

# INTRODUCTION TO SPECTRAL SEQUENCES

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## 1. INTRODUCTION TO THE GENERAL FORMALISM: 5/8/17

Today, Adrian spoke about what a spectral sequence is and where they come from. The next four lectures will be interesting examples, even if today is somewhat dry.

**Definition 1.1.** A **(homological) spectral sequence** is the data of

- modules over a ring<sup>1</sup>  $E_{p,q}^r$  indexed by  $r \geq N$  for some positive  $N$  and  $p, q \in \mathbb{Z}$ , and
- maps  $d_r: E_{p,q}^r \rightarrow E_{p-r, q-1+r}^r$ , called **differentials**,

subject to the following conditions:

- $d_r^2 = 0$ , and
- for all  $p, q$ , and  $r$ ,  $E_{p,q}^{r+1}$  is the homology of the chain complex  $(E_{p-r\bullet, q-1+r\bullet}^r, d_r)$  at  $E_{p,q}^r$ .

The way in which the differentials affect the grading is pretty opaque, so let's see what it looks like for small  $r$ .

$$\begin{array}{ccccc}
 E_{p,q}^0 & & & & E_{p-2,q+1}^2 \\
 \downarrow d_0 & & E_{p-1,q}^1 \xleftarrow{d_1} E_{p,q}^1 & & \swarrow d_2 \\
 E_{p,q-1}^0 & & & & E_{p,q}^2
 \end{array}$$

The differentials swing from downward to leftward, and comes closer and closer to pointing northwest.

This is a lot of structure, and one usually visualizes it as a book, with **pages**  $E_{\bullet,\bullet}^r$ , and each page is thought of as a lattice with the differentials:

$$\begin{array}{ccccccc}
 & & \vdots & & \vdots & & \vdots \\
 & & & & & & \\
 \cdots & \xleftarrow{E_{p+1,q-1}^r} & \xleftarrow{E_{p+1,q}^r} & \xleftarrow{E_{p+1,q+1}^r} & \cdots & & \\
 & \nwarrow & \nwarrow & \nwarrow & & & \\
 \cdots & \xleftarrow{E_{p,q-1}^r} & \xleftarrow{E_{p,q}^r} & \xleftarrow{E_{p,q+1}^r} & \cdots & & \\
 & \nwarrow & \nwarrow & \nwarrow & & & \\
 \cdots & \xleftarrow{E_{p-1,q-1}^r} & \xleftarrow{E_{p-1,q}^r} & \xleftarrow{E_{p-1,q+1}^r} & \cdots & & \\
 & & \vdots & & \vdots & & \vdots
 \end{array}$$

<sup>1</sup>In the general setup, one has to be somewhat agnostic about what these are: in any context where one can do homological algebra, one can define spectral sequences: abelian groups, modules over a ring, objects in an abelian category...

The point of this heavy machinery is that there's a machine which takes filtered objects and functors satisfying an excision property to spectral sequences, and such pairs arise in many contexts in algebra, topology, and geometry.

**Definition 1.2.** Let  $\mathbb{Z}$  denote the **poset category** of the integers, i.e. there's a unique arrow  $m \rightarrow n$  iff  $m \leq n$ . Then, a **filtered object** in a category  $\mathcal{C}$  is a functor  $X: \mathbb{Z} \rightarrow \mathcal{C}$ .

The idea is a topological space  $X$  together with inclusions  $X_i \hookrightarrow X_{i+1}$ , such that  $X$  is the union of all of the  $X_i$ . More generally, one can let  $X$  be the colimit over  $i$  of  $X(i)$ . One example is the CW filtration of a CW complex  $X$ , where  $X(n)$  is the  $n$ -skeleton of  $X$ .

**Definition 1.3.** Let  $\mathcal{C}$  be either  $\mathbf{Top}_*$ , the category of pointed topological spaces, or  $\mathbf{Ch}(\mathbf{Mod}_A)$ , the category of chain complexes of  $A$ -modules for a ring  $A$ .

- Let  $f: X \rightarrow Y$  be a  $\mathcal{C}$ -morphism, so that we can take its mapping cone  $C_f$  and obtain a sequence  $X \rightarrow Y \rightarrow C_f$ . If we iterate this construction,  $C_{Y \rightarrow C_f}$  is weakly equivalent to  $\Sigma X$ , and the mapping cone of this is weakly equivalent to  $\Sigma Y$ , so we obtain a sequence

$$X \xrightarrow{f} Y \longrightarrow C_f \longrightarrow \Sigma X \xrightarrow{\Sigma f} \Sigma Y \longrightarrow \Sigma C_f \longrightarrow \dots$$

Such a sequence is called a **cofiber sequence**.<sup>2</sup>

- A **functor satisfying excision** is a covariant or contravariant functor  $\mathcal{C} \rightarrow \mathbf{Ab}$  taking cofiber sequences to long exact sequences.<sup>3</sup>

To see why  $C_{Y \rightarrow C_f} \simeq \Sigma X$ , one can work with particularly nice maps, so that  $Y \rightarrow C_f$  is an injection, and its mapping cone crushes  $Y$  to a point, producing  $\Sigma X$ . The cofiber  $C_f$  is the topological analogue of the quotient  $Y/X$ .

**Example 1.4.** Here are some examples of these functors. First, let  $\mathcal{C} = \mathbf{Top}_*$ :

- (1) Covariant functors  $\mathbf{Top}_* \rightarrow \mathbf{Ab}$  with excision include homology functors  $H_n$ .
- (2) For covariant functors sending fiber sequences to long exact sequences, we have homotopy groups  $\pi_i$ .
- (3) Contravariant functors with excision include cohomology functors  $H^n$ .

For the category of chain complexes, cofiber and fiber sequences are the same thing.

- (4) Covariant functors include homology and covariant derived functors such as  $\mathrm{Ext}^i(M, -)$  and  $\mathrm{Tor}_i(M, -)$ .
- (5) Contravariant functors include cohomology and contravariant derived functors such as  $\mathrm{Ext}^i(-, M)$ . ◀

From here, one can draw picture of the argument for why such a functor defines a spectral sequence:

(Diagram to be made later.)

From this diagram, one can see how the differentials arise, and they have the grading for the  $E_2$  page. In particular, given the filtration  $\{X_p\}$  of  $X$ , we can let  $E_{p,q}^2 := H_{p+q}(X_p)$ .<sup>4</sup> Thus the  $E^1$  page is

$$\begin{array}{ccccc} \vdots & & \vdots & & \vdots \\ & & & & \\ H_2(X_0) & \xleftarrow{d_1} & H_3(X_1) & \xleftarrow{d_1} & H_4(X_2) \leftarrow \dots \\ & & & & \\ H_1(X_0) & \xleftarrow{d_1} & H_2(X_1) & \xleftarrow{d_1} & H_3(X_2) \leftarrow \dots \\ & & & & \\ H_0(X_0) & \xleftarrow{d_1} & H_1(X_1) & \xleftarrow{d_1} & H_2(X_2) \leftarrow \dots \end{array}$$

<sup>2</sup>You may prefer to call this a **cofibre sequence**.

<sup>3</sup>There's a version of this for functors taking fiber sequences to long exact sequences, but we won't need to use it.

<sup>4</sup>Technically, we started only with one functor  $H$ , but we can define  $H_{n-1}(X) := H_n(\Sigma X)$  and extend to a family of functors, just as for homology.

The key is explaining how the differentials occur. Let  $h$  be a homology theory,  $X = \{X_i\}$  be a filtration, and  $C_i := X_i/X_{i-1}$  be the cofibers. Then we have a diagram

$$\begin{array}{ccccccc} & & h(C_1) & \longleftarrow & h(C_2) & \longleftarrow & h(C_3) \\ & & \uparrow & & \uparrow & & \uparrow \\ h(X_0) & \longrightarrow & h(X_1) & \longrightarrow & h(X_2) & \longrightarrow & h(X_3) \longrightarrow \dots \end{array}$$

Any pair  $\rightarrow, \uparrow$  fits into a long exact sequence with connecting morphism  $\delta: h(C_i) \rightarrow h(\Sigma X_{i-1})$ :

$$\begin{array}{ccccccc} & & h(C_1) & \longleftarrow & h(C_2) & \longleftarrow & h(C_3) \\ & \swarrow \delta & \uparrow & \swarrow \delta & \uparrow & \swarrow \delta & \uparrow \\ h(X_0) & \longrightarrow & h(X_1) & \longrightarrow & h(X_2) & \longrightarrow & h(X_3) \longrightarrow \dots \end{array}$$

This is how the first differentials arise: take the connecting morphism  $\delta$ , then map back  $h(X_{i-1}) \rightarrow h(C_{i-1})$ . Considering longer sequences of maps after taking homology gives you the higher-order differentials.

What follows was a complicated diagram chase that was hard to live-T<sub>E</sub>X.

We had the  $E^1$  page and differentials, and after taking homology, we get the  $E^2$  page:

$$\begin{array}{ccccc} & E_{0,2}^2 & E_{1,2}^2 & E_{2,2}^2 & \\ & \swarrow & \swarrow & \swarrow & \\ & E_{0,1}^2 & E_{1,1}^2 & E_{2,1}^2 & \\ & \swarrow & \swarrow & \swarrow & \\ & E_{0,0}^2 & E_{1,0}^2 & E_{2,0}^2 & \end{array}$$

## 2. THE ATIYAH-HIRZEBRUCH SPECTRAL SEQUENCE: 5/9/17

Today, I'm going to talk about the Atiyah-Hirzebruch spectral sequence. Last time, we talked about how to construct a spectral sequence from a filtration of a topological space; today, we'll black-box that construction and use it to compute some stuff. Namely, we'll use the CW fibration associated to any CW complex.

Let  $E^*$  be a generalized cohomology theory and  $X$  be a CW complex. The **Atiyah-Hirzebruch spectral sequence** is a spectral sequence

$$E_2^{p,q} = H^p(X; E^q(\text{pt})) \implies E^{p+q}(X).$$

We'll explain what all this actually means.

**Convergence.** Sometimes you're reading a book and it feels like it goes on forever. It's nice when spectral sequences don't do that. As an example, we'll look at a **first-quadrant spectral sequence**, one where  $E_2^{p,q} = 0$  when  $p < 0$  or  $q < 0$ . In this setup, if you pick any  $(p, q)$ , then after finitely many pages, the differentials are so long that they leave the first quadrant, so you get a sequence  $0 \rightarrow E_{p,q}^r \rightarrow 0$ , and therefore when you take homology, nothing changes. Thus it makes sense to say what the end of the spectral sequence is.

**Definition 2.1.** Whenever it makes sense, we'll define the  $E_\infty$ -**page** of the spectral sequence to be  $E_\infty^{p,q} = E_{p,q}^r$  for  $r \gg 0$ . One says  $E_r^{p,q}$  **converges** or **abuts** to  $E_\infty^{p,q}$ .

Typically this is something interesting we want to calculate.

**Definition 2.2.** Let  $A_\bullet$  be a graded abelian group together with an exhaustive filtration  $\{F_p\}$ .

- The **associated graded** of the filtration  $\{F_i\}$  is

$$(\text{gr } A)_{p,q} := F_p A_{p+q} / F_{p-1} A_{p+q}.$$

- A spectral sequence  $E_r^{p,q}$  **converges (weakly)** to  $A_\bullet$ , written

$$E_r^{p,q} \implies A_\bullet,$$

if it has an  $E_\infty$  page and the  $E_\infty$  page is the associated graded of  $A_\bullet$ .

*Remark.* There is a notion of **conditional convergence**, due to Boardman, which essentially means “not always weakly convergent, but converges under hypotheses often met in practice.” Unfortunately, defining this precisely would be a huge digression. ◀

**Generalized cohomology theories.** The Atiyah-Hirzebruch spectral sequence is used to compute things which behave like homology or cohomology, but are slightly different: they satisfy all of the Eilenberg-Steenrod axioms except for the dimension axiom. These generalized cohomology theories have been a huge area of focus in algebraic topology in the last half century.

**Definition 2.3.** A **generalized cohomology theory** (also **extraordinary cohomology theory**) is a collection of functors  $h^n: \mathbf{Top}_* \rightarrow \mathbf{Ab}$  such that:

- Given a map  $f: A \rightarrow X$ , let  $X/A$  denote its cofiber. There is a natural transformation  $\delta: h^n(X/A) \rightarrow h^{n+1}(A)$  such that the following sequence is long exact:

$$\cdots \longrightarrow h^n(A) \xrightarrow{h^n(f)} h^n(X) \longrightarrow h^n(X/A) \xrightarrow{\delta} h^{n+1}(A) \longrightarrow \cdots$$

- $h^n$  takes wedge sums to direct sums: if  $X = \bigvee_i X_i$ , then the natural map

$$\bigoplus h^n(X_i) \longrightarrow h^n(X)$$

is an isomorphism.

The dual notion of a **generalized homology theory** is the same, except the differentials go in the other direction. This defines a reduced homology theory, i.e. one for spaces with basepoints.

**Example 2.4** ( $K$ -theory). Let  $X$  be a compact Hausdorff space. Then, the set of isomorphism classes of complex vector bundles on  $X$  is a semiring, so we can take its group completion and obtain a ring  $K^0(X)$ .

The following theorem is foundational and beautiful.

**Theorem 2.5** (Bott periodicity).  $K^0(\Sigma^2 X) \cong K^0(X)$ .

This allows us to promote  $K^*$  into a **2-periodic** generalized cohomology theory  $K^*$ , called **complex  $K$ -theory**, by setting  $K^{2n}(X) = K^0(X)$  and  $K^{2n+1}(X) = K^0(\Sigma X)$ .<sup>5</sup>

Like cohomology,  $K$ -theory is **multiplicative**, i.e. it spits out  $\mathbb{Z}$ -graded rings. However,  $K^i(X)$  is often nonzero for negative  $i$ .

**Exercise 2.6.** For example, show that as graded abelian groups,  $K^*(\text{pt}) = \mathbb{Z}[t, t^{-1}]$ , where  $|t| = 2$ .

$K$ -theory admits a few variants.

- If you use real vector bundles instead of complex vector bundles, everything still works, but Bott periodicity is 8-fold periodic. Thus we obtain a periodic, multiplicative cohomology theory called **real  $K$ -theory**, denoted  $KO^*(X)$ . Its value on a point is encoded in the **Bott song**.
- Sometimes it will be simpler to consider a smaller variant where we only keep the negative-degree elements. This is called **connective  $K$ -theory**, and is denoted  $ku^*$  (for complex  $K$ -theory) or  $ko^*$  (for real  $K$ -theory). These are also multiplicative. ◀

**Example 2.7** (Bordism). Let  $X$  be a space and define  $\Omega_n^O(X)$  to be the set of equivalence classes of maps of  $n$ -manifolds  $M \rightarrow X$ , where  $[f_0: M \rightarrow X] \sim [f_1: N \rightarrow X]$  if there’s a cobordism  $Y: M \rightarrow N$  and a map  $F: Y \rightarrow X$  extending  $f_0$  and  $f_1$ . This is an abelian group under disjoint union, and the collection  $\{\Omega_n^O\}$  defines a generalized homology theory called **unoriented bordism**.<sup>6</sup>

The following theorem was the beginning of differential topology.

**Theorem 2.8** (Thom). As graded abelian groups,  $\Omega_n^O(\text{pt}) \cong \mathbb{F}_2[x_2, x_4, x_5, x_6, \dots] = \mathbb{F}_2[x_i \mid i \neq 2^j - 1]$ . Moreover,  $\Omega_*^O$  is a direct sum of (suspended) ordinary cohomology theories.

There’s a lot of variations, based on whatever flavors of manifolds you consider. Using oriented manifolds produces **oriented bordism**  $\Omega_*^{\text{SO}}$ , spin manifolds produce **spin bordism**  $\Omega_*^{\text{Spin}}$ , and so forth. These are not direct sums of ordinary cohomology theories in general. ◀

<sup>5</sup>Extending from compact Hausdorff spaces to all of  $\mathbf{Top}$  is possible, but then one loses the vector-bundle-theoretic description.

<sup>6</sup>The corresponding cohomology theory is called **cobordism**.

**2.1. The definition.** Recall that if  $X$  is a CW complex, it has a **CW filtration** in which  $X_n$  is the  $n$ -**skeleton**, the union of all cells of dimension  $\leq n$ . Then,  $X_n/X_{n-1}$  is a wedge of  $n$ -spheres indexed by the  $n$ -cells of  $X$ . Using this formalism we can define some spectral sequences.

**Definition 2.9.**

- Let  $E_*$  be a generalized homology theory and  $X$  be a CW complex. Then, the CW filtration on  $X$  induces a spectral sequence of homological type that strongly converges, called the **Atiyah-Hirzebruch spectral sequence**:

$$E_{p,q}^2 = H_p(X; E_q(\text{pt})) \implies E_{p+q}(X).$$

- Let  $E^*$  be a generalized cohomology theory and  $X$  be a CW complex. Then, the CW filtration on  $X$  induces a spectral sequence of cohomological type that *conditionally* converges, called the **Atiyah-Hirzebruch spectral sequence**:

$$E_2^{p,q} = H^p(X; E^q(\text{pt})) \implies E^{p+q}(X).$$

**Calculations.**

**Example 2.10.** We'll use the Atiyah-Hirzebruch spectral sequence to compute  $K^*(\mathbb{CP}^n)$ . Recall that

$$H^p(\mathbb{CP}^k; A) = \begin{cases} A, & p \text{ even} \\ 0, & \text{odd.} \end{cases}$$

Hence

$$E_2^{p,q} = \begin{cases} \mathbb{Z}, & p, q \text{ even, } 0 \leq p \leq 2k \\ 0, & \text{otherwise.} \end{cases}$$

Thus all the differentials are zero! So  $E_2^{p,q} \cong E_\infty^{p,q}$ . Hence the  $E_\infty$  page has no torsion, and therefore  $K^*(\mathbb{CP}^n)$  is isomorphic to its associated graded.

$$K^i(\mathbb{CP}^n) = \begin{cases} \mathbb{Z}^{n+1}, & i \text{ even} \\ 0, & \text{otherwise.} \end{cases} \quad \blacktriangleleft$$

**Exercise 2.11.** Let  $\Sigma$  be a genus- $g$  orientable closed surface. Compute  $K^*(\Sigma_g)$ .

**Exercise 2.12.** What changes when you replace  $K^*$  with  $KO^*$ ?

### 3. THE SERRE SPECTRAL SEQUENCE AND COMPUTATIONS: 5/10/17

Today, Ernie spoke on the Serre spectral sequence and some other topics.

**Multiplicative structures.** So far, everything we've done has been graded modules over a ring  $R$ , and often  $R = \mathbb{Z}$ , so we're thinking about graded abelian groups. Recall that a **graded  $R$ -module** is an  $R$ -module

$$M_\bullet = \bigoplus_{i \in \mathbb{Z}} M_i.$$

If  $x \in M_i$ , we say its **degree** is  $i$ , and write  $|x| = i$ .

Graded modules are great, as they resemble homology of spaces. Cohomology has additional structure in the form of a cup product: if  $x \in H^i(X)$  and  $y \in H^j(X)$ , their cup product, denoted  $x \smile y$  or just  $xy$ , is a class in  $H^{i+j}(X)$ , and  $xy = (-1)^{ij}yx$ . This structure is axiomatized as a graded algebra.

**Definition 3.1.** A **graded  $R$ -algebra**  $M_\bullet$  is a graded  $R$ -module together with a **multiplication map**  $\mu: M_\bullet \times M_\bullet \rightarrow M_\bullet$  such that

- $\mu(M_i, M_j) \subseteq M_{i+j}$  and
- if  $|x| = i$  and  $|y| = j$ , then  $\mu(x, y) = (-1)^{ij}(X)$

The structure of (a page of) a spectral sequence fits into something called a differential graded module.

**Definition 3.2.**

- A **bigraded  $R$ -module** is an  $R$ -module  $M_{\bullet,\bullet}$  admitting a decomposition

$$M_{\bullet,\bullet} = \bigoplus_{i,j \in \mathbb{Z}} M_{i,j}.$$

The **total degree** of an  $x \in M_{i,j}$ , denoted  $|x|$ , is  $i + j$ . This degree turns  $M_{\bullet,\bullet}$  into a singly graded  $R$ -module; this grading is called the **total grading**.

- A **differential graded  $R$ -module** is a bigraded  $R$ -module  $M_{\bullet,\bullet}$  together with a map  $d: M_{\bullet,\bullet} \rightarrow M_{\bullet,\bullet}$  such that  $d^2 = 0$  and  $d$  shifts the total grading by either 1 (if  $M_{\bullet,\bullet}$  is graded cohomologically) or  $-1$  (if it's graded homologically).
- A **differential graded  $R$ -algebra** (DGA) is a differential graded  $R$ -module  $M_{\bullet,\bullet}$  together with a multiplication map making  $M_{\bullet,\bullet}$  a graded  $R$ -algebra with respect to the total grading and such that for all  $x, y \in M_{\bullet,\bullet}$ ,

$$d(xy) = d(x)y + (-1)^{|x|}xd(y).$$

The multiplicative structure in cohomology is very useful: it forces a lot of information, and also can be directly useful, e.g. showing that  $\mathbb{CP}^2$  and  $S^2 \vee S^4$  aren't homotopic, even though they have the same homology. Similarly, a multiplicative structure on a spectral sequence will force a lot of differentials, so is an awesome thing to have in your pocket if you want to compute things with spectral sequences.

**Definition 3.3.** A **multiplicative spectral sequence** is a spectral sequence  $E_2^{\bullet,\bullet} \implies M_{\bullet}$  such that the pages  $E_r^{\bullet,\bullet}$  are DGAs with respect to the grading and differential from the spectral sequence,  $M_{\bullet}$  is a graded algebra, and the convergence reflects the multiplicative structure.

**The Serre spectral sequence.**

**Definition 3.4.** A **(Serre) fibration**  $f: E \rightarrow X$  of topological spaces is a map such that if  $\Delta^n$  denotes the  $n$ -simplex and one has commuting maps

$$\begin{array}{ccc} \Delta^n \times \{0\} & \longrightarrow & E \\ \downarrow & & \downarrow f \\ \Delta^n \times [0, 1] & \longrightarrow & X, \end{array}$$

there exists a map  $G: \Delta^n \times [0, 1] \rightarrow E$  that commutes with the maps in the diagram.

We always take  $X$  to be path-connected, in which case  $f^{-1}(x) \simeq f^{-1}(x')$  for all  $x, x' \in X$ . This preimage is called the **fiber** of  $f$ , and is often denoted  $F$ ; the triple  $F \rightarrow E \rightarrow X$  is called a **fiber sequence**. We will also assume  $X$  is simply connected, which will allow us to obtain stronger results.

**Example 3.5.** Let  $M$  be a manifold of dimension  $n$ . Then,  $TM \rightarrow M$  is a fibration, and the fiber is  $\mathbb{R}^n$ . ◀

**Theorem 3.6** (Serre). *Fix a coefficient ring  $R$ ; let  $f: E \rightarrow X$  be a fibration and  $F$  be its fiber. Then, there exists a multiplicative spectral sequence, called the **Serre spectral sequence***

$$E_2^{p,q} = H^p(X; H^q(F; R)) \implies H^{p+q}(E; R).$$

*Proof sketch.* Let  $\{X_i\}$  be the CW filtration of  $X$ , and let  $E_i := f^{-1}(X_i)$ , which induces an exhaustive filtration  $\{E_i\}$  of  $E$ . Applying  $H^q(-; R)$  defines a spectral sequence by the formalism from the first lecture. The multiplicative structure comes from the cup product on  $X$ . ◻

*Remark.* Let  $A$  be a multiplicative generalized cohomology theory (e.g.  $K$ -theory). Then, we could have applied  $A$  instead of  $H^q(-; R)$  and obtained a multiplicative spectral sequence

$$E_2^{p,q} = H^p(X; A^q(F)) \implies A^{p+q}(E).$$

Letting  $A = H^*(-, R)$ , we recover the Serre spectral sequence, and letting  $E \rightarrow X$  be the identity map  $X \rightarrow X$ , which is a fibration, we recover the Atiyah-Hirzebruch spectral sequence. For this reason this spectral sequence is sometimes called the **Serre-Atiyah-Hirzebruch spectral sequence**. ◀

**Example 3.7.** Let  $PX := \text{Top}_*(I, X)$  denote the **path space**, i.e. the maps from the unit interval to  $X$ . Evaluation at 0 defines a map  $\text{ev}: PX \rightarrow X$ . The path space is made of spaghetti, hence is contractible: shrink each path from time 1 to time  $t$ , and let  $t \rightarrow 0$ .

$\text{ev}: PX \rightarrow X$  is a fibration, and the fiber is  $\Omega X$ , the space of (based) loops in  $X$  (i.e. based maps  $S^1 \rightarrow X$ ). Recall that a fiber sequence induces a long exact sequence in homotopy groups:

$$\cdots \longrightarrow \pi_n(F) \longrightarrow \pi_n(E) \longrightarrow \pi_n(X) \longrightarrow \pi_{n-1}(F) \longrightarrow \cdots \quad (3.8)$$

Since  $\pi_n(PX) = 0$ , this implies  $\pi_n(X) \cong \pi_{n-1}(\Omega X)$ .

Let's apply the Serre spectral sequence to this fibration in the case where  $R = \mathbb{Q}$  and  $X = S^3$ . The Serre spectral sequence takes the form

$$E_2^{p,q} = H^p(S^3; H^q(\Omega S^3; \mathbb{Q})) \implies H^{p+q}(PS^3; \mathbb{Q}).$$

We know the  $E_\infty$  page already: it's 0 unless  $p+q=0$ , in which case it's  $\mathbb{Q}$ . So we're going to reverse-engineer the spectral sequence, to use the  $E_\infty$  page to compute the  $E_2$  page.

We also know  $H^*(S^3; \mathbb{Q}) = E_\mathbb{Q}(X)$ , where  $|x| = 3$ , an exterior algebra in one variable. This is also isomorphic to  $\mathbb{Q}[x]/x^2$ , so has a  $\mathbb{Q}$  in degrees 0 and 3, and is 0 elsewhere.

We know  $H^0(\Omega S^3; \mathbb{Q}) = \mathbb{Q}$ , so the  $E_2$  page looks like

$$\begin{array}{ccccc} & 3 & ? & 0 & 0 & ? \\ & 2 & ? & 0 & 0 & ? \\ & 1 & ? & 0 & 0 & ? \\ & 0 & 1 & 0 & 0 & x. \\ & 0 & 1 & 2 & 3 \end{array}$$

We know that the  $(3,0)$  term has to vanish by the  $E_\infty$  page, so it either **supports a differential** (has a nonzero differential mapping out of it) or **receives a differential** (has a nonzero differential mapping into it). Since this is a first-quadrant spectral sequence, all differentials out of  $x$  hit 0, so it has to receive a differential. But on the  $E_2$  page, this differential comes from the 0 in position  $(1,1)$ , so it's zero, and any differentials in page 4 or above mapping into  $x$  come from the fourth quadrant, so there has to be a nonzero differential on the  $E_3$  page mapping into  $x$ , so there's some  $y \in E_2^{0,2}$ , which generates a copy of  $\mathbb{Q}$ , such that  $d_3 y = x$ . There can't be more than one generator in  $E_2^{0,2}$ , because then either it would survive to the  $E_\infty$  page (which can't happen), or it gets killed, meaning the difference of it and  $y$  is not killed by  $d_3$  and hence survives. Oops. Thus,  $E_2^{0,2} = H^2(\Omega S^3; \mathbb{Q}) \cong \mathbb{Q}$ . Hence we know  $E_2^{3,2} = H^3(S^3; \mathbb{Q})$  as well, and the spectral sequence looks like

$$\begin{array}{ccccc} & 2 & y & 0 & 0 & \mathbb{Q} \\ & 1 & ? & 0 & d_3 0 & ? \\ & 0 & 1 & 0 & 0 & x. \\ & 0 & 1 & 2 & 3 \end{array}$$

We can also immediately determine  $E_2^{\bullet,2}$ : looking at  $E_2^{0,2}$ , there are no differentials that hit something nonzero, or map from something nonzero to it. So anything in it survives to the  $E_\infty$  page, and hence it must be zero. Thus  $H^1(\Omega S^3; \mathbb{Q}) = 0$  and hence  $E_2^{1,3} = 0$  too.

The multiplicative structure tells us that the generator of  $E_2^{3,2}$  must be  $y \cdot x$ . Thus, the spectral sequence looks like

$$\begin{array}{ccccccc}
 & 2 & & y & & 0 & & 0 & & yx \\
 & & & & \searrow & & & & & \\
 & 1 & & 0 & & 0 & & d_3 0 & & 0 \\
 & & & & & & & & & \\
 & 0 & & 1 & & 0 & & 0 & & x. \\
 & & & & & & & & & \\
 & & & 0 & & 1 & & 2 & & 3
 \end{array}$$

But now  $yx$  has to die, and the only way that can happen is if it's hit by  $d_3$  of the  $E_2^{0,4}$  term, which turns out to be  $y^2$ . This is because  $d_3 y = x$ , so

$$d_3(y^2) = d_3(y)y + (-1)^2 y d_3(y) = 2xy.$$

Thus  $d_3$  is multiplication by 2. Hence the spectral sequence looks like

$$\begin{array}{ccccccc}
 & 4 & & y^2 & & 0 & & 0 & & y^2 x \\
 & & & & \searrow & & & & & \\
 & 3 & & 0 & & 0 & & d_3 0 & & 0 \\
 & & & & & & & & & \\
 & 2 & & y & & 0 & & 0 & & yx \\
 & & & & \searrow & & & & & \\
 & 1 & & 0 & & 0 & & d_3 0 & & 0 \\
 & & & & & & & & & \\
 & 0 & & 1 & & 0 & & 0 & & x. \\
 & & & & & & & & & \\
 & & & 0 & & 1 & & 2 & & 3
 \end{array}$$

But now we need  $y^2 x$  to vanish, and it's hit by  $y^3 \in E_2^{0,6}$  via  $d_3$ , which is multiplication by 3, and so on. Inductively we can conclude that

$$H^*(\Omega S^3; \mathbb{Q}) \cong \begin{cases} \mathbb{Q}, & n \text{ even} \\ 0, & n \text{ odd.} \end{cases}$$

Much of this argument, but not all of it, works with  $\mathbb{Q}$  replaced by  $\mathbb{Z}$ . The difference is that multiplication by 2, 3, etc. is not an isomorphism, so we have a sequence of generators  $y_1, y_2, \dots$ :

$$\begin{array}{ccccccc}
 & & & \vdots & & & \vdots \\
 & & & & & & \\
 & y_3 & & & & & y_3 x \\
 & & & & \searrow & & \\
 & 0 & & & & & 0 \\
 & & & & & & \\
 & y_2 & & & \searrow & & y_2 x \\
 & & & & & & \\
 & 0 & & & & & 0 \\
 & & & & & & \\
 & y_1 & & & \searrow & & y_1 x \\
 & & & & & & \\
 & 0 & & & & & 0 \\
 & & & & & & \\
 & 1 & & & & & x. \\
 & & & & & & \\
 & 0 & & 1 & & 2 & & 3
 \end{array}$$

Now we have to figure out the multiplicative structure. We know  $y_1^2 = c_1 y_2$  for some  $c_1 \in \mathbb{Z}$ , so since  $d_3$  is an isomorphism, let's compute: we know  $d_3(y_2) = y_1 x$  by construction, and  $d_3(y_1^2) = 2y_1 x$  for the same reason as over  $\mathbb{Q}$ , so  $y_1^2 = 2y_2$ .



A similar calculation in general shows that  $y_1^n = n!y_n$ , as

$$\begin{aligned} d_3(y_1^n) &= d_3(y_1 y_1^{n-1}) = d_3(y_1) y_1^{n-1} + y_1(n-1)!d(y_{n-1}) \\ &= x y_1^{n-1} + y_1(n-1)!x y_{n-2} \\ &= x(n-1)!y_{n-1} + (n-1)y_{n-1}x(n-1)! \\ &= n!x y_{n-1}, \end{aligned}$$

but  $d_3(n!y_n) = n!x y_{n-1}$ . Hence the ring structure on  $H^*(\Omega S^3)$  is a divided power algebra.

**Definition 3.9.** A **divided power algebra** on a single generator  $x$  in degree  $k$ , denoted  $\Gamma(x)$ , is the free algebra generated by  $\{x_i\}_{i \geq 1}$  where  $|x_i| = ki$ , subject to the relations

$$x_i x_{i+j} = \binom{i+j}{j} x_{i+j} \quad \text{and} \quad x_i = \frac{x^i}{i!}.$$

Thus  $H^*(\Omega S^3) \cong \Gamma(y)$  with  $|y| = 2$ . ◀

**Exercise 3.10.** The same argument works to compute  $H^*(\Omega S^{2n+1})$ . Work it out for  $H^*(\Omega S^{2n})$ , which behaves differently.

**Example 3.11.** Let  $K(G, n)$  be an **Eilenberg-Mac Lane space**, i.e. a space with  $\pi_n(K(G, n)) = G$  and all other homotopy groups vanishing. It's a theorem that these exist for all  $n$  and  $G$  (abelian when  $n \geq 2$ ), and any two choices of a  $K(G, n)$  are homotopy equivalent for given  $G$  and  $n$ . For a simple example,  $S^1$  is a  $K(\mathbb{Z}, 1)$ , and for a less simple example,  $\mathbb{CP}^\infty$  is a  $K(\mathbb{Z}, 2)$ .

Eilenberg-Mac Lane spaces with  $n \geq 3$  are usually much harder to describe explicitly, but we can use the Serre spectral sequence to compute their cohomology. (3.8) tells us that  $\Omega K(G, n)$  has  $\pi_{n-1}(\Omega K(G, n)) = G$  and all other homotopy groups vanishing, so it's a model of  $K(G, n-1)$  (here we need  $n > 1$ ). Thus, the path space fibration is a fibration

$$K(G, n-1) \longrightarrow * \longrightarrow K(G, n).$$

You can use this to inductively compute  $H^*(K(G, n))$ , starting from  $n = 1$ , where  $K(G, 1)$  often has a more explicit model.

This is useful for understanding **cohomology operations**, maps  $H^n(-, \mathbb{Z}) \rightarrow H^p(-, \mathbb{Z})$ , e.g.  $x \mapsto x^2$ . Since Eilenberg-Mac Lane spaces represent ordinary cohomology, these are parameterized by  $[K(\mathbb{Z}, n), K(\mathbb{Z}, p)] = H^p(K(\mathbb{Z}, n))$ . ◀

**Example 3.12.** The unitary group  $U_n$  acts on  $S^{2n-1}$  through the unit sphere embedding  $S^{2n-1} \hookrightarrow \mathbb{C}^n$ , and this action is transitive. The stabilizer of a point is  $U_{n-1}$ , so we obtain a fiber sequence

$$U_{n-1} \longrightarrow U_n \longrightarrow S^{2n-1}.$$

We'll use this to compute the cohomology of  $U_n$ . When  $n = 1$ ,  $U_1 = S^1$ , so  $H^*(U_1) = E(x_1)$ , with  $|x_1| = 1$ .

Next let's consider  $n = 2$ .  $H^*(S^3) = E(x_3)$ , where  $|x_3| = 3$ , so by the Künneth formula,  $H^*(U_1, H^*(S^3)) = H^*(S^1) \otimes H^*(S^3) = E(x_1) \otimes E(x_3)$ , and this is the  $E_2$  page, with multiplicative structure.

$$\begin{array}{cccc} 1 & x & & x_1 x_3 \\ & & & \\ 0 & 1 & & x_3. \\ & & & \\ & 0 & 1 & 2 & 3 \end{array}$$

Thus, no differentials are supported, so  $E_2 = E_\infty = E(x_1, x_3)$ . Thus,  $H^*(U_2) \cong E_{\mathbb{Z}}(x_1, x_3)$ . Inductively, considering  $S^{2n-1}$  adds one more class  $x_{2n-1} \in E_2^{2n-1,0}$  and no differentials can exist, so  $E_2 = E_\infty = E(x_1, x_3, x_5, \dots, x_{2n-1})$ , and this is  $H^*(U_n)$ . ◀

**Example 3.13.** We can apply this computation of the cohomology of  $U_n$  to obtain the cohomology of its classifying space  $BU_n$ . This is the quotient of a contractible space  $EU_n$  by a free  $U_n$ -action (again, it's a theorem that this exists, and that any two choices are homotopy equivalent). Hence we get a fiber sequence  $U_n \rightarrow * \rightarrow BU_n$ .<sup>7</sup>

<sup>7</sup>This works for any Lie group  $G$ : we get a sequence  $G \rightarrow EG \rightarrow BG$ .

Once again, the  $E_\infty$  page vanishes, and we'll use this to determine the  $E_2$  page. We start with column 0, which is  $H^*(U_n)$ . But  $x_1 \in E_2^{0,1}$  must die, and the only differential it can support is  $d_2$ . Thus, there's a  $y_2 \in E_2^{2,0}$  with  $dx_1 = y_2$ . Since  $|x_1|$  is odd, then the Leibniz rule means  $x_1^2 = 0$ , and therefore

$$d(x_1 y_2^k) = y_2 y_2^k + (-1)x_1(0) = y_2^{k+1}.$$

Thus we know part of the  $E_2$  page:

$$\begin{array}{ccccccc}
 & & x_5 & & & & \\
 & & & & & & \\
 & & x_1 x_3 & & & & \\
 & & & & & & \\
 & & x_3 & & x_3 y_2 & & \\
 & & & & & & \\
 x_1 & \searrow^{d_2} & x_1 y_2 & \searrow^{d_2} & x_1 y_2^2 & \searrow^{d_2} & \cdots \\
 1 & & 0 & \rightarrow & y_2 & & 0 & \rightarrow & y_2^2 & \rightarrow & \cdots \\
 & & 0 & & 1 & & 2 & & 3 & & 4
 \end{array}$$

Since  $d_2: x_1 y_2 \mapsto y_2^2$  is an isomorphism, then  $d_2: x_3 \mapsto 0$ , and  $x_3$  survives to the  $E_3$  page. However, this is the last differential we can use to kill it, so  $d_3 x_3$  must be some new element of  $E_2^{4,0}$ , which we'll call  $y_4$ . We can also compute that  $d_2(x_1 x_3) = y_2 x_3$  using the Leibniz rule, so we have

$$\begin{array}{ccccccc}
 & & x_5 & & & & \\
 & & & & & & \\
 & & x_1 x_3 & \searrow^{d_2} & x_3 y_2 & & \\
 & & & & & & \\
 x_3 & \searrow^{d_3} & x_1 y_2 & \searrow^{d_2} & x_1 y_2^2 & \searrow^{d_2} & \cdots \\
 x_1 & \searrow^{d_2} & & & & & \\
 1 & & 0 & \rightarrow & y_2 & & 0 & \rightarrow & y^4, y_2^2 & \rightarrow & \cdots \\
 & & 0 & & 1 & & 2 & & 3 & & 4
 \end{array}$$

If we continue this, we inductively get generators  $y_i \in H^{2i}(BU_n)$ , and we'll see that  $d(x_i y_{i+1}^k) = y_i^{k+1}$ , so there are no relations. Hence  $H^*(BU_n) \cong \mathbb{Z}[y_2, y_4, y_6, \dots, y_n]$ . One application of this is to characteristic classes:  $y_{2m}$  is better known as  $c_m$ , the  $m^{\text{th}}$  **Chern class** for complex vector bundles.  $\blacktriangleleft$

**Example 3.14.** Let  $M$  be a manifold, which we'll assume to be simply connected. Let  $S(M) \rightarrow M$  be the unit sphere bundle inside the tangent bundle.<sup>8</sup> This is a **spherical fibration**, meaning a fibration whose fiber is a sphere. Since the cohomology of a sphere is very simple, the Serre spectral sequence allows us to calculate  $H^*(S(M))$ .

The fibration is  $S^{n-1} \rightarrow S(M) \rightarrow M$ , so the  $E_2$  page is a copy of  $H^*(M)$  in row 0 and a copy in row  $n-1$ . One can show that if  $x_{n-1} \in E_2^{0,n-1}$  is the generator, then the first and only supported differential is  $d_n(x_{n-1}) = \chi(M) \cdot [M]$ . You can use this to compute the  $E_\infty$  page.  $\blacktriangleleft$

<sup>8</sup>This requires a choice of a Riemannian metric to construct it, but the resulting bundle does not depend on the choice of metric.