

# *Theoretical Abstractions in Data Flow Analysis*

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*Part 1*

# *About These Slides*

## Copyright

These slides constitute the lecture notes for CS618 Program Analysis course at IIT Bombay and have been made available as teaching material accompanying the book:

- Uday Khedker, Amitabha Sanyal, and Bageshri Karkare. *Data Flow Analysis: Theory and Practice*. CRC Press (Taylor and Francis Group). 2009.

(Indian edition published by Ane Books in 2013)

Apart from the above book, some slides are based on the material from the following books

- M. S. Hecht. *Flow Analysis of Computer Programs*. Elsevier North-Holland Inc. 1977.
- F. Nielson, H. R. Nielson, and C. Hankin. *Principles of Program Analysis*. Springer-Verlag. 1998.

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# Outline

- The need for a more general setting
- The set of data flow values
- The set of flow functions
- Solutions of data flow analyses
- Algorithms for performing data flow analysis
- Complexity of data flow analysis
- On Soundness and Precision of data flow analysis



## *Part 2*

# *The Need for a More General Setting*

## What We Have Seen So Far ...

Analysis	Entity	Attribute at $p$	Paths	
Live variables	Variables	Use	Starting at $p$	Some
Available expressions	Expressions	Availability	Reaching $p$	All
Partially available expressions	Expressions	Availability	Reaching $p$	Some
Anticipable expressions	Expressions	Use	Starting at $p$	All
Reaching definitions	Definitions	Availability	Reaching $p$	Some
Partial redundancy elimination	Expressions	Profitable hoistability	Involving $p$	All



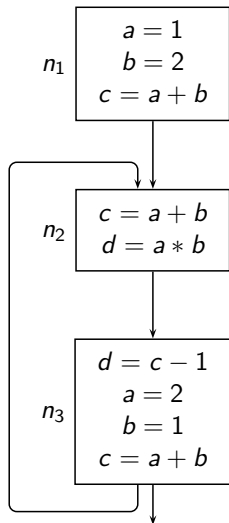
## The Need for a More General Setting

- We seem to have covered many variations
- Yet there are analyses that do not fit the same mould of bit vector frameworks
- We use an analysis called *Constant Propagation* to observe the differences

*A variable  $v$  is a constant with value  $c$  at program point  $p$  if in every execution instance of  $p$ , the value of  $v$  is  $c$*

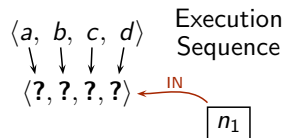
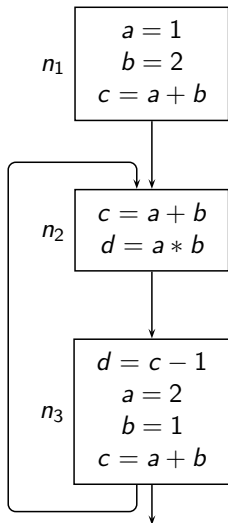


# An Introduction to Constant Propagation

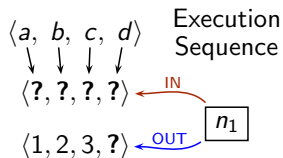
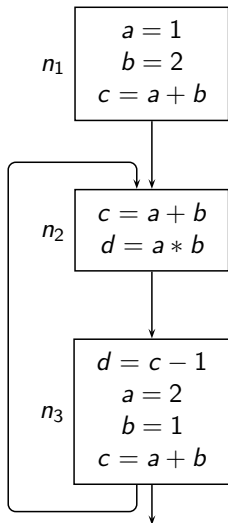




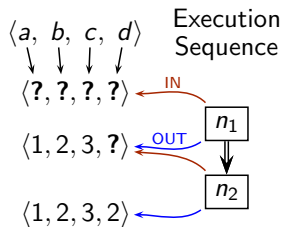
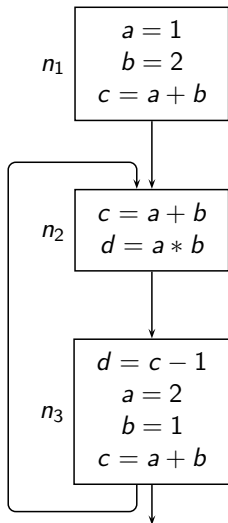
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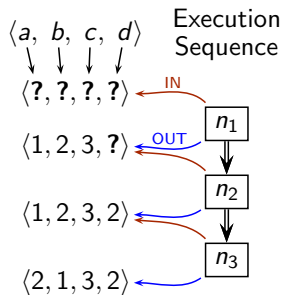
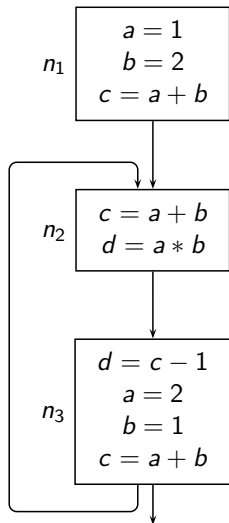
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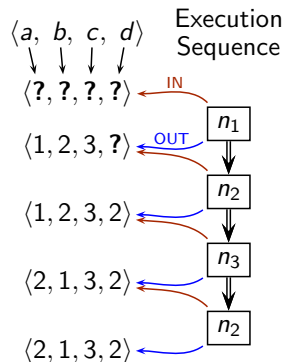
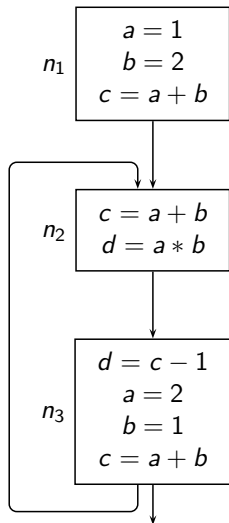
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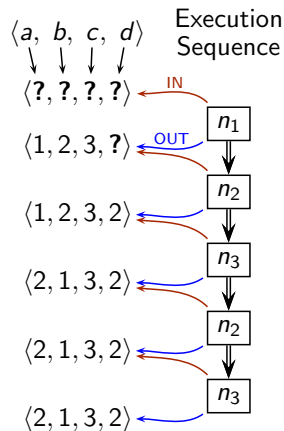
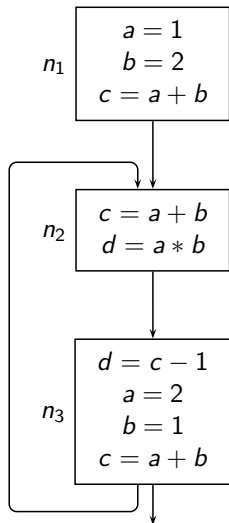
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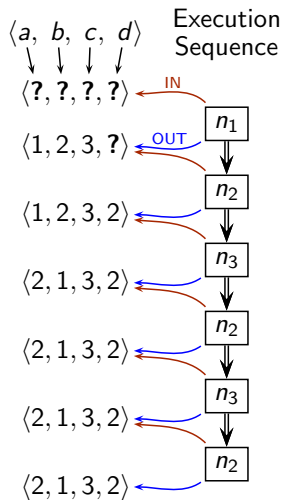
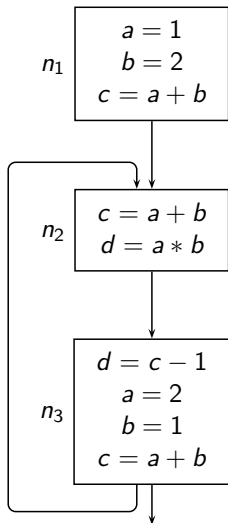
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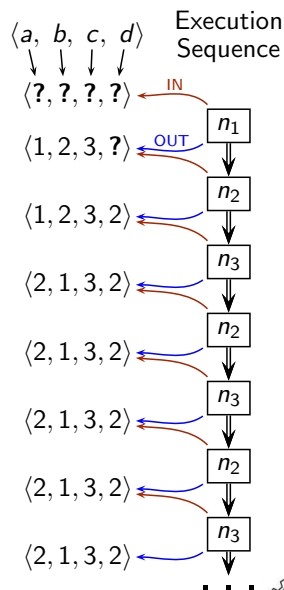
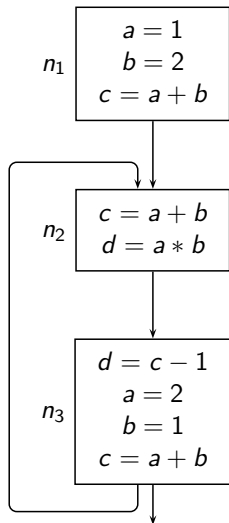
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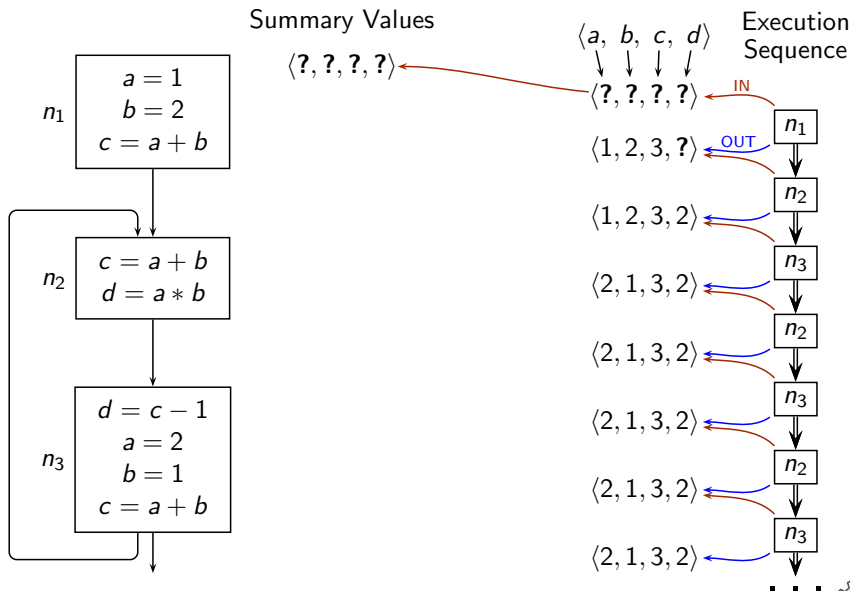


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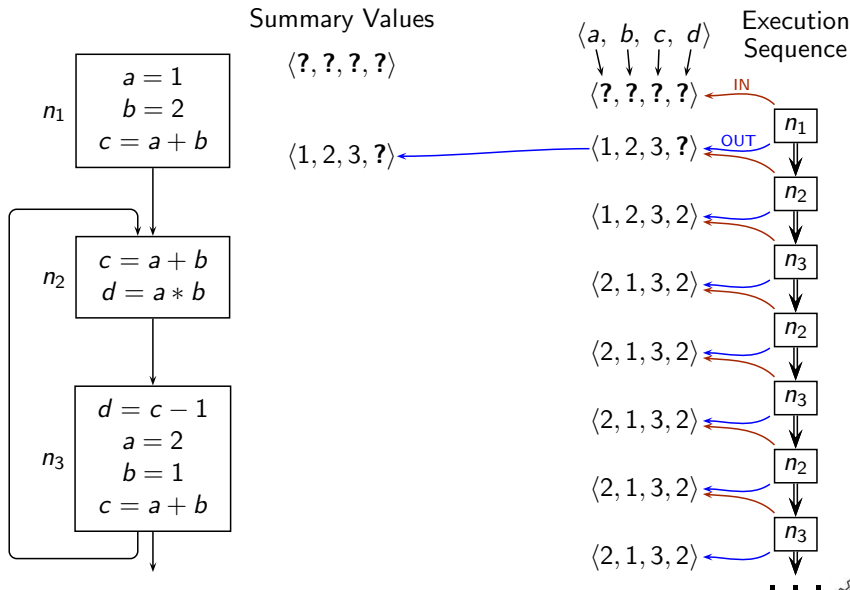




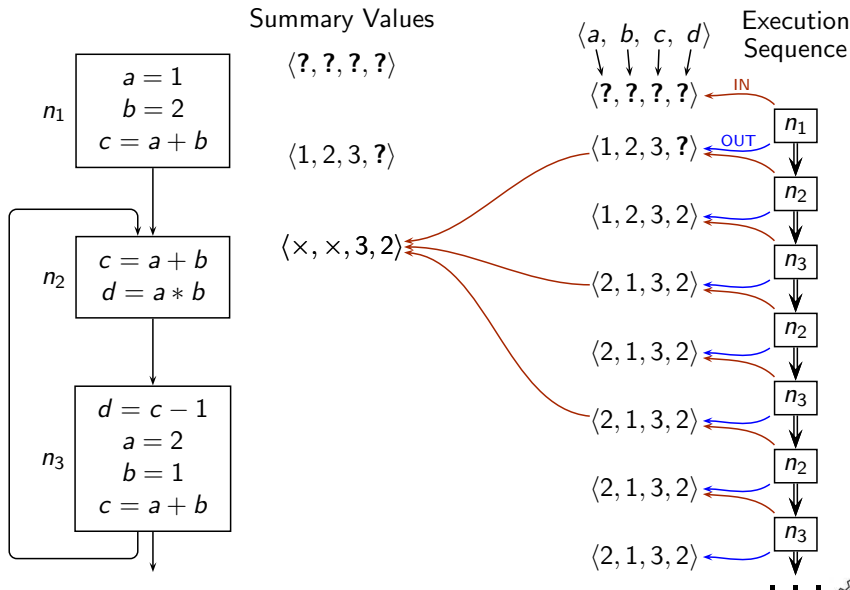
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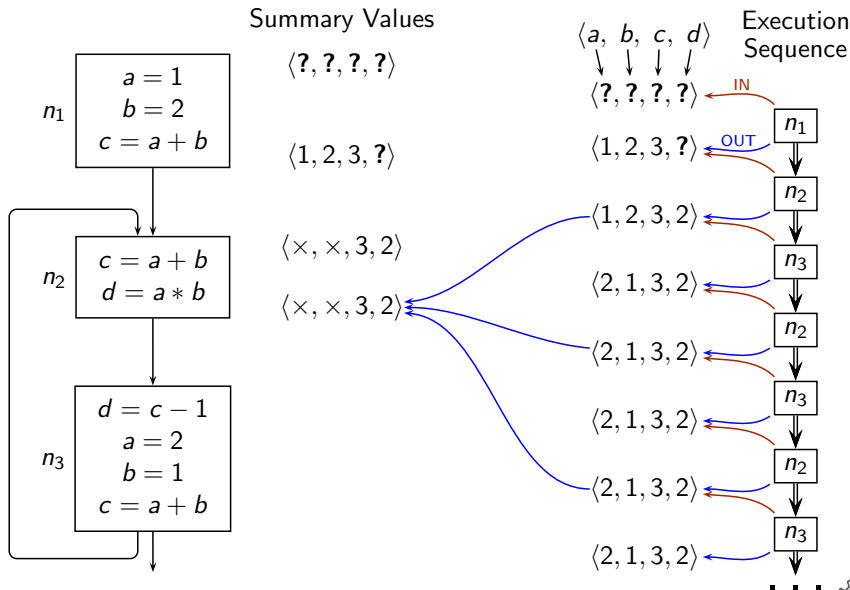
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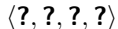
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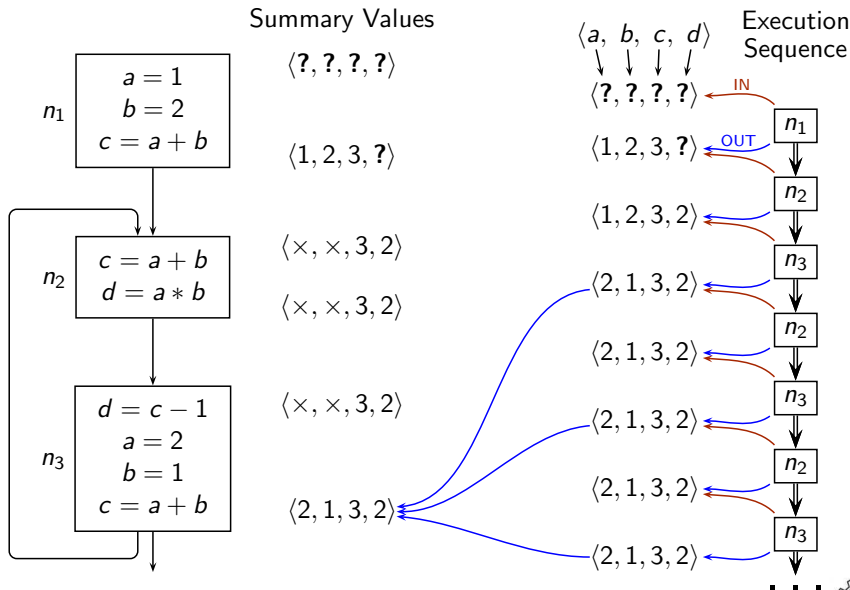
## Summary Values

 $\langle 1, 2, 3, ? \rangle$  $\langle \times, \times, 3, 2 \rangle$  $\langle x, x, 3, 2 \rangle$  $\langle \times, \times, 3, 2 \rangle$ 

$\langle a, b, c, d \rangle$	Execution Sequence
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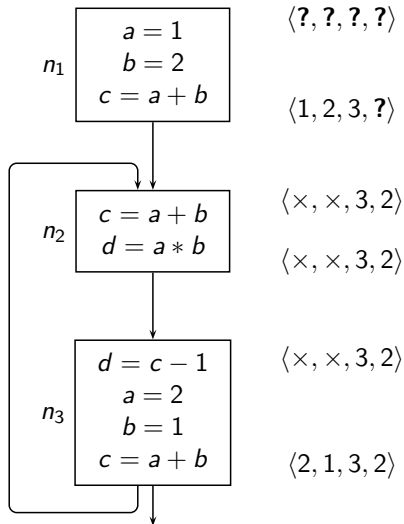
 $\langle ?, ?, ?, ? \rangle$  $\langle 1, 2, 3, ? \rangle$  $\langle 1, 2, 3, 2 \rangle$  $\langle 2, 1, 3, 2 \rangle$  $\langle 2, 1, 3, 2 \rangle$  $\langle 2 \ 1 \ 3 \ 2 \rangle$ 
$$-(2 \ 1 \ 3 \ 2)$$
$$/2 \ 1 \ 3 \ 2 \backslash$$


# An Introduction to Constant Propagation



# An Introduction to Constant Propagation

## Summary Values



Desired Solution



## Difference #1: Data Flow Values

- Tuples of the form  $\langle \eta_1, \eta_2, \dots, \eta_k \rangle$   
Or sets of pairs  $(v_i, \eta_i)$  where  
 $\eta_i$  is the data flow value for  $i^{th}$  variable

Unlike bit vector frameworks, value  $\eta_i$  is not 0 or 1 (i.e. true or false). Instead, it is one of the following:

- ▶ ? indicating that no values is known for  $v_i$
- ▶  $\times$  indicating that variable  $v_i$  could have multiple values
- ▶ An integer constant  $c_1$  if the value of  $v_i$  is known to be  $c_1$  at compile time





## Difference #2: Dependence of Data Flow Values Across Entities

- In bit vector frameworks, data flow values of different entities are independent



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- Given a statement  $a = b * c$ , can the constantness of  $a$  be determined independently of the constantness of  $b$  and  $c$ ?



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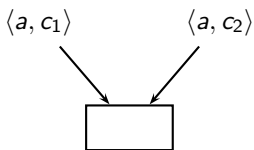
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- Given a statement  $a = b * c$ , can the constantness of  $a$  be determined independently of the constantness of  $b$  and  $c$ ?

No



## Difference #3: Confluence Operation

- Confluence operation  $\langle a, c_1 \rangle \sqcap \langle a, c_2 \rangle$



$\sqcap$	$\langle a, ? \rangle$	$\langle a, \times \rangle$	$\langle a, c_1 \rangle$
$\langle a, ? \rangle$	$\langle a, ? \rangle$	$\langle a, \times \rangle$	$\langle a, c_1 \rangle$
$\langle a, \times \rangle$	$\langle a, \times \rangle$	$\langle a, \times \rangle$	$\langle a, \times \rangle$
$\langle a, c_2 \rangle$	$\langle a, c_2 \rangle$	$\langle a, \times \rangle$	If $c_1 = c_2$ $\langle a, c_1 \rangle$ Otherwise $\langle a, \times \rangle$

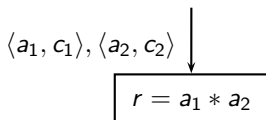
- This is neither  $\cap$  nor  $\cup$

What are its properties?



## Difference #4: Flow Functions for Constant Propagation

- Flow function for  $r = a_1 * a_2$



<i>mult</i>	$\langle a_1, ? \rangle$	$\langle a_1, \times \rangle$	$\langle a_1, c_1 \rangle$
$\langle a_2, ? \rangle$	$\langle r, ? \rangle$	$\langle r, \times \rangle$	$\langle r, ? \rangle$
$\langle a_2, \times \rangle$	$\langle r, \times \rangle$	$\langle r, \times \rangle$	$\langle r, \times \rangle$
$\langle a_2, c_2 \rangle$	$\langle r, ? \rangle$	$\langle r, \times \rangle$	$\langle r, (c_1 * c_2) \rangle$

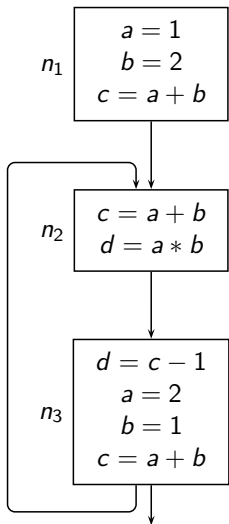
- This cannot be expressed in the form

$$f_n(X) = \text{Gen}_n \cup (X - \text{Kill}_n)$$

where  $\text{Gen}_n$  and  $\text{Kill}_n$  are constant effects of block  $n$

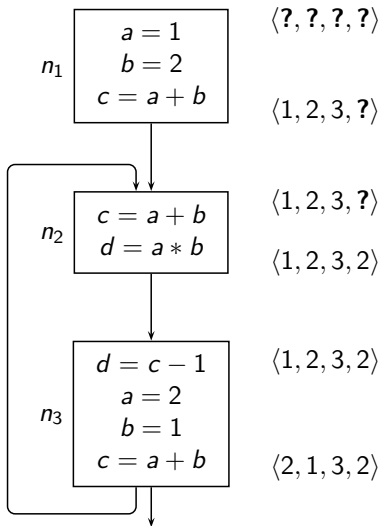


## Difference #5: Solution Computed by Iterative Method



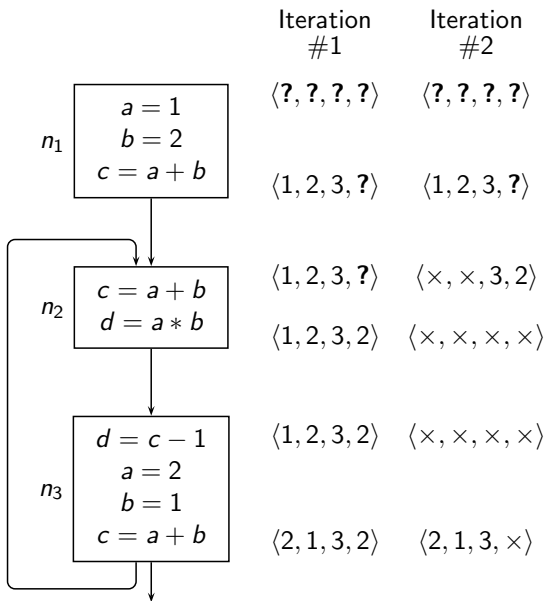
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Iteration  
#1

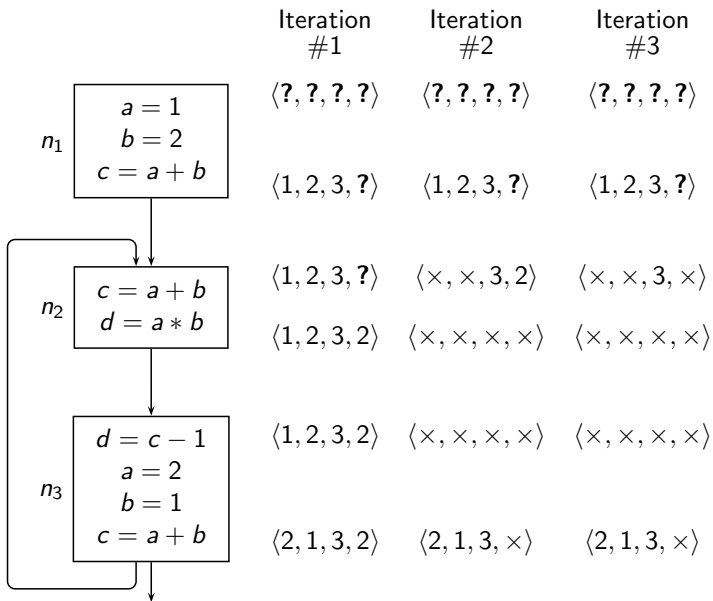




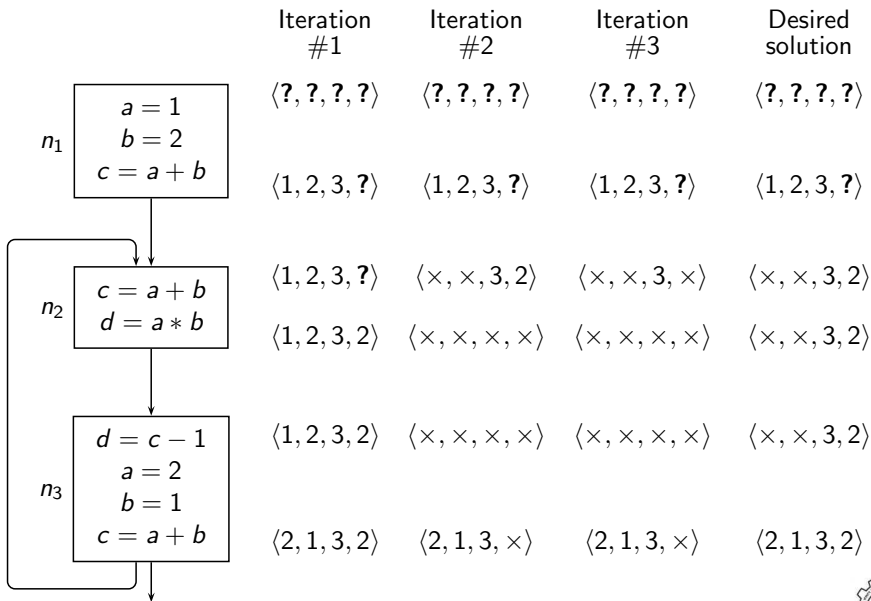
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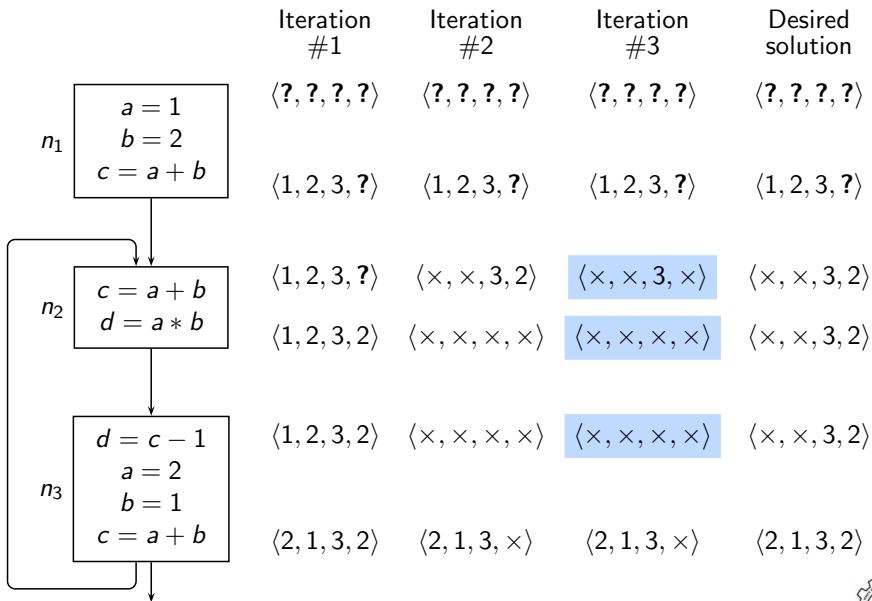
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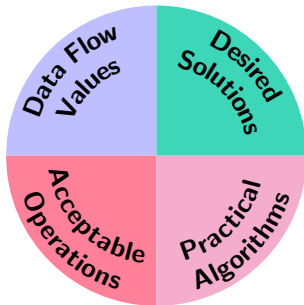
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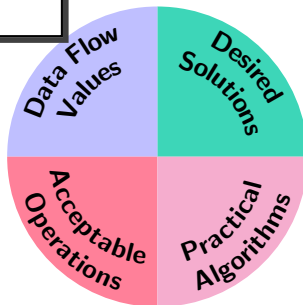


# Issues in Data Flow Analysis



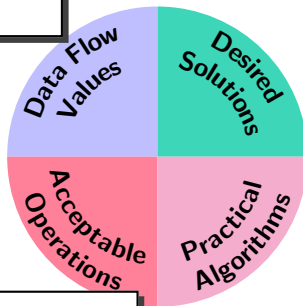
## Issues in Data Flow Analysis

- Representation
- Approximation: Partial Order, Lattices



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- Representation
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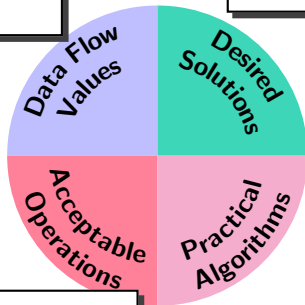
- Merge: Commutativity, Associativity, Idempotence
- Flow Functions: Monotonicity, Distributivity, Boundedness, Separability



## Issues in Data Flow Analysis

- Representation
- Approximation: Partial Order, Lattices

- Existence, Computability
- Soundness, Precision



- Merge: Commutativity, Associativity, Idempotence
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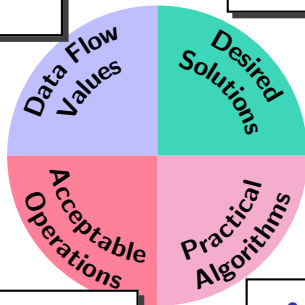




## Issues in Data Flow Analysis

- Representation
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- Merge: Commutativity, Associativity, Idempotence
- Flow Functions: Monotonicity, Distributivity, Boundedness, Separability

- Complexity, efficiency
- Convergence
- Initialization



*Part 3*

# *Data Flow Values: An Overview*

# Data Flow Values: An Outline of Our Discussion

- The need to define the notion of abstraction
- Lattices, variants of lattices
- Relevance of lattices for data flow analysis
  - ▶ Partial order relation as approximation of data flow values
  - ▶ Meet operations as confluence of data flow values
- Constructing lattices
- Example of lattices



*Part 4*

*A Digression on Lattices*

## Partially Ordered Sets

Sets in which elements can be compared and ordered

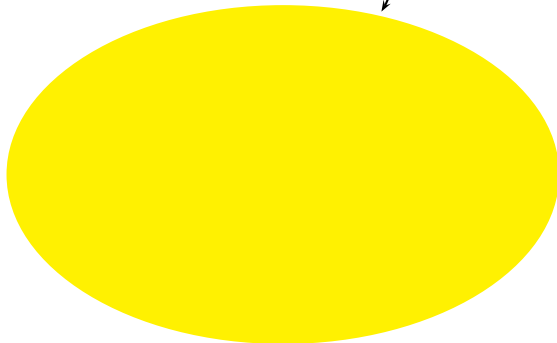
- *Total order*. Every element is comparable with every element (including itself)
- *Discrete order*. Every element is comparable only with itself but not with any other element
- *Partial order*. An element is comparable with itself and some other elements but not necessarily with all elements



## Partially Ordered Sets and Lattices

Partially ordered sets

Partial order  $\sqsubseteq$  is  
reflexive, transitive,  
and antisymmetric



# Partially Ordered Sets and Lattices

Partially ordered sets

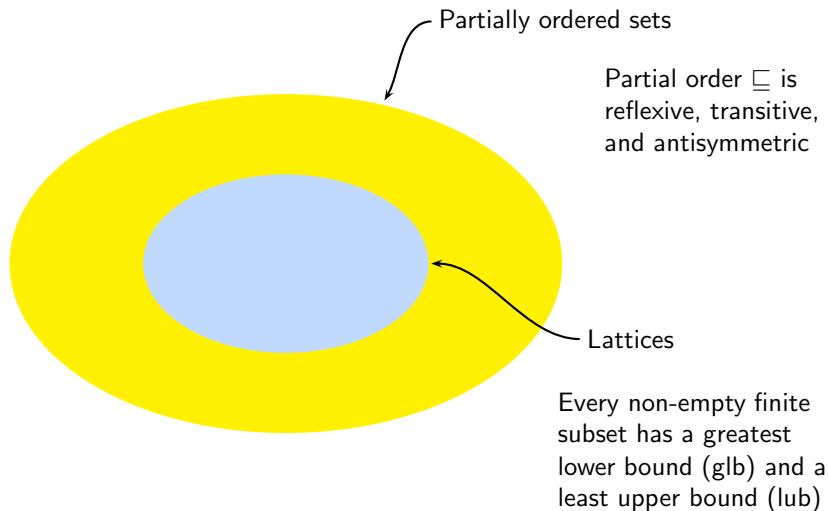
Partial order  $\sqsubseteq$  is reflexive, transitive, and antisymmetric

A lower bound of  $x, y$  is  $u$  s.t.  $u \sqsubseteq x$  and  $u \sqsubseteq y$

An upper bound of  $x, y$  is  $u$  s.t.  $x \sqsubseteq u$  and  $y \sqsubseteq u$

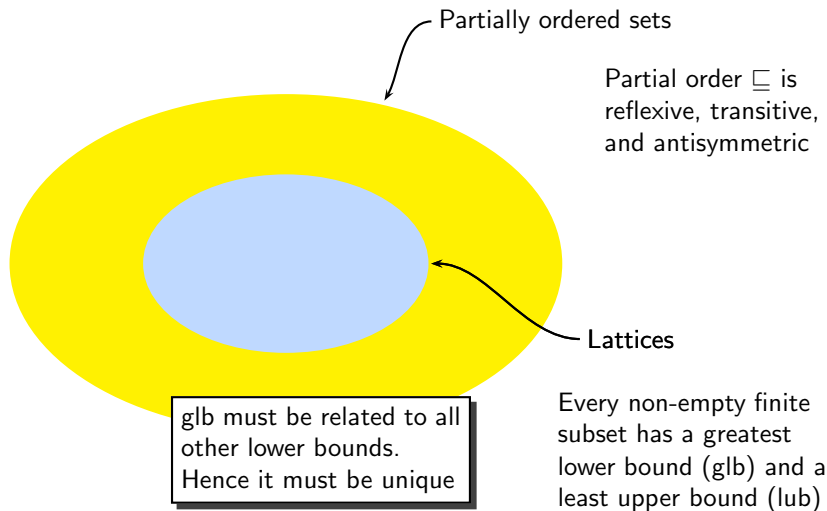


## Partially Ordered Sets and Lattices





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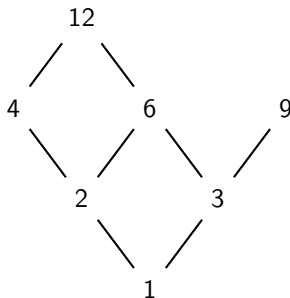
## Partially Ordered Sets

Set  $\{1, 2, 3, 4, 6, 9, 12\}$  with  $\sqsubseteq$  relation as “divides” (i.e.  $a \sqsubseteq b$  iff  $a$  divides  $b$ )



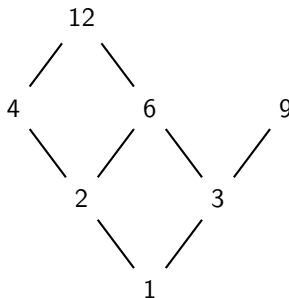
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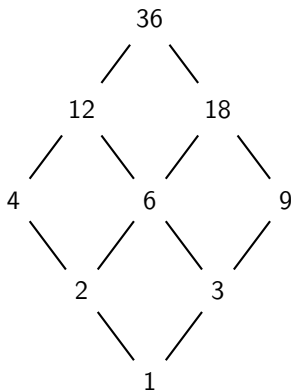


Subset  $\{4, 9, 6\}$  and  $\{12, 9\}$  do not have an upper bound in the set



## Lattice

Set  $\{1, 2, 3, 4, 6, 9, 12, 18, 36\}$  with  $\sqsubseteq$  relation as “divides”



## Examples of Orderings on Strings

- Consider relations between strings in  $\Sigma^*$  over alphabet  $\Sigma = \{a_1, a_2, \dots, a_n\}$ 
  - ▶ The prefix, suffix, and substring relations are partial orders
  - ▶ If  $\Sigma$  is totally ordered, then the lexicographic order  $\preceq$  is a total orderLet  $u, v, x, y, z \in \Sigma^*$ , and let  $a_i, a_j \in \Sigma$

$$u \preceq v \Leftrightarrow (v = uy) \vee (u = xa_iy \wedge v = xa_jz \wedge a_i < a_j)$$



## Complete Lattice

- Lattice: A partially ordered set such that every non-empty finite subset has a glb and a lub

Example: Lattice  $\mathbb{Z}$  of integers under “less-than-equal-to” ( $\leq$ ) relation

- ▶ All finite subsets have a glb and a lub
- ▶ Infinite subsets do not have a glb or a lub



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- Complete Lattice: A lattice in which even  $\emptyset$  and infinite subsets have a glb and a lub





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- Complete Lattice: A lattice in which even  $\emptyset$  and infinite subsets have a glb and a lub

Example: Lattice  $\mathbb{Z}$  of integers under  $\leq$  relation with  $\infty$  and  $-\infty$

- ▶  $\infty$  is the **top** element denoted  $\top$ :  $\forall i \in \mathbb{Z}, i \leq \top$
- ▶  $-\infty$  is the **bottom** element denoted  $\perp$ :  $\forall i \in \mathbb{Z}, \perp \leq i$



## $\mathbb{Z} \cup \{\infty, -\infty\}$ is a Complete Lattice

- Infinite subsets of  $\mathbb{Z} \cup \{\infty, -\infty\}$  have a glb and lub



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  - ▶  $\text{glb}(\emptyset)$  is  $\top$



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- Infinite subsets of  $\mathbb{Z} \cup \{\infty, -\infty\}$  have a glb and lub
- What about the empty set?
  - ▶  $\text{glb}(\emptyset)$  is  $\top$   
Every element of  $\mathbb{Z} \cup \{\infty, -\infty\}$  is vacuously a lower bound of an element in  $\emptyset$   
OR  
Every element in  $\emptyset$  is stronger than every element in  $\mathbb{Z} \cup \{\infty, -\infty\}$   
(because there is no element in  $\emptyset$ )



## $\mathbb{Z} \cup \{\infty, -\infty\}$ is a Complete Lattice

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OR  
Every element in  $\emptyset$  is stronger than every element in  $\mathbb{Z} \cup \{\infty, -\infty\}$  (because there is no element in  $\emptyset$ )  
The greatest among these lower bounds is  $\top$



## $\mathbb{Z} \cup \{\infty, -\infty\}$ is a Complete Lattice

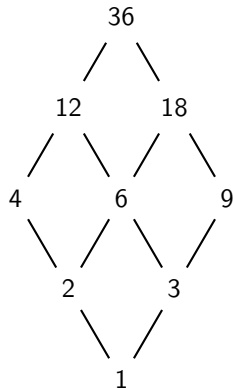
- Infinite subsets of  $\mathbb{Z} \cup \{\infty, -\infty\}$  have a glb and lub
- What about the empty set?
  - ▶  $\text{glb}(\emptyset)$  is  $\top$   
Every element of  $\mathbb{Z} \cup \{\infty, -\infty\}$  is vacuously a lower bound of an element in  $\emptyset$   
OR  
Every element in  $\emptyset$  is stronger than every element in  $\mathbb{Z} \cup \{\infty, -\infty\}$  (because there is no element in  $\emptyset$ )  
The greatest among these lower bounds is  $\top$
  - ▶  $\text{lub}(\emptyset)$  is  $\perp$





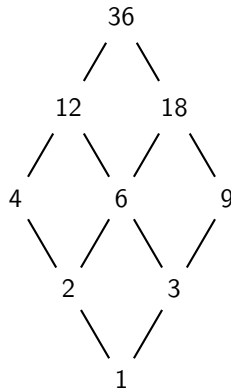
# Operations on Lattices

- Meet ( $\sqcap$ ) and Join ( $\sqcup$ )



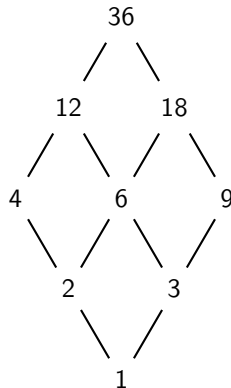
## Operations on Lattices

- Meet ( $\sqcap$ ) and Join ( $\sqcup$ )
  - $x \sqcap y$  computes the glb of  $x$  and  $y$   
 $z = x \sqcap y \Rightarrow z \sqsubseteq x \wedge z \sqsubseteq y$



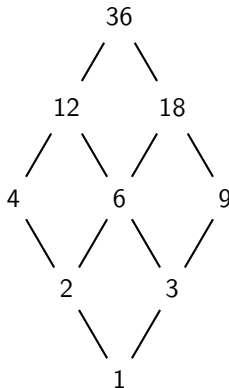
## Operations on Lattices

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  - ▶  $\sqcap$  and  $\sqcup$  are commutative, associative, and idempotent



## Operations on Lattices

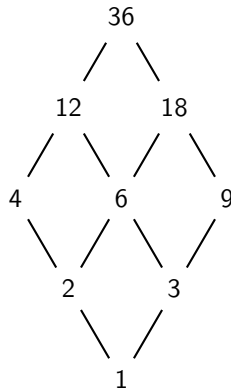
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 $z = x \sqcup y \Rightarrow z \sqsupseteq x \wedge z \sqsupseteq y$
  - $\sqcap$  and  $\sqcup$  are commutative, associative, and idempotent
- Top ( $\top$ ) and Bottom ( $\perp$ ) elements

$$\forall x \in L, x \sqcap \top = x$$

$$\forall x \in L, x \sqcup \top = \top$$

$$\forall x \in L, x \sqcap \perp = \perp$$

$$\forall x \in L, x \sqcup \perp = x$$



# Operations on Lattices

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  - ▶  $x \sqcap y$  computes the glb of  $x$  and  $y$   
 $z = x \sqcap y \Rightarrow z \sqsubseteq x \wedge z \sqsubseteq y$
  - ▶  $x \sqcup y$  computes the lub of  $x$  and  $y$   
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- Top ( $\top$ ) and Bottom ( $\perp$ ) elements

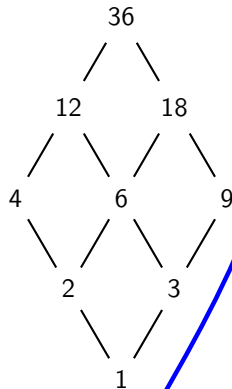
$$\forall x \in L, x \sqcap \top = x$$

$$\forall x \in L, x \sqcup \top = \top$$

$$\forall x \in L, x \sqcap \perp = \perp$$

$$\forall x \in L, x \sqcup \perp = x$$

Greatest common divisor



$$x \sqcap y = \gcd(x, y)$$



# Operations on Lattices

- Meet ( $\sqcap$ ) and Join ( $\sqcup$ )
  - ▶  $x \sqcap y$  computes the glb of  $x$  and  $y$   
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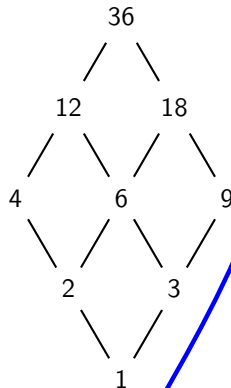
$$\forall x \in L, x \sqcap \top = x$$

$$\forall x \in L, x \sqcup \top = \top$$

$$\forall x \in L, x \sqcap \perp = \perp$$

$$\forall x \in L, x \sqcup \perp = x$$

Greatest common divisor



$$x \sqcap y = \gcd(x, y)$$

Lowest common multiple

$$x \sqcup y = \text{lcm}(x, y)$$



## Partial Order and Operations

- For a lattice  $\sqsubseteq$  induces  $\sqcap$  and  $\sqcup$  and vice-versa
- The choices of  $\sqsubseteq$ ,  $\sqcap$ , and  $\sqcup$  cannot be arbitrary

They have to be

- ▶ consistent with each other, and
  - ▶ definable in terms of each other
- For some variants of lattices,  $\sqcap$  or  $\sqcup$  may not exist

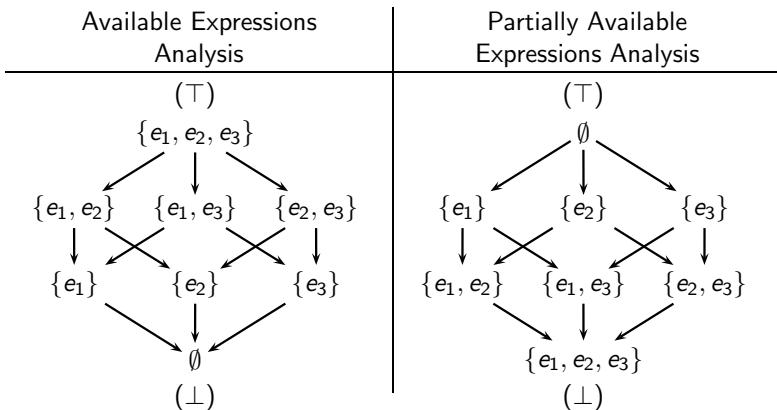
Yet the requirement of its consistency with  $\sqsubseteq$  cannot be violated





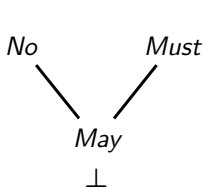
## Finite Lattices are Complete

- Any given set of elements has a glb and a lub



## Lattice for May-Must Analysis

- There is no  $\top$  among the natural values



Interpreting data flow values

- *No*. Information does not hold along any path
- *Must*. Information must hold along all paths
- *May*. Information may hold along some path

- An artificial  $\top$  can be added



## Some Variants of Lattices

A poset  $L$  is

- A **lattice** iff each non-empty finite subset of  $L$  has a glb and lub
- A **complete lattice** iff each subset of  $L$  has a glb and lub
- A **meet semilattice** iff each non-empty finite subset of  $L$  has a glb
- A **join semilattice** iff each non-empty finite subset of  $L$  has a lub
- A **bounded lattice** iff  $L$  is a lattice and has  $\top$  and  $\perp$  elements



## A Bounded Lattice Need Not be Complete (1)

- Let  $A$  be all finite subsets of  $\mathbb{Z}$

Then,  $A$  is an infinite set

- The poset  $L = (A \cup \{\mathbb{Z}\}, \subseteq)$  is a bounded lattice with  $\top = \mathbb{Z}$  and  $\perp = \emptyset$

The join  $\sqcup$  of this lattice is  $\cup$

- To see why, consider a set  $S \subseteq L$  containing *all* subsets of  $\mathbb{Z}$  that do not contain the number 1



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- To see why, consider a set  $S \subseteq L$  containing *all* subsets of  $\mathbb{Z}$  that do not contain the number 1

$S$  contains *all* finite sets that do not contain 1

- ▶ Since the number of such sets is infinite, their union is an infinite set
- ▶  $\mathbb{Z} - \{1\}$  is not contained in  $L$  (the only infinite set in  $L$  is  $\mathbb{Z}$ )
- ▶  $S$  does not have a lub in  $L$



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- ▶  $S$  does not have a lub in  $L$

Hence  $L$  is not complete



## A Bounded Lattice Need Not be Complete (1)

- Let  $A$  be all finite subsets of  $\mathbb{Z}$

Then,  $A$  is an infinite set

- The poset  $L = (A \cup \{\mathbb{Z}\}, \subseteq)$  is a bounded lattice with  $\top = \mathbb{Z}$  and  $\perp = \emptyset$

- It may be tempting to assume that  $\mathbb{Z}$  is the lub of  $S$  because it is an upper bound of  $S$  and no other upper bound of  $S$  in the lattice is weaker  $\mathbb{Z}$
- However, the join operation  $\cup$  of  $L$  does not compute  $\mathbb{Z}$  as the lub of  $S$  (because it must exclude 1)
- The join operation  $\cup$  is inconsistent with the partial order  $\supseteq$  of  $L$ . Hence we say that join does not exist for  $S$



## A Bounded Lattice Need Not be Complete (2)

- A bounded lattice  $L$  has a glb and lub of  $L$  in  $L$
- A complete lattice  $L$  should have glb and lub of *all* subsets of  $L$
- A lattice  $L$  should have glb and lub of *all* finite non-empty subsets of  $L$





## Ascending and Descending Chains

- Strictly ascending chain  $x \sqsubset y \sqsubset \cdots \sqsubset z$
- Strictly descending chain  $x \sqsupset y \sqsupset \cdots \sqsupset z$
- **DCC**: Descending Chain Condition  
All strictly descending chains are finite
- **ACC**: Ascending Chain Condition  
All strictly ascending chains are finite



## Complete Lattice and Ascending and Descending Chains

- If  $L$  satisfies acc and dcc, then
  - ▶  $L$  has finite height, and
  - ▶  $L$  is complete
- A complete lattice need not have finite height (i.e. strict chains may not be finite)

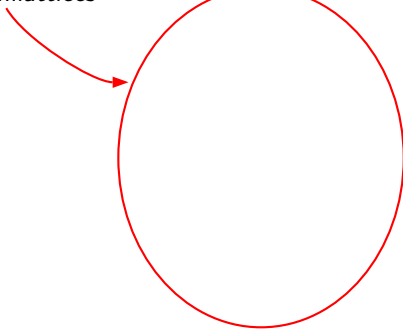
Example:

Lattice of integers under  $\leq$  relation with  $\infty$  as  $\top$  and  $-\infty$  as  $\perp$



## Variants of Lattices

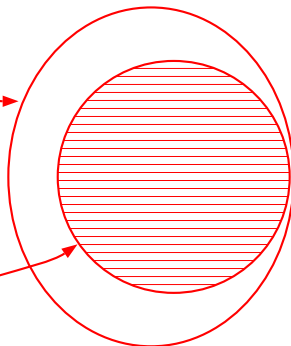
Meet Semilattices



## Variants of Lattices

Meet Semilattices

Meet Semilattices  
with  $\perp$  element

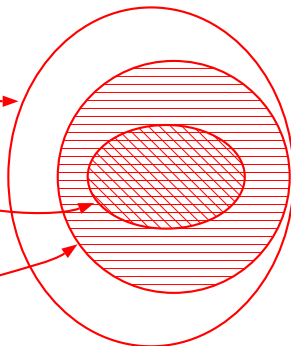


## Variants of Lattices

Meet Semilattices

Meet Semilattices  
satisfying dcc

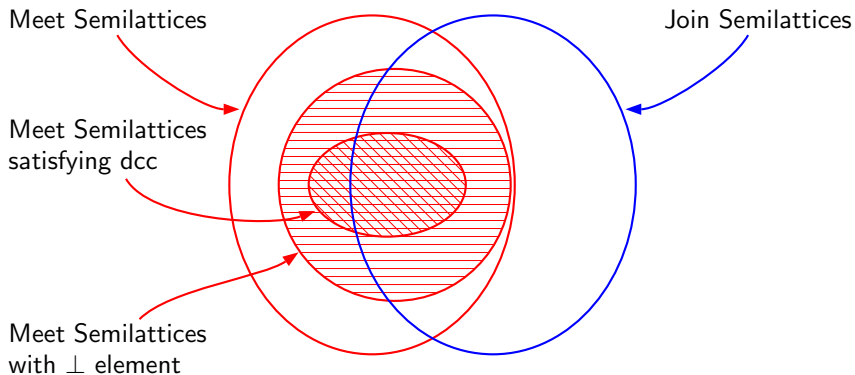
Meet Semilattices  
with  $\perp$  element



- dcc: descending chain condition



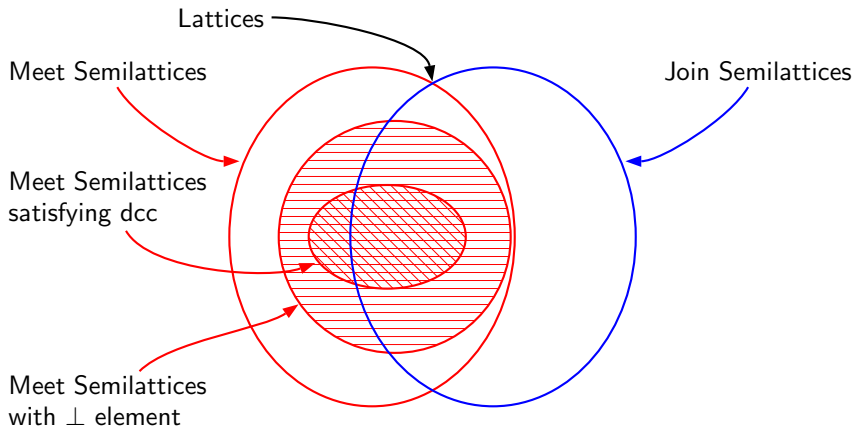
## Variants of Lattices



- dcc: descending chain condition



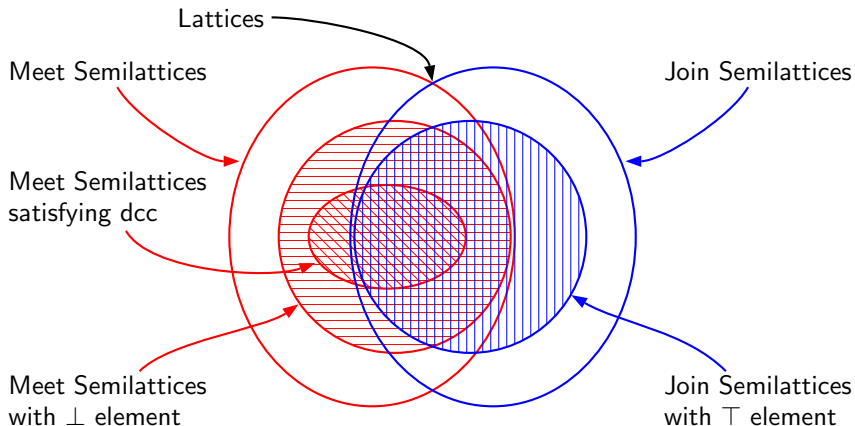
## Variants of Lattices



- dcc: descending chain condition



## Variants of Lattices

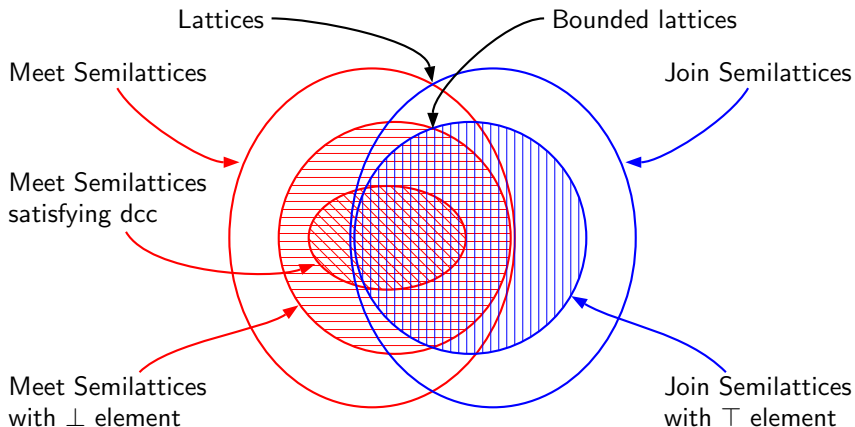


- dcc: descending chain condition





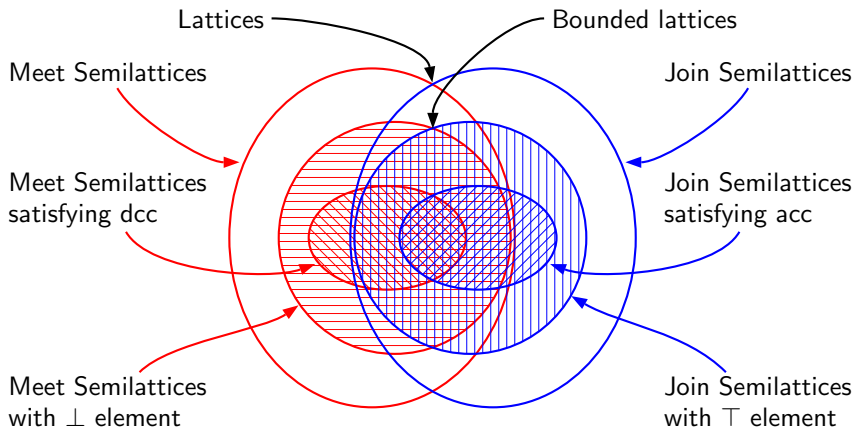
## Variants of Lattices



- dcc: descending chain condition



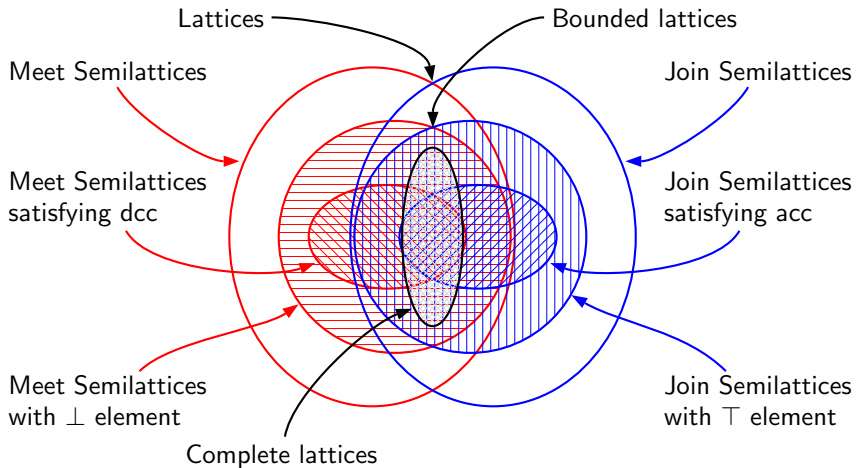
## Variants of Lattices



- dcc: descending chain condition
- acc: ascending chain condition



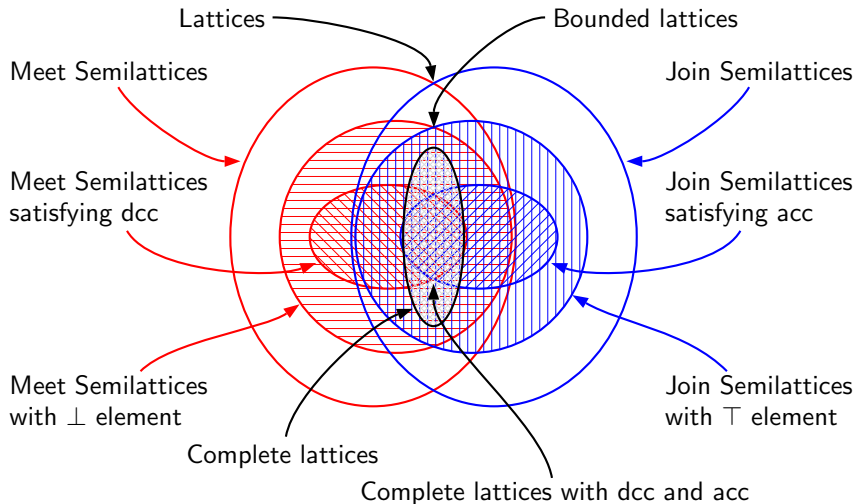
## Variants of Lattices



- dcc: descending chain condition
- acc: ascending chain condition



## Variants of Lattices



- dcc: descending chain condition
- acc: ascending chain condition



# An Example of Lattices: Maintaining LIKE Counts on Cloud

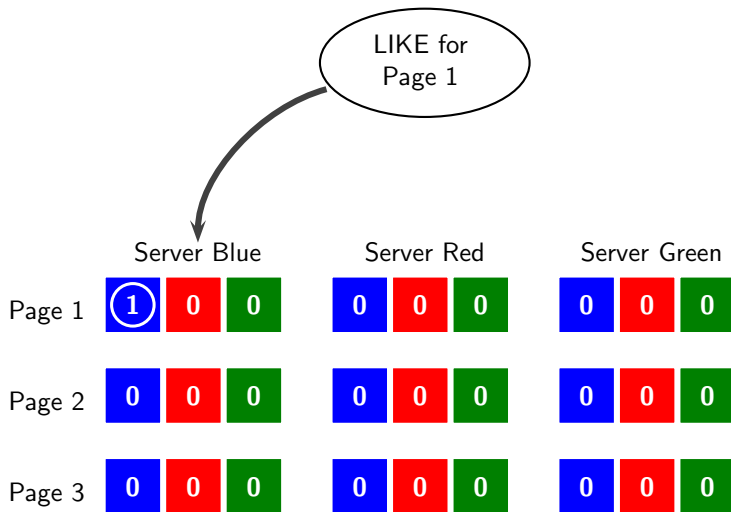
Maintain  $n$  servers and divide the traffic

- Each server maintains an  $n$ -tuple for each page
- Updates the counters for its own slot

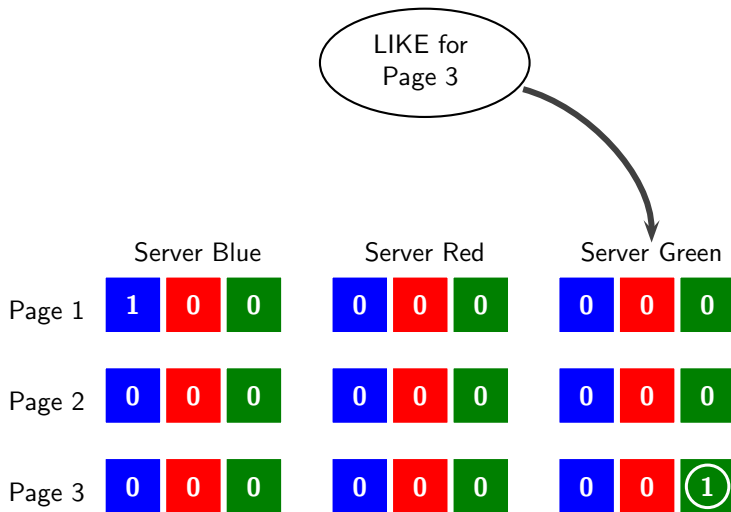
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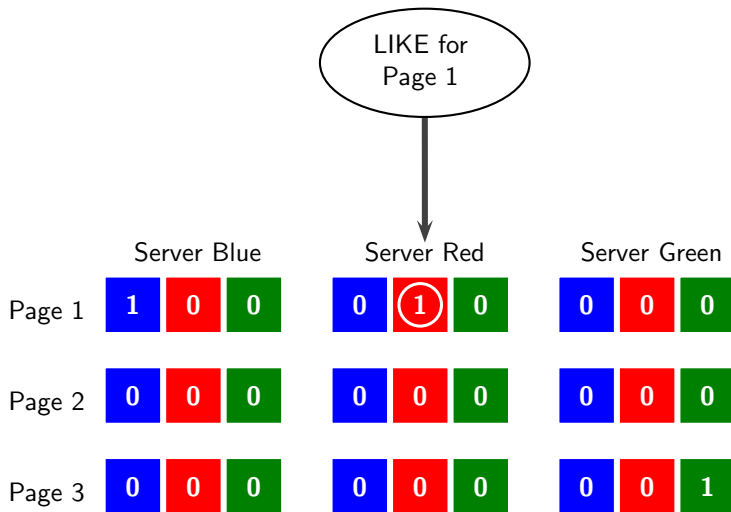
# An Example of Lattices: Maintaining LIKE Counts on Cloud



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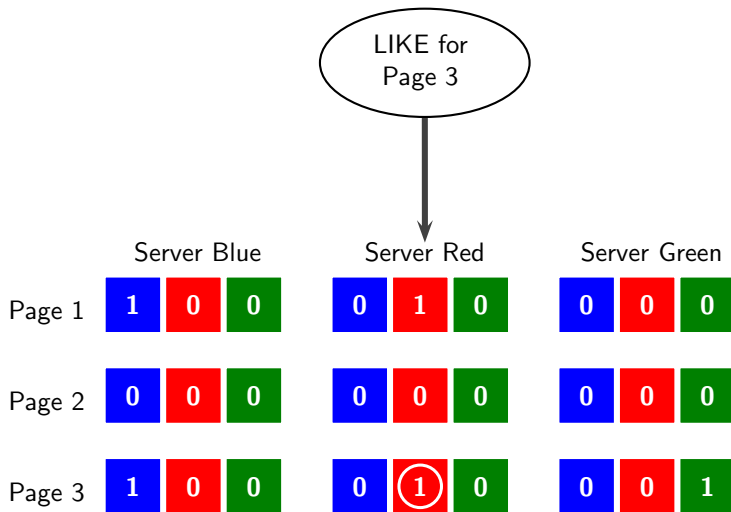
# An Example of Lattices: Maintaining LIKE Counts on Cloud

LIKE for Page 3

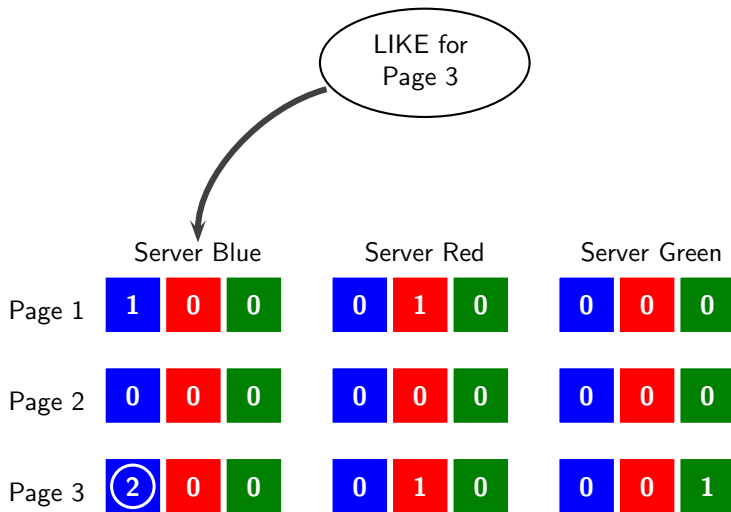
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# An Example of Lattices: Maintaining LIKE Counts on Cloud



# An Example of Lattices: Maintaining LIKE Counts on Cloud



# An Example of Lattices: Maintaining LIKE Counts on Cloud

Synchronize:

- Send the data to other servers
- Update the counters using point-wise max

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## An Example of Lattices: Maintaining LIKE Counts on Cloud

Synchronize:

- Send the data to other servers
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- Lattice of  $n$ -tuples using point-wise  $\geq$  as the partial order

$$\langle x_1, x_2, \dots, x_n \rangle \sqsubseteq \langle y_1, y_2, \dots, y_n \rangle = \\ (x_1 \geq y_1) \wedge (x_2 \geq y_2) \dots \wedge (x_n \geq y_n)$$

- Tuples merged with max operation

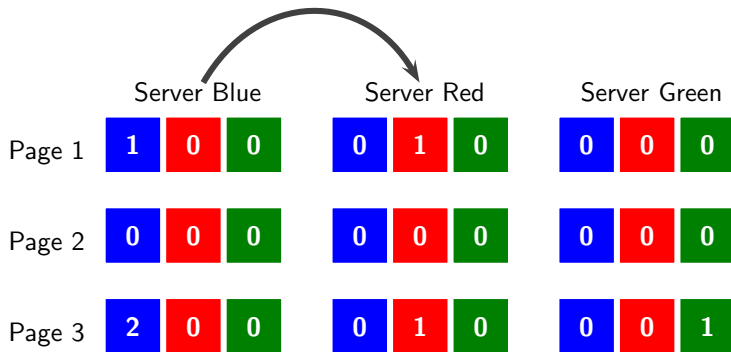
$$\langle x_1, x_2, \dots, x_n \rangle \sqcap \langle y_1, y_2, \dots, y_n \rangle = \\ \langle \max(x_1, y_1), \max(x_2, y_2), \dots, \max(x_n, y_n) \rangle$$



# An Example of Lattices: Maintaining LIKE Counts on Cloud

Synchronize:

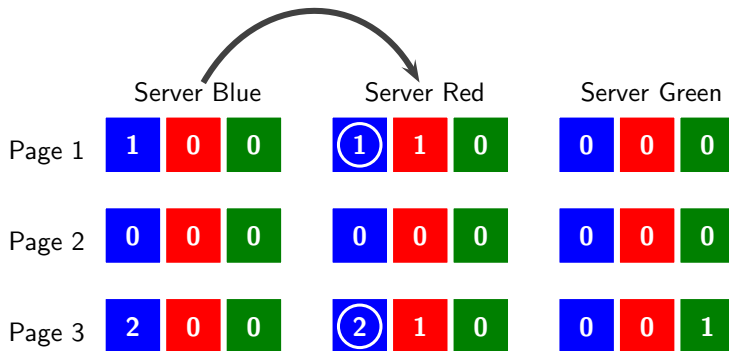
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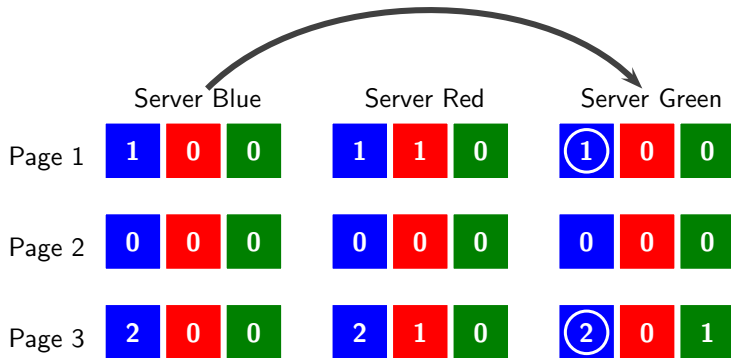




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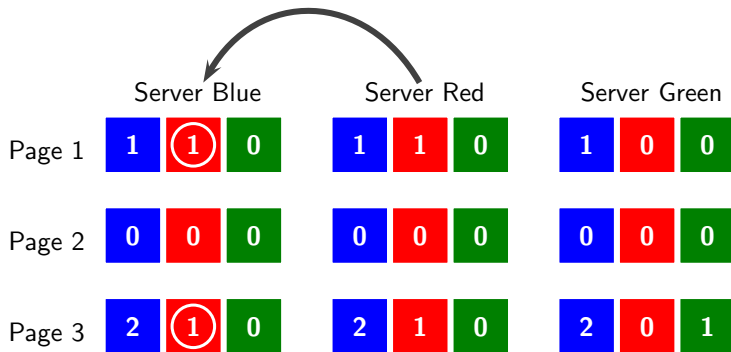
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# An Example of Lattices: Maintaining LIKE Counts on Cloud

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# An Example of Lattices: Maintaining LIKE Counts on Cloud

Synchronize:

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# An Example of Lattices: Maintaining LIKE Counts on Cloud

Synchronize:

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# An Example of Lattices: Maintaining LIKE Counts on Cloud

Synchronize:

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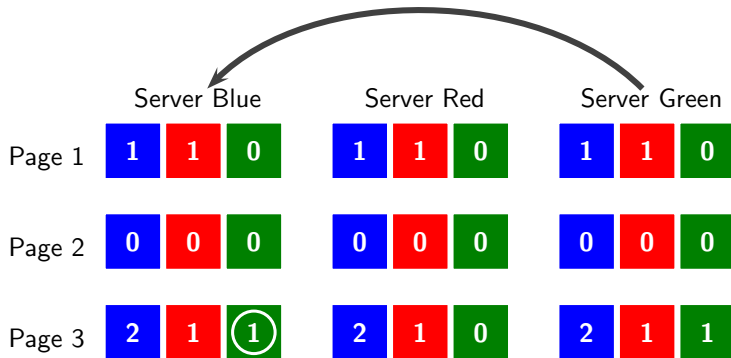
	Server Blue	Server Red	Server Green									
Page 1	<table><tr><td>1</td><td>1</td><td>0</td></tr></table>	1	1	0	<table><tr><td>1</td><td>1</td><td>0</td></tr></table>	1	1	0	<table><tr><td>1</td><td>1</td><td>0</td></tr></table>	1	1	0
1	1	0										
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Page 2	<table><tr><td>0</td><td>0</td><td>0</td></tr></table>	0	0	0	<table><tr><td>0</td><td>0</td><td>0</td></tr></table>	0	0	0	<table><tr><td>0</td><td>0</td><td>0</td></tr></table>	0	0	0
0	0	0										
0	0	0										
0	0	0										
Page 3	<table><tr><td>2</td><td>1</td><td>0</td></tr></table>	2	1	0	<table><tr><td>2</td><td>1</td><td>0</td></tr></table>	2	1	0	<table><tr><td>2</td><td>1</td><td>1</td></tr></table>	2	1	1
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# An Example of Lattices: Maintaining LIKE Counts on Cloud

Synchronize:


- Send the data to other servers
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Page 1	<div>1</div> <div>1</div> <div>0</div>	<div>1</div> <div>1</div> <div>0</div>	<div>1</div> <div>1</div> <div>0</div>
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## An Example of Lattices: Maintaining LIKE Counts on Cloud

After synchronization, all servers have the same data

Count for a page:

- Take sum of all counts at any server for the page

	Server Blue	Server Red	Server Green
Page 1	<div>1</div> <div>1</div> <div>0</div>	<div>1</div> <div>1</div> <div>0</div>	<div>1</div> <div>1</div> <div>0</div>
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## Constructing Lattices

- Powerset construction with subset or superset relation
- Products of lattices
  - ▶ Cartesian product
  - ▶ Interval product
- Set of mappings as lattices



## Variants of Powerset Lattices

Let the underlying set be  $S$

- The set  $2^S$  with the partial order  $\subseteq$  is a lattice

$$x \sqsubseteq y \Leftrightarrow x \subseteq y$$

$$x \sqcap y = x \cap y$$

$$x \sqcup y = x \cup y$$

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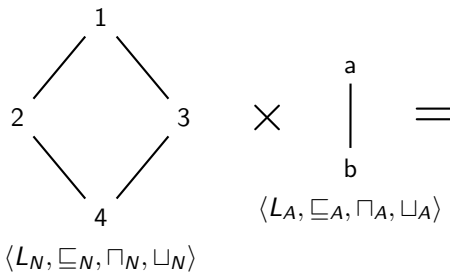
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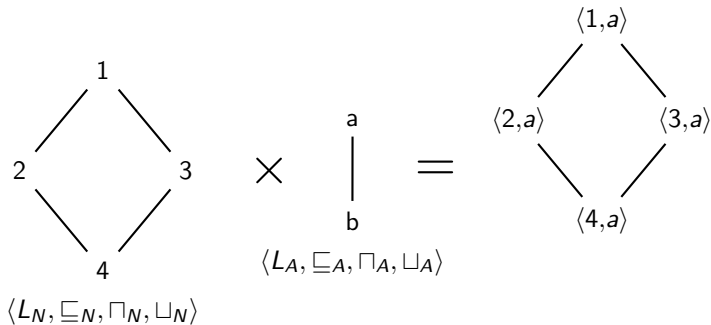
$$x \sqcup y = x \cap y$$



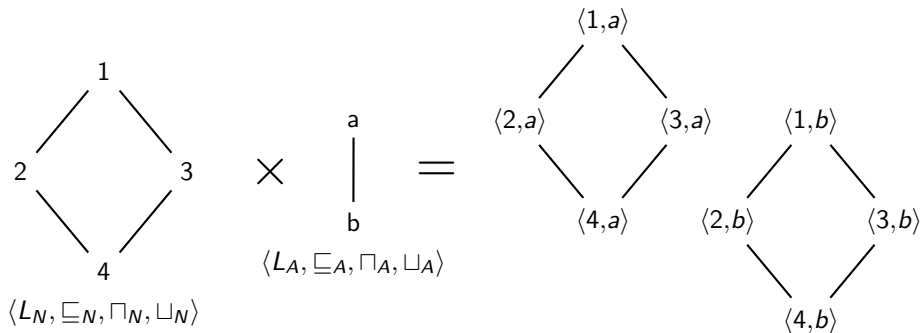
## Cartesian Product of Lattice



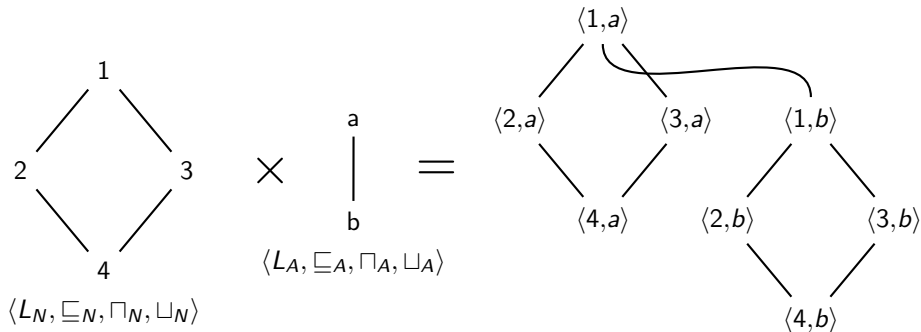
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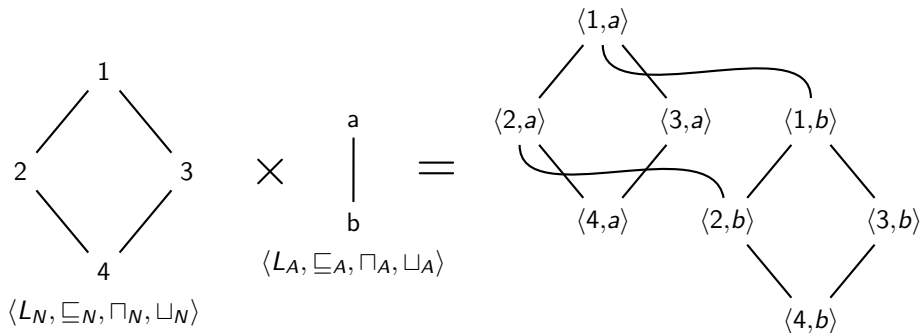


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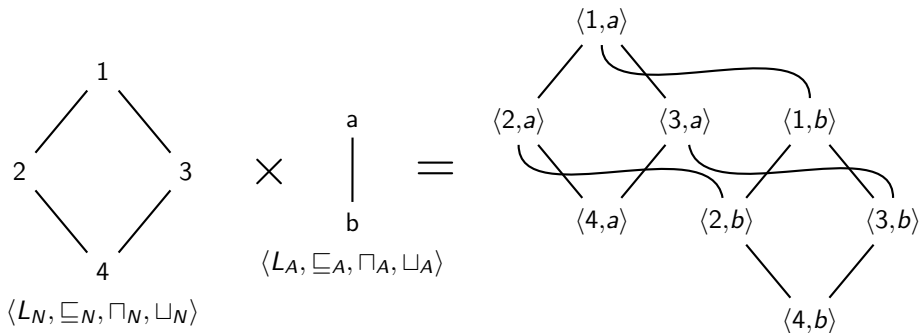




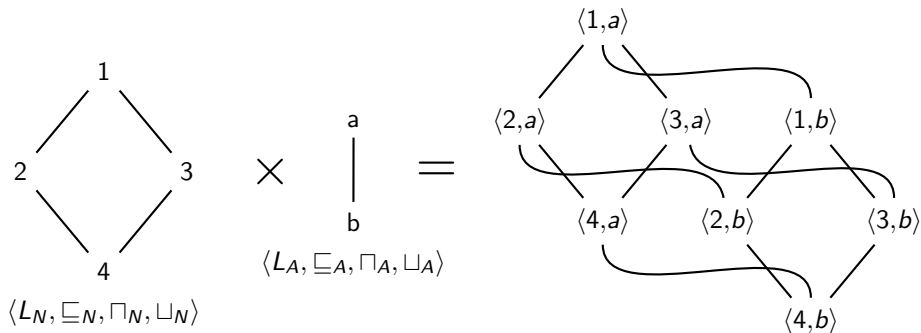
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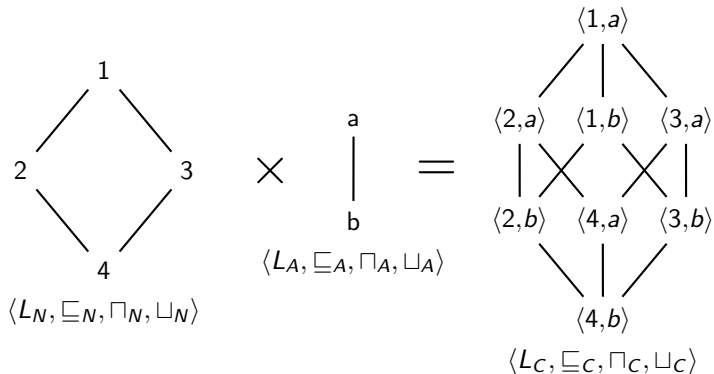
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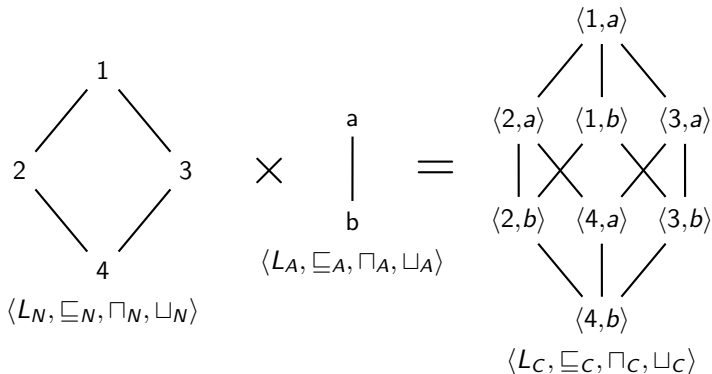
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## Cartesian Product of Lattice



# Cartesian Product of Lattice



$$\langle x_1, y_1 \rangle \sqsubseteq_C \langle x_2, y_2 \rangle \Leftrightarrow x_1 \sqsubseteq_N x_2 \wedge y_1 \sqsubseteq_A y_2$$

$$\langle x_1, y_1 \rangle \sqcap_C \langle x_2, y_2 \rangle = \langle x_1 \sqcap_N x_2, y_1 \sqcap_A y_2 \rangle$$

$$\langle x_1, y_1 \rangle \sqcup_C \langle x_2, y_2 \rangle = \langle x_1 \sqcup_N x_2, y_1 \sqcup_A y_2 \rangle$$



## Example of Cartesian Product: Concept Lattices

- *Context of concepts*. A collection of objects and their attributes
- *Concepts*. Sets of attributes as exhibited by specific objects
  - ▶ A concept  $C$  is a pair  $(O, A)$  where  
 $O$  is a set of objects exhibiting attributes in the set  $A$
  - ▶ Every object in  $O$  has every attribute in  $A$
- *Partial order*.  $(O_2, A_2) \sqsubseteq (O_1, A_1) \Leftrightarrow O_2 \subseteq O_1$ 
  - ▶ Very few objects have all attributes
  - ▶ Since  $A$  is the set of attributes common to all objects in  $O$ ,

$$O_2 \subseteq O_1 \Rightarrow A_2 \supseteq A_1$$

As the number of chosen objects decreases, the number of common attributes increases



## Example of Concept Lattice (1)

From *Introduction to Lattices and Order* by Davey and Priestley [2002]

		Size			Distance from Sun		Moon?	
		Small (ss)	Medium (sm)	Large (sl)	Near (dn)	Far (df)	Yes (my)	No (mn)
Mercury	Me	x			x			x
Venus	V	x			x			x
Earth	E	x			x		x	
Mars	Ma	x			x		x	
Jupiter	J			x		x	x	
Saturn	S			x		x	x	
Uranus	U		x			x	x	
Neptune	N		x			x	x	
Pluto	P	x				x	x	



## Example of Concept Lattice (2)

We write  $(O, A)$  as  $\frac{O}{A}$

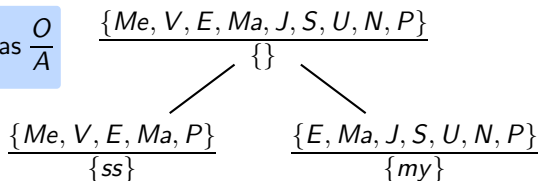
$$\frac{\{Me, V, E, Ma, J, S, U, N, P\}}{\{\}}$$





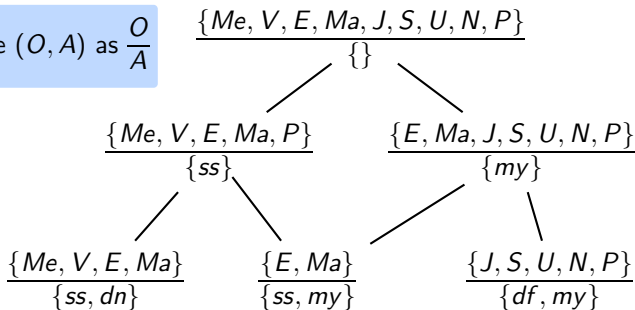
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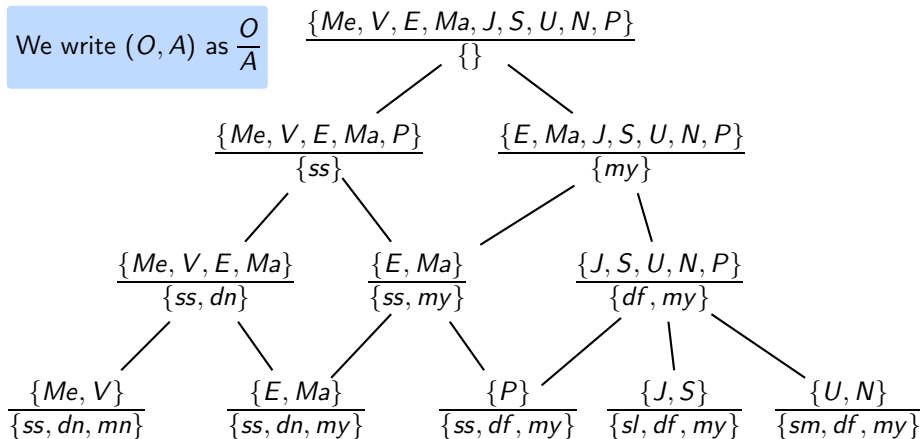
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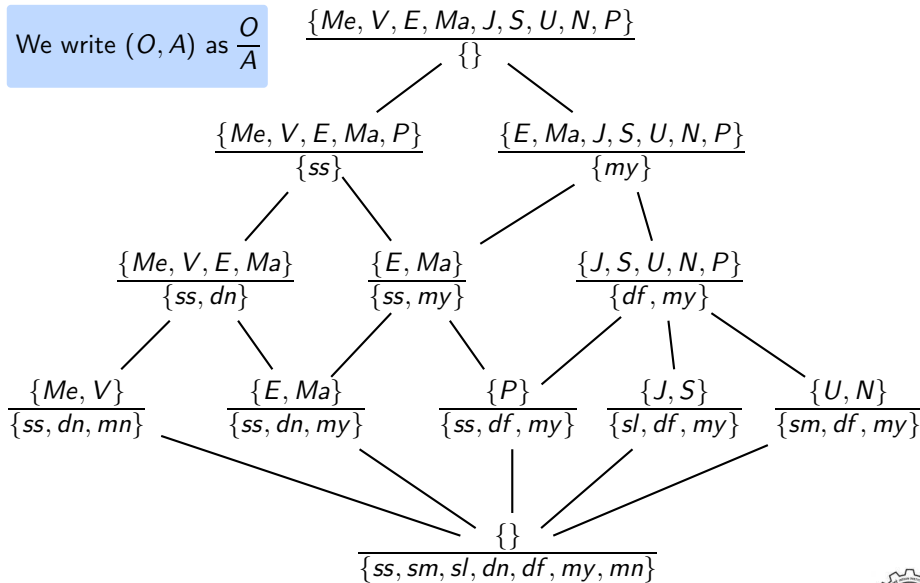
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## Variants of Product Lattices (1)

$$L \subseteq L_1 \times L_2, \quad \{(x_1, x_2), (y_1, y_2)\} \subseteq L, \quad \{x_1, y_1\} \subseteq L_1, \text{ and } \{x_2, y_2\} \subseteq L_2$$

- *Cartesian Product*

$$(x_1, x_2) \sqsubseteq (y_1, y_2) \Leftrightarrow x_1 \sqsubseteq_1 y_1 \wedge x_2 \sqsubseteq_2 y_2$$

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Example: Integer lattices with  $\sqsubseteq$  as  $\leq$  and  $\sqsupseteq$  as  $\geq$

$$(2, 10) \sqcap (5, 50) = (2, 50) \text{ and } (2, 10) \sqcup (5, 50) = (5, 10)$$

- ▶  $\sqcap$  computes the *smallest* interval *containing* both the intervals
- ▶  $\sqcup$  computes the *largest* interval *contained* in both the intervals



## Set of Mappings as a Lattice

Given a set  $A$  and a lattice  $L_1$ , the set of mappings  $L = A \rightarrow L_1$  is a lattice

Let  $X, Y \in L$ ,  $a \in A$ , and  $x, y \in L_1$

$$X \sqsubseteq Y \Leftrightarrow \forall a \in S: (a, x) \in X \wedge (a, y) \in Y \wedge x \sqsubseteq_1 y$$

$$X \sqcap Y = \{(a, x \sqcap_1 y) \mid a \in S, (a, x) \in X, (a, y) \in Y\}$$

$$X \sqcup Y = \{(a, x \sqcup_1 y) \mid a \in S, (a, x) \in X, (a, y) \in Y\}$$



*Part 5*

## *Data Flow Values: Details*



## The Set of Data Flow Values

Meet semilattices satisfying the descending chain condition



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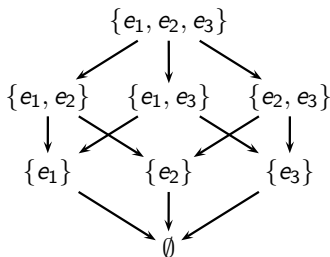
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# The Set of Data Flow Values For Available Expressions Analysis

- The powerset of the universal set of expressions
- Partial order is the subset relation

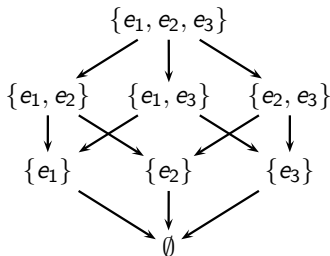


Set View of the Lattice



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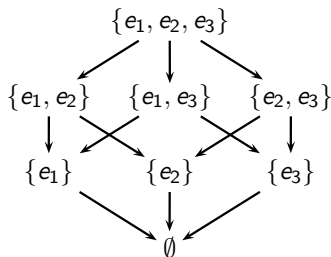
Y  
|  
⊆  
↓  
X

Set View of the Lattice



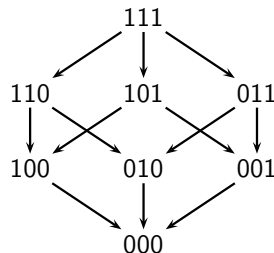
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Bit Vector View



## The Concept of Approximation

- $x$  approximates  $y$  *iff*
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- ▶ Approximating Money

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- ▶ Approximating Time

Travel time: 2 hours required can be safely approximated by 3 hours

Study time: 3 available days can be safely assumed to be only 2 days



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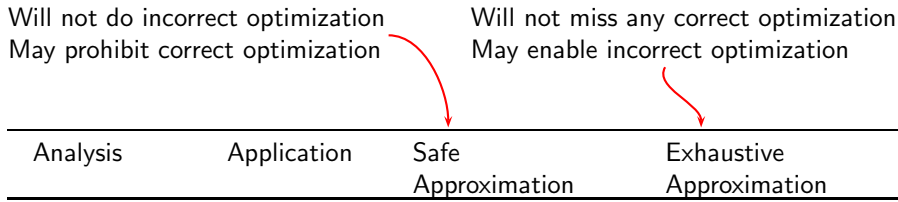
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- The intended use of data flow information ( $\equiv$  context) determines validity of approximations



## Context Determines the Validity of Approximations

Will not do incorrect optimization  
May prohibit correct optimization

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Analysis	Application	Safe Approximation	Exhaustive Approximation
----------	-------------	-----------------------	-----------------------------



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Spurious Inclusion

Spurious Exclusion



## Soundness and Precision of Live Variables Analysis

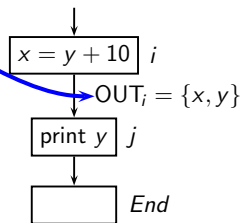
Consider dead code elimination based on liveness information



## Soundness and Precision of Live Variables Analysis

Consider dead code elimination based on liveness information

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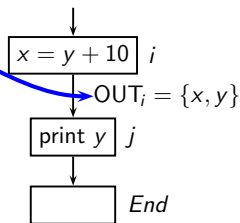




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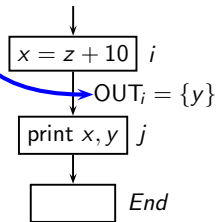
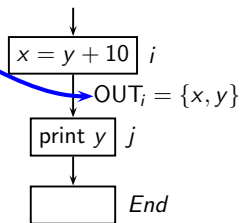
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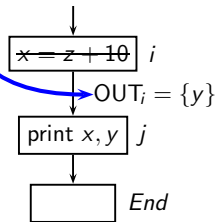
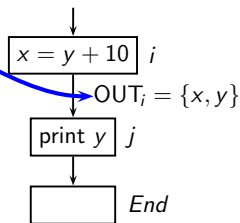
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- Spurious inclusion of a non-live variable
  - ▶ A dead assignment may not be eliminated
  - ▶ Solution is sound but may be imprecise
- Spurious exclusion of a live variable
  - ▶ A useful assignment may be eliminated
  - ▶ Solution is unsound



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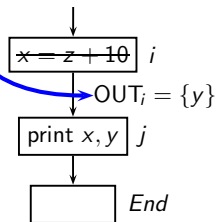
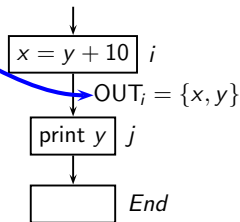
- ▶ A dead assignment may not be eliminated
- ▶ Solution is sound but may be imprecise

- Spurious exclusion of a live variable

- ▶ A useful assignment may be eliminated
- ▶ Solution is unsound

- Given  $L_2 \supseteq L_1$  representing liveness information

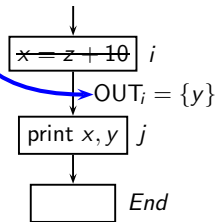
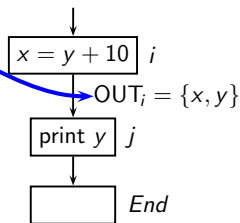
- ▶ Using  $L_2$  in place of  $L_1$  is sound
- ▶ Using  $L_1$  in place of  $L_2$  may not be sound



## Soundness and Precision of Live Variables Analysis

Consider dead code elimination based on liveness information

- Spurious inclusion of a non-live variable
  - ▶ A dead assignment may not be eliminated
  - ▶ Solution is sound but may be imprecise
- Spurious exclusion of a live variable
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- Given  $L_2 \supseteq L_1$  representing liveness information
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- The smallest set of all live variables is most precise
  - ▶ Since liveness sets grow (confluence is  $\cup$ ), we choose  $\emptyset$  as the initial conservative value



## Soundness and Precision of Available Expressions Analysis

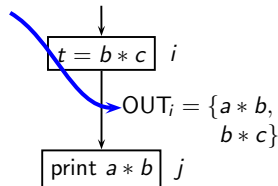
Consider common subexpression elimination based on availability information



## Soundness and Precision of Available Expressions Analysis

Consider common subexpression elimination based on availability information

- Spurious inclusion of a non-available expression  $a * b$

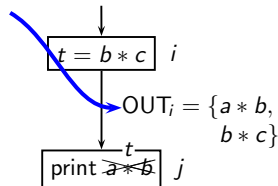


## Soundness and Precision of Available Expressions Analysis

Consider common subexpression elimination based on availability information

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- ▶ An occurrence of  $a * b$  may be eliminated
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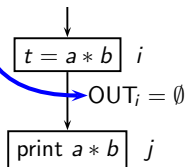
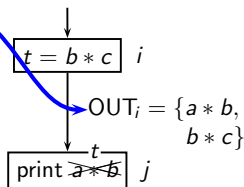
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- Spurious exclusion of an available variable



End



## Soundness and Precision of Available Expressions Analysis

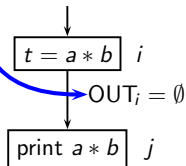
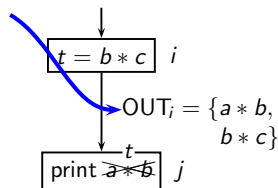
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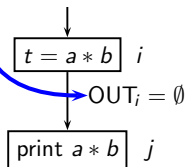
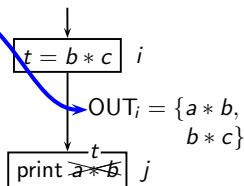
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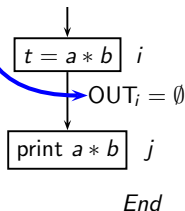
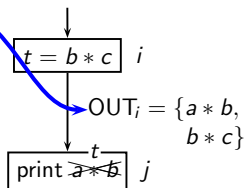
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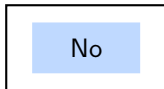
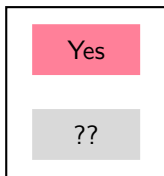


End

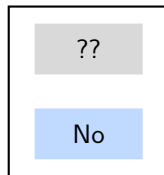
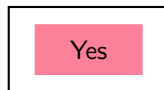


# Conservative Approximation of Uncertain Information for Soundness

Live Variables  
Analysis

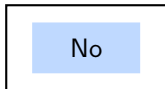
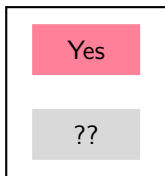


Available Expressions  
Analysis

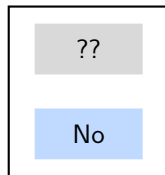
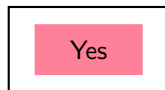


# Conservative Approximation of Uncertain Information for Soundness

Live Variables  
Analysis



Available Expressions  
Analysis

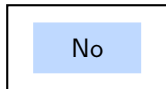
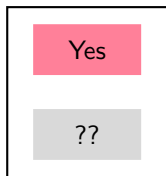


**Definite**

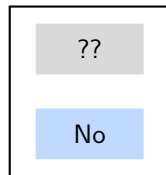
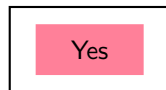


# Conservative Approximation of Uncertain Information for Soundness

Live Variables  
Analysis



Available Expressions  
Analysis



Definite

Conservative



## Partial Order Captures Approximation

- $\sqsubseteq$  captures valid approximations for **safety**

$x \sqsubseteq y \Rightarrow x$  is *weaker than*  $y$

- ▶ The data flow information represented by  $x$  can be safely used in place of the data flow information represented by  $y$
- ▶ It may be imprecise, though





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- $\sqsupseteq$  captures valid approximations for **exhaustiveness**

$x \sqsupseteq y \Rightarrow x$  is *stronger than*  $y$

- ▶ The data flow information represented by  $x$  contains every value contained in the data flow information represented by  $y$   
 $x \sqcap y$  *will not compute a value weaker than*  $y$
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*We want most exhaustive information which is also safe*



## Most Approximate Values in a Complete Lattice

- *Top.*  $\forall x \in L, x \sqsubseteq \top$  Exhaustive approximation of all values
- *Bottom.*  $\forall x \in L, \perp \sqsubseteq x$  Safe approximation of all values



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- *Bottom.*  $\forall x \in L, \perp \sqsubseteq x$  Safe approximation of all values
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  - ▶ The consequences may be *undefined* or *useless* because this replacement might miss out valid values



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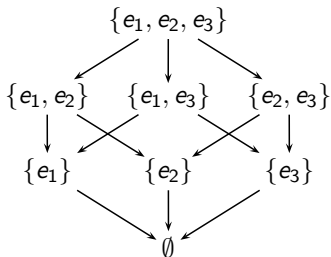
*Appropriate orientation chosen by design*





## Setting Up Lattices

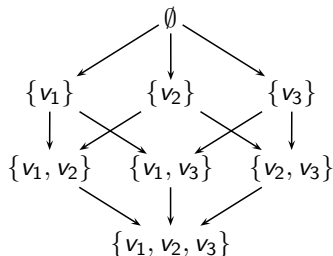
### Available Expressions Analysis



$\sqsubseteq$  is  $\subseteq$

$\sqcap$  is  $\cap$

### Live Variables Analysis



$\sqsubseteq$  is  $\supseteq$

$\sqcap$  is  $\cup$



## Partial Order Relation

Reflexive  $x \sqsubseteq x$

Transitive  $x \sqsubseteq y, y \sqsubseteq z$   
 $\Rightarrow x \sqsubseteq z$

Antisymmetric  $x \sqsubseteq y, y \sqsubseteq x$   
 $\Leftrightarrow x = y$



## Partial Order Relation

Reflexive	$x \sqsubseteq x$	$x$ can be safely used in place of $x$
Transitive	$x \sqsubseteq y, y \sqsubseteq z$ $\Rightarrow x \sqsubseteq z$	If $x$ can be safely used in place of $y$ and $y$ can be safely used in place of $z$ , then $x$ can be safely used in place of $z$
Antisymmetric	$x \sqsubseteq y, y \sqsubseteq x$ $\Leftrightarrow x = y$	If $x$ can be safely used in place of $y$ and $y$ can be safely used in place of $x$ , then $x$ must be same as $y$



## Merging Information

- $x \sqcap y$  computes the *greatest lower bound* of  $x$  and  $y$  i.e.  
largest  $z$  such that  $z \sqsubseteq x$  and  $z \sqsubseteq y$

The largest safe approximation of combining data flow information  $x$  and  $y$



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- Commutative  $x \sqcap y = y \sqcap x$

Associative  $x \sqcap (y \sqcap z) = (x \sqcap y) \sqcap z$

Idempotent  $x \sqcap x = x$



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The order in which the data flow information is merged, does not matter

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Allow n-ary merging without any restriction on the order

**Idempotent**     $x \sqcap x = x$

No loss of information if  $x$  is merged with itself



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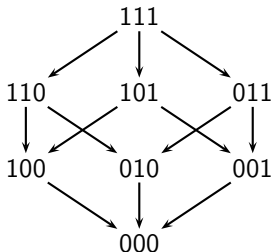
- $\top$  is the identity of  $\sqcap$

- ▶ Presence of loops  $\Rightarrow$  self dependence of data flow information
- ▶ Using  $\top$  as the initial value ensure exhaustiveness



## More on Lattices in Data Flow Analysis

$L$  = Lattice for all expressions



$\hat{L}$  = Lattice for a single expression

(Expression  $e$  is available)

1 or  $\{e\}$



0 or  $\emptyset$

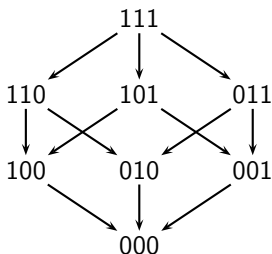
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$\hat{L}$  = Lattice for a single expression

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0 or  $\emptyset$

(Expressions  $e$  is not available)

Cartesian products if sets are used, vectors (or tuples) if bit are used

- $L = \hat{L} \times \hat{L} \times \hat{L}$  and  $x = \langle \hat{x}_1, \hat{x}_2, \hat{x}_3 \rangle \in L$  where  $\hat{x}_i \in \hat{L}$
- $\sqsubseteq = \hat{\sqsubseteq} \times \hat{\sqsubseteq} \times \hat{\sqsubseteq}$  and  $\sqcap = \hat{\sqcap} \times \hat{\sqcap} \times \hat{\sqcap}$
- $\top = \hat{\top} \times \hat{\top} \times \hat{\top}$  and  $\perp = \hat{\perp} \times \hat{\perp} \times \hat{\perp}$



## Component Lattice for Data Flow Information Represented By Bit Vectors

 $(\hat{\top})$ 

1

|

0

 $(\hat{\perp})$ 

$\sqcap$  is  $\cap$  or Boolean AND

 $(\hat{\top})$ 

0

|

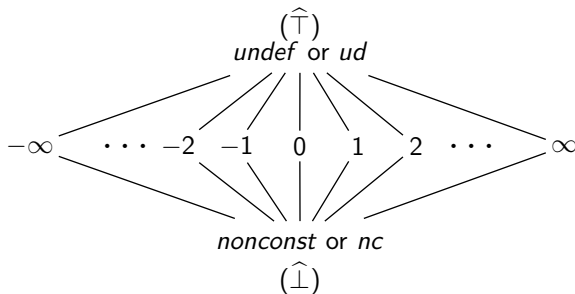
1

 $(\hat{\perp})$ 

$\sqcup$  is  $\cup$  or Boolean OR



# Component Lattice for Integer Constant Propagation



- Overall lattice  $L$  is the set of mappings from variables to  $\hat{L}$
- $\sqcap$  and  $\hat{\sqcap}$  get defined by  $\sqsubseteq$  and  $\hat{\sqsubseteq}$

$\hat{\sqcap}$	$\langle a, ud \rangle$	$\langle a, nc \rangle$	$\langle a, c_1 \rangle$
$\langle a, ud \rangle$	$\langle a, ud \rangle$	$\langle a, nc \rangle$	$\langle a, c_1 \rangle$
$\langle a, nc \rangle$	$\langle a, nc \rangle$	$\langle a, nc \rangle$	$\langle a, nc \rangle$
$\langle a, c_2 \rangle$	$\langle a, c_2 \rangle$	$\langle a, nc \rangle$	If $c_1 = c_2$ then $\langle a, c_1 \rangle$ else $\langle a, nc \rangle$



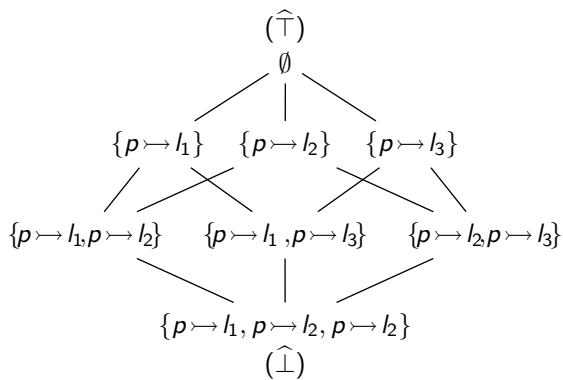
## Component Lattice for May Points-To Analysis

- Relation between pointer variables and locations in the memory



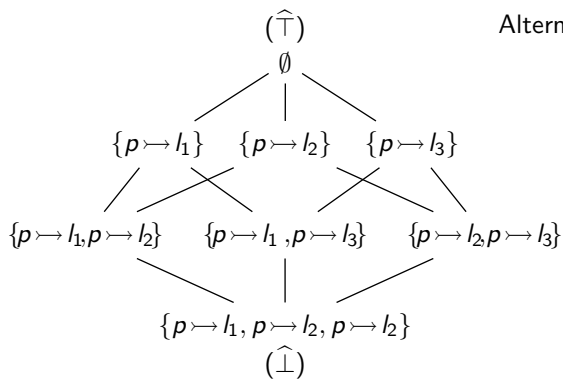
## Component Lattice for May Points-To Analysis

- Relation between pointer variables and locations in the memory
- Assuming three locations  $l_1$ ,  $l_2$ , and  $l_3$ , the component lattice for pointer  $p$  is

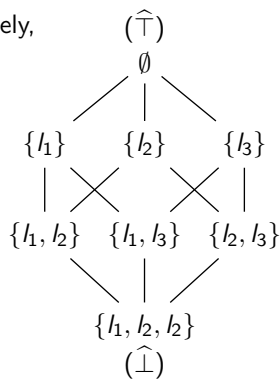


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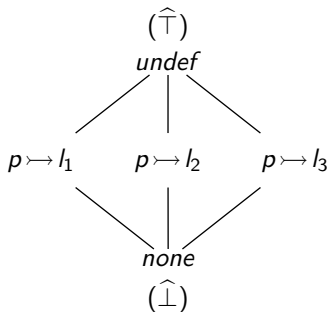


Alternatively,

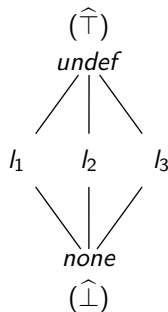


## Component Lattice for Must Points-To Analysis

- A pointer can point to at most one location

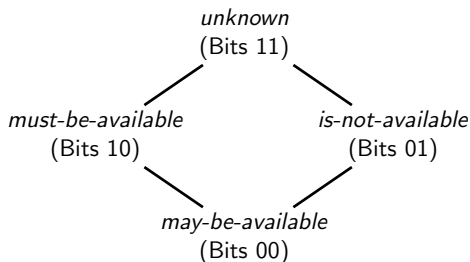


Alternatively,



## Combined Total and Partial Availability Analysis

- Two bits per expression rather than one. Can be implemented using AND (as below) or using OR (reversed lattice)



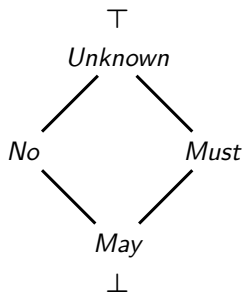
Can also be implemented as a product of 1-0 and 0-1 lattice with AND for the first bit and OR for the second bit

- What approximation of safety does this lattice capture?  
Uncertain information (= no optimization) is guaranteed to be safe





## General Lattice for May-Must Analysis



Interpreting data flow values

- *Unknown*. Nothing is known as yet
- *No*. Information does not hold along any path
- *Must*. Information must hold along all paths
- *May*. Information may hold along some path

Possible Applications

- Pointer Analysis : No need of separate of *May* and *Must* analyses  
eg.  $(p \mapsto l, \text{May})$ ,  $(p \mapsto l, \text{Must})$ ,  $(p \mapsto l, \text{No})$ , or  $(p \mapsto l, \text{Unknown})$
- Type Inferencing for Dynamically Checked Languages



*Part 6*

# *Flow Functions*

# Flow Functions: An Outline of Our Discussion

- Defining flow functions
- Properties of flow functions  
(Some properties discussed in the context of solutions of data flow analysis)



## The Set of Flow Functions

- $F$  is the set of functions  $f : L \rightarrow L$  such that
  - ▶  $F$  contains an identity function  
To model “empty” statements, i.e. statements which do not influence the data flow information
  - ▶  $F$  is closed under composition  
Cumulative effect of statements should generate data flow information from the same set
  - ▶ For every  $x \in L$ , there must be a finite set of flow functions  $\{f_1, f_2, \dots, f_m\} \subseteq F$  such that

$$x = \bigcap_{1 \leq i \leq m} f_i(BI)$$

- Properties of  $f$ 
  - ▶ Monotonicity and Distributivity
  - ▶ Loop Closure Boundedness and Separability



## Flow Functions in Bit Vector Data Flow Frameworks

- Bit Vector Frameworks: Available Expressions Analysis, Reaching Definitions Analysis Live variable Analysis, Anticipable Expressions Analysis, Partial Redundancy Elimination etc
  - ▶ All functions can be defined in terms of constant Gen and Kill

$$f(x) = \text{Gen} \cup (x - \text{Kill})$$

- ▶ Lattices are powersets with partial orders as  $\subseteq$  or  $\supseteq$  relations
- ▶ Information is merged using  $\cap$  or  $\cup$



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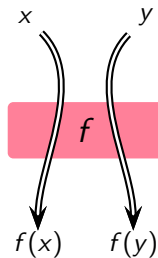
- ▶ Lattices are powersets with partial orders as  $\subseteq$  or  $\supseteq$  relations
  - ▶ Information is merged using  $\cap$  or  $\cup$
- Flow functions in Strong Liveness Analysis, Pointer Analyses, Constant Propagation, Possibly Uninitialized Variables cannot be expressed using constant Gen and Kill

Local context alone is not sufficient to describe the effect of statements fully



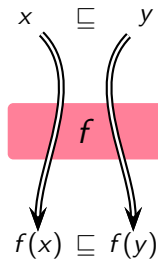
## Monotonicity of Flow Functions

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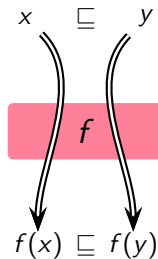




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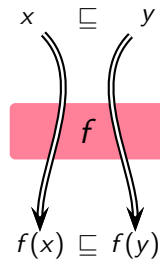
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- Alternative definition

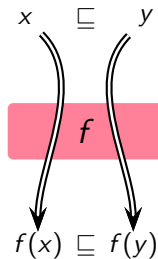
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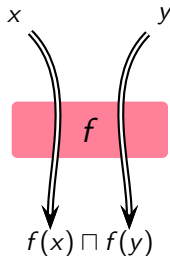
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- Merging at intermediate points in shared segments of paths is safe (However, it may lead to imprecision)



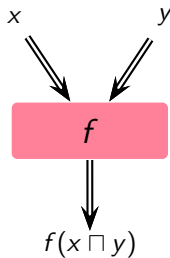
## Distributivity of Flow Functions

- Merging distributes over function application



## Distributivity of Flow Functions

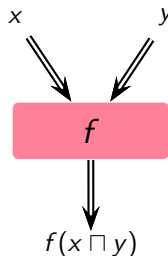
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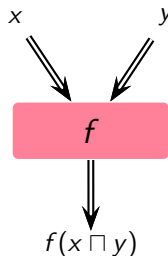
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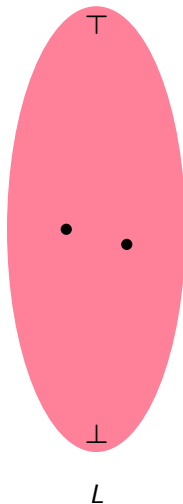
$$\forall x, y \in L, f(x \sqcap y) = f(x) \sqcap f(y)$$



- Merging at intermediate points in shared segments of paths does not lead to imprecision

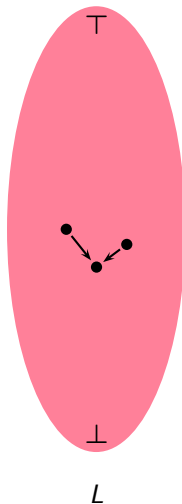


# Monotonicity and Distributivity

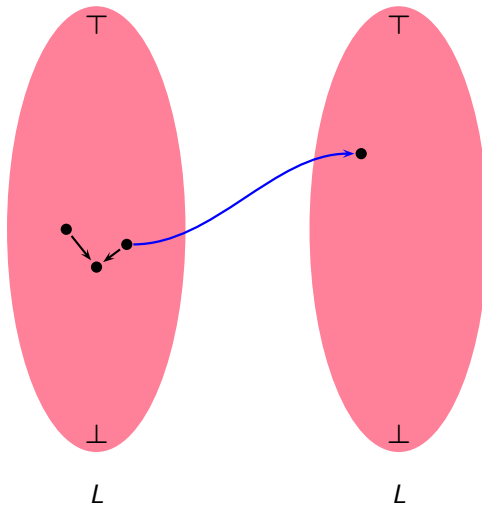




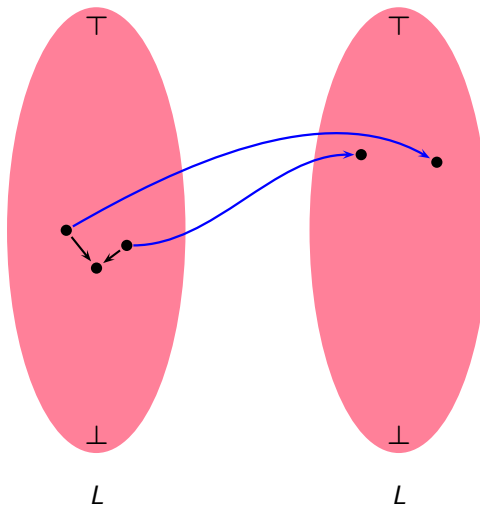
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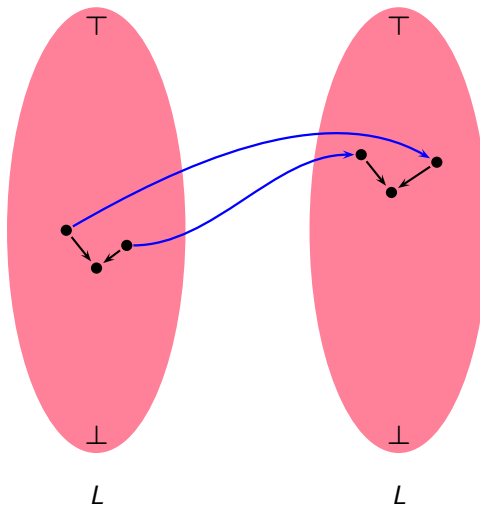
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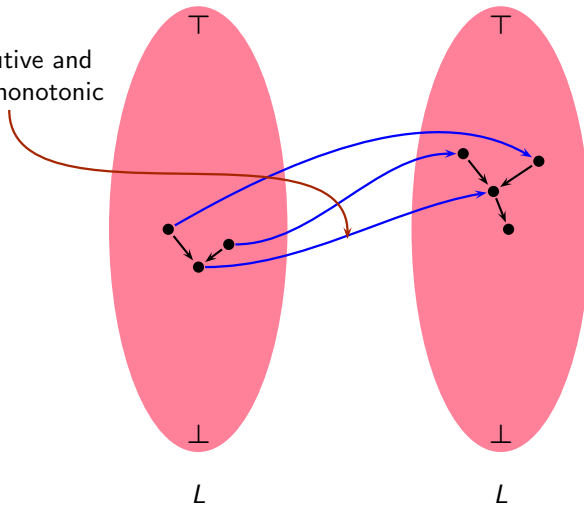


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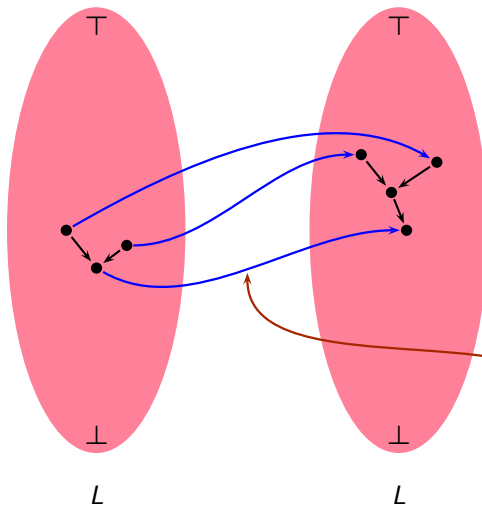


# Monotonicity and Distributivity

Distributive and  
hence monotonic



# Monotonicity and Distributivity



Monotonic but  
not distributive



## Distributivity of Bit Vector Frameworks

$$f(x) = \text{Gen} \cup (x - \text{Kill})$$

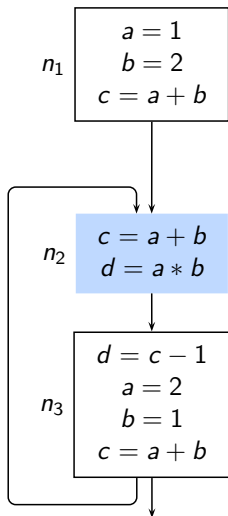
$$f(y) = \text{Gen} \cup (y - \text{Kill})$$

$$\begin{aligned} f(x \cup y) &= \text{Gen} \cup ((x \cup y) - \text{Kill}) \\ &= \text{Gen} \cup ((x - \text{Kill}) \cup (y - \text{Kill})) \\ &= (\text{Gen} \cup (x - \text{Kill}) \cup \text{Gen} \cup (y - \text{Kill})) \\ &= f(x) \cup f(y) \end{aligned}$$

$$\begin{aligned} f(x \cap y) &= \text{Gen} \cup ((x \cap y) - \text{Kill}) \\ &= \text{Gen} \cup ((x - \text{Kill}) \cap (y - \text{Kill})) \\ &= (\text{Gen} \cup (x - \text{Kill}) \cap \text{Gen} \cup (y - \text{Kill})) \\ &= f(x) \cap f(y) \end{aligned}$$



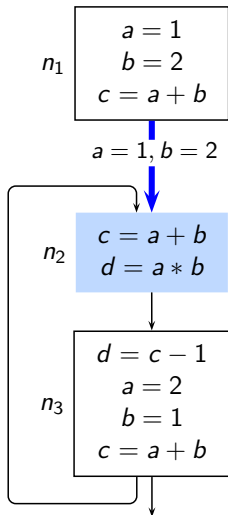
# Non-Distributivity of Constant Propagation



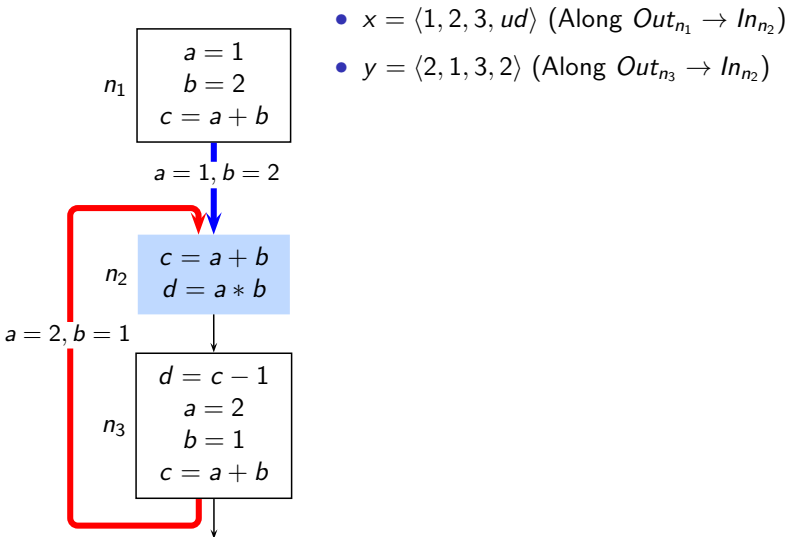


# Non-Distributivity of Constant Propagation

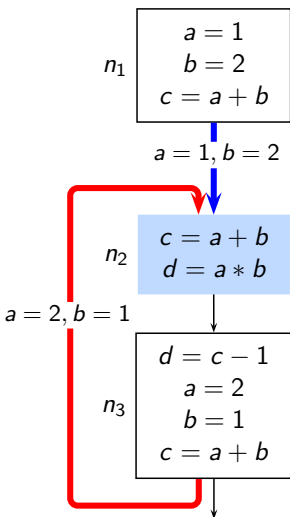
- $x = \langle 1, 2, 3, ud \rangle$  (Along  $Out_{n_1} \rightarrow In_{n_2}$ )



## Non-Distributivity of Constant Propagation



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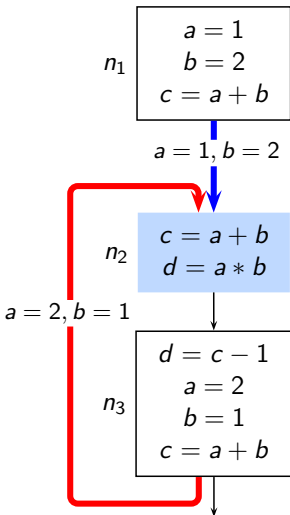


- $x = \langle 1, 2, 3, ud \rangle$  (Along  $Out_{n_1} \rightarrow In_{n_2}$ )
- $y = \langle 2, 1, 3, 2 \rangle$  (Along  $Out_{n_3} \rightarrow In_{n_2}$ )
- Function application for block  $n_2$  before merging

$$\begin{aligned} f(x) \sqcap f(y) &= f(\langle 1, 2, 3, ud \rangle) \sqcap f(\langle 2, 1, 3, 2 \rangle) \\ &= \langle 1, 2, 3, 2 \rangle \sqcap \langle 2, 1, 3, 2 \rangle \\ &= \langle \hat{\perp}, \hat{\perp}, 3, 2 \rangle \end{aligned}$$



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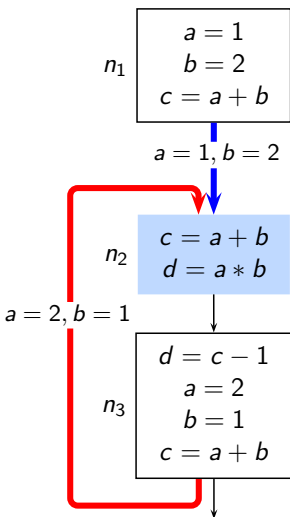
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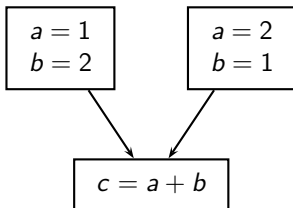
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 &= \langle \hat{\perp}, \hat{\perp}, \hat{\perp}, \hat{\perp} \rangle
 \end{aligned}$$

- $f(x \sqcap y) \sqsubset f(x) \sqcap f(y)$

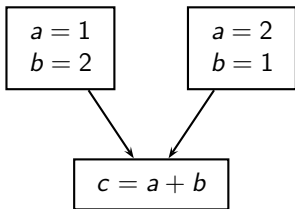


## Why is Constant Propagation Non-Distributive?

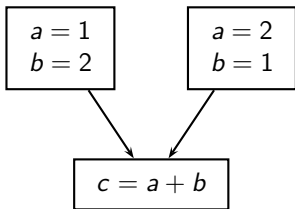


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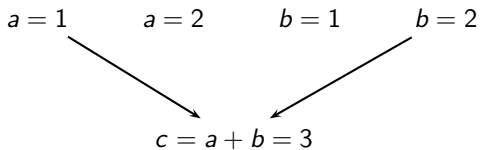
Possible combinations due to merging

 $a = 1$  $a = 2$  $b = 1$  $b = 2$ 

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Possible combinations due to merging



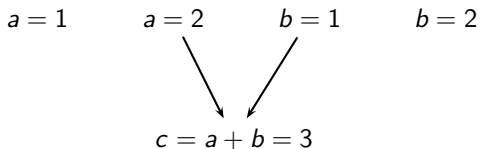
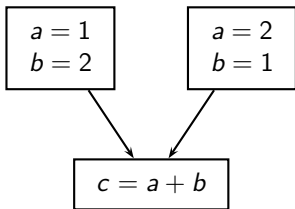
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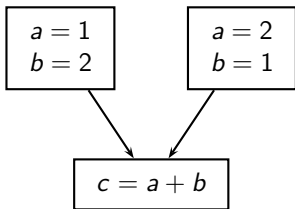
Possible combinations due to merging



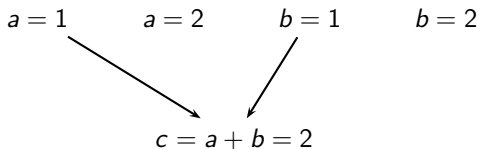
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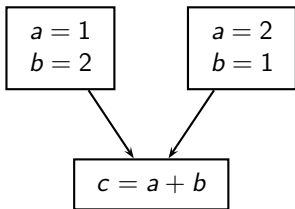
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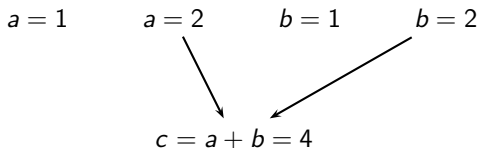
- Wrong combination
- Mutually exclusive information
- No execution path along which this information holds



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Possible combinations due to merging



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- No execution path along which this information holds



*Part 7*

*Solutions of Data Flow Analysis*

# Solutions of Data Flow Analysis: An Outline of Our Discussion

- MoP and MFP assignments and their relationship
- Existence of MoP assignment
  - ▶ Boundedness of flow functions
- Existence and Computability of MFP assignment
  - ▶ Flow functions Vs. function computed by data flow equations
- Soundness of MFP solution



## Solutions of Data Flow Analysis

- An assignment  $A$  associates data flow values with program points  
 $A \sqsubseteq B$  if for all program points  $p$ ,  $A(p) \sqsubseteq B(p)$
- Performing data flow analysis

Given

- ▶ A set of flow functions, a lattice, and merge operation
- ▶ A program flow graph with a mapping from nodes to flow functions

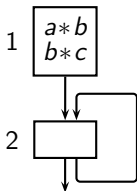
Find out

- ▶ An assignment  $A$  which is as exhaustive as possible and is safe



## An Example For Available Expressions Analysis

Program



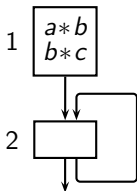
Some Assignments

	$A_0$	$A_1$	$A_2$	$A_3$	$A_4$	$A_5$	$A_6$
$In_1$	11	00	00	00	00	00	00
$Out_1$	11	11	00	11	11	11	11
$In_2$	11	11	00	00	10	01	01
$Out_2$	11	11	00	00	10	01	10



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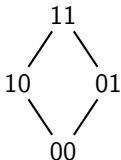
Program



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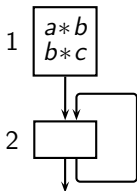
Lattice  $L$  of data flow values at a node





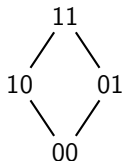
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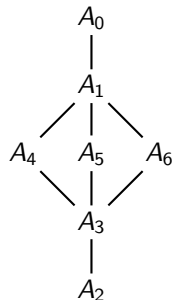


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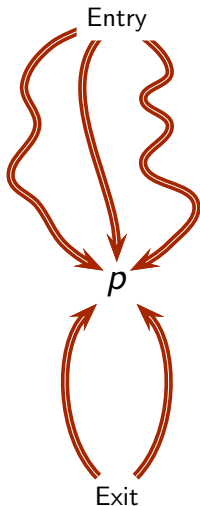
Lattice  $L$  of data flow values at a node



Lattice  $L \times L \times L \times L$   
for data flow values  
at all nodes



## Meet Over Paths (MoP) Assignment



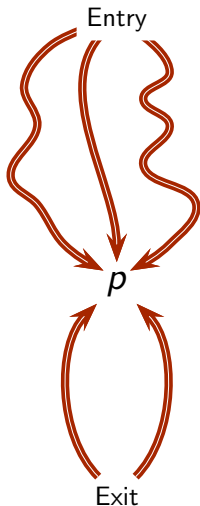
- The largest safe approximation of the information reaching a program point along all **information flow paths**

$$MoP(p) = \bigsqcap_{\rho \in Paths(p)} f_{\rho}(BI)$$

- ▶  $f_{\rho}$  represents the compositions of flow functions along  $\rho$
- ▶  $BI$  refers to the relevant information from the calling context
- ▶ All execution paths are considered potentially executable by ignoring the results of conditionals



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  - All execution paths are considered potentially executable by ignoring the results of conditionals
- Any  $Info(p) \sqsubseteq MoP(p)$  is safe



## Maximum Fixed Point (MFP) Assignment

- Difficulties in computing MoP assignment



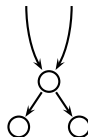
## Maximum Fixed Point (MFP) Assignment

- Difficulties in computing MoP assignment
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If all paths need to be traversed  $\Rightarrow$  Undecidability



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Path based  
specification

Edge based  
specifications



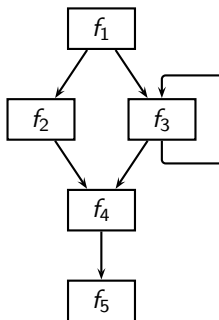


# Computing MFP Vs. Computing MoP

Expression Tree for MFP

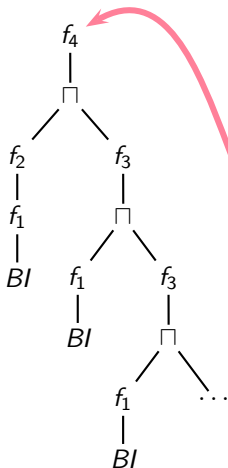
Program

Expression Tree for MoP

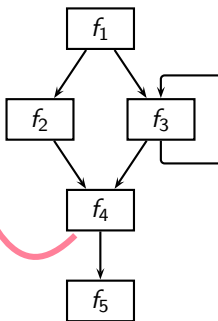


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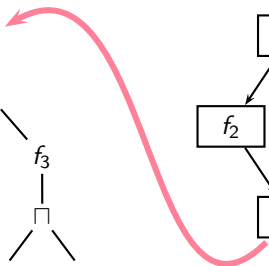
Expression Tree for MFP



Program

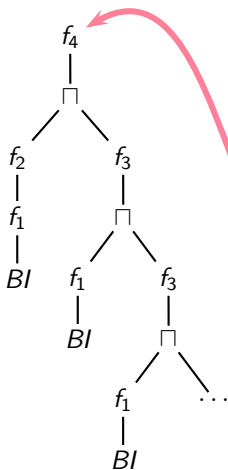


Expression Tree for MoP

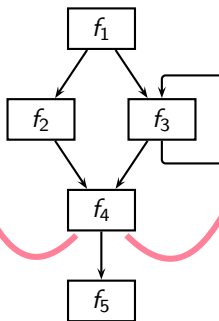


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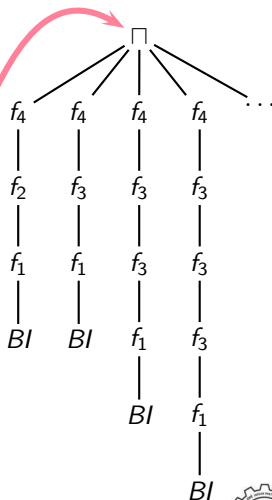
Expression Tree for MFP



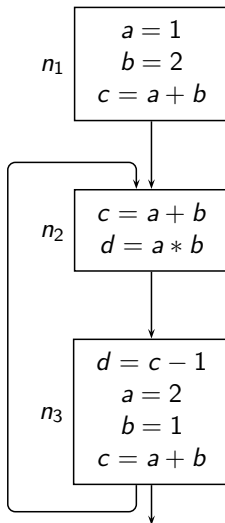
Program



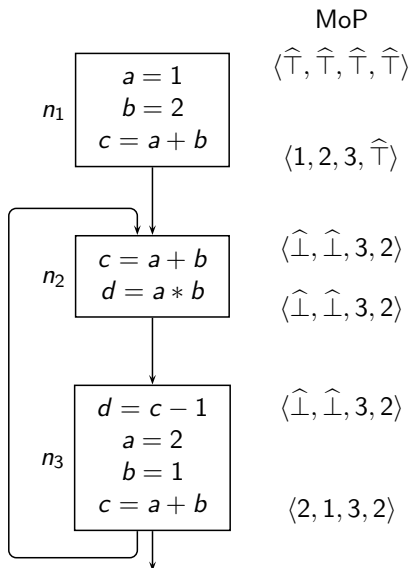
Expression Tree for MoP



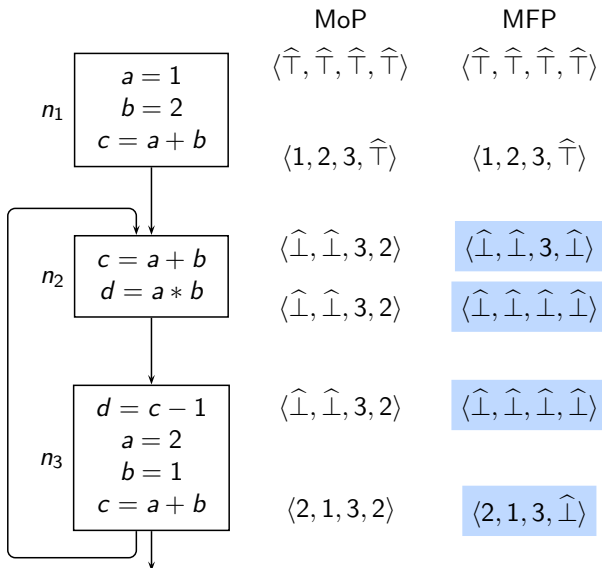
## Assignments for Constant Propagation Example



# Assignments for Constant Propagation Example

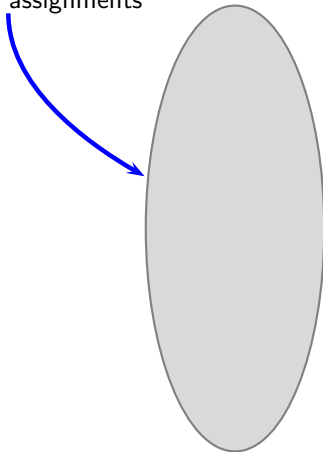


# Assignments for Constant Propagation Example

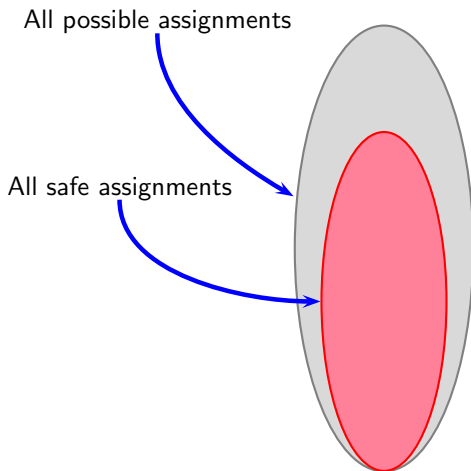


# Possible Assignments as Solutions of Data Flow Analyses

All possible assignments

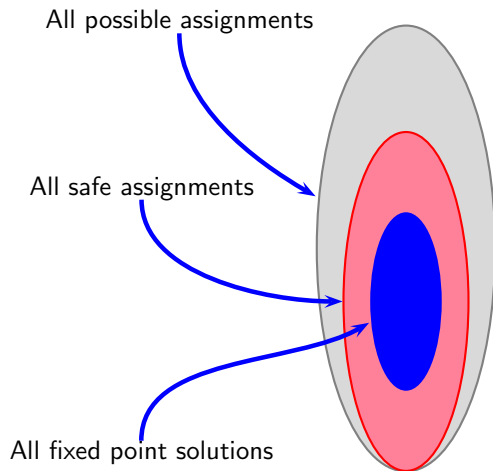


# Possible Assignments as Solutions of Data Flow Analyses

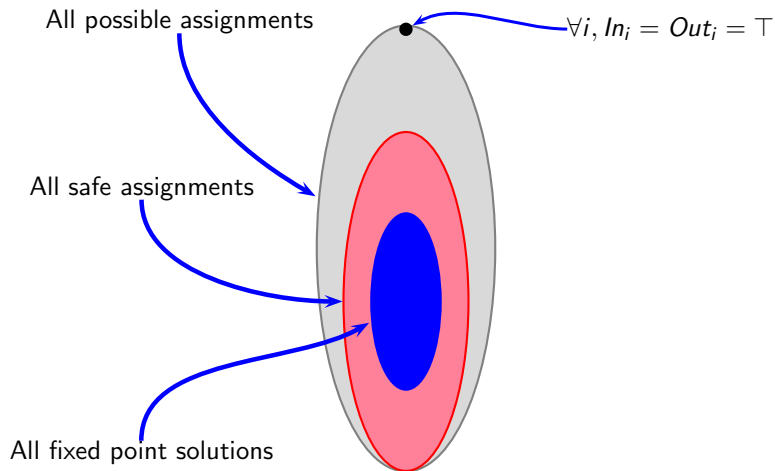




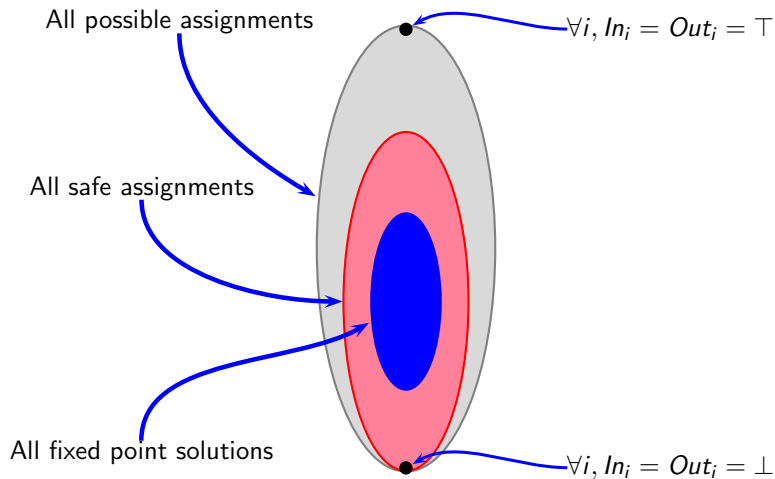
# Possible Assignments as Solutions of Data Flow Analyses



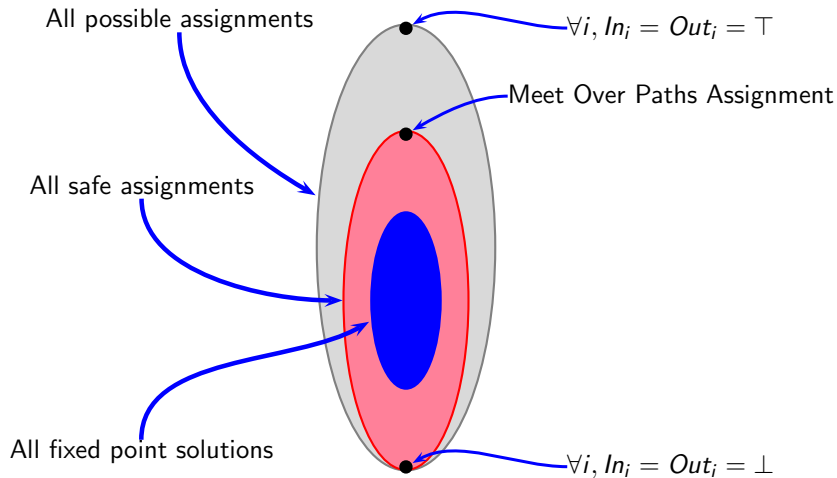
# Possible Assignments as Solutions of Data Flow Analyses



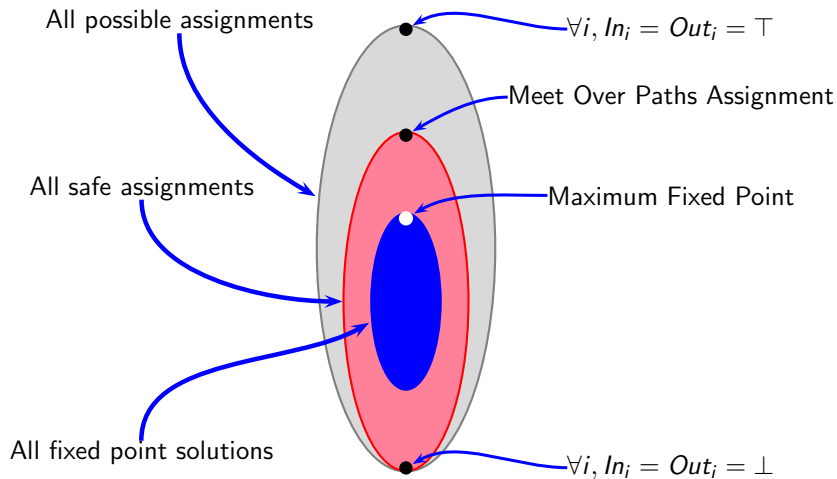
# Possible Assignments as Solutions of Data Flow Analyses



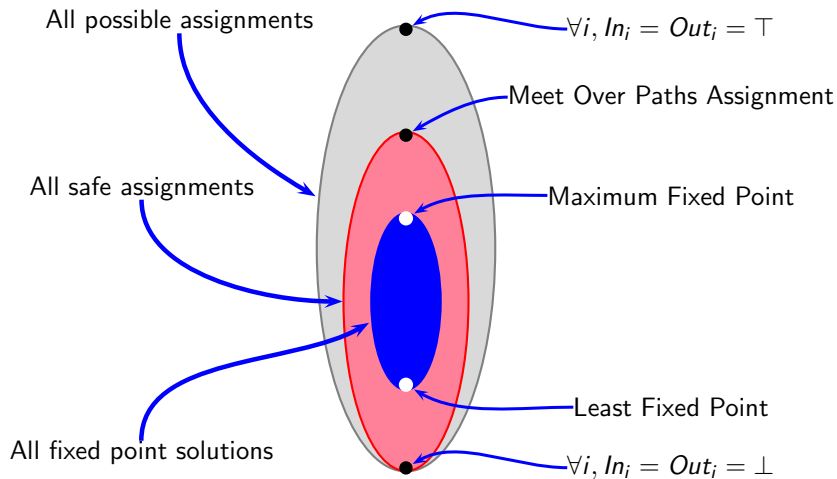
# Possible Assignments as Solutions of Data Flow Analyses



# Possible Assignments as Solutions of Data Flow Analyses

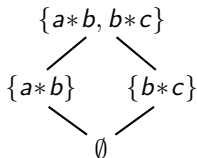


# Possible Assignments as Solutions of Data Flow Analyses



## An Instance of Available Expressions Analysis

Lattice

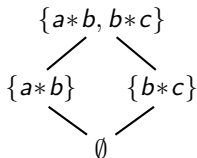


Constant Functions		Dependent Functions	
$f$	$f(x)$	$f$	$f(x)$
$f_{\top}$	$\{a*b, b*c\}$	$f_{id}$	$x$
$f_{\perp}$	$\emptyset$	$f_c$	$x \cup \{a*b\}$
$f_a$	$\{a*b\}$	$f_d$	$x \cup \{b*c\}$
$f_b$	$\{b*c\}$	$f_e$	$x - \{a*b\}$
		$f_f$	$x - \{b*c\}$



## An Instance of Available Expressions Analysis

Lattice



Constant Functions		Dependent Functions	
$f$	$f(x)$	$f$	$f(x)$
$f_{\top}$	$\{a*b, b*c\}$	$f_{id}$	$x$
$f_{\perp}$	$\emptyset$	$f_c$	$x \cup \{a*b\}$
$f_a$	$\{a*b\}$	$f_d$	$x \cup \{b*c\}$
$f_b$	$\{b*c\}$	$f_e$	$x - \{a*b\}$
		$f_f$	$x - \{b*c\}$

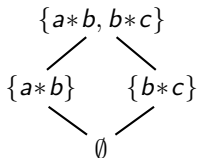
- Is the lattice a meet semilattice?





## An Instance of Available Expressions Analysis

Lattice



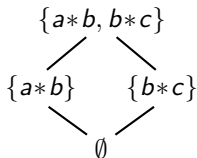
Constant Functions		Dependent Functions	
$f$	$f(x)$	$f$	$f(x)$
$f_{\top}$	$\{a*b, b*c\}$	$f_{id}$	$x$
$f_{\perp}$	$\emptyset$	$f_c$	$x \cup \{a*b\}$
$f_a$	$\{a*b\}$	$f_d$	$x \cup \{b*c\}$
$f_b$	$\{b*c\}$	$f_e$	$x - \{a*b\}$
		$f_f$	$x - \{b*c\}$

- Is the lattice a meet semilattice?
- What is the meet operation that computes glb?



## An Instance of Available Expressions Analysis

Lattice



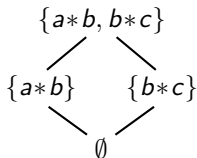
Constant Functions		Dependent Functions	
$f$	$f(x)$	$f$	$f(x)$
$f_{\top}$	$\{a*b, b*c\}$	$f_{id}$	$x$
$f_{\perp}$	$\emptyset$	$f_c$	$x \cup \{a*b\}$
$f_a$	$\{a*b\}$	$f_d$	$x \cup \{b*c\}$
$f_b$	$\{b*c\}$	$f_e$	$x - \{a*b\}$
		$f_f$	$x - \{b*c\}$

- Is the lattice a meet semilattice?
- What is the meet operation that computes glb?
- Are all strictly descending chains finite?



## An Instance of Available Expressions Analysis

Lattice



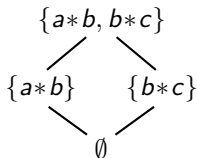
Constant Functions		Dependent Functions	
$f$	$f(x)$	$f$	$f(x)$
$f_{\top}$	$\{a*b, b*c\}$	$f_{id}$	$x$
$f_{\perp}$	$\emptyset$	$f_c$	$x \cup \{a*b\}$
$f_a$	$\{a*b\}$	$f_d$	$x \cup \{b*c\}$
$f_b$	$\{b*c\}$	$f_e$	$x - \{a*b\}$
		$f_f$	$x - \{b*c\}$

- Is the lattice a meet semilattice?
- What is the meet operation that computes glb?
- Are all strictly descending chains finite?
- Does the function space have an identity function?



## An Instance of Available Expressions Analysis

Lattice



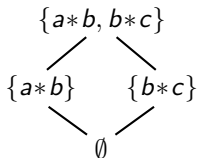
Constant Functions		Dependent Functions	
$f$	$f(x)$	$f$	$f(x)$
$f_{\top}$	$\{a*b, b*c\}$	$f_{id}$	$x$
$f_{\perp}$	$\emptyset$	$f_c$	$x \cup \{a*b\}$
$f_a$	$\{a*b\}$	$f_d$	$x \cup \{b*c\}$
$f_b$	$\{b*c\}$	$f_e$	$x - \{a*b\}$
		$f_f$	$x - \{b*c\}$

- Is the lattice a meet semilattice?
- What is the meet operation that computes glb?
- Are all strictly descending chains finite?
- Does the function space have an identity function?
- Are all values in the lattice computable from a finite merge of flow functions?



# An Instance of Available Expressions Analysis

Lattice



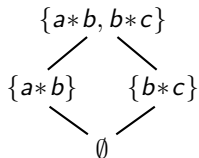
Constant Functions		Dependent Functions	
$f$	$f(x)$	$f$	$f(x)$
$f_{\top}$	$\{a*b, b*c\}$	$f_{id}$	$x$
$f_{\perp}$	$\emptyset$	$f_c$	$x \cup \{a*b\}$
$f_a$	$\{a*b\}$	$f_d$	$x \cup \{b*c\}$
$f_b$	$\{b*c\}$	$f_e$	$x - \{a*b\}$
		$f_f$	$x - \{b*c\}$

- Is the lattice a meet semilattice?
- What is the meet operation that computes glb?
- Are all strictly descending chains finite?
- Does the function space have an identity function?
- Are all values in the lattice computable from a finite merge of flow functions?
- Is the function space closed under composition?



# An Instance of Available Expressions Analysis

Lattice

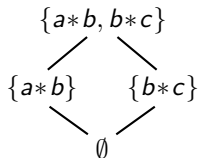


Constant Functions		Dependent Functions	
$f$	$f(x)$	$f$	$f(x)$
$f_{\top}$	$\{a*b, b*c\}$	$f_{id}$	$x$
$f_{\perp}$	$\emptyset$	$f_c$	$x \cup \{a*b\}$
$f_a$	$\{a*b\}$	$f_d$	$x \cup \{b*c\}$
$f_b$	$\{b*c\}$	$f_e$	$x - \{a*b\}$
		$f_f$	$x - \{b*c\}$



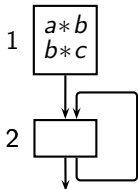
# An Instance of Available Expressions Analysis

Lattice



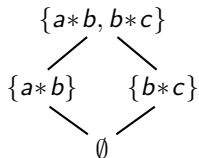
Constant Functions		Dependent Functions	
$f$	$f(x)$	$f$	$f(x)$
$f_{\top}$	$\{a*b, b*c\}$	$f_{id}$	$x$
$f_{\perp}$	$\emptyset$	$f_c$	$x \cup \{a*b\}$
$f_a$	$\{a*b\}$	$f_d$	$x \cup \{b*c\}$
$f_b$	$\{b*c\}$	$f_e$	$x - \{a*b\}$
		$f_f$	$x - \{b*c\}$

Program



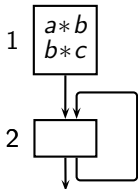
# An Instance of Available Expressions Analysis

Lattice



Constant Functions		Dependent Functions	
$f$	$f(x)$	$f$	$f(x)$
$f_{\top}$	$\{a*b, b*c\}$	$f_{id}$	$x$
$f_{\perp}$	$\emptyset$	$f_c$	$x \cup \{a*b\}$
$f_a$	$\{a*b\}$	$f_d$	$x \cup \{b*c\}$
$f_b$	$\{b*c\}$	$f_e$	$x - \{a*b\}$
		$f_f$	$x - \{b*c\}$

Program



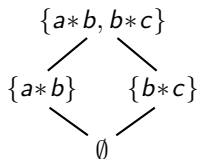
Flow Functions	
Node	Flow Function
1	$f_{\top}$
2	$f_{id}$





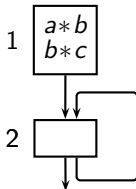
# An Instance of Available Expressions Analysis

Lattice



Constant Functions		Dependent Functions	
$f$	$f(x)$	$f$	$f(x)$
$f_{\top}$	$\{a*b, b*c\}$	$f_{id}$	$x$
$f_{\perp}$	$\emptyset$	$f_c$	$x \cup \{a*b\}$
$f_a$	$\{a*b\}$	$f_d$	$x \cup \{b*c\}$
$f_b$	$\{b*c\}$	$f_e$	$x - \{a*b\}$
		$f_f$	$x - \{b*c\}$

Program



Flow Functions	
Node	Flow Function
1	$f_{\top}$
2	$f_{id}$

Some Possible Assignments

	$A_1$	$A_2$	$A_3$	$A_4$	$A_5$	$A_6$
$In_1$	00	00	00	00	00	00
$Out_1$	11	00	11	11	11	11
$In_2$	11	00	00	10	01	01
$Out_2$	11	00	00	10	01	10



# An Instance of Available Expressions Analysis

Lattice

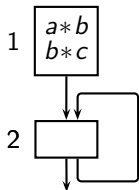
Constant Functions		Dependent Functions	
$f$	$f(x)$	$f$	$f(x)$
$f_{\perp}$	$\{a*b, b*c\}$	$f_{\perp}$	$x$
$f_{a*b}$	$\{a*b, b*c\}$	$f_{a*b}$	$x \cup \{a*b\}$
$f_{b*c}$	$\{a*b, b*c\}$	$f_{b*c}$	$x \cup \{b*c\}$
$f_{a*b, b*c}$	$\{a*b, b*c\}$	$f_{a*b, b*c}$	$x - \{a*b\}$
$f_{a*b, b*c}$	$\{a*b, b*c\}$	$f_{a*b, b*c}$	$x - \{b*c\}$

$\{a*b, b*c\}$

$\{a*b\}$

- Maximum fixed point assignment
- Initialization for round robin iterative method: 11
- Safe assignment

Program



Flow Functions	
Node	Flow Function
1	$f_{\top}$
2	$f_{id}$

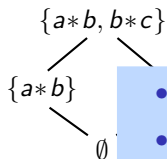
Some Possible Assignments

	$A_1$	$A_2$	$A_3$	$A_4$	$A_5$	$A_6$
$In_1$	00	00	00	00	00	00
$Out_1$	11	00	11	11	11	11
$In_2$	11	00	00	10	01	01
$Out_2$	11	00	00	10	01	10



# An Instance of Available Expressions Analysis

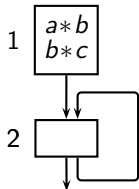
Lattice



- Not a fixed point assignment
- Safe assignment

Constant Functions		Dependent Functions	
$f$	$f(x)$	$f$	$f(x)$
$f_{\top}$	$\{a*b, b*c\}$	$f_{id}$	$x$
$f_1$	$\emptyset$	$f_c$	$x \cup \{a*b\}$
		$f_d$	$x \cup \{b*c\}$
		$f_e$	$x - \{a*b\}$
		$f_f$	$x - \{b*c\}$

Program



Flow Functions	
Node	Flow Function
1	$f_{\top}$
2	$f_{id}$

Some Possible Assignments

	$A_1$	$A_2$	$A_3$	$A_4$	$A_5$	$A_6$
$In_1$	00	00	00	00	00	00
$Out_1$	11	00	11	11	11	11
$In_2$	11	00	00	10	01	01
$Out_2$	11	00	00	10	01	10



# An Instance of Available Expressions Analysis

Lattice

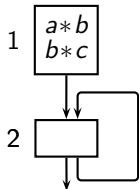
Constant Functions		Dependent Functions	
$f$	$f(x)$	$f$	$f(x)$
$f_{\perp}$	$\{a*b, b*c\}$	$f_{\perp}$	$x$
$f_{a*b}$	$\{a*b, b*c\}$	$f_{a*b}$	$x \cup \{a*b\}$
$f_{b*c}$	$\{a*b, b*c\}$	$f_{b*c}$	$x \cup \{b*c\}$
$f_{a*b, b*c}$	$\{a*b, b*c\}$	$f_{a*b, b*c}$	$x - \{a*b\}$
$f_{a*b, b*c}$	$\{a*b, b*c\}$	$f_{a*b, b*c}$	$x - \{b*c\}$

$\{a*b, b*c\}$

$\{a*b\}$

- Minimum fixed point assignment
- Initialization for round robin iterative method: 00
- Safe assignment

Program



Flow Functions	
Node	Flow Function
1	$f_{\top}$
2	$f_{id}$

Some Possible Assignments

	$A_1$	$A_2$	$A_3$	$A_4$	$A_5$	$A_6$
$In_1$	00	00	00	00	00	00
$Out_1$	11	00	11	11	11	11
$In_2$	11	00	00	10	01	01
$Out_2$	11	00	00	10	01	10



# An Instance of Available Expressions Analysis

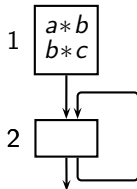
Lattice

Constant Functions		Dependent Functions	
$f$	$f(x)$	$f$	$f(x)$
			$x$
			$x \cup \{a*b\}$
			$x \cup \{b*c\}$
			$x - \{a*b\}$
			$x - \{b*c\}$

$\{a*b\}$   
 $\{a*b\}$

- Fixed point assignment which is neither maximum nor minimum
- Initialization for round robin iterative method: 10
- Safe assignment

Program



Flow Functions	
Node	Flow Function
1	$f_{\top}$
2	$f_{id}$

Some Possible Assignments

	$A_1$	$A_2$	$A_3$	$A_4$	$A_5$	$A_6$
$In_1$	00	00	00	00	00	00
$Out_1$	11	00	11	11	11	11
$In_2$	11	00	00	10	01	01
$Out_2$	11	00	00	10	01	10



# An Instance of Available Expressions Analysis

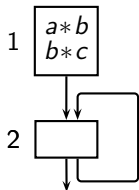
Lattice

Constant Functions		Dependent Functions	
$f$	$f(x)$	$f$	$f(x)$
			$x$
			$x \cup \{a*b\}$
			$x \cup \{b*c\}$
			$x - \{a*b\}$
			$x - \{b*c\}$

$\{a*b\}$   
 $\{a*b\}$

- Fixed point assignment which is neither maximum nor minimum
- Initialization for round robin iterative method: 01
- Safe assignment

Program



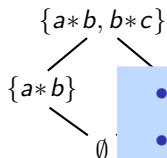
Flow Functions	
Node	Flow Function
1	$f_{\top}$
2	$f_{id}$

Some Possible Assignments						
	$A_1$	$A_2$	$A_3$	$A_4$	$A_5$	$A_6$
$In_1$	00	00	00	00	00	00
$Out_1$	11	00	11	11	11	11
$In_2$	11	00	00	10	01	01
$Out_2$	11	00	00	10	01	10



# An Instance of Available Expressions Analysis

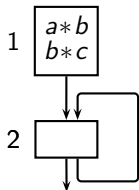
Lattice



- Not a fixed point assignment
- Safe assignment

Constant Functions		Dependent Functions	
$f$	$f(x)$	$f$	$f(x)$
$f_{\top}$	$\{a*b, b*c\}$	$f_{id}$	$x$
$f_1$	$\emptyset$	$f_c$	$x \cup \{a*b\}$
		$f_d$	$x \cup \{b*c\}$
		$f_e$	$x - \{a*b\}$
		$f_f$	$x - \{b*c\}$

Program



Flow Functions	
Node	Flow Function
1	$f_{\top}$
2	$f_{id}$

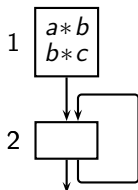
Some Possible Assignments

	$A_1$	$A_2$	$A_3$	$A_4$	$A_5$	$A_6$
$In_1$	00	00	00	00	00	00
$Out_1$	11	00	11	11	11	11
$In_2$	11	00	00	10	01	01
$Out_2$	11	00	00	10	01	10



# Lattice of Assignments for Available Expressions Analysis

Program



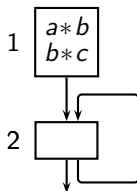
Some Assignments							
	$A_0$	$A_1$	$A_2$	$A_3$	$A_4$	$A_5$	$A_6$
$In_1$	11	00	00	00	00	00	00
$Out_1$	11	11	00	11	11	11	11
$In_2$	11	11	00	00	10	01	01
$Out_2$	11	11	00	00	10	01	10





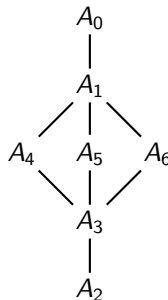
# Lattice of Assignments for Available Expressions Analysis

Program



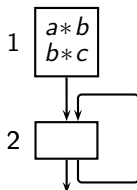
Some Assignments							
	$A_0$	$A_1$	$A_2$	$A_3$	$A_4$	$A_5$	$A_6$
$In_1$	11	00	00	00	00	00	00
$Out_1$	11	11	00	11	11	11	11
$In_2$	11	11	00	00	10	01	01
$Out_2$	11	11	00	00	10	01	10

Lattice  $L \times L \times L \times L$   
for all assignments  
(many assignments  
omitted, e.g. node 1  
could have data flow  
values 10 and 01)



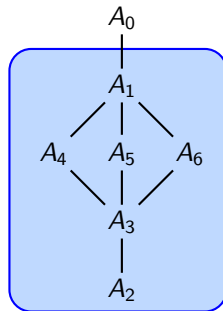
# Lattice of Assignments for Available Expressions Analysis

Program



Some Assignments							
	$A_0$	$A_1$	$A_2$	$A_3$	$A_4$	$A_5$	$A_6$
$In_1$	11	00	00	00	00	00	00
$Out_1$	11	11	00	11	11	11	11
$In_2$	11	11	00	00	10	01	01
$Out_2$	11	11	00	00	10	01	10

Lattice  $L \times L \times L \times L$   
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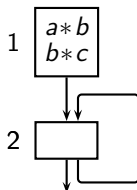


Safe assignments



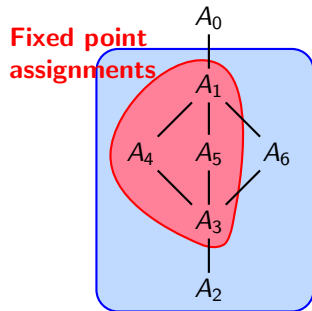
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Some Assignments							
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Lattice  $L \times L \times L \times L$   
for all assignments  
(many assignments  
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could have data flow  
values 10 and 01)



Safe assignments



## Existence of an MoP Assignment (1)

$$MoP(p) = \bigcap_{\rho \in Paths(p)} f_{\rho}(Bl)$$

- If a finite number of paths reach  $p$ , then existence of solution trivially follows
  - ▶ Function space is closed under composition
  - ▶ glb exists for all non-empty finite subsets of the lattice  
(Assuming that the data flow values form a meet semilattice)



## Existence of an MoP Assignment (2)

$$MoP(p) = \bigcap_{\rho \in Paths(p)} f_{\rho}(BI)$$

- If an infinite number of paths reach  $p$  then,

$$MoP(p) = f_{\rho_1}(BI) \sqcap f_{\rho_2}(BI) \sqcap f_{\rho_3}(BI) \sqcap \dots$$



## Existence of an MoP Assignment (2)

$$MoP(p) = \bigcap_{\rho \in Paths(p)} f_{\rho}(BI)$$

- If an infinite number of paths reach  $p$  then,

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$\underbrace{\hspace{10em}}_{X_2}$

- Every meet results in a weaker value



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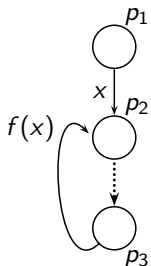
$$\underbrace{\hspace{15em}}_{X_3}$$

- Every meet results in a weaker value
- The sequence  $X_1, X_2, X_3, \dots$  follows a descending chain
- Since all strictly descending chains are finite, MoP exists  
(Assuming that our meet semilattice satisfies DCC)



## Computability of MoP

Does existence of MoP imply it is computable?



Paths reaching the entry of $p_2$	Data Flow Value
$p_1, p_2$	$x$
$p_1, p_2, p_3, p_2$	$f(x)$
$p_1, p_2, p_3, p_2, p_3, p_2$	$f(f(x)) = f^2(x)$
$p_1, p_2, p_3, p_2, p_3, p_2, p_3, p_2$	$f(f(f(x))) = f^3(x)$
...	...

$$MoP(p_2) = x \sqcap f(x) \sqcap f^2(x) \sqcap f^3(x) \sqcap f^4(x) \sqcap \dots$$



## MoP Computation is Undecidable

*There does not exist any algorithm that can compute MoP assignment for every possible instance of every possible monotone data flow framework*

- Reducing MPCP (Modified Post's Correspondence Problem) to constant propagation
- MPCP is known to be undecidable
- If an algorithm exists for detecting all constants  
⇒ MPCP would be decidable
- Since MPCP is undecidable  
⇒ There does not exist an algorithm for detecting all constants  
⇒ Static analysis is undecidable



## Post's Correspondence Problem (PCP)

- Given strings  $u_i, v_i \in \Sigma^+$  for some alphabet  $\Sigma$ , and two  $k$ -tuples,

$$U = (u_1, u_2, \dots, u_k)$$

$$V = (v_1, v_2, \dots, v_k)$$

Is there a sequence  $i_1, i_2, \dots, i_m$  of one or more integers such that

$$u_{i_1} u_{i_2} \dots u_{i_m} = v_{i_1} v_{i_2} \dots v_{i_m}$$



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- For  $U = (101, 11, 100)$  and  $V = (01, 1, 11001)$  the solution is 2, 3, 2

$$u_2 u_3 u_2 = 1110011$$

$$v_2 v_3 v_2 = 1110011$$



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$$u_2 u_3 u_2 = 1110011$$

$$v_2 v_3 v_2 = 1110011$$

- For  $U = (1, 10111, 10)$ ,  $V = (111, 10, 0)$ , the solution is 2, 1, 1, 3



## Post's Correspondence Problem (PCP)

- Given strings  $u_i, v_i \in \Sigma^+$  for some alphabet  $\Sigma$ , and two  $k$ -tuples,

$$U = (u_1, u_2, \dots, u_k)$$

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$$u_2 u_3 u_2 = 1110011$$

$$v_2 v_3 v_2 = 1110011$$

- For  $U = (1, 10111, 10)$ ,  $V = (111, 10, 0)$ , the solution is 2, 1, 1, 3
- For  $U = (01, 110)$ ,  $V = (00, 11)$ , there is no solution



## Post's Correspondence Problem (PCP)

- Given strings  $u_i, v_i \in \Sigma^+$  for some alphabet  $\Sigma$ , and two  $k$ -tuples,

$$U = (u_1, u_2, \dots, u_k)$$

$$V = (v_1, v_2, \dots, v_k)$$

Is there a sequence  $i_1, i_2, \dots, i_m$  of one or more integers such that

$$u_{i_1} u_{i_2} \dots u_{i_m} = v_{i_1} v_{i_2} \dots v_{i_m}$$

- Sets  $U$  and  $V$  are finite and contain the same number of strings
- The strings in  $U$  and  $V$  are finite and are of varying lengths
- For constructing the new strings using the strings in  $U$  and  $V$ 
  - ▶ The strings at the same the index of must be used
  - ▶ There is no limit on the length of the new string

**Indices could repeat without any bound**





## Modified Post's Correspondence Problem (MPCP)

- The first string in the correspondence relation should be the first string from the  $k$ -tuple

$$u_1 u_{i_1} u_{i_2} \dots u_{i_m} = v_1 v_{i_1} v_{i_2} \dots v_{i_m}$$



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- The first string in the correspondence relation should be the first string from the  $k$ -tuple

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- For  $U = (11, 1, 0111, 10)$ ,  $V = (1, 111, 10, 0)$ , the solution is 3, 2, 2, 4

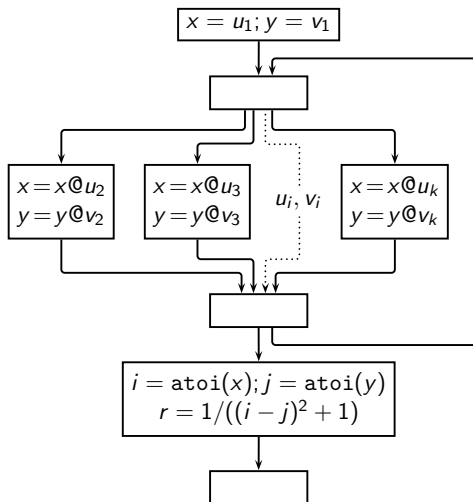
$$u_1 u_3 u_2 u_2 u_4 = 1101111110$$

$$v_1 v_3 v_2 v_2 v_4 = 1101111110$$



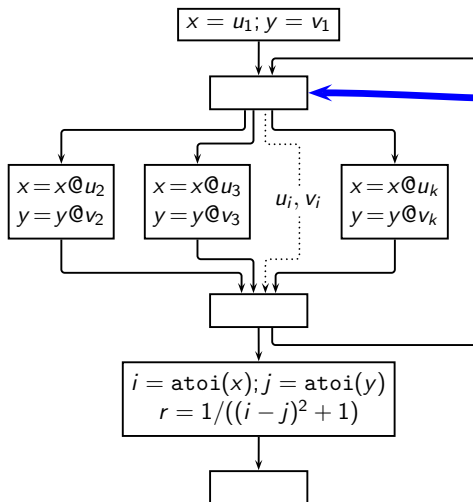
## Hecht's Reduction of MPCP to Constant Propagation

Given: An instance of MPCP with  $\Sigma = \{0, 1\}$



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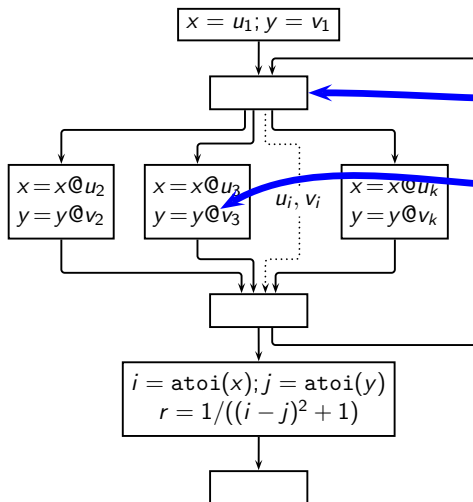
Each block in the loop corresponds to a particular index

Random branching for random selection of index



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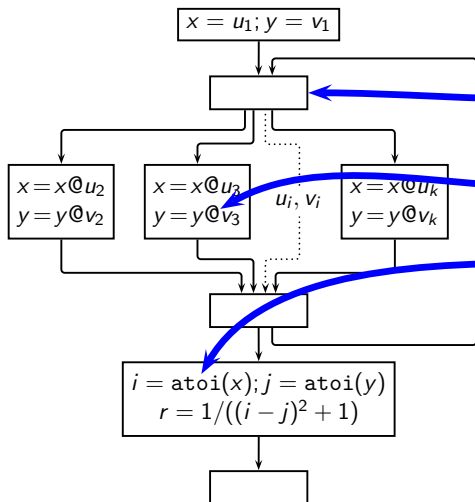
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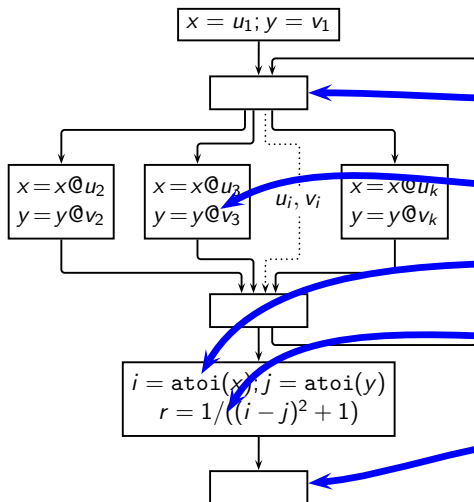
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String to integer conversion



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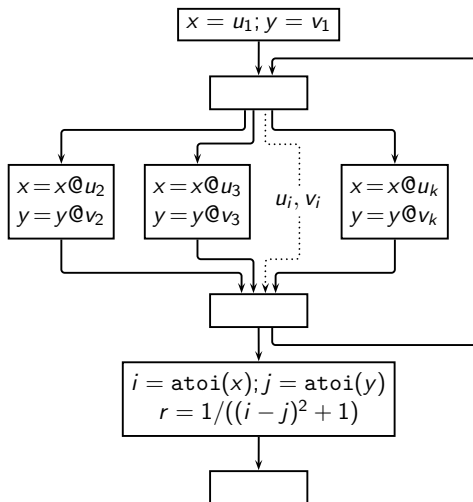
Integer division

MoP computation. No merge at intermediate points. Merge only at the point of interest



## Hecht's Reduction of MPCP to Constant Propagation

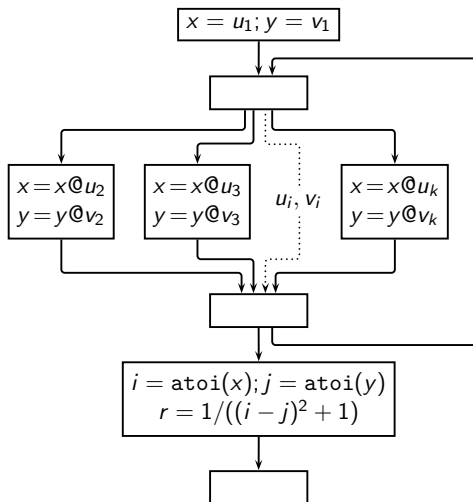
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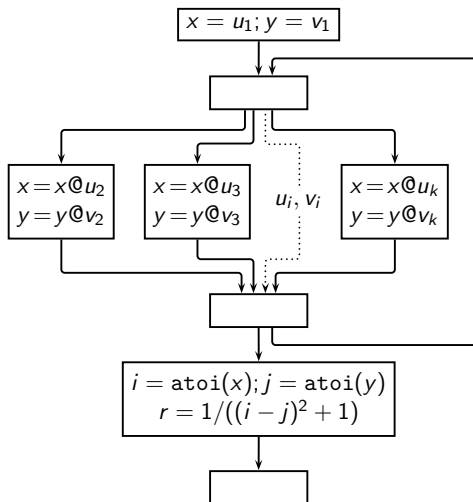


- $i = j \Rightarrow r = 1$   
 $i \neq j \Rightarrow r = 0$



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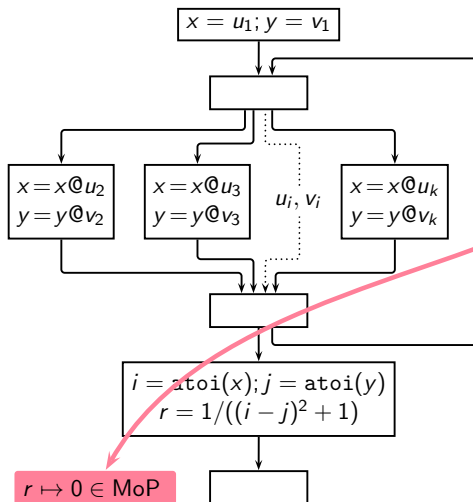


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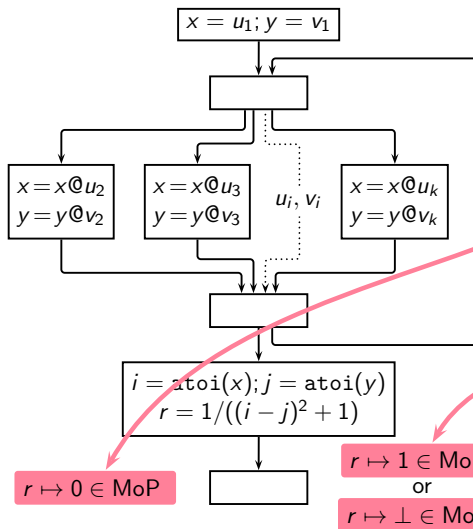
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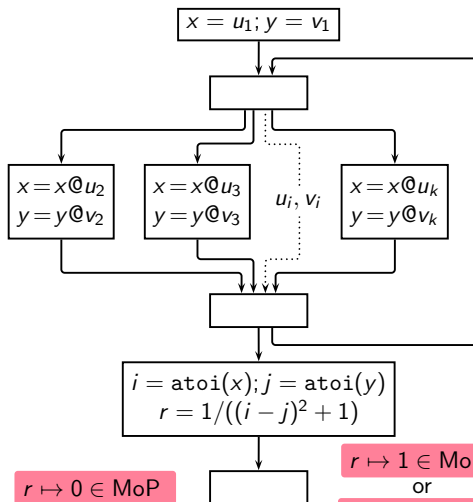
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**Then** MPCP is decidable



# Hecht's Reduction of MPCP to Constant Propagation

Given: An instance of MPCP with  $\Sigma = \{0, 1\}$



The tricky part!!

- $i = j \Rightarrow r = 1$   
 $i \neq j \Rightarrow r = 0$
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  - {  $r = 0$  along every path (x is never equal to y, MPCP instance does not have a solution)
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## Hecht's Reduction of MPCP to Constant Propagation

Given: An instance of MPCP with  $\Sigma = \{0, 1\}$

- Asserting that no  $x$  is equal to  $y$  requires us to examine infinitely many  $(x, y)$  pairs
- If we keep finding  $x$  and  $y$  that are unequal, how long do we wait to decide that there is no  $x$  that is equal to  $y$ ?
- In a lucky case we may find an  $x$  that is equal to  $y$ , but there is no guarantee

The tricky part!!

$$i = j \Rightarrow r = 1$$

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If there exists an algorithm which can determine that

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*MPCP is not decidable*

*$\Rightarrow$  Constant Propagation is not decidable*

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*MPCP is not decidable*

$\Rightarrow$  *Constant Propagation is not decidable*

- Descending chains consist of sets of pairs  $(x, y)$  with  $\top$  as  $\emptyset$

Since there is no bound on the length of  $x$  and  $y$ , the number of these sets is infinite

$\Rightarrow$  DCC is violated

The tricky part!!

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**Then** MPCP is decidable





## Is MFP Always Computable?

MFP assignment may not be computable

- if the flow functions are non-monotonic, or
- if some strictly descending chain is not finite



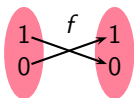
## Computability of MFP

- If  $f$  is not monotonic, the computation may not converge



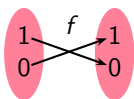
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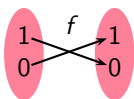


$x$	$f(x)$	$f^2(x)$	$f^3(x)$	$f^4(x)$	$\dots$
1	0	1	0	1	$\dots$



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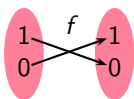
$x$	$f(x)$	$f^2(x)$	$f^3(x)$	$f^4(x)$	...
1	0	1	0	1	...

$$MoP = x \sqcap f(x) \sqcap f^2(x) \sqcap f^3(x) \sqcap \dots = 0$$



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- If  $f$  is not monotonic, the computation may not converge



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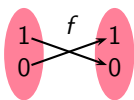
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- Computing MFP iteratively



## Computability of MFP

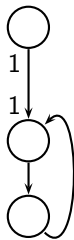
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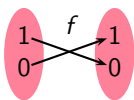
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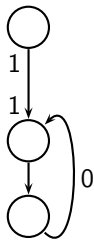
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$x$	$f(x)$	$f^2(x)$	$f^3(x)$	$f^4(x)$	...
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$$MoP = x \sqcap f(x) \sqcap f^2(x) \sqcap f^3(x) \sqcap \dots = 0$$

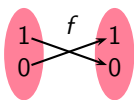
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## Computability of MFP

- If  $f$  is not monotonic, the computation may not converge



$x$	$f(x)$	$f^2(x)$	$f^3(x)$	$f^4(x)$	$\dots$
1	0	1	0	1	$\dots$

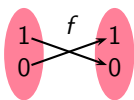
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## Computability of MFP

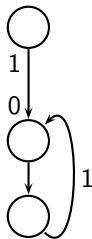
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$x$	$f(x)$	$f^2(x)$	$f^3(x)$	$f^4(x)$	...
1	0	1	0	1	...

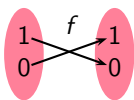
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## Computability of MFP

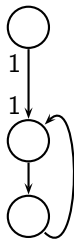
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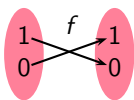
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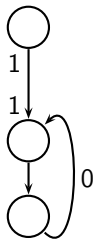
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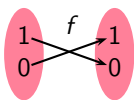
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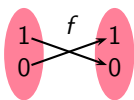
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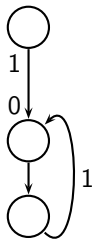
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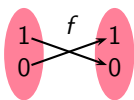


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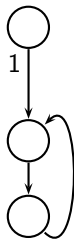
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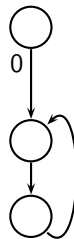
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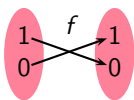


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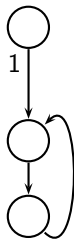
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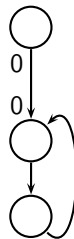
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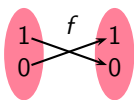
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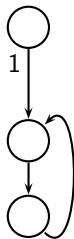
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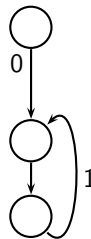
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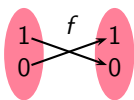


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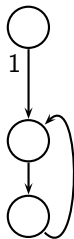
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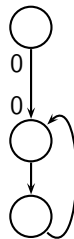
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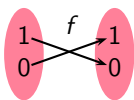


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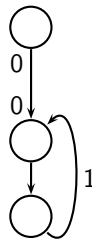
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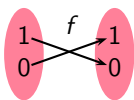


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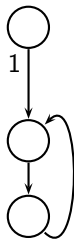
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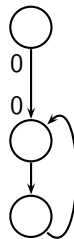
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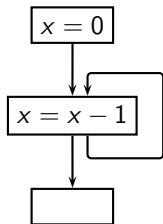
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MFP exist  
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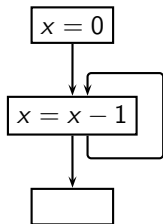


Point of  
interest

$\sqsubseteq$	$\leq$	$\leq$
$\sqcap$	min	min
Hasse diagram	0	0
	-1	-1
	-2	-2
	-3	-3
	...	...
	$-\infty$	$-\infty$
MFP exists?		
MFP computable?		
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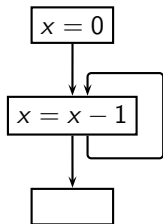
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MoP exists?	No	



## Computability of MFP

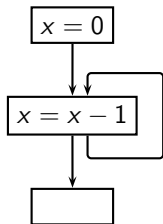


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## Computability of MFP



- Flow functions are monotonic
- Strictly descending chains are not finite

$\sqsubseteq$	$\leq$	$\leq$
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	0   -1	0   -1
	...	...   $-\infty$
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MoP exists?	No	Yes





## Existence and Computation of the Maximum Fixed Point

If  $L$  is a meet semilattice satisfying DCC,  $f : L \rightarrow L$  is monotonic, then  $MFP(f) = f^{k+1}(\top) = f^k(\top)$  such that  $f^{j+1}(\top) \neq f^j(\top)$ ,  $j < k$



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Claims being made:

- $\exists k$  s.t.  $f^{k+1}(\top) = f^k(\top)$
- Since  $k$  is finite,  $f^k(\top)$  exists and is computable
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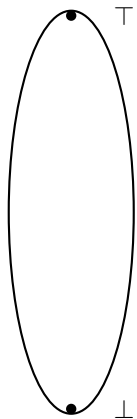
The proof depends on:

- The existence of glb for every pair of values in  $L$
- Finiteness of strictly descending chains
- Monotonicity of  $f$



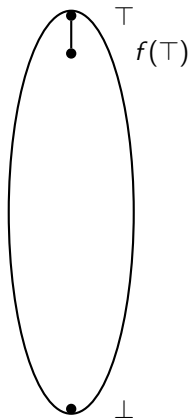
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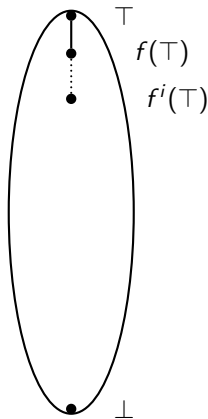
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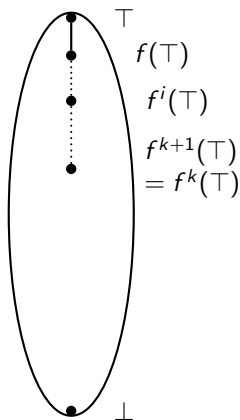
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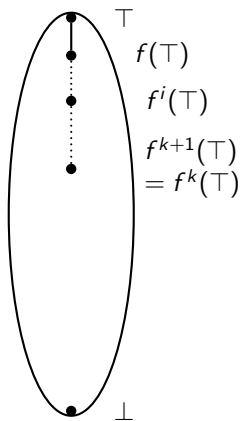
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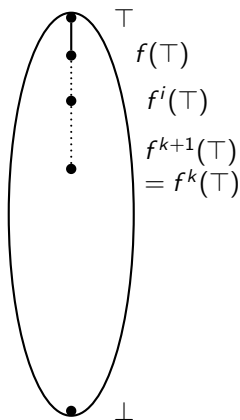
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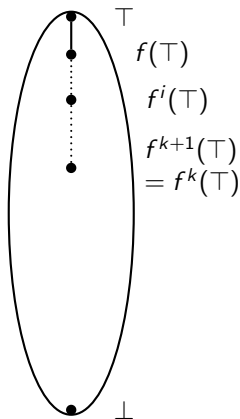
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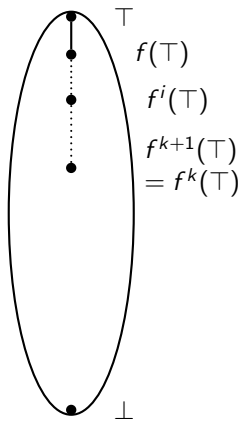
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- Since this holds for every  $p$  that is a fixed point,  $f^{k+1}(\top)$  must be the Maximum Fixed Point



# Fixed Points Computation: Flow Functions Vs. Equations

- Recall that

$$MFP(f) = f^{k+1}(\top) = f^k(\top) \text{ such that } f^{j+1}(\top) \neq f^j(\top), j < k.$$



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- What is the relation between the maximum fixed point of data flow equations and the MFP defined above?





## Fixed Points Computation: Flow Functions Vs. Equations

- Data flow equations for a CFG with  $N$  nodes can be written as

$$\begin{aligned}In_1 &= BI \\ Out_1 &= f_1(In_1) \\ In_2 &= Out_1 \sqcap \dots \\ Out_2 &= f_2(In_2) \\ &\dots \\ In_N &= Out_{N-1} \sqcap \dots \\ Out_N &= f_N(In_N)\end{aligned}$$



# Fixed Points Computation: Flow Functions Vs. Equations

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$$\begin{aligned}In_1 &= f_{In_1}(\langle In_1, Out_1, \dots, In_N, Out_N \rangle) \\ Out_1 &= f_{Out_1}(\langle In_1, Out_1, \dots, In_N, Out_N \rangle) \\ In_2 &= f_{In_2}(\langle In_1, Out_1, \dots, In_N, Out_N \rangle) \\ Out_2 &= f_{Out_2}(\langle In_1, Out_1, \dots, In_N, Out_N \rangle) \\ &\dots \\ In_N &= f_{In_N}(\langle In_1, Out_1, \dots, In_N, Out_N \rangle) \\ Out_N &= f_{Out_N}(\langle In_1, Out_1, \dots, In_N, Out_N \rangle)\end{aligned}$$

where each flow function is of the form  $L \times L \times \dots \times L \rightarrow L$



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$$\langle In_1, Out_1, \dots, In_N, Out_N \rangle = \langle \begin{array}{l} f_{In_1}(\langle In_1, Out_1, \dots, In_N, Out_N \rangle), \\ f_{Out_1}(\langle In_1, Out_1, \dots, In_N, Out_N \rangle), \\ \dots \\ f_{In_N}(\langle In_1, Out_1, \dots, In_N, Out_N \rangle), \\ f_{Out_N}(\langle In_1, Out_1, \dots, In_N, Out_N \rangle), \end{array} \rangle$$

where each flow function is of the form  $L \times L \times \dots \times L \rightarrow L$



## Fixed Points Computation: Flow Functions Vs. Equations

- Data flow equations for a CFG with  $N$  nodes can be written as

$$\mathcal{X} = \langle \begin{array}{l} f_{In_1}(\mathcal{X}), \\ f_{Out_1}(\mathcal{X}), \\ \dots \\ f_{In_N}(\mathcal{X}), \\ f_{Out_N}(\mathcal{X}), \end{array} \rangle$$

where  $\mathcal{X} = \langle In_1, Out_1, \dots, In_N, Out_N \rangle$



## Fixed Points Computation: Flow Functions Vs. Equations

- Data flow equations for a CFG with  $N$  nodes can be written as

$$\mathcal{X} = \mathcal{F}(\mathcal{X})$$

where

$$\begin{aligned}\mathcal{X} &= \langle In_1, Out_1, \dots, In_N, Out_N \rangle \\ \mathcal{F}(\mathcal{X}) &= \langle f_{In_1}(\mathcal{X}), f_{Out_1}(\mathcal{X}), \dots, f_{In_N}(\mathcal{X}), f_{Out_N}(\mathcal{X}) \rangle\end{aligned}$$



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We compute the fixed points of function  $\mathcal{F}$  defined above



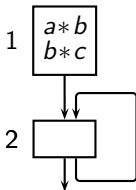
## An Instance of Available Expressions Analysis

- Conventional data flow equations

$$In_1 = 00$$

$$Out_1 = 11$$

Program



## An Instance of Available Expressions Analysis

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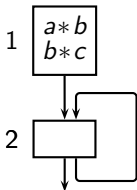
$$In_1 = 00$$

$$Out_1 = 11$$

$$In_2 = Out_1 \cap Out_2$$

$$Out_2 = In_2$$

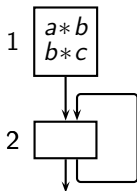
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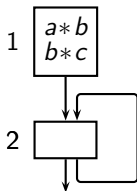
- Data Flow Equation  $\mathcal{X} = \mathcal{F}(\mathcal{X})$  is

$$\mathcal{F}(\langle In_1, Out_1, In_2, Out_2 \rangle) = \langle 00, 11, Out_1 \cap Out_2, In_2 \rangle$$



## An Instance of Available Expressions Analysis

Program



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$$Out_1 = 11$$

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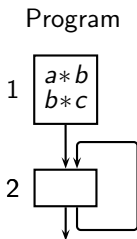
$$\mathcal{F}(\langle In_1, Out_1, In_2, Out_2 \rangle) = \langle 00, 11, Out_1 \cap Out_2, In_2 \rangle$$

- The maximum fixed point assignment is

$$\mathcal{F}(\langle 11, 11, 11, 11 \rangle) = \langle 00, 11, 11, 11 \rangle$$



## An Instance of Available Expressions Analysis



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$$\mathcal{F}(\langle 11, 11, 11, 11 \rangle) = \langle 00, 11, 11, 11 \rangle$$

- The minimum fixed point assignment is

$$\mathcal{F}(\langle 00, 00, 00, 00 \rangle) = \langle 00, 11, 00, 00 \rangle$$



## The Essential Difference Between MFP and MoP Values

- For all edges  $u \rightarrow v$ ,  $FP(v) \sqsubseteq f_{u \rightarrow v}(FP(u))$

because  $FP(v) = \bigsqcap_{u \in pred(v)} f_{u \rightarrow v}(FP(u))$



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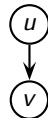
- Such a relationship does not exist for MoP  
because  $MoP(v)$  is not computed from  $MoP(u)$



# The Essential Difference Between MFP and MoP Values

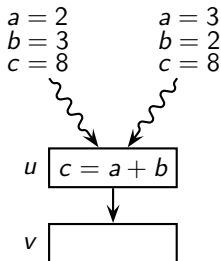
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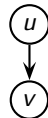
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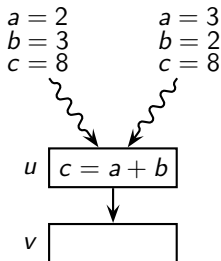
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$$\text{because } FP(v) = \bigcap_{u \in \text{pred}(v)} f_{u \rightarrow v}(FP(u))$$



- Such a relationship does not exist for MoP

because  $MoP(v)$  is not computed from  $MoP(u)$



$$\begin{aligned} MoP(u) &= \langle \perp, \perp, 8 \rangle \\ f_{u \rightarrow v}(MoP(u)) &= \langle \perp, \perp, \perp \rangle \\ MoP(v) &= \langle \perp, \perp, 5 \rangle \end{aligned}$$

$$\begin{aligned} FP(u) &= \langle \perp, \perp, 8 \rangle \\ f_{u \rightarrow v}(FP(u)) &= \langle \perp, \perp, \perp \rangle \\ FP(v) &= \langle \perp, \perp, \perp \rangle \end{aligned}$$



## The Essential Difference Between MFP and MoP Computation (1)

When we have a meet semilattice with DCC and monotonic flow functions

$$MoP(v) = \bigcap_{\rho_v \in Paths(v)} f_{\rho_v}(BI) = f_{\rho^0}(BI) \sqcap f_{\rho^1}(BI) \sqcap \dots f_{\rho^i}(BI) \sqcap \dots$$

$$MFP(v) = \bigcap_{u \in pred(v)} f_{u \rightarrow v}(MFP(u))$$

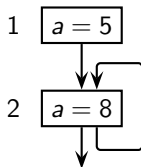
- $Mop(v)$  exists (because of DCC)
- $MoP(v)$  needs to iterate over all paths reaching  $v$ . For termination,
  - ▶ the meet across all paths upto some  $\rho_i$  should result in  $\perp$  value, or
  - ▶ all paths reaching  $v$  should be exhausted
- $MFP(v)$  needs to iterate over the entire CFG repeatedly
  - ▶ In each iteration over the graph, it needs to iterate over all predecessors
  - ▶ Termination depends on finding two successively value that are same  
Guaranteed by DCC and monotonicity





## The Essential Difference Between MFP and MoP Computation (2)

Consider a constant propagation example

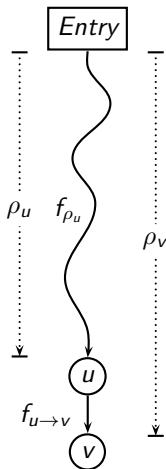


- An algorithm to compute  $MoP(2)$  needs to consider the paths  $(1)$ ,  $(1, 2)$ ,  $(1, 2, 2)$ ,  $(1, 2, 2, 2)$ ,  $\dots$
- After how many paths should it terminate?

Values being same across two successive paths cannot be the termination criterion for  $MoP$

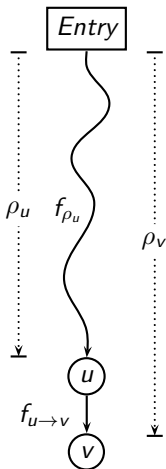


# Soundness of FP Assignment: $FP \sqsubseteq MoP$



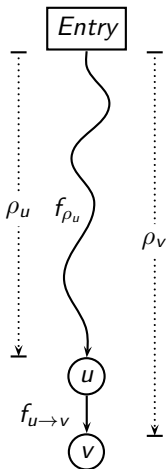
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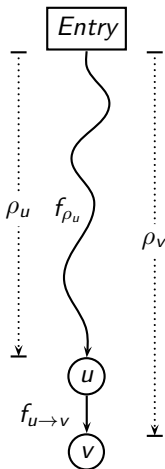


# Soundness of FP Assignment: $FP \sqsubseteq MoP$

- $MoP(v) = \bigsqcap_{\rho \in Paths(v)} f_{\rho}(BI)$
- Proof Obligation:  $\forall \rho_v \quad FP(v) \sqsubseteq f_{\rho_v}(BI)$



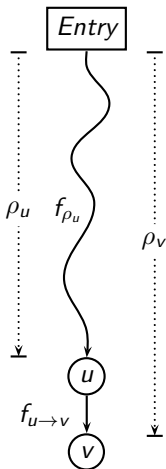
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- Claim 1:  $\forall u \rightarrow v, FP(v) \sqsubseteq f_{u \rightarrow v}(FP(u))$



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- Proof Obligation:  $\forall \rho_v \quad FP(v) \sqsubseteq f_{\rho_v}(BI)$
- Claim 1:  $\forall u \rightarrow v, FP(v) \sqsubseteq f_{u \rightarrow v}(FP(u))$
- Proof Outline: Induction on the length of the path

Base case: Path of length 0

$$FP(Entry) = MoP(Entry) = BI$$

Inductive hypothesis: Assume it holds for paths consisting of  $k$  edges (say at  $u$ )

$$FP(u) \sqsubseteq f_{\rho_u}(BI) \quad (\text{Inductive hypothesis})$$

$$FP(v) \sqsubseteq f_{u \rightarrow v}(FP(u)) \quad (\text{Claim 1})$$

$$\Rightarrow FP(v) \sqsubseteq f_{u \rightarrow v}(f_{\rho_u}(BI))$$

$$\Rightarrow FP(v) \sqsubseteq f_{\rho_v}(BI)$$

This holds for every  $FP$  and hence for  $MFP$  also



*Part 8*

*Theoretical Abstractions: A Summary*

## Theoretical Abstractions: A Summary

Necessary and sufficient conditions for designing a data flow framework





## Theoretical Abstractions: A Summary

Necessary and sufficient conditions for designing a data flow framework

- A meet semilattice satisfying dcc



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- A meet semilattice satisfying dcc
- A function space
  - ▶ Monotonic functions



## Theoretical Abstractions: A Summary

Necessary and sufficient conditions for designing a data flow framework

- A meet semilattice satisfying dcc
  - ▶ Meet: commutative, associative, and idempotent
  - ▶ Partial order: reflexive, transitive, and antisymmetric
  - ▶ Existence of  $\perp$
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## Theoretical Abstractions: A Summary

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  - ▶ Partial order: reflexive, transitive, and antisymmetric
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- A function space
  - ▶ Existence of the identity function
  - ▶ Closure under composition
  - ▶ Monotonic functions



## *Part 9*

# *Performing Data Flow Analysis*

# Performing Data Flow Analysis

- Algorithms for computing MFP solution
- Complexity of data flow analysis
- Factor affecting the complexity of data flow analysis



## Iterative Methods of Performing Data Flow Analysis

Successive recomputation after conservative initialization ( $\top$ )

- *Round Robin*. Repeated traversals over nodes in a fixed order

Termination : After values stabilise

- + Simplest to understand and implement
- May perform unnecessary computations



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Our examples use  
this method





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Successive recomputation after conservative initialization ( $\top$ )

- *Round Robin*. Repeated traversals over nodes in a fixed order

Termination : After values stabilise

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- May perform unnecessary computations

Our examples use this method

- *Work List*. Dynamic list of nodes which need recomputation

Termination : When the list becomes empty

- + Demand driven. Avoid unnecessary computations
- Overheads of maintaining work list



# Elimination Methods of Performing Data Flow Analysis

Delayed computations of dependent data flow values of dependent nodes

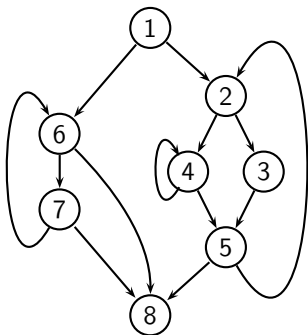
Find suitable single-entry regions

- *Interval Based Analysis*. Uses graph partitioning
- *$T_1, T_2$  Based Analysis*. Uses graph parsing



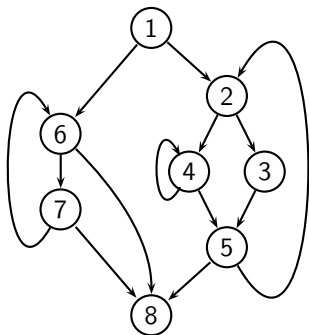
## Classification of Edges in a Graph

Graph G

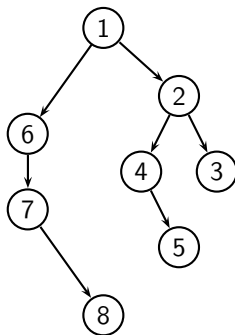


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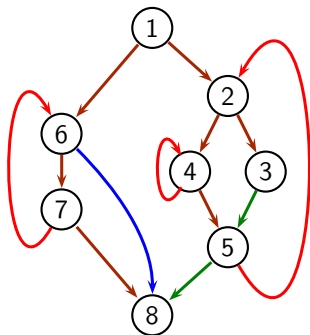


A depth first spanning tree of G



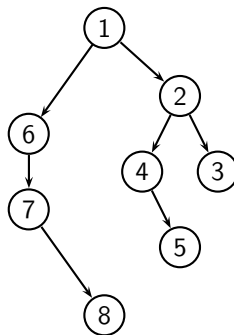
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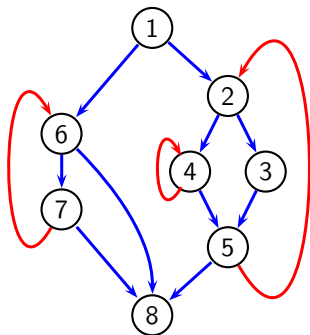
- Back edges →
- Forward edges →
- Tree edges →
- Cross edges →


A depth first spanning tree of G




## Classification of Edges in a Graph

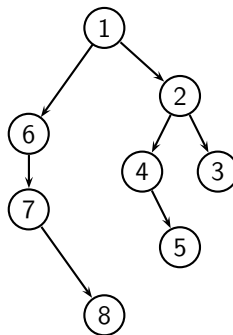
Graph G



Back edges 

Forward edges 

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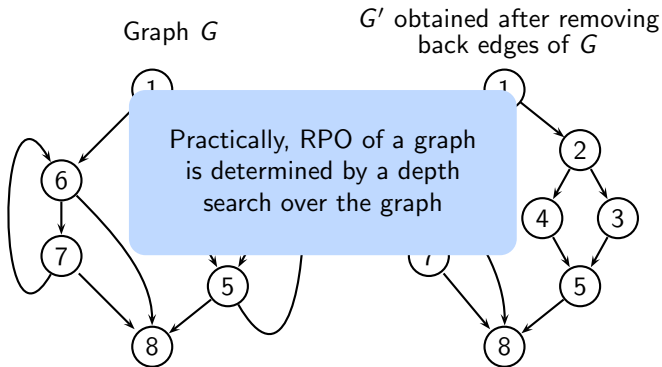


For data flow analysis, we club *tree*, *forward*, and *cross* edges into *forward* edges. Thus we have just forward or back edges in a control flow graph



## Reverse Post Order Traversal

- A reverse post order (rpo) is a topological sort of the graph obtained after removing back edges



- Some possible RPOs for  $G$  are:  $(1, 2, 3, 4, 5, 6, 7, 8)$ ,  $(1, 6, 7, 2, 3, 4, 5, 8)$ ,  $(1, 6, 2, 7, 4, 3, 5, 8)$ , and  $(1, 2, 6, 7, 3, 4, 5, 8)$



## Round Robin Iterative Algorithm

```
1   $ln_0 = BI$ 
2  for all  $j \neq 0$  do
3       $ln_j = \top$ 
4       $change = true$ 
5      while  $change$  do
6          {  $change = false$ 
7              for  $j = 1$  to  $N - 1$  do
8                  {  $temp = \prod_{p \in pred(j)} f_p(ln_p)$ 
9                      if  $temp \neq ln_j$  then
10                         {  $ln_j = temp$ 
11                              $change = true$ 
12                         }
13                     }
14 }
```





## Round Robin Iterative Algorithm

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- Computation of  $Out_j$  has been left implicit

Works fine for unidirectional frameworks



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- $\top$  is the identity of  $\sqcap$  (line 3)



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Works fine for unidirectional frameworks
- $\top$  is the identity of  $\sqcap$  (line 3)
- Reverse postorder (rpo) traversal for efficiency (line 7)
- rpo traversal AND no loops  $\Rightarrow$  no need of initialization



## Complexity of Round Robin Iterative Algorithm

- Unidirectional bit vector frameworks
  - ▶ Construct a spanning tree  $T$  of  $G$  to identify postorder traversal
  - ▶ Traverse  $G$  in reverse postorder for forward problems and  
Traverse  $G$  in postorder for backward problems
  - ▶ Depth  $d(G, T)$ : Maximum number of back edges in any acyclic path

Task	Number of iterations
First computation of <i>In</i> and <i>Out</i>	1
Convergence (until <i>change</i> remains true)	$d(G, T)$
Verifying convergence ( <i>change</i> becomes false)	1



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- What about bidirectional bit vector frameworks?



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Verifying convergence ( <i>change</i> becomes false)	1

- What about bidirectional bit vector frameworks?
- What about other frameworks?



## Example C Program with $d(G,T) = 2$

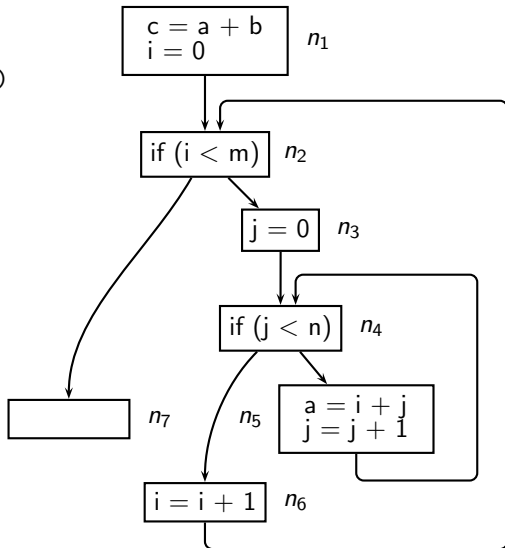
```
1 void fun(int m, int n)
2 {
3     int i,j,a,b,c;
4     c=a+b;
5     i=0;
6     while(i<m)
7     {
8         j=0;
9         while(j<n)
10        {
11            a=i+j;
12            j=j+1;
13        }
14        i=i+1;
15    }
16 }
```





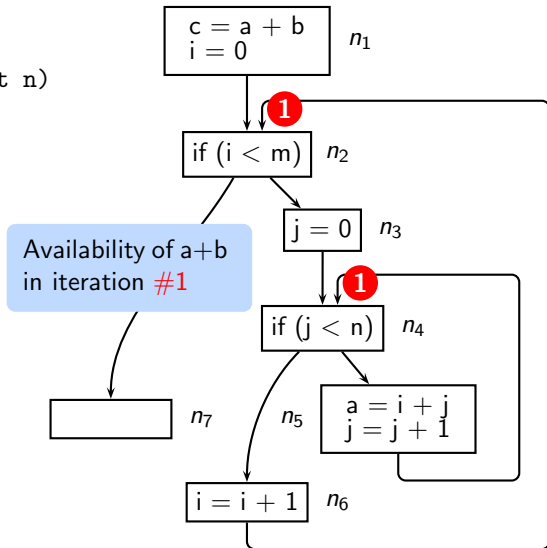
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6     while(i<m)
7     {
8         j=0;
9         while(j<n)
10        {
11            a=i+j;
12            j=j+1;
13        }
14        i=i+1;
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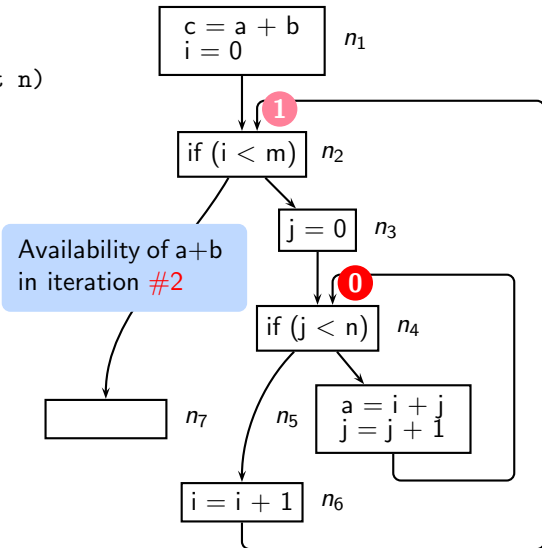
## Example C Program with $d(G,T) = 2$

```
1 void fun(int m, int n)
2 {
3     int i,j,a,b,c;
4     c=a+b;
5     i=0;
6     while(i<m)
7     {
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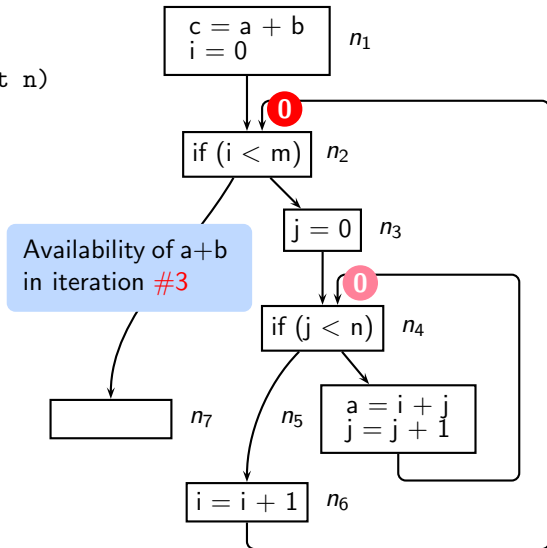
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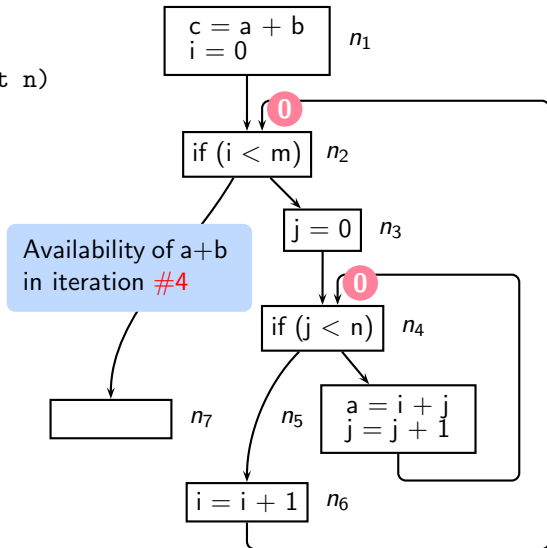


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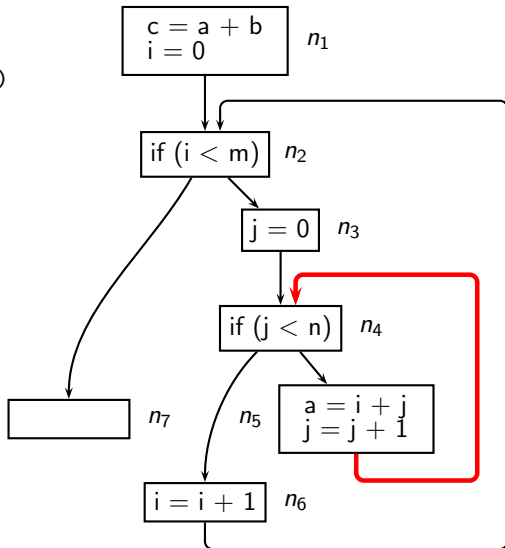


3 + 1 iterations for available expressions analysis



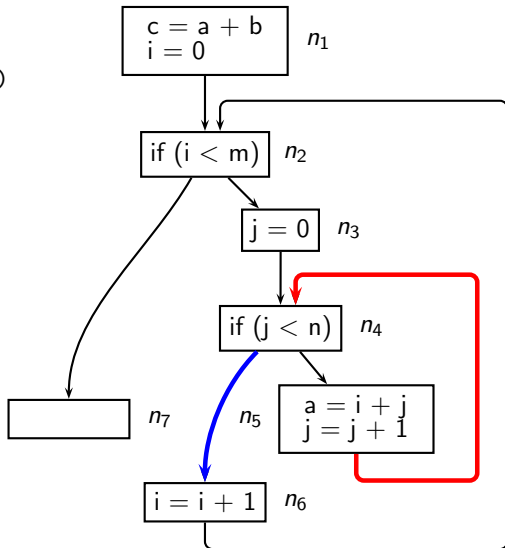
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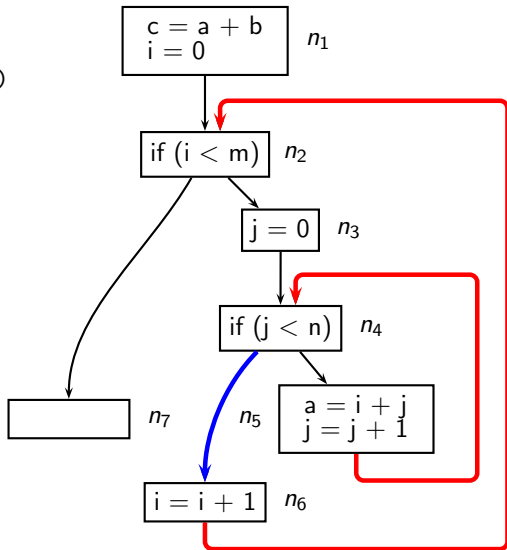
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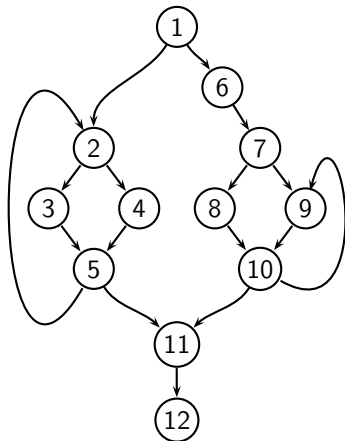
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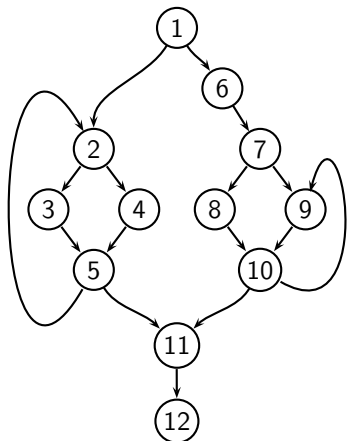
## Complexity of Bidirectional Bit Vector Frameworks

Example: Consider the following CFG for PRE



## Complexity of Bidirectional Bit Vector Frameworks

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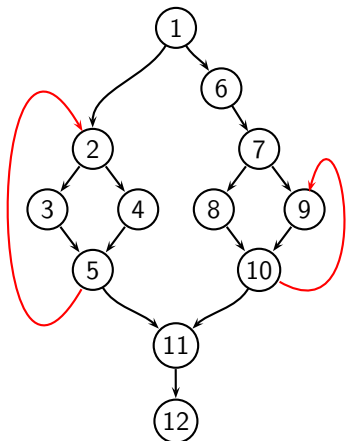


- Node numbers are in reverse post order



## Complexity of Bidirectional Bit Vector Frameworks

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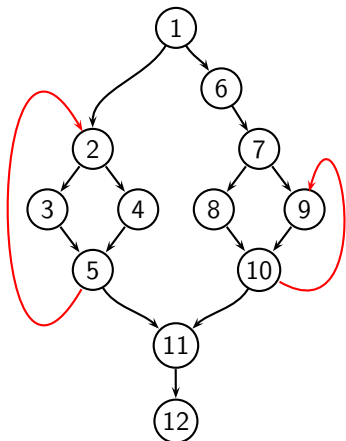


- Node numbers are in reverse post order
- Back edges in the graph are  $n_5 \rightarrow n_2$  and  $n_{10} \rightarrow n_9$



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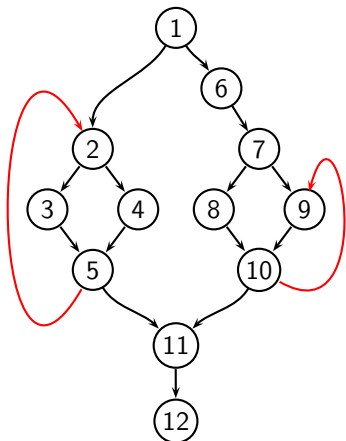


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- $d(G, T) = 1$



## Complexity of Bidirectional Bit Vector Frameworks

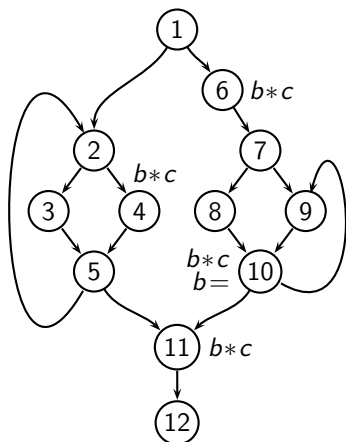
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- Node numbers are in reverse post order
- Back edges in the graph are  $n_5 \rightarrow n_2$  and  $n_{10} \rightarrow n_9$
- $d(G, T) = 1$
- Actual iterations : 5

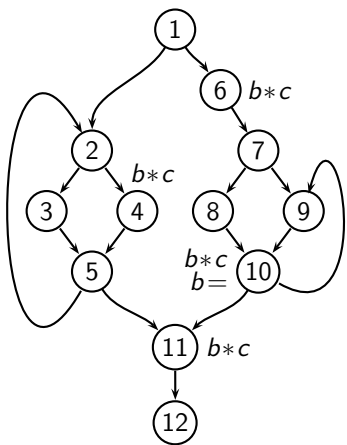


## Complexity of Bidirectional Bit Vector Frameworks



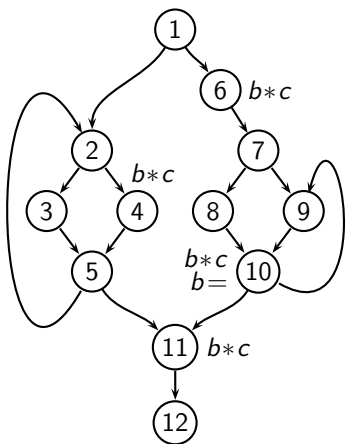
	Pairs of <i>Out, In</i> Values							
	Initia- lization	Changes in Iterations					Final values & transformation	
		#1	#2	#3	#4	#5		
	0,1	0,1	0,1	0,1	0,1	0,1	0,1	
12	0,1							
11	1,1							
10	1,1							
9	1,1							
8	1,1							
7	1,1							
6	1,1							
5	1,1							
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	0,1	0,1	0,1	0,1	0,1	0,1	0,1	
12	0,1	0,0						
11	1,1	0,1						
10	1,1							
9	1,1							
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7	1,1							
6	1,1	1,0						
5	1,1							
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3	1,1							
2	1,1							
1	1,1	0,0						

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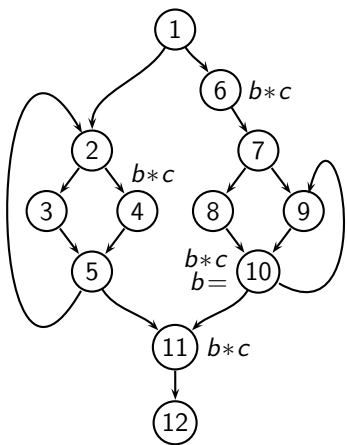


	Pairs of <i>Out</i> , <i>In</i> Values							
	Initia- lization	Changes in Iterations					Final values & transformation	
		#1	#2	#3	#4	#5		
	0,1	0,1	0,1	0,1	0,1	0,1	0,1	
12	0,1	0,0						
11	1,1	0,1						
10	1,1							
9	1,1							
8	1,1							
7	1,1							
6	1,1	1,0						
5	1,1							
4	1,1							
3	1,1							
2	1,1		1,0					
1	1,1	0,0						





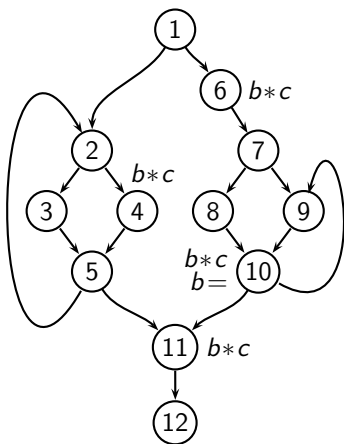
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	0,1	0,1	0,1	0,1	0,1	0,1	0,1	
12	0,1	0,0						
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7	1,1							
6	1,1	1,0						
5	1,1			0,0				
4	1,1			0,1				
3	1,1			0,0				
2	1,1		1,0	0,0				
1	1,1	0,0						



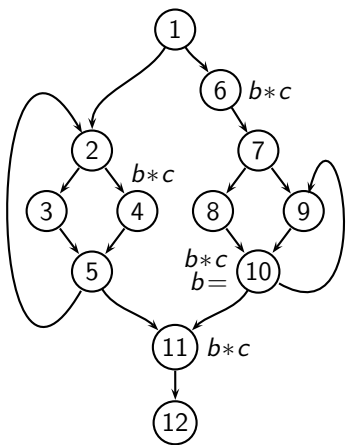
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		#1	#2	#3	#4	#5		
	O,I	O,I	O,I	O,I	O,I	O,I	O,I	
12	0,1	0,0						
11	1,1	0,1			0,0			
10	1,1				0,1			
9	1,1				1,0			
8	1,1							
7	1,1				0,0			
6	1,1	1,0			0,0			
5	1,1			0,0				
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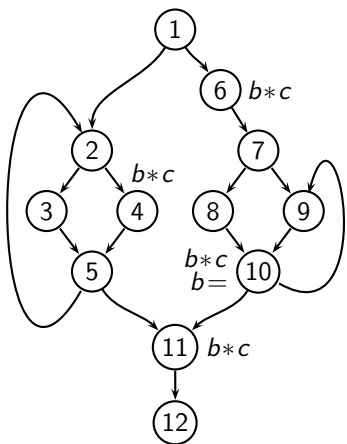
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		#1	#2	#3	#4	#5		
	O,I	O,I	O,I	O,I	O,I	O,I	O,I	
12	0,1	0,0						
11	1,1	0,1			0,0			
10	1,1				0,1			
9	1,1				1,0			
8	1,1					1,0		
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6	1,1	1,0			0,0			
5	1,1			0,0				
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3	1,1			0,0				
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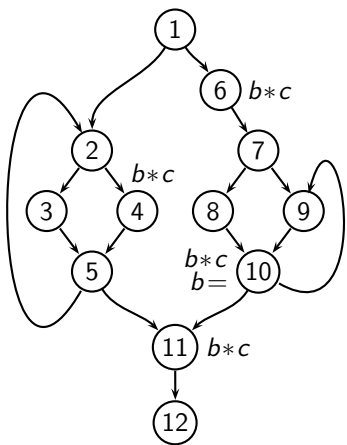
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12	0,1	0,0					0,0	
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8	1,1					1,0	1,0	
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2	1,1		1,0	0,0			0,0	
1	1,1	0,0					0,0	



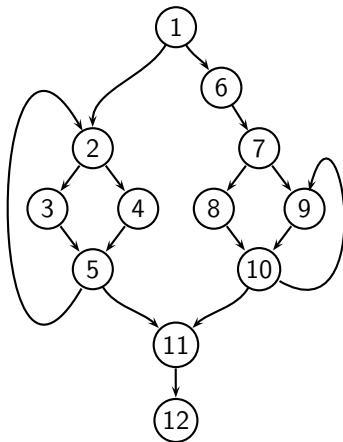
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12	0,1	0,0					0,0	
11	1,1	0,1			0,0		0,0	
10	1,1				0,1		0,1	Delete
9	1,1				1,0		1,0	Insert
8	1,1					1,0	1,0	Insert
7	1,1				0,0		0,0	
6	1,1	1,0			0,0		0,0	
5	1,1			0,0			0,0	
4	1,1			0,1	0,0		0,0	
3	1,1			0,0			0,0	
2	1,1		1,0	0,0			0,0	
1	1,1	0,0					0,0	



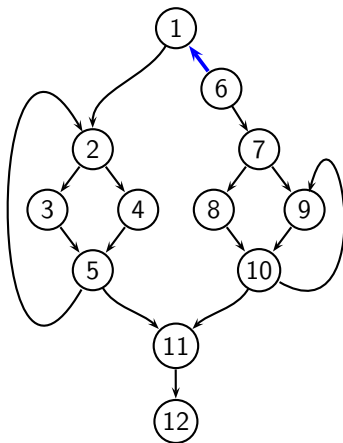
## An Example of Information Flow in Our PRE Analysis



- $PavIn_6$  becomes 0 in the first iteration
- This cause many all other values to become 0
- Here we see a particular sequence of changes
- Incorporating the effect of this sequence of changes requires 5 iterations
- Number of iterations is not related to depth (which is 1 for this graph)



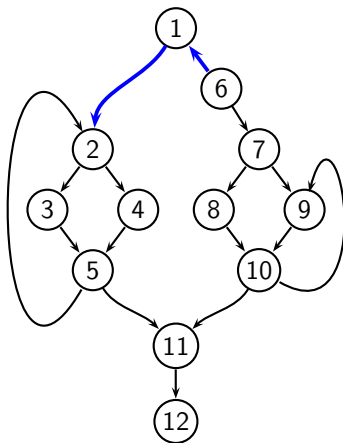
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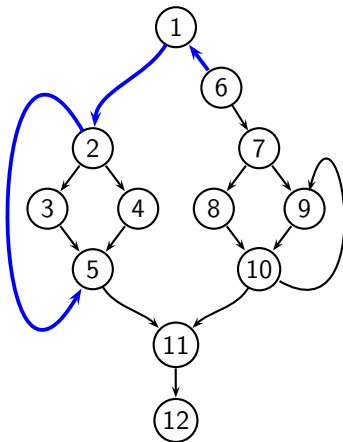


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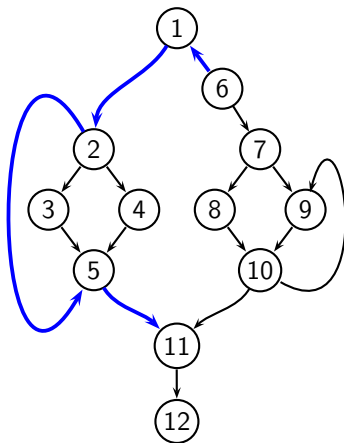
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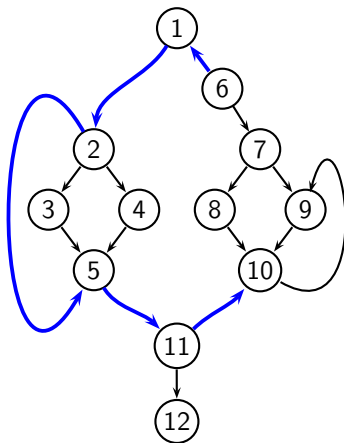
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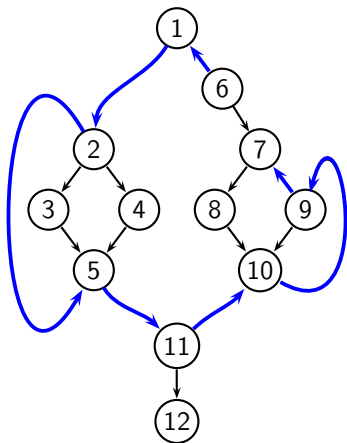


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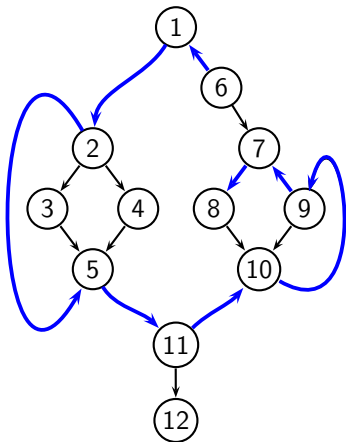
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## Information Flow and Information Flow Paths

- Default value at each program point:  $\top$
- *Information flow path*



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  - ▶ *Generation of information*  
Change from  $\top$  to a non- $\top$  due to local effect (i.e.  $f(\top) \neq \top$ )
  - ▶ *Propagation of information*  
Change from  $x$  to  $y$  such that  $y \sqsubseteq x$  due to global effect

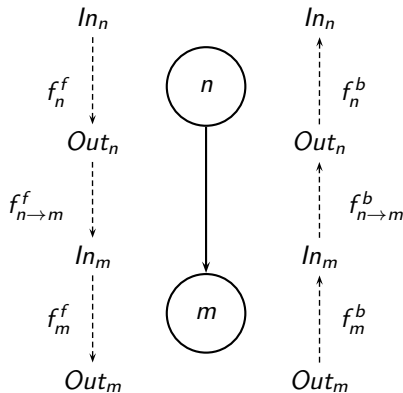


## Information Flow and Information Flow Paths

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Sequence of adjacent program points along which data flow values change
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Change from  $x$  to  $y$  such that  $y \sqsubseteq x$  due to global effect
- Information flow path (ifp) need not be a graph theoretic path

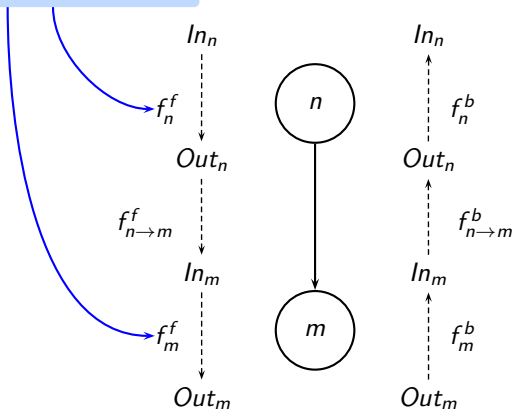


## Edge and Node Flow Functions



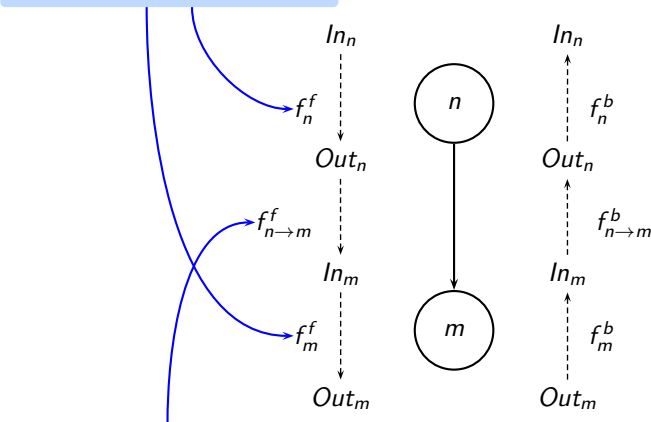
# Edge and Node Flow Functions

## Forward Node Flow Function



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## Forward Node Flow Function

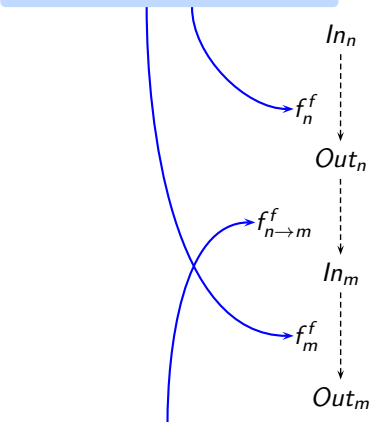


## Forward Edge Flow Function



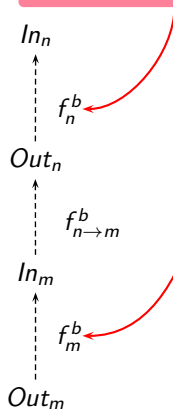
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### Forward Node Flow Function



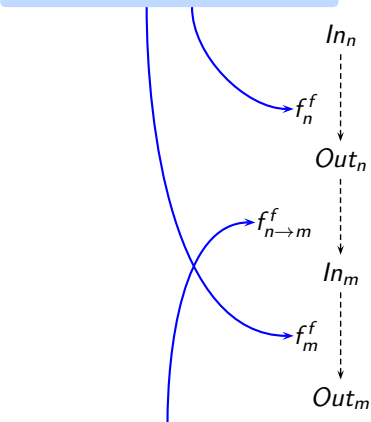
### Forward Edge Flow Function

### Backward Node Flow Function



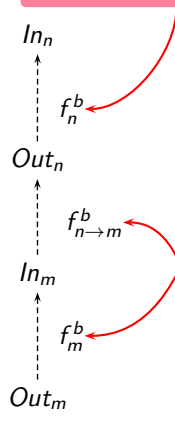
## Edge and Node Flow Functions

### Forward Node Flow Function



### Forward Edge Flow Function

### Backward Node Flow Function



### Backward Edge Flow Function





## General Data Flow Equations

$$\begin{aligned}
 In_n &= \begin{cases} Bl_{Start} \sqcap f_n^b(Out_n) & n = Start \\ \left( \bigsqcap_{m \in pred(n)} f_{m \rightarrow n}^f(Out_m) \right) \sqcap f_n^b(Out_n) & \text{otherwise} \end{cases} \\
 Out_n &= \begin{cases} Bl_{End} \sqcap f_n^f(In_n) & n = End \\ \left( \bigsqcap_{m \in succ(n)} f_{m \rightarrow n}^b(In_m) \right) \sqcap f_n^f(In_n) & \text{otherwise} \end{cases}
 \end{aligned}$$

- Edge flow functions are typically identity

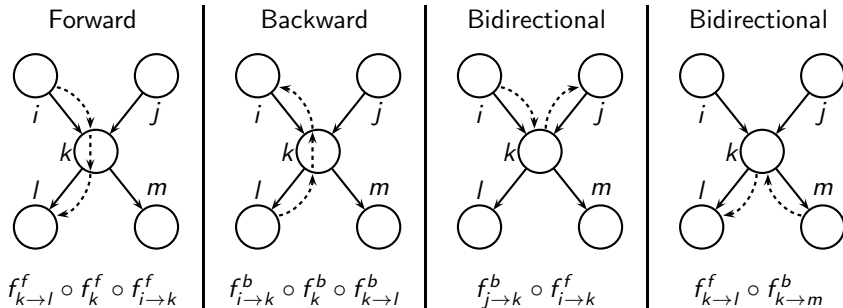
$$\forall x \in L, f(x) = x$$

- If particular flows are absent, the corresponding flow functions are

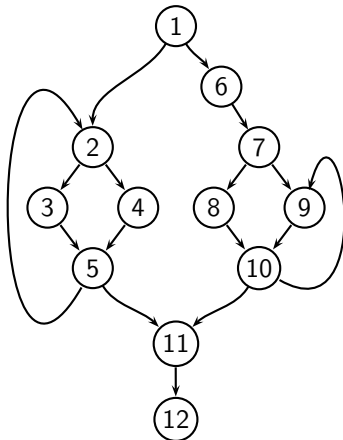
$$\forall x \in L, f(x) = \top$$



# Modelling Information Flows Using Edge and Node Flow Functions



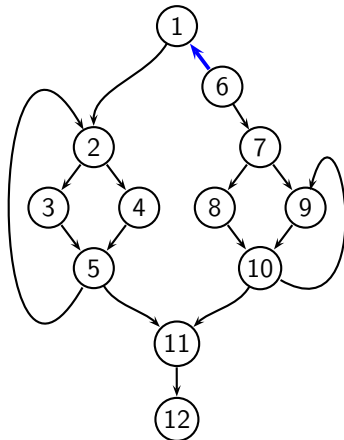
## Information Flow Paths in PRE



- Information could flow along arbitrary paths



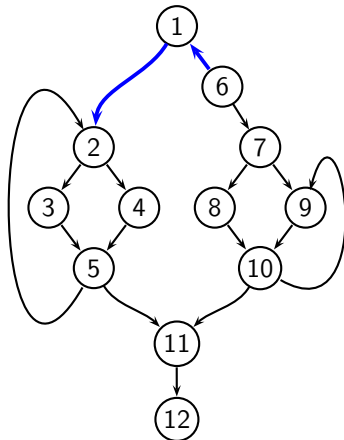
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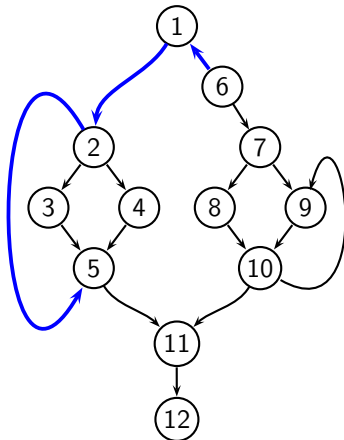
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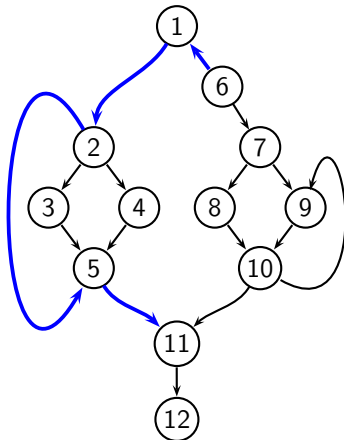
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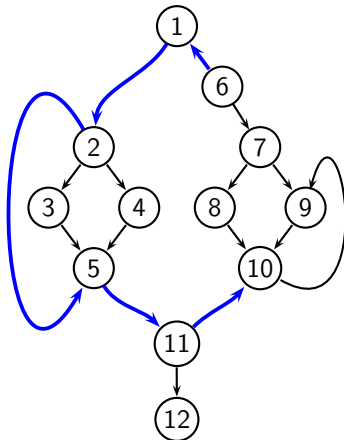
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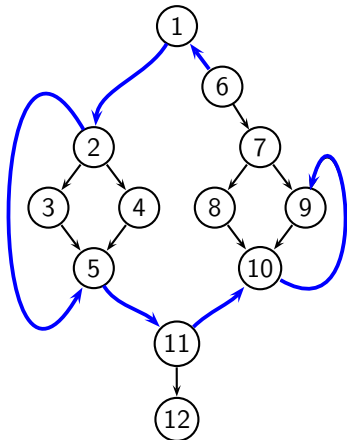


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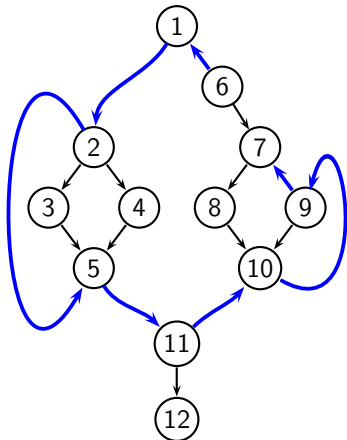
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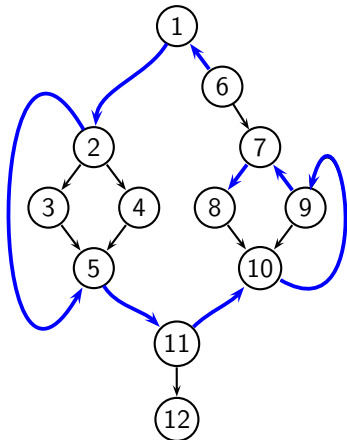
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## Information Flow Paths in PRE

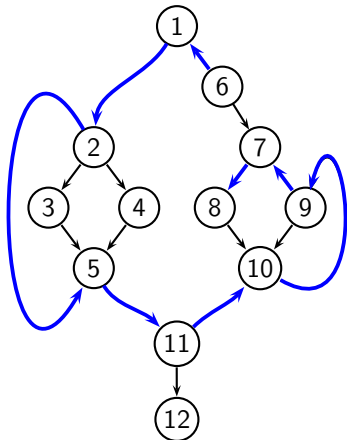


- Information could flow along arbitrary paths
- Theoretically predicted number : 144





## Information Flow Paths in PRE



- Information could flow along arbitrary paths
- Theoretically predicted number : 144
- Actual iterations : 5
- Not related to depth (1)



## Complexity of Worklist Algorithms for Bit Vector Frameworks

- Assume  $n$  nodes and  $r$  entities
- Total number of data flow values  $= 2 \cdot n \cdot r$
- A data flow value can change at most once
- Complexity is  $\mathcal{O}(n \cdot r)$



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- Total number of data flow values  $= 2 \cdot n \cdot r$
- A data flow value can change at most once
- Complexity is  $\mathcal{O}(n \cdot r)$
- *Must be same for both unidirectional and bidirectional frameworks*  
(Number of data flow values does not change!)



## Lacuna with Older Estimates of PRE Complexity

- Lacuna with PRE : Complexity
  - ▶  $r$  is typically  $\mathcal{O}(n)$
  - ▶ Assuming that at most one data flow value changes in one traversal





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  - ▶ Practical number of traversals :  $\leq 5$



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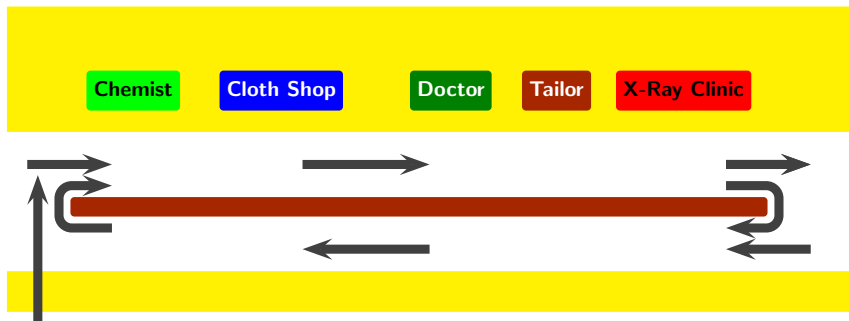


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  - ▶ Practical number of traversals :  $\leq 5$
- No explanation for about 14 years despite dozens of efforts
- Not much experimentation with performing advanced optimizations involving bidirectional dependency



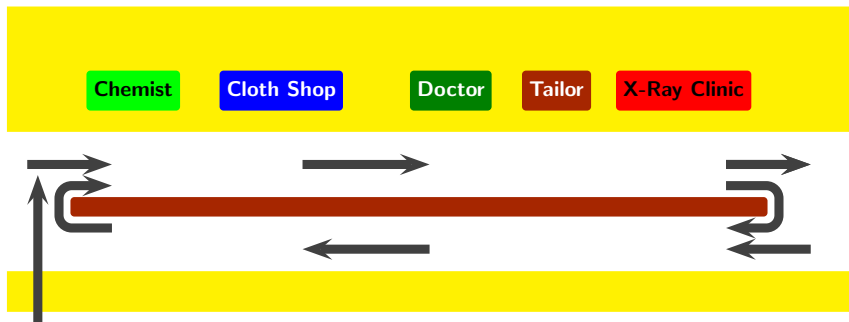
## Complexity of Round Robin Iterative Method



- Buy OTC (Over-The-Counter) medicine      No U-Turn      1 Trip



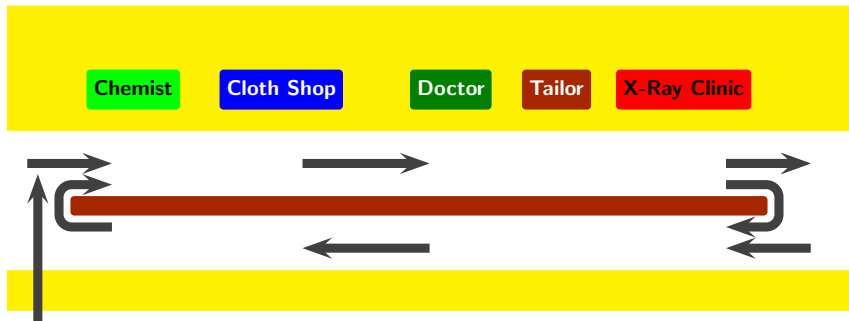
## Complexity of Round Robin Iterative Method



- Buy OTC (Over-The-Counter) medicine      No U-Turn      1 Trip
- Buy cloth. Give it to the tailor for stitching      No U-Turn      1 Trip



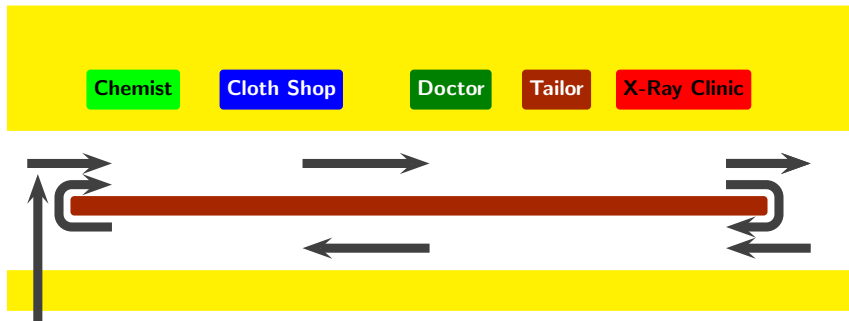
## Complexity of Round Robin Iterative Method



- |  |           |         |
|--|-----------|---------|
| • Buy OTC (Over-The-Counter) medicine            | No U-Turn | 1 Trip  |
| • Buy cloth. Give it to the tailor for stitching | No U-Turn | 1 Trip  |
| • Buy medicine with doctor's prescription        | 1 U-Turn  | 2 Trips |



## Complexity of Round Robin Iterative Method



- Buy OTC (Over-The-Counter) medicine      No U-Turn      1 Trip
  - Buy cloth. Give it to the tailor for stitching      No U-Turn      1 Trip
  - Buy medicine with doctor's prescription      1 U-Turn      2 Trips
  - Buy medicine with doctor's prescription      2 U-Turns      3 Trips
- The diagnosis requires X-Ray





## Information Flow Paths and Width of a Graph

- A traversal  $u \rightarrow v$  in an ifp is
  - ▶ *Compatible* if  $u$  is visited *before*  $v$  in the chosen graph traversal
  - ▶ *Incompatible* if  $u$  is visited *after*  $v$  in the chosen graph traversal



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- Every incompatible edge traversal requires one additional iteration



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- Width of a program flow graph with respect to a data flow framework

*Maximum number of incompatible traversals in any ifp, no part of which is bypassed*

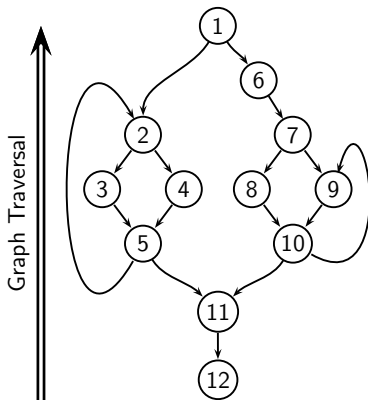


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- Every incompatible edge traversal requires one additional iteration
- Width of a program flow graph with respect to a data flow framework  
*Maximum number of incompatible traversals in any ifp, no part of which is bypassed*
- Width + 1 iterations are sufficient to converge on MFP solution  
(1 additional iteration may be required for verifying convergence)



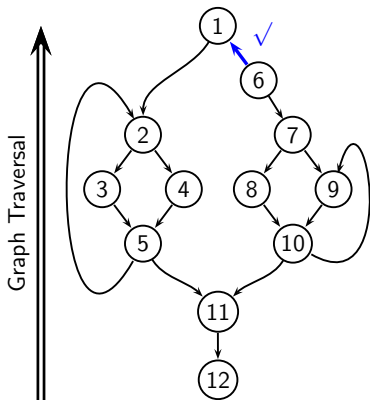
# Complexity of Bidirectional Bit Vector Frameworks



- Every “incompatible” edge traversal  
⇒ **One additional graph traversal**



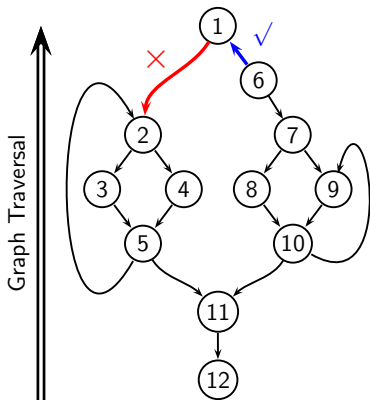
# Complexity of Bidirectional Bit Vector Frameworks



- Every “incompatible” edge traversal  
⇒ **One additional graph traversal**
- Max. Incompatible edge traversals  
= *Width* of the graph = **0?**
- Maximum number of traversals =  
 $1 + \text{Max. incompatible edge traversals}$



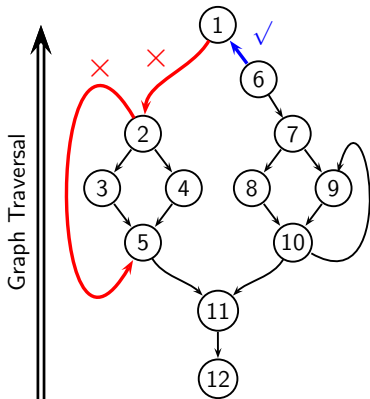
# Complexity of Bidirectional Bit Vector Frameworks



- Every “incompatible” edge traversal  
⇒ **One additional graph traversal**
- Max. Incompatible edge traversals  
= *Width* of the graph = **1?**
- Maximum number of traversals =  
 $1 + \text{Max. incompatible edge traversals}$



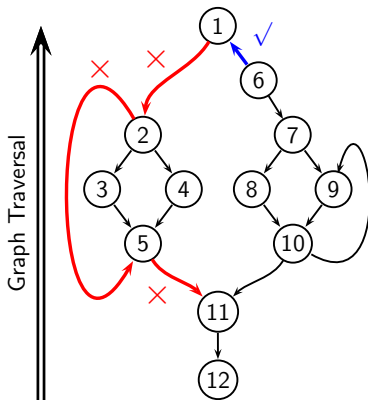
## Complexity of Bidirectional Bit Vector Frameworks



- Every “incompatible” edge traversal  
 $\Rightarrow$  **One additional graph traversal**
- Max. Incompatible edge traversals  
 $= \text{Width of the graph} = 2?$
- Maximum number of traversals =  
 $1 + \text{Max. incompatible edge traversals}$



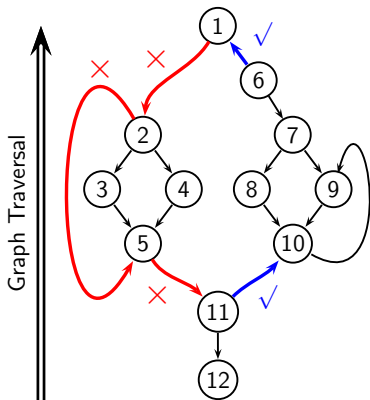
# Complexity of Bidirectional Bit Vector Frameworks



- Every “incompatible” edge traversal  
⇒ **One additional graph traversal**
- Max. Incompatible edge traversals  
= *Width* of the graph = **3?**
- Maximum number of traversals =  
 $1 + \text{Max. incompatible edge traversals}$



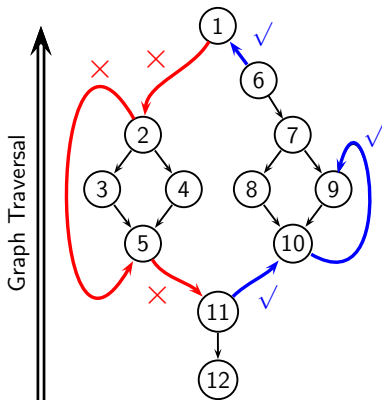
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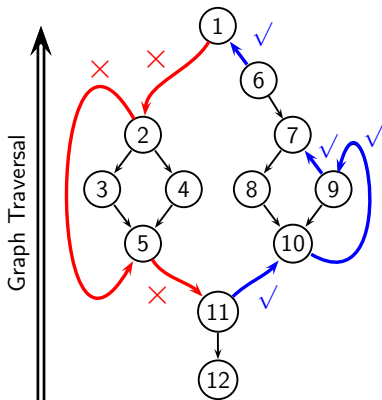
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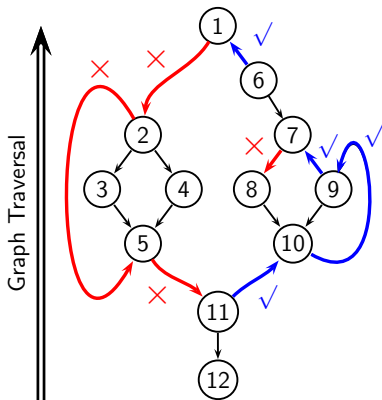
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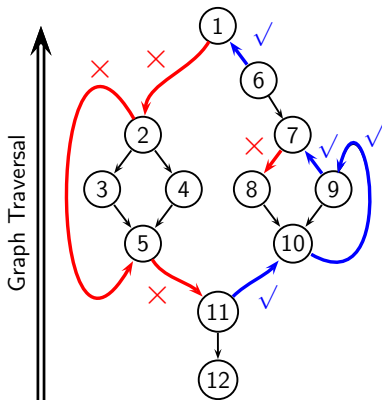
# Complexity of Bidirectional Bit Vector Frameworks



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= *Width* of the graph = **4**
- Maximum number of traversals =  
 $1 + \text{Max. incompatible edge traversals}$



# Complexity of Bidirectional Bit Vector Frameworks



- Every “incompatible” edge traversal  
⇒ **One additional graph traversal**
- Max. Incompatible edge traversals  
= *Width* of the graph = **4**
- Maximum number of traversals =  
 $1 + 4 = 5$



## Width Subsumes Depth

- Depth is applicable only to unidirectional data flow frameworks
- Width is applicable to both unidirectional and bidirectional frameworks
- For a given graph for a unidirectional bit vector framework,  
Width  $\leq$  Depth  
Width provides a tighter bound



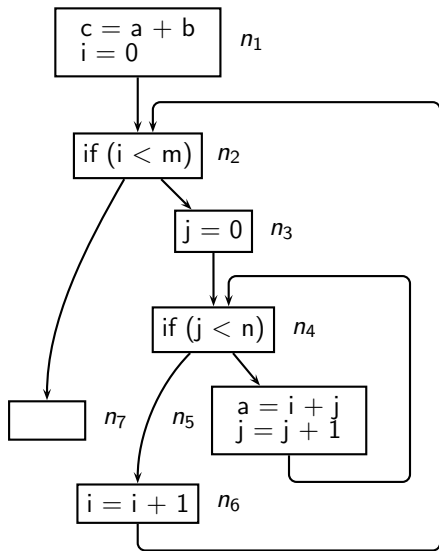
## Comparison Between Width and Depth

- Depth is purely a graph theoretic property whereas width depends on control flow graph as well as the data framework
- Comparison between width and depth is meaningful only
  - ▶ For unidirectional frameworks
  - ▶ When the direction of traversal for computing width is the natural direction of traversal
- Since width excludes bypassed path segments, width can be smaller than depth





## Width and Depth

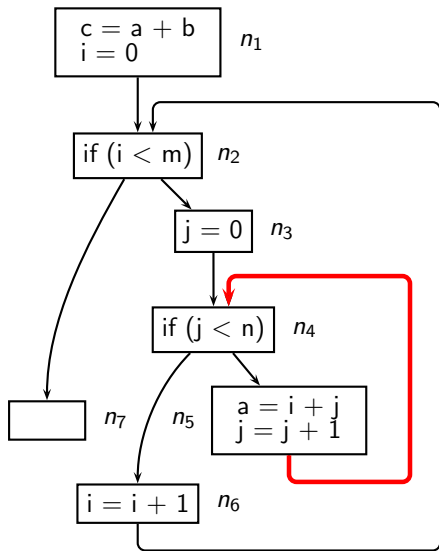


Assuming reverse postorder traversal for available expressions analysis

- Depth = 2



## Width and Depth

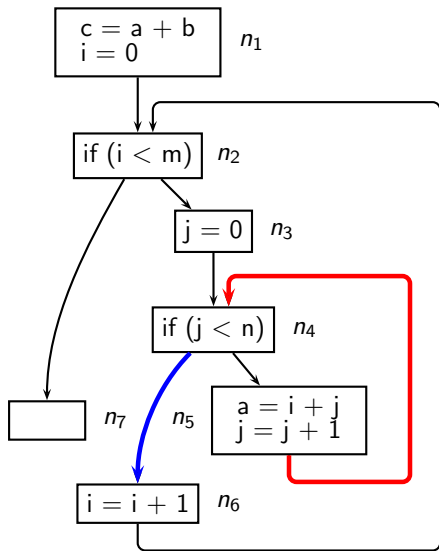


Assuming reverse postorder traversal for available expressions analysis

- Depth = 2
- Information generation point  
 $n_5$  kills expression " $a + b$ "



## Width and Depth

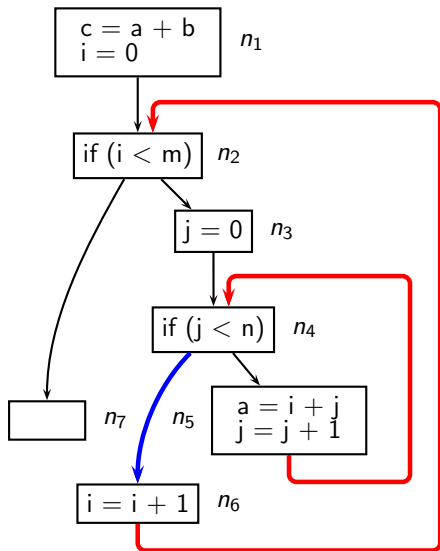


Assuming reverse postorder traversal for available expressions analysis

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 $n_5$  kills expression " $a + b$ "
- Information propagation path  
 $n_5 \rightarrow n_4 \rightarrow n_6 \rightarrow n_2$   
No Gen or Kill for " $a + b$ " along this path



## Width and Depth

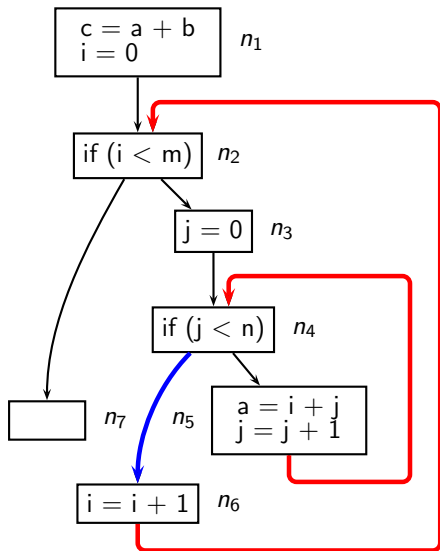


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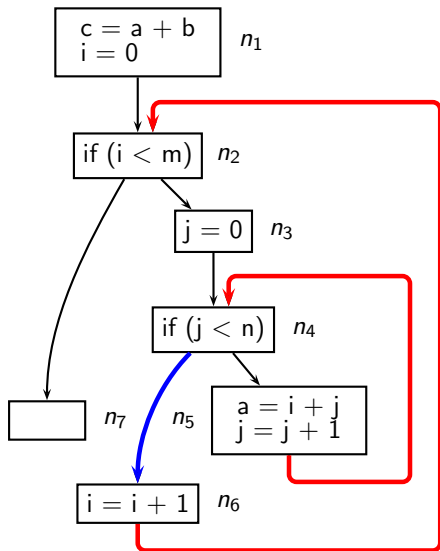


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- Width = 2
- What about “ $j + 1$ ”?



## Width and Depth

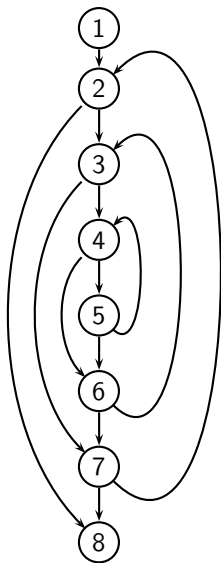


Assuming reverse postorder traversal for available expressions analysis

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- Information propagation path  
 $n_5 \rightarrow n_4 \rightarrow n_6 \rightarrow n_2$   
No Gen or Kill for " $a + b$ " along this path
- Width = 2
- What about " $j + 1$ "?
- Not available on entry to the loop



## Width and Depth

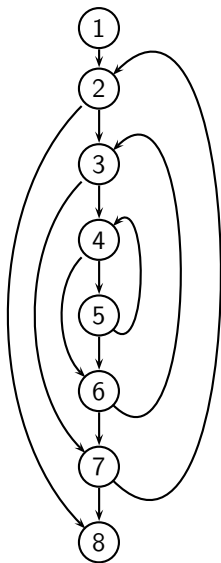


Structures resulting from repeat-until loops with premature exits

- Depth = 3



## Width and Depth



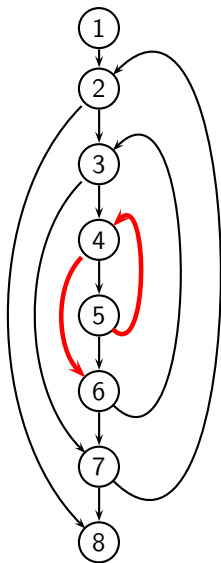
Structures resulting from repeat-until loops with premature exits

- Depth = 3
- However, any unidirectional bit vector analysis is guaranteed to converge in  $2 + 1$  iterations





## Width and Depth

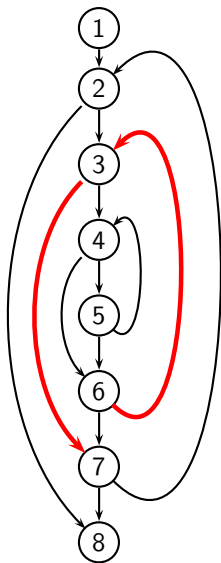


Structures resulting from repeat-until loops with premature exits

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- ifp  $5 \rightarrow 4 \rightarrow 6$  is bypassed by the edge  $5 \rightarrow 6$



## Width and Depth

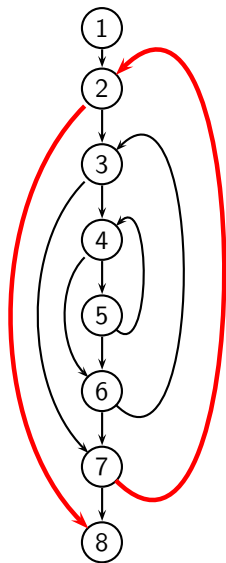


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- Depth = 3
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- ifp  $6 \rightarrow 3 \rightarrow 7$  is bypassed by the edge  $6 \rightarrow 7$



## Width and Depth

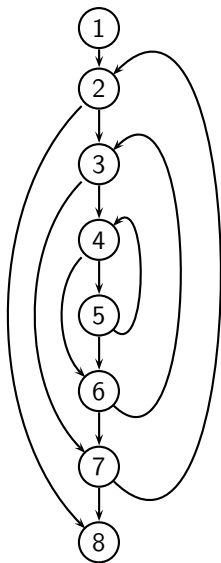


Structures resulting from repeat-until loops with premature exits

- Depth = 3
- However, any unidirectional bit vector analysis is guaranteed to converge in  $2 + 1$  iterations
- ifp  $5 \rightarrow 4 \rightarrow 6$  is bypassed by the edge  $5 \rightarrow 6$
- ifp  $6 \rightarrow 3 \rightarrow 7$  is bypassed by the edge  $6 \rightarrow 7$
- ifp  $7 \rightarrow 2 \rightarrow 8$  is bypassed by the edge  $7 \rightarrow 8$



## Width and Depth

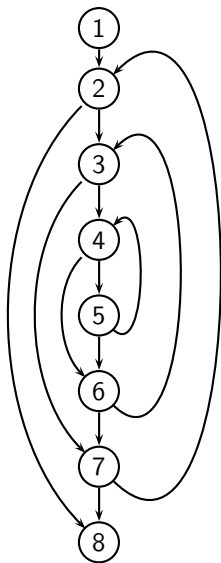


Structures resulting from repeat-until loops with premature exits

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- ifp  $6 \rightarrow 3 \rightarrow 7$  is bypassed by the edge  $6 \rightarrow 7$
- ifp  $7 \rightarrow 2 \rightarrow 8$  is bypassed by the edge  $7 \rightarrow 8$
- For forward unidirectional frameworks, width is 1



## Width and Depth



Structures resulting from repeat-until loops with premature exits

- Depth = 3
- However, any unidirectional bit vector analysis is guaranteed to converge in  $2 + 1$  iterations
- ifp  $5 \rightarrow 4 \rightarrow 6$  is bypassed by the edge  $5 \rightarrow 6$
- ifp  $6 \rightarrow 3 \rightarrow 7$  is bypassed by the edge  $6 \rightarrow 7$
- ifp  $7 \rightarrow 2 \rightarrow 8$  is bypassed by the edge  $7 \rightarrow 8$
- For forward unidirectional frameworks, width is 1
- Splitting the bypassing edges and inserting nodes along those edges increases the width



## Work List Based Iterative Algorithm

Directly traverses information flow paths

```
1   $ln_0 = BI$ 
2  for all  $j \neq 0$  do
3    {  $ln_j = \top$ 
4      Add  $j$  to LIST
5    }
6  while LIST is not empty do
7    { Let  $j$  be the first node in LIST. Remove it from LIST
8       $temp = \bigcap_{p \in pred(j)} f_p(ln_p)$ 
9      if  $temp \neq ln_j$  then
10     {  $ln_j = temp$ 
11       Add all successors of  $j$  to LIST
12     }
13   }
```



## Tutorial Problem

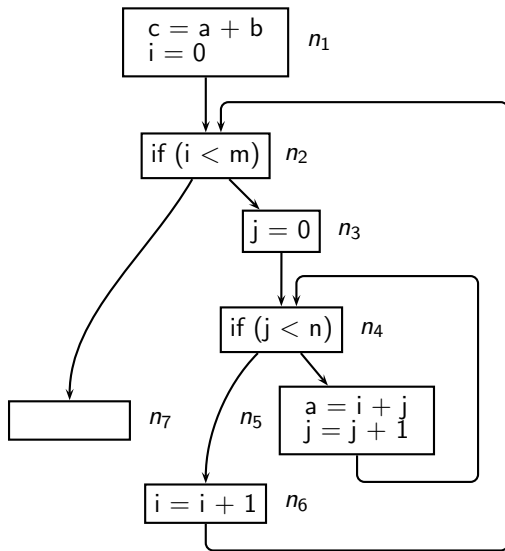
Perform work list based iterative analysis for earlier examples. Assume that the work list follows FIFO (First in First Out) policy

Show the trace of the analysis in the following format:

Step	Node	Remaining work list	<i>Out</i> DFV	Change?	Node Added	Resulting work list
------	------	---------------------	-------------------	---------	---------------	---------------------



## Tutorial Problem for Work List Based Analysis



For available expressions analysis

- Round robin method needs 3+1 iterations

Total number of nodes processed =  $7 \times 4 = 28$

- We illustrate work list method for expression  $a + b$  (other expressions are unavailable in the first iteration because of *BI*)





## Tutorial Problem for Work List Based Analysis

Step	Node	Remaining work list	Out DFV	Change?	Node Added	Resulting work list
1	$n_1$	$n_2, n_3, n_4, n_5, n_6, n_7$	1	No		$n_2, n_3, n_4, n_5, n_6, n_7$
2	$n_2$	$n_3, n_4, n_5, n_6, n_7$	1	No		$n_3, n_4, n_5, n_6, n_7$
3	$n_3$	$n_4, n_5, n_6, n_7$	1	No		$n_4, n_5, n_6, n_7$
4	$n_4$	$n_5, n_6, n_7$	1	No		$n_5, n_6, n_7$
5	$n_5$	$n_6, n_7$	0	Yes	$n_4$	$n_6, n_7, n_4$
6	$n_6$	$n_7, n_4$	1	No		$n_7, n_4$
7	$n_7$	$n_4$	1	No		$n_4$
8	$n_4$		0	Yes	$n_5, n_6$	$n_5, n_6$
9	$n_5$	$n_6$	0	No		$n_6$
10	$n_6$		0	Yes	$n_2$	$n_2$
11	$n_2$		0	Yes	$n_3, n_7$	$n_3, n_7$
12	$n_3$	$n_7$	0	Yes	$n_4$	$n_7, n_4$
13	$n_7$	$n_4$	0	Yes		$n_4$
14	$n_4$		0	No		Empty $\Rightarrow$ End



## Comparing the Algorithms for Performing Data Flow Analysis

### Round Robin Algorithm

```
1  $ln_0 = BI$ 
2 for all  $j \neq 0$  do
3    $ln_j = \top$ 
4    $change = true$ 
5   while  $change$  do
6     {  $change = false$ 
7       for  $j = 1$  to  $N - 1$  do
8         {  $temp = \prod_{p \in pred(j)} f_p(ln_p)$ 
9           if  $temp \neq ln_j$  then
10            {  $ln_j = temp$ 
11               $change = true$ 
12            }
13          }
14 }
```

### Work List Algorithm

```
1  $ln_0 = BI$ 
2 for all  $j \neq 0$  do
3   {  $ln_j = \top$ 
4     Add  $j$  to LIST
5   }
6 while LIST is not empty do
7   { Let  $j$  be the first node in LIST
8     Remove node  $j$  from LIST
9      $temp = \prod_{p \in pred(j)} f_p(ln_p)$ 
10    if  $temp \neq ln_j$  then
11      {  $ln_j = temp$ 
12        Add all successors of  $j$  to LIST
13      }
14 }
```



## An Efficient Work List Algorithm (1)

- Combines the traversal order of round robin algorithm with a need-based processing of work list algorithm
- The work list is initialized for nodes  $j$  such that  $OUT_j = f_j(\top) \neq \top$
- Function *Process\_Node(rpo)*
  - ▶ Computes the *In* and *Out* values of the node with RPO number  $rpo$
  - ▶ If there is a change for the node
    - ▶ It adds the successors of the node to the work list, and
    - ▶ returns *true* if the RPO number of a successor is smaller than  $rpo$

In the latter case, the work list must be examined from the beginning
- Notation
  - ▶ The work list is an array  $WL$  whose indices are RPO numbers  
 $WL[i] = true \Rightarrow$  the node with RPO number  $i$  needs to be processed
  - ▶  $RPO[i]$  gives the RPO number of node  $i$
  - ▶  $NODE[i]$  gives the node whose RPO number is  $i$



## An Efficient Work List Algorithm (2)

```

1  Efficient_Work_List_DFA()
2  {  Initialise()
3      Begin: /* Statement label */
4      for  $rpo = 0$  to  $N - 1$  do
5          if Process_Node( $rpo$ ) then
6              goto Begin:
7  }
8  Initialise()
9  {   $j = NODE[0]$ 
10      $In_j = BI$ 
11     for  $rpo = 0$  to  $N - 1$  do
12         {   $j = NODE[rpo]$ 
13             if  $rpo = 0$  then
14                  $Out_j = f_j(BI)$ 
15             else  $Out_j = f_j(\top)$ 
16             if  $Out_j \neq \top$  then
17                  $WL[rpo] = true$ 
18             else  $WL[rpo] = false$ 
19         }
20 }

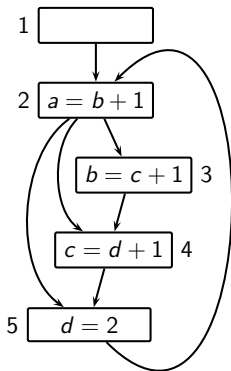
21 Process_Node( $rpo$ )
22 {  restart = false
23     if  $WL[rpo] = true$ 
24         {   $WL[rpo] = false$ 
25              $j = NODE[rpo]$ 
26              $In_j = \prod_{p \in pred(j)} (Out_p)$ 
27              $temp = f_j(In_j)$ 
28             if  $temp \neq Out_j$  then
29                 {   $Out_j = temp$ 
30                     for all  $s \in succ(j)$  do
31                         {   $rpos = RPO[s]$ 
32                              $WL[rpos] = true$ 
33                             if  $rpos \leq rpo$  then
34                                 restart = true
35                         }
36                     }
37             }
38     return restart
39 }
```



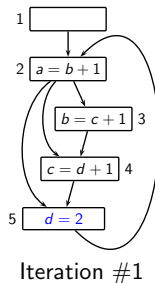
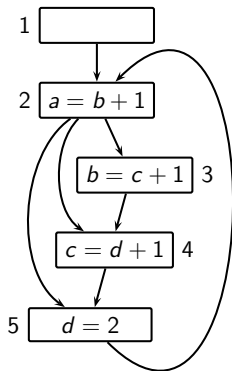
*Part 10*

*Precise Modelling of General Flows*

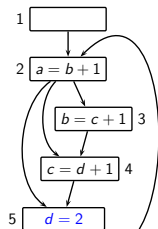
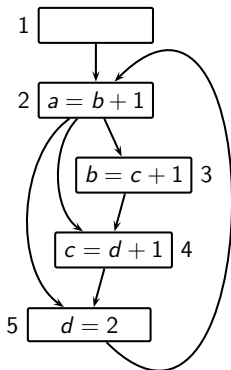
## Complexity of Constant Propagation?



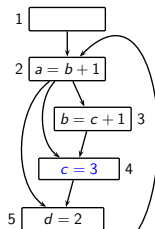
## Complexity of Constant Propagation?



## Complexity of Constant Propagation?



Iteration #1

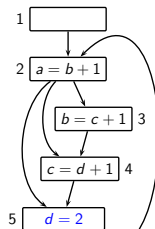
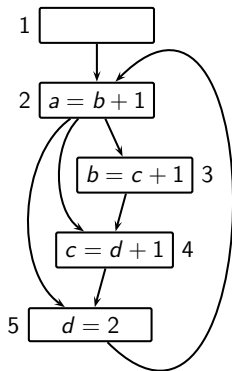


Iteration #2

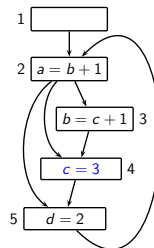




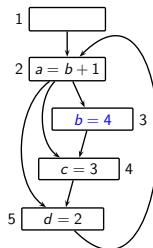
## Complexity of Constant Propagation?



Iteration #1



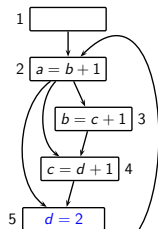
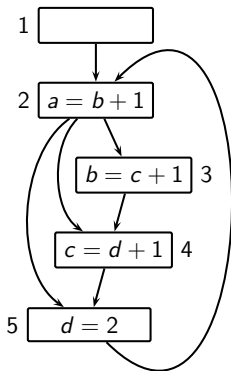
Iteration #2



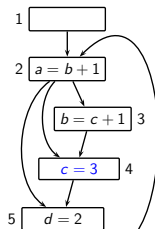
Iteration #3



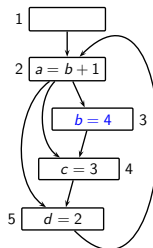
## Complexity of Constant Propagation?



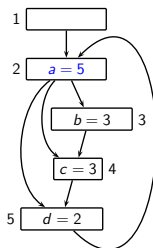
Iteration #1



Iteration #2



Iteration #3



Iteration #4



*Part 11*

*Another View of  
Soundness and Precision*

## Two Views of Static Analysis

- Static analysis computes some approximations of MoP
- An alternative view of static analysis  
Discovering paths satisfying a property
- In this part, we relate the two views
- We look at soundness and precision in these two views



## Soundness and Precision in MoP View

What we have seen so far

- **Soundness**. Any value weaker than MoP is sound
- **Precision**. A sound value is more precise than another if it is “closer” to MoP



## Examples of Conservative and Definite Information

- Liveness is uncertain (also called **conservative**)

If a variable is declared live at a program point, it may or may not be used beyond that program point at run time

(Why is it harmless if the variable is not actually used?)

- Deadness (i.e. absence of liveness) is certain (also called **definite**)

If a variable is declared to be dead at a program point, it is guaranteed to be not used beyond that program point at run time

(Why is it harmful if the variable is not actually dead?)



## An Example Program

```
int main()
{ int a, b, c, n;

  a = 4;
  b = 2;
  c = 3;
  n = c*2;
  while (a <= n)
  {
    a = a+1;
  }
  if (a < 12)
    a = a+b+c;
  return a;
}
```



## An Example Program

```
int main()
{ int a, b, c, n;

  a = 4;
  b = 2;
  c = 3;
  n = c*2;
  while (a <= n)
  {
    a = a+1;
  }
  if (a < 12)
    a = a+b+c;
  return a;
}
```

```
1. a = 4
2. b = 2
3. c = 3
4. n = c*2
5. if (!(a<=n))
    goto 8
6. a = a + 1
7. goto 5
8. if (!(a<12))
    goto 11
9. t1 = a+b
10. a = t1+c
11. return a
```



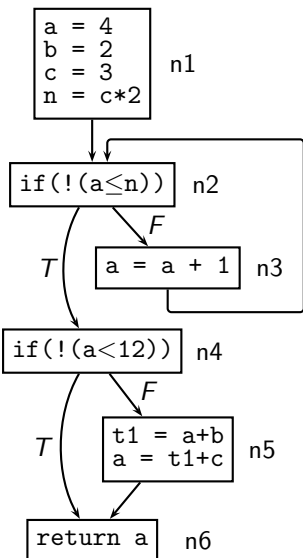


## An Example Program

```
int main()
{ int a, b, c, n;

  a = 4;
  b = 2;
  c = 3;
  n = c*2;
  while (a <= n)
  {
    a = a+1;
  }
  if (a < 12)
    a = a+b+c;
  return a;
}
```

1. a = 4
2. b = 2
3. c = 3
4. n = c\*2
5. if (!(a ≤ n))  
    goto 8
6. a = a + 1
7. goto 5
8. if (!(a < 12))  
    goto 11
9. t1 = a+b
10. a = t1+c
11. return a



## Motivating Example for Introducing Soundness and Precision

### Example Program

```
int a;  
int f(int b)  
{  int c;  
    c = a%2;  
    b = - abs(b);  
    while (b < c)  
        b = b+1;  
    if (b > 0)  
        b = 0;  
    return b;  
}
```

### Control Flow Graph



## Motivating Example for Introducing Soundness and Precision

### Example Program

```
int a;  
int f(int b)  
{  int c;  
    c = a%2;  
    b = - abs(b);  
    while (b < c)  
        b = b+1;  
    if (b > 0)  
        b = 0;  
    return b;  
}
```

A blue box labeled "Absolute" is positioned above the line `b = - abs(b);`. A blue arrow points from the `abs(b)` expression in the code to the "Absolute" box.

### Control Flow Graph



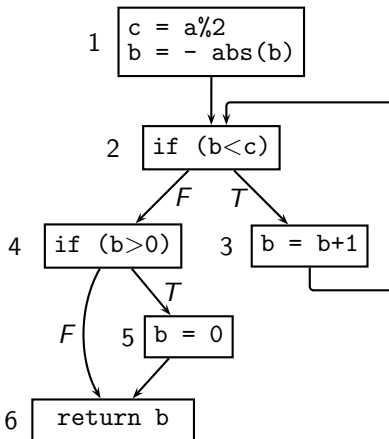
# Motivating Example for Introducing Soundness and Precision

## Example Program

```
int a;  
int f(int b)  
{  
  int c;  
  c = a%2;  
  b = - abs(b);  
  while (b < c)  
    b = b+1;  
  if (b > 0)  
    b = 0;  
  return b;  
}
```

A blue box labeled "Absolute" with an arrow pointing to the `abs(b)` expression in the program.

## Control Flow Graph

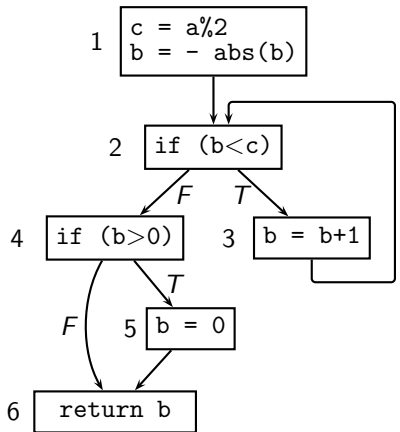


# Execution Traces for Concrete Semantics (1)

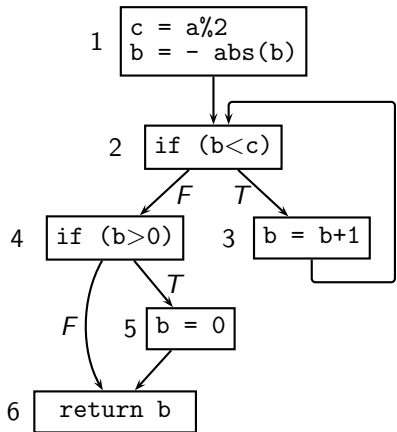
- States
  - ▶ A *data* state: Variables  $\rightarrow$  Values
  - ▶ A *program* state: (Program Point, A data state)
- Execution traces (or traces, for short)
  - ▶ Valid sequences of program states starting with a given initial state



## Execution Traces for Concrete Semantics (2)



## Execution Traces for Concrete Semantics (2)

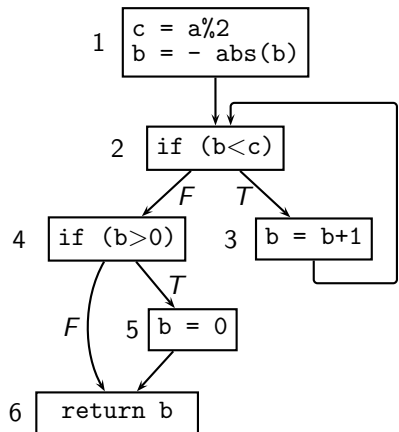


### Trace 1

	<i>a</i>	<i>b</i>	<i>c</i>
<i>Entry</i> <sub>1</sub> ,	(5,	2,	7)
<i>Entry</i> <sub>2</sub> ,	(5,	-2,	1)
<i>Entry</i> <sub>3</sub> ,	(5,	-2,	1)
<i>Entry</i> <sub>2</sub> ,	(5,	-1,	1)
<i>Entry</i> <sub>3</sub> ,	(5,	-1,	1)
<i>Entry</i> <sub>2</sub> ,	(5,	0,	1)
<i>Entry</i> <sub>3</sub> ,	(5,	0,	1)
<i>Entry</i> <sub>2</sub> ,	(5,	1,	1)
<i>Entry</i> <sub>4</sub> ,	(5,	1,	1)
<i>Entry</i> <sub>5</sub> ,	(5,	1,	1)
<i>Entry</i> <sub>6</sub> ,	(5,	0,	1)



# Execution Traces for Concrete Semantics (2)



## Trace 1

	<i>a</i>	<i>b</i>	<i>c</i>
Entry <sub>1</sub> ,	5	2	7
Entry <sub>2</sub> ,	5	-2	1
Entry <sub>3</sub> ,	5	-2	1
Entry <sub>2</sub> ,	5	-1	1
Entry <sub>3</sub> ,	5	-1	1
Entry <sub>2</sub> ,	5	0	1
Entry <sub>3</sub> ,	5	0	1
Entry <sub>2</sub> ,	5	1	1
Entry <sub>4</sub> ,	5	1	1
Entry <sub>5</sub> ,	5	1	1
Entry <sub>6</sub> ,	5	0	1

## Trace 2

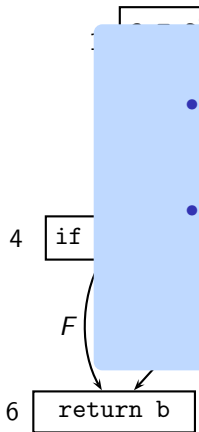
	<i>a</i>	<i>b</i>	<i>c</i>
Entry <sub>1</sub> ,	-5	-2	8
Entry <sub>2</sub> ,	-5	-2	-1
Entry <sub>3</sub> ,	-5	-2	-1
Entry <sub>2</sub> ,	-5	-1	-1
Entry <sub>4</sub> ,	-5	-1	-1
Entry <sub>6</sub> ,	-5	-1	-1





## Execution Traces for Concrete Semantics (2)

- A separate trace for each combination of inputs
  - ▶ The number of traces is potentially infinite
- Program points may repeat in the traces
  - ▶ Traces may be very long
  - ▶ Non-terminating traces: Infinitely long



$Entry_5, (5, 1, 1)$

$Entry_6, (5, 0, 1)$



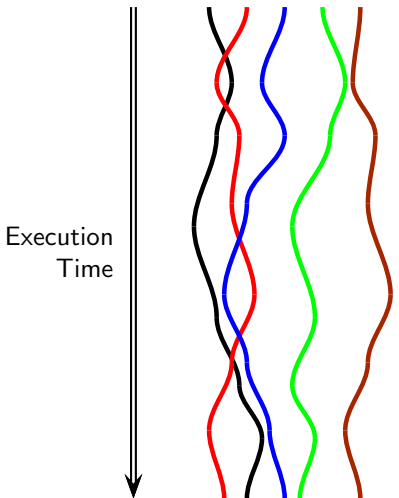
## Abstract States

A static analysis computes abstract states

- The values are abstract values and are decided by the analysis
- An analysis may record values for other program entities such as expressions, statements, procedures etc.



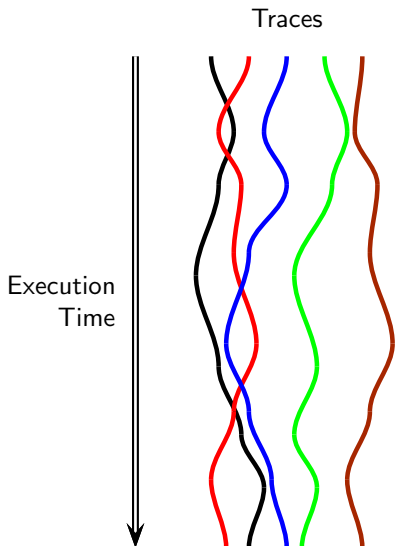
# A Pictorial Representation of Execution Traces



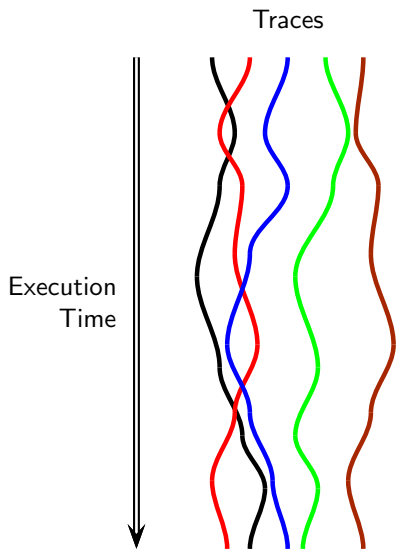
- The X-axis shows the states
- The Y-axis shows the execution time



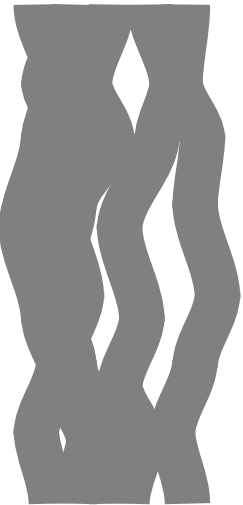
# Static Analysis Computes Abstractions of Traces (1)



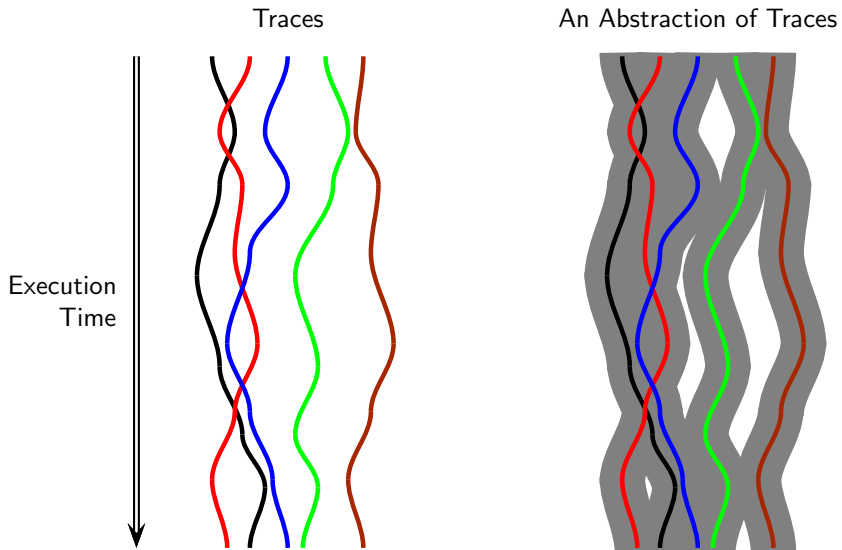
# Static Analysis Computes Abstractions of Traces (1)



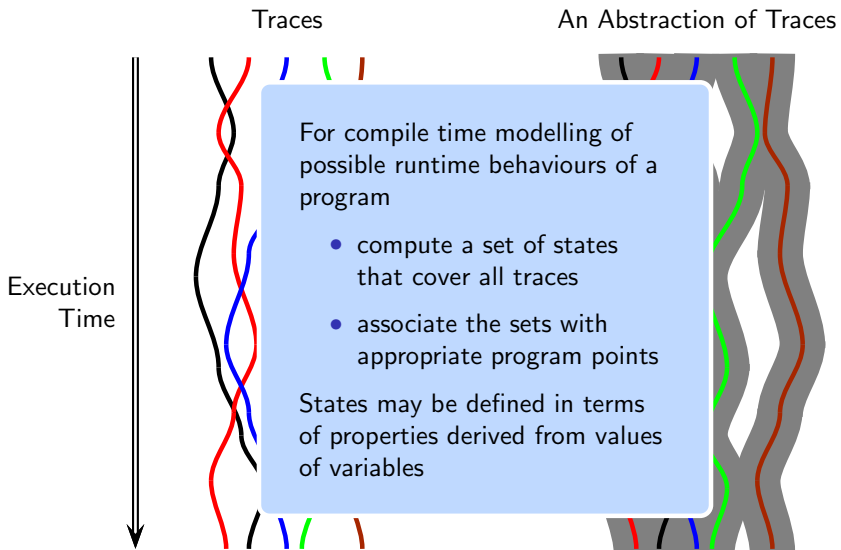
An Abstraction of Traces



# Static Analysis Computes Abstractions of Traces (1)



# Static Analysis Computes Abstractions of Traces (1)



# Static Analysis Computes Abstractions of Traces (2)

*A possible static abstraction using sets*

## Trace 1

*a b c*

*Entry<sub>1</sub>*, (5, 2, 7)

*Entry<sub>2</sub>*, (5, -2, 1)

*Entry<sub>3</sub>*, (5, -2, 1)

*Entry<sub>2</sub>*, (5, -1, 1)

*Entry<sub>3</sub>*, (5, -1, 1)

*Entry<sub>2</sub>*, (5, 0, 1)

*Entry<sub>3</sub>*, (5, 0, 1)

*Entry<sub>2</sub>*, (5, 1, 1)

*Entry<sub>4</sub>*, (5, 1, 1)

*Entry<sub>5</sub>*, (5, 1, 1)

*Entry<sub>6</sub>*, (5, 0, 1)

## Trace 2

*a b c*

*Entry<sub>1</sub>*, (-5, -2, 8)

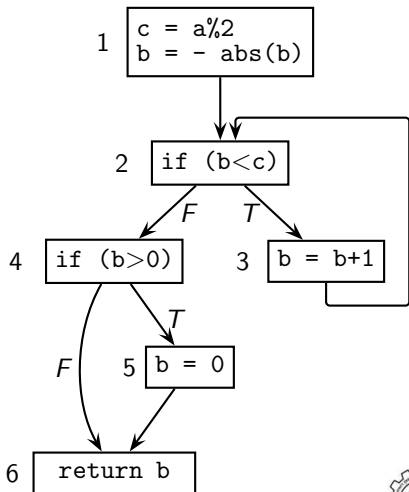
*Entry<sub>2</sub>*, (-5, -2, -1)

*Entry<sub>3</sub>*, (-5, -2, -1)

*Entry<sub>2</sub>*, (-5, -1, -1)

*Entry<sub>4</sub>*, (-5, -1, -1)

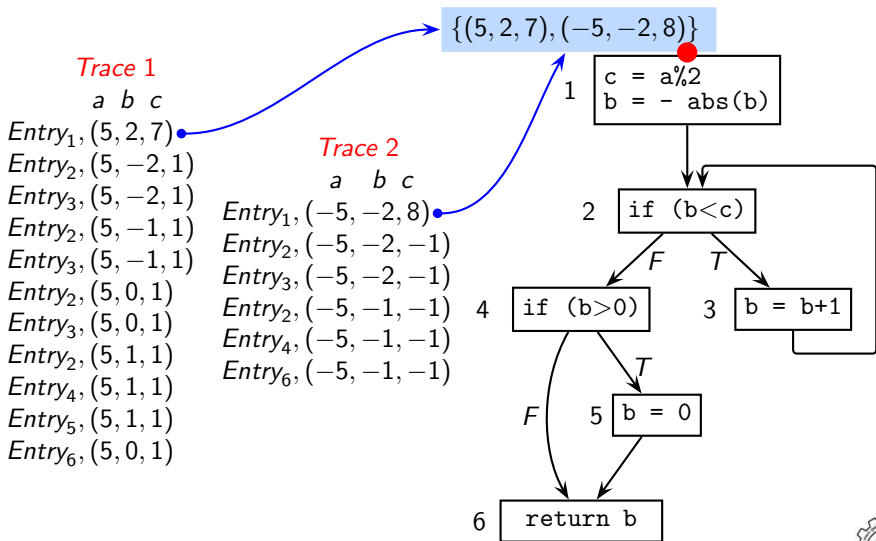
*Entry<sub>6</sub>*, (-5, -1, -1)





# Static Analysis Computes Abstractions of Traces (2)

*A possible static abstraction using sets*



# Static Analysis Computes Abstractions of Traces (2)

*A possible static abstraction using sets*

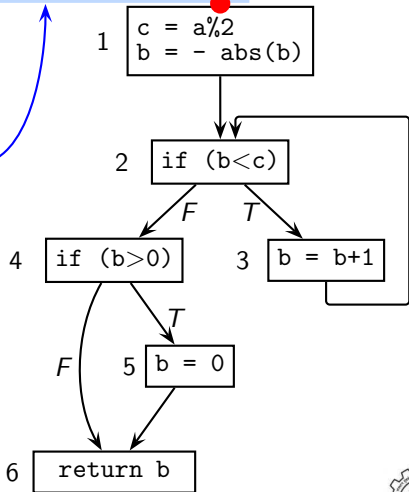
$a = \{-5, 5\}, b = \{-2, 2\}, c = \{7, 8\}$

*Trace 1*

	a	b	c
Entry <sub>1</sub>	(5, 2, 7)		
Entry <sub>2</sub>	(5, -2, 1)		
Entry <sub>3</sub>	(5, -2, 1)		
Entry <sub>2</sub>	(5, -1, 1)		
Entry <sub>3</sub>	(5, -1, 1)		
Entry <sub>2</sub>	(5, 0, 1)		
Entry <sub>3</sub>	(5, 0, 1)		
Entry <sub>2</sub>	(5, 1, 1)		
Entry <sub>4</sub>	(5, 1, 1)		
Entry <sub>5</sub>	(5, 1, 1)		
Entry <sub>6</sub>	(5, 0, 1)		

*Trace 2*

	a	b	c
Entry <sub>1</sub>	(-5, -2, 8)		
Entry <sub>2</sub>	(-5, -2, -1)		
Entry <sub>3</sub>	(-5, -2, -1)		
Entry <sub>2</sub>	(-5, -1, -1)		
Entry <sub>4</sub>	(-5, -1, -1)		
Entry <sub>6</sub>	(-5, -1, -1)		



# Static Analysis Computes Abstractions of Traces (2)

*A possible static abstraction using sets*

$$a = \{-5, 5\}, b = \{-2, 2\}, c = \{7, 8\}$$

*Trace 1*

*a b c*

Entry<sub>1</sub>, (5, 2, 7)

Entry<sub>2</sub>, (5, -2, 1)

Entry<sub>3</sub>, (5, -2, 1)

Entry<sub>2</sub>, (5, -1, 1)

Entry<sub>3</sub>, (5, -1, 1)

Entry<sub>2</sub>, (5, 0, 1)

Entry<sub>3</sub>, (5, 0, 1)

Entry<sub>2</sub>, (5, 1, 1)

Entry<sub>4</sub>, (5, 1, 1)

Entry<sub>5</sub>, (5, 1, 1)

Entry<sub>6</sub>, (5, 0, 1)

*We only show  
the values of b*

*Trace 2*

*a b c*

Entry<sub>1</sub>, (-5, -2, 8)

Entry<sub>2</sub>, (-5, -2, -1)

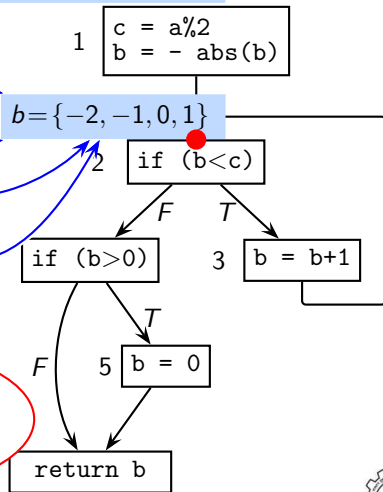
Entry<sub>3</sub>, (-5, -2, -1)

Entry<sub>5</sub>, (-5, -1, -1)

Entry<sub>4</sub>, (-5, -1, -1)

Entry<sub>6</sub>, (-5, -1, -1)

*Combine the values  
across all occurrences  
of a program point*



# Static Analysis Computes Abstractions of Traces (2)

*A possible static abstraction using sets*

$$a = \{-5, 5\}, b = \{-2, 2\}, c = \{7, 8\}$$

*Trace 1*

*a b c*

Entry<sub>1</sub>, (5, 2, 7)

Entry<sub>2</sub>, (5, -2, 1)

Entry<sub>3</sub>, (5, -2, 1)

Entry<sub>2</sub>, (5, -1, 1)

Entry<sub>3</sub>, (5, -1, 1)

Entry<sub>2</sub>, (5, 0, 1)

Entry<sub>3</sub>, (5, 0, 1)

Entry<sub>2</sub>, (5, 1, 1)

Entry<sub>4</sub>, (5, 1, 1)

Entry<sub>5</sub>, (5, 1, 1)

Entry<sub>6</sub>, (5, 0, 1)

*We only show the values of b*

*Trace 2*

*a b c*

Entry<sub>1</sub>, (-5, -2, 8)

Entry<sub>2</sub>, (-5, -2, -1)

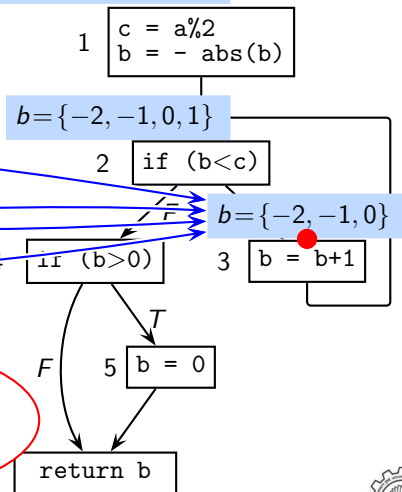
Entry<sub>3</sub>, (-5, -2, -1)

Entry<sub>2</sub>, (-5, -1, -1)

Entry<sub>4</sub>, (-5, -1, -1)

Entry<sub>6</sub>, (-5, -1, -1)

*Combine the values across all occurrences of a program point*



# Static Analysis Computes Abstractions of Traces (2)

*A possible static abstraction using sets*

$a = \{-5, 5\}, b = \{-2, 2\}, c = \{7, 8\}$

*Trace 1*

$a \ b \ c$

Entry<sub>1</sub>, (5, 2, 7)

Entry<sub>2</sub>, (5, -2, 1)

Entry<sub>3</sub>, (5, -2, 1)

Entry<sub>2</sub>, (5, -1, 1)

Entry<sub>3</sub>, (5, -1, 1)

Entry<sub>2</sub>, (5, 0, 1)

Entry<sub>3</sub>, (5, 0, 1)

Entry<sub>2</sub>, (5, 1, 1)

Entry<sub>4</sub>, (5, 1, 1)

Entry<sub>5</sub>, (5, 1, 1)

Entry<sub>6</sub>, (5, 0, 1)

*We only show the values of b*

*Trace 2*

$a \ b \ c$

Entry<sub>1</sub>, (-5, -2, 8)

Entry<sub>2</sub>, (-5, -2, -1)

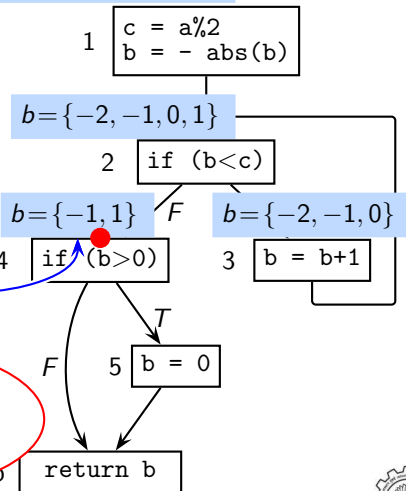
Entry<sub>3</sub>, (-5, -2, -1)

Entry<sub>2</sub>, (-5, -1, -1)

Entry<sub>4</sub>, (-5, -1, -1)

Entry<sub>6</sub>, (-5, -1, -1)

*Combine the values across all occurrences of a program point*



# Static Analysis Computes Abstractions of Traces (2)

*A possible static abstraction using sets*

$a = \{-5, 5\}, b = \{-2, 2\}, c = \{7, 8\}$

*Trace 1*

$a \ b \ c$

Entry<sub>1</sub>, (5, 2, 7)

Entry<sub>2</sub>, (5, -2, 1)

Entry<sub>3</sub>, (5, -2, 1)

Entry<sub>2</sub>, (5, -1, 1)

Entry<sub>3</sub>, (5, -1, 1)

Entry<sub>2</sub>, (5, 0, 1)

Entry<sub>3</sub>, (5, 0, 1)

Entry<sub>2</sub>, (5, 1, 1)

Entry<sub>4</sub>, (5, 1, 1)

Entry<sub>5</sub>, (5, 1, 1)

Entry<sub>6</sub>, (5, 0, 1)

*We only show  
the values of b*

*Trace 2*

$a \ b \ c$

Entry<sub>1</sub>, (-5, -2, 8)

Entry<sub>2</sub>, (-5, -2, -1)

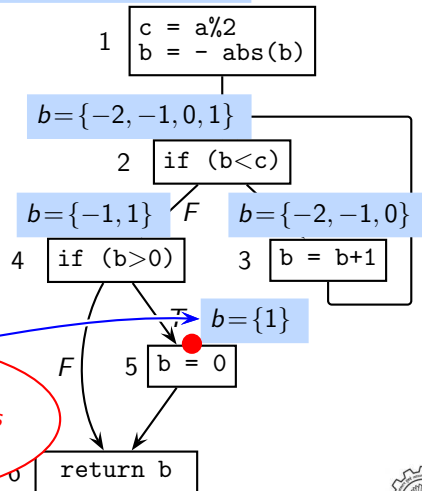
Entry<sub>3</sub>, (-5, -2, -1)

Entry<sub>2</sub>, (-5, -1, -1)

Entry<sub>4</sub>, (-5, -1, -1)

Entry<sub>6</sub>, (-5, -1, -1)

*Combine the values  
across all occurrences  
of a program point*



# Static Analysis Computes Abstractions of Traces (2)

*A possible static abstraction using sets*

$a = \{-5, 5\}, b = \{-2, 2\}, c = \{7, 8\}$

*Trace 1*

$a \ b \ c$

Entry<sub>1</sub>, (5, 2, 7)

Entry<sub>2</sub>, (5, -2, 1)

Entry<sub>3</sub>, (5, -2, 1)

Entry<sub>2</sub>, (5, -1, 1)

Entry<sub>3</sub>, (5, -1, 1)

Entry<sub>2</sub>, (5, 0, 1)

Entry<sub>3</sub>, (5, 0, 1)

Entry<sub>2</sub>, (5, 1, 1)

Entry<sub>4</sub>, (5, 1, 1)

Entry<sub>5</sub>, (5, 1, 1)

Entry<sub>6</sub>, (5, 0, 1)

*We only show the values of b*

*Trace 2*

$a \ b \ c$

Entry<sub>1</sub>, (-5, -2, 8)

Entry<sub>2</sub>, (-5, -2, -1)

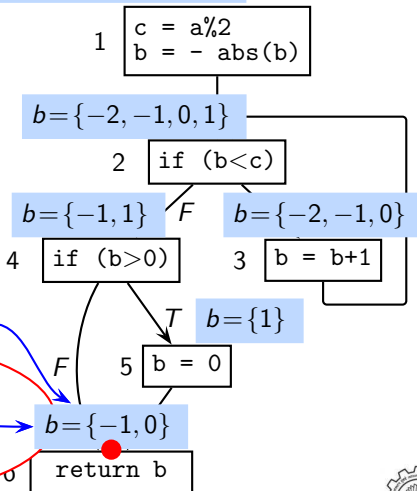
Entry<sub>3</sub>, (-5, -2, -1)

Entry<sub>2</sub>, (-5, -1, -1)

Entry<sub>4</sub>, (-5, -1, -1)

Entry<sub>6</sub>, (-5, -1, -1)

*Combine the values across all occurrences of a program point*



# Computing Static Abstraction for Liveness of Variables

At a program point  $p$

$a \mapsto 1 \Rightarrow a$  is live at  $p$

$a \mapsto 0 \Rightarrow a$  is not live at  $p$

*Trace 1*

$a \ b \ c$

$Entry_1, (1, 1, 0)$

$Entry_2, (0, 1, 1)$

$Entry_3, (0, 1, 1)$

$Entry_2, (0, 1, 1)$

$Entry_3, (0, 1, 1)$

$Entry_2, (0, 1, 1)$

$Entry_3, (0, 1, 1)$

$Entry_2, (0, 1, 1)$

$Entry_4, (0, 1, 0)$

$Entry_5, (0, 0, 0)$

$Entry_6, (0, 1, 0)$

*Trace 2*

$a \ b \ c$

$Entry_1, (1, 1, 0)$

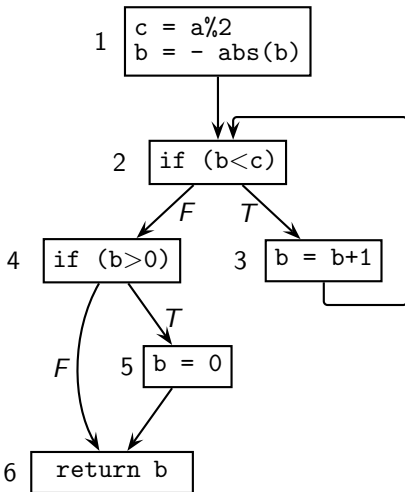
$Entry_2, (0, 1, 1)$

$Entry_3, (0, 0, 1)$

$Entry_2, (0, 1, 1)$

$Entry_4, (0, 1, 0)$

$Entry_6, (0, 1, 0)$





# Computing Static Abstraction for Liveness of Variables

At a program point  $p$

$a \mapsto 1 \Rightarrow a$  is live at  $p$

$a \mapsto 0 \Rightarrow a$  is not live at  $p$

*Trace 1*

$a \ b \ c$

$Entry_1, (1, 1, 0)$

$Entry_2, (0, 1, 1)$

$Entry_3, (0, 1, 1)$

$Entry_2, (0, 1, 1)$

$Entry_3, (0, 1, 1)$

$Entry_2, (0, 1, 1)$

$Entry_3, (0, 1, 1)$

$Entry_2, (0, 1, 1)$

$Entry_4, (0, 1, 0)$

$Entry_5, (0, 0, 0)$

$Entry_6, (0, 1, 0)$

*Trace 2*

$a \ b \ c$

$Entry_1, (1, 1, 0)$

$Entry_2, (0, 1, 1)$

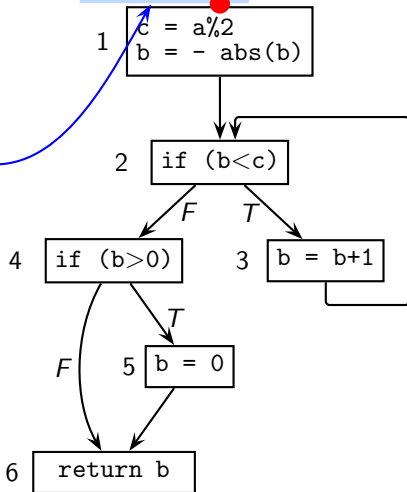
$Entry_3, (0, 0, 1)$

$Entry_2, (0, 1, 1)$

$Entry_4, (0, 1, 0)$

$Entry_6, (0, 1, 0)$

110 or  $\{a, b\}$



# Computing Static Abstraction for Liveness of Variables

At a program point  $p$

$a \mapsto 1 \Rightarrow a$  is live at  $p$

$a \mapsto 0 \Rightarrow a$  is not live at  $p$

*Trace 1*

$a \ b \ c$

Entry<sub>1</sub>, (1, 1, 0)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>4</sub>, (0, 1, 0)

Entry<sub>5</sub>, (0, 0, 0)

Entry<sub>6</sub>, (0, 1, 0)

*Trace 2*

$a \ b \ c$

Entry<sub>1</sub>, (1, 1, 0)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>4</sub>, (0, 1, 0)

Entry<sub>6</sub>, (0, 1, 0)

110 or  $\{a, b\}$

1

$c = a \% 2$   
 $b = -abs(b)$

011 or  $\{b, c\}$

if ( $b < c$ )

F

T

if ( $b > 0$ )

3

$b = b + 1$

F

5

$b = 0$

6

return  $b$



# Computing Static Abstraction for Liveness of Variables

At a program point  $p$

$a \mapsto 1 \Rightarrow a$  is live at  $p$

$a \mapsto 0 \Rightarrow a$  is not live at  $p$

*Trace 1*

$a \ b \ c$

Entry<sub>1</sub>, (1, 1, 0)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>4</sub>, (0, 1, 0)

Entry<sub>5</sub>, (0, 0, 0)

Entry<sub>6</sub>, (0, 1, 0)

*Trace 2*

$a \ b \ c$

Entry<sub>1</sub>, (1, 1, 0)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 0, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>4</sub>, (0, 1, 0)

Entry<sub>6</sub>, (0, 1, 0)

110 or  $\{a, b\}$

1

```
c = a%2
b = -abs(b)
```

011 or  $\{b, c\}$

2

```
if (b < c)
```

011 or  $\{b, c\}$

3

```
b = b+1
```

```
if (b > 0)
```

$F$

$T$

5

```
b = 0
```

6

```
return b
```



# Computing Static Abstraction for Liveness of Variables

At a program point  $p$

$a \mapsto 1 \Rightarrow a$  is live at  $p$

$a \mapsto 0 \Rightarrow a$  is not live at  $p$

*Trace 1*

$a \ b \ c$

Entry<sub>1</sub>, (1, 1, 0)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>4</sub>, (0, 1, 0)

Entry<sub>5</sub>, (0, 0, 0)

Entry<sub>6</sub>, (0, 1, 0)

*Trace 2*

$a \ b \ c$

Entry<sub>1</sub>, (1, 1, 0)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 0, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 0)

Entry<sub>6</sub>, (0, 1, 0)

110 or  $\{a, b\}$

1

$c = a \% 2$   
 $b = - \text{abs}(b)$

011 or  $\{b, c\}$

2

if ( $b < c$ )

010 or  $\{b\}$

4

if ( $b > 0$ )

011 or  $\{b, c\}$

3

$b = b + 1$

F

5

$b = 0$

6

return  $b$



# Computing Static Abstraction for Liveness of Variables

At a program point  $p$

$a \mapsto 1 \Rightarrow a$  is live at  $p$

$a \mapsto 0 \Rightarrow a$  is not live at  $p$

**Trace 1**

$a \ b \ c$

Entry<sub>1</sub>, (1, 1, 0)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>4</sub>, (0, 1, 0)

Entry<sub>5</sub>, (0, 0, 0)

Entry<sub>6</sub>, (0, 1, 0)

**Trace 2**

$a \ b \ c$

Entry<sub>1</sub>, (1, 1, 0)

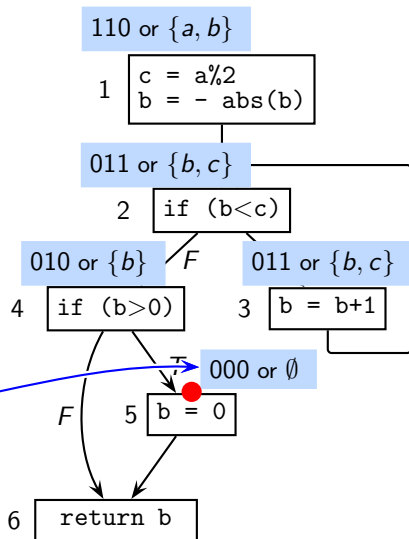
Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 0, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>4</sub>, (0, 1, 0)

Entry<sub>6</sub>, (0, 1, 0)



# Computing Static Abstraction for Liveness of Variables

At a program point  $p$

$a \mapsto 1 \Rightarrow a$  is live at  $p$

$a \mapsto 0 \Rightarrow a$  is not live at  $p$

*Trace 1*

$a \ b \ c$

Entry<sub>1</sub>, (1, 1, 0)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>4</sub>, (0, 1, 0)

Entry<sub>5</sub>, (0, 0, 0)

Entry<sub>6</sub>, (0, 1, 0)

*Trace 2*

$a \ b \ c$

Entry<sub>1</sub>, (1, 1, 0)

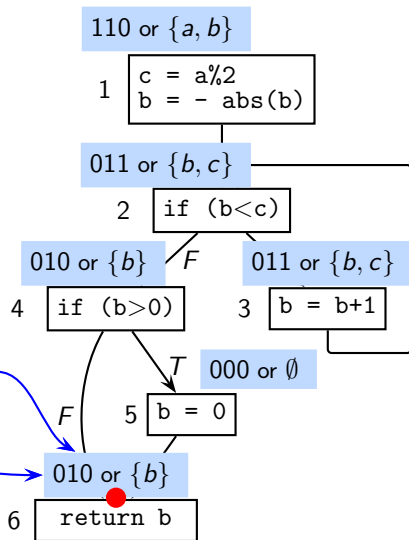
Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 0, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>4</sub>, (0, 1, 0)

Entry<sub>6</sub>, (0, 1, 0)



# Computing Static Abstraction for Liveness of Variables

At a program point  $p$

$a \mapsto 1 \Rightarrow a$  is live at  $p$

$a \mapsto 0 \Rightarrow a$  is not live at  $p$

## Trace 1

$a \ b \ c$

Entry<sub>1</sub>, (1, 1, 0)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>3</sub>, (0, 1, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>4</sub>, (0, 1, 0)

Entry<sub>5</sub>, (0, 0, 0)

Entry<sub>6</sub>, (0, 1, 0)

## Trace 2

$a \ b \ c$

Entry<sub>1</sub>, (1, 1, 0)

Entry<sub>2</sub>, (0, 1, 1)

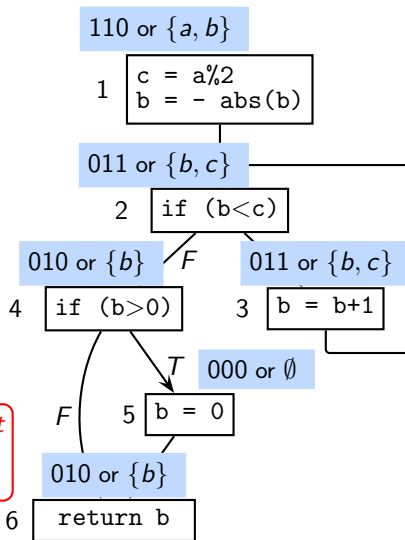
Entry<sub>3</sub>, (0, 0, 1)

Entry<sub>2</sub>, (0, 1, 1)

Entry<sub>4</sub>, (0, 1, 0)

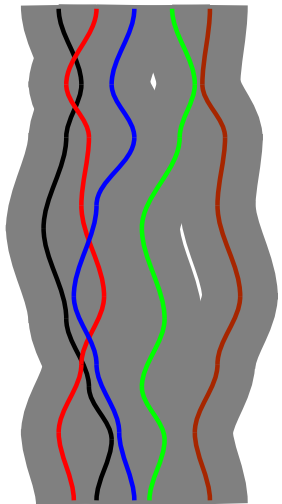
Entry<sub>6</sub>, (0, 1, 0)

Trace 2 does not  
add anything to  
the abstraction



# Soundness of Abstractions (1)

Sound

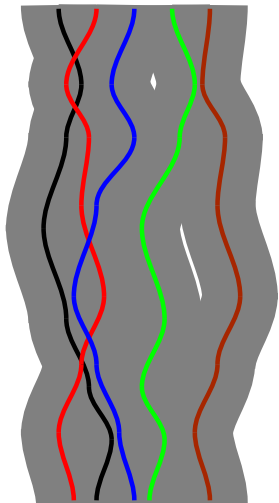


- An over-approximation of traces is sound

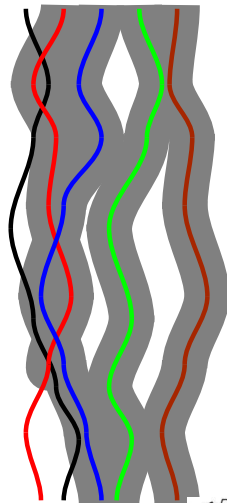


# Soundness of Abstractions (1)

Sound



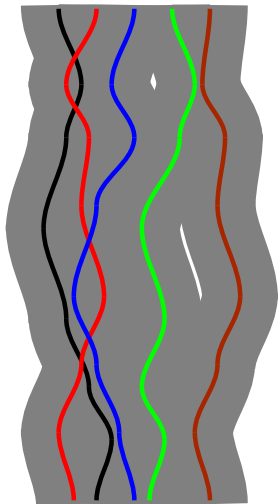
Unsound



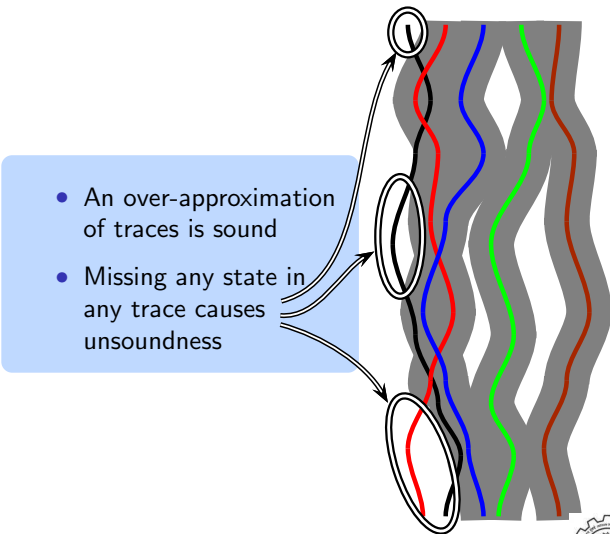
- An over-approximation of traces is sound
- Missing any state in any trace causes unsoundness

## Soundness of Abstractions (1)

Sound

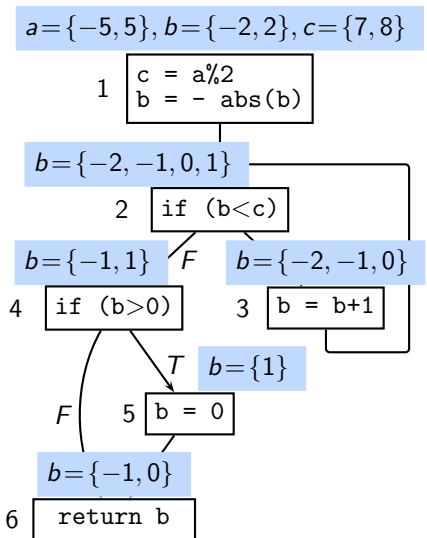


Unsound



## Soundness of Abstractions (2)

### An unsound abstraction



All variables can have arbitrary values at the start.

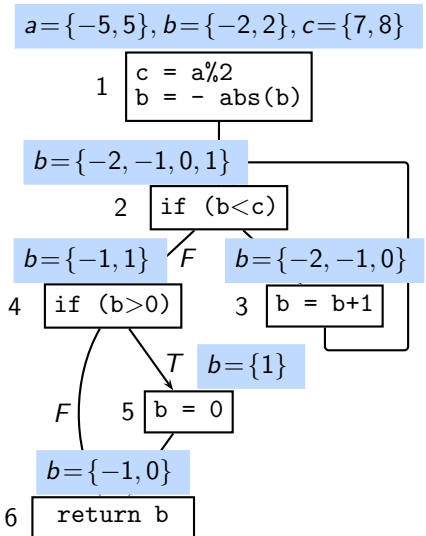
$b$  can have many more values at the entry of

- blocks 2 and 3 (e.g. -3, -8, ...)
- block 4 (e.g. 0)



## Soundness of Abstractions (2)

### An unsound abstraction



### A sound abstraction using intervals

- Over-approximated range of values denoted by

$$\left[ low\_limit, high\_limit \right]$$

- Inclusive limits with

$$low\_limit \leq high\_limit$$

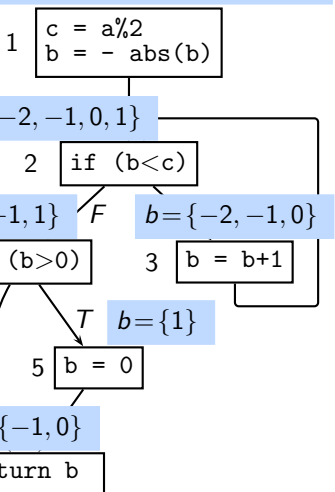
- One contiguous range per variable with no “holes”



## Soundness of Abstractions (2)

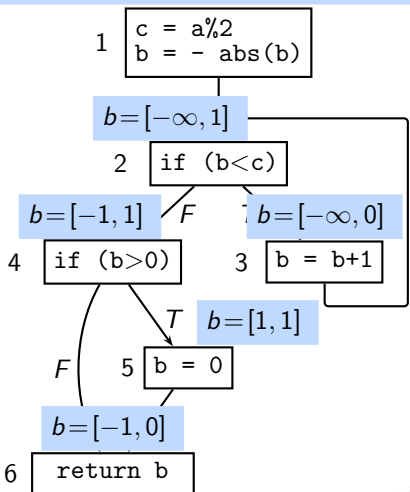
### An unsound abstraction

$a = \{-5, 5\}, b = \{-2, 2\}, c = \{7, 8\}$



### A sound abstraction using intervals

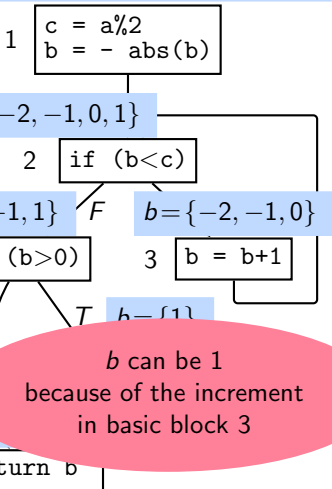
$a = [-\infty, \infty], b = [-\infty, \infty], c = [-\infty, \infty]$



## Soundness of Abstractions (2)

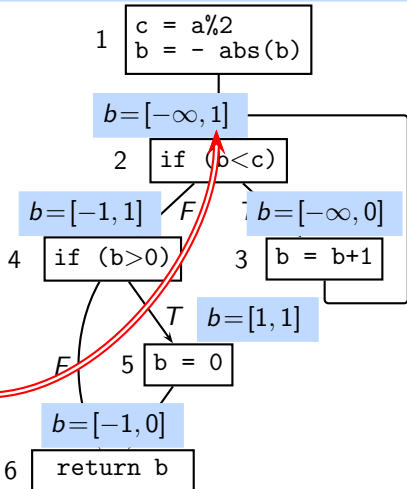
### An unsound abstraction

$a = \{-5, 5\}, b = \{-2, 2\}, c = \{7, 8\}$



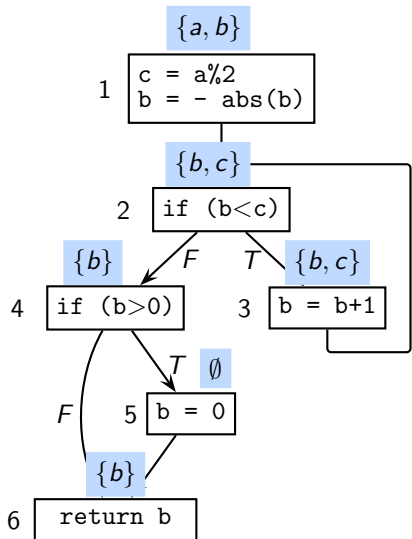
### A sound abstraction using intervals

$a = [-\infty, \infty], b = [-\infty, \infty], c = [-\infty, \infty]$

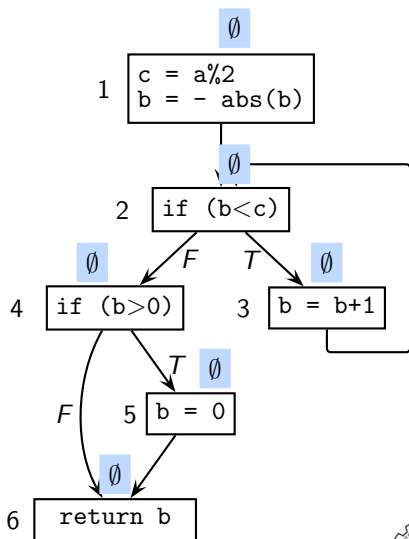


# Soundness of Abstractions for Liveness Analysis

A sound abstraction

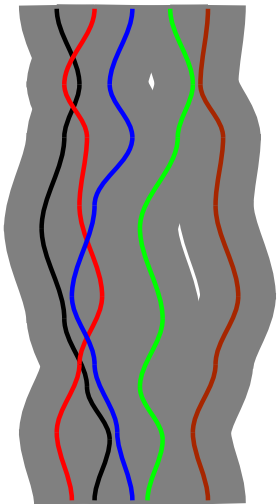


An unsound abstraction



## Precision of Sound Abstractions(1)

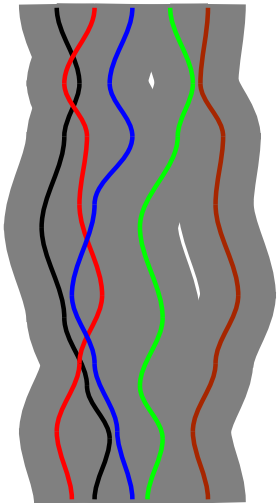
Sound but imprecise



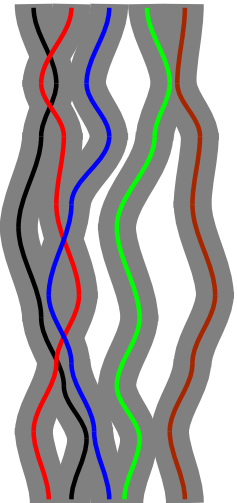


# Precision of Sound Abstractions(1)

Sound but imprecise

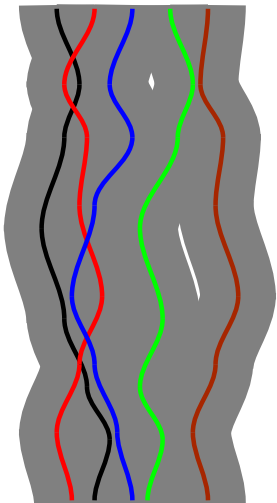


Sound and more precise

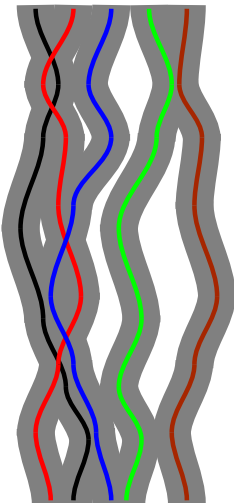


## Precision of Sound Abstractions(1)

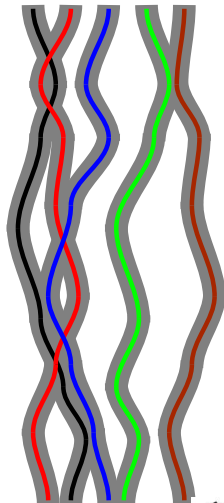
Sound but imprecise



Sound and more precise



Sound and even more precise

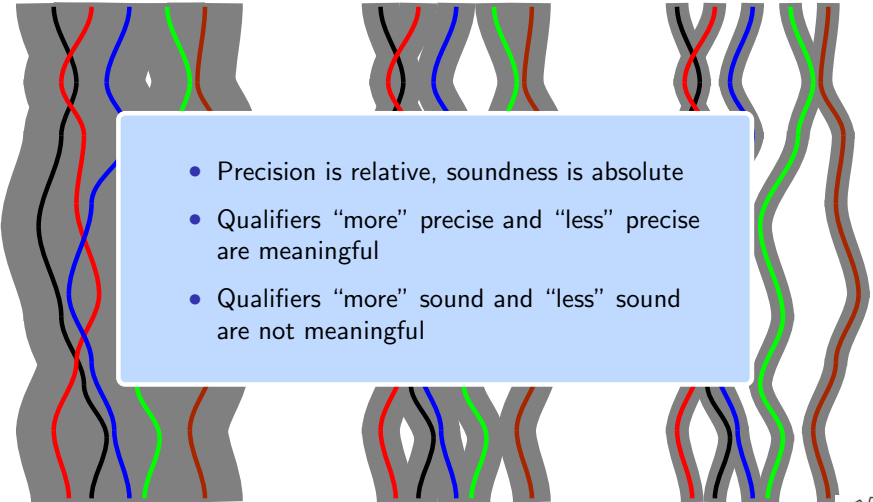


## Precision of Sound Abstractions(1)

Sound but imprecise

Sound and more precise

Sound and even more precise

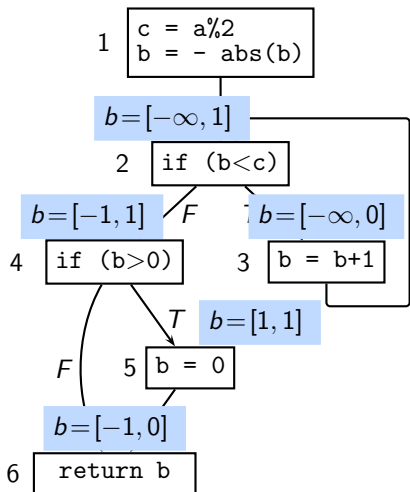
- 
- Precision is relative, soundness is absolute
  - Qualifiers “more” precise and “less” precise are meaningful
  - Qualifiers “more” sound and “less” sound are not meaningful



## Precision of Sound Abstractions(2)

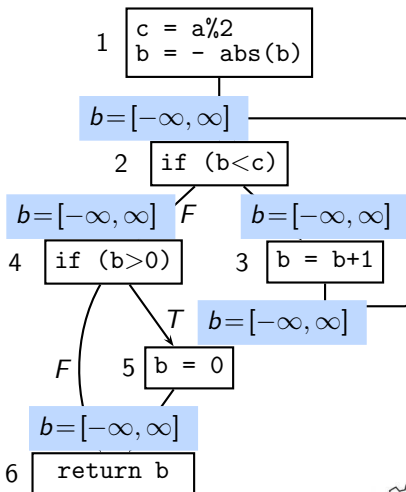
*A precise abstraction using intervals*

$a = [-\infty, \infty], b = [-\infty, \infty], c = [-\infty, \infty]$



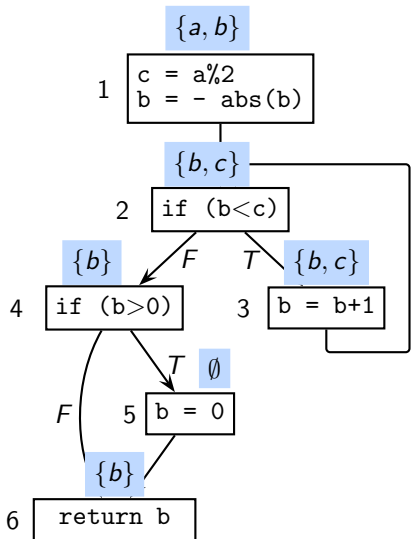
*An imprecise abstraction using intervals*

$a = [-\infty, \infty], b = [-\infty, \infty], c = [-\infty, \infty]$

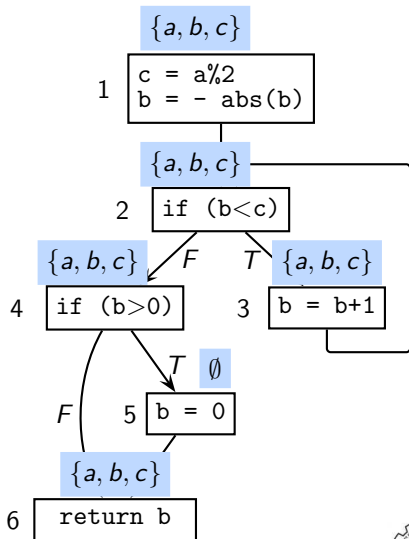


## Precision of Abstractions for Liveness Analysis

A precise abstraction



An imprecise abstraction



## Other Terminologies

- We have talked about **Soundness** and **Precision** in the context of conventional program analysis
- Some other terminologies from program analysis in other contexts
  - ▶ From the world of verification and validation/testing  
**True Positive**, **False Positive**, **True Negative**, and **False Negative**  
**Precision** and **Recall**
  - ▶ From the world of logic  
**Soundness** and **Completeness**

*All of them are related to our notions of **Soundness** and **Precision***



## MoP View and Property-Based View of Program Analysis

- Let the set of control flow paths involving a program point  $n$  be defined as

$$Paths(n) = \{ (\overrightarrow{\rho}, n, \overleftarrow{\rho}) \mid \overrightarrow{\rho} \text{ is a path from START to } n, \\ \overleftarrow{\rho} \text{ is a path from } n \text{ to END} \}$$

$\rho \in Paths(n)$  generically denotes  $\overrightarrow{\rho}$  or  $\overleftarrow{\rho}$  as may be appropriate

- The goal of an analysis  $A$  is to compute

$$\forall n, A_n = \prod_{\rho_n \in Paths(n)} f_{\rho_n}(BI) \quad (\text{Conventional MoP View})$$

$$\forall n, A_n \Leftrightarrow \exists \rho \in Paths(n) \text{ s.t. } p(\rho) \quad (\text{Property-based view})$$

where predicate  $p$  defines a path property

- Some times we need a property  $q$  to hold along every path

In such a situation, we choose  $p(\rho) = \neg q(\rho)$



## Examples of Path Properties

- Properties that hold at program point  $n$  along SOME path
  - ▶ **Live Variables Analysis**. Variable  $v$  is live
  - ▶ **Range Analysis**. Variable  $v$  has a value between the range  $[low, high]$
  - ▶ **MAY Points-to Analysis**. Pointer  $w$  holds the address of location  $l$

Choose  $p$  as the same property





## Examples of Path Properties

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Choose  $p$  as the same property

- Properties that hold at program point  $n$  along EVERY path
  - ▶ **Available Expressions Analysis**. Expression  $a * b$  is available
  - ▶ **MUST Points-to Analysis**. Pointer  $w$  holds the address of location  $l$
  - ▶ **Constant Propagation**. Variable  $v$  has a constant value  $c$



## Examples of Path Properties

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Choose  $p$  as the same property

- Properties that hold at program point  $n$  along EVERY path
  - ▶ **Available Expressions Analysis**. Expression  $a * b$  is available  
Choose  $p$  as: **Expression  $a * b$  is NOT available**
  - ▶ **MUST Points-to Analysis**. Pointer  $w$  holds the address of location  $l$   
Choose  $p$  as: **Pointer  $w$  DOES NOT hold the address of location  $l$**
  - ▶ **Constant Propagation**. Variable  $v$  has a constant value  $c$   
Choose  $p$  as: **Variable  $v$  DOES NOT have a constant value  $c$**



## Definiteness and Conservativeness

- The goal of an analysis  $A$  is to compute

$$\forall n, A_n \Leftrightarrow \exists \rho \in Paths(n) \text{ s.t. } p(\rho)$$

where predicate  $p$  defines a path property

- Due to the SOME path property



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- The goal of an analysis  $A$  is to compute

$$\forall n, A_n \Leftrightarrow \exists \rho \in Paths(n) \text{ s.t. } p(\rho)$$

where predicate  $p$  defines a path property

- Due to the SOME path property

$A_n = \text{true}$ is conservative	$\equiv$ may not hold along every path
$A_n = \text{false}$ is definite	$\equiv$ guaranteed to hold along every path



## Relating Definiteness and Conservativeness to Some Path Property

Live Variables Analysis  
Existential property  
(Satisfied by some path)

Available Expressions Analysis  
Universal property  
(Satisfied by every path)

Yes

**Conservative**

Yes

??

**Definite**

??

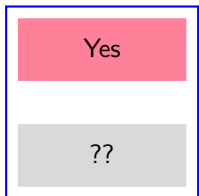
No

No

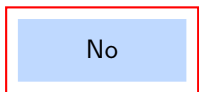


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Live Variables Analysis  
Existential property  
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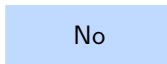
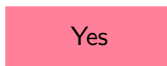


**Conservative**



**Definite**

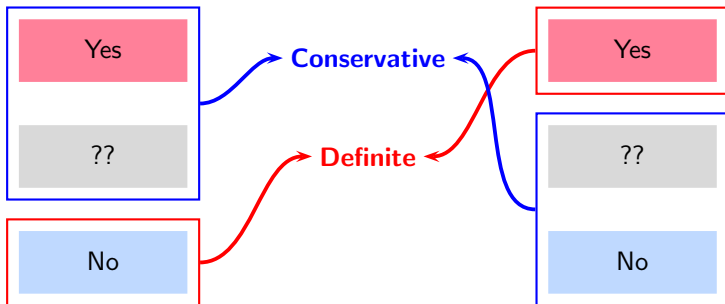
Available Expressions Analysis  
Universal property  
(Satisfied by every path)



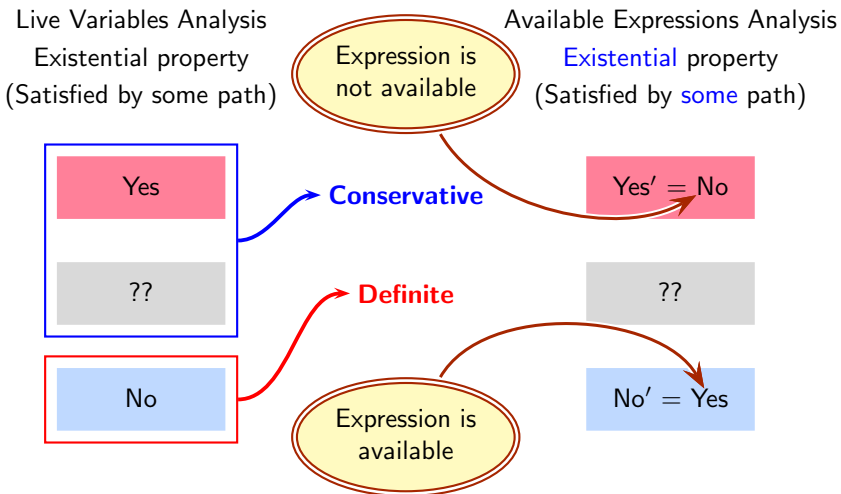
## Relating Definiteness and Conservativeness to Some Path Property

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Existential property  
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## Relating Definiteness and Conservativeness to Some Path Property

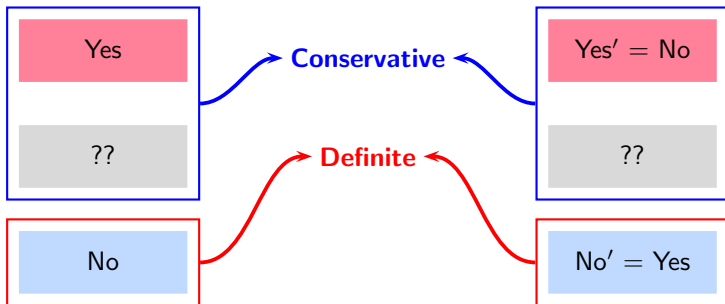




# Relating Definiteness and Conservativeness to Some Path Property

Live Variables Analysis  
Existential property  
(Satisfied by some path)

Available Expressions Analysis  
**Existential** property  
(Satisfied by **some** path)



## Examples of Definiteness and Conservativeness

Conservative Properties	Definite Properties
Variable $x$ is live	Variable $x$ is <b>NOT</b> live
Pointer $w$ points to $x$ (under MAY Points-to Analysis)	Pointer $w$ does <b>NOT</b> point to $x$ (under MAY Points-to Analysis)
Variable $x$ has a value in the range $[2, 30]$	Variable $x$ does <b>NOT</b> have a value in the range $[2, 30]$
Expression $a * b$ is <b>NOT</b> available	Expression $a * b$ is available
Pointer $w$ does <b>NOT</b> point to $x$ (under MUST Points-to Analysis)	Pointer $w$ points to $x$ (under MUST Points-to Analysis)
Variable $x$ does <b>NOT</b> have value 4	Variable $x$ has value 4



$\{\text{True, False}\} \times \{\text{Positive, Negative}\}$

	Analysis finds that $A_n$ holds	Analysis finds that $A_n$ does not hold
$A_n$ holds	True Positive	False Negative
$A_n$ does not hold	False Positive	True Negative



$\{\text{True, False}\} \times \{\text{Positive, Negative}\}$

	Analysis finds that $A_n$ holds	Analysis finds that $A_n$ does not hold
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	Analysis finds that $A_n$ holds	Analysis finds that $A_n$ does not hold
$A_n$ holds	True Positive	False Negative
$A_n$ does not hold	False Positive	True Negative

No mismatch between prediction and reality



$\{\text{True, False}\} \times \{\text{Positive, Negative}\}$

	Analysis finds that $A_n$ holds	Analysis finds that $A_n$ does not hold
$A_n$ holds	True Positive	<b>False</b> Negative
$A_n$ does not hold	<b>False</b> Positive	True Negative

Mismatch between prediction and reality



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	Analysis finds that $A_n$ holds	Analysis finds that $A_n$ does not hold
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Harmless Error

Mismatch between prediction and reality





$\{\text{True, False}\} \times \{\text{Positive, Negative}\}$

	Analysis finds that $A_n$ holds	Analysis finds that $A_n$ does not hold
$A_n$ holds	True Positive	<b>False Negative</b>
$A_n$ does not hold	<b>False Positive</b>	True Negative

Harmless Error

Harmful Error

Mismatch between prediction and reality



$$\{\text{True, False}\} \times \{\text{Positive, Negative}\}$$

	Analysis finds that $A_n$ holds	Analysis finds that $A_n$ does not hold
$A_n$ holds	True Positive	<b>False Negative</b>
$A_n$ does not hold	<b>False Positive</b>	True Negative

Harmless Error

Harmful Error

Mismatch between prediction and reality



## Examples of Imprecision and Unsoundness

Imprecision	Unsoundness
Variable $x$ should not be live but is marked live	Variable $x$ should live but is not marked live
Pointer $w$ should not point to $x$ but does point to $x$ (under MAY Points-to Analysis)	Pointer $w$ should point to $x$ but does not point to $x$ (under MAY Points-to Analysis)
Variable $x$ should have a range $[5, 30]$ but has the range $[2, 40]$	Variable $x$ should have a range $[5, 30]$ but has the range $[10, 20]$
Expression $a * b$ should be available but is not available	Expression $a * b$ should not be available but is available
Pointer $w$ should point to $x$ but does not point to $x$ (under MUST Points-to Analysis)	Pointer $w$ should not point to $x$ but does point to $x$ (under MUST Points-to Analysis)
Variable $x$ should have value 4 but has values 4 and 5	Variable $x$ should have values 4 and 5 but has value 4



## Relating to the Terminologies Used in Logic

- Terms used in Logic
  - ▶ A logic is sound if every theorem is a tautology
  - ▶ A logic is complete if every tautology is a theorem

- Terms used in Program Analysis

Define *MUST information* as the run time information that holds in every execution path

- ▶ An analysis is sound if definite information is *MUST*
- ▶ An analysis is complete if *MUST* information is definite

- Completeness  $\equiv$  Precision

When *MUST* info is guaranteed to be definite, the analysis does not miss any definite information  $\Rightarrow$  No False Positives



*Part 12*

# *Extra Topics*

# Tarski's Fixed Point Theorem

Given monotonic  $f : L \rightarrow L$  where  $L$  is a complete lattice

Define

$$\begin{aligned} p \text{ is a fixed point of } f : \quad & \text{Fix}(f) = \{p \mid f(p) = p\} \\ f \text{ is reductive at } p : \quad & \text{Red}(f) = \{p \mid f(p) \sqsubseteq p\} \\ f \text{ is extensive at } p : \quad & \text{Ext}(f) = \{p \mid f(p) \sqsupseteq p\} \end{aligned}$$

Then

$$\begin{aligned} LFP(f) &= \bigsqcap \text{Red}(f) \in \text{Fix}(f) \\ MFP(f) &= \bigsqcup \text{Ext}(f) \in \text{Fix}(f) \end{aligned}$$



# Tarski's Fixed Point Theorem

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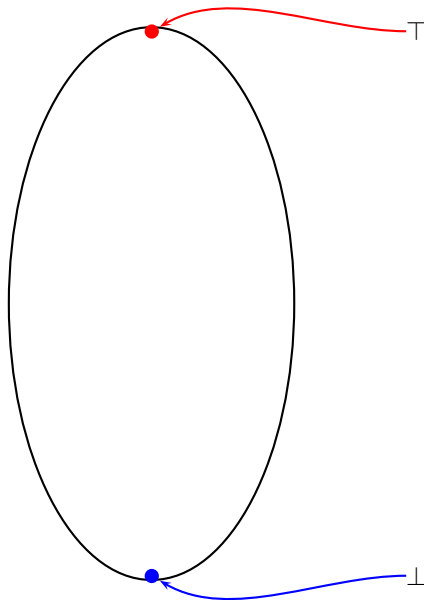
Then

$$\begin{aligned} LFP(f) &= \bigsqcap \text{Red}(f) \in \text{Fix}(f) \\ MFP(f) &= \bigsqcup \text{Ext}(f) \in \text{Fix}(f) \end{aligned}$$

Guarantees only existence, not computability of fixed points

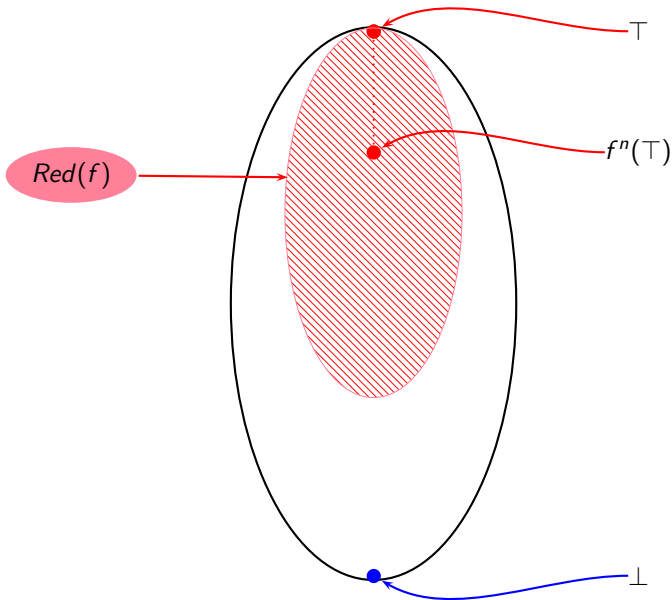


## Fixed Points of a Function

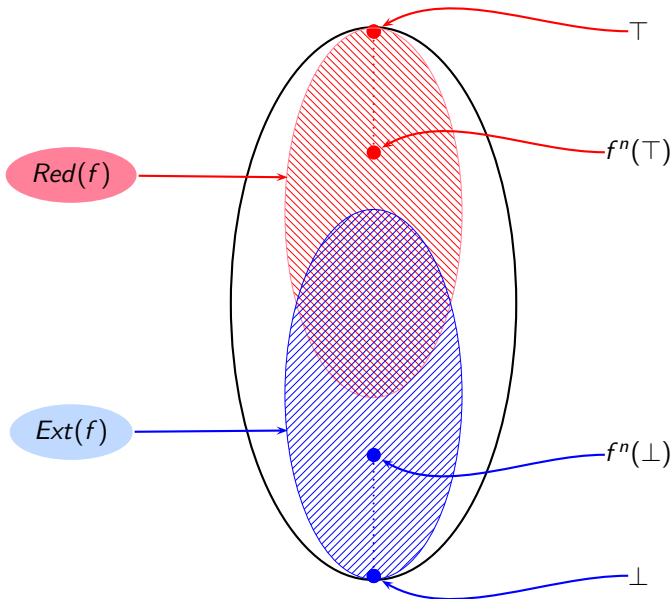




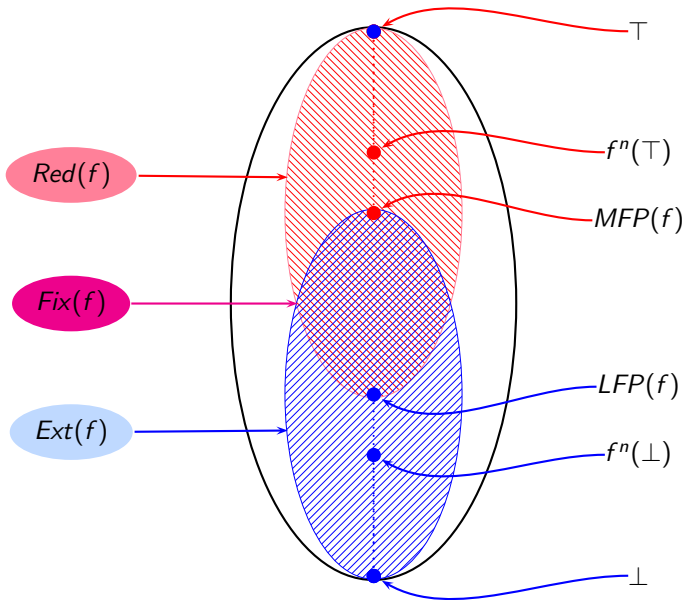
## Fixed Points of a Function



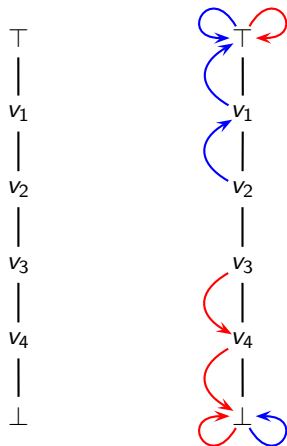
## Fixed Points of a Function



## Fixed Points of a Function



# Examples of Reductive and Extensive Sets

Finite  $L$ Monotonic  $f : L \rightarrow L$ 

$$\text{Red}(f) = \{\top, v_3, v_4, \perp\}$$

$$\text{Ext}(f) = \{\top, v_1, v_2, \perp\}$$

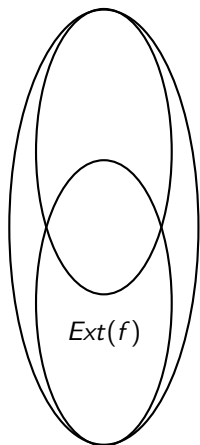
$$\begin{aligned} \text{Fix}(f) &= \text{Red}(f) \cap \text{Ext}(f) \\ &= \{\top, \perp\} \end{aligned}$$

$$\begin{aligned} \text{MFP}(f) &= \text{lub}(\text{Ext}(f)) \\ &= \text{lub}(\text{Fix}(f)) \\ &= \top \end{aligned}$$

$$\begin{aligned} \text{LFP}(f) &= \text{glb}(\text{Red}(f)) \\ &= \text{glb}(\text{Fix}(f)) \\ &= \perp \end{aligned}$$



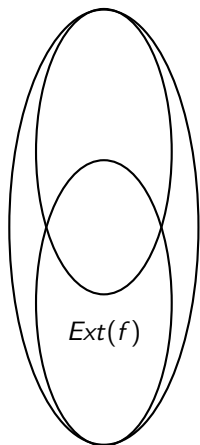
# Existence of MFP: Proof of Tarski's Fixed Point Theorem



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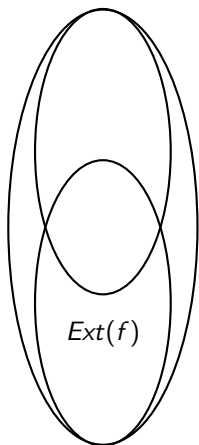
1. Claim 1: Let  $X \subseteq L$ .

$$\forall x \in X, p \sqsupseteq x \Rightarrow p \sqsupseteq \bigsqcup(X).$$



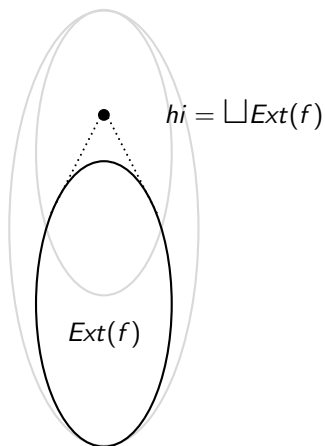
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2. In the following we use  $Ext(f)$  as  $X$



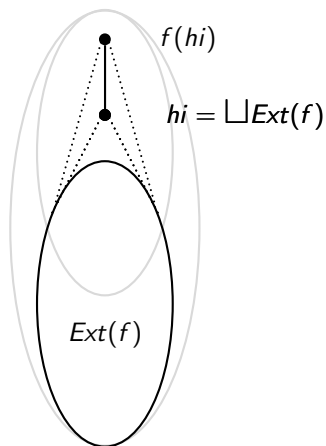
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3.  $\forall p \in Ext(f), hi \sqsupseteq p$





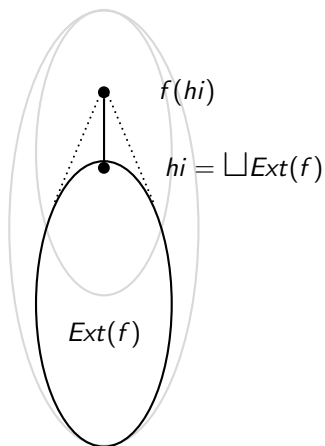
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3.  $\forall p \in Ext(f), hi \sqsupseteq p$
4.  $hi \sqsupseteq p \Rightarrow f(hi) \sqsupseteq f(p) \sqsupseteq p$  (monotonicity)  
 $\Rightarrow f(hi) \sqsupseteq hi$  (claim 1)



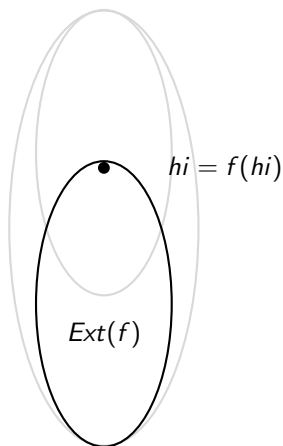
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 $\Rightarrow f(hi) \sqsupseteq hi$  (claim 1)
5.  $f$  is extensive at  $hi$  also:  $hi \in Ext(f)$



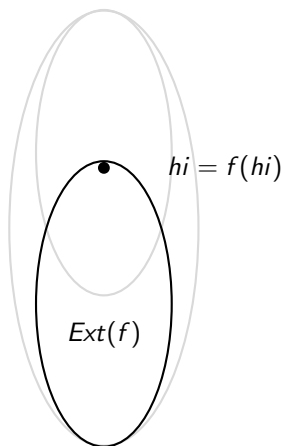
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6.  $f(hi) \sqsupseteq hi \Rightarrow f^2(hi) \sqsupseteq f(hi)$   
 $\Rightarrow f(hi) \in Ext(f)$   
 $\Rightarrow hi \sqsupseteq f(hi)$  (from 3)  
 $\Rightarrow hi = f(hi) \Rightarrow hi \in Fix(f)$



# Existence of MFP: Proof of Tarski's Fixed Point Theorem



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 $\Rightarrow hi \sqsupseteq f(hi)$  (from 3)  
 $\Rightarrow hi = f(hi) \Rightarrow hi \in Fix(f)$
7.  $Fix(f) \subseteq Ext(f)$  (by definition)  
 $\Rightarrow hi \sqsupseteq p, \forall p \in Fix(f)$



# Existence and Computation of the Maximum Fixed Point

- For monotonic  $f : L \rightarrow L$



# Existence and Computation of the Maximum Fixed Point

- For monotonic  $f : L \rightarrow L$ 
  - ▶ Existence:  $MFP(f) = \bigsqcup Ext(f) \in Fix(f)$   
Requires  $L$  to be complete



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Requires  $L$  to be complete
  - ▶ Computation:  $MFP(f) = f^{k+1}(\top) = f^k(\top)$  such that  $f^{j+1}(\top) \neq f^j(\top)$ ,  $j < k$ .  
Requires all *strictly descending* chains to be finite



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- Finite strictly descending and ascending chains  
 $\Rightarrow$  Completeness of lattice





## Existence and Computation of the Maximum Fixed Point

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Requires all *strictly descending* chains to be finite
- Finite strictly descending and ascending chains  
 $\Rightarrow$  Completeness of lattice
- Completeness of lattice  $\nRightarrow$  Finite strictly descending chains
- $\Rightarrow$  Even if MFP exists, it may not be reachable unless all strictly descending chains are finite



## Framework Properties Influencing Complexity

Depends on the loop closure properties of the framework

$k$ -Bounded Frameworks

$$f^*(x) = x \sqcap f(x) \sqcap f^2(x) \sqcap \dots \sqcap f^{k-1}(x)$$

Necessary  
and  
sufficient



## Framework Properties Influencing Complexity

Depends on the loop closure properties of the framework

$k$ -Bounded Frameworks

Fast Frameworks ( $k = 2$ )

$$f^2(x) \sqsupseteq f(x) \sqcap x$$

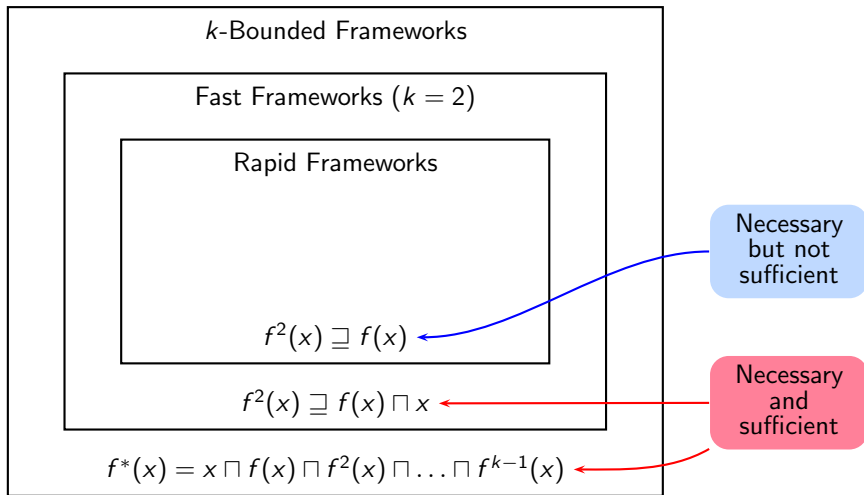
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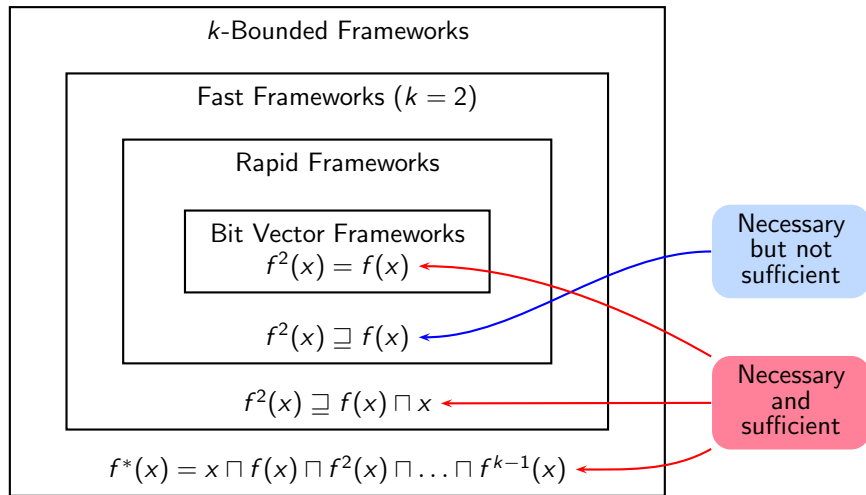
## Framework Properties Influencing Complexity

Depends on the loop closure properties of the framework



## Framework Properties Influencing Complexity

Depends on the loop closure properties of the framework



## Complexity of Round Robin Iterative Algorithm

- Unidirectional rapid frameworks

Task	Number of iterations	
	Irreducible $G$	Reducible $G$
Initialisation	1	1
Convergence (until <i>change</i> remains true)	$d(G, T) + 1$	$d(G, T)$
Verifying convergence ( <i>change</i> becomes false)	1	1

