### SPRING 2017 HOMOTOPY THEORY SEMINAR

ARUN DEBRAY FEBRUARY 1, 2017

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## 1. Higher K-Theory: 1/25/17

Today, Nicky spoke on a few approaches to higher *K*-theory.

Let C be a pointed ∞-category with finite colimits (as in Lurie's approach) or a category with cofibrations and weak equivalences satisfying certain axioms (as in Waldhausen's approach).

Recall that  $K_0(C)$  was defined to be the free abelian group on isomorphism classes of objects of C modulo [X] = [X'] + [X''] whenever we have a pullback

$$X' \longrightarrow X$$

$$\downarrow \qquad \qquad \downarrow$$

$$* \longrightarrow X''.$$

We want to generalize to a based space W such that  $\pi_1(W) = K_0(C)$ , and satisfying a universal property for C: every object X in C should determine a path  $p_X$  from \* to \* in W, and for any cofiber sequence  $X' \to X \to X''$ , we'd like the 2-cell bounded by the paths  $p_X$ ,  $p_{X'}$ , and  $p_{X''}$  to be contractible in W.

*Remark.* Given a map  $f: X \to Y$ , we'll let Y/X denote the cofiber of f. Waldhausen is working with maps that are already cofibrant (since he works with categories that already have special classes of maps), but the suitable cofibrant replacement also exists for  $\infty$ -categories. This notation implies that in  $K_0$ , [Y] = [X] + [Y/X].

**Proposition 1.1.** Let  $X \to Y \to Z$  be a cofiber sequence. Then, [Z] = [X] + [Y/X] + [Z/Y].

*Proof.* One way to prove this is to observe that  $X \to Y \to Z$  means that the following two sequences are cofiber sequences:

$$X \longrightarrow Z \longrightarrow Z/X$$
$$Y/X \longrightarrow Z/X \longrightarrow Z/Y.$$

Alternatively, you could observe that that the following two sequences are cofiber sequences:

$$Y \longrightarrow Z \longrightarrow Z/Y$$
  
 $X \longrightarrow Y \longrightarrow Y/X$ .

These two proofs of this identity are two homotopies between the paths  $p_Z$  and  $p_X \circ p_{Y/X} \circ p_{Z/Y}$ :

$$(1.2) \qquad \qquad * \xrightarrow{p_{Z/Y}} * \xrightarrow{p_{Y}} p_{X}$$

$$* \xrightarrow{p_{Z/X}} * .$$

We'd like for these two homotopies to be homotopic: the two proofs of Proposition 1.1 define a map  $\partial \Delta^3$  into the diagram (1.2), and we want this to extend to a map from  $\Delta^3$ . In a similar way, we'd like to have a similar "coherence" statement corresponding to sequences  $X_1 \to X_2 \to \cdots \to X_n$ .

Waldhausen's  $S_{\bullet}$ -construction does this all formally for us. It works by gluing classifying spaces of these sequences together, which feels like a homotopy coherent nerve but isn't quite one. One way to think about is that there are choices made when making a quotient; the  $S_{\bullet}$  construction keeps them around as simplicial data. More explicitly, given the sequence  $X_0 \to X_1 \to \cdots \to X_n$ , you want the  $0^{th}$  face map to arise from a sequence  $\cdots \to X_i/X_1 \to X_{i+1}/X_1 \to \cdots$ , but there are choices made in picking these maps.

The formalism of the  $S_{\bullet}$  construction will involve some homotopy theory of posets, but is nicer than last semester's stuff. Let P be a poset, and set

$$P^{(2)} := \{(i,j) \in P \times P \mid i \le j\},\$$

which is also  $\operatorname{Fun}(\Delta^1, P)$ .

**Definition 1.3.** A *P*-gapped object in C is a functor  $X: N(P^{(2)}) \to C$  such that for all  $i \in P$ , X(i,i) = \* and for all  $i \le j \le k$  in P, we have a pushout square

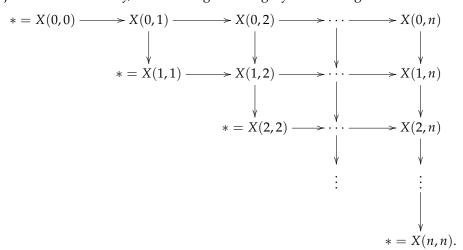
$$X(i,j) \longrightarrow X(i,k)$$

$$\downarrow \qquad \qquad \downarrow$$

$$* = X(j,j) \longrightarrow X(j,k).$$

This is a functorial notion: if  $P \to Q$  is a map of posets, we get a map  $N(P) \to N(Q)$ , and f takes Q-gapped objects to P-gapped ones. That is, "(–)-gapped objects" is a functor from the simplicial indexing functor to  $\infty$ -categories. We're going to bundle this up into a simplicial set.

As usual, let [n] denote the poset  $\{0 < 1 < 2 < \cdots < n\}$ . Let  $Gap_{[n]}(C)$  denote the  $\infty$ -category of [n]-gapped objects in C. Concretely, this is a diagram category for the diagram



There's a nice animation of this available at https://www.ma.utexas.edu/users/ysulyma/.

Let  $S_n(C)$  denote the underlying Kan complex of  $Gap_{[n]}(C)$ : it's not necessarily a groupoid, but we can throw away all non-invertible arrows.<sup>1</sup> Thus,  $S_{\bullet}(C)$  is a simplicial Kan complex,<sup>2</sup> and we're going to geometrically realize it. In low degrees, this recovers things we've seen before:

- $S_0(C) = \operatorname{Gap}_{[0]}(C)$  is the full subcategory of 0-objects, which is contractible.
- $\operatorname{Gap}_{[1]}(\mathsf{C}) \cong \mathsf{C}$  (diagrams of the form  $* \to X \to *$ ), and  $S_1(\mathsf{C})$  is equivalent to the category of isomorphisms in  $\mathsf{C}$ .

<sup>&</sup>lt;sup>1</sup>Alternatively, if you're working with categories of weak equivalences, rather than ∞-categories, you're throwing out everything but the weak equivalences.

<sup>&</sup>lt;sup>2</sup>By a **simplicial Kan complex**, we mean a bisimplicial set such that each  $S_n(C)$  is a Kan complex.

•  $Gap_{[2]}(C)$  is equivalent to the ∞-category of cofiber sequences in C.

Now, we can define *K*-theory.

## **Definition 1.4.** The **algebraic** *K***-theory** of C is

$$K(\mathsf{C}) := \Omega |S_{\bullet}(\mathsf{C})|.$$

Because  $S_{\bullet}(C)$  is a simplicial Kan complex, we must specify the geometric realization; you can either geometrically realize the diagonal or geometrically realize one axis with topology on the sets of simplices.

The *K*-groups of C are 
$$K_n(C) := \pi_n K(C) = \pi_{n+1} |S_{\bullet}(C)|$$
.

These agree with the K-groups we defined in low dimensions, but this is a theorem.

Remark.

- Eventually, we will see how to promote this from a space to a spectrum.
- If  $S_{\bullet}(C)$  is contractible, then  $|S_{\bullet}(C)|$  is conncted.
- Let  $F : C \to D$  be a functor between suitably nice<sup>3</sup> ∞-categories; then, we obtain a map  $K(C) \to K(D)$ .
- The projections  $C \leftarrow C \times D \rightarrow D$  are nice, so

$$K(C \times D) \cong K(C) \times K(D)$$
.

• The coproduct functor II :  $C \times C \to C$  is sufficiently nice, so there's a multiplication map m :  $K(C) \times K(C) \to K(C)$ , which is coherently associative and commutative. In fact, K(C) has an  $E_{\infty}$ -structure.

We'd like to compare the new  $K_0$  and the old  $K_0$ .  $|S_{\bullet}(C)|$  is a direct limit across the **skeleton functors** sk<sub>i</sub> sending X to its i-skeleton:

$$|S_{\bullet}(\mathsf{C})| = \operatorname{colim}(\operatorname{sk}_0|S_{\bullet}(\mathsf{C})| \longrightarrow \operatorname{sk}_1|S_{\bullet}(\mathsf{C})| \longrightarrow \operatorname{sk}_2|S_{\bullet}(\mathsf{C})| \longrightarrow \cdots).$$

- We know the 0-skeleton:  $sk_0|S_{\bullet}(C)| = S_0(C)$  is contractible.
- For the 1-skeleton,  $\operatorname{sk}_1|S_{\bullet}(\mathsf{C})| = \Sigma S_1\mathsf{C} = \Sigma \operatorname{iso} \mathsf{C}$ . Thus, we have a map  $\Sigma \operatorname{iso} \mathsf{C} \to |S_{\bullet}(\mathsf{C})|$  whose adjoint begins a sequence

iso 
$$C \longrightarrow \Omega |S_{\bullet}(C)| \longrightarrow \Omega^2 |S_{\bullet}S_{\bullet}(C)| \longrightarrow \cdots$$

These are the maps that will define the *K*-theory spectrum.

Thus, we know  $K_0(C) = \pi_0(K(C)) = \pi_1(S_{\bullet}(C))$ , which is generated by isomorphims classes of objects in C. The relations are generated by things in  $\mathrm{sk}_2|S_{\bullet}(C)|$ : we've glued in 2-cells in  $S_2(C)$  to introduce relations. That is, the relations are defined by  $\pi_0(S_2(C))$ , which is the set of cofiber sequences. Thus,  $K_0(C)$  is the abelian group generated by objects and modulo cofiber sequences, as desired.

**Algebraic** *K*-theory as a spectrum. Since  $\mathrm{sk}_1|S_{\bullet}(\mathsf{C})|$  is obtained from  $\mathrm{sk}_0|S_{\bullet}(\mathsf{C})|$  by attaching  $S_1\mathsf{C}\times\Delta^1$  and  $\mathfrak{so}_0|S_{\bullet}(\mathsf{C})|$  is contractible, then  $\mathrm{sk}_1|S_{\bullet}(\mathsf{C})|\simeq\Sigma S_1(\mathsf{C})\simeq\Sigma$  iso  $\mathsf{C}$ .

The 1-skeleton includes into the whole space, so by adjunction, we have an inclusion iso  $C \hookrightarrow \Omega |S_{\bullet}(C)|$ . Thus we can begin to define a spectrum, in fact an  $\Omega$ -spectrum.

**Definition 1.5.** The **algebraic** *K***-theory spectrum**  $\widetilde{K}(C)$  is the spectrum assigning

$$n \longmapsto |\mathrm{iso} \underbrace{S_{\bullet}S_{\bullet}\cdots S_{\bullet}}_{n}\mathsf{C}|,$$

with the maps induced from the adjunction above.

Remark. There's a technicality here with basepoints. Waldhausen solved this by requiring exact functors to be based, but typically for  $\infty$ -categories, one requires a functor to send a zero object to a zero object. This is an issue for setting up functoriality, which is worth being aware of. One way to solve this is to quotient out by these choices. In practice, however, exact functors tend to strictly preserve the basepoint.

<sup>&</sup>lt;sup>3</sup>Probably pointed and with finite colimits.

Some things to notice here: the  $n^{\text{th}}$  term is (n-1)-connected (since the 0-skeleton of the  $S_{\bullet}$ -construction is contractible). This is an ingredient in the additivity theorem, an important result that will be presented next time. This will imply that the K-theory spectrum is an  $\Omega$ -spectrum, allowing a more concise definition of K-theory space:

$$K(\mathsf{C}) = \varinjlim_{n} \Omega^{n} |S_{ullet}^{(n)}(\mathsf{C})| = \Omega^{\infty} |S_{ullet}^{(\infty)}(\mathsf{C})|.$$

This is helpful because it shows that K(C) is an infinite loop space, and this is how we get the  $E_{\infty}$  structure. The point is, the  $\Omega$ -spectrum structure gives you the infinite loop space structure on the nose; you don't have to take a colimit.

Remark. The  $S_{\bullet}$ -construction looks a little bit like a suspension, and there's a way in which this can be made precise. Another way of looking at this is that if you don't shift up and deloop, you have an Ω-spectrum after the 0<sup>th</sup> level. This relates to the fact that the  $S_{\bullet}$ -construction is not a Kan complex, but after one subdivision, it becomes one. The class of simplicial sets with this property is formally interesting.  $\triangleleft$ 

#### 2. The additivity theorem: 2/1/17

Today, Ernie talked about the additivity theorem. Reference: McCarthy, "Fundamental theorems in algebraic *K*-theory," which gives the coolest proof, presented today. It's only about four pages long. The running question is: what hypotheses do we even need for this proof? The answer is "not very much," and it can be generalized further than we go today.

Recall that  $S_2C$  was the cofiber sequences in C.

**Theorem 2.1** (Additivity, 1-categorical case). Let C be a Waldhausen category. The exact functor  $S_2C \to C \times C$  sending  $(a \mapsto c \twoheadrightarrow b) \mapsto (a,b)$  induces a homotopy equivalence  $S_{\bullet}S_2C \to S_{\bullet}(C \times C) \cong S_{\bullet}C \times S_{\bullet}C$ .

Lurie's notes state this slightly differently:

**Theorem 2.2** (Additivity,  $\infty$ -categorical case). Let C be a pointed  $\infty$ -category with finite colimits. Then, the exact functor  $\operatorname{Fun}(\Delta^1,\mathsf{C}) \to \mathsf{C} \times \mathsf{C}$  sending  $(\alpha\colon a \to c) \mapsto (a,\operatorname{cofib}\alpha)$  induces a homotopy equivalence  $S_{\bullet}S_2\mathsf{C} \to S_{\bullet}(\mathsf{C} \times \mathsf{C}) \cong S_{\bullet}\mathsf{C} \times S_{\bullet}\mathsf{C}$ .

In particular, this induces an equivalence of *K*-theory spectra.

**Corollary 2.3.** The functor  $(a,b) \mapsto (a \mapsto a \lor b \twoheadrightarrow b)$  is a homotopy inverse to the functor in Theorem 2.1, and therefore induces an isomorphism on K-theory.

That is, *K*-theory splits short exact sequences. The additivity theorem is the main structural theorem about algebraic *K*-theory, and says that, in a universal-property sense, *K*-theory splits exact sequences. You may find this extremely emotionally satisfying.

**Corollary 2.4.** Let C and D be Waldhausen categories (or pointed  $\infty$ -categories with finite colimits) and  $F' \mapsto F \twoheadrightarrow F''$  be a **cofiber sequence** of functors  $C \to D$ , i.e. for any cofibration  $A \mapsto B$ , the map  $FA \coprod_{F'A} F'B \to FB$  is a cofibration. Then, they induce cofiber sequences pointwise. Then, on K-theory,  $K(F) \simeq K(F') \vee K(F'')$ .

**Example 2.5.** Consider the cone and suspension functors Cone,  $\Sigma: C \to C$ .

There is a pullback square of functors



Thus, on *K*-theory, the cone functor is homotopic to id  $\vee \Sigma$ , but the cone is null-homotopic, so  $\Sigma$  acts by "-1" on *K*-theory.<sup>5</sup>

<sup>&</sup>lt;sup>4</sup>This is an example of a **latching condition**; it can often be suppressed in the ∞-categorical world, though perhaps at the expense of more work somewhere else. Conditions like this show up when establishing model-categorical structures.

<sup>&</sup>lt;sup>5</sup>In fact,  $\Sigma$  acts by precisely −1 on  $K_0(\mathsf{C})$ .

Not all Waldhausen categories have a cone functor, but this tells us that, when we do have it, *K*-theory is a stable invariant.

The proof will involve simplicial homotopy theory. Let  $F: C \to D$  be an exact functor of Waldhausen categories. Following McCarthy's notation, let  $S_{\bullet}F|D$  denote the bisimplicial set whose (m,n)-simplices are the pairs of cofiber sequences

$$0 = c_{0} \longrightarrow c_{1} \longrightarrow \cdots \longrightarrow c_{m}$$

$$0 = d_{0} \longrightarrow dc_{1} \longrightarrow \cdots \longrightarrow d_{m} \longrightarrow e_{1} \longrightarrow \cdots \longrightarrow e_{n}$$

such that for each i,  $F(c_i) = d_i$  and for  $0 \le i < m$ , the diagram

$$F(c_i) \longrightarrow F(c_{i+1})$$

$$\parallel \qquad \qquad \parallel$$

$$d \rightarrowtail d_{i+1}.$$

Such sequences are written with bars:

$$\frac{0 = c_{0} \longrightarrow c_{1} \longrightarrow \cdots \longrightarrow c_{m}}{0 = d_{0} \longrightarrow dc_{1} \longrightarrow \cdots \longrightarrow d_{m} \longrightarrow e_{1} \longrightarrow \cdots \longrightarrow e_{n}}$$

We use this to obtain an easier condition to prove

**Proposition 2.6.** The following are equivalent:

- (1)  $S_{\bullet}F: S_{\bullet}C \to S_{\bullet}D$  is a homotopy equivalence.
- (2) The bisimplicial map  $S_{\bullet}F|D \to S_{\bullet}DR$  is a homotopy equivalence.

Here, if  $X \in sSet$ , XR denotes the bisimplicial set with (m, n)-simplices  $XR_{m,n} := X_m$ , i.e. it's constant in the first entry. The analogous construction XL operates in the other index. We need this because  $S_{\bullet}D$  is merely a simplicial set.

*Proof.* Given a  $\mathbf{c}/\mathbf{de} \in (S_{\bullet}F|D)_{m,n}$ , we can forget  $\mathbf{d}$  and  $\mathbf{e}$  to obtain the cofiber sequence of the  $c_i$ . This defines a map  $\pi_F : S_{\bullet}F|D \to S_{\bullet}CL$ . Similarly, there is a map  $\rho_F : S_{\bullet}F|D \to S_{\bullet}DR$  sending

$$\mathbf{c}/\mathbf{de} \longmapsto 0 = e_0/e_0 \longrightarrow e_1/e_0 \longrightarrow \cdots \longrightarrow e_n/e_0.$$

These fit into a diagram of bisimplicial sets

(2.7) 
$$S_{\bullet}DR \stackrel{\rho_{F}}{\longleftarrow} S_{\bullet}F|D \xrightarrow{\pi_{F}} S_{\bullet}CL$$

$$\parallel \qquad \qquad \downarrow_{F} \qquad \qquad \downarrow_{S_{\bullet}F}$$

$$S_{\bullet}DR \stackrel{\rho_{id}}{\longleftarrow} S_{\bullet}id|D \xrightarrow{\pi_{id}} S_{\bullet}DL.$$

Using the following lemma, one can show that  $\rho_{id}$ ,  $\pi_{id}$ , and  $\pi_F$  are homotopy equivalences.

**Lemma 2.8** (Realization). Let  $f: X_{\bullet,\bullet} \to Y_{\bullet,\bullet}$  be a map of bisimplicial sets such that  $f_{\bullet,n}: X_{\bullet,n} \to Y_{\bullet,n}$  is a weak equivalence for all n. Then, f is a weak equivalence.

This means that along the outer square of (2.7), if  $S_{\bullet}F$  is a homotopy equivalence, so is  $\rho$ , and vice versa: they're connected by a zigzag of homotopy equivalences.

**Corollary 2.9.** Let  $E_n: S_{\bullet}F|D_{\bullet,n} \to S_{\bullet}F|D_{\bullet,n}$  be the simplicial map sending

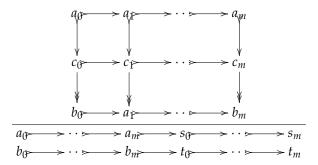
$$c/de \mapsto 0/0(e_0/e_0 \longrightarrow \cdots \rightarrow e_n/e_0).$$

Suppose that for all n,  $E_n$  is a weak equivalence; then,  $S_{\bullet}F: S_{\bullet}C \to S_{\bullet}D$  is a weak equivalence.

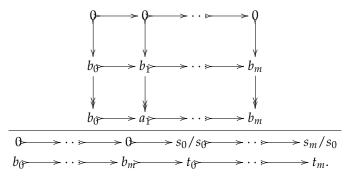
*Proof.* The map  $\rho_F: S_{\bullet}F|D_{\bullet,n} \to S_{\bullet}DR_{\bullet,n}$  is split by a map  $I_n$  that puts the zeros in front; then,  $I_n \circ \rho = E_n$ , and the assumptions and Lemma 2.8 finish it off.

Now we can get down to proving the additivity theorem.

*Proof of Theorem* 2.1. Let  $F:(a\rightarrowtail c\twoheadrightarrow b)\mapsto (a,b)$  be the functor in question. We'll check that  $E_n$  is a homotopy equivalence for all n. Let  $F:S_{\bullet}F|C_{\bullet,n}^2\to S_{\bullet}F|C_{\bullet,n}^2$  be the functor sending the diagram



to the diagram whre  $a_i$  has been set to 0, **c** with **b**, and  $s_i$  with  $s_i/s_0$ .



Thus,  $\Gamma$  projects onto the subcomplex  $\mathcal{X}$  of these diagrams with all  $a_i = 0$ .

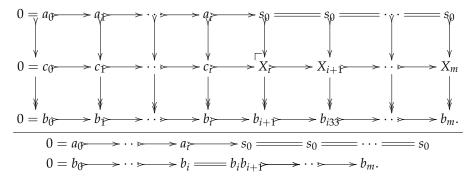
# Proposition 2.10.

- (1) As maps  $S_{\bullet}F|C^2_{\bullet,n} \to S_{\bullet}F|C^2_{\bullet,n'}$ ,  $\Gamma \simeq \mathrm{id}$ . (2) On  $\mathcal{X}$ ,  $E_n|_{\mathcal{X}} \simeq \mathrm{id}_{\mathcal{X}}$ .

*Proof sketch.* For the second part, on  $\mathcal{X}$ ,  $\Gamma$  behaves akin to the nerve of a category, but with an additional terminal object.6

For the first part, we'll need to write an explicit homotopy down. Recall that a simplicial homotopy is data of the form  $f,g:X\to Y$  and maps  $h_i\colon X_q\to Y_{q+1}$  whenever  $0\le i\le q$  plus tons of rules for the face and degeneracy maps that encode what a map  $I \times X \to Y$  means. So what's our desired homotopy?

Given **a**, **c**, and **s**, let  $X_i := c_i \coprod_{a_i} s_0$ , and let  $h_i(e)$  produce the diagram



Then, one can check  $d_9h_0 = \Gamma$ ,  $d_{m+1}h_m = \mathrm{id}$ , and (though it's laborious to check), the  $h_i$  satisfy the needed simplicial identities.

This finishes off the proof of the additivity theorem.

<sup>&</sup>lt;sup>6</sup>I didn't fully follow this. What happened?

If the simplicial argument is terrifying or murky, look at the proof of Quillen's theorem A, which could be a good warm-up for this argument.

**Exercise 2.11.** What's needed from your functor F such that  $S_{\bullet}F$  satisfies the additivity theorem? A lot of this can be relaxed, but not all of it.

We're going to skip a lot of Lurie's lectures, since we care more about the assembly map than algebraic *K*-theory this semester.