

FALL 2017 GOODWILLIE CALCULUS SEMINAR

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These notes were taken in Andrew Blumberg’s student seminar in Fall 2017. I live- \TeX ed them using `vim`, and as such there may be typos; please send questions, comments, complaints, and corrections to a.debray@math.utexas.edu.

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1. INTRODUCTION: 9/13/17

Today, Nicky gave an overview of Goodwillie calculus, following Nick Kuhn’s notes.

The setting of Goodwillie calculus is to consider two topologically enriched,¹ based model categories \mathcal{C} and \mathcal{D} and a functor $F: \mathcal{C} \rightarrow \mathcal{D}$ between them.

Example 1.1.

- (1) \mathbf{Top} , the category of topological spaces.
- (2) \mathbf{Sp} , the category of spectra.
- (3) If Y is a topological space, we can also consider $Y \backslash \mathbf{Top} / Y$, the category of spaces over and under Y , i.e. the diagrams $Y \rightarrow X \rightarrow Y$ which compose to the identity. \blacktriangleleft

We want F to satisfy some kind of Mayer-Vietoris property, or excision. Hence, we assume \mathcal{C} and \mathcal{D} are *proper*, in that the pushout of a weak equivalence along a cofibration is also a weak equivalence. We’ll also ask that in \mathcal{D} , sequential colimits of homotopy Cartesian cubes are again homotopy Cartesian, and we’ll elaborate on what this means.

We also place a condition on F : Goodwillie calls it “continuous,” meaning that it’s an enriched functor: the induced map

$$\mathrm{Map}_{\mathcal{C}}(X, Y) \longrightarrow \mathrm{Map}_{\mathcal{D}}(F(X), F(Y))$$

is a continuous map between topological spaces (or a morphism of simplicial sets; for the rest of this section, we’ll let \mathbf{V} denote the choice of \mathbf{Top}_* or \mathbf{sSet}_* that we made). If $X \in \mathcal{C}$ and $K \in \mathbf{V}$, then we have a tensor-hom adjunction

$$\mathcal{C}(X \otimes K, Y) \cong \mathbf{V}(K, \mathcal{C}(X, Y)).$$

From this, F produces the *assembly map*

$$F(X) \otimes K \longrightarrow F(X \otimes K).$$

We’ll also require F to be weakly homotopical in that it sends homotopy equivalences to homotopy equivalences.

The idea of Goodwillie calculus is to approximate F by a tower of functors, akin to Postnikov truncations, $\cdots \rightarrow P_2 \rightarrow P_1 \rightarrow P_0$. The fiber D_i of P_i , akin to the i^{th} Postnikov section, is like the i^{th} term in a Taylor series:

$$\begin{aligned} P_0(X) &\simeq P_0(*) \\ D_1(X) &\simeq D_1(*) \otimes X \\ D_2(X) &\simeq (D_2(X) \otimes X \otimes X)_{h\Sigma_2}, \end{aligned}$$

¹As usual, we can take them to be enriched either over \mathbf{Top} or over \mathbf{sSet} . This has the important consequence that \mathcal{C} and \mathcal{D} are tensored and cotensored over \mathbf{Top}_* , resp. \mathbf{sSet}_* .

where Σ_2 acts by switching the two copies of X , and so on. Each P_i will satisfy more and more of the Mayer-Vietoris property. This is akin to the first three terms in a Taylor series for f : $f(a)$, $xf'(a)$, and $x^2f''(a)/2$.

Weak natural transformations. We'll also need to know what a weak equivalence of functors is. This would allow us to study the homotopy category of $\text{Fun}(\mathbf{C}, \mathbf{D})$.

Definition 1.2. A *weak natural transformation* $F \Rightarrow G: \mathbf{C} \rightarrow \mathbf{D}$ is one of the two zigzags

$$F \xleftarrow{\sim} H \longrightarrow G \quad \text{or} \quad F \longleftarrow H \xrightarrow{\sim} G,$$

where $F \xrightarrow{\sim} G$ means an objectwise weak equivalence.

Commutativity of a diagram of weak natural transformations is computed in $\text{ho}(\mathbf{D})$.² You can also form spectra in \mathbf{D} in the usual way (inverting suspension, etc).

Diagrams³. Let S be a finite set. We'll let $\mathcal{P}(S)$ denote its power set, made into a poset category under inclusion. Similarly, we'll let $\mathcal{P}_0(S) := \mathcal{P}(S) \setminus \{\emptyset\}$ and $\mathcal{P}_1(S) := \mathcal{P}(S) \setminus \{S\}$, again regarded as poset categories.

Definition 1.3.

- (1) A *d-cube* in \mathbf{C} is a functor $\chi: \mathcal{P}(S) \rightarrow \mathbf{C}$, where $|S| = d$.
- (2) A *d-cube* χ is *Cartesian* if

$$\chi(\emptyset) \xrightarrow{\sim} \text{holim}_{T \in \mathcal{P}_0(S)} \chi(T).$$

- (3) A *d-cube* χ is *co-Cartesian* if

$$\chi(S) \xrightarrow{\sim} \text{hocolim}_{T \in \mathcal{P}_1(S)} \chi(T).$$

- (4) A *d-cube* χ is *strongly co-Cartesian* if $\chi|_{\mathcal{P}(T)}: \mathcal{P}(T) \rightarrow \mathbf{C}$ is co-Cartesian for all $T \in \mathcal{P}(S)$ with $|T| \geq 2$.

Example 1.4.

- (1) If $d = 0$, a (Cartesian or co-Cartesian) 0-cube is something weakly equivalent to the initial object.
- (2) A (Cartesian or co-Cartesian) 1-cube is an equivalence.
- (3) A 2-cube is something of the form

$$\begin{array}{ccc} \text{fib}_f & \longrightarrow & \text{fib}_g \\ \downarrow & & \downarrow \\ A & \longrightarrow & B \\ \downarrow f & & \downarrow g \\ C & \longrightarrow & D. \end{array}$$

We let $\partial\chi$ denote the *boundary* of χ , the top row; the middle row is χ_\top , and the bottom row is χ_\perp .³ In this case, Cartesian and co-Cartesian correspond to (homotopy) pushout and pullback squares, which explains their names in the general case. \blacktriangleleft

There's a way to produce co-Cartesian cubes canonically from a finite set. Let $\phi: X^{\Pi T} \rightarrow X$ denote the fold map.

Definition 1.5. Let T be a finite set and $X \in \mathbf{C}$, and let

$$X \star T := \text{cofib} \left(\phi: \coprod_T X \rightarrow X \right).$$

Now, for $T \subset [d]$, the assignment $T \mapsto X \star T$ defines a co-Cartesian $(d+1)$ -cube.

²There are more worked-out expositions of the homotopy theory of functors, in case this one looks ad hoc, but we don't need the entire background.

³These are also written χ_{top} and χ_{bottom} .

For example, when $d = 1$, this is the homotopy pushout

$$\begin{array}{ccc} X & \longrightarrow & CX \simeq * \\ \downarrow & & \downarrow \\ CX \simeq * & \longrightarrow & \Sigma X. \end{array}$$

This formalism allows us to define the analogue of the Mayer-Vietoris principle that we'll need for the Goodwillie tower.

Definition 1.6. An $F: \mathbf{C} \rightarrow \mathbf{D}$ with F , \mathbf{C} , and \mathbf{D} as above is d -excisive if for all strongly co-Cartesian $(d+1)$ -cubes χ , $F(\chi)$ is a Cartesian $(d+1)$ -cube in \mathbf{D} .

Example 1.7.

- (1) 0-excisive functors are homotopy constant.
- (2) 1-excisive functors are those that satisfy the Mayer-Vietoris property. In \mathbf{Sp} , $\mathrm{Map}_{\mathbf{Sp}}(C, -)$ and L_E are both 1-excisive. \blacktriangleleft

There are some nice properties about how d -excisive functors behave with respect to cofibration sequences, etc., and we will return to them in due time. We will now construct Taylor towers.

Fix an $X \in \mathbf{C}$, and let

$$T_d F(X) := \mathrm{holim}_{T \in \mathcal{P}_0([d+1])} F(X \star T).$$

Remark. There is a natural map $t_d F: F \rightarrow T_d F$, and by definition, this is an equivalence if F is d -excisive. \blacktriangleleft

Set $P_d F: \mathbf{C} \rightarrow \mathbf{D}$ to be the functor sending

$$X \mapsto \mathrm{hocolim} \left(F(X) \xrightarrow{t_d F} T_d F(X) \xrightarrow{t_d t_d F} T_d T_d F(X) \longrightarrow \dots \right).$$

For example, if $F(*) \simeq *$, then $T_1 F(X)$ is the homotopy pullback of

$$\begin{array}{ccc} & & F(CX) \simeq * \\ & & \downarrow \\ * \simeq F(CX) & \longrightarrow & F(\Sigma X), \end{array}$$

and hence is $\Omega F(\Sigma X)$. In this case

$$P_1 F(X) = \mathrm{hocolim}_{n \rightarrow \infty} \Omega^n F \Sigma^n X.$$

For example, if $F = \mathrm{id}$ and $\mathbf{C} = \mathbf{D}$, then $P_1(\mathrm{id}) = \Omega^\infty \Sigma^\infty$, which is cool: the “first derivative” of the identity tells us information of stable homotopy! The calculation of the second derivative will be harder.