

# M392C NOTES: MATHEMATICAL GAUGE THEORY

ARUN DEBRAY  
JANUARY 24, 2019

These notes were taken in UT Austin's M392C (Mathematical gauge theory) class in Spring 2019, taught by Dan Freed. I live-TeXed them using `vim`, so there may be typos; please send questions, comments, complaints, and corrections to [a.debray@math.utexas.edu](mailto:a.debray@math.utexas.edu). Any mistakes in the notes are my own.

## CONTENTS

- |    |   |   |
|----|---|---|
| 1. | Some useful linear algebra: 1/22/19               | 1 |
| 2. | Fantastic 2-forms and where to find them: 1/24/19 | 4 |

Lecture 1.

## Some useful linear algebra: 1/22/19

*“Why did the typing stop?”*

Today we'll discuss some basic linear algebra which, in addition to being useful on its own, is helpful for studying the self-duality equations. You should think of this as happening pointwise on the tangent space of a smooth manifold.

Let  $V$  be a real  $n$ -dimensional vector space. The exterior powers of  $V$  define more vector spaces: the scalars  $\mathbb{R}$ ,  $V$ ,  $\Lambda^2 V$ , and so on, up to  $\Lambda^n V = \text{Det } V$ . We can also apply this to the dual space, defining  $\mathbb{R}$ ,  $V^*$ ,  $\Lambda^2 V^*$ , etc, up to  $\Lambda^n V^* = \text{Det } V^*$ .

There is a duality pairing

$$(1.1) \quad \begin{aligned} \theta: \Lambda^k V^* \times \Lambda^k V &\longrightarrow \mathbb{R} \\ (v^1 \wedge \cdots \wedge v^k, v_1 \wedge \cdots \wedge v_k) &\longmapsto \det(v^i(v_j))_{i,j}, \end{aligned}$$

where  $v^i \in V^*$  and  $v_j \in V$ .

Now fix a  $\mu \in \text{Det } V^* \setminus 0$ , which we call a *volume form*. Then we get another duality pairing

$$(1.2) \quad \begin{aligned} \Lambda^k V \times \Lambda^{n-k} V &\longrightarrow \mathbb{R} \\ (x, y) &\longmapsto \theta(\mu, x \wedge y). \end{aligned}$$

Thus  $\Lambda^k V \cong \Lambda^{n-k} V^*$ .

Suppose we have additional structure: an inner product and an orientation. Let  $e_1, \dots, e_n$  be an oriented, orthonormal basis of  $V$ , and  $e^1, \dots, e^n$  be the dual basis. Now we can choose  $\mu = e^1 \wedge \cdots \wedge e^n$ .

**Definition 1.3.** The *Hodge star operator* is the linear operator  $\star: \Lambda^k V^* \rightarrow \Lambda^{n-k} V^*$  characterized by

$$(1.4) \quad \alpha \wedge (\star \beta) = \langle \alpha, \beta \rangle_{\Lambda^k V} \cdot \mu.$$

The inner product on  $\Lambda^k V^*$  is defined by

$$(1.5) \quad \langle v^1 \wedge \cdots \wedge v^k, w^1 \wedge \cdots \wedge w^k \rangle := \det(\langle v^i, w^j \rangle)_{i,j}.$$

The Hodge star was named after W.V.D. Hodge, a British mathematician. Notice how we've used both the metric and the orientation – it's possible to work with unoriented vector spaces (and eventually unoriented Riemannian manifolds), but one must keep track of some additional data.

**Example 1.6.**

- $\star(e^{i_1} \wedge \cdots \wedge e^{i_k}) = e^{j_1} \wedge \cdots \wedge e^{j_{n-k}}$  if the permutation  $1, \dots, n$  to  $i_1, \dots, i_k, j_1, \dots, j_{n-k}$  of  $[n] := \{1, \dots, n\}$  is even. Otherwise there's a factor of  $-1$ .
- Suppose  $n = 4$ . Then  $\star(e^1 \wedge e^2) = e^3 \wedge e^4$  and  $\star(e^1 \wedge e^3) = -e^2 \wedge e^4$ , and so on.  $\blacktriangleleft$

**Remark 1.7.** The Hodge star is natural. First, you can see that we didn't make any choices when defining it, other than an orientation and a volume form, but there's also a functoriality property. Let  $T: V \rightarrow V$  be an automorphism; this induces  $(\Lambda^k T^*)^{-1}: \Lambda^k T^* \rightarrow \Lambda^k T^*$ , and if  $T$  is an orientation-preserving isometry,

$$(1.8) \quad \star \circ (\Lambda^k T^*)^{-1} = (\Lambda^{n-k} T^*)^{-1} \circ \star.$$

Hence  $\star\star: \Lambda^k V^* \rightarrow \Lambda^k V^*$  is some nonzero scalar multiple of the identity, and we can determine which multiple it is. Certainly we know

$$(1.9) \quad \star\star(e^1 \wedge \cdots \wedge e^k) = \star(e^{k+1} \wedge \cdots \wedge e^1) = \lambda e^1 \wedge \cdots \wedge e^k,$$

and we just have to compute the parity of these permutations: one uses  $k$  transpositions, and the other uses  $n - k$ . Therefore we conclude that

$$(1.10) \quad \star\star = (-1)^{k(n-k)}: \Lambda^k V^* \rightarrow \Lambda^k V^*. \quad \blacktriangleleft$$

Now suppose  $n = 2m$ , so we have a middle dimension  $m$ , and  $\star\star: \Lambda^m \rightarrow \Lambda^m$  is  $(-1)^m$ . This induces additional structure on  $\Lambda^m V^*$ .

- If  $m$  is even (so  $n \equiv 0 \pmod{4}$ ), the double Hodge star is an endomorphism squaring to 1. This defines a  $\mathbb{Z}/2$ -grading on  $\Lambda^m V^*$ , given by the  $\pm 1$ -eigenspaces, which we'll denote  $\Lambda_{\pm}^m V^*$ . The  $+1$ -eigenspace is called *self-dual*  $m$ -forms, and the  $-1$ -eigenspace is called the *anti-self-dual*  $m$ -forms.
- If  $m$  is odd (so  $n \equiv 2 \pmod{4}$ ), the double Hodge star squares to  $-1$ , so this defines a complex structure on  $\Lambda^m V^*$ , where  $i$  acts by the double Hodge star.

**Exercise 1.11.** Especially for those interested in physics, work out this linear algebra in indefinite signature (particularly Lorentz). The signs are different, and in Lorentz signature the two bullet points above switch!

**Exercise 1.12.** Show that if  $4 \mid n$ , the direct-sum decomposition  $\Lambda^m V^* = \Lambda_+^m V^* \oplus \Lambda_-^m V^*$  is orthogonal. See if you can find the one-line proof that self-dual and anti-self-dual forms are orthogonal.

Next we introduce conformal structures. This allows the sort of geometry which knows angles, but not lengths.

**Definition 1.13.** A *conformal structure* on a real vector space  $V$  is a set  $C$  of inner products on  $V$  such that any  $g_1, g_2 \in C$  are related by  $g_1 = \lambda g_2$  for a  $\lambda \in \mathbb{R}_+$ .

In this setting, one can obtain  $g_2$  from  $g_1$  by pulling back  $g_1$  along the dilation  $T_\lambda: v \mapsto \lambda v$ . This induces an action of  $(T_\lambda^*)^{-1}$  on  $\Lambda^k V^*$ , which is multiplication by  $\lambda^{-k}$ : if  $\mu_i$  is the volume form induced from  $g_i$ , so that

$$(1.14) \quad \alpha \wedge \star\beta = g_1(\alpha, \beta)\mu_1,$$

then

$$(1.15) \quad \lambda^{-2k} \alpha \wedge \star\beta = g_2(\alpha, \beta)\lambda^{-n}\mu_2.$$

Thus pulling back by dilation carries the Hodge star to  $\lambda^{n-2k}\star$ . Importantly, if  $n = 2m$ , then  $\star: \Lambda^m V^* \rightarrow \Lambda^m V^*$  is preserved by this dilation, so it only depends on the orientation and the conformal structure.

**Remark 1.16.** A conformal structure is independent from an orientation. For example, on a one-dimensional vector space, a conformal structure is no information at all (all inner products are multiples of each other), but an orientation is a choice.  $\blacktriangleleft$

**Example 1.17.** Suppose  $n = 2$  and choose an orientation and a conformal structure on  $V$ . As we just saw, this is enough to define the Hodge star  $\star: V^* \rightarrow V^*$ , which defines a complex structure on  $V$ . Pick a square root  $i$  of  $-1$  and let  $\star$  act by it (there are two choices, acted on by a Galois group).

We get more structure by complexifying:  $V^* \otimes \mathbb{C}$  splits as the  $\pm i$ -eigenspaces of the Hodge star; we denote the  $i$ -eigenspace by  $V^{(1,0)}$  (the  $(1,0)$ -forms) and the  $-i$ -eigenspace by  $V^{(0,1)}$  (the  $(0,1)$ -forms).

Now let's globalize this: everything has been completely natural, so given an oriented, conformal 2-manifold  $X$ , it picks up a complex structure, hence is a Riemann surface, and the Hodge star is a map  $\star: \Omega_X^1 \rightarrow \Omega_X^1$ . Moreover, we can do this on the complex differential forms, which split into  $(1,0)$ -forms and  $(0,1)$ -forms.

How do 1-forms most naturally appear? They're differentials of functions, so given an  $f: X \rightarrow \mathbb{C}$ , we can ask what it means for  $df \in \Omega_X^{1,0}$ . This is the equation

$$(1.18) \quad \star df = i df.$$

This is precisely the Cauchy-Riemann equation; its solutions are precisely the holomorphic functions on  $X$ .  $\blacktriangleleft$

*Remark 1.19.* More generally, one can ask about functions to  $\mathbb{C}^n$  or even sections of complex vector bundles; the analogue gives you notions of holomorphic sections. In this case, the equations have the notation

$$(1.20) \quad \bar{\partial}f = \left( \frac{1 + i\star}{2} \right) df. \quad \blacktriangleleft$$

We'll spend some time in this class understanding a four-dimensional analogue of all of this structure.

**Symmetry groups.** Symmetry is a powerful perspective on geometry. If we think about  $V$  together with some structure (orientation, metric, conformal structure, some combination, ...), we can ask about the symmetries of  $V$  preserving this structure. Of course, to know this, we must know  $V$ , but we can instead look at a model space  $\mathbb{R}^n$  to define a *symmetry type*, and ask about its symmetry group  $G$ : then an isomorphism  $\mathbb{R}^n \rightarrow V$  preserving all of the data we're interested in defines an isomorphism from  $G$  to the symmetry group of  $V$ .

**Example 1.21.** When  $\dim V = 2$ , the most general symmetry group is  $\mathrm{GL}_2(\mathbb{R})$ , the invertible matrices acting on  $\mathbb{R}^2$ . Adding more structure we get more options.

- If we restrict to orientation-preserving symmetries, we get  $\mathrm{GL}_2^+(\mathbb{R})$ .
- If we restrict to symmetries preserving a conformal structure, the group is called  $\mathrm{CO}_2 = \mathrm{O}_2 \times \mathbb{R}^{>0}$ .
- If we ask to preserve an orientation and a complex structure, we get  $\mathrm{CO}_2^+ = \mathrm{SO}_2 \times \mathbb{R}^{>0}$ . This is isomorphic to  $\mathbb{C}^\times = \mathrm{GL}_1(\mathbb{C})$ : an element of  $\mathrm{SO}_2 \times \mathbb{R}^{>0}$  is rotation through some angle  $\theta$  and a positive number  $r$ ; this is sent to  $re^{i\theta} \in \mathbb{C}^\times$ .

This provides another perspective on why an orientation and a conformal structure give us a complex structure.  $\blacktriangleleft$

**Example 1.22.** Now suppose  $n = 4$ , and choose a conformal structure  $C$  and an orientation on  $V$ . Then orthogonal makes sense, though orthonormal doesn't, and the Hodge star induces a  $\mathbb{Z}/2$ -grading on  $\Lambda^2 V^* = \Lambda_+^2 V^* \oplus \Lambda_-^2 V^*$ , the self-dual and anti-self-dual 2-forms. The total space  $\Lambda^2 V^*$  is six-dimensional, and these two subspaces are each three-dimensional.

Suppose  $e^1, e^2, e^3, e^4$  is an orthonormal basis for some inner product in  $C$ . We can use these to define bases of  $\Lambda_\pm^2 V^*$ , given by

$$(1.23) \quad \begin{aligned} \alpha_1^\pm &:= e^1 \wedge e^2 \pm e^3 \wedge e^4 \\ \alpha_2^\pm &:= e^1 \wedge e^3 \mp e^2 \wedge e^4 \\ \alpha_3^\pm &:= e^1 \wedge e^4 \pm e^2 \wedge e^3. \end{aligned}$$

Now, what symmetry groups do we have? Inside  $\mathrm{GL}_4(\mathbb{R})$ , preserving an orientation lands in the subgroup  $\mathrm{GL}_4^+(\mathbb{R})$ ; preserving a conformal structure lands in  $\mathrm{O}_4 \times \mathbb{R}^{>0}$ ; and preserving both lands in  $\mathrm{SO}_4 \times \mathbb{R}^{>0}$ . The first three of these act irreducibly on  $\Lambda^2(\mathbb{R}^4)^*$ , but the action of  $\mathrm{SO}_4 \times \mathbb{R}^{>0}$  has two irreducible summands,  $\Lambda_\pm^2(\mathbb{R}^4)^*$ .

To understand this better, we should learn a little more about  $\mathrm{SO}_4$ . Recall that  $\mathrm{Sp}_1$  is the Lie group of unit quaternions. This is isomorphic to  $\mathrm{SU}_2$ , the group of determinant-1 unitary transformations of  $\mathbb{C}^2$ . This group has an irreducible 3-dimensional representation  $\rho$  in which  $\mathrm{Sp}_1$  acts by conjugation on the imaginary quaternions (since  $\mathbb{R} \subset \mathbb{H}$  is preserved by this action).

*Remark 1.24.* Another way of describing  $\rho$  is: let  $\rho'$  denote the action of  $\mathrm{SU}_2$  on  $\mathbb{C}^2$  by matrix multiplication. Then  $\rho \cong \mathrm{Sym}^2 \rho'$ .  $\blacktriangleleft$

**Proposition 1.25.** *There is a double cover  $\mathrm{Sp}_1 \times \mathrm{Sp}_1 \rightarrow \mathrm{SO}_4$ . Under this cover, the  $\mathrm{SO}_4$ -representation  $\Lambda_\pm^2(\mathbb{R}^4)^*$  pulls back to a real three-dimensional representation in which one copy of  $\mathrm{Sp}_1$  acts by  $\rho$  and the other acts trivially.*

*Proof.* Let  $W'$  and  $W''$  be two-dimensional Hermitian vector spaces with compatible quaternionic structures  $J'$ , resp.  $J''$ .<sup>1</sup> Then,  $V := W' \otimes_{\mathbb{C}} W''$  has a real structure  $J' \otimes J''$ : two minuses make a plus, and compatibility of  $J'$  and  $J''$  means the real points of  $V$  have an inner product. (These kinds of linear-algebraic spaces are things you should prove once in your life.)

By tensoring symmetries we obtain a homomorphism  $\mathrm{Sp}(W') \times \mathrm{Sp}(W'') \rightarrow \mathrm{O}(V)$ . This factors through  $\mathrm{SO}(V) \hookrightarrow \mathrm{O}(V)$ , which you can see for two reasons:

- $\mathrm{Sp}(W')$  and  $\mathrm{Sp}(W'')$  are connected, so this homomorphism must factor through the identity component of  $\mathrm{O}(V)$ , which is  $\mathrm{SO}(V)$ ; or
- a complex vector space has a canonical orientation, and using this we know these symmetries are orientation-preserving.

Now we want to claim this map is two-to-one. One can quickly check that  $(-1, -1)$  is in the kernel; the rest is an exercise.  $\blacktriangleleft$

Since  $\mathrm{Spin}_n$  is the double cover of  $\mathrm{SO}_n$ , this is telling us  $\mathrm{Spin}_4 = \mathrm{Sp}_1 \times \mathrm{Sp}_1$ . This splitting is the genesis of a lot of what we'll do in the next several lectures.

Consider the 16-dimensional space

$$(1.26) \quad V^* \otimes V^* = (W')^* \otimes (W')^* \otimes (W'')^* \otimes (W'')^*.$$

Because the map

$$(1.27) \quad \begin{aligned} \omega' : W' \times W' &\longrightarrow \mathbb{C} \\ \xi', \eta' &\longmapsto h'(J'\xi', \eta') \end{aligned}$$

is skew-symmetric, it lives in  $\Lambda^2(W')^* \subset (W')^* \otimes (W')^*$ . In particular, the embedding

$$(1.28) \quad \mathrm{Sym}^2(W')^* \oplus \mathrm{Sym}^2(W'')^* \hookrightarrow (W')^* \otimes (W')^* \otimes (W'')^* \otimes (W'')^*$$

is the map sending

$$(1.29) \quad \alpha, \beta \longmapsto \alpha \otimes w'' + \omega' \otimes \beta.$$

*Remark 1.30.* This story can be interpreted in terms of representations of  $\mathrm{Sp}(W') \times \mathrm{Sp}(W'')$ . Let  $\mathbf{1}$  denote the trivial representation of  $\mathrm{Sp}_1$  and  $\mathbf{3}$  be the three-dimensional irreducible representation we discussed above. Then (1.26) enhances to

$$(1.31) \quad V^* \otimes V^* = \mathbf{1}_{\mathrm{Sp}(W')} \otimes \mathbf{3}_{\mathrm{Sp}(W')} \otimes \mathbf{1}_{\mathrm{Sp}(W'')} \otimes \mathbf{3}_{\mathrm{Sp}(W'')}.$$

The skew-symmetric part is  $\mathbf{3}_{\mathrm{Sp}(W')} \otimes \mathbf{1}_{\mathrm{Sp}(W'')} \oplus \mathbf{1}_{\mathrm{Sp}(W')} \otimes \mathbf{3}_{\mathrm{Sp}(W'')}$ , and the “rest” (complement) is symmetric.  $\blacktriangleleft$

The group  $\mathrm{Sp}_1 \times \mathrm{Sp}_1 = \mathrm{Spin}_4$  has complex (quaternionic) two-dimensional representations  $S^\pm$ , the *spin representations*, and  $\Lambda_\pm^2 V \cong \mathrm{Sym}^2 S^\pm$ .

So two-forms have self-dual and anti-self-dual parts, and curvature is a natural source of 2-forms!  $\blacktriangleleft$

Lecture 2.

## Fantastic 2-forms and where to find them: 1/24/19

*“I’ve taught this before, so I know it’s true.”*

Last time, we discussed some linear algebra which is a local model for phenomena we will study in differential geometry. For example, we saw that on an oriented even-dimensional vector space with an inner product, the Hodge star defines a self-map of the middle-dimensional part of the exterior algebra, which induces extra structure, such a splitting into self-dual and anti-self-dual pieces in dimensions divisible by 4. This therefore generalizes to a  $4k$ -dimensional manifold with a metric and an orientation: the space of  $2k$ -forms splits as an orthogonal direct sum of self-dual and anti-self-dual forms. (We also discussed other examples, such as how 1-forms on an oriented 2-manifold split into holomorphic and antiholomorphic pieces.)

<sup>1</sup>That is,  $J'$  is an antilinear endomorphism of  $W'$  squaring to  $-1$ , and similarly for  $J''$ . Compatible means with the Hermitian metric:  $h$  is a map  $\bar{W} \times W \rightarrow \mathbb{C}$  and  $J$  is a map  $W \rightarrow \bar{W}$ , and if  $\xi, \eta \in W'$ , we want

$$h(J'\xi, \overline{J'\eta}) = \overline{h(\xi, \eta)} \quad \text{and} \quad h(J\xi, \eta) = -h(J\eta, \xi).$$

We're particularly interested in the case  $k = 1$ , where this splitting depends only on a conformal structure, and applies to 2-forms. To study its consequences we'll discuss where one can find 2-forms in differential geometry.

**Definition 2.1.** A *fiber bundle* is the data of a smooth map  $\pi: E \rightarrow X$  of smooth manifolds if for all  $x \in X$  there's an open neighborhood  $U$  of  $x$  and a diffeomorphism  $\varphi: U \times \pi^{-1}(x) \rightarrow \pi^{-1}(U)$  such that the diagram

$$(2.2) \quad \begin{array}{ccc} U \times \pi^{-1}(x) & \xrightarrow{\varphi} & \pi^{-1}(U) \\ & \searrow \text{proj}_1 & \swarrow \pi \\ & U & \end{array}$$

commutes. In this case we call  $X$  the *base space* and  $E$  the *total space*. If there is a manifold  $F$  such that in the above definition we can replace  $\pi^{-1}(x)$  with  $F$ , we call  $\pi$  a *fiber bundle with fiber  $F$* .<sup>2</sup> The map  $\varphi$  is called the *local trivialization*.

**Example 2.3.** The *trivial bundle* with fiber  $F$  is the projection map  $X \times F \rightarrow X$ . ◀

*Remark 2.4.* Fiber bundles were first defined by Steenrod in the 1940s, albeit in a different-looking way. His key insight was local triviality. There are variants depending on what kind of space you care about: for example, you can replace manifolds with spaces and smooth maps with continuous maps.

Keep in mind that a fiber bundle is data ( $\pi$ ) and a condition. Often people say “ $E$  is a fiber bundle” when they really mean “ $\pi$  is a fiber bundle”; specifying  $E$  doesn't uniquely specify  $\pi$ . ◀

If  $F$  has more structure, such as a Lie group, torsor, vector space, algebra, Lie algebra, etc., we ask that  $\varphi|_{\pi^{-1}(x)}: F \rightarrow \pi^{-1}(x)$  preserve this structure. For example, in a fiber bundle whose fibers are vector spaces, we want  $\varphi$  to be linear; in this case we call it a *vector bundle*.

**Definition 2.5.** If  $\pi: E \rightarrow X$  is a vector bundle, the space of  *$k$ -forms valued in  $E$* , denoted  $\Omega_X^k(E)$ , is the space of  $C^\infty$  sections of  $\Lambda^k T^*X \otimes E \rightarrow X$ .

For ordinary differential forms (so when  $E$  is a trivial bundle), we have the de Rham differential  $d: \Omega_X^k \rightarrow \Omega_X^{k+1}$ , but we do not have this in general.

**Definition 2.6.** Let  $X$  be a smooth manifold.

- (1) A *distribution* on  $X$  is the subbundle  $E \subset TX$ .
- (2) A vector field  $\xi$  on  $X$  *belongs to  $E$*  if  $\xi_x \in E_x \subset T_x X$  for all  $x$ .
- (3) A submanifold  $Y \subset X$  is an *integral submanifold* for  $E$  if for all  $y \in Y$ ,  $T_y Y = E_y$  inside  $T_y X$ .

Do integral submanifolds exist? This is a local question and a global question (the latter about maximal integral submanifolds). In general, the answer is “no,” as in the next example.

**Example 2.7.** Consider a distribution on  $\mathbb{A}^3$  with coordinates  $(x, y, z)$  given by

$$(2.8) \quad E_{(x,y,z)} = \text{span} \left\{ \frac{\partial}{\partial x}, \frac{\partial}{\partial y} + x \frac{\partial}{\partial z} \right\}.$$

There is no integral surface for this distribution. **TODO:** I missed the argument, sorry. ◀

This is the basic example that illustrates curvature. It turns out that the existence of an integral submanifold is determined completely by the (non)vanishing of a tensor.

**Definition 2.9.** Let  $E \subset TX$  be a distribution. The *Frobenius tensor*  $\phi_E: E \times E \rightarrow TX/E$  given by

$$\xi_1, \xi_2 \mapsto [\xi_1, \xi_2] \bmod E.$$

Let's think about this: the Lie bracket is defined for vector fields, not vectors. So we have to extend  $\xi_1$  and  $\xi_2$  to vector fields (well, sections of  $E$ , since they're in  $E$ ), which is a choice, and then check that what we obtain is independent of this choice. It suffices to know that this is linear over functions: that

$$(2.10) \quad [f_1 \xi_1, f_2 \xi_2] \stackrel{?}{=} f_1 f_2 [\xi_1, \xi_2].$$

---

<sup>2</sup>Not all fiber bundles have a fiber in this sense, e.g. a fiber bundle with different fibers over different connected components.

Of course, this is not what the Lie bracket does: it differentiates in both variables, so we have the extra terms  $f_1(\xi \cdot f_2)\xi_2$  and  $f_2(\xi \cdot f_1)\xi_1$ . But both of these are sections of  $E$ , so vanish mod  $E$ , and therefore we do get a well-defined, skew-symmetric form, a section of  $\Lambda^2 E^* \otimes TX/E$  – not quite a differential form.

Frobenius did many important things in mathematics, across group theory and representation theory and this theorem, which is about differential equations!

**Theorem 2.11** (Frobenius theorem). *An integral submanifold of  $E$  exists locally iff  $\phi_E = 0$ .*

This is a nonlinear ODE. As such, our proof will rely on some facts from a course on ODEs.

**Lemma 2.12.** *Let  $X$  be a smooth manifold,  $\xi$  be a vector field on  $X$ , and  $x \in X$  be a point where  $\xi$  doesn't vanish. Then there are local coordinates  $x^1, \dots, x^n$  around  $x$  such that  $\xi = \partial x^1$  in this neighborhood.*

*Proof.* Let  $\varphi_t$  be the local flow generated by  $\xi$ , and choose coordinates  $y^1, \dots, y^n$  near  $x$  such that  $\xi_x = \frac{\partial}{\partial y^1} \Big|_x$ . Define a map  $U: \mathbb{R}^n \rightarrow X$  by

$$(2.13) \quad x^1, \dots, x^n \mapsto \varphi_{x^1}(0, x^2, \dots, x^n).$$

The right-hand side is expressed in  $y$ -coordinates. Now we need to check this is a coordinate chart, which follows from the inverse function theorem, because the differential of  $\varphi$  is invertible at 0 (in fact, it's the identity). The theorem then follows because  $x^1$  is the time direction for flow along  $\xi$  in this coordinate system.  $\square$

**Lemma 2.14.** *With notation as above, let  $\xi_1, \dots, \xi_k$  be vector fields which are linearly independent at  $x$  and such that  $[\xi_i, \xi_j] = 0$  for all  $1 \leq i, j \leq k$ . Then there exist local coordinates  $x^1, \dots, x^n$  such that for  $1 \leq i \leq k$ ,  $\xi_i = \frac{\partial}{\partial x^i}$ .*

In fact, the converse is also true, but trivially so: it's the theorem in multivariable calculus that mixed partials commute.

*Proof.* Let  $\varphi_1, \dots, \varphi_k$  be the local flows for  $\xi_1, \dots, \xi_k$ . Because the pairwise Lie brackets commute,  $\varphi_i \varphi_j = \varphi_j \varphi_i$ . Since these vector fields are linearly independent at  $x$ , we can choose local coordinates  $y^1, \dots, y^n$  around  $x$  such that  $\xi_i|_x = \frac{\partial}{\partial y^i} \Big|_x$ . Then, as above, define

$$(2.15) \quad x^1, \dots, x^n \mapsto (\varphi_1)_{x_1}(\varphi_2)_{x_2} \cdots (\varphi_k)_{x_k}(0, \dots, 0, x^{k+1}, \dots, x^n).$$

You can check that  $d\varphi$  is invertible, so this is a change of coordinates, and then, using the fact that the flows commute, you can see that the lemma follows.  $\square$

These lemmas are important theorems in their own right.

*Proof of Theorem 2.11.* Since the theorem statement is local, we can work in affine space  $\mathbb{A}^n$ . Let  $\pi: \mathbb{A}^n \rightarrow \mathbb{A}^k$  be an affine surjection such that  $d\pi_0$  restricts to an isomorphism  $E_0 \rightarrow \mathbb{R}^k$ . Restrict to a neighborhood  $U$  of 0 in  $\mathbb{A}^n$  such that  $d\pi_p|_{E_p}: E_p \rightarrow \mathbb{R}^k$  is an isomorphism for all  $p \in U$ , and choose  $\xi_i|_p \in E_p$  such that  $d\pi_p(\xi_p) = \frac{\partial}{\partial y^i}$ . Then,  $[\xi_i, \xi_j] = 0$ : we know it's in  $E$ , and

$$(2.16) \quad d\pi[\xi_i, \xi_j] = [d\pi(\xi_i), d\pi(\xi_j)] = \left[ \frac{\partial}{\partial y^i}, \frac{\partial}{\partial y^j} \right] = 0.$$

Now apply Lemma 2.14; then  $\{y^{k+1} = \dots = y^n = 0\}$  gives the desired integral submanifold.  $\square$

The idea of the theorem is that it's a local normal form for an involutive distribution (one whose Frobenius tensor vanishes): locally it looks like the splitting of  $\mathbb{R}^n$  into the first  $k$  coordinates and the last  $(n - k)$  coordinates. And in that local model, we know what the integral manifolds are.

Consider a fiber bundle with a discrete fiber (i.e. the inverse image of every point has the discrete topology). This is also known as a *covering space*. On a “nearby fiber,” whatever that means (without more data, we don't have a metric on the base space), we have some sort of parallel transport. The precise statement is that there's a neighborhood of any  $x$  on the base space such that any path in that neighborhood lifts to a path on the total space, unique if you specify a point in the fiber. More generally, you can lift families of paths, which illustrates a homotopy-theoretic generalization of a fiber bundle called a *fibration*. But globally, given an element of  $\pi_1(X)$ , it might lift to a nontrivial automorphism of the fiber.

We'd like to do this for more general fiber bundles  $\pi: E \rightarrow X$ , in which case we'll need more data. The kernel of  $d\pi$  is a distribution, and consists of the “vertical” vectors (projection down to  $X$  kills them). A complement is “horizontal”.

Without any choice, we get a short exact sequence at every  $e \in E$ :

$$(2.17) \quad 0 \longrightarrow \ker(d\pi_e) \longrightarrow T_e E \xrightarrow{d\pi_e} T_x X \longrightarrow 0,$$

and a splitting is exactly the choice of a complement  $H_e: T_x X \rightarrow T_e E$ . We would like to do this over the whole base, which motivates the next definition.

**Definition 2.18.** Let  $\pi: E \rightarrow X$  be a fiber bundle. A *horizontal distribution* is a subbundle  $H \subset TE$  transverse to  $\ker(d\pi)$ , or equivalently a section of the (surjective) map  $TE \rightarrow \pi^*TX$  of vector bundles on  $E$ .

We must address existence and uniqueness. At  $e$  the space of splittings is an affine space modeled on  $\text{Hom}(T_x X, \ker(d\pi_e))$ , because **TODO** something with a short exact sequence.

Therefore existence and uniqueness of a horizontal distribution is a question about existence and uniqueness of a section of an affine bundle over  $X$ . Using partitions of unity, we can construct many of these: existence is good, but uniqueness fails.

What about path lifting? Suppose  $\gamma: [0, 1] \rightarrow X$  is a path in  $X$  beginning at  $x_0$  and terminating at  $x_1$ . We can pull back both  $E$  and  $H$  by  $\gamma$ , to obtain a rank-1 distribution  $\gamma^*H$  in  $\gamma^*TE$ , and the projection map to  $T[0, 1]$  is a fiberwise isomorphism. Therefore given a vector at  $x_0 = \gamma(0)$  we get a unique horizontal lift along  $[0, 1]$  to a vector field, and therefore get a unique integral curve above  $\gamma$ .

Note that you cannot always lift higher-dimensional submanifolds, and again the obstruction is the Frobenius tensor, because that's the obstruction to the existence of an integral submanifold. In this context the Frobenius tensor is called *curvature* – right now it's on the total space, but in some settings we can descend it to the base.