

Basic (Low-Level) DIP  
-- Part I  
Image Enhancement in the Spatial Domain

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Introduction

- Objective:  
To process an image so that the result is more suitable than the original image for a **specific** application. → **Problem Oriented**
  - To suppress undesired distortions
  - Emphasize and sharpen image features for display and analysis
- There is no general theory of image enhancement. When an image is processed for visual interpretation, the viewer is the ultimate judge of how well a particular method works. → **Subjective Process**

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- Two broad categories of image enhancement approaches
  - **Spatial Domain Methods**: Direct manipulate pixels in an image.
  - **Frequency Domain Methods**: Modify the Fourier or Wavelet transform of an image.
- Normally, enhancement techniques use various combinations of methods from these two categories.

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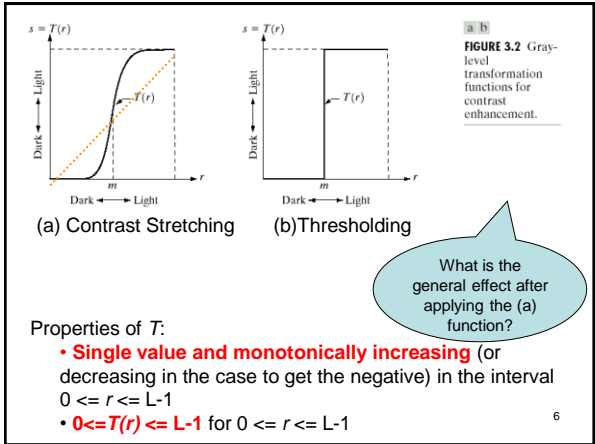
- Image processing in spatial domain may be represented as
$$g(x, y) = T(f(x, y))$$
where  $f(x, y)$  is the input image,  $g(x, y)$  is the processed image,  $T$  is the operator on  $f(x, y)$ .
- In general, the operator  $T$  can operate on:
  - A single pixel  $(x, y) \rightarrow$  point processing
  - A group of pixels  $\rightarrow$  mask processing

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Introduction to Point Processing

- Point processing modifies each pixel intensity independently based on a function  $T$ . Let the intensity of pixel before modification be  $r$  and after modification be  $s$ , then  $s = T(r)$ .
- $T$  is often referred to as:  
**Gray-level (Intensity or Mapping) transformation function.**

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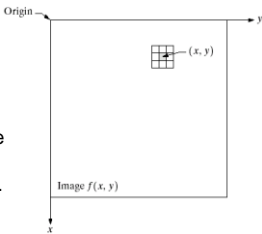
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Introduction to Mask Processing (Filtering)

- $T$  operates on a neighborhood of pixels.
- Neighborhood about a point  $(x,y)$  normally is a square or rectangular subimage area centered at  $(x, y)$ .
- The general approach is to use a function of the values of  $f$  in a predefined neighborhood of  $(x, y)$  to determine the value of  $g$  at  $(x, y)$ .

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FIGURE 3.1 A  $3 \times 3$  neighborhood about a point  $(x, y)$  in an image.



Normally, square and rectangular masks are the most predominant ones used in the enhancement.

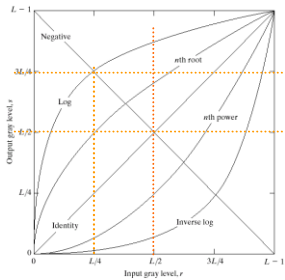
Ex2:

Mask (filter, kernel, template, or window)  
Processing Function: Use a function of the values of  $f$  in a  $3 \times 3$  neighborhood of  $(x, y)$  to determine the values of  $g$  at  $(x, y)$

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Point Processing Methods  
-- Basic Gray Level Transformations  
Basic Gray-level Transformation Function Used for Image Enhancement

FIGURE 3.3 Some basic gray-level transformation functions used for image enhancement.



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The values of pixels, before and after processing, will be denoted by  $r$  and  $s$ , respectively.

1) Image Negative:  $s = L - 1 - r$ ;

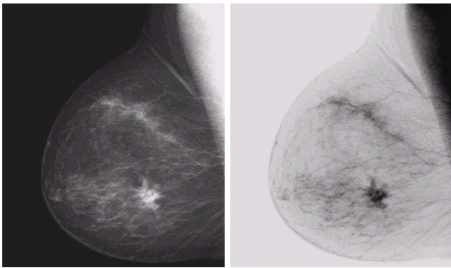


FIGURE 3.4 (a) Original digital mammogram. (b) Negative image obtained using the negative transformation in Eq. (3.2-1). (Courtesy of G.E. Medical Systems.)

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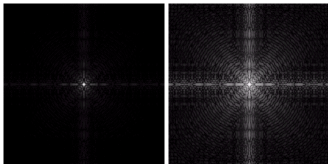
2) Log Transformations:

$$s = c \log(1 + r) ;$$

where  $c$  is a constant.

What not  $s = c \log r$  ?

FIGURE 3.5 (a) Fourier spectrum. (b) Result of applying the log transformation given in Eq. (3.2-2) with  $c = 1$ .



The shape of the log curve shows that this transformation maps a narrow range of low gray-level values in the input image into a wider range of output levels. → Effect: **Spreading/compressing of gray levels in an image.**

The log function has the important characteristic that it compresses the dynamic range of images with large variations in pixel values.<sup>11</sup>

3) Power-Law Transformation:

$$s = cr^\gamma$$

where  $c$  and  $\gamma$  are positive constants.

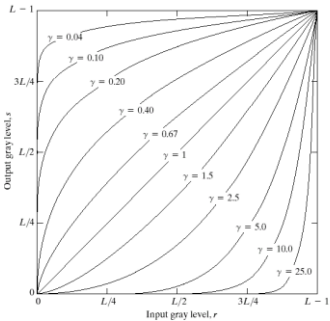
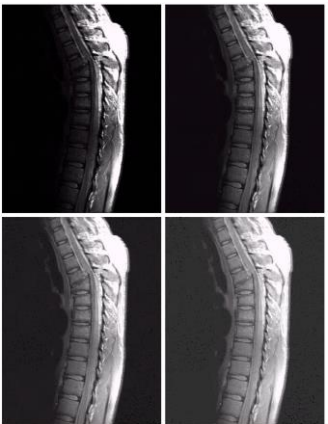


FIGURE 3.6 Plots of the equation  $s = cr^\gamma$  for various values of  $\gamma$  ( $c = 1$  in all cases).

The curves generated with values of  $\gamma > 1$  have exactly the opposite effect as those generated with values of  $\gamma < 1$ .

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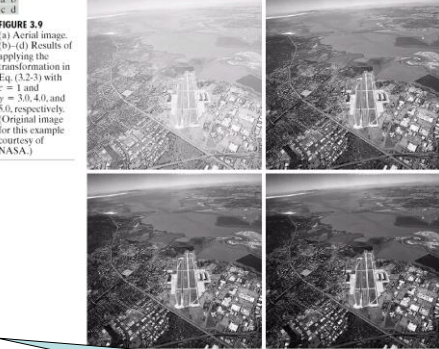


**FIGURE 3.8**  
(a) Magnetic resonance (MR) image of a fractured human spine.  
(b)–(d) Results of applying the transformation in Eq. (3.2-3) with  $c = 1$  and  $\gamma = 0.6, 0.4$ , and  $0.3$ , respectively. (Original image for this example courtesy of Dr. David K. Pickens, Department of Radiology and Radiological Sciences, Vanderbilt University Medical Center.)

$\gamma = 0.6, 0.4$  and  $0.3$ .

1. Which one is the best enhancement in terms of contrast and discernable details?
2. What is the approximate limit for  $\gamma$  for this enhancement?

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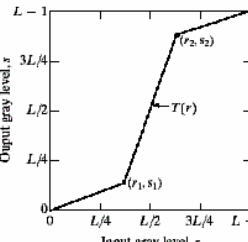


**FIGURE 3.9**  
(a) Aerial image.  
(b)–(d) Results of applying the transformation in Eq. (3.2-3) with  $c = 1$  and  $\gamma = 3.0, 4.0$ , and  $5.0$ , respectively. (Original image for this example courtesy of NASA.)

1. Which one is the best enhancement in terms of contrast and discernable details?
2. What is the approximate limit for  $\gamma$  for this enhancement?

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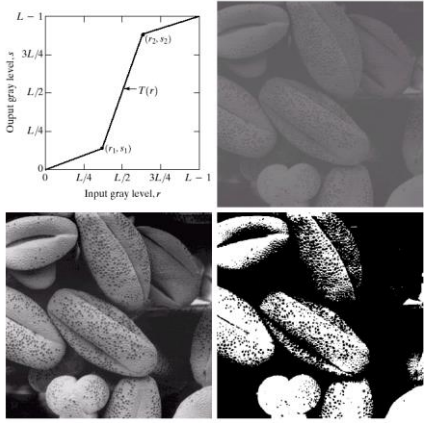
4) Piecewise-Linear Transformation Functions



The goal is to increase the dynamic range.  
or  
 $s = \beta(r - r_1) + s_1$  for  $0 \leq r < r_1$   
 $s = \beta(r - r_1) + s_1$  for  $r_1 \leq r < r_2$   
 $s = \gamma(r - r_2) + s_2$  for  $r_2 \leq r < L$

1. How about  $r_1 = s_1$  and  $r_2 = s_2$ ?
2. How about  $r_1 = r_2$ ,  $s_1 = 0$ , and  $s_2 = L-1$ ?
3. How about  $r_1 = \text{Minimum gray level}$ ,  $s_1 = 0$  and  $r_2 = \text{maximum gray level}$ ,  $s_2 = L-1$ ?

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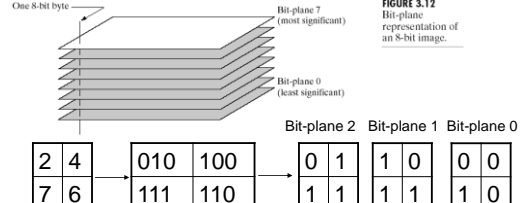


**FIGURE 3.10**  
Contrast stretching.  
(a) Form of transformation function.  
(b) A low-contrast image.  
(c) Result of contrast stretching.  
(d) Result of thresholding. (Original image courtesy of Dr. Roger Heady, Research School of Biological Sciences, Australian National University, Canberra, Australia.)

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5) Bit-Plane Slicing (Bit Extraction): Highlight the contribution made to total image appearance by specific bits.

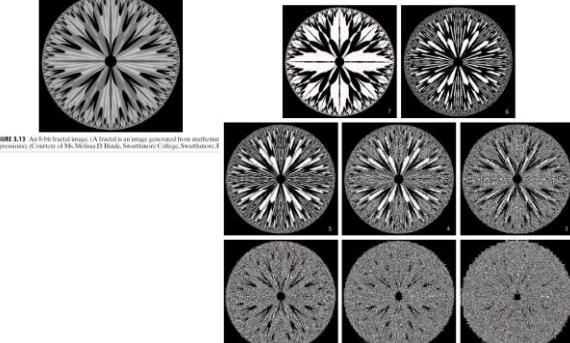
$U = K_{B-1}2^{B-1} + K_{B-2}2^{B-2} + \dots + K_{B-n}2^{B-n} + \dots + K_12 + K_0$  where  $K_i = 0$  or  $1$ , and  $B$  is the total number of bits



**FIGURE 3.12**  
Bit-plane representation of an 8-bit image.

One 8-bit byte	Bit-plane 7 (most significant)	Bit-plane 6	Bit-plane 5	Bit-plane 4	Bit-plane 3	Bit-plane 2	Bit-plane 1	Bit-plane 0
24	0	0	0	1	1	0	0	0
76	1	1	1	1	0	0	0	0

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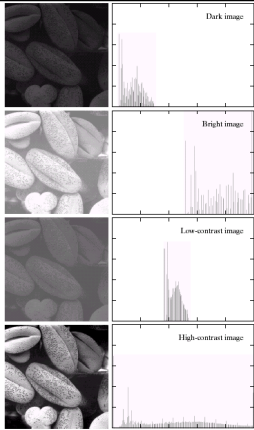
**FIGURE 3.14**  
The eight bit-planes of the image in Fig. 3.13. The number at the bottom, right of each image identifies the bit plane.

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Point Processing Methods  
-- Histogram Processing

- The **histogram** of a digital image with gray levels in the range  $[0, L-1]$  is a discrete function  $h(r_k) = n_k$ , where  $r_k$  is the  $k$ th gray level and  $n_k$  is the number of pixels in the image having gray level  $r_k$ .
- A **normalized histogram** is given by  $p(r_k) = n_k/n$  for  $k = 0, 1, \dots, L-1$  and  $n$  is the total number of pixels in the image. That is,  $p(r_k)$  gives an estimate of the probability of occurrence of gray level  $r_k$ .

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It is reasonable to conclude that an image whose pixels tend to **occupy the entire range** of possible gray levels and, in addition, tend to be **distributed uniformly**, will have an appearance of high contrast and will exhibit a large variety of gray tones.

The net effect will be an image that shows a great deal of gray-level detail and has high dynamic range.

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Point Processing Methods  
-- Properties of the Image Histogram

- Histogram clustered at the low end: Dark Image
- Histogram clustered at the high end: Bright Image
- Histogram with a small spread: Low contrast Image
- Histogram with a wide spread: High contrast Image

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Point Processing Methods  
-- Histogram Equalization

- Histogram equalization is to increase the dynamic range of an image by an **non-linear** intensity transformation function such that the histogram of the transformed image covers the whole dynamic range with equal probability.
  - ➔ Stretch the contrast by redistributing the gray-level values uniformly.
  - ➔ It is fully automatic compared to other contrast stretching techniques.

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Derivation of the  
Histogram Equalization

- Let  $r$  and  $s$  be the intensity before and after histogram equalization and  $s = T(r)$ .
- Let the normalized histogram (probability density) before and after histogram equalization be  $f(r)$  and  $g(s)$ .
- Let  $F_s$  and  $F_r$  be the cumulative density functions (CDF) for  $s$  and  $r$  respectively.
- The goal is to identify a function  $T$  such that  $g(s) = 1$  for  $0 < s < 1$  [This derivation is given in the textbook in section 3.3.1]. In other words,  $s$  is uniformly distributed within its range (i.e., histogram is as smooth as possible).

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Random variable

Its value

Derivation of the  
Histogram Equalization

$$F_r(r) = P(r \leq r) = P(T^{-1}(s) \leq r) = P(s \leq T(r)) = F_s(T(r))$$

since  $F_s(s) = P(s \leq s) = \int_{t=0}^s g(t)dt = s$ . Thus,

$$F_r(r) = F_s(T(r)) = T(r)$$

This means the transfer function  $T$  is the **CDF** of  $r$ .

However, the equalized histogram cannot be flat due to discrete approximation of the continuous density function by histogram.

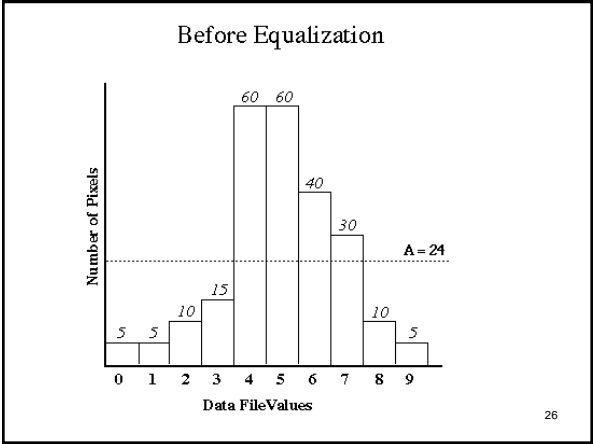
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Point Processing Methods  
-- Discrete Histogram Equalization

Given an image of M x N with the maximum intensity being  $I_{max}$ :

- 1. Obtain the histogram  $H(k)$ ,  $k = 0, 1, \dots, I_{max}$
- 2. Compute the cumulative normalized histogram  $T(k)$ :  $T(k) = \sum_{i=0}^k H(i) / MN$
- 3. Compute the transformed intensity by:  
 $g_k = (L-1) * T(k)$ , where L is the maximum gray level of the new processed image
- 4. Scan the image and set the pixel with the intensity k to  $g_k$ .

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Total Number of Pixels:  
 $5+5+10+15+60+60+40+30+10+5 = 240$ .

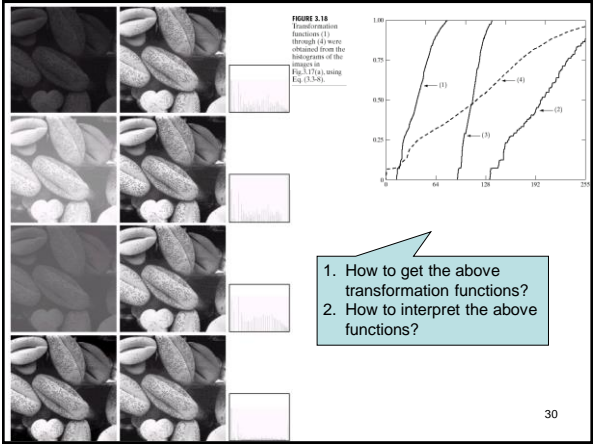
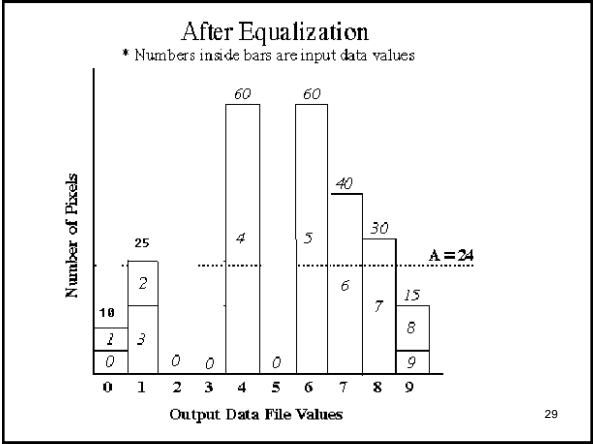
Step 1:  $H(0) = 5$  ;  $H(1) = 5$  ;  $H(2) = 10$  ;  
 $H(3) = 15$  ;  $H(4) = 60$  ;  $H(5) = 60$  ;  
 $H(6) = 40$  ;  $H(7) = 30$  ;  $H(8) = 10$  ;  
 $H(9) = 5$  ;

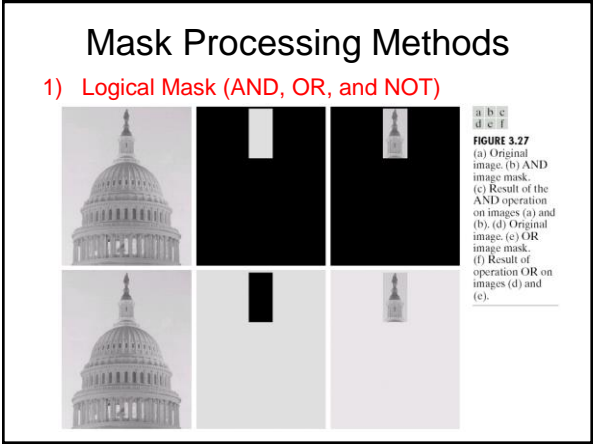
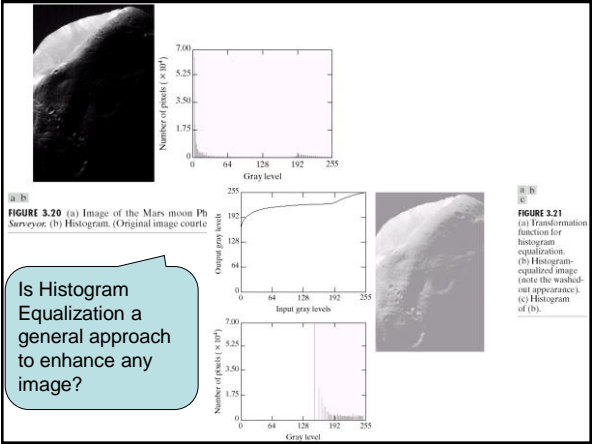
Step 2:  $T(0) = 5/240$  ;  $T(1) = 10/240$  ;  
 $T(2) = 20/240$  ;  $T(3) = 35/240$  ;  
 $T(4) = 95/240$  ;  $T(5) = 155/240$  ;  
 $T(6) = 195/240$  ;  $T(7) = 225/240$  ;  
 $T(8) = 235/240$  ;  $T(9) = 240/240$  ;

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- Step 3:  $G0 = 0.1875 \rightarrow 0$ ;  $G1 = 0.3750 \rightarrow 0$ ;  
 $G2 = 0.7500 \rightarrow 1$  ;  $G3 = 1.3125 \rightarrow 1$ ;  
 $G4 = 3.5625 \rightarrow 4$  ;  $G5 = 5.8125 \rightarrow 6$ ;  
 $G6 = 7.3125 \rightarrow 7$  ;  $G7 = 8.4375 \rightarrow 8$  ;  
 $G8 = 8.8125 \rightarrow 9$  ;  $G9 = 9.0000 \rightarrow 9$  ;
- Step 4:  
Original Intensity  $\rightarrow$  New Intensity  $\rightarrow$  Number  
 $0 \rightarrow 0 \rightarrow 5$  ;  $1 \rightarrow 0 \rightarrow 5$  ;  $2 \rightarrow 1 \rightarrow 10$  ;  
 $3 \rightarrow 1 \rightarrow 15$  ;  $4 \rightarrow 4 \rightarrow 60$  ;  $5 \rightarrow 6 \rightarrow 60$  ;  
 $6 \rightarrow 7 \rightarrow 40$  ;  $7 \rightarrow 8 \rightarrow 30$  ;  $8 \rightarrow 9 \rightarrow 10$  ;  
 $9 \rightarrow 9 \rightarrow 5$  ;

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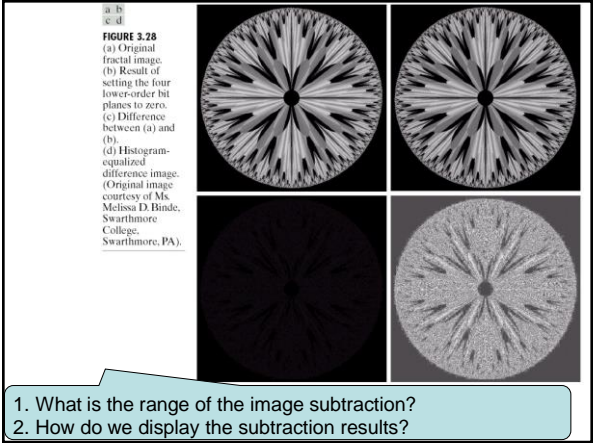




### Mask Processing Methods

2) Image Subtraction

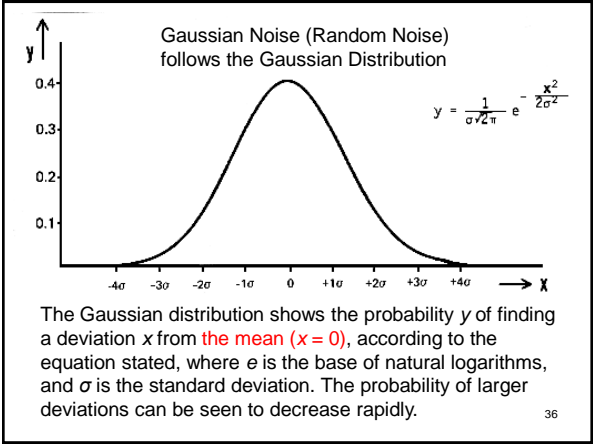
- The difference between two images  $f(x, y)$  and  $h(x, y)$ , expressed as:  
$$g(x, y) = f(x, y) - h(x, y)$$
is obtained by computing the difference between all pairs of corresponding pixels from  $f$  and  $h$ .
- Image subtraction is primarily used to detect objects (surveillance) or remove an object (background removal)
- It involves two images, one is called mask image (i.e.,  $h$ ) and the other is current image (i.e.,  $f$ ).



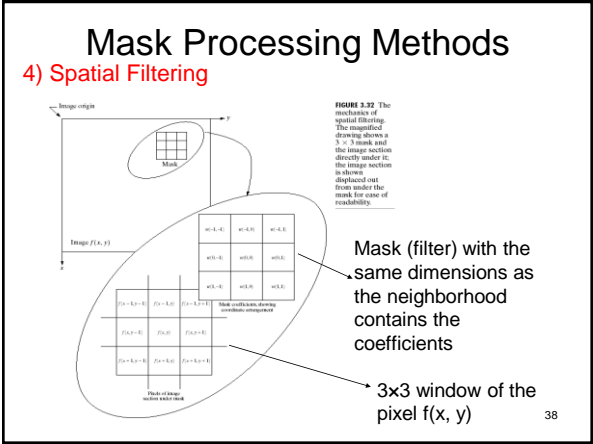
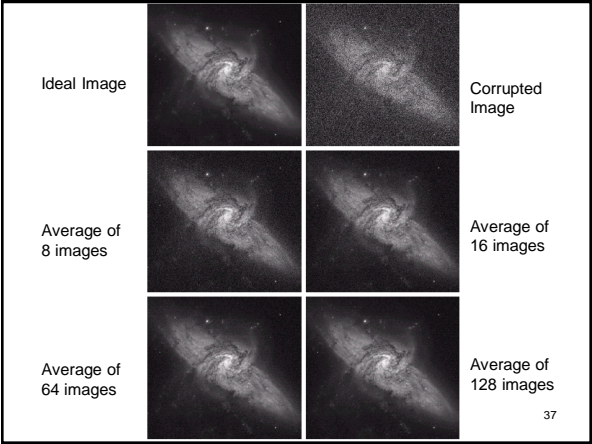
### Mask Processing Methods

3) Image Averaging

- The purpose is to reduce image noise by averaging a sequence of images of the same scene but obtained at different times with small intervals.
- Assume the same noise characteristics (i.e., the noise is uncorrelated and has zero average value) for all images, averaging can therefore reduce noise.







- Spatial filtering alters image intensity of a pixel based on its intensity, the intensities of the neighboring pixels, and the coefficients of the corresponding mask. That is:
  - For a **linear spatial filtering**, the response is given by a sum of products of the filter coefficients with the corresponding image pixels in the area spanned by the filter mask.
  - In general, linear filtering of an image  $f$  of size  $M \times N$  with a filter mask of size  $m \times n$  is given by the expression:
$$g(x, y) = \sum_{s=-a}^a \sum_{t=-b}^b w(s, t) f(x + s, y + t)$$
where  $a = (m - 1) / 2$  and  $b = (n - 1) / 2$
  - It is accomplished by **convolving** the mask with the original image.

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Original Image:

4	6	8	9	10
1	3	5	6	9
2	4	6	12	12
4	8	10	14	6

Mask 1:

1	1	1
1	1	1
1	1	1

Convolution Result:

14	27	37	47	34
20	39	59	77	58
22	43	68	80	59
18	34	54	60	44

Mask 2:

1	2	3
1	4	1
1	3	2

Convolution Result:

31	56	77	95	82
47	84	124	160	119
51	94	137	174	116
40	74	114	138	74

Matlab Function **filter2** can do this convolution!

1. What happens when the center of the filter approaches the border of the image?  
2. How to handle this situation?

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Three types of Spatial Filters:

- Low-pass filter:** Enhance low frequency components of the image while eliminating or reducing high frequency components.
- High-pass filter:** Enhance high frequency components of the image while eliminating or reducing low frequency components.
- Band-pass filter:** Enhance certain range of frequency components of the image while eliminating or reducing frequency components beyond the range.

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Mask Processing Methods

4.1) Smoothing Spatial Filters

- It is a **linear low-pass filter**
- A **standard averaging filter** replaces the value of every pixel in an image by the average of the gray levels in the window defined by the filter mask. This process results in an image with reduced “sharp” transitions in gray levels.
- A **weighted averaging filter** uses different coefficients at different spatial locations.

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$\frac{1}{9} \times$ 

1	1	1
1	1	1
1	1	1

$\frac{1}{16} \times$ 

1	2	1
2	4	2
1	2	1

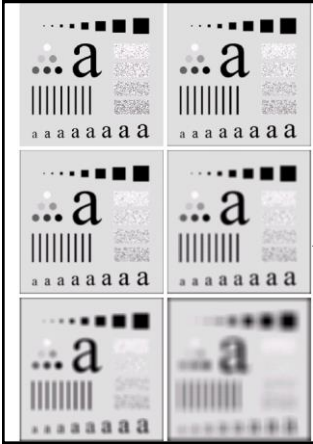
Standard vs. Weighted Averaging Filter

1. What is the sum of all the coefficients in the mask?

2. What is the characteristic of the weighted averaging filter in terms of the coefficient values?

3. What conclusion can you draw on the smoothing spatial filters?

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Averaging filter results with the mask size of 3x3, 5x5, 9x9, 15x15, and 35x35.

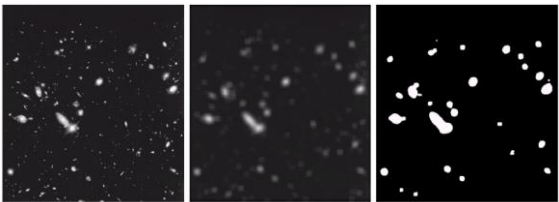
1. What is the desirable feature of the averaging filter?

2. What is the undesirable side effect of the averaging filter?

3. What is the effect of the size of the filter?

4. How to determine the best filter size for a specific image?

Ex1: Find the larger and brighter objects in the image



**FIGURE 3.36** (a) Image from the Hubble Space Telescope. (b) Image processed by a 15 × 15 averaging mask. (c) Result of thresholding (b). (Original image courtesy of NASA.)

1. Image is processed by a 15x15 averaging mask.

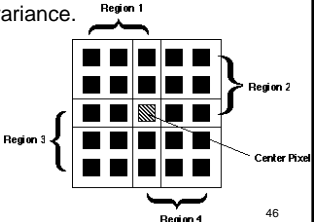
2. Thresholding with a threshold value equal to 25% of the highest intensity in the blurred image

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**How to reduce the blurring effect?**

1. One could replace a pixel value by the average value only if the pixel value deviates very much from the average. → Then the filter is changed to a non-linear filter.

2. **Kuwahara filter:** The output value of the center pixel in the window is the mean value of the region that has the smallest variance.



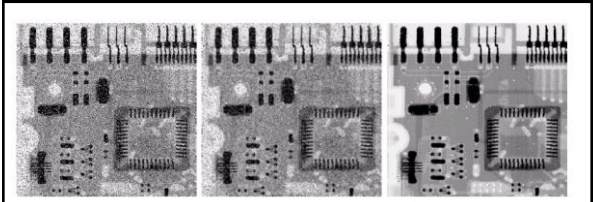
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**Mask Processing Methods**

**4.2) Order-Statistics Filters**

- It is a **nonlinear low-pass filter**
- Its filtering result is based on ordering (ranking) the pixels contained in the image area encompassed by the filter, and then replacing the value of the center pixel with the value determined by the ranking result.
  - Median filter: Replace center pixel with median of the gray levels in the window of that pixel (the original pixel value is included in the computation of the median).

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**FIGURE 3.37** (a) X-ray image of circuit board corrupted by salt-and-pepper noise. (b) Noise reduction with a 3 × 3 averaging mask. (c) Noise reduction with a 3 × 3 median filter. (Original image courtesy of Mr. Joseph E. Pascente, Lixi, Inc.)

1. What is the difference between the averaging filter and median filter results?

2. Which filter has more computational cost?

3. Which filter will you choose to remove the additive salt-and-pepper noise?

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$$g(x, y) = \begin{cases} f(x, y) - \nabla^2 f(x, y) & \text{if the center coefficient of} \\ & \text{Laplacian mask is negative} \\ f(x, y) + \nabla^2 f(x, y) & \text{if the center coefficient of} \\ & \text{Laplacian mask is positive} \end{cases}^{56}$$

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Based on the above formulation, a composite Laplacian filter can be constructed. What does this composite filter look like?

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• The Gradient Enhancement – 1<sup>st</sup> Derivatives

The gradient of  $f$  at coordinates  $(x, y)$  is defined :

$$\nabla f = \begin{bmatrix} G_x \\ G_y \end{bmatrix} = \begin{bmatrix} \frac{\partial f}{\partial x} \\ \frac{\partial f}{\partial y} \end{bmatrix}$$

The magnitude of this vector is given by :

$$mag(\nabla f) = [G_x^2 + G_y^2]^{1/2} = \left[ \left( \frac{\partial f}{\partial x} \right)^2 + \left( \frac{\partial f}{\partial y} \right)^2 \right]^{1/2}$$

$mag(\nabla f)$  can be approximated by using absolute values :

$$mag(\nabla f) \approx |G_x| + |G_y|$$

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- The gradient of  $f$  is a 2D column vector. The components of the gradient vector itself are linear operators, but the magnitude of this vector is not because of the squaring and square root operations.
- Since the magnitude of the gradient vector is isotropic, it often is referred to as the gradient.
- For computational simplicity, an absolute value approximation of the magnitude is used since it still preserves relative changes in gray levels.
- First derivative enhancement filters are also referred to as gradient edge detectors.

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• Two Representative Filters (1<sup>st</sup> Derivatives)

a  
b c  
d e

**FIGURE 3.44**  
A 3 × 3 region of an image (the  $z$ 's are gray-level values) and masks used to compute the gradient at point labeled  $z_5$ . All masks coefficients sum to zero, as expected of a derivative operator.

Roberts  
Cross-  
Gradient  
Operators

$z_1$	$z_2$	$z_3$
$z_4$	$z_5$	$z_6$
$z_7$	$z_8$	$z_9$

-1	0	0	-1
0	1	1	0

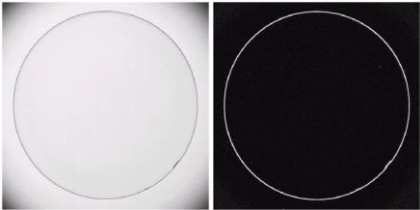
$$G_x = Z_9 - Z_5 ;$$
$$G_y = Z_8 - Z_6 ;$$

Sobel  
Operators

$$G_x = (z_7 + 2z_8 + z_9) - (z_1 + 2z_2 + z_3) ;$$
$$G_y = (z_3 + 2z_6 + z_9) - (z_1 + 2z_4 + z_7) ;$$

-1	-2	-1	-1	0	1
0	0	0	-2	0	2
1	2	1	-1	0	1

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**FIGURE 3.45**  
Optical image of contact lens (note defects on the boundary at 4 and 5 o'clock). (b) Sobel gradient. (Original image courtesy of Mr. Pete Sites, Perceptics Corporation.)

The edge defects are also quite visible, but with the added advantage that constant or slowly varying shades of gray have been eliminated.

The ability to enhance small discontinuities in an otherwise flat gray field is another important feature of the gradient

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Mask Processing Methods  
-- Local Histogram Equalization

- Instead of performing a histogram for the whole image, histogram equalization is performed locally on a small region of the image adaptively.
- This can be achieved using a window and moving the center of this window across the image from pixel to pixel. At each location, histogram equalization is performed on the portion of the image covered by the window. The pixel value at the center of the window is mapped to a new gray-level based on histogram equalization function. This process repeats until the last pixel of the image, with window moving one pixel at a time.

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Mask Processing Methods  
-- Local Enhancement by Statistics  
Several Important Statistical Concepts

Mean (Average):  $m = \sum_{i=0}^{L-1} r_i p(r_i);$

The  $n$ th moment of  $r$  about its mean:  $\mu_n(r) = \sum_{i=0}^{L-1} (r_i - m)^n p(r_i);$

Variance  $\mu_2(r) = \sigma^2(r) = \sum_{i=0}^{L-1} (r_i - m)^2 p(r_i).$

In a digital image, the mean is a measure of average gray level; the variance (or standard deviation, which is a square root of the variance) is a measure of average contrast

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Mask Processing Methods

-- Local Enhancement by Statistics  
The Interpretation of Some Important Statistical Concepts

- Variance (The 2<sup>nd</sup> Moment)
  - A small variance indicates a tight grouping.
  - A large variance indicates the opposite.
- The 3<sup>rd</sup> Moment
  - Indicate how many points on each side of the average
  - =0: symmetric about the mean
  - <0: A low bias with respect to the average
  - >0: A high bias with respect to the average

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FIGURE 3.24 SEM image of a tungsten filament and support, magnified approximately 130×. (Original image courtesy of Mr. Michael Shaffer, Department of Geological Sciences, University of Oregon, Eugene).



Local enhancement by contrast manipulation is an ideal approach to try on problems where part of the image is acceptable, but other parts may contain hidden features of interest.

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Let  $f(x, y)$  represent the value of an image pixel at any image coordinates  $(x, y)$ ,

Let  $g(x, y)$  represent the corresponding enhanced pixel at those coordinates.

Then

$$g(x, y) = \begin{cases} E \times f(x, y) & \text{if } m_{s_{xy}} \leq k_0 M_G \text{ and } k_1 D_G \leq \sigma_{s_{xy}} \leq k_2 D_G \\ f(x, y) & \text{otherwise} \end{cases}$$

where,  $E, k_0, k_1$ , and  $k_2$  are specified parameters;

$M_G$  is the global mean of the input image;

$D_G$  is its global standard deviation

$S_{xy}$  denotes a neighborhood of specified size, centered at  $(x, y)$ .

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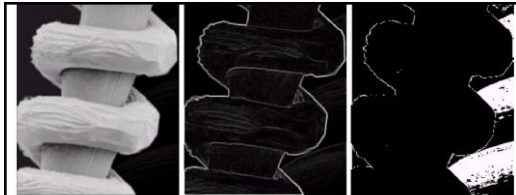


FIGURE 3.25 (a) Image formed from all local means obtained from Fig. 3.24 using Eq. (3.3-21). (b) Image formed from all local standard deviations obtained from Fig. 3.24 using Eq. (3.3-22). (c) Image formed from all multiplication constants used to produce the enhanced image shown in Fig. 3.26.



FIGURE 3.26 Enhanced SEM image. Compare with Fig. 3.24. Note in particular the enhanced area on the right side of the image.

In this implementation:

$E = 4.0$ ,  $k_0 = 0.4$ ,  $k_1 = 0.02$ , and  $k_2 = 0.4$ .

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