

# FALL 2018 HOMOTOPY THEORY SEMINAR

ARUN DEBRAY  
SEPTEMBER 17, 2018

## CONTENTS

1. Overview: 9/5/18	1
2. Introduction to spectra: 9/12/18	2
3. Spectral sequences: 9/17/18	2

### 1. OVERVIEW: 9/5/18

This short overview was given by Richard.

In the beginning, there were homotopy groups  $\pi_n(X) := [S^n, X]$ . Homotopy theory begins with the study of these groups, which are hard to calculate. Even the homotopy groups of the spheres,  $\pi_k(S^n)$ , are complicated. However, there are patterns.

**Theorem 1.1** (Freudenthal suspension theorem). *For  $n \geq k + 2$ ,  $\pi_{k+n}(S^n) \cong \pi_{k+n+1}(S^{n+1})$ .*

The first few of these stable homotopy groups are  $\pi_n(S^n) = \mathbb{Z}$ ,  $\pi_{n+1}(S^n) = \mathbb{Z}/2$ ,  $\pi_{n+2}(S^n) = \mathbb{Z}/2$ ,  $\pi_{n+3}(S^n) = \mathbb{Z}/24$ ,  $\pi_{n+4}(S^n) = \pi_{n+5}(S^n) = 0$ ,  $\pi_{n+6}(S^n) = \mathbb{Z}/2$ , and  $\pi_{n+7}(S^n) = \mathbb{Z}/120$ .

You can encode all of this stability data in one place using spectra. There's an object  $\mathbb{S}$  called the *sphere spectrum* built in a precise way from spheres, and the homotopy groups of  $\mathbb{S}$  are the stable homotopy groups of the spheres.

These stable homotopy groups are very hard to calculate. However, we can work locally (at primes), which simplifies the problem a little bit.

**Theorem 1.2** (Fracture square). *Let  $X$  be a space,  $X_{\mathbb{Q}}$  be its rationalization, and for  $p$  a prime let  $X_p$  denote the  $p$ -completion of  $X$ . Then the following square is a homotopy pullback:*

$$\begin{array}{ccc} X & \longrightarrow & X_{\mathbb{Q}} \\ \downarrow & & \downarrow \\ \prod_{p \text{ prime}} X_p & \longrightarrow & \left( \prod_{p \text{ prime}} X_p \right)_{\mathbb{Q}} \end{array}$$

Here  $\pi_*(X_p) = \pi_*(X) \otimes \mathbb{Z}_p$  and  $\pi_*(X_{\mathbb{Q}}) \cong \pi_*(X) \otimes \mathbb{Q}$ . The upshot of Theorem 1.2 is that these groups determine the original homotopy groups of  $X$ .

The rational homotopy groups of spheres are known, due to an old theorem of Serre. Over  $p$ , there are other techniques, such as the Adams and Adams-Novikov spectral sequences. The Adams-Novikov spectral sequences uses a filtration on  $X_p$  to produce a spectral sequence with  $E_2$ -term

$$(1.3) \quad E_2^{*,*} = \text{Ext}_{BP_*BP}(BP_*, BP_*(X)),$$

and converging to  $\pi_*(X)_{(p)}$  ( $p$ -local, not  $p$ -complete!). Here  $BP$  is a spectrum, but you don't actually need to know much about it (yet):  $BP_*$  is some algebra, and  $BP_*BP$  is a Hopf algebra, and they can be described explicitly. We'll learn more about this spectral sequence in time.

If you look at a picture of the  $E_{\infty}$ -page of the Adams-Novikov spectral sequence for any  $p$  (maybe just  $p$  odd for now), there are strong patterns: a pattern along the bottom, which is the  $\alpha$ -family (said to be

$v_1$ -periodic), and some periodic things along the diagonal (said to be  $v_2$ -periodic), containing the  $\beta$ -family. Both of these are families in the homotopy groups of spheres, providing structure in the complicated story — we don't know the stable homotopy groups of spheres past about 60, so producing families is very helpful for our understanding! In a similar way, one can find  $v_3$ -periodic elements, including something called the  $\gamma$ -family, and so forth.

Of course, there's a lot of work to do even from here: how to we get here from the  $E_2$ -page? Do the extension problems go away, giving us actual elements of the stable stem? For the  $\alpha$ -,  $\beta$ -, and  $\gamma$ -families, these are known, and there are even geometric interpretations for small  $n$  (up to 3 or 4) and large  $p$  (usually something like  $p > 5$  or  $p > 7$ ). Specifically, if  $V(0)$  denotes cofiber of the multiplication-by- $p$  map  $\mathbb{S} \rightarrow \mathbb{S}$ , the  $\alpha$ -family comes from self-maps  $\Sigma^k V(0) \rightarrow V(0)$ , together with the maps to and from  $\Sigma^k \mathbb{S}$  coming from the cofiber sequence. There are less explicit complexes  $V(1)$  and  $V(2)$  which give you the  $\beta$ - and  $\gamma$ -families, and there is a similar story.

## 2. INTRODUCTION TO SPECTRA: 9/12/18

I unfortunately missed Rok's talk, but he gave the last 10 minutes as the first 10 minutes of the second week, so here it is.

Recall that a spectrum  $X$  is a sequence of pointed spaces  $\{X_n\}_{n \in \mathbb{Z}}$  together with weak equivalences  $X_n \simeq \Omega X_{n+1}$ . There's a functor  $\Sigma^\infty$  from spaces to spectra which turns several topological concepts into algebraic ones that make  $\mathbf{Sp}$  behave like the derived category  $\mathcal{D}(R)$  of  $R$ -modules for  $R$  a commutative ring. Here's a dictionary:

- $\Sigma^\infty \text{pt}$  is the *zero spectrum*, which corresponds to the *zero complex* of  $R$ -modules (zero in every degree).
- $\Sigma^\infty S^0$ , denoted  $\mathbb{S}$ , is the *sphere spectrum*, which corresponds to  $R$  as an  $R$ -module.
- Suspension of spaces is sent to suspension of spectra, which corresponds to the shift functor  $[1]$  of a derived category.
- The (based) loop space functor  $\Omega$  maps to *desuspension* of spectra, which corresponds to the shift functor  $[-1]$  in the derived category.
- Wedge sum of spaces turns into wedge sum of spectra, which can be thought of as a direct sum, and corresponds to the direct sum of complexes of  $R$ -modules.
- Smash product of spaces turns into smash product of spectra, which is their tensor product, and corresponds to the derived tensor product  $\mathbf{L} \otimes_R$  of complexes.
- Stable homotopy groups of spaces map to homotopy groups of spectra, which behave like cohomology groups in the derived category.

There's a homotopical reason to believe this analogy between spectra and the derived category: the Eilenberg-Mac Lane functor  $H: \mathbf{Ab} \rightarrow \mathbf{Sp}$  induces an equivalence between the (homotopy or  $(\infty, 1)$ ) categories  $\mathbf{Mod}_{HR}$  of  $R$ -module spectra and  $\mathcal{D}(R)$  which sends smash product over  $HR$  to the derived tensor product over  $R$ .

The sphere spectrum is the unit for the smash product, so we can think of spectra as the category of  $\mathbb{S}$ -modules, which is a very useful, and sometimes literal, analogy.

Spectra define cohomology theories: if  $E$  is a spectrum and  $X$  is a space (non-pointed), then the associated cohomology theory is defined by  $E^i(X) := [X, \Sigma^i E]$ .

## 3. SPECTRAL SEQUENCES: 9/17/18

Here's Ricky's talk on spectral sequences, followed (TODO) by notes from Arun's part of the talk.

Let  $C = \bigoplus_{n=0}^\infty C^n$  be a graded  $R$ -module and assume it has a decreasing filtration by chain maps

$$(3.1) \quad C \supseteq \dots \supseteq F^p C \supseteq F^{p+1} C \supseteq \dots,$$

meaning that  $d$  carries  $F^p C^{p+q}$  into  $F^p C^{p+q+1}$ . (Upper indices typically correspond to decreasing filtrations.) Let's assume for now that

- $R = k$  is a field, and
- for each  $n$ ,  $F^\bullet C^n$  is finite.

Then there's a filtration on cohomology, where

$$(3.2) \quad F^p H^*(C) := \text{Im}(H^*(F^p C \hookrightarrow C)) = \pi(\underbrace{F^p C^{p+q} \cap \ker(d)}_{Z_\infty^{p,q}}),$$

where  $\pi: \ker(d) \rightarrow \ker(d)/\text{Im}(d) = H^{p+q}(C)$  is the quotient map. Because

$$(3.3) \quad F^p H(C)/F^{p+1} H(C) = \pi(Z_\infty^{p,q})/\pi(Z_\infty^{p+1,q-1}) = Z_\infty^{p,q}/(Z_\infty^{p+1,q-1} + B_\infty^{p,q}),$$

where  $B_\infty^{p,q} := F^p C^{p+q} \cap \text{Im}(d)$ .

Let  $E_0^{p,q} := F^p C^{p+q}/F^{p+1} C^{p+q}$ ; then, the differentials induce maps  $E_0^{p,q-1} \rightarrow E_0^{p,q} \rightarrow E_0^{p,q+1}$ , and they satisfy  $d_0^2 = 0$  because we originally had  $d^2 = 0$ . Then

$$(3.4) \quad \frac{\ker(d_0)}{\text{Im}(d_0)} = \frac{F^p C^{p+q} \cap d^{-1}(F^{p+1} C^{p+q+1})}{\underbrace{F^p C^{p+q} \cap d(F^p C^{p+q-1})}_{B_0^{p,q}} + \underbrace{F^{p+1} C^{p+q}}_{Z_0^{p,q-1}}} = \frac{Z_1^{p,q}}{B_0^{p,q} + Z_0^{p,q-1}}.$$

Define

$$(3.5) \quad \begin{aligned} Z_r^{p,q} &:= F^p C^{p+q} \cap d^{-1}(F^{p+r} C^{p+q+1}) \\ B_r^{p,q} &:= F^p C^{p+q} \cap d(F^{p-r} C^{p+q-1}) \\ E_r^{p,q} &:= Z_r^{p,q}/(Z_{r-1}^{p,q-1} + B_{r-1}^{p,q}). \end{aligned}$$

The key claim is that

$$(3.6) \quad H^*(E_r^{p,q}, d_r) = E_{r+1}^{p,q}.$$

A spectral sequence is, roughly speaking, something which behaves like this.

**Definition 3.7.** A (cohomologically graded) spectral sequence is a collection  $\{E_r^{\bullet,\bullet}, d_r\}$  of differentially bigraded modules such that  $d_r$  has bidegree  $(r, 1-r)$  and such that  $E_{r+1}^{p,q} = H^*(E_r^{p,q}, d_r)$ . If  $E_r^{p,q}$  is constant in  $r$  when  $p$  and  $q$  are fixed after some finite number of pages  $r$ , then we also call it  $E_\infty^{p,q}$ .

The spectral sequence *converges* to  $(H^*, F)$ , a filtered graded  $R$ -module, if  $E_\infty^{p,q}$  is the associated graded of  $H^*$ . This implies  $H^r$  is a direct sum of  $E_\infty^{p,q}$  over all  $p+q=r$ .<sup>1</sup>

Sometimes spectral sequences have more structure given by multiplication. In this case, we want each  $E_r^{\bullet,\bullet}$  to be a *differential bigraded  $R$ -algebra*, meaning it has a multiplication map which is additive on bidegrees of homogeneous elements, and that the differential obeys a graded Leibniz rule with respect to total grading:

$$(3.8) \quad d(xy) = d(x)y + (-1)^{|x|} x d(y).$$

Suppose we took the spectral sequence of a filtered  $R$ -module above, but it's also an  $R$ -algebra. Unfortunately, the higher pages in the spectral sequence aren't  $R$ -algebras without some work (TODOI missed this).

**The Serre spectral sequence.** Here's Arun's example with the Serre spectral sequence.<sup>2</sup>

**Definition 3.9.** A (Serre) fibration  $f: E \rightarrow X$  of topological spaces is a map such that if  $\Delta^n$  denotes the  $n$ -simplex and one has commuting maps

$$\begin{array}{ccc} \Delta^n \times \{0\} & \longrightarrow & E \\ \downarrow & & \downarrow f \\ \Delta^n \times [0, 1] & \longrightarrow & X, \end{array}$$

there exists a map  $G: \Delta^n \times [0, 1] \rightarrow E$  that commutes with the maps in the diagram.

We always take  $X$  to be path-connected, in which case  $f^{-1}(x) \simeq f^{-1}(x')$  for all  $x, x' \in X$ . This preimage is called the *fiber* of  $f$ , and is often denoted  $F$ ; the triple  $F \rightarrow E \rightarrow X$  is called a *fiber sequence*. We will also assume  $X$  is simply connected, which will allow us to obtain stronger results.

**Example 3.10.** Let  $M$  be a manifold of dimension  $n$ . Then,  $TM \rightarrow M$  is a fibration, and the fiber is  $\mathbb{R}^n$ . ◀

**Theorem 3.11** (Serre). Fix a coefficient ring  $R$ ; let  $f: E \rightarrow X$  be a fibration and  $F$  be its fiber. Then, there exists a multiplicative spectral sequence, called the Serre spectral sequence

$$E_2^{p,q} = H^p(X; H^q(F; R)) \implies H^{p+q}(E; R).$$

<sup>1</sup>If  $R$  isn't a field, then it might instead be an extension that doesn't split.

<sup>2</sup>I learned this example from Ernie Fontes, and this presentation is adapted from his presentation of this example.

*Proof sketch.* Let  $\{X_i\}$  be the CW filtration of  $X$ , and let  $E_i := f^{-1}(X_i)$ , which induces an exhaustive filtration  $\{E_i\}$  of  $E$ . Applying  $H^q(-; R)$  defines a spectral sequence by the formalism from the first lecture. The multiplicative structure comes from the cup product on  $X$ .  $\square$

*Remark 3.12.* Let  $A$  be a multiplicative generalized cohomology theory (e.g.  $K$ -theory). Then, we could have applied  $A$  instead of  $H^q(-; R)$  and obtained a multiplicative spectral sequence

$$E_2^{p,q} = H^p(X; A^q(F)) \implies A^{p+q}(E).$$

Letting  $A = H^*(-, R)$ , we recover the Serre spectral sequence, and letting  $E \rightarrow X$  be the identity map  $X \rightarrow X$ , which is a fibration, we recover the Atiyah-Hirzebruch spectral sequence. For this reason this spectral sequence is sometimes called the *Serre-Atiyah-Hirzebruch spectral sequence*.  $\blacktriangleleft$

**Example 3.13.** Let  $PX := \text{Top}_*(I, X)$  denote the *path space*, i.e. the maps from the unit interval to  $X$ . Evaluation at 0 defines a map  $ev: PX \rightarrow X$ . The path space is made of spaghetti, hence is contractible: shrink each path from time 1 to time  $t$ , and let  $t \rightarrow 0$ .

$ev: PX \rightarrow X$  is a fibration, and the fiber is  $\Omega X$ , the space of (based) loops in  $X$  (i.e. based maps  $S^1 \rightarrow X$ ). Recall that a fiber sequence induces a long exact sequence in homotopy groups:

$$(3.14) \quad \cdots \longrightarrow \pi_n(F) \longrightarrow \pi_n(E) \longrightarrow \pi_n(X) \longrightarrow \pi_{n-1}(F) \longrightarrow \cdots$$

Since  $\pi_n(PX) = 0$ , this implies  $\pi_n(X) \cong \pi_{n-1}(\Omega X)$ .

Let's apply the Serre spectral sequence to this fibration in the case where  $R = \mathbb{Q}$  and  $X = S^3$ . The Serre spectral sequence takes the form

$$E_2^{p,q} = H^p(S^3; H^q(\Omega S^3; \mathbb{Q})) \implies H^{p+q}(PS^3; \mathbb{Q}).$$

We know the  $E_\infty$  page already: it's 0 unless  $p+q=0$ , in which case it's  $\mathbb{Q}$ . So we're going to reverse-engineer the spectral sequence, to use the  $E_\infty$  page to compute the  $E_2$  page.

We also know  $H^*(S^3; \mathbb{Q}) = E_{\mathbb{Q}}(X)$ , where  $|x| = 3$ , an exterior algebra in one variable. This is also isomorphic to  $\mathbb{Q}[x]/x^2$ , so has a  $\mathbb{Q}$  in degrees 0 and 3, and is 0 elsewhere.

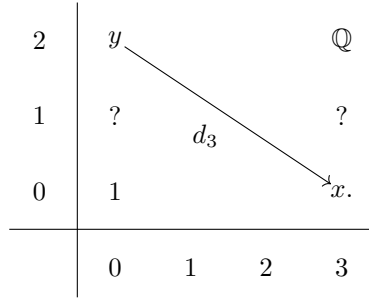
We know  $H^0(\Omega S^3; \mathbb{Q}) = \mathbb{Q}$ , so the  $E_2$  page looks like

3	?			?
2	?			?
1	?			?
0	1			$x$
	0	1	2	3

with the missing entries equal to 0.

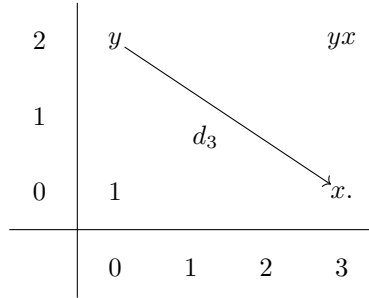
We know that the  $(3,0)$  term has to vanish by the  $E_\infty$  page, so it either *supports a differential* (has a nonzero differential mapping out of it) or *receives a differential* (has a nonzero differential mapping into it). Since this is a first-quadrant spectral sequence, all differentials out of  $x$  hit 0, so it has to receive a differential. But on the  $E_2$  page, this differential comes from the 0 in position  $(1,1)$ , so it's zero, and any differentials in page 4 or above mapping into  $x$  come from the fourth quadrant, so there has to be a nonzero differential on the  $E_3$  page mapping into  $x$ , so there's some  $y \in E_2^{0,2}$ , which generates a copy of  $\mathbb{Q}$ , such that  $d_3 y = x$ . There can't be more than one generator in  $E_2^{0,2}$ , because then either it would survive to the  $E_\infty$  page (which can't happen), or it gets killed, meaning the difference of it and  $y$  is not killed by  $d_3$  and hence survives. Oops. Thus,  $E_2^{0,2} = H^2(\Omega S^3; \mathbb{Q}) \cong \mathbb{Q}$ . Hence we know  $E_2^{3,2} = H^3(S^3; \mathbb{Q})$  as well, and the spectral sequence

looks like



We can also immediately determine  $E_2^{\bullet,2}$ : looking at  $E_2^{0,2}$ , there are no differentials that hit something nonzero, or map from something nonzero to it. So anything in it survives to the  $E_\infty$  page, and hence it must be zero. Thus  $H^1(\Omega S^3; \mathbb{Q}) = 0$  and hence  $E_2^{1,3} = 0$  too.

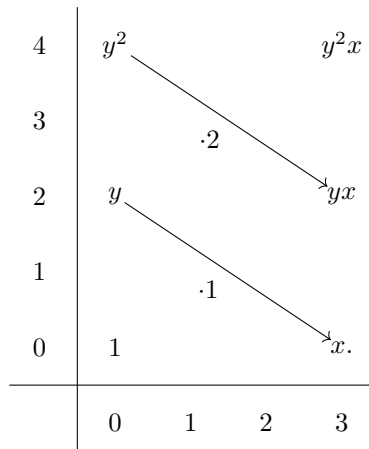
The multiplicative structure tells us that the generator of  $E_2^{3,2}$  must be  $y \cdot x$ . Thus, the spectral sequence looks like



But now  $yx$  has to die, and the only way that can happen is if it's hit by  $d_3$  of the  $E_2^{0,4}$  term, which turns out to be  $y^2$ . This is because  $d_3 y = x$ , so

$$d_3(y^2) = d_3(y)y + (-1)^2 y d_3(y) = 2xy.$$

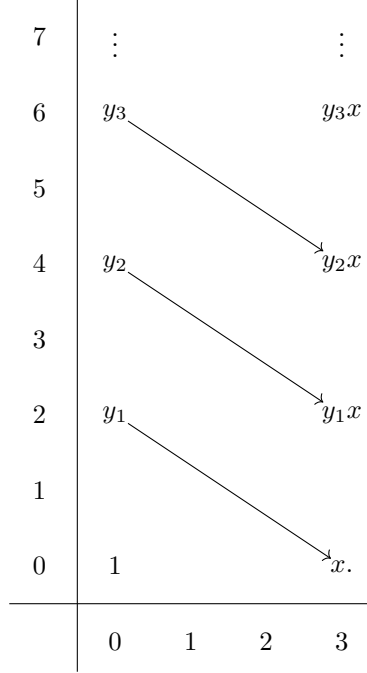
Thus  $d_3$  is multiplication by 2. Hence the spectral sequence looks like



But now we need  $y^2 x$  to vanish, and it's hit by  $y^3 \in E_2^{0,6}$  via  $d_3$ , which is multiplication by 3, and so on. Inductively we can conclude that

$$H^*(\Omega S^3; \mathbb{Q}) \cong \begin{cases} \mathbb{Q}, & n \text{ even} \\ 0, & n \text{ odd.} \end{cases}$$

Much of this argument, but not all of it, works with  $\mathbb{Q}$  replaced by  $\mathbb{Z}$ . The difference is that multiplication by 2, 3, etc. is not an isomorphism, so we have a sequence of generators  $y_1, y_2, \dots$ :



Now we have to figure out the multiplicative structure. We know  $y_1^2 = c_1 y_2$  for some  $c_1 \in \mathbb{Z}$ , so since  $d_3$  is an isomorphism, let's compute: we know  $d_3(y_2) = y_1 x$  by construction, and  $d_3(y_1^2) = 2y_1 x$  for the same reason as over  $\mathbb{Q}$ , so  $y_1^2 = 2y_2$ .

A similar calculation in general shows that  $y_1^n = n! y_n$ , as

$$\begin{aligned}
 d_3(y_1^n) &= d_3(y_1 y_1^{n-1}) = d_3(y_1) y_1^{n-1} + y_1(n-1)! d(y_{n-1}) \\
 &= x y_1^{n-1} + y_1(n-1)! x y_{n-2} \\
 &= x(n-1)! y_{n-1} + (n-1) y_{n-1} x(n-1)! \\
 &= n! x y_{n-1},
 \end{aligned}$$

but  $d_3(n! y_n) = n! x y_{n-1}$ . Hence the ring structure on  $H^*(\Omega S^3)$  is a divided power algebra.

**Definition 3.15.** A *divided power algebra* on a single generator  $x$  in degree  $k$ , denoted  $\Gamma(x)$ , is the free algebra generated by  $\{x_i\}_{i \geq 1}$  where  $|x_i| = ki$ , subject to the relations

$$x_i x_{i+j} = \binom{i+j}{j} x_{i+j} \quad \text{and} \quad x_i = \frac{x^i}{i!}.$$

Thus  $H^*(\Omega S^3) \cong \Gamma(y)$  with  $|y| = 2$ . ◀