

# SPRING 2017 GEOMETRIC LANGLANDS SEMINAR

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## 1. A CATEGORIFIED VERSION OF THE FOURIER TRANSFORM: 1/20/17

We've seen that for two-dimensional gauge theories with group  $G$ , there's a relationship with the Fourier transform for  $G$ . Today, we're going to talk about a categorified version of this, and in a few weeks we'll connect it to three-dimensional gauge theory.

Let's recall some facets of the Fourier transform. Let  $G$  be a locally compact abelian (LCA) group, and let  $\widehat{G} = \text{Hom}_{\text{TopGrp}}(G, \text{U}(1))$  be its Pontrjagin dual. This is a dual in that  $\widehat{\widehat{G}} \cong G$ .

The Fourier transform is an isomorphism  $L^2(G) \xrightarrow{\cong} L^2(\widehat{G})$  sending pointwise multiplication to convolution and vice versa. There's a nice dictionary between the two sides:

- A representation of  $G$  is sent to a family of vector spaces on  $\widehat{G}$ .
- Finite groups are sent to finite groups.
- Lattices are sent to tori.
- A vector space is sent to its dual vector space.

Today, we're going to talk about Cartier duality, an algebraic analogue of this.

Let  $G$  be an algebraic group: this is the notion of a group in algebraic geometry just as Lie groups are the correct notion of groups in differential geometry. One can think of algebraic groups as functors from rings to groups; this is the functor-of-points perspective.

We have no analogue of  $\text{U}(1)$  in this setting, so we consider all characters  $\chi : G \rightarrow \mathbb{G}_m = \text{GL}_1$ ; the codomain is defined by the group of units functor  $\text{Ring} \rightarrow \text{Grp}$  sending  $R \mapsto R^\times$ . As a scheme, this is  $\mathbb{A}^1 \setminus 0$  or  $\text{Spec } k[x, x^{-1}]$ .

The *Cartier dual* of  $G$  is  $\widehat{G} = \text{Hom}_{\text{AlgGrp}}(G, \mathbb{G}_m)$ . That is, for any ring  $R$ ,  $G(R) = \text{Hom}_{\text{Grp}}(G(R), R^\times)$ . For “nice  $G$ ,” we'd like  $G \cong \widehat{\widehat{G}}$ . But what kinds of groups meet this condition?

$G$  had better be abelian (since  $\widehat{G}$  always is), and in fact we'll need it to be a *finite flat group scheme*. This idea might be new if you're used to thinking of algebraic geometry over  $\mathbb{C}$ , where these are exactly the finite abelian groups, but over other fields, it might be different.

**Example 1.1.** Let  $G = \mathbb{Z}/n$ . Then, its dual is  $\widehat{\mathbb{Z}/n} = \text{Hom}(\mathbb{Z}/n, \mathbb{G}_m)$ , which can be identified with the group of  $n^{\text{th}}$  roots of unity,  $\mu_n$ . Over  $\mathbb{C}$ , this is  $\langle e^{2\pi i/n} \rangle$  and therefore identified with  $\mathbb{Z}/n$ , but over fields with characteristic dividing  $n$ , there are fewer  $n^{\text{th}}$  roots of unity. We're not going to worry too much about this. ◀

Akin to Pontrjagin duality, if we let  $G = \mathbb{G}_m$ , we get  $\widehat{G} = \mathbb{Z}$ , and if  $G$  is a torus,  $\widehat{G}$  is the dual lattice in it.

For the Fourier transform, we want to look at vector spaces, e.g. the *additive group*  $\mathbb{G}_a = \mathbb{A}^1$ . We want to understand homomorphisms  $\mathbb{G}_a \rightarrow \mathbb{G}_m$ . We know that these would be given by  $x \mapsto e^{xt}$ , but this doesn't make sense unless  $t$  is nilpotent, so that the exponential

$$e^{xt} = \sum \frac{(xt)^n}{n!}$$

is a finite sum! That is, we want the dual of the  $x$ -line  $\mathbb{G}_a$  to be the  $t$ -line, but we don't get very far along  $t$ . Since we don't know what order  $t$  is, we obtain the *formal completion*

$$\widehat{\mathbb{G}}_a = \varinjlim_n \operatorname{Spec} k[t]/(t^n),$$

heuristically a union of  $n^{\text{th}}$ -order thickenings of 0. Here, the hat is completion, not dual.

More generally, let  $V$  be a vector space. Then, its Cartier dual is the formal completion of the dual vector space: we want to take  $e^{\langle v, v^* \rangle}$ , but we need  $v^*$  to be nilpotent.

Alternatively, since Cartier duality is symmetric, the Cartier dual of the formal completion of the additive group is  $\mathbb{G}_a$ . That is, if  $x$  is nilpotent,  $e^{xt}$  makes sense for arbitrary  $t$ .

Since we're doing algebraic geometry, it's good to think of this in terms of functions. If  $G$  is a group,  $\mathcal{O}(G)$  is not just a ring, but also has a *comultiplication* pulling functions back along multiplication:  $\mu^* : \mathcal{O}(G) \rightarrow \mathcal{O}(G) \otimes \mathcal{O}(G)$ . This makes  $\mathcal{O}(G)$  into a *coalgebra*, and it's cocommutative iff  $G$  is commutative.

If  $G$  is finite, then you can dualize explicitly:  $\mathcal{O}(G)$  is a finite-dimensional vector space, so  $\mathcal{O}(G)^\vee$  has a convolution operator induced from the comultiplication. This is the same as convolution of distributions. In fact, it's possible to prove that the Cartier dual is  $\widehat{G} = \operatorname{Spec}(\mathcal{O}(G)^\vee, *)$ . Functions on  $\widehat{G}$ , with multiplication, are the same as distributions on  $G$ , with convolution. This is what we had in the analytic setting, albeit with a little more care to functions versus distributions.

A point of  $\widehat{G}$  defines an algebraic function on  $G$ : it's a character  $\chi : G \rightarrow \mathbb{G}_m$ , so composing with the inclusion  $\mathbb{G}_m \hookrightarrow \mathbb{A}^1$ , we get a map  $G \rightarrow \mathbb{A}^1$ . We can assemble this into a diagram

$$\begin{array}{ccc} & G \times \widehat{G} & \\ \swarrow & & \searrow \\ G & & \widehat{G}, \end{array}$$

and there's a tautological function on  $G \times \widehat{G}$ , which is evaluation:  $(g, \chi) \mapsto \chi(g) \in \mathbb{A}^1$ . This is akin to the exponential  $(x, t) \mapsto e^{xt}$ .

If  $G$  is infinite, you have to be more careful with topology. For example,  $\mathcal{O}(\mathbb{G}_m) = k[x, x^{-1}]$ , which sort of looks like the group algebra  $k[\mathbb{Z}]$  over the integers, but there we have to restrict to finite expressions.

**A sheaf-theoretic perspective.** Rather than looking at functions, which don't behave very well in this context, let's look at sheaves.

There are three tensor categories associated to any group  $G$ .

- (1) Since  $R = \mathcal{O}(G)$  is a commutative ring, we can use  $\mathbf{Mod}_{\mathcal{O}(G)}$  to generate the category  $\mathbf{QC}(G)$  of quasicoherent sheaves on  $G$ .<sup>1</sup> The commutative tensor product  $\otimes_R$  on  $\mathbf{Mod}_R$  extends to a symmetric monoidal structure on  $\mathbf{QC}(G)$ . This does not require  $G$  to be a group.
- (2) Since  $G$  is a group,  $\mathcal{O}(G)$  is a bialgebra (actually a Hopf algebra), so  $\mathbf{Mod}_{\mathcal{O}(G)}$  has a monoidal structure given by tensoring over the base field  $k$  rather than over  $R$ . That is, if  $M$  and  $N$  are  $\mathcal{O}(G)$ -modules,  $M \otimes_k N$  has an  $R \otimes R$ -module structure, and then we can induce along the map  $R \rightarrow R \otimes R$  to obtain an  $R$ -module structure.

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<sup>1</sup>If  $G$  is an affine scheme, the categories are the same.

This monoidal structure is a convolution:

$$\begin{array}{ccc} & G \times G & \\ \swarrow & \downarrow \mu & \searrow \\ G & & G \\ & \downarrow & \\ & G & \end{array}$$

Here, we take  $M$  and  $N$  over  $G$  and realize them over  $G \times G$  using the exterior product  $M \boxtimes N$ , and then pushforward along the multiplication map. This is the same category  $\mathrm{QC}(G)$ , but with a completely different structure, and this is one of the advantages of sheaves: instead of having to keep functions and distributions apart, sheaves can both pull back and push forward.

- (3) The third approach is to take the category of representations of  $G$ , which can be tensored together. How can you say this geometrically?  $G$ -representations are  $\mathcal{O}(G)$ -comodules, vector spaces  $V$  with a coaction map  $V \rightarrow V \otimes \mathcal{O}(G)$  satisfying *coassociativity*, i.e. that the following diagram is an equalizer diagram:

$$V \longrightarrow V \otimes \mathcal{O}(G) \rightrightarrows V \otimes \mathcal{O}(G) \otimes \mathcal{O}(G).$$

In a sense, this encodes the notion that representations are modules over the group algebra, but we don't have distributions, so the arrows go the other way. This is a symmetric monoidal category, where the tensor product has the coalgebra structure defined by composing the maps

$$V \otimes W \longrightarrow V \otimes W \otimes \mathcal{O}(G) \otimes \mathcal{O}(G)$$

and  $\mathcal{O}(G) \otimes \mathcal{O}(G) \rightarrow \mathcal{O}(G)$ .

This is not a category of quasicoherent sheaves on  $G$ ; rather, it's  $\mathrm{QC}(\bullet/G)$ , where  $\bullet/G$  is the classifying stack (or groupoid) of  $G$ . This comes from the pushout diagram  $\bullet/G \leftarrow \bullet \rightrightarrows G$ .

Cartier duality allows these categories to interact with each other. Namely, suppose  $G$  and  $\hat{G}$  are dual (so  $G$  is abelian, etc.). Then, Cartier duality establishes an equivalence of categories  $\mathrm{Rep}_G \cong \mathrm{QC}(\hat{G})$ , and  $\mathcal{O}(G)$ -comodules become  $\mathcal{O}(G)^\vee$ -modules. This is just as in ordinary Pontrjagin duality: representations of  $G$  become families of functions on  $\hat{G}$ .

(By the way, if you're holding out for examples, we'll soon see a whole bunch of them.)

In fact, the tensor structure is also in play: the duality is between the tensor product structure on  $\mathrm{Rep}_G$  (or  $\mathrm{QC}(\bullet/G)$ ) and the convolution structure on  $\mathrm{QC}(\hat{G})$ .

We're going to abstract  $G$  away to a different duality operation  $\mathrm{QC}(\mathcal{G}) \xrightarrow{\cong} \mathrm{QC}(\mathcal{G}^\vee)$ . In our case,  $\mathcal{G} = \bullet/G$  and  $\mathcal{G}^\vee = \hat{G}$ . The classifying space  $\bullet/G$  (also called  $BG$ ) classifies  $G$ -bundles, and since  $G$  is abelian, you can tensor  $G$ -bundles. That is, if  $\mathcal{P}_1$  and  $\mathcal{P}_2$  are  $G$ -bundles, the relative tensor product  $\mathcal{P}_1 \times_G \mathcal{P}_2$  is again a  $G$ -bundle, meaning  $\bullet/G$  is an abelian group under the tensor product of  $G$ -bundles?

What does this actually mean? We're thinking of varieties (and generalizations such as stacks) as functors  $\mathrm{Ring} \rightarrow \mathrm{Set}$ ; that  $\bullet/G$  is an abelian group means that the assignment from a ring  $R$  to the (groupoid of)  $G$ -bundles on  $\mathrm{Spec} R$  naturally factors through the category of abelian groups. That is,  $\bullet/G$  is an abelian group object in the world of stacks.

Now, we define the *Fourier-Mukai dual*  $\mathcal{G}^\vee = \mathrm{Hom}_{\mathrm{Grp}}(\mathcal{G}, B\mathbb{G}_m)$ . Here  $B\mathbb{G}_m$  classifies line bundles, so this is a version of the Picard group. However, since we've restricted to group homomorphisms, we only get what's known as multiplicative line bundles.

**Definition 1.2.** Let  $\mathcal{L} \rightarrow G$  be a line bundle over a group  $G$  and  $\mu : G \times G \rightarrow G$  be multiplication. If  $\mu^* \mathcal{L} \cong \mathcal{L} \boxtimes \mathcal{L}$ , then  $\mathcal{L}$  is called a *multiplicative* line bundle.

The idea is that over  $x, y \in G$ ,  $\mathcal{L}_x \otimes \mathcal{L}_y \cong \mathcal{L}_{xy}$ .

In a sense, we've shifted the Cartier duality operation:  $(\bullet/G)^\vee = \mathrm{Hom}_{\mathrm{Grp}}(\bullet/G, \bullet/\mathbb{G}_m) = \mathrm{Hom}_{\mathrm{Grp}}(G, \mathbb{G}_m) = \hat{G}$  as before. So why categorify? In this stacky version, instead of a universal function on  $G \times \hat{G}$ , there's a universal line bundle  $\mathcal{L} \rightarrow \mathcal{G} \times \mathcal{G}^\vee$ :

$$\begin{array}{ccc} & \mathcal{G} \times \mathcal{G}^\vee & \\ \swarrow \pi_1 & & \searrow \pi_2 \\ \mathcal{G} & & \mathcal{G}^\vee \end{array}$$

This bundle  $\mathcal{L}$  is called the *Poincaré line bundle*. And it allows us to define a Fourier transform: given a sheaf  $\mathcal{F}$  on  $\mathcal{G}$ , we can pullback and pushforward to obtain  $\pi_{2*}(\pi_1^* \mathcal{F} \otimes \mathcal{L}) \in \mathbf{QC}(\mathcal{G}^\vee)$ . This actually defines an equivalence of categories, which is known as *Cartier duality* or *Laumon-Fourier-Mukai duality*.

**Example 1.3.** The most interesting example is where  $\mathcal{G} = A$  is an abelian variety and  $\mathcal{G}^\vee = A^\vee$  is the dual variety. Then, the integral transform with the Poincaré sheaf defines an equivalence of the derived categories  $D(A) \cong D(A^\vee)$ , which is the classical *Fourier-Mukai transform*. ◀

**Example 1.4.** We could also take  $\mathcal{G} = \mathbb{G}_m$  and  $\mathcal{G}^\vee = B\mathbb{Z}$ . Then, this duality tells us that  $\mathbb{Z}$ -graded vector spaces are the same things as representations of  $\mathbb{G}_m$ . ◀

## 2. THE FOURIER-MUKAI TRANSFORM: 2/3/17

Today we're going to talk about the Fourier-Mukai transform, which is a categorical analogue of the Fourier transform.

Recall that if we have geometric spaces  $X$  and  $Y$ , an *integral transform* is a function  $\Phi: \mathbf{Fun}(X) \rightarrow \mathbf{Fun}(Y)$  represented by a *kernel*, a function  $K \in \mathbf{Fun}(X \times Y)$  such that  $\Phi$  is defined by a pullback-pushforward

$$\begin{array}{ccc} & X \times Y & \\ \pi_1 \swarrow & & \searrow \pi_2 \\ X & & Y, \end{array}$$

in that  $\Phi(f) = \pi_{2*}(\pi_1^* f \cdot K)$ . The map  $x \mapsto f_x(y) := K(x, y)$  is  $\Phi(\delta_x)$ , so this can be thought of as a map  $X \rightarrow \mathbf{Fun} Y$ . If  $\Phi$  is an isomorphism, then since  $\{\delta_x\}$  is a basis for  $\mathbf{Fun} X$ , then  $\{f_x\}$  is a basis for  $\mathbf{Fun} Y$ . These are the exponentials in the ordinary Fourier transform.

Now suppose  $X$  and  $Y$  are algebraic varieties, so integral transforms look like functors  $\Phi: \mathbf{QC}(X) \rightarrow \mathbf{QC}(Y)$ . If  $X = \mathrm{Spec} R$  and  $Y = \mathrm{Spec} S$ , then  $\Phi: \mathbf{Mod}_R \rightarrow \mathbf{Mod}_S$ , and the Eilenberg-Watts theorem says that  $\Phi$  must be tensoring with an  $(R, S)$ -bimodule  ${}_R K_S$ , which is the kernel. In particular,  $K \in \mathbf{QC}(X \times Y) = \mathbf{Mod}_{R \otimes S}$ . Thus, if  $M$  is a bimodule,

$$\Phi(M) = \pi_{2*}(\pi_1^* M \otimes_R K_S).$$

The map  $\pi_{2*}$  forgets the  $R$ -structure, hence is exact; if we want  $\Phi$  to be exact, we must assume  $K$  is flat over  $R$ . In great generality, functors  $\Phi: \mathbf{QC}(X) \rightarrow \mathbf{QC}(Y)$  are given by kernels  $K \in \mathbf{QC}(X \times Y)$  satisfying a push-pull formula. However, if  $K$  isn't flat,  $- \otimes K$  must be taken in a derived sense, and if  $X$  isn't affine,  $\pi_{2*}$  (global sections in the  $X$ -direction) isn't exact, and must again be taken in a derived sense, taking cohomology. Sometimes, functors like  $\Phi$  are called *Fourier-Mukai functors*, but there's nothing particularly "Fourier" about them yet.

Suppose  $x \in X$ ; we can identify it with the skyscraper sheaf  $\mathcal{O}_x$  at  $x$ , which  $\Phi$  maps to  $\mathcal{F}_x := \Phi(\mathcal{O}_x) \in \mathbf{QC}(Y)$ , and  $\mathcal{F}_x = K|_{\pi_1^{-1}(x)}$ . This is an assignment of a sheaf on  $Y$  to every point in  $X$ , therefore defining a map from  $X$  to some moduli space of sheaves on  $Y$ . This map might not be interesting, but it is sometimes, and it always exists.

In fact, let's suppose  $X = \mathcal{M}$  is a moduli space of sheaves on  $Y$ . There are natural transforms  $\mathbf{QC}(\mathcal{M}) \rightarrow \mathbf{QC}(Y)$ , e.g. the tautological construction whose kernel on  $\pi_1^{-1}(x)$  is the sheaf defined by  $x \in \mathcal{M}$ . More concretely, let  $X = \mathrm{Pic} Y$ , the moduli space of line bundles. There's a canonical bundle  $\mathcal{P} \rightarrow \mathrm{Pic} Y \times Y$  such that  $\mathcal{P}|_{(\mathcal{L}, y)} = \mathcal{L}|_y$ , and this gives an interesting transform. (There are uninteresting transforms: the moduli space of skyscraper sheaves on  $Y$  is just  $Y$  itself, and the kernel is the identity matrix).

When is  $\Phi$  an equivalence of categories, either in the usual or derived sense? The "orthonormal basis"  $\mathcal{O}_x$  is mapped to  $\mathcal{F}_x$ . It's orthogonal in the sense that

$$\mathrm{Hom}(\mathcal{O}_x, \mathcal{O}_y) = \begin{cases} 0, & x \neq y \\ k, & x = y. \end{cases}$$

If  $x \in X$  is smooth, the derived analogue is  $\mathrm{Ext}(\mathcal{O}_x, \mathcal{O}_x) = \Lambda^\bullet T_x$ . The "basis" part is that if  $\mathcal{F}$  is coherent,  $\mathrm{Hom}(\mathcal{F}, \mathcal{O}_x) = 0$  for all  $x$  iff  $\mathcal{F} = 0$ . So if  $\Phi$  is to be an equivalence, we need  $\mathrm{Hom}(\mathcal{F}_x, \mathcal{F}_y) = 0$  unless  $x = y$ , in which case you get the same algebra, and you need the same conditions: if  $\mathcal{G}$  is coherent and  $\mathrm{Hom}(\mathcal{G}, \mathcal{F}_x) = 0$  for all  $x$ , then  $\mathcal{G} = 0$ .

Let  $G$  be an abelian group (in schemes or in grouoids), and  $Y = G^\vee = \text{Pic}^\mu G$ , the space of *multiplicative* line bundles on  $G$ . A line bundle  $\mathcal{L}$  is multiplicative if there's a coherent isomorphism  $\mathcal{L}_x \otimes \mathcal{L}_y \xrightarrow{\cong} \mathcal{L}_{x+y}$  (this is data, not a condition!), equivalent to an isomorphism  $\mu^* \mathcal{L} \cong \mathcal{L} \boxtimes \mathcal{L}$ , where  $\mu : G \times G \rightarrow G$  is multiplication.  $\text{Pic}^\mu G$  can be identified with  $\text{Hom}_{\text{Grp}}(G, B\mathbb{G}_m)$ , where  $B\mathbb{G}_m$  is the moduli of lines.

There's a tautological line bundle  $\mathcal{P} \rightarrow G \times G^\vee$ , which at  $(g, \mathcal{L})$  is  $\mathcal{L}_g$ . This is a kernel, and hence defines a kernel transform.

**Theorem 2.1** (Laumon-Fourier-Mukai). *In many situations, this kernel transform is an equivalence, and exchanges tensor product with convolution.*

We'll see plenty of examples, making the "many situations" less vague, and these examples encompass some interesting dualities.

**Example 2.2.** Let  $G = \mathbb{G}_m$ . What's  $\text{Pic } \mathbb{G}_m$ ? There's only one line bundle, but it has a lot of automorphisms, so we get  $\text{Pic } \mathbb{G}_m = \bullet / \mathcal{O}^*(\mathbb{G}_m)$ . The trivial bundle is multiplicative, but asking for automorphisms to preserve this structure rigidifies it:  $G^\vee$  is  $\bullet$  modulo the homomorphisms  $\mathbb{G}_m \rightarrow \mathbb{G}_m$ , i.e. the characters of  $\mathbb{G}_m$ . These are given by integers ( $x \mapsto x^n$ ), so  $G^\vee = \bullet / \mathbb{Z}$ , also denoted  $B\mathbb{Z}$ .

A quasicoherent sheaf on  $\mathbb{G}_m$  is equivalent data to a  $\mathbb{C}[z, z^{-1}]$ -module, hence the data of a vector space and an invertible map, which is the same thing as a  $\mathbb{Z}$ -representation, and  $\text{Rep}_{\mathbb{Z}} \cong \text{QC}(B\mathbb{Z})$ . This is the duality function; there's nothing derived going on here.

On  $\text{QC}(\mathbb{G}_m)$ , the tensor product is the usual tensor product, and the convolution is  $M * N := M \otimes_{\mathbb{C}} N$ , which is a  $\mathbb{C}[z, z^{-1}]$ -module via the coproduct map  $\mathbb{C}[z, z^{-1}] \rightarrow \mathbb{C}[w, w^{-1}] \otimes \mathbb{C}[t, t^{-1}]$  sending  $z \mapsto w \otimes t$ . This is mapped to the tensor product on  $\text{Rep}_{\mathbb{Z}}$ , and the tensor product is mapped to its convolution. A similar story can be told for any group.

This is an example of homological mirror symmetry! We think of  $B\mathbb{Z}$  as  $S^1 = K(\mathbb{Z}, 1)$  (in some suitable homotopical sense), and so a quasicoherent sheaf on  $B\mathbb{Z}$  is the same thing as a locally constant sheaf (local system) on  $S^1$ : a  $\mathbb{Z}$ -representation is determined by what 1 does, and this is the monodromy as you go around  $S^1$ . Fukaya categories are meant to make this work: the wrapped Fukaya category attached to  $T^*S^1$  is  $\text{QC}(B\mathbb{Z})$ , the local systems on  $S^1$ .

Mirror symmetry says that the  $B$ -model on a space  $X$  should be equivalent to the  $A$ -model on the mirror  $X^\vee$ ; the mirror of  $\mathbb{C}^*$  is  $\mathbb{C}^*$ . The boundary conditions on the  $B$ -model encode  $\text{QC}(\mathbb{C}^*)$ , and this should map to the Fukaya category of its mirror. Fukaya categories in general are nightmarish, but in this case everything is nice.  $\blacktriangleleft$

**Example 2.3.** Suppose  $G$  is an algebraic torus, so a product of copies of  $\mathbb{G}_m$ :  $G = (\mathbb{G}_m)^n$ . Then,  $G^\vee = B\Lambda$ , where  $\Lambda$  is the character lattice  $\Lambda := \text{Hom}_{\text{Grp}}(T, \mathbb{G}_m)$ . This can be identified with the dual of the compact torus  $T_c^\vee \cong (S^1)^n = K(\Lambda, 1)$ . Then,  $\text{QC}(T)$  is identified with the Fukaya category on the cotangent space of the compact torus. In some sense, this is the base case of mirror symmetry that people want to reduce everything down to.  $\blacktriangleleft$

**Example 2.4.** Moving away from mirror symmetry, suppose  $G = \mathbb{Z}$ . Then,  $G^\bullet$  is a point modulo the characters of  $G$ , so  $\bullet / \mathbb{G}_m = B\mathbb{G}_m$ . A sheaf on  $\mathbb{Z}$  is a vector space for each integer, so a  $\mathbb{Z}$ -graded vector space, and a  $\mathbb{Z}$ -graded vector space is the same thing as a  $\mathbb{C}^*$ -representation! (The grading is given by the different eigenvalues.) You can generalize this: if  $G$  is a lattice,  $G^\vee$  is the classifying space of the dual torus.  $\blacktriangleleft$

**Example 2.5.** If  $G = \mathbb{A}^1$ , then  $G^\vee$  is a point modulo the characters of  $\mathbb{A}^1$ ; last time, we talked about how these are the formal completion of  $\mathbb{A}^1$ :  $G^\vee = \bullet / \widehat{\mathbb{A}^1}$ . A quasicoherent sheaf on  $\mathbb{A}^1$  is the same thing as a  $\mathbb{C}[[x]]$ -module, which is equivalent to a vector space with an endomorphism, and this is the same as a representation of the Lie algebra  $\mathbb{C}$ . We want to exponentiate, but can only do so in a small neighborhood, so this is the same thing as a representation of the formal group  $\widehat{\mathbb{A}^1}$ .

More generally, if  $V$  is a vector space,  $V^\vee = \bullet / \widehat{V}^*$ .  $\blacktriangleleft$

**Example 2.6.** Dually, if  $G = \widehat{\mathbb{A}^1}$ , then its characters are just  $\mathbb{A}^1$  again, so  $G^\vee = \bullet / \mathbb{A}^1$ . A quasicoherent sheaf on  $\widehat{\mathbb{A}^1}$  is a module over  $\mathbb{C}[[x]]$ , hence a vector space with a nilpotent endomorphism. A representation of

the additive group is a representation of its Lie algebra, but we can exponentiate to any order, and therefore the action of the Lie algebra  $\mathbb{C}$  must be nilpotent.<sup>2</sup> ◀

These examples are all tautological, in a sense; the following, due to Mukai is not.

**Example 2.7.** Let  $G = A$  be an abelian variety, so it's a compact, connected abelian algebraic group (hence a torus  $\mathbb{C}^n/\Lambda$ ). Let  $A^\vee$  be the dual variety: literally the dual vector space modulo the dual torus. This is  $\text{Pic}^0 A$ , the space of degree-0 line bundles trivialized at the identity. This is the same thing as multiplicative line bundles.

You can think of these not just as line bundles on  $A$ , but extensions of  $A$ :  $A^\vee = \text{Ext}_{\text{Grp}}^1(A, \mathbb{G}_m) = \text{Hom}(A, B\mathbb{G}_m)$ : we have a fiber bundle  $\mathbb{C}^* \rightarrow \mathcal{L}^\times \rightarrow A$ , and we've identified  $\mathcal{L}^\times|_{\text{id}} \cong \mathbb{C}^*$ , so what you have is an extension. There's a proof of this in Langlands' book, or Polishchuk's book on abelian varieties.

The Poincaré line bundle  $\mathcal{P} \rightarrow A \times A^\vee$  applies as usual, but the pushforward in the kernel transform has to be derived:

$$\mathcal{F} \mapsto \mathbf{R}\pi_{2*}(\pi_1^* \mathcal{F} \otimes \mathcal{P}).$$

This defines an equivalence of derived categories:  $D(A) \xrightarrow{\cong} D(A^\vee)$ , and was one of the first equivalences of derived categories that anyone considered. In fact, it was the first equivalence of derived categories between non-isomorphic varieties (with no stacky stuff).

More poetically, this says that any sheaf on  $A$  can be written as an “integral” of line bundles, or line bundles form a “basis” for sheaves on an abelian variety (as do skyscrapers). If you're interested in studying abelian varieties, this is very useful.

For example, if  $A = \text{Jac } C$ , then it's canonically self-dual, and the transform is an interesting self-duality on  $D(\text{Jac } A)$ . This is the space of degree 0 line bundles; alternatively, you can look at  $\text{Bun}_T^0 C$ , the space of degree-0  $T$ -bundles on  $C$  (here  $T$  is a torus). In this case, the dual is  $A^\vee = \text{Bun}_{T^\vee}^0 C$ , the space of dual torus bundles. ◀

The geometric Langlands program is in some sense a fancy generalization of this example.

**Example 2.8** (de Rham spaces). We want to quotient  $\mathbb{A}^1$  by a normal subgroup. There's not a lot of options, but we can choose the formal completion, and let  $G = \mathbb{A}^1/\widehat{\mathbb{A}}^1$  (a sum of points very close to 0 stays close to 0, and everything is abelian). You can do this for any group  $G$ : let  $\widehat{G}$  denote its formal completion at the identity. Then, translating by some  $g \in G$ , we get  $\widehat{G} \cdot g = \widehat{G}_g$ , the completion at  $g$ .

The quotient  $G/\widehat{G}$  doesn't quite make sense as a variety, but you can define it as a functor  $\text{Ring} \rightarrow \text{Grp}$ , sending  $R \mapsto G(R)/\widehat{G}(R)$ . (Here,  $\widehat{G}(R)$  is the group of maps  $\text{Spec } R \rightarrow G$  that send the reduced part of  $R$  to 1.) Consider the groupoid (equivalence relation)  $\widehat{G} \times \widehat{G}|_\Delta$ , meaning we've identified things that are arbitrarily close to the diagonal; then, modding out by this is the same thing as modding by  $\widehat{G}$ .

The advantage of this is that you don't need a group structure: for any space  $X$ , its *de Rham space* is  $X_{\text{dR}} := X/\widehat{X} \times \widehat{X}|_\Delta$ , so  $X$  modulo  $x \sim y$  when  $x$  is arbitrary close to  $y$ . From a functor-of-points perspective,  $X_{\text{dR}}(R) := X(R^{\text{red}})$ : “ $X$  modulo calculus.” For groups, this is particularly nice:  $g, h \in G$  are close iff  $h^{-1}g$  is very close to the identity.

Why does this get to be called the de Rham space? The functions are  $\mathcal{O}(X_{\text{dR}})$ , the functions on  $X$  invariant under infinitesimal translation, so must have constant Taylor series. In other words, these functions are the kernel of the de Rham differential  $d : \mathcal{O}(X) \rightarrow \Omega^1$ . And when you see this, you imagine the rest of the de Rham complex: the derived notion of functions on  $X_{\text{dR}}$  is the de Rham cohomology of  $X$ ! So it's almost never representable, but it's still useful for studying de Rham cohomology. The functor  $X \mapsto X_{\text{dR}}$  is adjoint to taking reductions:  $\text{Hom}(S, X_{\text{dR}}) = \text{Hom}(S_{\text{red}}, R)$ . Gaitsgory calls it a “prestack,” but there's nothing stacky, as we're quotienting by an equivalence relation.

Great, so what about the sheaves  $\text{QC}(X_{\text{dR}})$ ? These are the sheaves on  $X$  where  $\mathcal{F}_x \cong \mathcal{F}_y$  if  $x$  and  $y$  are infinitesimally close. That is,  $\mathcal{F}$  is trivialized on formal neighborhoods of a point. This is equivalent to  $\mathcal{F}$  being a *crystal* or  $\mathcal{D}$ -module, or a sheaf with a flat connection (at least in characteristic 0). The idea is this is a sheaf with some kind of locally constant csections, which vanish when you apply the connection  $\nabla : \mathcal{F} \rightarrow \mathcal{F} \otimes \Omega^1$ . ◀

<sup>2</sup>The passage between Lie algebras and formal groups requires some characteristic 0 properties, but a lot of this still works over other fields.

This could be considered a roundabout way to introduce  $\mathcal{D}$ -modules. Suppose  $\mathcal{G} \rightrightarrows X$  is a groupoid acting on  $X$ . A  $\mathcal{G}$ -equivariant sheaf is a module for the groupoid algebra of distributions (or measures) on  $G$ . Functions on  $\mathcal{G}$  form a coalgebra (just as for a group), and a  $\mathcal{G}$ -equivariant sheaf is a comodule for  $\mathcal{O}(\mathcal{G})$ . The functions on  $\widehat{X \times X}|_\Delta$  is the jets of functions  $\mathcal{J}$ , functions vanishing to some order.

If you dualize over one of the factors of  $X \times X$ , the dual is

$$\mathcal{J}^* = \bigcup_n \text{Hom}_{\mathbb{C}}(\mathcal{O}_X, \mathcal{O}_X)^{I_\Delta^{n+1}},$$

where  $I_\Delta$  is the *ideal of the diagonal*, generated by expressions of the form  $f(x) - f(y)$  for  $f \in \mathcal{O}(X)$ . For  $n = 0$ , these are the functions  $\varphi : \mathcal{O}_X \rightarrow \mathcal{O}_X$  that are  $\mathcal{O}$ -linear. For  $n = 1$ , we ask for  $\varphi f - f\varphi$  to be  $\mathcal{O}$ -linear, which is Grothendieck's definition of a differential operator of order at most 1; in general, the  $n^{\text{th}}$  term is  $\mathcal{D}_{\leq n}$ , the differential operators of degree at most  $n$ . The expression  $\varphi f - f\varphi$  is an abstract expression of the Leibniz rule. The *ring of differential operators*, denoted  $\mathcal{D}$ , is the groupoid algebra of the de Rham groupoid.<sup>3</sup>

Modules over  $\mathcal{D}_X$  are what physicists call local operators: you can do whatever you want, as long as it only depends on the Taylor series (jet) at a point. And modules over  $\mathcal{D}_X$  are identified with sheaves on  $X_{\text{dR}}$ . For example, this means integral transforms are disallowed. These sheaves are the input into crystalline cohomology; in characteristic  $p$ , where this is most useful, there are different notions of the de Rham groupoid. (Crystalline and de Rham cohomology are closely related, though there are complications in positive characteristic or over non-smooth spaces.) In fact, you can define de Rham cohomology with coefficients in a sheaf  $\mathcal{F}$  to be

$$H_{\text{dR}}(X; \mathcal{F}) := \mathbf{R}\Gamma(X_{\text{dR}}; \mathcal{F}).$$

So the point of all this is, if you have a group  $G$ , then a  $\mathcal{D}$ -module on  $G$  is identified with a sheaf on  $G_{\text{dR}}$ , hence a  $\widehat{G}$ -equivariant sheaf on  $G$ , i.e. a sheaf on  $G/\widehat{G}$ .

This is what we were talking about earlier, sheaves on  $G = \mathbb{A}^1/\widehat{\mathbb{A}}^1 = \mathbb{A}_{\text{dR}}^1$  (or more generally using a vector space and its formal completion). We saw the duality sent  $\mathbb{A}^1$  to  $\bullet/\widehat{\mathbb{A}}^1$  and  $\widehat{\mathbb{A}}^1$  to  $\bullet/\mathbb{A}^1$ , so this duality exchanges vector spaces and formal groups. if you blur your eyes a little bit, you get that  $\mathbb{A}^1/\widehat{\mathbb{A}}^1$  is self-dual:  $\mathbb{G}^\vee = \mathbb{A}_{\text{dR}}^1$ .

If you have a vector space  $V$ ,  $V^*/\widehat{V}^* = V_{\text{dR}}^*$ . This is an example of the same Cartier duality.

Anyways, Fourier-Mukai duality defines an interesting automorphism  $\mathbb{F}$  on  $\mathbf{QC}(\mathbb{A}^1/\widehat{\mathbb{A}}^1)$ , which is  $\mathcal{D}_{\mathbb{A}^1}$ -modules. And we know  $\mathcal{D}_{\mathbb{A}^1} = \mathbb{C}\langle z, \partial_z \rangle / (\partial_z z - z\partial_z = 1)$ . So the duality  $\mathcal{D}_{\mathbb{A}^1} \rightarrow \mathcal{D}_{\mathbb{A}^1}$  sends  $z \mapsto \partial_z$  and  $\partial_z \mapsto z$ , which does look nostalgically familiar.

In fact, it *is* the Fourier transform on  $\mathbb{R}$ . Let  $f$  be a (generalized) function on  $\mathbb{R}$  (e.g. a tempered distribution). Then,  $f$  defines a  $\mathcal{D}$ -module  $M_f = \mathcal{D} \cdot f$ , the (left) action of all differential operators on  $f$ . Let  $\widehat{f}$  denote the Fourier transform of  $f$ ; then, the claim is that  $\mathbb{F}(M_f) = M_{\widehat{f}}$ , which is another way of expressing that the Fourier transform exchanges multiplication and differentiation.

If you set this up as a kernel transform, you get  $M_{e^{xt}} \rightarrow \mathbb{A}_{\text{dR}}^1 \times \mathbb{A}_{\text{dR}}^1$ , the ideal generated by  $\mathcal{D}_{\mathbb{A}^1 \times \mathbb{A}^1} / (\partial x - t, \partial_t - x)$ , so  $x$  acts by differentiating  $t$  and  $\partial_t$  acts by differentiating  $x$  (this ideal is a differential equation specifying this behavior, which is why we got  $e^{tx}$ ), and  $M_{e^{xt}}$  is a line bundle:  $e^{\lambda z} \mapsto \mathcal{D}/\mathcal{D}(\partial_z - \lambda) \cong \mathbb{C}[z]$  as  $\mathbb{C}[z]$ -modules, so this is even a trivial line bundle! Of course, this is a very longwinded way to get the usual Fourier transform, but once you say it this way, you have a whole lot of generalizations.

**Example 2.9.** We won't need this example, but it's cool. Consider  $\mathbb{A}^1/\mathbb{Z}$ , where  $\mathbb{Z}$  acts by shifting. Then, the dual replaces  $\mathbb{Z}$  with  $\mathbb{G}_m$  and  $\mathbb{A}^1$  with  $\widehat{\mathbb{A}}^1$ : the dual is  $\mathbb{G}_m/\widehat{\mathbb{A}}^1 = (\mathbb{G}_m)_{\text{dR}}$ . The ring controlling difference equations on  $\mathbb{A}^1/\mathbb{Z}$  is  $\mathbb{C}[t]\langle \sigma, \sigma^{-1} \rangle$ , and the ring controlling differential equations on  $\mathbb{G}_m/\widehat{\mathbb{A}}^1$  is  $\mathbb{C}[z, z^{-1}]/\langle z\partial_z \rangle$ , and these two rings are isomorphic. In this context, the transform is called the *Mellin transform*. ◀

### 3. REPRESENTATIONS OF CATEGORIES AND 3D TFTs: 2:10/17

Today, we're going to talk about topological field theories in three dimensions. Recall that an  $n$ -dimensional TFT assigns a number to an  $n$ -manifold and a vector space to an  $(n-1)$ -manifold. Then, it should assign a (linear) category to an  $(n-2)$ -manifold, but this is complicated, so often we specialize to assigning algebras up to Morita equivalence, which is same as a linear category with only one object.

<sup>3</sup>This definition is due to Grothendieck, but was worded differently (and not just because it was in French.)

We need one more piece of information, which is what to attach in codimension 3. This should be some sort of 2-category; again, it would be easier to think about a 2-category with one object: one object, some morphisms, and some morphisms between the morphisms. Since you can compose 1-morphisms, this isn't exactly the same as a 1-category; instead, composition defines a *monoidal structure* on it, making it a *monoidal (linear) category*. That is, we have a functor  $\otimes : \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$  and a unit  $\mathbf{1}$  that is associative and unital up to natural isomorphism.

**Definition 3.1.** Let  $\mathcal{C}$  be a monoidal category. A category  $\mathcal{M}$  is an  $\mathcal{C}$ -module if it has an *action map*  $\mu : \mathcal{C} \otimes \mathcal{M} \rightarrow \mathcal{M}$ , together with data expressing its compatibility with  $\otimes$  and  $\mathbf{1}$ , etc.

A 2-category with a single object and a single 1-morphism looks like an algebra, but it's an algebra in algebras of vector spaces, meaning it has the coherence structure of an  $\mathbb{E}_2$ -algebra.

Anyways, we're working with monoidal linear categories, and we'd like to use these to define TFTs. Recall that if we have a finite groupoid  $\mathcal{X} = [\mathcal{G} \rightrightarrows X]$ , we can define a 3 – 2 – 1 field theory.

- If  $M$  is a 3-manifold,

$$Z(M) = \#[M, \mathcal{X}] = \sum_{x \in \pi_0([M, \mathcal{X}])} \# \text{Loc}_{\pi_1([M, \mathcal{X}], x)} M$$

counts the number of local systems in  $\mathcal{X}$  on  $M$ , weighted in the groupoid cardinality.

- If  $N$  is a 2-manifold,

$$Z(N) = \mathbb{C}[[N, \mathcal{X}]] = \bigoplus_{x \in \pi_0([N, \mathcal{X}])} \mathbb{C}[\text{Loc}_{\pi_1([N, \mathcal{X}], x)} N],$$

the functions on the groupoid of local systems in  $\mathcal{X}$  on  $N$ .

- If  $P$  is a 1-manifold, we attach the category

$$Z(P) = \text{Vect}([P, \mathcal{X}]) = \bigoplus_{x \in \pi_0([P, \mathcal{X}])} \text{Vect}(\bullet / \pi_1([P, \mathcal{X}], x)) = \bigoplus_{x \in \pi_0([P, \mathcal{X}])} \text{Rep}_{\pi_1(\mathcal{X}, x)}.$$

In all of these examples,  $[X, \mathcal{G}]$  is the groupoid of maps  $\pi_{\leq 1} X \rightarrow \mathcal{G}$ .

If  $\mathcal{Y} \rightarrow \mathcal{X}$  is a map of finite groupoids, then we get a map  $\pi : [N, \mathcal{Y}] \rightarrow [N, \mathcal{X}]$  which defines a map  $Z_{\mathcal{Y}}(N) \rightarrow Z_{\mathcal{X}}(N)$  sending  $1 \mapsto \pi_* 1$ , and similarly a map  $Z_{\mathcal{Y}}(P) \rightarrow Z_{\mathcal{X}}(P)$  sending  $\mathbb{C} \mapsto \pi_* \mathbb{C}$ .

The prototypical example is  $\mathcal{X} = \bullet / G$ , for which this defines (untwisted) Dijkgraaf-Witten theory. The space of functions attached to a surface  $N$  is defined by a character variety:

$$[N, \mathcal{X}] = \text{Loc}_G N = \text{Hom}_{\text{Grp}}(\pi_1(N), G) / G.$$

On the circle, we obtain the category

$$[S^1, \mathcal{X}] = \text{Loc}_G S^1 = G / G.$$

If  $Y$  is a  $G$ -set and  $\mathcal{Y} = Y / G$ , then the projection map  $Y \rightarrow \bullet$  is  $G$ -equivariant, defining a groupoid homomorphism  $\mathcal{Y} \rightarrow \bullet / G$ . The induced map  $[S^1, \mathcal{Y}] \rightarrow [S^1, \mathcal{X}]$  is the map sending

$$\{g \in G, y \in Y^g\} / G \rightarrow G / G = \coprod_{[g]} \bullet / Z_G(g),$$

so the trivial bundle is sent to a vector bundle on  $G / G$  whose fiber over  $g \in G$  is a  $\mathbb{C}[Y^g]$  as a  $Z_G(g)$ -representation.

For the torus  $T$ ,  $\text{Loc}_G T = [G, G] = \{g, h \in G \mid gh = hg\} / G$ , so given a  $G$ -set  $Y$  and  $\mathcal{Y} = Y / G$  as before, the map  $[T, \mathcal{Y}] \rightarrow [T, \bullet / G]$  solves a counting problem  $g, h \mapsto \#Y^{g, h}$ .

Before getting too categorical,<sup>4</sup> the algebra  $Z(T) = \mathbb{C}[[G, G]]$  is what's known as a *fusion algebra*. It has a lot of structure; for example,  $\text{MCG}(T) = \text{SL}_2(\mathbb{Z})$  acts on it through its action on  $T$ . There's also a Frobenius algebra structure hiding inside it: if  $Z_{S^1}$  is the *dimensional reduction* of  $Z$  by  $S^1$ , i.e.  $Z_{S^1}(X) = Z(S^1 \times X)$  for all  $X$ , then  $Z(T) = Z_{S^1}(S^1)$ . Since  $Z_{S^1}$  is a 2D oriented TFT, then  $Z_{S^1}(S^1)$  is a commutative Frobenius algebra. However, we can't state this in an invariant way: you have to break symmetry and choose an isomorphism  $T \cong S^1 \times S^1$ , in effect choosing coordinates, and therefore the Frobenius algebra structure is absolutely not  $\text{SL}_2(\mathbb{Z})$ -invariant.

<sup>4</sup>Is this the same as 2-categorical?



This lack of invariance is actually pretty interesting, and is the genesis of Lusztig’s Fourier transform. There is a convolution structure on  $Z(T^2) = \mathbb{C}[G, G]$ , because

$$\mathbb{C}[G, G] = \bigoplus_{[g,h]=1} \mathbb{C}_{g,h} = \bigoplus_{[h] \in G/G} \mathbb{C}[Z_G(h)/Z_G(h)],$$

with the usual convolution structure on each  $\mathbb{C}[Z_G(h)/Z_G(h)]$  (since it’s the class functions for a finite group), and no convolution relation between different components. In other words, this is a direct sum over the conjugacy classes (components of  $G/G$ ).

In physics, this is called *diagonalizing the fusion rules*: we started with a basis for this algebra, and obtained a ring structure where the multiplication is “diagonalized,” i.e. only interesting within each conjugacy class. The matrix transitioning between the standard basis and this new basis is called the *S-matrix*, or the action of  $\begin{pmatrix} & 1 \\ -1 & \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z})$  in either basis.

In other words, for every commutative Frobenius algebra structure, you get a basis of its idempotents, and expressing this basis in the natural basis for the Fourier transform gives you an interesting matrix. This theory shows up in the representation theory of finite groups.

Now let’s return to extended TFT and try to determine what  $Z$  attaches to a point. We’ve gone from numbers involving  $\mathcal{X}$ , to functions on  $\mathcal{X}$ , to vector bundles on  $\mathcal{X}$ , so the next step should be categories on  $\mathcal{X}$ , and for any map  $\mathcal{Y} \rightarrow \mathcal{X}$ , the boundary condition should be  $\mathbf{Vect}\mathcal{Y}$  as a category over  $\mathcal{X}$ .

**Example 3.2.** If  $\mathcal{X} = X$  is a finite set (a discrete groupoid), then a category over  $X$  is a category  $\mathcal{M}_x$  over each  $x \in X$ . You can think of this as a parent  $\mathbb{C}$ -linear category  $\mathcal{M} = \bigoplus_{x \in X} \mathcal{M}_x$  together with its direct-sum decomposition.

We want to define this *a priori*, so let’s look for analogies one level down. There’s an equivalence  $\mathbf{Vect}X$  with  $\mathbf{Mod}_{\mathbb{C}[X]}$ , so we can define  $\mathbb{C}[X]$ -modules “by hand” as a vector space over each point in  $X$ . Now,  $\mathcal{M}$  is a  $(\mathbf{Vect}X, \otimes)$ -module, in the sense of a module of a symmetric monoidal category defined earlier. Moreover, the decomposition as a category over each  $x \in X$  comes from the action of the “orthogonal idempotents” in  $\mathbf{Vect}X$ , which are the skyscraper sheaves  $\underline{\mathbb{C}}_x$  for each  $x \in X$ :  $\underline{\mathbb{C}}_x \otimes \underline{\mathbb{C}}_y = 0$  unless  $x = y$ , in which case it’s just  $\underline{\mathbb{C}}_x$  again. This action gives back the direct-sum decomposition of  $\mathcal{M}$ . ◀

**Example 3.3.** Affine schemes provide a more interesting example, so let  $X = \mathrm{Spec} R$  for a ring  $R$ . Now, a category over  $X$  should be a module category for the monoidal category  $(\mathbf{QC}(X), \otimes) = (\mathbf{Mod}_R, \otimes)$ . In particular, if  $\mathcal{M}$  is such a  $\mathbf{QC}(X)$ -module category and  $M \in \mathcal{M}$ , the functor  $\mathcal{O}_X * -$  is isomorphic to the identity functor, but it has endomorphisms equal to  $\mathrm{End}_R(R) = R$ . In particular,  $R$  acts on  $\mathrm{id}_{\mathcal{M}}$ , so we have maps  $R \rightarrow \mathrm{End} M$  for each  $M \in \mathcal{M}$ , and this is functorial.<sup>5</sup> That is,  $\mathcal{M}$  is an  $R$ -linear category: all the hom-spaces are  $R$ -modules, and composition is  $R$ -linear.

In particular, you can localize objects and morphisms over  $X = \mathrm{Spec} R$ : for every open subset  $U \subset X$ , we can define  $\mathcal{M}_U = \mathcal{M} \otimes_{\mathbf{Mod}_R} \mathbf{Mod}_{\mathcal{O}_X(U)}$ . That is, it has the same objects as  $\mathcal{M}$ , but its hom-sets are

$$\mathrm{Hom}_{\mathcal{M}_U}(M, N) = \mathrm{Hom}_{\mathcal{M}}(M, N) \otimes_R \mathcal{O}_X(U).$$

These glue, so you end up with a *quasicoherent sheaf of categories* on  $X$ . Since  $X$  is affine, this is a sheaf of categories coming from a  $\mathbf{QC}(X)$ -module.<sup>6</sup>

For example, if  $\pi : Y \rightarrow X = \mathrm{Spec} R$  is a map of affine schemes, then  $\mathbf{QC}(Y)$  is a  $\mathbf{QC}(X)$ -module category, or equivalently is  $R$ -linear. Then, the assignment

$$(U \subset X) \mapsto \mathbf{QC}(\pi^{-1}(U))$$

is a quasicoherent sheaf of categories on  $X$ . ◀

This is an excuse to introduce an awesome theorem.

<sup>5</sup>Here,  $R$  is commutative, so this is all good, but in the derived setting, we need this to be an  $\mathbb{E}_2$ -map. Similarly,  $Z(\mathcal{M})$  will be an  $\mathbb{E}_2$ -algebra in the derived setting.

<sup>6</sup>Even though we have “the same objects,” the isomorphism classes may be different: objects can become isomorphic.

**Theorem 3.4** (Gaitsgory’s 1-affineness theorem). *Let  $X$  be a scheme.<sup>7</sup> Let  $\mathrm{ShvCat}(X)$  denote the 2-category of quasicoherent sheaves of categories on  $X$ ; then, there is an equivalence of 2-categories<sup>8</sup>*

$$\mathrm{ShvCat}(X) \cong \mathrm{Mod}_{\mathrm{QC}(X)}.$$

This is a hard theorem to prove.

What’s cool about this is that one category level lower, this is not true: we’re used to  $\mathrm{QC}(X) = \mathrm{Mod}_{\Gamma(\mathcal{O}_X)}$  only in the case when  $X$  is affine; it’s far from true in general. But for sheaves of categories, the algebra is more flexible, and the relationship between sheaves and modules is nicer.

The 1 in 1-affineness is a category number: 0-affine is the same as ordinary affine. You can define  $n$ -affineness for higher  $n$ , and if you’re  $n$ -affine, then you’re  $(n+1)$ -affine. There are many examples of 1-affine schemes that aren’t 0-affine; there are examples of 2-affine schemes that aren’t 1-affine, but this is harder.

Anyways, if  $X$  is a finite set or a nice scheme, we have the monoidal category  $\mathrm{Vect}X$  or  $\mathrm{QC}(X)$  with  $\otimes$ , and this defines a 3D TFT which to a point attaches  $(\mathrm{QC}(X), \otimes)$ , or equivalently the 2-category of  $\mathrm{QC}(X)$ -modules, or by Theorem 3.4, the 2-category  $\mathrm{ShvCat}(X)$ .

**Definition 3.5.** This theory is called the *Rozansky-Witten theory* of  $T^*X$ .

This is an example of a 3D  $\sigma$ -model, the theory of maps into  $X$ . (Usually, this is defined for the symplectic manifold  $T^*X$ ).

**3D gauge theories.** The other kind of 3D theories we’ll talk about are gauge theories, which are theories of bundles. In this case, the groupoid is  $\mathcal{X} = \bullet/G$ , and we know in codimension  $\leq 2$  we attach numbers/functions/categories built out of local systems. Now we want some kind of categories over  $\bullet/G$ , which we’ll call *categorical representations of  $G$*  or  *$G$ -categories*.

Before we define these explicitly, let’s think about the examples we want: if  $Y$  is a  $G$ -space and  $\mathcal{Y} = Y/G$ , then we get a map  $\mathcal{Y} \rightarrow \mathcal{X}$ . We’d like  $\mathrm{Vect}(\mathcal{Y})$  to be a  $G$ -category: for every  $g \in G$ , we should obtain a functor  $f^* : \mathrm{Vect}\mathcal{Y} \rightarrow \mathrm{Vect}\mathcal{Y}$  such that  $g^*h^* \cong (hg)^*$ , and these isomorphisms will satisfy some associativity coherence condition.

You can write down what this means exactly: it is a map  $G \rightarrow \mathrm{Aut}(\mathrm{Vect}Y)$ , where this is up to some kind of natural isomorphism. This is a notion of a  $G$ -category, though not the most flexible. Just like a representation of a group  $G$  on a vector space  $V$  is the same data as a  $\mathbb{C}[G]$ -module action on  $V$ , a group  $G$  acting on a  $\mathbb{C}$ -linear category  $\mathcal{M}$  is the same data as a group action plus “scalar multiplication” (tensoring with  $\mathbb{C}$ -vector spaces). Thus, a  $G$ -category  $\mathcal{M}$  is the same data as a module category for the monoidal category  $\mathrm{Vect}G$ , where the monoidal product is the convolution product

$$\begin{array}{ccc} & G \times G & \\ \swarrow & \downarrow \mu & \searrow \\ G & & G \\ & \downarrow & \\ & G & \end{array}$$

That is,  $\mathcal{F} * \mathcal{H} = \mu_*(\mathcal{F} \boxtimes \mathcal{H})$ . This is just like the convolution structure on the group algebra  $\mathbb{C}[G]$ , but one category level higher.

For example, with  $\mathcal{Y} = Y/G$  as before,  $G$  acts on  $Y$  through an action map  $a$ , and the induced map of  $\mathrm{Vect}G$  on  $\mathrm{Vect}Y$  is the push-pull map associated to

$$\begin{array}{ccc} & G \times Y & \\ \swarrow \pi_1 & \downarrow a & \searrow \pi_2 \\ G & & Y \\ & \downarrow & \\ & X & \end{array}$$

i.e.  $\mathcal{F} * \mathcal{H} = a_*(\pi_1^* \mathcal{F} * \pi_2^* \mathcal{H})$ .

<sup>7</sup>This holds in much greater generality, including even suitably nice derived stacks, where “suitably nice” means anything you might reasonably run into on the street, specifically a quasicoherent stack with an affine diagonal.

<sup>8</sup>You might need to restrict to only invertible natural transformations.

This is good, because it means that you can do this for any group and kind of sheaves where these push-pull diagrams make sense. For example, suppose  $G$  is an affine algebraic group. Then, we can push-pull with quasicoherent sheaves, using the Hopf algebra structure on  $\mathcal{O}(G)$ . Here,  $G$ -categories are again modules over  $(\mathrm{QC}(G), *)$ , where  $*$  is the same convolution. If  $G$  acts on an algebra  $A$ , then  $\mathrm{Mod}_A$  is a  $G$ -category, where a  $g \in G$  acts by  $M \mapsto M^g$ , the  $A$ -module with the action twisted by  $g$ . When  $A = \mathcal{U}(\mathfrak{g})$ , the enveloping algebra of a Lie algebra, this has interesting echoes in representation theory, in particular defining a  $G$ -category structure on  $\mathrm{Mod}_{\mathfrak{g}}$ .<sup>9</sup>

From this perspective, you could try to develop an analogue of representation theory from scratch. One of the first things you do is understand the equivalence between an abelian group and its Pontrjagin dual; in this context, if  $G$  is abelian, we discussed a Fourier-Mukai transform  $(\mathrm{QC}(G), *) \xrightarrow{\sim} (\mathrm{QC}(G^\vee), \otimes)$ . In other words,  $G$ -categories are exchanged with categories over  $G^\vee$ , where the monoidal structure is pointwise. Thus, the Fourier-Mukai transform exchanges gauge theories (for  $G$ -categories) and  $\sigma$ -models (for categories over  $G^\vee$ ).

**Example 3.6** (Teleman). Let  $G = B\mathbb{Z} = S^1$  (here, we're thinking of  $S^1$  as only a homotopy type; no manifold structure will come into play). Then,  $\mathrm{QC}(G) = \mathrm{Loc} S^1 = \mathrm{Rep}_{\mathbb{Z}}$ . Local systems are our notion of sheaves, and the push-pull diagrams exist, so this is some sort of “locally-constant group algebra for  $S^1$ .” A  $\mathrm{Loc} S^1$ -module category is the same thing as a category  $\mathcal{M}$  with a locally constant action of  $S^1$ .

That is, we have an endofunctor of  $\mathcal{M}$  for every  $z \in S^1$ , such that a homotopy  $z_1 \rightarrow z_2$  defines an identification of the functors for  $z_1$  and  $z_2$ . Alternatively, this is an action of  $S^1$  trivial on its contractible subsets. These do appear in nature: for example, if  $G$  acts on a space  $X$ , then  $H_*(G)$  acts on  $H_*(X)$  is locally constant. The same is true for the action of  $G$  on local systems on  $X$ : if you move elements of  $G$  a little bit, it won't affect the action. Thus, it defines an action of  $\mathrm{Loc} G$  on  $\mathrm{Loc} X$ .

Anyways, there's an example in mirror symmetry: instead of local systems on  $X$ , let's consider the wrapped Fukaya category on a symplectic manifold,  $\mathrm{Fuk}_{\mathrm{wr}}(T^*X)$ . If  $Y = T^*X$  is a Hamiltonian  $G$ -space, then  $\mathrm{Loc}(G)$  acts on  $\mathrm{Fuk}_{\mathrm{wr}}(Y)$ . This means that the boundary conditions for our 3D gauge theory are  $A$ -models for  $G = S^1$ . That is, we have a 3D theory whose boundary conditions are  $A$ -models; this is called a  $3D \mathcal{N} = 4$  ( $A$ -twisted) supersymmetric Yang-Mills theory.

The Fourier-Mukai transform exchanges  $S^1$ -categories (i.e.  $\mathrm{Mod}_{\mathrm{QC}(B\mathbb{Z})}$ ) and categories over  $\mathbb{C}^\times$  (i.e.  $\mathrm{Mod}_{(\mathrm{QC}(\mathbb{C}^\times), \otimes)}$ ). That is, it exchanges  $A$ -models for  $S^1$ -spaces  $X$  and  $B$ -modules of spaces  $X^\vee \rightarrow \mathbb{C}^\times$ , where  $X^\vee$  is the mirror dual to  $X$ . Mirror symmetry is defined so the  $A$ -model on  $X$  should be the  $B$ -model on  $X^\vee$ , meaning we want  $\mathrm{Fuk}_{\mathrm{wr}}(X) \cong \mathrm{QC}(X^\vee)$ . The left-hand side is complicated, with a  $G$ -action, but the right-hand side is simpler, with just maps into  $\mathbb{C}^\times$ . You can use this to actually build mirrors to some spaces in a process called Hamiltonian reduction. In the other direction, if  $X$  has a holomorphic  $\mathbb{C}^\times$ -action, then its mirror comes with a map to  $S^1$ .

The physicists, of course, say the same thing using different words: they say that  $U(1)$  gauge fields in 3D are dual to a scalar. That is, we start with a  $U(1)$ -gauge field (the left-hand side, or the  $A$ -model), and we ended up with a  $\sigma$ -model to  $\mathbb{C}^\times$ , which is a scalar field (a function). The physics derivation starts with a  $U(1)$ -gauge field and a connection  $d + A$  (so  $A$  is an endomorphism-valued 1-form), and the field strength is the 2-form  $dA$ . Then, the Hodge star establishes a duality between  $dA$  and  $\star(dA) = d\varphi$ , an exact 1-form, and  $\varphi$  is the scalar field in question.

In four dimensions, the Hodge star exchanges 2-forms and 2-forms, hence exchanges gauge theories and gauge theories. This is electric-magnetic duality, and will lead us to the geometric Langlands program. ◀

This was just the abelian case — the nonabelian case is also very interesting, though harder. Gauge transformations mean that there's no guarantee that the Hodge star acts in a gauge-invariant way, unlike in abelian theories.

In two dimensions, the dual of a 2-form is a function, which isn't exact, and in physics words, this means that in 2D, the  $U(1)$ -gauge field has no dynamical degrees of freedom. This relates to the fact that in 2D, the moduli space of vacua  $\widehat{G}$  (where  $G$  is compact) is discrete, so we're looking at vector bundles on a discrete set, and there's no fields on it.

Let's think of the trivial representation of a group  $G$ : geometrically, this is the action on functions on a point with the trivial  $G$ -action, so we get an action of  $\mathrm{QC}(G)$  on  $\mathrm{Vect}$ , which is the categorified notion of the

<sup>9</sup>Modules over  $\mathrm{Vect} \mathbb{C}$  are sometimes called *2-vector spaces*. If  $G$  is continuous, then to be precise we should be talking about dg categories.

trivial representation. This is good, because it means we can define invariants: if  $\mathcal{M}$  is a  $\mathrm{QC}(G)$ -category, its category of *invariants* is

$$\mathcal{M}^G = \mathrm{Hom}_{\mathrm{QC}(G)}(\mathrm{Vect}, \mathcal{M}).$$

Since  $G$  acts trivially on  $\mathrm{Vect}$ ,  $\mathrm{Hom}_{\mathrm{QC}(G)}(\mathrm{Vect}, \mathcal{M})$  has the objects  $M \in \mathcal{M}$  with actions  $G * M \xrightarrow{\cong} M$ . That is, these are the *equivariant objects* in  $\mathcal{M}$ . For example, if  $\mathcal{M} = \mathrm{Vect} Y$  for a  $G$ -space  $Y$ , then  $\mathcal{M}^G$  is the category of equivariant vector bundles on  $Y$ . In general,  $\mathcal{M}^G$  is acted on by  $\mathrm{Rep}_G$ : for example, the map  $Y/G \rightarrow \bullet/G$  pulls back to a action map  $\mathrm{Vect}(\bullet/G) \rightarrow \mathrm{Vect}(Y/G)$ , inducing the action on  $(\mathrm{Vect} Y)^G$ .

This is something you don't see one level down: if  $G$  acts on a vector space  $V$ , then nothing acts on the invariants  $V^G$ ; it's just a vector space. Well, functions on  $\bullet/G$  act on  $V^G$ , but this isn't very interesting, and in particular, you can't usually recover a representation from its invariants. One category level higher,

$$(-)^G : \mathrm{Mod}_{\mathrm{QC}(G)} \longrightarrow \mathrm{Mod}_{\mathrm{Rep}_G, \otimes}.$$

is much nicer, and if  $G$  is affine,<sup>10</sup> Theorem 3.4 for  $\bullet/G$  implies  $(-)^G$  is an equivalence! This is because  $\mathrm{Mod}_{\mathrm{QC}(G)}$  is  $\mathrm{ShvCat}(\bullet/G)$  and  $\mathrm{Mod}_{(\mathrm{Rep}_G, \otimes)}$  is  $\mathrm{Mod}_{\mathrm{QC}(\bullet/G)}$ , and Gaitsgory's theorem says these two are the same. Thus,  $(\mathrm{QC}(G), *)$  and  $(\mathrm{Rep}_G, \otimes)$  are *Morita equivalent monoidal categories*, meaning that they have the same module categories. You can prove this directly for finite  $G$ , where it's already very interesting. This is one of the great things about categorical representation theory: the trivial representation already sees everything!

#### 4. ROZANSKY-WITTEN THEORY: 2/17/17

Today we're going to review the structures we found on 2D theories and discover their analogues in 3D TFT.

Let  $Z$  be an oriented 2D TFT. Then, we thought of  $Z(S^1)$  in two ways: as a center or operators, coming from the annulus, and as the trace or states, coming from the cylinder.  $Z(S^1)$  has a multiplication, and is "commutative" (i.e.  $E_2$ ), and is the center of the algebra or category  $Z(\bullet)$ . Moreover, maps  $Z(S^1) \rightarrow \mathrm{End}(\mathrm{id}_{\mathbb{C}})$  correspond to boundary conditions marked by the category  $\mathbb{C}$ , and  $\mathrm{End}(\mathrm{id}_{\mathbb{C}})$  maps to  $\mathrm{End}(c)$  for any object  $c \in \mathbb{C}$ . This comes from the "eye cobordism" from the identity (a line segment) to the identity (a line segment) containing a single hole.<sup>11</sup>

For example, in Dijkgraaf-Witten theory,  $Z(\bullet) = \mathbb{C}G$ , and  $Z(S^1) = \mathbb{C}[G/G]$ , the algebra of class functions, which is the center of  $\mathbb{C}G$ . For the  $A$ -model, if  $X = \mathrm{Spec} A$  is an affine scheme,  $Z(S^1) = HH^*(A, A) = \mathrm{Ext}_{A \otimes A}(A, A) = \Lambda^\bullet T_X$ .

Dually, the cylinder gives us the trace or Hochschild homology of the algebra or category assigned to a point. This is the home for characters: a  $B \in Z(\bullet)$  is mapped to  $\chi_B \in Z(S^1)$ : for Dijkgraaf-Witten theory, this takes a representation  $V$  or  $G$  and produces its character  $\chi_V$ . If  $X$  is a smooth variety, the trace is the Hochschild homology, isomorphic to  $\Omega^\bullet$  with the de Rham differential; if  $\mathcal{F} \in D^b(X)$ , then we obtain its *Chern character*  $\chi_{\mathcal{F}}$ .

The action of the circle is not what you expect: it's a topological action, where two homotopic points define the same action. For example, suppose  $S^1$  acts on a Riemannian manifold  $M$ . Then, topological quantum mechanics on  $M$  has for its Hilbert space  $\mathcal{H} = (\Omega_M^\bullet, d)$ , but the action of  $S^1$  on its cohomology  $H_{\mathrm{dR}}^*(M)$  is trivial.

Another way to think of this, which is more geometric, is that if  $\xi$  is a vector field on  $M$ , then its Lie derivative  $\mathcal{L}_\xi$  is an operator on  $\Omega_M^\bullet$ , and the *Cartan homotopy formula* says that if  $\iota_\xi$  is contraction with  $\xi$ , then  $\mathcal{L}_\xi = [d, \iota_\xi]$ . That is, this presents a chain homotopy from  $\mathcal{L}$  to 0, so the Lie derivative is trivial on de Rham cohomology!

A *Lie algebra action* of a Lie algebra  $\mathfrak{g}$  on a manifold  $M$  is an action through vector fields, i.e. a Lie algebra homomorphism  $\mathfrak{g} \rightarrow \mathcal{X}(M)$ . From a physics perspective, such an action defines an action of the Lie superalgebra  $\mathfrak{g} \oplus \mathfrak{g}[1]$ , where  $(\xi, 0)$  acts by  $\mathcal{L}_\xi$  and  $(0, \xi)$  acts by  $\iota_\xi$ , and these supercommute.

<sup>10</sup>This is definitely not true when  $G$  isn't affine. For example, it fails for  $\mathbb{Z}$ .

<sup>11</sup>Boundary conditions are a special case of domain walls: consider a TQFT on bipartite manifolds, partitioned into two pieces by a codimension-1 submanifold, with bordisms respecting this structure. Then, one can set up one field theory on one side and another field theory on the other side. If the left side is empty, you're left with a boundary condition, which in some sense is an object of  $\mathbb{C}$  on the codimension-1 submanifold. This can be used to derive the Frobenius character formula, where the bulk theory is Dijkgraaf-Witten theory and the boundary theory is maps to  $\mathcal{Y} = B \backslash G/B$ . It can also be used to prove the Atiyah-Bott fixed-point formula in a similar way.

Anyways, the action of  $S^1$  on  $M$  defines an action of its chains on those of  $M$ , and this passes to cohomology, inducing an action of  $H^*(S^1)$  on  $H^*(M)$ . That is, we get an action of  $\mathbb{R}[\eta]/(\eta^2)$  on  $H^*(M)$ , given by an operator  $\eta$  of degree  $-1$  which squares to 0, just like the de Rham differential. This is what we mean by “an action of  $S^1$ .” by the time we pass to TFT, all that’s left is this homological action, a shadow of the original action. In some sense,  $\eta$  is the generator of the Lie algebra for  $S^1$ .

Now we’ll lift this structure into three dimensions. The example to keep in mind is untwisted Dijkgraaf-Witten theory: fix a finite group  $G$  and let  $Z(\bullet)$  be the category of  $\mathbf{C} := (\mathbf{Vect}G, *)$ -modules. Then,  $S^1 \mapsto \mathbf{Vect}(G/G) = \mathbf{Vect}(\text{Loc}_G(S^1))$ . Again,  $Z(S^1)$  has two roles, as a center and as a trace.

**Definition 4.1.** Let  $(\mathbf{C}, *)$  be a monoidal category. Then, its *Drinfeld center* is the subcategory  $Z(\mathbf{C})$  whose objects are pairs  $(F, \psi)$  where  $\psi : F * - \xrightarrow{\cong} - * F$  is a natural isomorphism, and whose morphisms are the morphisms intertwining these  $\psi$ . In particular, if  $\bar{\mathbf{C}}$  denotes the same category with the opposite monoidal structure, then  $Z(\mathbf{C}) = \text{End}_{\mathbf{C} \otimes \bar{\mathbf{C}}}(\mathbf{C}) = \text{End}(\text{id}_{\text{Mod}_{\mathbf{C}}})$ .

This is the analogus of Hochschild cohomology one dimension down, and has a similar-looking definition. In particular, if  $Z$  is the field theory sending  $\bullet \mapsto (\mathbf{C}, *)$ , then the Drinfeld center is  $Z(S^1)$ .

**Example 4.2.** Let  $\mathbf{C} = (\mathbf{Vect}G, *)$ , where  $G$  is a finite group, and suppose  $F$  is central. Then,  $F * \mathbb{C}_g \xrightarrow{\cong} \mathbb{C}_g * F$ , and since  $\mathbb{C}_g$  is invertible with inverse  $\mathbb{C}_{g^{-1}}$ , then  $F \xrightarrow{\cong} \mathbb{C}_g * F * \mathbb{C}_{g^{-1}}$ . That is,  $F \in (\mathbf{Vect}G)^G$ , where  $G$  acts by conjugation, and this is  $\mathbf{Vect}G/G$ , which is indeed  $Z(S^1)$ . ◀

**Exercise 4.3.** Dually, if  $\mathbf{C} = (\text{Rep}_G, \bullet)$ , show that every  $V \in \text{Rep}_G$  has a central structure (which is in general nonunique), and that a central structure is equivalent to a pullback from  $V \rightarrow \bullet/G$  to a vector bundle on  $G/G$ .

This is good because we said that  $(\text{Rep}_G, \otimes)$  and  $(\mathbf{Vect}G, *)$  are Morita equivalent as monoidal categories, and therefore must have the same center.

The Drinfeld center is naturally braided monoidal: we don’t know that  $\psi_G \circ \psi_F : F * G \rightarrow G * F \rightarrow F * G$  is the identity. But it does satisfy the braid relations, and you can see this from the field-theoretic picture.

Dual to the center, we’ll think of traces. Let  $\mathbf{M}$  be a  $\mathbf{C}$ -module (here we need  $\mathbf{C}$  to be dualizable); then, there will be a trace of  $\mathbf{C}$ , akin to the Hochschild homology of  $\mathbf{C}$ , and  $\mathbf{M}$  will have a character in  $\text{Tr}(\mathbf{C})$ . The trace, like the Hochschild homology, is defined as  $\text{Tr}(\mathbf{C}) = \mathbf{C} \otimes_{\mathbf{C} \otimes \bar{\mathbf{C}}} \mathbf{C}$ , though this requires specifying what the tensor product of monoidal categories is.

Rather than immediately formalizing this, let’s work out an example. Let  $\mathbf{C} = (\mathbf{Vect}G, *)$ . A  $G$ -action on  $Y$  defines a  $\mathbf{C}$ -action on  $\mathbf{M} = \mathbf{Vect}Y$ . If  $g \in G$ , it defines an endofunctor  $F_g : \mathbf{Vect}Y \rightarrow \mathbf{Vect}Y$ . Identifying  $\text{End } \mathbf{M} \cong \mathbf{M} \otimes \mathbf{M}^{\text{op}} \cong \mathbf{Vect}(Y \times Y)$ , and in this last category  $F_g$  is identified with  $\underline{\mathbb{C}}_{\Gamma_g}$ , where  $\Gamma$  denotes taking the graph. Taking the trace on  $\mathbf{M} \otimes \mathbf{M}^{\text{op}}$  defines a map to  $\mathbf{Vect}$ . Concretely,  $g \mapsto \mathbb{C}[Y^g]$ , as  $Y^g = \Gamma_g \Delta$ . As  $Y$  varies, this defines a vector bundle, and it’s invariant under conjugation, because  $Y^g$  is, so this defines an object of  $\mathbf{Vect}G/G = Z(S^1)$ .

If  $\mathcal{Y} = Y/G$ , we get a map  $\mathcal{Y}^{S^1} \rightarrow \mathcal{X}^{S^1}$ , i.e. a map  $\{g \in G, y \in Y^g\} \rightarrow G/G$  which sends  $\underline{\mathbb{C}}$  to the vector bundle we saw; this is a categorified version of the Frobenius character formula. The map itself is a finite-group version of the *Grothendieck-Springer resolution*.

A fun consequence of this notion of characters is that if a group  $G$  acts on a category  $\mathbf{M}$ , e.g.  $\mathbf{Vect}Y$ , we can first take the character  $\chi_{\mathbf{M}} \in \mathbf{Vect}(G/G) = Z(S^1)$ . Then you can also do it again and wind up in  $Z(S^1 \times S^1) = \mathbb{C}[\text{Loc}_G S^1 \times S^1] = \mathbb{C}[[G, G]]$ . If  $g$  and  $h$  commute in  $G$ , then given an  $\mathcal{F} \in \mathbf{Vect}(G/G)$ , you can take its fiber at  $[g] \in G/G$ , which is a representation of  $Z_G(g)$ . Since  $h \in Z_G(g)$ , then we can take the trace of the action  $h$  for this representation. We’ll let  $2\chi_{\mathbf{M}}$  denote this second character.

**Claim.** There’s an action of  $\text{SL}_2(\mathbb{Z})$  on this through the pair  $(g, h)$ , and  $2\chi_{\mathbf{M}}$  is invariant under this action.

The idea is that  $2\chi$  arises naturally as a boundary condition labeled by  $\mathbf{M}$ , therefore defining a state in  $Z(T^2)$ , and this has to be invariant.

There’s a lot of literature about Drinfeld centers out there, but not so much about traces: some references include David Ben-Zvi’s paper with John Francis, and Ben-Zvi-Nadler’s paper “Secondary traces.” The trace of a monoidal category appears in Khovanov homology, where it’s called *trace decategorification*, and these secondary characters appear in chromatic homotopy theory. There’s a paper of Ganter-Kapranov [1] that discusses some of this, defining  $2\chi$ , but they don’t discuss the  $\text{SL}_2(\mathbb{Z})$ -invariance.

We can also do something completely different: if  $X$  is a smooth variety, let  $\mathbf{C} = (\mathrm{QC}(X), \otimes)$ .<sup>12</sup> Then, the “sigma model” for  $X$  will give us most of a 3D TFT: we don’t get numbers for 3-manifolds, though. We’d like  $Z(S^1)$  to be the center ( $HH^*$ ) or trace ( $HH_*$ ) of  $(\mathbf{C}, *)$ , which is like a notion of sheaves on  $[S^1, X]$ . Here,  $S^1$  is  $\bullet \rightrightarrows \bullet$ , so this space is  $X \times_{X \times X} X$ , or so the functions we get are those on the diagonal of  $X$  intersect itself.

In the two-dimensional theory, if  $X = \mathrm{Spec} R$ , then  $S^1 \mapsto HH_*(R) = \Omega^{-*} = R \otimes_{R \times R}^{\mathbb{L}} R = \mathrm{Sym} \Omega^1[1]$ . (This is the Hochschild-Konstant-Rosenberg theorem.)

The three-dimensional case is similar: consider  $\mathrm{QC}(X) \otimes_{\mathrm{QC}(X \times X)} \mathrm{QC}(X) = \mathrm{Hom}_{\mathrm{QC}(X \times X)}(\mathrm{QC}(X), \mathrm{QC}(X))$ , and both of these are identified with  $\Omega_X^{-\bullet}$ -modules.<sup>13</sup> So this is what we get for the circle, and it’s not too surprising that it’s a categorification of what we obtain for the 2D theorem. It’s a little odd that the negative degrees appear, but this is because it came out of homology.

Since there’s no  $S^1$ -action in the picture (yet), there’s no differential. Alternatively, you could think of these as dg  $\Omega_X^{-\bullet}$ -modules where the differential is 0. The monoidal structure is some kind of convolution, tensored over  $\mathcal{O}_X$ , not differential forms. The multiplication is by operator product expansion again; in particular, the unit is  $\mathcal{O}_X$ .

This is a little strange, so let’s try to identify  $Z(S^1)$  in another way. Specifically, we want  $(\mathbf{C}, *)$  to be identified with  $(\mathrm{Mod}_R, \otimes)$  for some commutative object  $R$ , so that we obtain a tensor category. This forces our hand somewhat: the unit of  $\mathrm{Mod}_R$  is  $R$ , and  $\mathrm{End}(1_{\mathrm{Mod}_R}) = \mathrm{End}(R) = R$ . For a general monoidal category  $\mathbf{C}$ ,  $\mathrm{End}(1_{\mathbf{C}})$  is always commutative, and in the derived setting it’s always  $E_2$ . Thus, given any monoidal category, we get a ring  $R = \mathrm{End}(1_{\mathbf{C}})$ , and the category  $\mathrm{Mod}_R$  sits naturally inside  $(\mathbf{C}, *)$  as the things generated by the unit. You might not see all of  $\mathbf{C}$ , but no information is lost.

This example works really well if your category is  $\mathrm{Mod}_R$ ; it’s less great if  $\mathbf{C} = \mathrm{Rep}_G$  for a reductive group  $G$ ; since this is a semisimple category,  $\mathrm{End} 1 = \mathbb{C}$ . We’ve been talking about  $\mathrm{QC}(X)$ , whose unit is  $\mathcal{O}_X$ ; thus,  $\mathrm{End}(\mathcal{O}_X) = \mathrm{Hom}(\mathcal{O}_X, \mathcal{O}_X) = \Gamma(\mathcal{O}_X) = \mathcal{O}(X)$ , the global functions. Thus, restricting to  $\mathcal{O}(X)$ -modules is affinization, keeping only the global data of functions.

This has a very nice interpretation from 3D TFT. The monoidal category is  $(Z(S^1), *)$ , and the unit is  $Z(D^2)$  (filling in one of the holes in operator product expansion). Then,  $\mathrm{End}(1) = Z(S^2)$ , the local operators in the TFT, generalizing the line operators we discussed last semester.

To recap, suppose  $X$  is a smooth variety.

- To a point, we assign  $(\mathrm{QC}(X), \otimes)$ .
- To  $S^1$ , we get  $\Omega_X^{-\bullet}$ -modules, with  $\mathcal{O}_X$  as the unit. We want to know  $\mathrm{End}_{\mathrm{Mod}_{\Omega_X^{-\bullet}}}(\mathcal{O}_X)$ ; this is an exterior algebra with an augmentation, and we want to know the endomorphisms of the augmentation.

This is a somewhat complicated question, so let’s ask a simple question.

**Example 4.4.** The best exterior algebra is  $\Lambda = \mathbb{C}[\eta]/(\eta^2) = H_*(S^1)$ , where  $\eta$  has degree  $-1$ . Now,  $\Lambda$ -modules are “topological/homotopic representations of  $S^1$ ,” i.e. things with an action of  $H_*(S^1)$ . As with other representations, we can identify this with a category of sheaves on  $BS^1$ .

Anyways, we care about the augmentation module  $\Lambda/\eta \cong \mathbb{C}_0$ . This is the trivial representation, and is induced by homology by the circle action on a point. We want to calculate  $\mathrm{Ext}_{\Lambda}(\mathbb{C}_0, \mathbb{C}_0)$ , which has a nice topological interpretation — maps out of the trivial representation are invariants, so this is the invariants of the circle action on  $\mathbb{C}_0$ . A more formal name for this is the  $S^1$ -equivariant cohomology of a point, namely  $H^1(BS^1) = H^1(\mathbb{CP}^\infty) = \mathbb{C}[u]$ , where  $|u| = 2$ . ◀

Returning to our example and running the same calculation, the endomorphisms of the augmentation are  $\mathrm{Sym} T[-2]$ , which is pretty cool. In particular, it says that this field theory assigns to  $S^2$  the space  $\Gamma(X, \mathrm{Sym} T_X[-2])$ , and this says that (assuming some finiteness conditions),  $Z(S^1) = \mathrm{Mod}_{\Omega_X^{-\bullet}}$  is also the category of  $\mathrm{Sym} T_X[-2]$ -modules, with the naïve tensor product! This is nice, because it’s what you might have guessed if you knew  $Z(S^2)$ , and indeed it is.

Ignoring these shifts by  $-2$ , this is functions on  $T^*X$ , so the commutative ring of local operators in this theory is functions on  $T^*X$ , and therefore to  $S^1$  we’ve attached (up to grading and finiteness conditions)  $\mathrm{QC}(X)$  with the usual tensor product!

<sup>12</sup>Since  $X$  need not be finite, we’ll begin taking everything derived. For example,  $\mathbf{C}$  is a dg category.

<sup>13</sup>We emphasize that everything is derived.

Now, can we go backwards? Given a TFT, if it can be put into this form, it's a  $\sigma$ -model for  $T^*X$  (up to grading), and therefore deserves to be called Rozansky-Witten theory for  $T^*X$ . This tells us that if  $M$  is a holomorphic symplectic manifold (i.e. the symplectic form is a  $(2, 0)$ -form, e.g.  $T^*X$  where  $X$  is any variety), then Rozansky-Witten theory attaches a 3D TFT, and if  $M$  is compact, this extends to defining partition functions for 3-manifolds.

How do you recognize this theory on the street? Its main feature is that it's a 3D lift of the  $B$ -model: if you take the dimensional reduction  $Z_{S^1}(-) = Z(S^1 \times -)$ , you get the  $B$ -model, because  $Z_{S^1}(\bullet) = Z(S^1) = \mathrm{QC}(M)$ . Rozansky-Witten theory is the theory of maps into  $M$ , and the local operators are functions on  $M$ . Anything of the form  $S^1 \times X$  comes from the  $B$ -model, e.g.  $Z(S^1 \times S^1) = \Gamma(\Omega_M^{-\bullet})$ ; if  $M$  is compact, this looks like  $H_{\mathrm{dR}}(M)$ , perhaps up to a grading.

What does Rozansky-Witten theory assign to a point? We don't know, and there are a few ideas, but we're in a good position to answer. One issue is the dirty secret: Rozansky-Witten theory is  $\mathbb{Z}/2$ -graded where it "should be"  $\mathbb{Z}$ -graded: if you pretend that there's no grading, things are off by even shifts, so you get the  $\mathbb{Z}/2$ -graded version of the  $B$ -model. Ideally, understanding  $Z(\bullet)$  would address this degree issue. It's currently guessed to be something like sheaves of categories (namely  $\mathrm{QC}(L)$ -modules) over holomorphic Lagrangians  $L$  of  $M$ .

More explicitly, given Lagrangian submanifolds  $L_1, L_2 \subset M$ , we can attach categories, e.g.  $\mathrm{QCoh}(L_i)$ . Locally  $M \simeq T^*L_1$ . The homomorphisms between  $\mathrm{QC}(L_1)$  and  $\mathrm{QC}(L_2)$  are expected to be matrix factorizations.

Everything here should be graded; if you think of this from first principles, you have a 3D TFT  $Z$  and want to construct a holomorphic symplectic manifold  $M$  such that  $Z$  is Rozansky-Witten theory for  $M$ . This  $M$  would be the moduli space of vacua. A first approximation is  $\mathrm{Spec} Z(S^2)$ , which is akin to the affine version of the moduli space, and this has a Poisson structure, which is how symplectic geometry enters the picture.  $Z(S^2)$  is an  $E_3$ -algebra in the category of graded vector spaces: its operations are parameterized by pairs of balls in 3-space. This is nothing so fancy: it's a commutative multiplication plus a bracket  $\{-, -\}$  of degree  $-2$ , arising from  $C_2(\mathbb{R}^3) \simeq S^2$ , and  $H_*(S^2)$  has two generators, the multiplication in degree 0, and the Poisson bracket in degree  $-2$ . That is, the fundamental class of the 2-sphere gives you the bracket. Another way to think about it is two circles moving around each other in a Hopf link, which gives you the generator of  $H_2(C_2(\mathbb{R}^3))$ . So  $\mathrm{Spec} Z(S^2)$  is a Poisson variety, but in a graded sense:  $\{-, -\}$  has degree  $-2$ , exactly why the shifted version of the cotangent bundle  $T^*X[2]$ . Functions on this shifted bundle are an  $E_3$ -algebra. This perspective is why we get 2-shifted symplectic structures.

## REFERENCES

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