

The key claim is that

$$(3.6) \quad H^*(E_r^{p,q}, d_r) = E_{r+1}^{p,q}.$$

A spectral sequence is, roughly speaking, something which behaves like this.

**Definition 3.7.** A (cohomologically graded) spectral sequence is a collection  $\{E_r^{\bullet,\bullet}, d_r\}$  of differentially bigraded modules such that  $d_r$  has bidegree  $(r, 1-r)$  and such that  $E_{r+1}^{p,q} = H^*(E_r^{p,q}, d_r)$ . If  $E_r^{p,q}$  is constant in  $r$  when  $p$  and  $q$  are fixed after some finite number of pages  $r$ , then we also call it  $E_\infty^{p,q}$ .

The spectral sequence converges to  $(H^*, F)$ , a filtered graded  $R$ -module, if  $E_\infty^{p,q}$  is the associated graded of  $H^*$ . This implies  $H^r$  is a direct sum of  $E_\infty^{p,q}$  over all  $p+q=r$ .<sup>1</sup>

Sometimes spectral sequences have more structure given by multiplication. In this case, we want each  $E_r^{\bullet,\bullet}$  to be a differential bigraded  $R$ -algebra, meaning it has a multiplication map which is additive on bidegrees of homogeneous elements, and that the differential obeys a graded Leibniz rule with respect to total grading:

$$(3.8) \quad d(xy) = d(x)y + (-1)^{|x|} x d(y).$$

Suppose we took the spectral sequence of a filtered  $R$ -module above, but it's also an  $R$ -algebra. Unfortunately, the higher pages in the spectral sequence aren't  $R$ -algebras without some work (TODOI missed this).

**The Serre spectral sequence.** Here's Arun's example with the Serre spectral sequence.<sup>2</sup>

**Definition 3.9.** A (Serre) fibration  $f : E \rightarrow X$  of topological spaces is a map such that if  $\Delta^n$  denotes the  $n$ -simplex and one has commuting maps

$$\begin{array}{ccc} \Delta^n \times \{0\} & \longrightarrow & E \\ \downarrow & & \downarrow f \\ \Delta^n \times [0, 1] & \longrightarrow & X, \end{array}$$

there exists a map  $G : \Delta^n \times [0, 1] \rightarrow E$  that commutes with the maps in the diagram.

We always take  $X$  to be path-connected, in which case  $f^{-1}(x) \simeq f^{-1}(x')$  for all  $x, x' \in X$ . This preimage is called the *fiber* of  $f$ , and is often denoted  $F$ ; the triple  $F \rightarrow E \rightarrow X$  is called a *fiber sequence*. We will also assume  $X$  is simply connected, which will allow us to obtain stronger results.

**Example 3.10.** Let  $M$  be a manifold of dimension  $n$ . Then,  $TM \rightarrow M$  is a fibration, and the fiber is  $\mathbb{R}^n$ . ◀

**Theorem 3.11 (Serre).** Fix a coefficient ring  $R$ ; let  $f : E \rightarrow X$  be a fibration and  $F$  be its fiber. Then, there exists a multiplicative spectral sequence, called the Serre spectral sequence

$$E_2^{p,q} = H^p(X; H^q(F; R)) \implies H^{p+q}(E; R).$$

*Proof sketch.* Let  $\{X_i\}$  be the CW filtration of  $X$ , and let  $E_i := f^{-1}(X_i)$ , which induces an exhaustive filtration  $\{E_i\}$  of  $E$ . Applying  $H^q(-; R)$  defines a spectral sequence by the formalism from the first lecture. The multiplicative structure comes from the cup product on  $X$ . ◻

<sup>1</sup>If  $R$  isn't a field, then it might instead be an extension that doesn't split.

<sup>2</sup>I learned this example from Ernie Fontes, and this presentation is adapted from his presentation of this example.

*Remark 3.12.* Let  $A$  be a multiplicative generalized cohomology theory (e.g.  $K$ -theory). Then, we could have applied  $A$  instead of  $H^q(-; R)$  and obtained a multiplicative spectral sequence

$$E_2^{p,q} = H^p(X; A^q(F)) \implies A^{p+q}(E).$$

Letting  $A = H^*(-, R)$ , we recover the Serre spectral sequence, and letting  $E \rightarrow X$  be the identity map  $X \rightarrow X$ , which is a fibration, we recover the Atiyah-Hirzebruch spectral sequence. For this reason this spectral sequence is sometimes called the *Serre-Atiyah-Hirzebruch spectral sequence*.  $\blacktriangleleft$

**Example 3.13.** Let  $PX := \text{Top}_*(I, X)$  denote the *path space*, i.e. the maps from the unit interval to  $X$ . Evaluation at 0 defines a map  $ev: PX \rightarrow X$ . The path space is made of spaghetti, hence is contractible: shrink each path from time 1 to time  $t$ , and let  $t \rightarrow 0$ .

$ev: PX \rightarrow X$  is a fibration, and the fiber is  $\Omega X$ , the space of (based) loops in  $X$  (i.e. based maps  $S^1 \rightarrow X$ ). Recall that a fiber sequence induces a long exact sequence in homotopy groups:

$$(3.14) \quad \cdots \longrightarrow \pi_n(F) \longrightarrow \pi_n(E) \longrightarrow \pi_n(X) \longrightarrow \pi_{n-1}(F) \longrightarrow \cdots$$

Since  $\pi_n(PX) = 0$ , this implies  $\pi_n(X) \cong \pi_{n-1}(\Omega X)$ .

Let's apply the Serre spectral sequence to this fibration in the case where  $R = \mathbb{Q}$  and  $X = S^3$ . The Serre spectral sequence takes the form

$$E_2^{p,q} = H^p(S^3; H^q(\Omega S^3; \mathbb{Q})) \implies H^{p+q}(PS^3; \mathbb{Q}).$$

We know the  $E_\infty$  page already: it's 0 unless  $p + q = 0$ , in which case it's  $\mathbb{Q}$ . So we're going to reverse-engineer the spectral sequence, to use the  $E_\infty$  page to compute the  $E_2$  page.

We also know  $H^*(S^3; \mathbb{Q}) = E_{\mathbb{Q}}(X)$ , where  $|x| = 3$ , an exterior algebra in one variable. This is also isomorphic to  $\mathbb{Q}[x]/x^2$ , so has a  $\mathbb{Q}$  in degrees 0 and 3, and is 0 elsewhere.

We know  $H^0(\Omega S^3; \mathbb{Q}) = \mathbb{Q}$ , so the  $E_2$  page looks like

3	?			?
2	?			?
1	?			?
0	1			$x$
	0	1	2	3

with the missing entries equal to 0.

We know that the  $(3, 0)$  term has to vanish by the  $E_\infty$  page, so it either *supports a differential* (has a nonzero differential mapping out of it) or *receives a differential* (has a nonzero differential mapping into it). Since this is a first-quadrant spectral sequence, all differentials out of  $x$  hit 0, so it has to receive a differential. But on the  $E_2$  page, this differential comes from the 0 in position  $(1, 1)$ , so it's zero, and any differentials in page 4 or above mapping into  $x$  come from the fourth quadrant, so there has to be a nonzero differential on the  $E_3$  page mapping into  $x$ , so there's some  $y \in E_2^{0,2}$ , which generates a copy of  $\mathbb{Q}$ , such that  $d_3 y = x$ . There can't be more than one generator in  $E_2^{0,2}$ , because then either it would survive to the  $E_\infty$  page (which can't happen), or it gets killed, meaning the difference of it and  $y$  is not killed by  $d_3$  and hence survives. Oops. Thus,  $E_2^{0,2} = H^2(\Omega S^3; \mathbb{Q}) \cong \mathbb{Q}$ .

Hence we know  $E_2^{3,2} = H^3(S^3; \mathbb{Q})$  as well, and the spectral sequence looks like

$$\begin{array}{c|cccc}
 & & & & \mathbb{Q} \\
 2 & y & & & \\
 1 & ? & & & ? \\
 0 & 1 & & & x. \\
 \hline
 & 0 & 1 & 2 & 3
 \end{array}$$

$d_3$

We can also immediately determine  $E_2^{\bullet,2}$ : looking at  $E_2^{0,2}$ , there are no differentials that hit something nonzero, or map from something nonzero to it. So anything in it survives to the  $E_\infty$  page, and hence it must be zero. Thus  $H^1(\Omega S^3; \mathbb{Q}) = 0$  and hence  $E_2^{1,3} = 0$  too.

The multiplicative structure tells us that the generator of  $E_2^{3,2}$  must be  $y \cdot x$ . Thus, the spectral sequence looks like

$$\begin{array}{c|cccc}
 & & & & yx \\
 2 & y & & & \\
 1 & & & & \\
 0 & 1 & & & x. \\
 \hline
 & 0 & 1 & 2 & 3
 \end{array}$$

$d_3$

But now  $yx$  has to die, and the only way that can happen is if it's hit by  $d_3$  of the  $E_2^{0,4}$  term, which turns out to be  $y^2$ . This is because  $d_3 y = x$ , so

$$d_3(y^2) = d_3(y)y + (-1)^2 y d_3(y) = 2xy.$$

Thus  $d_3$  is multiplication by 2. Hence the spectral sequence looks like

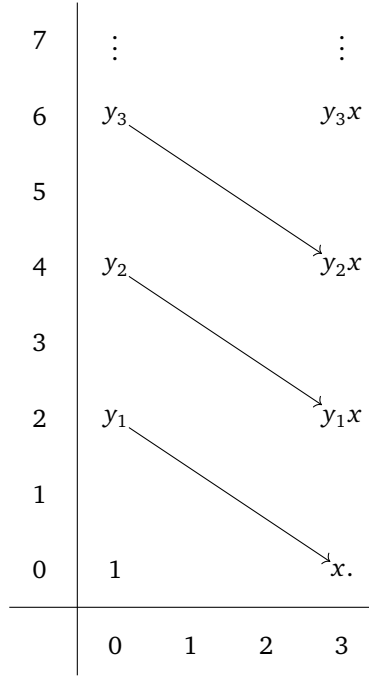
$$\begin{array}{c|cccc}
 & & & & y^2x \\
 4 & y^2 & & & \\
 3 & & & & \\
 2 & y & & & yx \\
 1 & & & & \\
 0 & 1 & & & x. \\
 \hline
 & 0 & 1 & 2 & 3
 \end{array}$$

$\cdot 2$   $\cdot 1$

But now we need  $y^2x$  to vanish, and it's hit by  $y^3 \in E_2^{0,6}$  via  $d_3$ , which is multiplication by 3, and so on. Inductively we can conclude that

$$H^*(\Omega S^3; \mathbb{Q}) \cong \begin{cases} \mathbb{Q}, & n \text{ even} \\ 0, & n \text{ odd.} \end{cases}$$

Much of this argument, but not all of it, works with  $\mathbb{Q}$  replaced by  $\mathbb{Z}$ . The difference is that multiplication by 2, 3, etc. is not an isomorphism, so we have a sequence of generators  $y_1, y_2, \dots$ :



Now we have to figure out the multiplicative structure. We know  $y_1^2 = c_1 y_2$  for some  $c_1 \in \mathbb{Z}$ , so since  $d_3$  is an isomorphism, let's compute: we know  $d_3(y_2) = y_1 x$  by construction, and  $d_3(y_1^2) = 2y_1 x$  for the same reason as over  $\mathbb{Q}$ , so  $y_1^2 = 2y_2$ .

A similar calculation in general shows that  $y_1^n = n! y_n$ , as

$$\begin{aligned} d_3(y_1^n) &= d_3(y_1 y_1^{n-1}) = d_3(y_1) y_1^{n-1} + y_1 (n-1)! d(y_{n-1}) \\ &= x y_1^{n-1} + y_1 (n-1)! x y_{n-2} \\ &= x (n-1)! y_{n-1} + (n-1) y_{n-1} x (n-1)! \\ &= n! x y_{n-1}, \end{aligned}$$

but  $d_3(n! y_n) = n! x y_{n-1}$ . Hence the ring structure on  $H^*(\Omega S^3)$  is a divided power algebra.

**Definition 3.15.** A divided power algebra on a single generator  $x$  in degree  $k$ , denoted  $\Gamma(x)$ , is the free algebra generated by  $\{x_i\}_{i \geq 1}$  where  $|x_i| = ki$ , subject to the relations

$$x_i x_{i+j} = \binom{i+j}{j} x_{i+j} \quad \text{and} \quad x_i = \frac{x^i}{i!}.$$

Thus  $H^*(\Omega S^3) \cong \Gamma(y)$  with  $|y| = 2$ . ◀

#### 4. FIRST STEPS WITH THE ADAMS SPECTRAL SEQUENCE: 9/24/18

Today's talk was given by Riccardo and Alberto.

Fix  $R$  a commutative ring and  $M$  an  $R$ -module.

**Definition 4.1.** A left exact functor  $F: \text{Mod}_R \rightarrow \text{Ab}$  is a functor which sends a short exact sequence

$$(4.2) \quad 0 \longrightarrow A \longrightarrow B \longrightarrow C \longrightarrow 0$$

to an exact sequence

$$(4.3) \quad 0 \longrightarrow F(A) \longrightarrow F(B) \longrightarrow F(C),$$

which may not necessarily complete to an exact sequence.

The easiest example of a left exact functor which isn't exact is  $\text{Hom}_R(-, M)$  for certain choices of  $M$ .

**Lemma 4.4.** *With  $R$  and  $M$  as above,  $\text{Hom}_R(-, M)$  is exact iff  $M$  is projective.*

So if we'd like to understand what happens when we hit exact sequences with  $\text{Hom}_R(-, M)$  for  $M$  not projective, it would be good to approximate  $M$  by projectives.

**Definition 4.5.** A *projective resolution* of  $M$  is an exact sequence

$$\cdots \longrightarrow P_j \longrightarrow \cdots \longrightarrow P_1 \longrightarrow P_0 \longrightarrow M \longrightarrow 0,$$

written  $P_\bullet \rightarrow M$ , such that each  $P_j$  is projective.

**Lemma 4.6** (Fundamental lemma of homological algebra). *Any two projective resolutions of  $M$  are chain homotopy equivalent.*

This makes the following definition independent of  $P_\bullet \rightarrow M$ .

**Definition 4.7.** Let  $N$  be another  $R$ -module. The  $i^{\text{th}}$  *Ext group* is  $\text{Ext}_R^i(M, N) := H^i(\text{Hom}(P_\bullet, N))$ , where  $P_\bullet \rightarrow M$  is a projective resolution.

**Theorem 4.8.** *Let  $R$ ,  $M$ , and  $N$  be as above.*

- (1)  $\text{Ext}_R^0(M, N) = \text{Hom}_R(M, N)$ .
- (2) A short exact sequence  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  of  $R$ -modules induces a long exact sequence

$$(4.9) \quad 0 \longrightarrow \text{Hom}_R(M, A) \longrightarrow \text{Hom}_R(M, B) \longrightarrow \text{Hom}_R(M, C) \xrightarrow{\delta} \text{Ext}_R^1(M, A) \longrightarrow \cdots$$

with natural maps  $\text{Ext}_R^i(M, C) \rightarrow \text{Ext}_R^{i+1}(M, A)$ .

Now we assume  $R$  is a graded ring and  $M$  is a graded  $R$ -module. We will use  $\Sigma^r$  to denote shift by  $R$ , i.e.  $\Sigma^r M$  is the graded  $R$ -module with  $(\Sigma^r M)^t := M^{t-r}$ .

**Example 4.10.** In this setting  $\text{Hom}_R(M, N)$  is also a graded object, with  $\text{Hom}_R^i(M, N) := \text{Hom}_R(M, \Sigma^i N)$  (the latter are degree-preserving maps). ◀

This implies  $\text{Ext}$  is bigraded:  $\text{Ext}_R^{r,s}(M, N) := \text{Ext}_R^r(M, \Sigma^s N)$ . There's a pairing called the Yoneda product on  $\text{Ext}$  groups, which has signature

$$(4.11) \quad \text{Ext}_R^{s,t}(M, N) \otimes \text{Ext}_R^{s',t'}(L, N) \longrightarrow \text{Ext}_R^{s+s',t+t'}(L, N).$$

The Adams spectral sequence involves bigraded  $\text{Ext}$  for a specific choice of  $R$ , so let's turn to that choice of  $R$ .

**Definition 4.12.** A *cohomology operation of degree  $k$* <sup>3</sup> is a natural transformation  $\gamma: H^*(-; \mathbb{F}_2) \rightarrow H^{*+k}(-; \mathbb{F}_2)$ . If it commutes with the suspension isomorphism, we say  $\gamma$  is *stable*.

**Definition 4.13.** The *Steenrod algebra*  $\mathcal{A}$  is the graded, noncommutative, infinitely generated  $\mathbb{F}_2$ -algebra of stable cohomology operations: in degree  $k$  it is the degree- $k$  stable cohomology operations.

Since  $H^n(-; \mathbb{F}_2) \cong [-, K(\mathbb{F}_2, n)]$ , and these Eilenberg-Mac Lane spaces are the constituents in the Eilenberg-Mac Lane spectrum  $H\mathbb{F}_2$ , then essentially by the Yoneda lemma,  $\mathcal{A} \cong H\mathbb{F}_2^*(H\mathbb{F}_2)$ . This implies no stable cohomology operations of negative degree exist (since  $H\mathbb{F}_2$  is connective).

**Theorem 4.14.** *For all  $k \geq 0$ , there is a stable cohomology operation  $\text{Sq}^k$  of degree  $k$  with the following properties:*

- $\text{Sq}^0 = \text{id}$  and  $\text{Sq}^1$  is the Bockstein, the natural transformation  $H^*(-; \mathbb{Z}/2) \rightarrow H^{*+1}(-; \mathbb{Z}/2)$  coming from the connecting morphism in the long exact sequence in cohomology induced from the short exact sequence  $0 \rightarrow \mathbb{Z}/2 \rightarrow \mathbb{Z}/4 \rightarrow \mathbb{Z}/2 \rightarrow 0$ .
- If  $x \in H^k(X; \mathbb{Z}/2)$ , then  $\text{Sq}^k(x) = x^2$ .
- If  $x \in H^i(X; \mathbb{Z}/2)$  and  $i < k$ , then  $\text{Sq}^k(x) = 0$ .
- (Cartan formula)

$$\text{Sq}^k(x \smile y) = \sum_{i+j=k} \text{Sq}^i(x) \text{Sq}^j(y).$$

*The Steenrod algebra is generated by these elements, and these properties characterize them.*

<sup>3</sup>In general one can consider other coefficient groups than  $\mathbb{F}_2$ .

In fact, these generators have redundancies:  $\mathcal{A}$  is generated by  $Sq^{2^i}$  for  $i \geq 0$ .

**Example 4.15.** We can use this to show the Hopf fibration  $\eta: S^3 \rightarrow S^2$  is nontrivial. This is the quotient of  $S^3$  by the  $U_1$ -action on it as the unit sphere in  $\mathbb{C}^2$ ; the quotient is  $\mathbb{CP}^1$ , also known as  $S^2$ . It suffices to know that the cofiber of  $\eta$ , which has the homology of  $S^3 \wedge S^2$ , isn't homotopic to  $S^3 \wedge S^2$ , and you can check this by showing its cohomology has a different  $\mathcal{A}$ -module structure.  $\blacktriangleleft$

This data all enters into a spectral sequence called the *Adams spectral sequence*. Fix spaces (or spectra)  $X$  and  $Y$ ; then, the spectral sequence has  $E_2$ -page

$$(4.16) \quad E_2^{s,t} = \text{Ext}_{\mathcal{A}}^{s,t}(H^*(Y), H^*(X)),$$

and which converges to  $[X, Y_{(2)}^\vee]_{t-s}$ . This means stable homotopy classes of maps between  $X$  and the 2-completion of  $Y$ . (There are analogues of this, and of the Steenrod algebra, over other primes.) This completion on groups gives you  $\varprojlim_n G/2^n$ , and does something similar for spaces.

If  $X = Y$ , the Yoneda product on  $\text{Ext}_{\mathcal{A}}^{s,t}$  induces a product on the  $E_2$ -page of the Adams spectral sequence.

Since  $\mathcal{A}$  isn't finitely generated, the Adams spectral sequence is complicated, but there's a clever simple application using connective  $ko$ -theory (a version of  $KO$ -theory with no nonzero negative homotopy groups). One can compute that

$$(4.17) \quad H^*(ko; \mathbb{Z}/2) \cong \mathcal{A} \otimes_{\mathcal{A}(1)} \mathbb{Z}/2,$$

where  $\mathcal{A}(1) = \langle Sq^0, Sq^1, Sq^2 \rangle$  inside  $\mathcal{A}$ . The change-of-rings formula for  $\text{Hom}$  induces a change-of-rings formula for  $\text{Ext}$ :

$$(4.18) \quad \text{Ext}_{\mathcal{A}}^{s,t}(\mathcal{A} \otimes_{\mathcal{A}(1)} \mathbb{Z}/2, \mathbb{Z}/2) \cong \text{Ext}_{\mathcal{A}(1)}^{s,t}(\mathbb{Z}/2, \mathbb{Z}/2).$$

This is much nicer:  $\mathcal{A}(1)$  is 8-dimensional, making all of the algebra simpler. Moreover, there's a traditional diagrammatic way to describe  $\mathcal{A}(1)$ -module structures, in which  $Sq^1$ -actions are given by straight lines and  $Sq^2$ -actions are given by curly lines. For example,  $\mathcal{A}(1)$  is drawn in Figure 1.

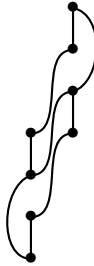


FIGURE 1. The algebra  $\mathcal{A}(1)$ : the vertical stratification is the degree, the straight lines are  $Sq^1$ , and the curly lines are  $Sq^2$ .

For example, we can draw a projective resolution of  $\mathbb{Z}/2$  as an  $\mathcal{A}(1)$ -module (on the board, but not really live-TeXable in time). If you work out a few terms, you'll see that there's a pattern of the kernel, so the terms in the resolution are always of the form  $\Sigma^{m_1} \mathcal{A}(1) \oplus \Sigma^{m_2} \mathcal{A}(1)$ . Since

$$(4.19) \quad \text{Hom}^s(\Sigma^r \mathcal{A}(1), \mathbb{Z}/2) = \begin{cases} \mathbb{Z}/2, & r = s \\ 0, & \text{otherwise,} \end{cases}$$

passing to the  $E_2$ -page is relatively simple once you have the resolution. Looking at a picture of the  $E_2$ -page, one sees infinitely many dots for  $t - s = 0$  or  $4$  (or  $8$ , etc.), one dot each in  $t - s = 1, 2$ , and  $9, 10$ , etc., and no places where there could be nontrivial differentials. Therefore, if you can resolve an extension problem you've proven Bott periodicity for  $ko$ -theory.



## 5. CONSTRUCTING THE ADAMS AND ADAMS-NOVIKOV SPECTRAL SEQUENCE: 10/1/18

Recall that we've seen two spectral sequences so far: the Serre spectral sequence, with signature

$$(5.1) \quad E_2^{p,q} = H^p(B; H^q(F)) \implies H^{p+q}(F),$$

and the Adams spectral sequence, with signature

$$(5.2) \quad E_2^{s,t} = \text{Ext}_{\mathcal{A}_*}^{s,t}(H^*(Y; \mathbb{F}_2), \mathbb{F}_2) \implies \pi_*(Y)_{(2)}^\wedge.$$

This converges when  $Y$  is connective and of finite type. The algebra  $\mathcal{A}$ , called the *Steenrod algebra*, is  $[H\mathbb{F}_2, H\mathbb{F}_2] = H\mathbb{F}_2^* H\mathbb{F}_2$ .

The Adams spectral sequence is pretty amazing, and it would be nice to generalize it. There are versions over other primes, using  $\mathcal{A}_p := H\mathbb{F}_p^* H\mathbb{F}_p$ , but these are also kind of messy. One idea is to dualize everything: let  $\mathcal{A}^\vee := \pi_*(H\mathbb{F}_p \wedge H\mathbb{F}_p) = H\mathbb{F}_{p*} H\mathbb{F}_p$ , and to use the homology of  $Y$  instead.

We built the Serre spectral sequence from the Postnikov tower for the total space, which is a “resolution” of the space by spaces in which we've killed off homotopy groups. Dual to that, there's an *Adams tower* which kills off cohomology: starting with  $Y$ , define spaces  $\cdots \rightarrow Y_2 \rightarrow Y_1 \rightarrow Y$  such that

- (1) the cofiber  $Ci_j$  of the map  $i_j: Y_{j+1} \rightarrow Y_j$  is a wedge of  $H\mathbb{F}_p$ s, and
- (2) such that the induced map on cohomology  $H^*(Ci_j) \rightarrow H^*(Y_j)$  is an epimorphism.

**Exercise 5.3.** In this setting, the sequence

$$(5.4) \quad 0 \longleftarrow H^*(Y) \longleftarrow H^*(Ci_0) \longleftarrow H^*(\Sigma Ci_1) \longleftarrow H^*(\Sigma^2 Ci_2) \longleftarrow \cdots$$

is a resolution of  $H^*(Y)$  as  $\mathcal{A}$ -modules.

**Proposition 5.5.** *Adams towers exist for all  $Y$ .*

*Proof.* Let  $\overline{H\mathbb{F}_p}$  be the fiber of the unit map  $\epsilon: \mathbb{S} \rightarrow H\mathbb{F}_p$ . Then we can let

$$(5.6) \quad \begin{aligned} Y_s &:= (\overline{H\mathbb{F}_p})^{\wedge s} \wedge Y_0 \\ Ci_s &:= H\mathbb{F}_p \wedge Y_s, \end{aligned}$$

and check that these satisfy the criteria. □

*Remark 5.7.* Via some cosimplicial nonsense,<sup>4</sup> Adams towers for  $Y$  are equivalent to cosimplicial resolutions of  $Y$ , which is a kind of Dold-Kan correspondence. The cosimplicial resolution corresponding to the Adams tower we described above is

$$(5.8) \quad \text{Tot}_n(CB^\bullet(H\mathbb{F}_p) \wedge Y),$$

where  $CB^\bullet(H\mathbb{F}_p)$  is a *cobar construction*:

$$(5.9) \quad H\mathbb{F}_p \rightrightarrows H\mathbb{F}_p \wedge H\mathbb{F}_p \rightrightarrows H\mathbb{F}_p \wedge H\mathbb{F}_p \wedge H\mathbb{F}_p \rightrightarrows \cdots$$

◀

Anyways, taking  $\pi_*$  of our cobar resolution, we get a resolution for  $H_*(Y)$ , which is a good first step for the generalized Adams spectral sequence.

We want to produce an  $E$ -based Adams spectral sequence, where  $E$  is a commutative ring spectrum, meaning we want resolutions, an  $E_2$ -term which uses  $\text{Ext}$ , and some nice convergence result.

The maps  $E \rightrightarrows E \wedge E$  (unit smash identity, identity smash unit) induce on homotopy groups left and right  $E_*$ -actions on  $E_*E = \pi_*(E \wedge E)$ . This is the first step in the sequence

$$(5.10) \quad E \rightrightarrows E \wedge E \rightrightarrows E \wedge E \wedge E \rightrightarrows \cdots,$$

or smashing with a space  $X$ ,

$$(5.11) \quad X \wedge E \rightrightarrows X \wedge E \wedge E \rightrightarrows X \wedge E \wedge E \wedge E \rightrightarrows \cdots.$$

Suppose that  $E_*E$  is a flat  $E_*$ -module. Then the map

$$(5.12) \quad \text{id} \wedge m \wedge \text{id}: (E \wedge E) \wedge_E (E \wedge X) \longrightarrow E \wedge E \wedge X$$

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<sup>4</sup>Not to be confused with simplicial cononsense.

induces on homotopy groups an isomorphism

$$(5.13) \quad E_*E \otimes_{E_*} E_*X \cong \pi_*(E \wedge E \wedge X),$$

and we don't have to take the derived tensor product! Therefore in this situation we can use the Künneth spectral sequence to compute the left-hand side: if  $M$  and  $N$  are  $R$ -modules,

$$(5.14) \quad E_2^{p,q} = \mathrm{Tor}^{R_*}(M_*, N_*) \implies \pi_*(M \otimes_R N).$$

This looks closer to what we want the generalized Adams spectral sequence to look like.

*Remark 5.15.* For  $E = H\mathbb{Z}$  or  $ku$ ,  $E_*E$  is not flat over  $E_*$ ; however, this does work for  $H\mathbb{F}_p$ ,  $MU$ , and  $BP$ . ◀

The pair  $(E_*, E_*E)$  is a *Hopf algebroid*: it has maps  $E_*E \rightarrow E_*$  and  $E_* \rightarrow E_*E$  together with a *comultiplication map*

$$(5.16) \quad \Delta : E_*E \longrightarrow E_*E \otimes_{E_*} E_*E.$$

If  $E$  is commutative it's even a commutative Hopf algebroid. There's a little more structure (e.g. an antipode).

**Definition 5.17.** An  $(E_*, E_*E)$ -comodule is a left  $E_*$ -module  $M$  together with an  $E_*$ -linear map  $M \rightarrow E_*E \otimes_{E_*} M$ .

The category  $\mathrm{Comod}_{E_*E}$  has a cotensor product, and is an abelian category, which allows us to make sense of things such as  $\mathrm{Ext}$ . This leads eventually to the *Adams-Novikov spectral sequence(s)*, a family of spectral sequences using this idea:

$$(5.18) \quad \mathrm{Ext}_{MU_*MU}^{*,*}(MU_*, MU_*(X)) \implies \pi_*(X),$$

or working at a prime  $p$ ,

$$(5.19) \quad \mathrm{Ext}_{BP_*, BP_*BP}^{*,*}(BP_*, BP_*(X)) \implies \pi_*(X)_{(p)}^\wedge.$$

The general  $E$ -based Adams spectral sequence for computing  $\pi_*(L_E Y)$  has nice convergence properties when

- (1)  $E$  and  $Y$  are both connective,
- (2)  $\pi_0 E \subset \mathbb{Q}$  or is  $\mathbb{Z}/n$ , and
- (3)  $E_*E$  is concentrated in even degrees.

Next week we'll discuss multiplicative structures.