(1) Reg / DFA / NFA

	$\overline{\text{REG}}$	REG	CFL	DEC.	REC.	P	NP	NPC
$L_1 \cup L_2$	no	✓	✓	✓	✓	✓	✓	no
$L_1 \cap L_2$	no	✓	no	✓	✓	✓	✓	no
$\overline{L}$	✓	✓	no	✓	no	✓	?	?
$L_1 \cdot L_2$	no	✓	✓	✓	✓	✓	✓	no
L^*	no	✓	✓	✓	✓	✓	✓	no
$L^{\mathcal{R}}$		✓	✓	✓	✓	✓		
$L \cap R$		✓	✓	✓	✓	✓		
$L_1 \setminus L_2$		✓	no	✓	no	✓	?	

- **(DFA)** $M = (Q, \Sigma, \delta, q_0, F)$, $\delta : Q \times \Sigma \to Q$
- **(NFA)** $M = (Q, \Sigma, \delta, q_0, F)$, $\delta : Q \times \Sigma_\varepsilon \to \mathcal{P}(Q)$

- **(GNFA)** $(Q, \Sigma, \delta, q_0, q_a)$, $\delta : (Q \setminus \{q_a\}) \times (Q \setminus \{q_{\text{start}}\}) \longrightarrow \mathcal{R}$ (where $\mathcal{R} = \{\text{all regex over } \Sigma\}$)
- GNFA accepts $w \in \Sigma^*$ if $w = w_1 \cdots w_k$, where $w_i \in \Sigma^*$ and there exists a sequence of states $q_0, q_1, \ldots, q_k$ s.t. $q_0 = q_{\text{start}}$, $q_k = q_a$ and for each i, we have $w_i \in L(R_i)$, where $R_i = \delta(q_{i-1}, q_i)$.
- **(DFA $\leadsto$ GNFA)** $G = (Q', \Sigma, \delta', s, a)$, $Q' = Q \cup \{s, a\}$, $\delta'(s, \varepsilon) = q_0$, For each $q \in F$, $\delta'(q, \varepsilon) = a$, ((TODO...))
- **(P.L.)** If A is a regular lang., then $\exists p$ s.t. every string $s \in A$, $|s| \geq p$, can be written as $s = xyz$, satisfying: **(i)** $\forall i \geq 0, xy^i z \in A$, **(ii)** $|y| > 0$ and **(iii)** $|xy| \leq p$.
- Every NFA can be converted to an equivalent one that has a single accept state.

- **(reg. grammar)** $G = (V, \Sigma, R, S)$. Rules: $A \to aB$, $A \to a$ or $S \to \varepsilon$. ($A, B, S \in V$; $a \in \Sigma$).
- **(NFA $\leadsto$ DFA)**

- $N = (Q, \Sigma, \delta, q_0, F)$
- $D = (Q' = \mathcal{P}(Q), \Sigma, \delta', q_0' = E(\{q_0\}), F')$
- $F' = \{q \in Q' \mid \exists p \in F : p \in q\}$
- $E(\{q\}) := \{q\} \cup \{\text{states reachable from } q \text{ via } \varepsilon\text{-arrows}\}$
- $\forall R \subseteq Q, \forall a \in \Sigma, \delta'(R, a) = E\left(\bigcup_{r \in R} \delta(r, a)\right)$
- $L(\varepsilon \cup 0\Sigma^* 0 \cup 1\Sigma^* 1) = \{w \mid \#_w(01) = \#_w(10)\}$,

(2) CFL / CFG / PDA

- **(CFG)** $G = (\underset{\text{n.t.}}{V}, \underset{\text{ter.}}{\Sigma}, R, S)$. Rules: $A \to w$. (where $A \in V$ and $w \in (V \cup \Sigma)^*$).
- A derivation of w is a **leftmost derivation** if at every step the leftmost remaining variable is the one replaced.
- w is derived **ambiguously** in G if it has at least two different l.m. derivations. G is **ambiguous** if it generates at least one string ambiguously. A CFG is ambiguous iff it generates some string with two different parse trees. A CFL is **inherently ambiguous** if all CFGs that generate it are ambiguous.
- **(P.L.)** If L is a CFL, then $\exists p$ s.t. any string $s \in L$ with $|s| \geq p$ can be written as $s = uvxyz$, satisfying: **(i)** $\forall i \geq 0, uv^i xy^i z \in L$, **(ii)** $|vxy| \leq p$, and **(iii)** $|vy| > 0$.
- **(CNF)** $A \to BC$, $A \to a$, or $S \to \varepsilon$, (where $A, B, C \in V$, $a \in \Sigma$, and $B, C \neq S$).
- **(CFG $\leadsto$ CNF)** **(1.)** Add a new start variable S_0 and a rule $S_0 \to S$. **(2.)** Remove ε-rules of the form $A \to \varepsilon$

(except for $S_0 \to \varepsilon$). and remove A's occurrences on the RH of a rule (e.g.: $R \to uAvAw$ becomes $R \to uAvAw \mid uAvw \mid uvAw \mid uvw$. where $u, v, w \in (V \cup \Sigma)^*$). **(3.)** Remove unit rules $A \to B$ then whenever $B \to u$ appears, add $A \to u$, unless this was a unit rule previously removed. ($u \in (V \cup \Sigma)^*$). **(4.)** Replace each rule $A \to u_1 u_2 \cdots u_k$ where $k \geq 3$ and $u_i \in (V \cup \Sigma)$, with the rules $A \to u_1 A_1$, $A_1 \to u_2 A_2$, ..., $A_{k-2} \to u_{k-1} u_k$, where A_i are new variables. Replace terminals u_i with $U_i \to u_i$.
- If $G \in$ CNF, and $w \in L(G)$, then $|w| \leq 2^{|h|} - 1$, where h is the height of the parse tree for w.
- $L \in \mathbf{CFL} \Leftrightarrow \exists \underset{\text{CFG}}{G} : L = L(G) \Leftrightarrow \exists \underset{\text{PDA}}{M} : L = L(M)$
- $\forall L \in$ CFL, $\exists G \in$ CNF $: L = L(G)$.
- **(derivation)** $S \Rightarrow u_1 \Rightarrow u_2 \Rightarrow \cdots \Rightarrow u_n = w$, where each u_i is in $(V \cup \Sigma)^*$. (in this case, G **generates** w (or S **derives** w), $S \overset{*}{\Rightarrow} w$)

- **(PDA)** $M = (Q, \underset{\text{input}}{\Sigma}, \underset{\text{stack}}{\Gamma}, \delta, q_0 \in Q, \underset{\text{accepts}}{F} \subseteq Q)$. (where Q, Σ, Γ, F finite). $\delta : Q \times \Sigma_\varepsilon \times \Gamma_\varepsilon \longrightarrow \mathcal{P}(Q \times \Gamma_\varepsilon)$.
- M **accepts** $w \in \Sigma^*$ if there is a seq. $r_0, r_1, \ldots, r_m \in Q$ and $s_0,, s_1, \ldots, s_m \in \Gamma^*$ s.t.:
  - $r_0 = q_0$ and $s_0 = \varepsilon$
  - For $i = 0, 1, \ldots, m - 1$, we have $(r_i, b) \in \delta(r_i, w_{i+1}, a)$, where $s_i = at$ and $s_{i+1} = bt$ for some $a, b \in \Gamma_\varepsilon$ and $t \in \Gamma^*$.
  - $r_m \in F$
- A PDA can be represented by a state diagram, where each transition is labeled by the notation "$a, b \to c$" to denote that the PDA: **Reads** a from the input (or read nothing if $a = \varepsilon$). **Pops** b from the stack (or pops nothing if $b = \varepsilon$). **Pushes** c onto the stack (or pushes nothing if $c = \varepsilon$)
- **(CSG)** $G = (V, \Sigma, R, S)$. Rules: $S \to \varepsilon$ or $\alpha A \beta \to \alpha \gamma \beta$ where: $\alpha, \beta \in (V \cup \Sigma \setminus \{S\})^*$; $\gamma \in (V \cup \Sigma \setminus \{S\})^+$; $A \in V$.
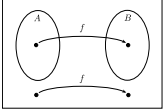
(3) TM, (4) Decidability

- (**TM**) $M = (Q, \underset{\text{input}}{\Sigma} \subseteq \Gamma, \underset{\text{tape}}{\Gamma}, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$, where
  $\sqcup \in \Gamma$ (**blank**), $\sqcup \notin \Sigma$, $q_{\text{reject}} \neq q_{\text{accept}}$, and
  $\delta : Q \times \Gamma \longrightarrow Q \times \Gamma \times \{L, R\}$
- (**recognizable**) accepts if $w \in L$, rejects/loops if $w \notin L$.
  - L is recognizable $\iff L \leq_m A_{\text{TM}}$.
  - A is **co-recognizable** if $\overline{A}$ is recognizable.

- Every inf. recognizable lang. has an inf. dec. subset.
- (**decidable**) accepts if $w \in L$, rejects if $w \notin L$.
- $L \in$ DECIDABLE $\iff$ ($L \in$ REC. and $L \in$ co-REC.).
- $L \in$ DECIDABLE $\iff \underset{\text{TM}}{\exists M}$ decides L.
- $L \in$ DECIDABLE $\iff L \leq_m$ 0*1*.
- (**decider**) TM that halts on all inputs.
- (**Rice**) Let P be a lang. of TM descriptions, s.t. (**i**) P is nontrivial (not empty and not all TM desc.) and (**ii**) for

- each two TM M_1 and M_2, we have
  $L(M_1) = L(M_2) \implies (\langle M_1 \rangle \in P \iff \langle M_2 \rangle \in P)$.
  Then P is undecidable.
- {all TMs} is countable; Σ^* is countable (for every finite Σ); {all languages} is uncountable;
  {all infinite binary sequences} is uncountable.
- DFA $\equiv$ NFA $\equiv$ GNFA $\equiv$ REG $\subset$ NPDA $\equiv$ CFG $\subset$ DTM $\equiv$ NTM

FINITE $\subset$ REGULAR $\subset$ CFL $\subset$ CSL $\subset$ DECIDABLE $\subset$ RECOGNIZABLE

- (**unrecognizable**) $\overline{A_{TM}}$, $\overline{EQ_{TM}}$, EQ_{CFG}, $\overline{HALT_{TM}}$,
  REGULAR$_{TM} = \{M$ is a TM and $L(M)$ is regular$\}$, E_{TM}
  , $EQ_{TM} = \{M_1, M_2$ are TMs and $L(M_1) = L(M_2)\}$
- (**recognizable but undecidable**) A_{TM},
  $HALT_{TM} = \{\langle M, w \rangle \mid M$ is a TM halts on $w\}$,

- $D = \{p \mid p$ is an int. poly. with an int. root$\}$, $\overline{EQ_{CFG}}$, $\overline{E_{TM}}$
- (**decidable**) A_{DFA}, A_{NFA}, A_{REX}, E_{DFA}, EQ_{DFA}, A_{CFG}, E_{CFG}, A_{LBA}, ALL$_{DFA} = \{\langle M \rangle \mid M$ is a DFA, $L(A) = \Sigma^*\}$, $A\varepsilon_{CFG} = \{\langle G \rangle \mid G$ is a CFG that generates $\varepsilon\}$, INFINITE$_{DFA}$, INFINITE$_{PDA}$

- (**not CFL**) $\{a^i b^j c^k \mid 0 \leq i \leq j \leq k\}$, $\{a^n b^n c^n \mid n \in \mathbb{N}\}$, $\{ww \mid w \in \{a,b\}^*\}$, $\{a^{n^2} \mid n \geq 0\}$, $\{w \in \{a,b,c\}^* \mid \#_a(w) = \#_b(w) = \#_c(w)\}$, $\{a^p \mid p$ is prime$\}$
- (**CFL but not REGULAR**) $\{w \in \{a,b\}^* \mid w = w^{\mathcal{R}}\}$, $\{ww^{\mathcal{R}} \mid w \in \{a,b\}^*\}$, $\{a^n b^n \mid n \in \mathbb{N}\}$, $\{w \in \{a,b\}^* \mid \#_a(w) = \#_b(w)\}$

(5) Mapping Reduction $\leq_m$

- $f : \Sigma^* \to \Sigma^*$ is **computable** if there exists a TM M s.t. for every $w \in \Sigma^*$, M halts on w and outputs $f(w)$ on its tape.

- A is **m. reducible** B (denoted by $A \leq_m B$), if there is a comp. func. $f : \Sigma^* \to \Sigma^*$ s.t. for every w, we have $w \in A \iff f(w) \in B$. (Such f is called the **m. reduction** from A to B.)
- If $A \leq_m B$ and B is decidable, then A is dec.
- If $A \leq_m B$ and A is undecidable, then B is undec.

- If $A \leq_m B$ and B is recognizable, then A is rec.
- If $A \leq_m B$ and A is unrecognizable, then B is unrec.
- (transitivity) If $A \leq_m B$ and $B \leq_m C$, then $A \leq_m C$.
- If A is recognizable and $A \leq_m \overline{A}$, then A is decidable.
- $A \leq_m B \iff \overline{A} \leq_m \overline{B}$

(7) Complexity, Polytime Reduction $\leq_P$

- ((**Running time**) decider M is a $f(n)$-**time TM**.)
  $f : \mathbb{N} \to \mathbb{N}$, where $f(n)$ is the max. num. of steps that DTM (or NTM) M takes on any n-length input (and any branch of any n-length input. resp.).
- TIME$(t(n)) = \{L \mid L$ is dec. by $O(t(n))$ DTM$\}$.
- NTIME$(t(n)) = \{L \mid L$ is dec. by $O(t(n))$ NTM$\}$.
- $\mathbf{P} = \bigcup_{k \in \mathbb{N}}$ TIME(n^k)
- (**verifier** for L) TM V s.t.
  $L = \{w \mid \exists c : V(\langle w, c \rangle) = $ accept$\}$.
  - (**certificate** for $w \in L$) str. c s.t. $V(\langle w, c \rangle) = $ accept.

- $\mathbf{NP} = \bigcup_{k \in \mathbb{N}}$ NTIME(n^k)
- $\mathbf{NP} = \{L \mid L$ is decidable by a PT verifier$\}$.
- $\mathbf{P} \subseteq \mathbf{NP}$.
- $f : \Sigma^* \to \Sigma^*$ is **PT computable** if there exists a PT TM M s.t. for every $w \in \Sigma^*$, M halts with $f(w)$ on its tape.
- A is **PT (mapping) reducible** to B, denoted $A \leq_P B$, if there exists a PT computable func. $f : \Sigma^* \to \Sigma^*$ s.t. for every $w \in \Sigma^*$, $w \in A \iff f(w) \in B$. (in such case f is called the **PT reduction** of A to B).
  - If $A \leq_P B$ and $B \in P$, then $A \in P$.
  - If $A \leq_P B$ and $B \leq_P A$, then A and B are **PT equivalent**, denoted $A \equiv_P B$. $\equiv_P$ is an

- equivalence relation on NP. $P \setminus \{\emptyset, \Sigma^*\}$ is an equivalence class of $\equiv_P$.
- $\mathbf{NP\text{-complete}} = \{B \mid B \in$ NP$, \forall A \in$ NP$, A \leq_P B\}$.
- CLIQUE, SUBSET-SUM, SAT, 3SAT, VERTEX-COVER, HAMPATH, UHAMATH, $3COLOR \in$ NP-complete.
- $\emptyset, \Sigma^* \notin$ NP-complete.
- If $B \in$ NP-complete and $B \in P$, then $P = $ NP.
- If $B \in$ NP-complete and $C \in$ NP s.t. $B \leq_P C$, then $C \in$ NP-complete.
- If $P = $ NP, then $\forall A \in P \setminus \{\emptyset, \Sigma^*\}$, $A \in$ NP-complete.

Examples: $A \leq_P B$ and $f : A \to B$ s.t. $w \in A \iff f(w) \in B$ and f is polytime computable

- SAT $\leq_P$ DOUBLE-SAT
  - $f(\phi) = \phi \wedge (x \vee \neg x)$
- SUBSET-SUM $\leq_P$ SET-PARTITION
  - $f(\langle x_1, \ldots, x_m, t \rangle) = \langle x_1, \ldots, x_m, S - 2t \rangle$, where S sum of $x_1, \ldots, x_m$, and t is the target subset-sum.
- $3COLOR \leq_P 3COLOR_{almost}$
  - $f(\langle G \rangle) = \langle G' \rangle$, where $G' = G \cup K_4$

- VERTEX-COVER $\leq_P$ WVC
  - $f(\langle G, k \rangle) = (G, w, k)$, $\forall v \in V, w(v) = 1$.
- $\underset{\text{length} \geq k}{\text{SimplePATH}} \leq_P$ UHAMATH
- $\underset{\text{undir. } G \text{ has } k\text{-clique}}{\text{CLIQUE}} \leq_P \underset{\text{undir. } G \text{ has } |V|/2\text{-clique}}{\text{HALF-CLIQUE}}$
  - $f(\langle G = (V, E), k \rangle) = \langle G' = (V', E') \rangle$, if $k = \frac{|V|}{2}$, $E = E'$, $V' = V$. if $k > \frac{|V|}{2}$,

- $V' = V \cup \{j = 2k - |V|$ new nodes$\}$. if $k < \frac{|V|}{2}$, $V' = V \cup \{j = |V| - 2k$ new nodes$\}$ and $E' = E \cup \{$edges for new nodes$\}$
- CLIQUE $\leq_P$ INDEPENDENT-SET
- SET-COVER $\leq_P$ VERTEX-COVER
- 3SAT $\leq_P$ SET-SPLITTING
- INDEPENDENT-SET $\leq_P$ VERTEX-COVER
- VERTEX-COVER $\leq_P$ CLIQUE

Counterexamples

- $A \leq_m B$ and $B \in$ REG, but, $A \notin$ REG:
  $A = \{0^n 1^n \mid n \geq 0\}$, $B = \{1\}$, $f : A \to B$,
  $f(w) = \begin{cases} 1 & \text{if } w \in A \\ 0 & \text{if } w \notin A \end{cases}$.
- $L \in$ CFL but $\overline{L} \notin$ CFL: $L = \{x \mid \forall w \in \Sigma^*, x \neq ww\}$, $\overline{L} = \{ww \mid w \in \Sigma^*\}$.
- $L_1, L_2 \in$ CFL but $L_1 \cap L_2 \notin$ CFL: $L_1 = \{a^n b^n c^m\}$, $L_2 = \{a^m b^n c^n\}$, $L_1 \cap L_2 = \{a^n b^n c^n\}$.

- $L_1 \in$ CFL, L_2 is infinite, but $L_1 \setminus L_2 \notin$ REG : $L_1 = \Sigma^*$, $L_2 = \{a^n b^n \mid n \geq 0\}$, $L_1 \setminus L_2 = \{a^m b^n \mid m \neq n\}$.
- $L_1, L_2 \in$ REG, $L_1 \not\subset L_2$, $L_2 \not\subset L_1$, but, $(L_1 \cup L_2)^* = L_1^* \cup L_2^*$: $L_1 = \{a, b, ab\}$, $L_2 = \{a, b, ba\}$.
- $L_1 \in$ REG, $L_2 \notin$ REG, but, $L_1 \cap L_2 \in$ REG, and $L_1 \cup L_2 \in$ REG : $L_1 = L(a^*b^*)$, $L_2 = \{a^n b^n \mid n \geq 0\}$.
- $L_1, L_2, L_3, \cdots \in$ REG, but, $\bigcup_{i=1}^\infty L_i \notin$ REG : $L_i = \{a^i b^i\}$, $\bigcup_{i=1}^\infty L_i = \{a^n b^n \mid n \geq 0\}$.

- $L_1 \cdot L_2 \in$ REG, but $L_1 \notin$ REG : $L_1 = \{a^n b^n \mid n \geq 0\}$, $L_2 = \Sigma^*$.
- $L_2 \in$ CFL, and $L_1 \subseteq L_2$, but $L_1 \notin$ CFL : $\Sigma = \{a, b, c\}$, $L_1 = \{a^n b^n c^n \mid n \geq 0\}$, $L_2 = \Sigma^*$.
- $L_1, L_2 \in$ DECIDABLE, and $L_1 \subseteq L \subseteq L_2$, but $L \in$ UNDECIDABLE : $L_1 = \emptyset$, $L_2 = \Sigma^*$, L is some undecidable language over Σ.
- $L_1 \in$ REG, $L_2 \notin$ CFL, but $L_1 \cap L_2 \in$ CFL : $L_1 = \{\varepsilon\}$, $L_2 = \{a^n b^n c^n \mid n \geq 0\}$.