# Lecture 5: Recursion

### Course outline

- Part 1 Introduction to Computing and Programming (first 2 weeks):
  - Problem solving: Problem statement, algorithm design, programming, testing, debugging
  - Scalar data types: integers, floating point, Boolean, others (letters, colours)
  - Arithmetic, relational, and logical operators, and expressions
  - Data representation of integers, floating point, Boolean
  - Composite data structures: string, tuple, list, dictionary, array
  - Sample operations on string, tuple, list, dictionary, array
  - Algorithms (written in pseudo code) vs. programs
  - Variables and constants (literals): association of names with data objects
  - A language to write pseudo code
  - Programming languages: compiled vs. interpreted programming languages
  - Python as a programming language
  - Computer organization: processor, volatile and non-volatile memory, I/O

# Course outline (may change a bit)

- Part 2 Algorithm design and Programming in Python (balance 11 weeks):
  - Arithmetic/Logical/Boolean expressions and their evaluations in Python
  - Input/output statements (pseudo code, and in Python)
  - Assignment statement (pseudo code, and in Python)
  - Conditional statements, with sample applications
  - Iterative statements, with sample applications
  - Function sub-programs, arguments and scope of variables
  - Recursion
  - Modules
  - Specific data structures in Python (string, tuple, list, dictionary, array), with sample applications
  - Searching and sorting through arrays or lists
  - Handling exceptions
  - Classes, and object-oriented programming
  - (Time permitting) numerical methods: Newton Raphson, integration,
     vectors/matrices operations, continuous-time and discrete-event simulation

- Recursion is a powerful concept, and a tool, for developing algorithms or programs
- Often certain definitions are given recursively:

• Example 1: n factorial

For 
$$n > 0$$
,  $n! = n*(n-1)*(n-2)* ... *2*1$ 

OR, quivalently

Called the "base case"

• Example 1: n factorial

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$$n > 0$$
,  $n! = n*(n-1)*(n-2)* ... *2*1$ 

OR, quivalently

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• Example calculation of n!

1! = 1

2! = 2

3! = 6

4! = 24

Etc.

• Example 2: GCD(a, b), where a > b > 1

$$GCD(a, b) = b$$
, if a  $mod b = 0$ ,  
=  $GCD(b, a mod b)$ , otherwise

Called the "base case"

Example 2: GCD(a, b), where a > b > 1

$$GCD(a, b) = b$$
, if a  $mod b = 0$ ,  
=  $GCD(b, a mod b)$ , otherwise

Called the "base case"

• Example calculations:

```
GCD (15, 6) = GCD(6, 3) = 3
GCD (42, 15) = GCD(15, 12) = GCD(12, 3) = 3
etc.
```

• Example 3: Fibonacci numbers (work by an Indian mathematician in 450 BC–200 BC)

$$F(n) = 1$$
, if  $n = 0$  or  $n = 1$ ,  
=  $F(n-1) + F(n-2)$ , otherwise (viz.  $n >= 2$ )

Called the "base case"

https://en.wikipedia.org/wiki/Fibonacci\_number\_

Alternate definition F(0) = 0, F(1) = 1, F(2) = 1, etc.

• Example 3: Fibonacci numbers

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=  $F(n-1) + F(n-2)$ , otherwise (viz.  $n >= 2$ )

Called the "base case"

• Example calculations:

n	F(n)
0	1
1	1
2	2
3	3
4	5
Etc.	Etc.

https://en.wikipedia.org/wiki/Fibonacci\_number\_

Alternate definition F(0) = 0, F(1) = 1, F(2) = 1, etc.

Example 3: Fibonacci numbers:
 (early work by an Indian mathematician in 450 BC–200 BC)

The "base case"

$$F(n) = 1$$
, if  $n = 0$  or 1,  
=  $F(n-1) + F(n-2)$ ,  $n >= 2$ 

resulting in Fibonacci sequence

n	F(n)	F(n)/F(n-1)
0	1	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
1	1	1.00000
2	2	2.00000
3	3	1.50000
4	5	1.66667
<b>1</b> 5	8	1.60000
6	13	1.62500
7	21	1.61538
8	34	1.61905
9	55	1.61765
10	89	1.61818
11	144	1.61798
12	233	1.61806
13	377	1.61803
14	610	1.61804
15	987	1.61803
16	1597	1.61803
Etc.	Etc.	etc.

Golden ratio: solution to equation  $x^2 = x + 1$ 

Also:

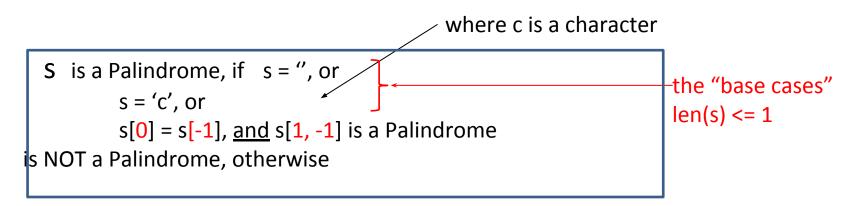
F(n) = 1.61803 \* F(n-1) when n is large

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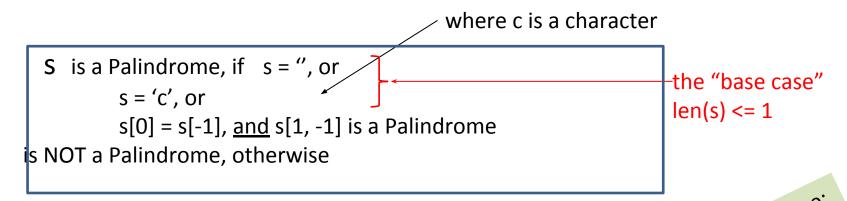
- Example 4: Is a given string S a Palindrome?
- A string S is a Palindrome if S reads the same way forward and backwards
- Example Palindromes:

```
'$'
'anna'
'kayak'
```

- Example 4: Is a given string S a Palindrome?
- A string s is a Palindrome if S reads the same way forward and backwards



- Example 4: Is a given string S a Palindrome?
- A string s is a Palindrome if S reads the same way forward and backwards



**Example Palindromes:** 

**'**\$' 'anna' 'kayak' Etc.

Example NON-Palindromes: 'IN' 'e-Rupee'

Etc.

The following MAY also be considered to be a palindrome: Under what assumptions, though? Intro to rrogramming Monsoon 2023

Recursion – based function program to compute n!

### By definition: n! = 1, if n = 1, = n \* (n-1)!, otherwise

```
# compute n! using recursion
# Assumes that n > 0; returns n!
def fact(n):
    if n == 1:
        return(1)
    else:
        return(n*fact(n - 1))

for k in range(1, 6):
    print(fact(k))
```

Exercise to be done at home:
Rewrite the program without using recursion.

Recursion – based function prografact(

			Giobai
		Local variables/objects	variables/objects
	Main	f(.)	
C	fact(.)	n	fact(.)

#### By definition:

Recursion – based function program to compute n!

```
By definition:
       n! = 1, if n = 1,
         = n * (n-1)!, otherwise
# compute n! using recursion
# Assumes that n > 0; returns n!
def fact(n):
    if n == 1:
        return(1)
    else:
        return(n*fact(n - 1))
for k in range (1, 6):
    print(fact(k))
```

Visualize its execution using: https://tinyurl.com/47v4xxp6

How is the "base case" handled?

Could it be that this program will never terminate?

What ensures that the program will terminate?

- Example 4: Is a given string S a Palindrome?
- A string s is a Palindrome if s reads the same way forward and backwards

```
s is a Palindrome, if s = ", or

s = 'c', or

s[0] = s[-1], <u>and</u> s[1, -1] is a Palindrome

is NOT a Palindrome, otherwise
```

Algorithmically:
 <u>if (len[s] <= 1) then</u> s is palindrome
 <u>else if ((s[0] = s[-1]) and (string s[1: -1] is a palindrome)) then</u> s is palindrome else s is not a palindrome

- Recursion based function sub-program to test is given string s is a Palindrome
- Algorithmically:

print(isPal("wasitacatisaw"))

```
if (len[s] <= 1) then s is palindrome
else if ((s[0] = s[-1]) and (string s[1:-1] is a palindrome)) then s is palindrome
else s is not a palindrome

# determine whether a given string is a palindrome
def isPal(s):
    """Assumes s is a str only of lower-case English letters.
    It returns True if s is a palindrome, False otherwise."""
    if len(s) <= 1:
        return(True)
    else:
        return((s[0] == s[-1]) and isPal(s[1:-1]))</pre>
```

https://tinyurl.com/3n74b3yu

- Recursion –based algorithm/program to test whether a given string s is a Palindrome
  - This time it allows strings to be form: 'Was it a cat I saw', or 'dog God'

```
# Palindrome 2
# Determine if S is a palindrome
def isPalindrome(s):
    """Assumes s is a str
    Returns True if s is a palindrome; False otherwise.
    Punctuation marks, blanks, and capitalization are ignored."""
    def toChars(s):
        s = s.lower() \sim
        letters = ' \'
        for c in s:
            if c in 'abcdefghijklmnopqrstuvwxyz':
                  letters = letters + c
        return letters
                                                                     Built-in "method" for
    def isPal(s):
        print(' isPal called with', s)
                                                                     strings, as in
        if len(s) \le 1:
                                                                     txt = "Hello my FRIENDS"
            print(' About to return True from base case')
                                                                     x = txt.lower()
                                                                     print(x)
            return True
        else:
                                                                     hello my friends
            answer = s[0] == s[-1] and isPal(s[1:-1])
            print(' About to return', answer, 'for', s)
            return answer
    return(isPal(toChars(s)))
                                                           Let us visualize the execution flow using
Print(isPalindrome('dog..God'))
```

Intro to Programming Monsoon 2023<a href="http://pythontutor.com/visualize.html">http://pythontutor.com/visualize.html</a>

• Example: Fibonacci numbers:

$$F(n) = 1$$
, if  $n = 0$  or 1,  
=  $F(n-1) + F(n-2)$ ,  $n >= 2$ 

resulting in Fibonacci sequence

n	F(n)	F(n)/F(n-1)
0	1	
1	1	1.00000
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14	610	1.61804
15	987	1.61803
16	1597	1.61803
Etc.	Etc.	Etc.

https://en.wikipedia.org/wiki/Fibonacci\_number

In some cases F(0) = 0, F(1) = 1, F(2) = 1, etc.

Golden ratio: solution to equation  $x^2 = x + 1$ 

Also:

F(n) = 1.61803 \* F(n-1) when n is large

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- Recursion based function sub-program to compute Fibonacci numbers
- By definition:

```
F(n) = 1, if n = 0 or 1,
           = F(n-1) + F(n-2), n >= 2
# Fibonacci-1
\# compute F(k), for k in [0, 1, 2, 3, 4]
def fib(x):
    """Assumes int x >= 0
       Returns F(x)"""
    if x == 0 or x == 1:
        return(1)
    else:
        return(fib(x-1) + fib(x-2))
for k in range(5):
    print('fib of', k, '=', fib(k))
```

http://tinyurl.com/yc78yey7

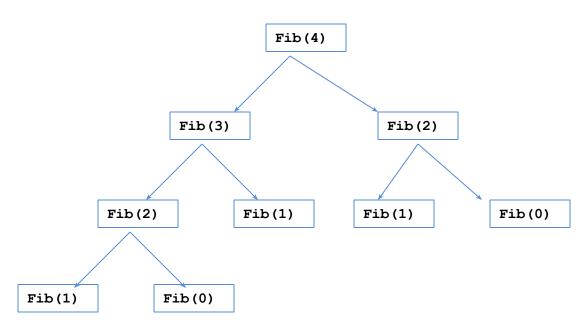
```
1. How is the "base case" handled?

1. How is the "base that this program will a could it be that this program will be the could it be the could it
```

```
Output:
fib of 0 = 1
fib of 1 = 1
fib of 2 = 2
fib of 3 = 3
fib of 4 = 5
```

- How many times is fib (n) called for n=4?
  - More generally, number of times fib(.) is called, M(n):

$$M(n) = 1$$
, of  $n \le 1$   
= 1 +  $M(n-1)$  +  $M(n-2)$ , otherwise



n	M(n)
0	1
1	1
2	3
3	5
4	9
5	15
6	25
7	41
8	67
9	109
10	177
11	287
12	465
13	753

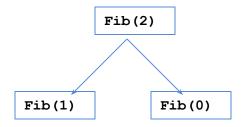
How many times is fib (n) called for n=0 or 1?

n	M(n)
0	1
1	1

Fib(1)

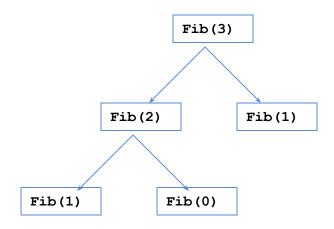
Fib(0)

How many times is fib (n) called for n=2?



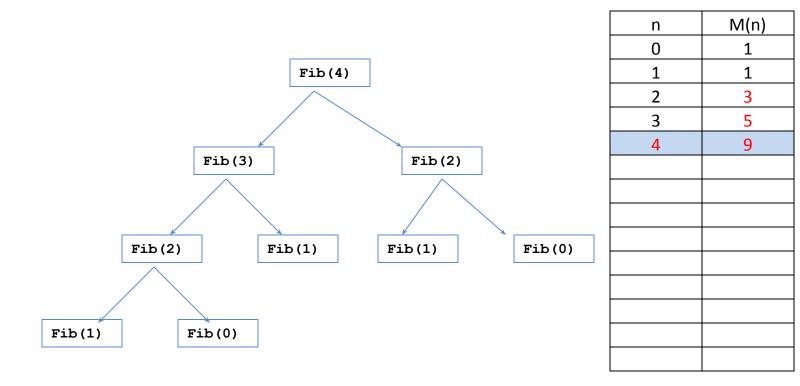
n	M/n)
n	M(n)
0	1
1	1
2	3

How many times is fib (n) called for n=3?



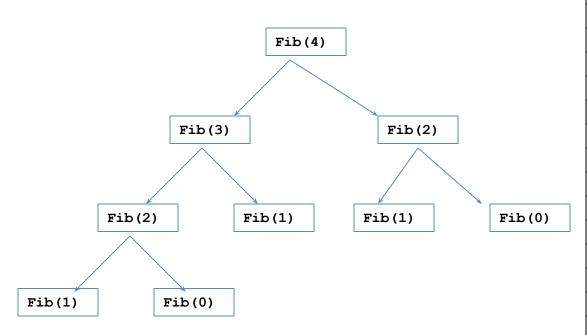
n	M(n)
0	1
1	1
2	3
3	5

How many times is fib (n) called for n=4?



- How many times is fib (n) called for n=4?
  - More generally, number of times fib(.) is called, M(n):

$$M(n) = 1$$
, of  $n \le 1$   
= 1 +  $M(n-1)$  +  $M(n-2)$ , otherwise



n	M(n)
0	1
1	1
2	3
3	5
4	9
Etc.	Etc.

- Global variables vs. local variables
- Counting no. of calls, while computing Fibonacci numbers

```
# Fibonacci-2
# Compute F(k), k \ge 0, while counting no. of calls to fib(.)
def fib(x):
global numFibCalls
    numFibCalls = numFibCalls + 1
    if x == 0 or x == 1:
       return(1)
   else:
       return(fib(x-1) + fib(x-2))
global numFibCalls
for k in range(5):
   numFibCalls = 0
   print('fib of', k, '=', fib(k))
   print("fib called", numFibCalls, "times.")
```

http://tinyurl.com/czv4wd4j

- Global variables vs. local variables
- Counting no. of calls, while computing Fibonacci numbers

```
# Fibonacci-2
# Compute F(k), k \ge 0, while counting no. of calls to fib(.)
def fib(x):
global numFibCalls
                                                Output:
                                                fib of 0 = 1
    numFibCalls = numFibCalls + 1
                                                fib called 1 times.
    if x == 0 or x == 1:
                                                fib of 1 = 1
       return(1)
                                                 fib called 1 times.
   else:
                                                fib of 2 = 2
       return(fib(x-1) + fib(x-2))
                                                fib called 3 times.
                                                 fib of 3 = 3
global numFibCalls
                                                 fib called 5 times.
for k in range(5):
                                                fib of 4 = 5
   numFibCalls = 0
                                                 fib called 9 times.
   print('fib of', k, '=', fib(k))
   print("fib called", numFibCalls, "times.")
```

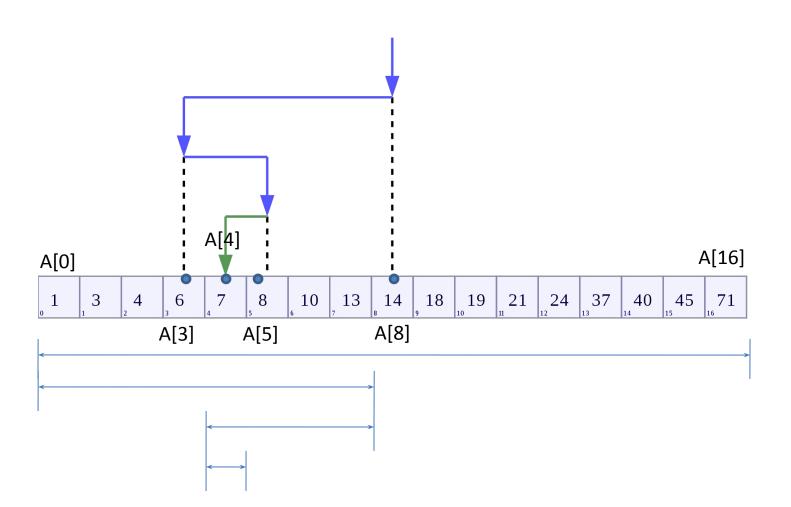
http://tinyurl.com/czv4wd4j

- Global variables vs. local variables
- Counting no. of calls, while computing Fibonacci numbers

```
# Fibonacci-2
# Compute F(k), k \ge 0, while counting no. of calls to fib(.)
def fib(x):
global numFibCalls
                                                     Output
        return(fib(x-1 Advice: Use global variables sparingly. Since
                        indiscriminate use of 'global' variables is likely to
    numFibCalls = numFibCalls + 1
    if x == 0 or x == 1:
                                                                    times.
    else:
                                                          called 1 times.
                         result in incorrect results.
                                                     fib of 2 = 2
                                                     fib called 3 times.
global numFibCalls
                                                     fib of 3 = 3
for k in range(5):
                                                     fib called 5 times.
    numFibCalls = 0
                                                     fib of 4 = 5
    print('fib of', k, '=', fib(k))
                                                     fib called 9 times.
    print("fib called", numFibCalls, "times.")
```

- Recursion (as a method) to solve some simple problems:
  - Search for a data object using "binary search" in a sorted array (for the present array == vector)

https://en.wikipedia.org/wiki/Binary search algorithm



- Recursion (as a method) to solve some simple problems:
  - Search for a data object using "binary search" in a sorted array (for the present array == vector)

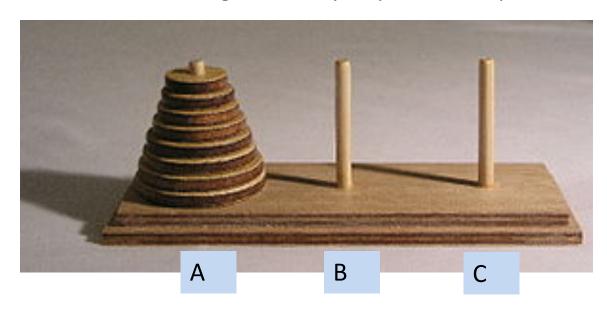
```
# Binary search -2
# Use iteration to locate of data, T, in list A of size n
def binary search(A, n, T):
    L = 0
    R = n-1
    while L <= R:
        m = int(((L + R) / 2))
        if A[m] < T:
             L = m + 1
                                                                 10 13 14 18 19 21 24 37 40 45 71
        else:
             if A[m] > T:
                 R = m-1
                                                            Based on while loop
             else:
                 return("Located at:" , m)
    return("Not found")
#
n = 17
A = [1, 3, 4, 6, 7, 8, 10, 13, 14, 18, 19, 21, 24, 37, 40, 45, 71]
result = binary search(A, n, 7)
print(result)
result = binary search(A, n, 11)
print(result)
                              Intro to Programming Monsoon 2023
```

- Recursion (as a method) to solve some simple problems:
  - Search for a data object using "binary search" in a sorted array (for the present array == vector)

```
# Binary search -2
# Use recursion to locate of data, T, in list A indexed L through R
def binary search(A, L, R, T):
    if L > R:
        return(-1)
    m = int(((L + R) / 2))
    if T == A[m]:
        return (m)
    if T < A[m]:
                                                                 10 | 13 | 14 | 18 | 19 | 21 | 24 | 37 | 40 | 45 | 71
        R = m - 1
    else:
        L = m + 1
    return(binary search(A, L, R, T))
#
A = [1, 3, 4, 6, 7, 8, 10, 13, 14, 18, 19, 21, 24, 37, 40, 45, 71]
                                                                Using recursion
n = len(A)
                    # indexed A[0] through A[16]
print(binary search(A, 0, 16, 7)) # list, low, high, data
print(binary search(A, 0, 16, 9))
```

https://tinyurl.com/2rar95ns

- Recursion (as a method) to solve some difficult problems:
  - Tower of Hanoi problem: move N disks from tower A to tower C, possibly using tower B:
    - Only one disk can be moved at a time
    - Each move consists of taking the upper disk from one of the stacks and placing it on top of another stack or on an empty rod
    - No larger disk may be placed on top of a smaller disk



- Recursion (as a method) to solve some difficult problems:
  - Tower of Hanoi problem: move N disks from tower A to tower C, possibly using tower B:
    - Only one disk can be moved at a time
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    - No larger disk may be placed on top of a smaller disk

#### Solutions:

```
For no. of disks = 1 or = 2 it is easy

For no. of disks = 3 it is not too difficult

For no. of disks = 4 or more, it seems impossible, unless ...
```

Here is the recursive algorithm:

```
If n = 1, "move the disk to Tower C"
else {"move the top n-1 disk from Tower A to Tower B";
    "move the remaining 1 disk from Tower A to Tower C"
    "move the n-1 disks from Tower B to Tower C"}
# Solving the Tower of Hanoi puzzle
def TowerOfHanoi(n , src, dest, aux):
    if n == 1:
        print("Move disk 1 from src", src,"to dest", dest)
        return
    TowerOfHanoi(n-1, src, aux, dest)
    print("Move disk",n,"from src", src,"to dest", dest)
    TowerOfHanoi(n-1, aux, dest, src)
#
n = 4
#Initial peg = 'A', Final peg = 'B', Via peg = 'C'
TowerOfHanoi(n,'A','B','C')
```

```
If n = 1, "move the disk to Tower C"
else {"move the top n-1 disk from Tower A to Tower B";
    "move the remaining 1 disk from Tower A to Tower C"
    "move the n-1 disks from Tower B to Tower C"
# Solving the Tower of Hanoi puzzle
def TowerOfHanoi(n , src, dest, aux):
    if n == 1:
        print("Move disk 1 from src", { Output:
        return
                                         Move disk 1 from src A to dest C
                                         Move disk 2 from src A to dest B
    TowerOfHanoi(n-1, src, aux, dest)
    print("Move disk",n,"from src", src Move disk 1 from src C to dest B
                                         Move disk 3 from src A to dest C
    TowerOfHanoi(n-1, aux, dest, src)
#
                                         Move disk 1 from src B to dest A
                                         Move disk 2 from src B to dest C
n = 4
#Initial peg = 'A', Final peg = 'B', V: Move disk 1 from src A to dest C
TowerOfHanoi(n,'A','B','C')
                                         Move disk 4 from src A to dest B
                                         Move disk 1 from src C to dest B
                                         Move disk 2 from src C to dest A
     https://tinyurl.com/mum66zhz
                                         Move disk 1 from src B to dest A
                                         Move disk 3 from src C to dest B
                                         Move disk 1 from src A to dest C
                                         Move disk 2 from src A to dest B
                           Intro to Programming Monsoon 2023 disk 1 from src C to dest B
```

```
If n = 1, "move the disk to Tower C"
else {"move the top n-1 disk from Tower A to Tower B";
   "move the remaining 1 disk from Tower A to Tower C"
   "move the n-1 disks from Tower B to Tower C"
# Solving the Tower of Hanoi puzzle
def TowerOfHanoi(n , src, dest, aux):
    print("new ToH with n = ", n, "src = ", src, "dest = ", dest)
    if n == 1:
                                  Sequence of calls to TowerOfHanoi
         print("Move disk 1 from new ToH with n = 4 src = A dest = B
#
        return
                                  new ToH with n = 3 src = A dest =
    TowerOfHanoi(n-1, src, aux,
                                  new ToH with n = 2 \text{ src} = A \text{ dest} = B
#
     print("Move disk",n,"from s
                                  new ToH with n = 1 src = A dest = C
    TowerOfHanoi(n-1, aux, dest,
                                  new ToH with n = 1 src = C dest =
                                                                         В
#
                                  new ToH with n = 2 src = B dest =
n = 4
                                  new ToH with n = 1 src = B dest = A
#Initial peg = 'A', Final peg =
                                  new ToH with n = 1 src =
                                                               A dest =
TowerOfHanoi(n,'A','B','C')
                                  new ToH with n = 3 \text{ src} =
                                                               C dest = B
                                  new ToH with n = 2 src = C dest = A
                                  new ToH with n = 1 src =
                                                               C dest =
                                                                         В
                                  new ToH with n = 1 \text{ src} =
                                                              B dest =
                                                                         Α
                                  new ToH with n = 2 src = A dest = B
                           Intro to Programming Monsoon 2023 n = 1 src =
                                                              A dest =
                                                                         C
                                                               C dest =
                                  new ToH with n = 1 src =
```

```
If n = 1, "move the disk to Tower C"
else {"move the top n-1 disk from Tower A to Tower B";
    "move the remaining 1 disk from Tower A to Tower C"
    "move the n-1 disks from Tower B to Tower C"}
```

#### Number of times ToH is called for n:

```
n = 1: 1
n = 2: 3
n = 3: 7
n = 4: 15
n = 5: ?
n = 6: ?
Etc.
```

```
Sequence of calls to TowerOfHanoi
new ToH with n = 4 \text{ src} =
                            A dest =
new ToH with n = 3 \text{ src} =
                            A dest =
new ToH with n = 2 src =
                            A dest =
new ToH with n = 1 src = A dest =
new ToH with n = 1 src =
                            C dest =
                                       В
new ToH with n = 2 src =
                            B dest =
new ToH with n = 1 src = B dest = A
new ToH with n =
                   1 src =
                            A dest =
new ToH with n = 3 \text{ src} =
                            C dest =
                                      В
new ToH with n = 2 \text{ src} =
                            C dest =
                                      Α
new ToH with n =
                   1 \text{ src} =
                            C dest =
                                       В
new ToH with n =
                            B dest =
                   1 \text{ src} =
                                       Α
new ToH with n = 2 src =
                            A dest =
                                       В
   ToH with n = 1 \text{ src} =
                            A dest =
                            C dest =
new ToH with n =
                   1 \text{ src} =
```

```
If n = 1, "move the disk to Tower C"
else {"move the top n-1 disk from Tower A to Tower B";
    "move the remaining 1 disk from Tower A to Tower C"
    "move the n-1 disks from Tower B to Tower C"}
```

#### Number of times ToH is called for n:

```
n = 1: 1

n = 2: 3

n = 3: 7

n = 4: 15

n = 5: ?

n = 6: ?

Etc.
```

#### More generally:

```
P(n) = 1, if n = 1

2* P(n-1) + 1
```

```
Sequence of calls to TowerOfHanoi
       new ToH with n = 4 src = A dest =
       new ToH with n = 3 src = A dest =
       new ToH with n = 2 \text{ src} = A \text{ dest} =
       new ToH with n = 1 src = A dest =
       new ToH with n = 1 src =
                                    C dest =
                                               В
       new ToH with n = 2 \text{ src} =
                                    B dest =
       new ToH with n = 1 src = B dest = A
       new ToH with n = 1 \text{ src} =
                                    A dest =
       new ToH with n = 3 \text{ src} =
                                    C dest =
       new ToH with n = 2 \text{ src} =
                                    C dest =
       new ToH with n =
                           1 src =
                                    C dest =
                                               В
       new ToH with n = 1 src =
                                    B dest =
       new ToH with n = 2 \text{ src} = A \text{ dest} =
                                               В
Intro to Programming Monsoon 2023 n = 1 src =
                                    A dest =
       new ToH with n = 1 src =
                                    C dest =
```

# Q&A

- On recursion
- On n!
- On palindromes
- On Fibonacci(k)
- On binary search
- On Tower of Hanoi