

# 1. Network failure

## (a) Packet delay

Client 1	Server 1	Client 2
RPC_lock_acquire();		
	...grant the lock to C1	RPC_lock_acquire();
...lock acquired		
RPC_append_file('A'); (delayed)		
	...lock times out	
	...grant the lock to C2	
		...lock acquired
	(receive the delayed file_append)	
	...refuse file_append from C1	

**Expected Result:** Client 1 receives `ERROR: LOCK_EXPIRED` as Client 2 has already acquired the lock, and 'A' is not written to the file.

## (b) Packet drop

- Client packet loss

Client 1	Server 1	Client 2
RPC_lock_acquire(); (lost)		RPC_lock_acquire();
	...grant the lock to C2	
	...append 'B'	...lock acquired
		RPC_append_file('B');
	...release the lock for C2	...'B' appended
		RPC_lock_release();
retry: RPC_lock_acquire()	...grant the lock to C1	
...lock acquired		
RPC_append_file('A');	...append 'A'	
...'A' appended		

**Expected Result:** Client 1 is able to acquire the lock through the retry mechanism, and the file contains "BA".

- Server packet loss

Client 1	Server 1	Client 2
RPC_lock_acquire();		
	...grant the lock to C1 (lost)	RPC_lock_acquire();

retry: RPC_lock_acquire()	...inform C1 it has the lock	
...lock acquired		
RPC_append_file('A');	...append 'A'	
...'A' appended		
RPC_lock_release();	...release the lock for C1	
	...grant the lock to C2	
		...lock acquired
RPC_append_file('B');	...append 'B'	
		...'B' appended
		RPC_lock_release();
	...release the lock for C2	

**Expected Result:** Client 1 queries the server with retry, finds out that it holds the lock, and sends file\_append request; the file contains "AB".

#### (b) Duplicated packets

- lock\_release

Client 1	Server 1	Client 2
RPC_lock_acquire();		
	...grant the lock to C1	RPC_lock_acquire();
...lock acquired		
RPC_append_file('A');	...append 'A'	
...'A' appended		
RPC_lock_release(); (delayed)		
retry: RPC_lock_release();	...release the lock for C1	
...lock released	...grant the lock to C2	...lock acquired
	(ignore the duplicated lock_release)	RPC_append_file('B');
	...append 'B'	...'B' appended
		...wait for a while
RPC_lock_acquire();		RPC_append_file('B');
	...append 'B'	...'B' appended
		RPC_lock_release();
	...release the lock for C2	
	...grant the lock to C1	
...lock acquired		
RPC_append_file('A');		

...	'A' appended	...	append 'A'
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**Expected Result:** Client 1 does not mistakenly release the lock for Client 2, and it reacquires the lock after Client 2 releases it; the file contains "ABBA".

#### (b) Combined network failures

- Multiple packet drops & duplicated file\_append

Client 1	Server 1	Client 2
RPC_lock_acquire();		
	...grant the lock to C1	RPC_lock_acquire();
...lock acquired		
RPC_append_file('1');	...append '1'	
...'1' appended		
RPC_append_file('A'); (lost)		
retry: RPC_append_file('A');	...append 'A'	
	...(reponse packet loss)	
retry: RPC_append_file('A');		
	...inform C1 file_append success	
...'A' appended		
RPC_lock_release();	...release the lock for C1	
	...grant the lock to C2	
		...lock acquired
RPC_append_file('B');	...append 'B'	
		...'B' appended

**Expected Result:** Client 1 completes two file\_append requests despite packet losses on both client and server sides; Client 2 then acquires the lock; the file contains "1AB," demonstrating idempotency.

## 2. Client fails/stucks

#### (a) Stucks before editing the file

Client 1	Server 1	Client 2
RPC_lock_acquire();		
	...grant the lock to C1	RPC_lock_acquire();
...lock acquired		
(...garbage collection happens)		
	...lock times out (C1)	

	...grant the lock to C2	...lock acquired
RPC_append_file('B');		
(...GC ends)	...append 'B'	
RPC_append_file('A');		...'B' appended
...ERROR: LOCK_EXPIRED	...refuse file_append from C1	...wait for a while
RPC_append_file('B');		
	...append 'B'	
RPC_lock_acquire();		...'B' appended
		RPC_lock_release();
	...release the lock for C2	
	...grant the lock to C1	
...lock acquired		
RPC_append_file('A');		
	...append 'A'	
...'A' appended		
RPC_append_file('A');		
	...append 'A'	
...'A' appended		

**Expected Result:** Client 2 acquires the lock after Client 1's lock ownership expires; Client 1 receives `ERROR: LOCK_EXPIRED` after its long pause and needs to reacquire the lock; the file contains "BBAA" (with Client 2's appended data appearing first).

**(b) Stucks after editing the file**

Client 1	Server 1	Client 2
RPC_lock_acquire();		
	...grant the lock to C1	RPC_lock_acquire();
...lock acquired		
RPC_append_file('A');	...append 'A'	
...'A' appended		
(...garbage collection happens)	...lock times out (C1)	
	...(option1) remove 'A'	
	...grant the lock to C2	
		...lock acquired
RPC_append_file('B');		
(...GC ends)	...append 'B'	
RPC_append_file('A');		...'B' appended
...ERROR: LOCK_EXPIRED	...refuse file_append from C1	...wait for a while
RPC_append_file('B');		
	...append 'B'	

RPC_lock_acquire();		... 'B' appended RPC_lock_release();
	...release the lock for C2 ...grant the lock to C1	
...lock acquired RPC_append_file('A');		
	...append 'A'	
... 'A' appended RPC_append_file('A');		
	...append 'A'	
... 'A' appended		

**Expected Result:** Client 1's unfinished requests are aborted: 'A' is removed from the file; Client 2 gets the lock after timeout and appends 'B' twice; Client 1 comes back and redo its critical section; the file contains "BBAA".

**NOTE:** The workflow above only shows one option (option1) to guarantee the atomicity of clients' critical sections, you can also implement other solutions (e.g., transaction-based) as long as you can guarantee the two 'A's of client 1 are appended together.

### 3. Single server fails

#### (a) Lock is free

Client 1	Server 1
RPC_lock_acquire();	
	...grant the lock to C1
...lock acquired RPC_append_file('A');	
	...append 'A'
... 'A' appended RPC_append_file('A');	
	...append 'A'
... 'A' appended RPC_lock_release();	
...lock released	...release the lock for C1
RPC_lock_acquire();	(x) Node crashes
	(v) Recovers
retry: RPC_lock_acquire();	...grant the lock to C1
RPC_append_file('1');	
	...append '1'
... '1' appended RPC_lock_release();	
...lock released	...release the lock for C1

**Expected Result:** Server is able to remember the previous committed data "AA", and it continues to accept clients' requests after recovery, thus Client 1 can acquire the lock again and append '1' to the file; the file contains "AA1".

**(b) Lock is held**

Client 1	Server 1	Client 2
RPC_lock_acquire();		
	...grant the lock to C1	RPC_lock_acquire();
...lock acquired		
RPC_append_file('A');	...append 'A'	
...'A' appended		
RPC_lock_release();	...release the lock for C1	
	...grant the lock to C2	...lock acquired
		RPC_append_file('B');
	...append 'B'	...'B' appended
	(x) Node crashes	RPC_append_file('B');
	(v) Recovers	retry: RPC_append_file('B');
	...append 'B'	...'B' appended
RPC_lock_acquire();		RPC_lock_release();
	...release the lock for C2	
	...grant the lock to C1	
...lock acquired		
RPC_append_file('A');	...append 'A'	
...'A' appended		

**Expected Result:** Server is able to remember the previous committed data "AB" and the current lock holder Client 2, so that Client 2 can continue to send file\_append requests after the server recovers from the failure; Client 1 can reacquire the lock after Client 2 releases the lock; the file contains "ABBA".