

Figure 2.1 Schematic representation of a deterministic one-tape Turing machine (DTM).

A *program* for a DTM specifies the following information:

- (1) A finite set Γ of tape *symbols*, including a subset $\Sigma \subset \Gamma$ of *input symbols* and a distinguished *blank symbol* $b \in \Gamma - \Sigma$;
- (2) a finite set Q of *states*, including a distinguished *start-state* q_0 and two distinguished *halt-states* q_Y and q_N ;
- (3) a *transition function* $\delta: (Q - \{q_Y, q_N\}) \times \Gamma \rightarrow Q \times \Gamma \times \{-1, +1\}$.

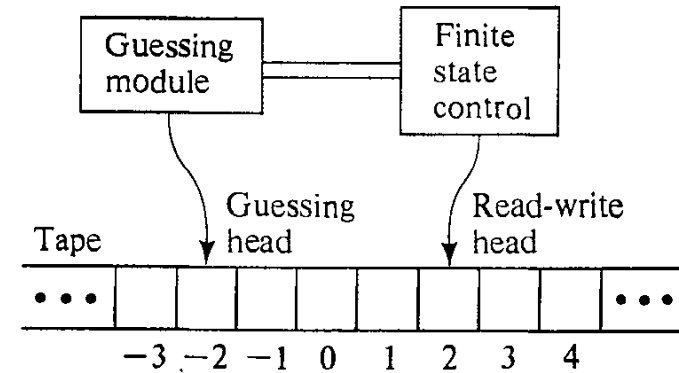


Figure 2.4 Schematic representation of a nondeterministic one-tape Turing machine (NDTM).

*Michael R. Garey and David S. Johnson. 1972/1990. Computers and Intractability; A Guide to the Theory of NP-Completeness. W. H. Freeman & Co., USA.

Proof of Cook's Theorem (Garey & Johnson, 1972*)

<u>Variable</u>	<u>Range</u>	<u>Intended meaning</u>
$Q[i,k]$	$0 \leq i \leq p(n)$ $0 \leq k \leq r$	At time i , M is in state q_k .
$H[i,j]$	$0 \leq i \leq p(n)$ $-p(n) \leq j \leq p(n)+1$	At time i , the read-write head is scanning tape square j .
$S[i,j,k]$	$0 \leq i \leq p(n)$ $-p(n) \leq j \leq p(n)+1$ $0 \leq k \leq v$	At time i , the contents of tape square j is symbol s_k .

Figure 2.7 Variables in $f_L(x)$ and their intended meanings.

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Proof of Cook's Theorem (Garey & Johnson, 1972)

- $x \in L \iff$ there is an accepting computation of M on x
- \iff there is an accepting computation of M on x with $p(n)$ or fewer steps in its checking stage and with a guessed string w of length exactly $p(n)$
- \iff there is a satisfying truth assignment for the collection of clauses in $f_L(x)$.

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Proof of Cook's Theorem

Clause group	Restriction imposed
G_1	At each time i , M is in exactly one state.
G_2	At each time i , the read-write head is scanning exactly one tape square.
G_3	At each time i , each tape square contains exactly one symbol from Γ .
G_4	At time 0, the computation is in the initial configuration of its checking stage for input x .
G_5	By time $p(n)$, M has entered state q_Y and hence has accepted x .
G_6	For each time i , $0 \leq i < p(n)$, the configuration of M at time $i+1$ follows by a single application of the transition function δ from the configuration at time i .

Figure 2.8 Clause groups in $f_L(x)$ and the restrictions they impose on satisf truth assignments.

Clause group	Clauses in group
G_1	$\{Q[i,0], Q[i,1], \dots, Q[i,r]\}, 0 \leq i \leq p(n)$ $\{\overline{Q[i,j]}, \overline{Q[i,j']}\}, 0 \leq i \leq p(n), 0 \leq j < j' \leq r$
G_2	$\{H[i,-p(n)], H[i,-p(n)+1], \dots, H[i,p(n)+1]\}, 0 \leq i \leq p(n)$ $\{\overline{H[i,j]}, \overline{H[i,j']}\}, 0 \leq i \leq p(n), -p(n) \leq j < j' \leq p(n)+1$
G_3	$\{S[i,j,0], S[i,j,1], \dots, S[i,j,v]\}, 0 \leq i \leq p(n), -p(n) \leq j \leq p(n)+1$ $\{\overline{S[i,j,k]}, \overline{S[i,j,k']}\}, 0 \leq i \leq p(n), -p(n) \leq j \leq p(n)+1, 0 \leq k < k' \leq v$
G_4	$\{Q[0,0]\}, \{H[0,1]\}, \{S[0,0,0]\},$ $\{S[0,1,k_1]\}, \{S[0,2,k_2]\}, \dots, \{S[0,n,k_n]\},$ $\{S[0,n+1,0]\}, \{S[0,n+2,0]\}, \dots, \{S[0,p(n)+1,0]\},$ where $x = s_{k_1} s_{k_2} \dots s_{k_n}$
G_5	$\{Q[p(n),1]\}$

Figure 2.9 The first five clause groups in $f_L(x)$.

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Proof of Cook's Theorem

The remaining subgroup of G_6 guarantees that the *changes* from one configuration to the next are in accord with the transition function δ for M . For each quadruple (i, j, k, l) , $0 \leq i < p(n)$, $-p(n) \leq j \leq p(n) + 1$, $0 \leq k \leq r$, and $0 \leq l \leq v$, this subgroup contains the following three clauses:

$$\begin{aligned} &\{\overline{H[i, j]}, \overline{Q[i, k]}, \overline{S[i, j, l]}, H[i+1, j+\Delta]\} \\ &\{\overline{H[i, j]}, \overline{Q[i, k]}, \overline{S[i, j, l]}, Q[i+1, k']\} \\ &\{\overline{H[i, j]}, \overline{Q[i, k]}, \overline{S[i, j, l]}, S[i+1, j, l']\} \end{aligned}$$

where if $q_k \in Q - \{q_Y, q_N\}$, then the values of Δ , k' , and l' are such that $\delta(q_k, s_l) = (q_{k'}, s_{l'}, \Delta)$, and if $q_k \in \{q_Y, q_N\}$, then $\Delta = 0$, $k' = k$, and $l' = l$.

$\text{Length}[f_L(x)] = |U| \cdot |C| = O(p(n)^4)$, and is bounded by a polynomial function of n as desired.