

GIVEN G , find the minimum number of colors to color G . ($\chi(G)$)?

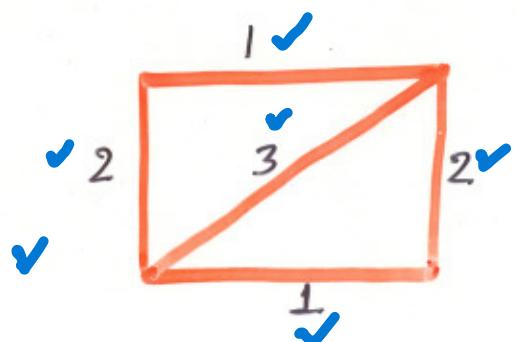
DECISION PROBLEM

GIVEN graph G and positive integer k , is $\chi(G) \leq k$?

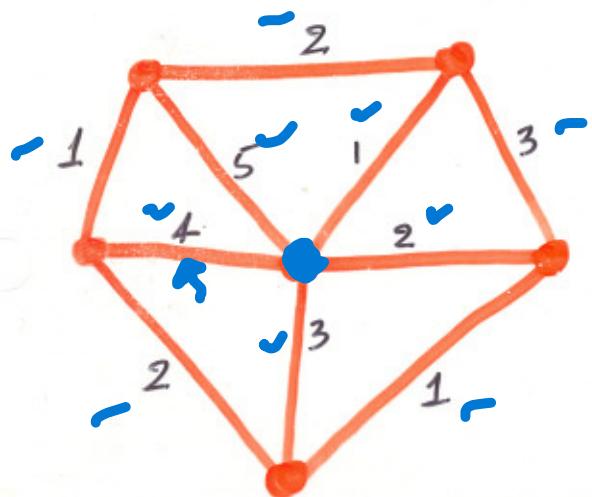
✓ EQUIVALENCE OF OPTIMIZATION AND DECISION PROBLEMS

✓ $\chi(G)$ = CHROMATIC NUMBER OF G

EDGE COLORING



CHROMATIC
INDEX = 3



Chromatic Index = 5 ✓
= highest degree $\Delta(G)$

VIZING'S THEOREM

CHROMATIC INDEX OF A GRAPH G
is EITHER $\Delta(G)$ or $\Delta(G) + 1$.

CLIQUE

✓ CLIQUE_{OPTIMIZATION} & CLIQUE_D

Given a graph G , find the size of the maximum clique in G .

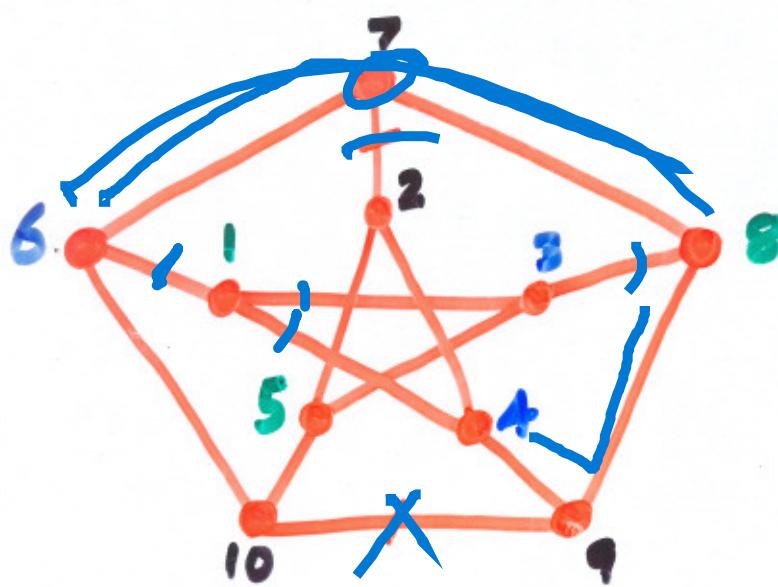
✓ CLIQUE DECISION

Given G and integer k , does G have a k -clique?

CLIQUE SEARCH & CLIQUE_{OPT}

Given G , can find a maximum clique of G .

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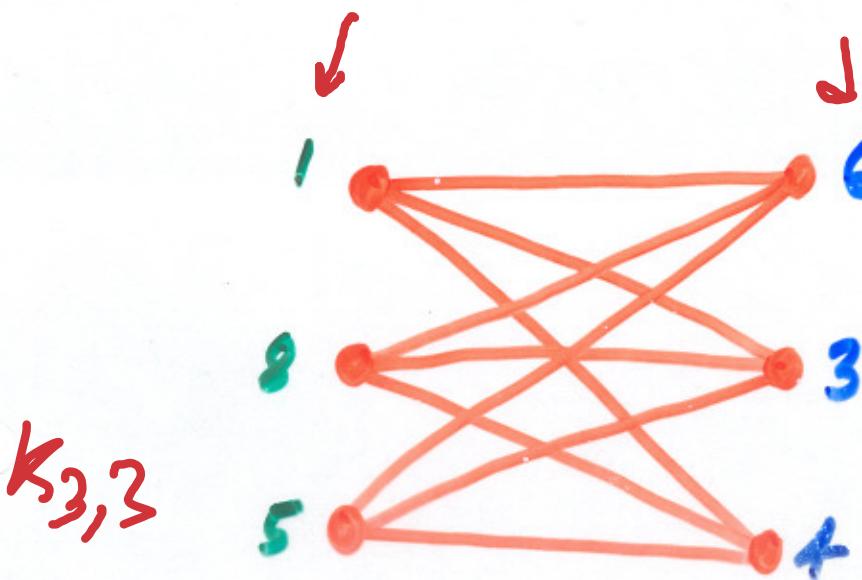
Peterson's Graph

- KURATOWSKI'S THEOREM

A graph is planar iff it is not homeomorphically reducible to either K_5 or $K_{3,3}$.

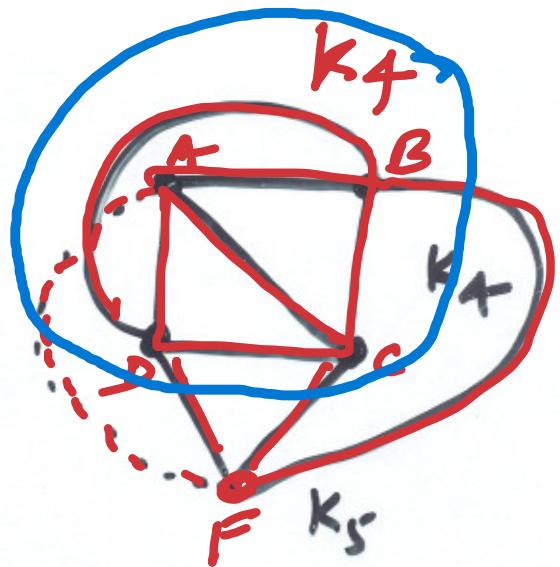
$$\checkmark G \rightarrow G - \{u, v\}$$

$$\checkmark \begin{matrix} u & -o-o-o-w \\ \downarrow & \downarrow & \downarrow \\ u & -o-o-w \end{matrix}$$



$K_{3,3}$

planarity testing $O(n)$



SAT (SATISFIABILITY)

$$C_1 = x_1 + x_2 + x_3$$

Boolean
expressions

$$C_2 = \bar{x}_1 + \bar{x}_2$$

$$C_3 = \bar{x}_3 + x_2 + x_4$$

$$C_4 = x_2 + \bar{x}_4$$

Satisfying Truth Assignment

$$x_1 = 1$$

$$x_2 = 0$$

$$x_3 = 0$$

$$x_4 = 0$$

$$C_1 \wedge C_2 \wedge C_3 \wedge C_4$$

$$c_1 = x_1 + x_2$$

$$c_2 = \bar{x}_1 + \bar{x}_3$$

$$c_3 = x_2 + x_3$$

$$c_4 = \bar{x}_1 + \bar{x}_2$$

$$c_5 = \bar{x}_2 + \bar{x}_3$$

$$c_6 = x_1 + x_3$$

x_1	x_2	x_3
1	1	0
0	1	0

no satisfying
truth assignment

variables: x_1, x_2, x_3

literals: $x_1, \bar{x}_1, x_2, \bar{x}_2, x_3, \bar{x}_3$

clauses: c_1, c_2, \dots, c_6

SAT : Given n variables and m clauses over these variables, is there a satisfying truth assignment?

3SAT : All clauses have ~~at most~~ exactly 3 literals.

2SAT can be solved in polynomial time.

P and NP

P: Class of decision problems which have polynomially bounded algorithms

Polynomially Bounded

An algorithm whose worst-case time complexity $w(n) \leq p(n)$

n: input size

p: a polynomial such as $n^2 + 2n + 5$

Definition of P vs NP

Non Deterministic Algorithm

Graph Coloring

1. guess a coloring $O(n)$

$$c: V \rightarrow \{1, 2, 3, \dots, k\}$$

$c(w)$ is the color of node w

2. Verify that the guess is correct

for all $\{u, v\} \in E$ $O(m)$

$$c(u) \neq c(v).$$

$$\overline{O(n+m)}$$

What if one allows ability to guess correctly, if there exists a correct guess?

→ Non Deterministic Algo. will be

Definitions

- Problem Π : Coloring
 - INSTANCE I : A graph G and number of colors k .
 - Domain of Π
-
- YES INSTANCES $I \in Y_\Pi$: if G is k -colorable
- NO INSTANCES $I \notin Y_\Pi$ $D_\Pi - Y_\Pi$
- Polynomially Bounded Non-Deterministic algorithm is whose $w(n)$ is polynomial for all yes-instances.

NP: A class of decision problems which have polynomially bounded non-deterministic algorithms.

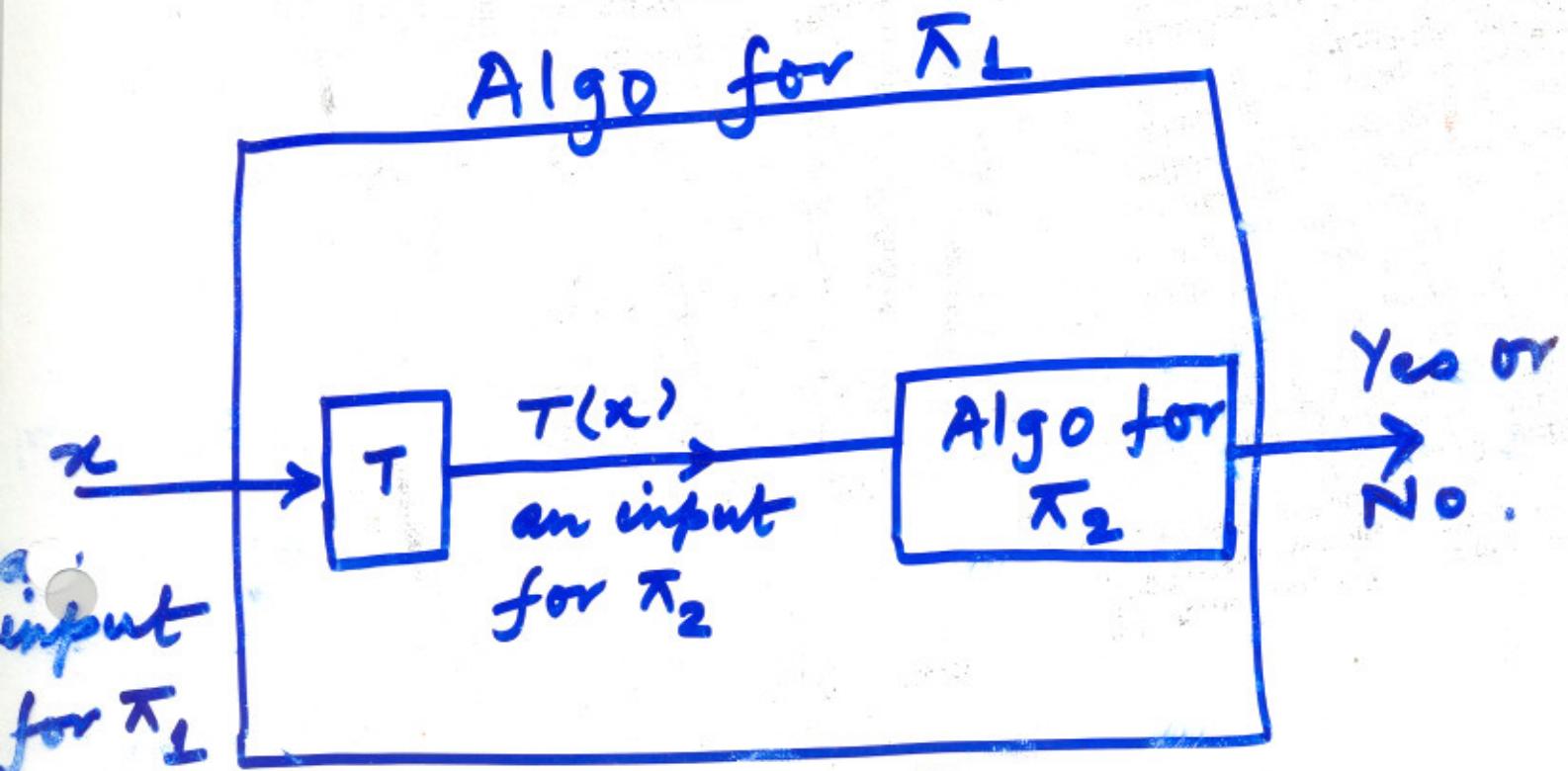
- NP contains those problems whose guesses are polynomial time verifiable.
- coloring \in NP clique \in NP
- SAT \in NP
 - guess: a truth assignment $O(n)$ $T : \{x_1, x_2, \dots, x_n\} \rightarrow \{0, 1\}$
 - check: verify that each clause is satisfied
 - for all $c \in \{c_1, c_2, \dots, c_m\}$
 - c has at least one true literal.

$O(mn)$

$O(nm)$

(11)

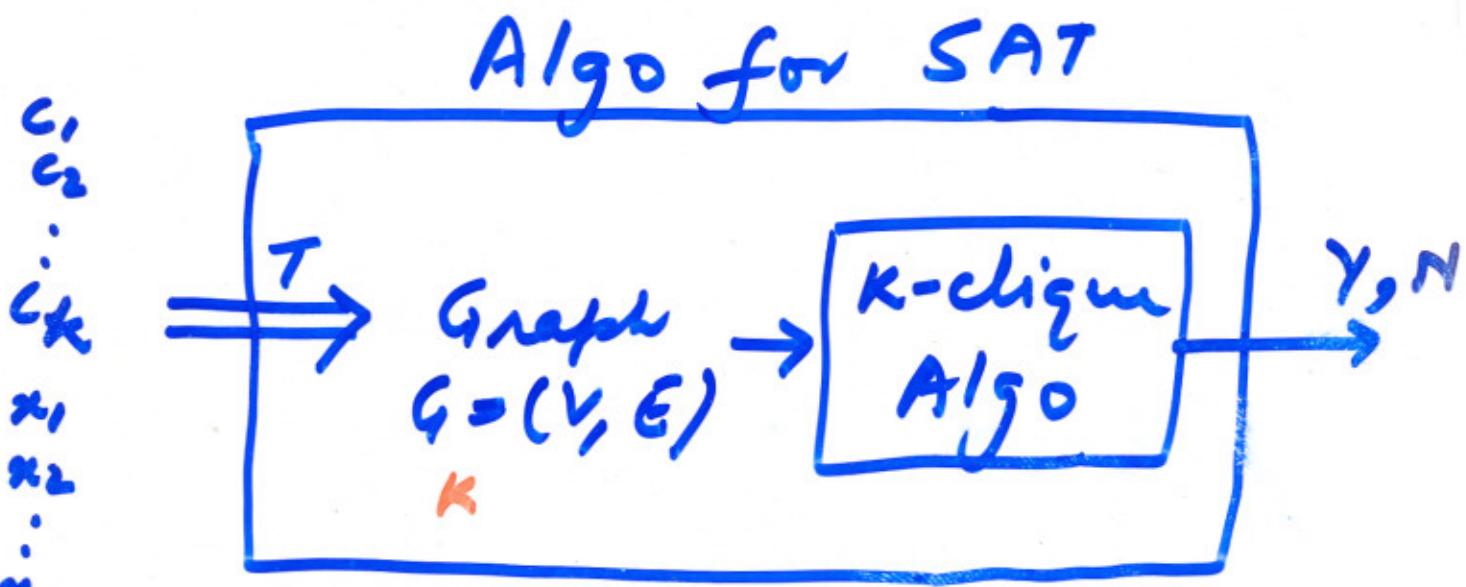
Polynomial Reduction



MST $\pi_1 \propto \pi_2$ sorting.
COLOR SAT

- T : 1. polynomial time transformation
2. Answer Preserving

g. SAT & k-Clique



↑
input for SAT

T: $\begin{cases} V = \{\langle \delta, i \rangle \mid \delta \text{ is a literal in } c_i\} \\ E = \{\{\langle \delta, i \rangle, \langle \delta, j \rangle\} \mid i \neq j \text{ & } \delta \neq \bar{\delta}\} \end{cases}$
 clique size $K = k$, no. of clauses
 T: poly_n in $k \leq n$.

$$P_1 \Leftrightarrow P_3 \Rightarrow \neg P_1 \Rightarrow \neg P_2$$

$$C_1 = x_1 + x_2 + x_3$$

$$C_2 = \bar{x}_1 + \bar{x}_2$$

$$C_3 = \bar{x}_3 + \bar{x}_2 + x_4$$

$$C_4 = x_2 + \bar{x}_4$$

Satisfying Truth
assignment

$$x_2 = 1$$

$$x_1 = 0$$

$$\begin{matrix} x_3 = 0 \\ x_4 = 0 \end{matrix}$$

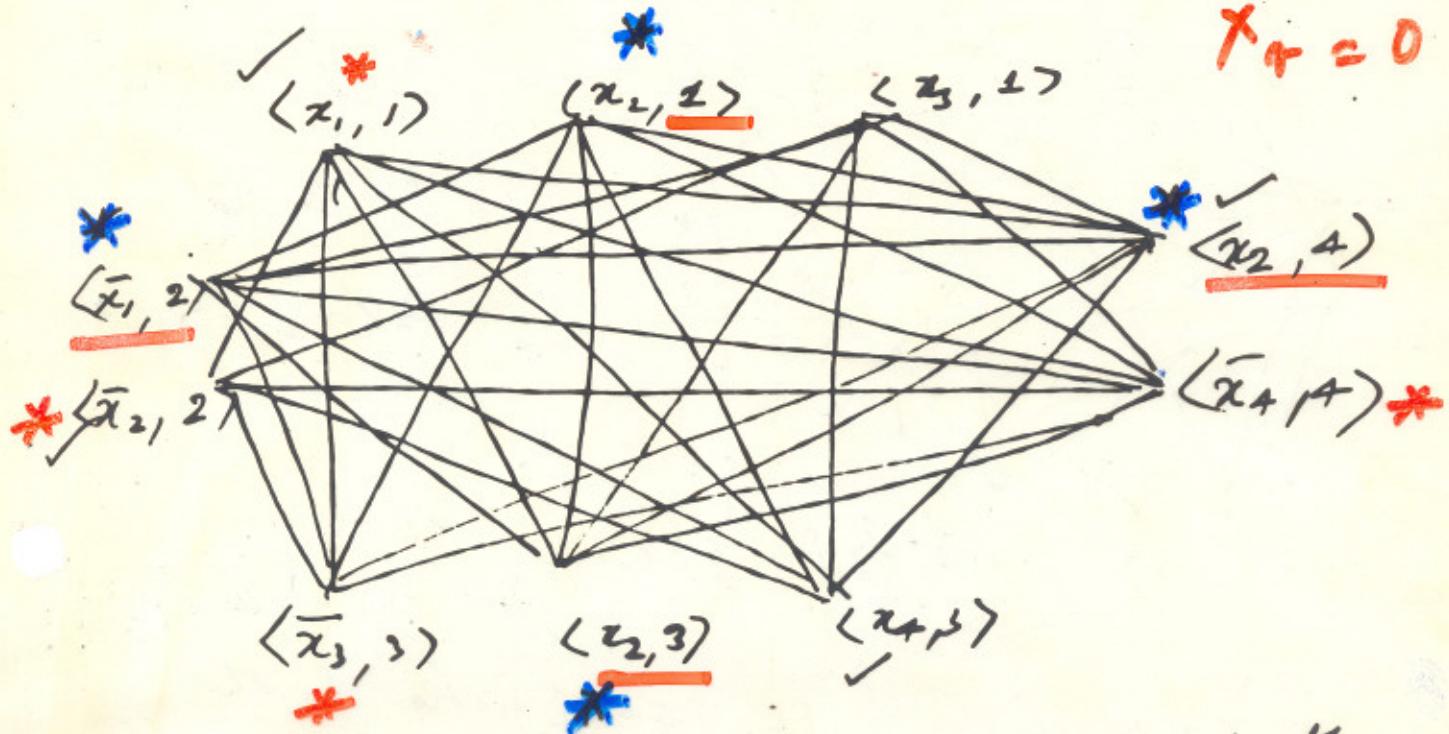
$$x_1 = 1$$

$$x_2 = 0$$

$$x_3 = 0$$

$$x_4 = 0$$

$$x_1 = 1$$



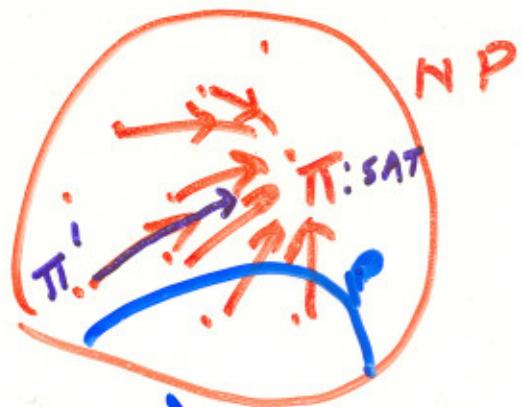
4-clique? iff satisfiable with
assignment.

SAT length $O(kn \log n)$

Time for T : $\frac{Kn}{\frac{k^2 n^2}{2}}$ nodes
 $\frac{k^2 n^2}{2}$ edges

$\underline{O(k^2 n^2 \log n)}$

- If $\pi_1 \propto \pi_2$ and $\pi_2 \in P$
then $\pi_1 \in P$



- NP-complete (NPC)

A problem π is NP-complete
if $\pi \in NP$ ————— (i)

and

for every other problem $\pi' \in NP$

$\pi' \propto \pi.$ ————— (ii)

- If $\pi \in NPC$, and $\pi \in P$
then $P = NP$.

Cook's theorem

$$\text{SAT} \in P \Leftrightarrow P = NP$$

or

$$\text{If } \text{SAT} \in P \Rightarrow P = NP$$

or for every problem $\pi \in NP$,
 $\pi \leq SAT$.

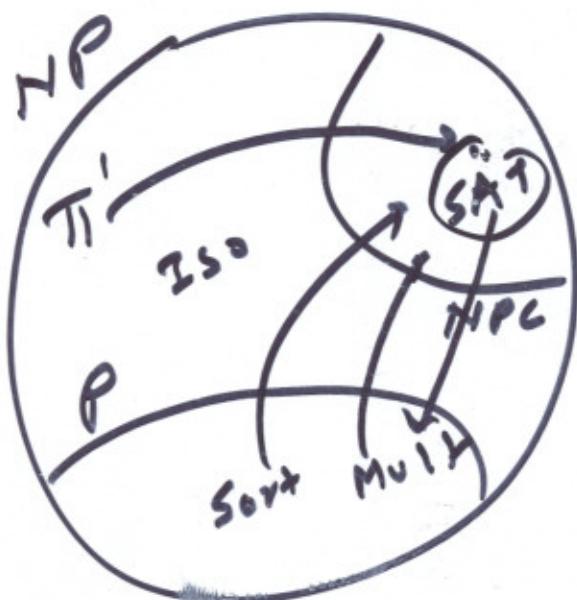
or

$$\text{SAT} \in NP\text{-C}$$

4.1

Cook's Theorem 1971

SAT \in NPC



$\pi' \not\propto$ SAT

Known Facts

1. $\pi' \in$ NP.
2. π' has a polytime ND algo.
3. $w(n) \leq p(n)$

If $SAT \in P$, then $P = \underline{\underline{NP}}$

- Karp
- color $\pi' \not\propto$ color
 - Clique $SAT \not\propto$ color
color \in NP
 - Vertex Cover Edge Cover
 - Independent Set
 - Substr Sum
 - Dominating Set - $O(n^c)$

F.2

Facts & Condition

To show

$\Pi' \propto SAT$

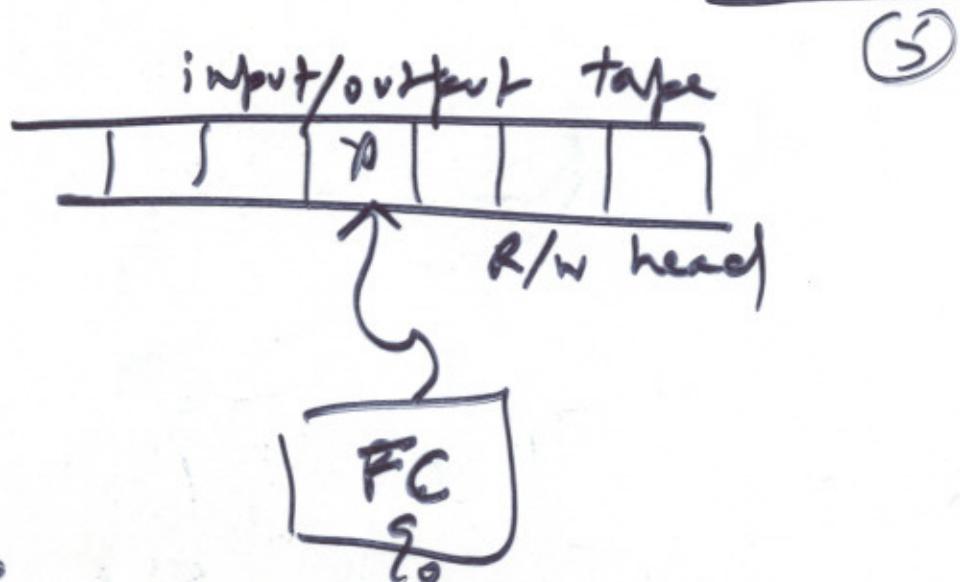
~~clique~~ $\propto SAT$

1. $\Pi' \in NP$

$\Rightarrow \Pi'$ has NDTM algorithm

whose time complexity $w(n) \leq p(n)$.

$$I_{\Pi'} \xrightarrow{T} I_{SAT}$$



1. Read input char
2. Replace with another char
3. go to another state
4. Move left/right
6. $q_0 \rightsquigarrow q_f$

Accepting Computation \Leftrightarrow $\left\{ \begin{array}{l} \text{Satisfying} \\ \text{Truth Assignment} \end{array} \right\}$

NP

SAT \rightarrow COLOR

(5)

It can be shown that

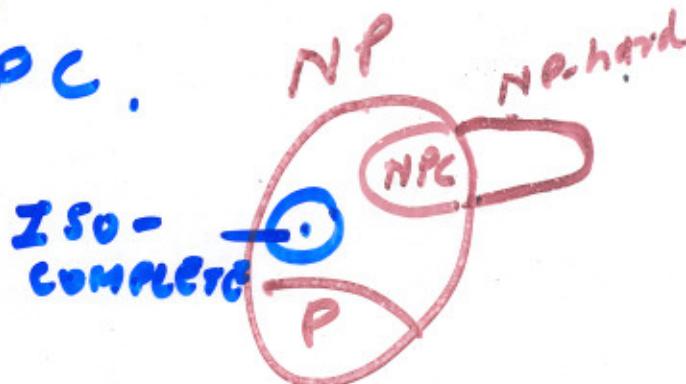
- (i) graph coloring \in NP
- (ii) SAT \propto coloring

\Rightarrow graph coloring \in NPC

Similarly

k-Clique, HC, TSP etc.

are also NPC.



NP-hard

π is NP-hard if for all problem $\pi' \in$ NP,
 $\pi' \propto \pi$.

Restriction of NPC problems

e.g. coloring.

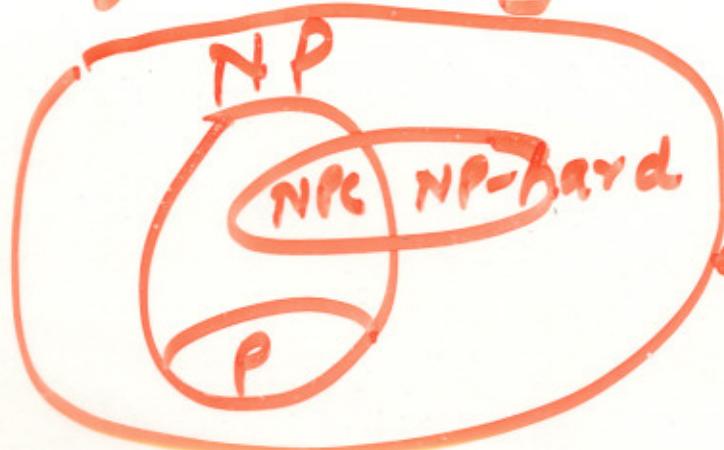
2-coloring $\in P$

3-coloring $\in NPC$

Coloring of planar graph using 4 colors takes linear time.

3-coloring of planar graph is NPC.

Edge Coloring $\Delta ? \Delta + 1$



NP-hard

← decidable

⑥ APPROXIMATION ALGORITHMS

Polynomial-time algorithm for an NP-Complete (or NP-hard) problem which do not guarantee the optimal solution but would generally give one that is close to optimal.

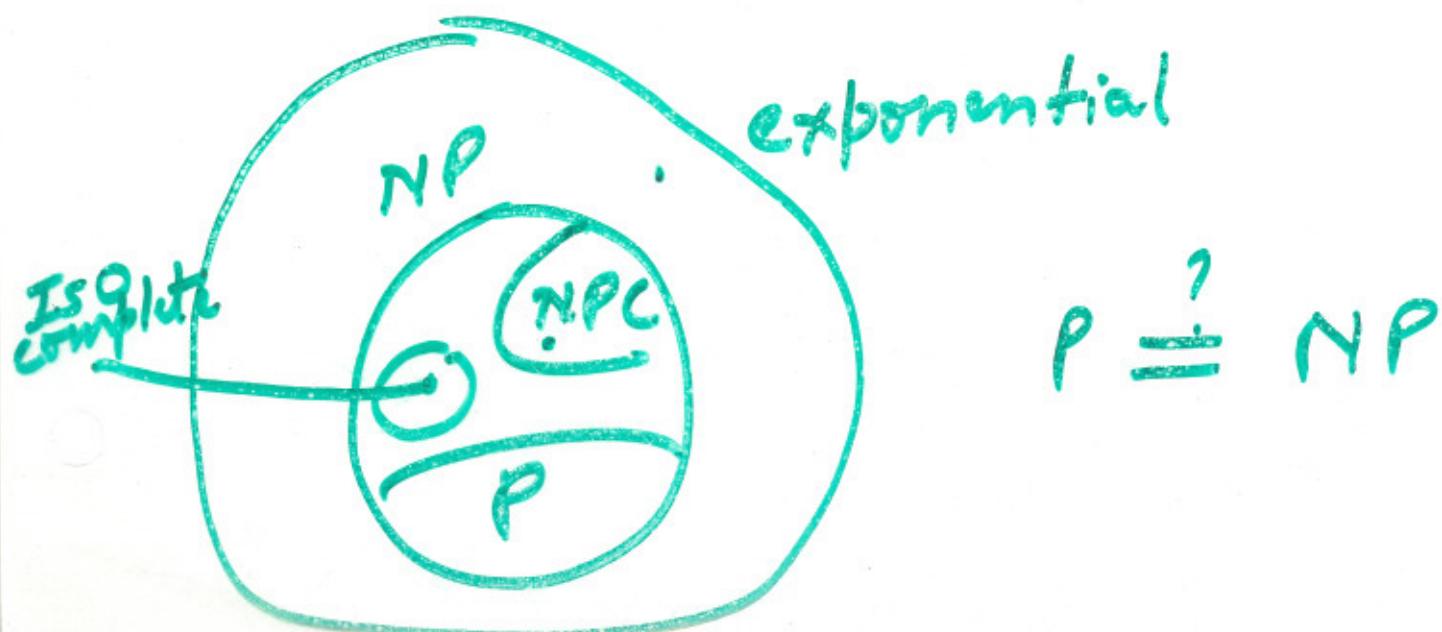
(7)

BIN PACKING PROBLEM

Given: n objects to be placed in bins of capacity L each.

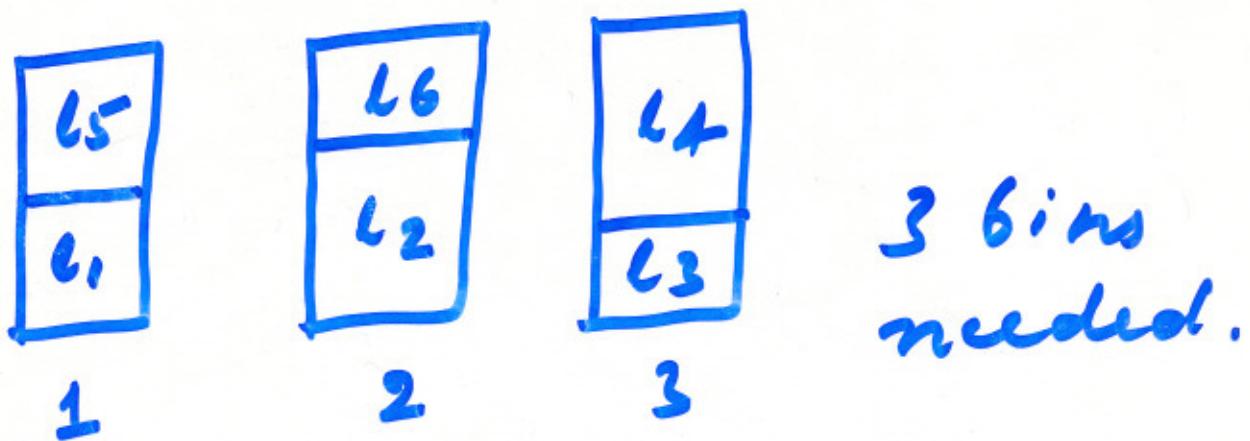
Object i requires r_i units of bin capacity.

Objective: determine the minimum number of bins needed to accommodate all n objects.



(8)

eg. Let $L = 10$, $l_1 = 5$ $l_4 = 7$
 $l_2 = 6$ $l_5 = 5$
 $l_3 = 3$ $l_6 = 4$



The Bin packing problem is NP complete when formulated as a decision problem.

As an optimization problem, bin packing is NP-hard.

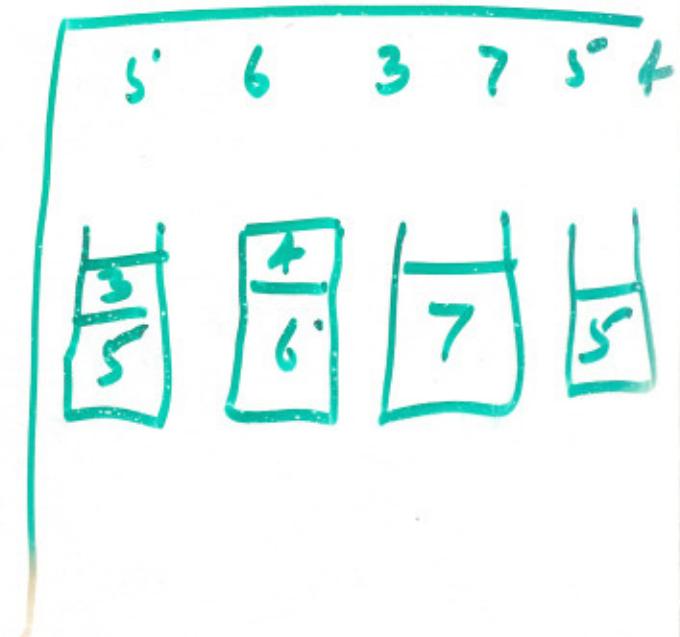
Approximation Algorithms for Bin Packing

1. FIRST FIT (FF)

- Label bins as 1, 2, 3, ...
- Objects are considered for packing in the order 1, 2, 3, ...
- ✓ Pack object i in bin j where j is the least index such that bin j can contain object i .

q.

complexity $O(n^2)$.



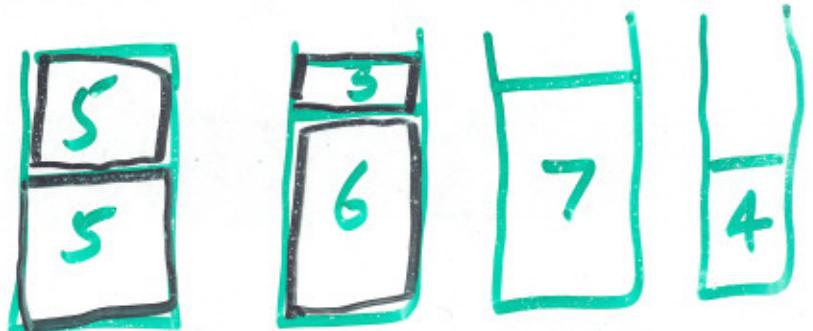
II BEST Fit (BF)

Same as FF, except that when object i is to be packed, find out that bin which after accomodating object i will have the least amount of space left.

$O(n^2)$

e.g.

5 6 3 7 5⁻¹ 4



III FIRST Fit Decreasing (FFD)

reorder objects so that

$$l_i \geq l_{i+1}, 1 \leq i \leq n.$$

then use FF.

$$O(n^2)$$

IV Best Fit Decreasing (BFD)

Reorder objects as above &
then use BF.

$$O(n^2)$$

Th. Packing generated by either FF or BF uses no more than $\frac{17}{10} OPT + 2$ bins.
 70% of OPT

That by either FFD or BFD uses no more than

$$\frac{11}{9} OPT + 4 \text{ bins.}$$

22% of OPT

$$\frac{11}{9} = \frac{2}{9} + \frac{2}{9}$$

1.7 OPT

Johnson

1.07 OPT

FSP & BP

(13)

References

NP-Complete Theory,
applications, examples, etc.

COMPUTER and INTRACTABILITY:
A Guide to the Theory
of NP-completeness

by Michael R GAREY &
David S JOHNSON

Publisher: W. H. Freeman
1979

TSP MST
Triangle Inequality
Heuristic algorithm