

# GEOMETRY AND STRING THEORY SEMINAR: SPRING 2018

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### 1. COMMENTS ON GLOBAL SYMMETRY, ANOMALIES, AND DUALITY IN $(2+1)d$ : 1/24/18

Today's talk was given by Val Zakharevich, on the paper [BHS17].

**Definition 1.1.** Let  $A$  and  $B$  be UV theories which, under renormalization group flow, flow to the same IR theory  $C$ . Then we'll say that theories  $A$  and  $B$  are dual.

**Example 1.2.** Let  $N_f \leq N$ . Then there is a conjectured duality between  $SU(2)_k$ -Chern-Simons theory with  $N_f$  scalars, also known as *Wilson-Fischer theory*, and  $U(k)_{-N+N_f/2}$ -Chern-Simons theory with  $N_f$  fermions.  $\blacktriangleleft$

The paper [BHS17] computes the higher symmetries and anomalies of both sides of this duality and of several others; 't Hooft anomaly matching tells us that these should be the same.

This is related to our overarching goal of understanding  $QCD_4$  with a single fermion  $\psi$ , which has a Lagrangian

$$(1.3) \quad \mathcal{L} = \text{tr}(F \wedge \star F) + \bar{\psi} \not{D} \psi + m \bar{\psi} \psi,$$

where  $m \in \mathbb{C}$  is a parameter whose phase diagram we're interested in. Let  $\bar{m}$  denote the mass of the domain wall theory, which is a 3D QCD theory, so  $\bar{m}$  is real. If  $m$  is real and negative, there's a phase transition: for  $\bar{m} \ll 0$ , the low energy theory is believed to be trivial, and for  $\bar{m} \gg 0$  ( $m$  negative of larger magnitude), the low-energy theory is believed to be  $SU(N)$ -Chern-Simons theory at level 1. The transition point, at  $\bar{m} = 0$ , should be described by  $SU(N)_{1/2}$  with a single fermion.

**1.1. Level-rank duality.** *Level-rank duality* is the conjecture that  $SU(N)_k$ -Chern-Simons theory and  $U(k)_{-N}$ -Chern-Simons theory are isomorphic. A natural generalization is to consider  $SU(N)_k$  together with  $N_f$  scalar fields of mass  $m$ , where  $m \in \mathbb{R}$  and  $N_f < N$ .

- If  $m \ll 0$ , the Higgs mechanism implies this should be the  $SU(N - N_f)_k$  theory.
- if  $m \gg 0$ , we should expect the  $SU(N)_k$  theory again.

On the dual side, let's consider  $U(k)_{-N-N_f/2}$  with  $N_f$  fermions of mass  $m \in \mathbb{R}$ .

- If  $m \gg 0$ , we expect to get  $U(k)_{-N+N_f/2}$ .
- If  $m \ll 0$ , we expect to get  $U(k)_{-N}$ , with Lagrangian shifted by  $N_f$ :

$$(1.4) \quad \mathcal{L} = \frac{-N + N_f}{4\pi} \text{tr} \left( b \, db - \frac{2i}{3} b^3 \right) + \bar{\psi} \not{D} \psi + m \bar{\psi} \psi + \text{c.c.}$$

Level-rank duality switches positive-mass scalars and negative-mass fermions, promising dualities between  $SU(N - N_f)_k \longleftrightarrow U(k)_{-N+N_f/2}$  and  $SU(N)_k \longleftrightarrow U(k)_{-N}$ .

**1.2. Symmetries.** We now see what symmetries these theories have. First,  $SU(N)_k$  with  $N_f$  scalars. On a 3-manifold  $M$ , the fields are triples  $(P, A, \Phi)$ , where

- $P \rightarrow M$  is a principal  $SU(N)$ -bundle with connection,
- $A$  is a connection on  $P$ , and
- $\varphi \in \Gamma(P \times_{SU(N)} (\mathbb{C}^N \otimes \mathbb{C}^{N_f}))$  is the  $N$  scalar fields.

The Lagrangian is

$$(1.5) \quad \mathcal{L}(A, \Phi) = \frac{k}{4\pi} \text{tr} \left( A \wedge dA + \frac{2}{3} A^3 \right) + |D_A \varphi|^2 + m|\varphi|^2 + \lambda|\varphi|^4.$$

As usual, we have an  $SU(N)$ -gauge symmetry, and there's also a  $U(N_f)$ -symmetry acting on  $\mathbb{C}^{N_f}$ , in which  $e^{2\pi i/N} \mathbf{1}$  acts by a gauge symmetry. Hence the global symmetry group (for these symmetries) is  $U(N_f)/(\mathbb{Z}/N)$ .

**Ansatz 1.6.** Let  $G$  be a compact Lie group and  $k$  be a level for  $G$ , and let  $\mathcal{L}_{G_k}$  denote the Lagrangian for Chern-Simons theory with group  $G$  and level  $k$ . Let

$$(1.7) \quad 1 \longrightarrow G \xrightarrow{\rho} H \xrightarrow{\sigma} L \longrightarrow 1$$

be a short exact sequence of Lie groups. Then, we take as an ansatz that coupling the  $G_k$  theory to a principal  $L$ -bundle (i.e. given a principal  $L$ -bundle  $P \rightarrow M$ , we sum over the groupoid of all principal  $H$ -bundles which quotient to  $L$ ) produces a classical gauge theory for  $H$  with Lagrangian  $\mathcal{L}_{\tilde{k}}$  such that

$$(1.8) \quad \mathcal{L}_{G_k}(P_G, A_G) = \mathcal{L}_{\tilde{k}}((P_G, A_G) \times_G H).$$

When  $G$  is finite (so we're in the setting of Dijkgraaf-Witten theory) this is studied in [KT14].

In our setting, (1.7) specializes to  $G = SU(N)$ ,  $H = (SU(N) \times U(N_f))/(\mathbb{Z}/N)$ , and  $L = U(N_f)/(\mathbb{Z}/N)$ . Chern-Simons theories for  $G$  are labeled by  $H^4(BG; \mathbb{Z})$ , and the map  $\rho: G \rightarrow H$  defines a pullback

$$\rho^*: H^4(BH; \mathbb{Z}) \longrightarrow H^4(BG; \mathbb{Z}).$$

Given a  $k \in H^4(BG; \mathbb{Z})$ , we want to know whether we can implement the theory with a global  $L$ -symmetry; hence we want to know whether  $k \in \text{Im}(\rho^*)$ ; the theory is anomalous iff this is not true.

If the theory is anomalous, we'd like to compute the anomaly. Suppose that we have a  $\widehat{k}_{\mathbb{R}} \in H^4(BH; \mathbb{R})$  such that  $\rho^*(\widehat{k}_{\mathbb{R}}) = k_{\mathbb{R}}$  (i.e. the image of  $k$  in real cohomology). Then, we can't eliminate the anomaly, but we can couple to a bulk theory: suppose that we can extend  $(P, A) \rightarrow M$  to  $(P_H, A_H) \rightarrow X$ , where  $X$  is a compact 4-manifold with  $\partial X = M$ . Then, we have an action

$$(1.9) \quad "S_{\widehat{k}_{\mathbb{R}}}(A_H)": ((P_H, A_H) \rightarrow X) \mapsto \int_X \widehat{k}_{\mathbb{R}}(F_H),$$

where  $F_H$  is the curvature of  $A_H$ .

This depends on the choice of  $X$  and  $P_H \rightarrow X$  extending  $P$ , but we can hope that the dependence goes away after exponentiating the action. Let  $X'$  be another compact 4-manifold bounding  $M$ , and let  $(P'_H, A') \rightarrow X'$  be another extension of  $(P, A)$ . Let  $\widehat{X} := X \cup_M X'$ ; then,  $(P_H, A_H)$  and  $(P'_H, A'_H)$  glue to a principal  $G$ -bundle  $\tilde{P}_H \rightarrow \tilde{X}$  with connection  $\tilde{A}$ . Then we have that

$$(1.10) \quad e^{2\pi i S_{\widehat{k}_{\mathbb{R}}}(\tilde{P}_H, \tilde{A}_H)} = S_{\widehat{k}}(\tilde{P}_H \times_H L) \in \mathbb{R}/2\pi i\mathbb{Z}$$

for some  $\widehat{k} \in H^4(BL; \mathbb{R}/\mathbb{Z})$ . This  $\widehat{k}$  tells us the anomaly, so we're interested in computing it. Ultimately, this comes from a question purely in algebraic topology: we have a big commutative diagram

$$(1.11) \quad \begin{array}{ccccc} H^4(BL; \mathbb{R}/\mathbb{Z}) & \longrightarrow & H^4(BH; \mathbb{R}/\mathbb{Z}) & \longrightarrow & H^4(BG; \mathbb{R}/\mathbb{Z}) \\ & & \uparrow & & \uparrow \\ & & H^4(BH; \mathbb{R}) & \longrightarrow & H^4(BG; \mathbb{R}) \\ & & \uparrow & & \uparrow \\ & & H^4(BH; \mathbb{Z}) & \longrightarrow & H^4(BG; \mathbb{Z}) \end{array}$$

Then we have  $\hat{k}$  in the upper left,  $\hat{k}_{\mathbb{R}}$  in the middle, and  $k$  in the lower right. In this case the anomaly theory is purely topological. The computation for the dual theory follows a similar story, but is harder.

To actually calculate this, you can use the Leray-Serre spectral sequence;  $k \in H^4(BG; \mathbb{Z})$  transgresses to something in  $H^5(BL; \mathbb{Z})$ , which tells you which component  $\hat{k}$  is in.

#### REFERENCES

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