# Bounds on Reliable Boolean Function Computation with Noisy Gates

- R. L. Dobrushin & S. I. Ortyukov, 1977
- N. Pippenger, 1985
- P. Gács & A. Gál, 1994

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#### Question

Given a network of noisy logic gates, what is the redundancy required if we want to compute the a Boolean function reliably?

- **noisy:** gates produce the wrong output independently with error probability no more than  $\varepsilon$ .
- reliably: the value computed by the entire circuit is correct with probability at least  $1-\delta$
- redundancy:

minimum #gates needed for reliable computation in noisy circuit minimum #gates needed for reliable computation in noiseless circuit

- noisy/noiseless complexity
- may depend on the function of interest
- upper bound: achievability
- lower bound: converse

# Part I

Lower Bounds for the Complexity of Reliable Boolean Circuits with Noisy Gates

# History of development

- [Dobrushin & Ortyukov 1977]
  - Contains all the key ideas
  - Proofs for a few lemmas are incorrect
- [Pippenger & Stamoulis & Tsitsiklis 1990]
  - Pointed out the errors in [DO1977]
  - Provide proofs for the case of computing the parity function
- [Gács & Gál 1994]
  - Follow the ideas in [DO1977] and provide correct proofs
  - Also prove some stronger results

#### In this talk

We will mainly follow the presentation in [Gács & Gál 1994].

# Problem formulation System Model

#### Boolean circuit C

- a directed acyclic graph
- node ~ gate
- lacksquare edge  $\sim$  in/out of a gate

#### Gate g

- **a** function  $g: \{0,1\}^{n_g} \to \{0,1\}$ 
  - $ightharpoonup n_g$ : fan-in of the gate

#### Basis $\Phi$

- a set of possible gate functions
- $\blacksquare$  e.g.,  $\Phi = \{AND, OR, XOR\}$
- complete basis
- for circuit C:  $\Phi_C$
- maximum fan-in in C:  $n(\Phi_C)$

# **Assumptions**

- each gate g has constant number of fan-ins  $n_g$ .
- f can be represented by compositions of gate functions in  $\Phi_C$ .

# Problem formulation Error models $(\varepsilon, p)$

#### **Gate error**

- A gate fails if its output value for  $\mathbf{z} \in \{0,1\}^{n_g}$  is different from  $g(\mathbf{z})$
- gates fail independently with
  - fixed probability  $\varepsilon$ 
    - used for lower bound proof
  - probability at most ε
- $\varepsilon \in (0, 1/2)$

#### Circuit error

- $C(\mathbf{x})$ : random variable for output of circuit C on input  $\mathbf{x}$ .
- A circuit computes f with error probability at most p if

$$\mathbb{P}\left[C(\mathbf{x}) \neq f(\mathbf{x})\right] \leq p$$

for any input x.

# Problem formulation Sensitivity of a Boolean function

Let  $f: \{0,1\}^n \to \{0,1\}$  be a Boolean function with binary input vector  $\mathbf{x} = (x_1, x_2, \dots, x_n)$ .

Let  $x^l$  be a binary vector that differs from x only in the l-th bit, i.e.,

$$\mathbf{x}_i^l = \begin{cases} x_i & i \neq l \\ \neg x_i & i = l \end{cases}.$$

- $\blacksquare$  f is sensitive to the lth bit on  $\mathbf{x}$  if  $f(\mathbf{x}^l) \neq f(\mathbf{x})$ .
- Sensitivity of f on x: #bits in x that f is sensitive to.
  - "effective" input size
- Sensitivity of f: maximum over all x.

# Asymptotic notations

$$\limsup_{n \to \infty} \left| \frac{f(n)}{g(n)} \right| < \infty,$$

$$f(n) = \Omega(g(n))$$
:

$$\liminf_{n \to \infty} \left| \frac{f(n)}{g(n)} \right| \ge 1,$$

$$f(n) = \Theta(g(n)):$$

$$\begin{split} f(n) &= O\left(g(n)\right) \\ \text{and} \\ f(n) &= \Omega\left(g(n)\right) \end{split}$$

#### Main results

### Theorem: number of gates for reliable computation

- Let  $\varepsilon$  and p be any constants such that  $\varepsilon \in (0, 1/2), p \in (0, 1/2)$ .
- ▶ Let *f* be any Boolean function with sensitivity *s*.

Under the error model  $(\varepsilon, p)$ , the number of gates of the circuit is  $\Omega\left(s\log s\right)$ .

## Corollary: redundancy of noisy computation

For any Boolean function of n variables and with O(n) noiseless complexity and  $\Omega(n)$  sensitivity, the redundancy of noisy computation is  $\Omega(\log n)$ .

- e.g., nonconstant symmetric function of n variables has redundancy  $\Omega\left(\log n\right)$ 

# Equivalence result for wire failures

#### Lemma 3.1 in Dobrushin&Ortyukov

- Let  $\varepsilon \in (0, 1/2)$  and  $\delta \in [0, \varepsilon/n(\Phi_C)]$ .
- Let y and t be the vector that a gate receives when the wire fail and does not fail respectively.

For any gate g in the circuit C there exists unique values  $\eta_g(\mathbf{y},\delta)$  such that if

- the wires of C fails independently with error probability  $\delta$ , and
- ▶ the gate g fails with probability  $\eta_g(\mathbf{y}, \delta)$  when receiving input  $\mathbf{y}$ , then the probability that the output of g is different from  $g(\mathbf{t})$  is equal to  $\varepsilon$ .

# **Insights**

- Independent gate failures can be "simulated" by independently wire failures and corresponding gate failures.
- These two failure modes are equivalent in the sense that the circuit C computes f with the same error probability.

# "Noisy-wires" version of the main result

#### **Theorem**

- Let  $\varepsilon$  and p be any constants such that  $\varepsilon \in (0, 1/2), p \in (0, 1/2)$ .
- ▶ Let *f* be any Boolean function with sensitivity *s*.

#### Let C be a circuit such that

- $\blacktriangleright$  its wires fail independently with fixed probability  $\delta$ , and
- each gate fails independently with probability  $\eta_q(\mathbf{y}, \delta)$  when receiving  $\mathbf{y}$ .

Suppose C computes f with error probability at most p. Then the number of gates of the circuit is  $\Omega\left(s\log s\right)$ .

## Error analysis

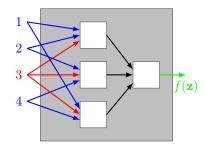
# Function and circuit inputs

### Maximal sensitive set S for f

- $\blacksquare$  s > 0: sensitivity of f
- $\mathbf{z}$ : an input vector with s bits that f is sensitive to
  - ▶ an input vector where f has maximum sensitivity
- S: the set of sensitive bits in z
  - key object

## $B_l$ : edges originated from l-th input

- $m_l \triangleq |B_l|$
- e.g.
  - l = 3
  - $\triangleright$   $B_1$
  - $m_l = 3$



# Error analysis Wire failures

- For  $\beta \subset B_l$ , let  $H(\beta)$  be the event that for wires in  $B_l$ , only those in  $\beta$  fail.
- Let

$$\beta_l \triangleq \underset{\beta \subset B_l}{\operatorname{arg\,max}} \mathbb{P}\left[C(\mathbf{z}^l) = f(\mathbf{z}^l) \mid H(\beta)\right]$$

- ightharpoonup the best failing set for input  $\mathbf{z}^l$
- Let  $H_l \triangleq H(B_l \setminus \beta_l)$

input 
$$l \stackrel{w_1}{\longleftarrow} w_2$$
  $w_3$ 

- $B_l = \{w_1, w_2, w_3\}$
- $\beta = \{w_2\}$

#### Fact 1

$$\mathbb{P}\left[C(\mathbf{z}) \neq f(\mathbf{z}) \mid H_l\right] = \mathbb{P}\left[C(\mathbf{z}^l) = f(\mathbf{z}^l) \mid H(\beta_l)\right]$$

- Proof
  - f is sensitive to  $z_l$
  - $ightharpoonup \neg z_l \Leftrightarrow$  "flip" all wires in  $B_l$
- lacksquare  $eta_l$  is the worst non-failing set for input  ${f z}$

# Error analysis Error probability given wire failures

#### Fact 2

$$\mathbb{P}\left[C(\mathbf{z}^l) = f(\mathbf{z}^l) \mid H(\beta_l)\right] \ge 1 - p$$

- Proof
  - $\mathbb{P}\left[C(\mathbf{z}^l) = f(\mathbf{z}^l)\right] \ge 1 p$
  - $\blacktriangleright \ \beta_l \ \text{maximizes} \ \mathbb{P}\left[C(\mathbf{z}^l) = f(\mathbf{z}^l) \ \middle| \ H(\beta)\right]$

#### Fact 1 & 2 $\Rightarrow$ Fact 3

For each  $l \in S$ ,

$$\mathbb{P}\left[C(\mathbf{z}) \neq f(\mathbf{z}) \mid H_l\right] \ge 1 - p$$

where  $\{H_l, l \in S\}$  are independent events. Furthermore, Lemma 4.3 in [Gács&Gál 1994] shows

$$\mathbb{P}\left[C(\mathbf{z}) \neq f(\mathbf{z}) \middle| \bigcup_{l \in S} H_l\right] \ge (1 - \sqrt{p})^2$$

■ The error probability given  $H_l$  or  $\bigcup_{l \in S} H_l$  is relatively large.

## Error analysis

#### Bounds on wire failure probabilities

Note

$$p \ge \mathbb{P}\left[C(\mathbf{z}) \ne f(\mathbf{z})
ight] \ \ge \mathbb{P}\left[C(\mathbf{z}) \ne f(\mathbf{z}) \left| igcup_{l \in S} H_l 
ight] \mathbb{P}\left[igcup_{l \in S} H_l
ight]$$

#### Fact 3 implies

#### Fact 4

$$\mathbb{P}\left|\bigcup_{l\in S} H_l\right| \le \frac{p}{(1-\sqrt{p})^2}$$

which implies (via Lemma 4.1 in [Gács&Gál 1994]),

#### Fact 5

$$\mathbb{P}\left[\bigcup_{l \in S} H_l\right] \ge \left(1 - \frac{p}{(1 - \sqrt{p})^2}\right) \sum_{l \in S} \mathbb{P}\left[H_l\right]$$

## Error analysis

#### Bounds on the total number of sensitive wires

#### Fact 6

$$\mathbb{P}\left[H_l\right] = (1 - \delta)^{|\beta_l|} \delta^{m_l - |\beta_l|} \ge \delta^{m_l}$$

Fact 4 & 5 ⇒

$$\frac{p}{1 - 2\sqrt{p}} \ge \sum_{l \in S} \delta^{m_l}$$

$$\ge s \left( \prod_{l \in S} \delta^{m_l} \right)^{1/s}$$

which leads to

$$\sum_{l \in S} m_l \ge \frac{s}{\log(1/\delta)} \log \left( s \frac{1 - 2\sqrt{p}}{p} \right)$$

lower bound on the total number of "sensitive wires"

# Lower bound on number of gates

Let  $N_C$  be the total number of gates in C:

$$n(\Phi_C)N_C \ge \sum_g n_g$$

$$\ge \sum_{l \in S} m_l$$

$$\ge \frac{s}{\log(1/\delta)} \log \left( s \frac{1 - 2\sqrt{p}}{p} \right)$$

#### Comments:

- The above proof is for  $p \in (0, 1/4)$
- The case  $p \in (1/4, 1/2)$  can be shown similarly.

## **Block Sensitivity**

Let  $x^S$  be a binary vector that differs from x in the S subset of indices, i.e.,

$$\mathbf{x}_i^S = \begin{cases} x_i & i \notin S \\ \neg x_i & i \in S \end{cases}.$$

- f is (block) sensitive to S on  $\mathbf{x}$  if  $f(\mathbf{x}^S) \neq f(\mathbf{x})$ .
- Block sensitivity of f on x: the largest number b such that
  - ▶ there exists b disjoint sets  $S_1, S_2, \cdots, S_b$
  - for all 1 < i < b, f is sensitive to  $S_i$  on  $\mathbf{x}$
- Block sensitivity of *f*: maximum over all x.
  - ▶ block sensitivity ≥ sensitivity

#### Theorem based on block sensitivity

- Let  $\varepsilon$  and p be any constants such that  $\varepsilon \in (0, 1/2), p \in (0, 1/2)$ .
- ▶ Let *f* be any Boolean function with block sensitivity *b*.

Under the error model  $(\varepsilon, p)$ , the number of gates of the circuit is  $\Omega(b \log b)$ .

# Discussions Lower bound for specific functions

Given an explicit function f of n variables, is there a lower bound that is stronger than  $\Omega(n \log n)$ ?

### Open problem for

- unrestricted circuit C with complete basis
- function f that have  $\Omega\left(n\log n\right)$  noiseless complexity for circuit C with some incomplete basis  $\Phi$

#### **Discussions**

### Computation model

### **Exponential blowup**

A noisy circuit with multiple levels

- The output of gates at level l goes to a gate at level l+1
- Level 0 has n inputs
  - Level 0 has  $N_0 = n \log n$  output gates
  - Level 1 has N<sub>0</sub> inputs
  - Level 1 has  $N_1 = N_0 \log N_0$  output gates, ...

## Why?

"The theorem is generally applicable only to the very first step of such a fault tolerant computation"

- If the input is not the original ones, we can choose them to make the sensitivity of a Boolean function to be 0.
  - $f(x_1, x_2, x_3, x_4, x_1 \oplus x_2 \oplus x_4, x_1 \oplus x_3 \oplus x_4, x_2 \oplus x_3 \oplus x_4)$
  - Lower bound does not apply: sensitivity is 0. How about block sensitivity?
- Problem formulation issue on the lower bound for coded input
  - coding is also computation!

# Part II

Upper Bounds for the Complexity of Reliable Boolean Circuits with Noisy Gates

[Pippenger, "On Networks of Noisy Gates", 1985]

#### Overview

Achievability schemes in reliable computation with a network of noisy gates.

- 1. System modeling
  - various types of computations
- 2. Change of basis and error levels
  - will skip
- Functions with logarithmic redundancy
  - with explicit construction
  - for specific system parameters only
- 4. Functions with bounded redundancy
  - Presents a class of functions with "bounded redundancy"
  - Construction for reliable computation

# System model: a revisit Weak vs. strong computation

## perturbation and approximation

Let  $f, g : \{0, 1\}^k \Rightarrow \{0, 1\},\$ 

- lacksquare g is a arepsilon-perturbation of f if  $\mathbb{P}\left[g(\mathbf{x})=f(\mathbf{x})
  ight]=1-arepsilon$  for any  $\mathbf{x}\in\{0,1\}^k$
- lacksquare g is a arepsilon-approximation of f if  $\mathbb{P}\left[g(\mathbf{x})=f(\mathbf{x})
  ight]\geq 1-arepsilon$  for any  $\mathbf{x}\in\{0,1\}^k$

#### weakly $(\varepsilon, \delta)$ -computes

- **gates**:  $\varepsilon$ -perturbation
- output:  $\delta$ -approximation

# strongly $(\varepsilon, \delta)$ -computes

- **gates:**  $\varepsilon$ -approximation
- $\blacksquare$  output:  $\delta$ -approximation

# Why bother?

ullet  $\varepsilon$ -perturbation may be helpful in randomized algorithms.

# Functions with logarithmic redundancy Main theorem

#### Theorem 3.1

If a Boolean function is computed by a noiseless network of size c, then it is also computed by a noisy network of size  $O(c\log c)$ .

#### **Comments**

- Provides explicit construction for some  $\varepsilon$  and  $\delta$  values.
  - ε = 1/512
  - $\delta = 1/128$

# Functions with logarithmic redundancy Construction

## **Strategy**

Given a noiseless network with 2-input gates, construct a corresponding noisy network with 3-input gates.

#### **Transformations**

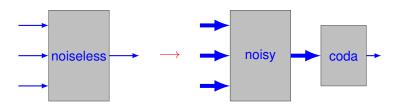
 $\begin{array}{lll} \text{noiseless} & \text{noisy} \\ \text{each wire} & \rightarrow & \text{cable of } m \text{ wires} \\ \text{gate} & \rightarrow & \text{module of } O(m) \\ & & \text{noisy gates} \end{array}$ 

#### **Additions**

- coda: computes the majority of m wires with at most some error probability
  - ► Corollary 2.6: exists coda with size  $O(c \log c)$

- $\bullet \ \, \mathsf{Choose} \,\, m = O(\log c)$
- **a** cable is correct if at least  $(1 \theta)m$  component wires are correct

#### Overview



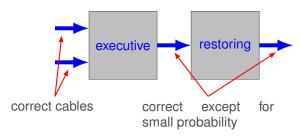
### Module requirement

If the input cables are "correct", then the output cable will be correct except for some small error probability.

#### Idea:

- Use "modular redundancy" and majority voting
- Binomial  $(1, 1 \varepsilon)$  vs.  $\frac{1}{m}$ Binomial  $(m, 1 \varepsilon)$

#### Module construction



## **Executive organ**

 Construction: m noisy gates that compute the same function as the corresponding gate in noiseless network

### **Restoring organ**

- Construction: a  $(m, k, \alpha, \beta)$ -compressor
  - if at most  $\alpha m$  inputs are incorrect, then at most  $\beta m$  outputs will be incorrect.
- $k = 8^{17}, \ \alpha = 1/64, \ \beta = 1/512$

#### **Then**

Choose system parameters properly, such that the resulting circuit has logarithmic redundancy.

# Functions with bounded redundancy Main results

## **Functions with bounded redundancy**

For  $r \ge 1$ , let  $s = 2^r$ . Let

$$g_r(x_0,\ldots,x_{r-1},y_0,\ldots,y_{s-1})=y_t$$

where  $t = \sum_{i=0}^{r-1} 2^i x_i$  i.e., t has binary representation  $x_{r-1} \cdots x_1 x_0$ .

#### Theorem 4.1

For every r and  $s=2^r,\ g_r$  can be computed by a network of O(s) noisy gates.

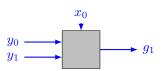
#### **Comments**

- $\blacksquare$   $g_r$ : "indicator function"
- Any noiseless networks that computes  $g_r$  has  $\Omega\left(2^r\right)$  gates.
  - bounded redundancy
- Proof
  - ▶ Construct a network that strongly  $(\varepsilon = 1/192, \delta = 1/24)$ -computes  $g_r$ .

## Construction

 $g_1$ 

$$g_1(x_0, y_0, y_1) = \begin{cases} y_0 & x_0 = 0 \\ y_1 & x_1 = 1 \end{cases}$$



 $g_r$ 

$$g_2(x_0, x_1, y_0, y_1, y_2, y_3) = \begin{cases} y_0 & x_1 x_0 = 00 \\ y_1 & x_1 x_0 = 01 \\ y_2 & x_1 x_0 = 10 \\ y_3 & x_1 x_0 = 11 \end{cases}$$

. .

- $\blacksquare$   $g_r$  can be implemented by a binary tree with  $2^r-1$  elements of  $g_1$ .
  - ▶ level r-2: root
  - level 0: leaves
  - $\triangleright y_t$ : corresponds to a path from level 0 to r-2

# Construction (cont.)

- Each path only contains one gate at each level
- If each gate at level  $k, 0 \le k \le r 2$  fails with probability  $\Theta\left((a\varepsilon)^k\right)$ , then the failure probability for a path is  $\Theta\left(\varepsilon\right)$ .

Construction: replace wires by cables, gates by modules

- $\blacksquare$  cable at level k
  - ▶ input: 2k 1 wires
  - output: 2k + 1 wires
- module at level k
  - ▶ 2k + 1 disjoint networks
  - lacktriangle each compute the (2k-1)-argument majority of the input wires
  - then apply  $g_1$
  - ▶ noiseless complexity: O(k) ⇒ noisy complexity:  $O(k \log k)$ 
    - $O(k^2 \log k)$  noisy gates at level k
  - lacktriangle error probability for each noisy network: 2arepsilon
    - $\blacksquare$  error probability for module:  $4\varepsilon(8\varepsilon)^k = \Theta\left((8\varepsilon)^k\right)$
- use coda at the root output for majority vote
- total #gate:  $O(s) = O(2^r)$

# Networks with more than one input

A network with outputs  $w_1, w_2, \ldots, w_m$  strongly  $(\varepsilon, \delta)$ -computes  $f_1, f_2, \ldots, f_m$  if, for every  $1 \leq j \leq m$ , the network obtained by ignoring all but the output  $w_j$  strongly  $(\varepsilon, \delta)$ -computes  $f_j$ .

#### Theorem 4.2

For every  $a \ge 1$  and  $b = 2^{2^a}$ , let  $h_{a,0}(z_0, \cdots, z_{a-1}), \cdots, h_{a,b-1}(z_0, \cdots, z_{a-1})$  denote the b Boolean functions of a Boolean argument.

Then  $h_{a,0}(z_0,\cdots,z_{a-1}),\cdots,h_{a,b-1}(z_0,\cdots,z_{a-1})$  can be strongly computed by a network of O(b) noisy gates.

Proof: similar to Theorem 4.1

# Boolean function with n Boolean arguments

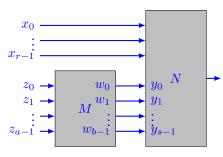
#### Theorem 4.3

Any Boolean function of n Boolean arguments can be computed by a network of  $O\left(2^n/n\right)$  noisy gates.

#### **Proof**

- Let  $a = \lfloor \log_2(n \log_2 n) \rfloor$ ,  $b = 2^{2^a} = 2^n/n$ , r = n a and  $s = 2^r = 2^n/n$ .
- Theorem 4.2: M strongly computes  $h_{a,0}(z_0, \cdots, z_{a-1})$ ,  $\cdots$ ,  $h_{a,b-1}(z_0, \cdots, z_{a-1})$ 
  - $ightharpoonup O(b) = O(2^n/n)$  gates
- Theorem 4.1: N strongly computes

$$g_r(x_0, \dots, x_{r-1}, y_0, \dots, y_{s-1})$$
 $O(s) = O(2^n/n)$  gates



M and N: strongly computes any Boolean function with n Boolean arguments  $x_0, x_1, \dots, x_{r-1}, z_0, z_1, \dots, z_{a-1}$ .

# Bounded redundancy for Boolean functions

#### Implication of Theorem 4.3

- Muller, "Complexity in Electronic Switching Circuits", 1956]: "Almost all" Boolean functions of n Boolean arguments are computed only by noiseless networks with  $\Omega(2^n/n)$  gates
- "Almost all" Boolean functions have bounded redundancy.

#### Set of Boolean linear functions

- A set of m Boolean functions  $f_1(x_1, \dots, x_n), \dots, f_m(x_1, \dots, x_n)$  is linear if each of the functions is the sum (modulo 2) of some subset of the n Boolean arguments  $x_1, \dots, x_n$ .
- "Almost all" sets of n linear functions of n Boolean arguments have bounded redundancy.
  - Similar approach
  - ► Theorem 4.4

# Further readings...

- N. Pippenger, "Reliable computation by formulas in the presence of noise", 1988
- T. Feder, "Reliable computation by networks in the presence of noise", 1989