

# 5

# ADM FORMALISM

→ The Arnowitt-Deser-Misner formalism is a hamiltonian formulation of general relativity that plays an important role in canonical quantum gravity and numerical relativity. It was published in 1959.

## 5.1 Non-null hypersurfaces

**DEF** In a 4-D spacetime manifold, a hypersurface is a 3-D submanifold that can be either timelike, spacelike or null.

→ A hypersurface can be defined with an explicit form:

$$f(x^\mu) = 0 \quad \text{with } \mu = 0, 1, \dots, n-1$$

or with a parametric form:

$$x^\mu = x^\mu(y^A) \quad \text{with } A = 1, \dots, n-1$$

→ Example:  $S^2$  in  $\mathbb{R}^3$ .

The 2-sphere in 3-D flat space is described either by  $f = x^2 + y^2 + z^2 - R^2$  (explicit form) or by  $\begin{cases} x = R \sin \theta \cos \phi \\ y = R \sin \theta \sin \phi \\ z = R \cos \theta \end{cases}$  with  $(\theta, \phi)$  the intrinsic coordinates.

→ The relation  $x^\mu(y^A)$  describe curves contained entirely in  $\Sigma$ .

### ① Normal vector:

→ The vector  $\nu_\mu dx^\mu$  is co-normal to the hypersurface because the value of  $f$  changes only in the direction orthogonal to  $\Sigma$ :

Let  $v^\mu = \frac{\partial f}{\partial x^\mu}$  be the tangent vector, and  $c(t)$  a curve that

lies inside of  $\Sigma$ . Then:  $\langle df, v \rangle = 0 \Rightarrow df = \frac{\partial f}{\partial x^\mu} \cdot dx^\mu$   
 is co-normal to  $\Sigma$ , of coord.  $\nu_\mu = \frac{\partial f}{\partial x^\mu}$

**DEF** We introduce a unit normal  $\nu_\mu$  defined by:

$$\nu_\mu n^\mu \equiv \epsilon \begin{cases} \equiv 1 & \text{if } \Sigma \text{ is timelike} \\ \equiv -1 & \text{if } \Sigma \text{ is spacelike} \\ \equiv 0 & \text{if } \Sigma \text{ is null} \end{cases} \quad \text{and requiring that } \nu_\mu \frac{\partial f}{\partial x^\mu} > 0$$

↳ always in the direction of  $\nabla f$

PROP For a non-null hypersurface, the unit normal is given by

$$n^\mu = \frac{\epsilon \partial_\mu f}{\sqrt{|g^{\mu\nu} \partial_\mu f \partial_\nu f|}}$$

DEMO)  $n_\mu n^\mu = \frac{\epsilon^2 \partial_\mu f \partial^\mu f}{|\partial_\mu f \partial^\mu f|} = \epsilon^3 = \epsilon$

### ① Induced metric:

- The metric intrinsic to the hypersurface  $\Sigma$  is obtained by restricting the line element to displacements confined to the hypersurface.
- Recalling the parametric equations  $x^\alpha = x^\alpha(y^A)$ , we have that the vectors  $e_A{}^\mu \equiv \frac{\partial x^\mu}{\partial y^A}$  are tangent to curves contained in  $\Sigma$ .

PROP  $n_\mu e_A{}^\mu = 0$

Check! DEMO) Indeed,  $n_\mu e_A{}^\mu \propto \frac{\partial f}{\partial x^\mu} \cdot \frac{\partial x^\mu}{\partial y^A} = \frac{df}{dy^A} = 0$

DEF We define the induced metric or first fundamental form  $h_{AB}$  by

$$h_{AB} \equiv g_{\mu\nu}(x(y)) \frac{\partial x^\mu}{\partial y^A} \cdot \frac{\partial x^\nu}{\partial y^B} \quad \text{such that,}$$

for displacements within  $\Sigma$ , we have:

$$\begin{aligned} ds_\Sigma^2 &= g_{\mu\nu}(x(y)) \frac{\partial x^\mu}{\partial y^A} \frac{\partial x^\nu}{\partial y^B} dy^A dy^B \\ &= h_{AB} dy^A dy^B \end{aligned}$$

- Under a coordinate transformation,  $x^\mu \mapsto x'^\mu$ ;  $h_{AB}$  is a scalar.  
Under a surface coord. transfo  $y^A \mapsto y^A'$ ,  $h_{AB}$  is a tensor

Not We write  $y^a = y^A$  for one hypersurface, and

$$y^a = (f, y^A)$$
 for a foliation

PROP The inverse metric can be written as

$$g^{MN} = \epsilon n^M n^N + h^{AB} e_A^M e_B^N$$

DEMO) Indeed, this is equivalent to  $g_{MN} = \epsilon n_M n_N + h^{AB} e_A^M e_B^N g_{AB}$

We need to check  $\langle n, n \rangle = \epsilon$ ,  $\langle n, e_A \rangle = 0$  and  $\langle e_A, e_B \rangle = h_{AB}$

$$\rightarrow \langle n, n \rangle = n_\mu g^{MN} n_\nu = n_\mu \epsilon n^M n^\nu n_\nu = \epsilon^3 = \epsilon$$

$$\rightarrow \langle n, e_A \rangle = n^\mu g_{MN} e_A^\nu = n^\mu (\epsilon n_M n_\nu + h^{AB} e_A^M e_B^\nu g_{MN}) e_A^\nu = 0$$

$$\begin{aligned} \rightarrow \langle e_A, e_B \rangle &= e_A^M (\epsilon n_M n_\nu + h^{CD} e_C^M e_D^\nu g_{MN}) e_B^\nu \\ &= h^{CD} h_{CA} h_{DB} = h_{AB} \end{aligned}$$

→ Another useful way of writing this is the following decomposition of the identity:  $\delta^M_\nu = \epsilon n^M n_\nu + h^{AB} e_A^M e_B^\nu$

→ This means that if we go from the coord. basis  $\partial/\partial x^\mu$  to the moving frame on  $\Sigma$ , we have the following decomposition:

$$\rightarrow e_A^M = (n^M, e_A^M) \text{ with } g_{ab} = e_a^M g_{MN} e_b^N = \begin{pmatrix} \epsilon & 0 & \dots & 0 \\ 0 & & & \\ \vdots & & & h_{AB} \end{pmatrix}$$

↑ tetrad

$$\rightarrow \text{The cotetrad } *e^a_\mu = (\epsilon n_\mu, h^{AB} e_B^\mu g_{\mu\nu})$$

$$\text{where } g^{ab} = \begin{pmatrix} \epsilon & 0 & \dots & 0 \\ 0 & & & \\ \vdots & & & h^{AB} \end{pmatrix}$$

↳ The components of tensors are:

$$\text{DEF } v^a = e^a_\mu v^\mu = \begin{cases} \epsilon n_\mu v^\mu & \equiv v^\perp \\ h^{AB} e_B^\mu v^\mu & \equiv v^A \end{cases}$$

$$\omega_a = e_a^M \omega_M = \begin{cases} n^M \omega_M & \equiv \omega_\perp \\ e_A^M \omega_M & \equiv \omega_A \end{cases}$$

→ One suppose that  $f$  itself can be taken locally as a coord. of  $\Sigma$ , so that  $x^M = x^M(f, y^A) = x^M(x^a)$  is an invertible change of coord. and restricting to  $\Sigma$  means putting  $f=0$ .

Prop The rotation coefficients vanishes:  $D_A^M B = 0$

[DEMO] Indeed,

$$\begin{aligned} D_{AB}^M &= \partial_A e_B^M - \partial_B e_A^M \\ &= \partial_A \frac{\partial x^M}{\partial y^B} - \partial_B \frac{\partial x^M}{\partial y^A} = e_A^\nu \frac{\partial}{\partial x^\nu} \frac{\partial x^M}{\partial y^B} - e_B^\nu \frac{\partial}{\partial x^\nu} \frac{\partial x^M}{\partial y^A} \\ &= \left\{ \frac{\partial}{\partial y^A} \frac{\partial}{\partial y^B} - \frac{\partial}{\partial y^B} \frac{\partial}{\partial y^A} \right\} x^M = 0 \end{aligned}$$



① Integration over hypersurface  $\Sigma$ :

DEF We define the surface element  $d\Sigma$  as

$$d\Sigma \equiv \sqrt{|h|} d^{n-1}y$$

where  $h \equiv \det[h_{AB}]$

→ The combination  $n_\mu d\Sigma$  is a directed surface element that points in the direction of increasing  $\lambda$ .

DEF We define the following infinitesimal vector field  $d\Sigma_\mu$  as

$$d\Sigma_\mu \equiv \sqrt{|g|} \epsilon_{\mu \alpha_1 \dots \alpha_{n-1}} e_1^{\alpha_1} \dots e_{n-1}^{\alpha_{n-1}} d^{n-1}y$$

Prop We have  $d\Sigma_\mu = \epsilon_{\mu \nu} n_\nu d\Sigma$

[DEMO] Indeed,

$$\begin{aligned} n^\mu d\Sigma_\mu &= \sqrt{|g|} \epsilon_{\mu \alpha_1 \dots \alpha_{n-1}} h^\mu e_1^{\alpha_1} \dots e_{n-1}^{\alpha_{n-1}} d^{n-1}y \\ &= \sqrt{|g|} \det(e_\alpha^\mu) d^{n-1}y \end{aligned}$$

But  $\det g_{ab} = \epsilon h = (\det e_a^\mu)^2 g \Rightarrow (\det e_a^\mu)^2 = \epsilon h/g$

$$\text{So } n^\mu d\Sigma_\mu = \sqrt{|g|} \sqrt{|h|} d^{n-1}y = d\Sigma \Leftrightarrow d\Sigma_\mu = \epsilon_{\mu \nu} n_\nu d\Sigma$$



② Tangent tensor fields:

DEF A tensor  $T^{MUV\dots}$  defined on  $\Sigma$  and tangent to  $\Sigma$  can be written as  $T^{MUV\dots}(x(y)) = T^{AB\dots}(y) e_A^M e_B^\nu \dots$

↳ Indeed tangent:  $T^{MUV\dots} n_\nu = 0 = n_\mu T^{MUV\dots}$

- We can also take an arbitrary tensor and project it down to the hypersurface, so that only its tangential components survive.

DEF We define the projector  $h^{AB}$  as

$$h^{AB} = h^{\alpha\beta} e_A^\mu e_B^\nu = g^{\mu\nu} - \epsilon h^{\mu\nu}$$

- For any arbitrary tensor  $T^{\mu\nu}$ , there is an associated  $T^{\alpha\beta} = h^{\alpha\mu} h^{\beta\nu} T^{\mu\nu}$  that is tangent to  $\Sigma$ .

→ Projections  $T_{AB} = T_{\mu\nu} e_A^\mu e_B^\nu = h_{\mu C} h_{\nu B} T^{\mu\nu}$  give the associated tensor  $T^{AB}$  on  $\Sigma$  which is a spacetime scalar.

### ① Intrinsic $\nabla$ and extrinsic curvature:

- We wish to examine how tangent tensor fields are differentiated. We want to relate the covariant derivative of  $A^\mu$  (with respect to a connection  $g_{\mu\nu}$ -compatible) to the covariant derivative of  $A^\mu$ , defined in terms of a connection  $h_{AB}$ -compatible.

- We recall that for a tangent vector field  $A^\mu$ , we have:

$$A^\mu = A^\lambda e_\lambda^\mu, A^\lambda;_\mu = 0 \text{ and } A_A = A_\mu e_A^\mu$$

DEF We define the intrinsic covariant derivative of a tangent vector field  $A_A$  as the projection of  $\nabla_\nu A_\mu$  onto the hypersurface:

$$A_{A,B} \equiv A_{\mu;\nu} e_A^\mu e_B^\nu$$

- Notice that

$$A_{\mu;\nu} e_A^\mu e_B^\nu = (A_\mu e_A^\mu)_{;\nu} e_B^\nu - A_\mu e_A^\mu;_\nu e_B^\nu$$

$$= A_{A,\nu} e_B^\nu - e_A^\mu;_\nu e_B^\nu A^c e_c^\mu$$

$$= A_{A,B} - e_{A\sigma} e_B^\nu e_c^\sigma A^c = A_{A,B} - \Gamma_{CAB} A^c$$

$$\text{where } \Gamma_{CAB} \equiv e_c^\sigma e_{A\sigma} e_B^\nu e_c^\rho$$

PROP

The connection  $\Gamma_{CAB}$  is the  $h_{AB}$ -compatible, torsion free Levi-Civita connection for the induced metric:

$$\Gamma_{CAB} = \frac{1}{2} (h_{CA,B} + h_{CB,A} - h_{AB,C})$$

[DEMO] Let's show that  $h_{ABC} = 0 = h_{\mu\nu\alpha} e_A^\mu e_B^\nu e_C^\alpha$

Indeed:

$$\begin{aligned} h_{\mu\nu\alpha} e_A^\mu e_B^\nu e_C^\alpha &= (g_{\mu\nu} - \epsilon \eta_{\mu\nu}) \alpha e_A^\mu e_B^\nu e_C^\alpha \\ &= -\epsilon (\eta_{\mu;\alpha} \eta_{\nu} + \eta_{\mu} \eta_{\nu;\alpha}) e_A^\mu e_B^\nu e_C^\alpha = 0 \end{aligned}$$



→ the quantities  $A_{AIB} = A_{\alpha;\nu} e_A^\alpha e_B^\nu$  are the tangential components of the vector  $A^\alpha_{;\nu} e_B^\nu$ . We would like to explicit its normal components.

To do that, we re-express  $A^\alpha_{;\nu} e_B^\nu$  as  $g^\alpha_\nu A^\alpha_{;\nu} e_B^\nu$  and decompose the metric into its normal and tangential parts:

$$g^{\mu\nu} = \epsilon n^\mu n^\nu + h^{AB} e_A^\mu e_B^\nu. \text{ This gives}$$

$$A^\alpha_{;\nu} e_B^\nu = (\epsilon n^\alpha n_\nu + h^{AC} e_A^\alpha e_C^\nu) A^\alpha_{;\nu} e_B^\nu$$

$$= \epsilon (n_\nu A^\alpha_{;\nu} e_B^\nu) n^\mu + h^{AC} \underbrace{(A_{\alpha;\nu} e_C^\alpha e_B^\nu)}_{A_{CIB}} e_A^\mu$$

$$= \epsilon \{ (n_\nu A^\alpha_{;\nu} - n_\nu \cdot A^\alpha) e_B^\nu \} n^\mu + h^{AC} A_{CIB} e_A^\mu$$

$$= A^A_{IB} e_A^\mu - \epsilon A^A (n_\nu e_A^\nu e_B^\nu) n^\mu$$

DEF

We introduce  $K_{AB}$  the extrinsic curvature or second fundamental form of the hypersurface  $\Sigma$ :

$$K_{AB} = -n_{\mu;\nu} e_A^\mu e_B^\nu$$

↳ We have

$$A^\alpha_{;\nu} e_B^\nu = A^A_{IB} e_A^\mu + \underbrace{\epsilon n^\mu A^A}_{\text{tangential}} \underbrace{K_{AB}}_{\text{normal}}$$

PROP

The Gauss-Weingarten equation is

$$e_B^\nu e_A_{;\nu} = \nabla_B e_A^\mu = \Gamma_A^C B e_C^\mu + \epsilon K_{AB} n^\mu$$

[DEMO] Using the above relation:

$$e_A^\mu_{;\nu} B =$$

## ④ Properties of extrinsic curvature:

PROP The extrinsic curvature is symmetric:  $K_{AB} = K_{BA}$

| **[DEMO]** Indeed:

$$\rightarrow K_{AB} = -e_A^\mu \eta_\mu; v e_B^\nu = e_A^\mu; v \eta_\mu e_B^\nu = -\eta_\mu; v e_A^\mu e_B^\nu$$

$$\rightarrow K_{BA} = -e_B^\mu \eta_\mu; v e_A^\nu = e_B^\mu; v \eta_\mu e_A^\nu = -\eta_\mu; v e_B^\mu e_A^\nu$$

? using the property that  $e_A^\mu;_B = e_B^\mu;_A$ . Indeed,

$$K_{AB} - K_{BA} = (\partial_B e_A^\mu - \partial_A e_B^\mu) \eta_\mu + (\Gamma^\mu{}_{\alpha\nu} e_A^\alpha e_B^\nu - \Gamma^\mu{}_{\alpha\nu} e_B^\alpha e_A^\nu) \eta_\mu \\ = D_A^\mu B + 2\Gamma^\mu_{\alpha\beta} = -T_A^\mu B \quad \text{the torsion.}$$



PROP  $K_{AB} = -e_A^\mu \left( \frac{1}{2} \nabla_\mu g_{\nu\rho} \right) e_B^\nu$

| **[DEMO]** Indeed,

$$-e_A^\mu \left( \frac{1}{2} \nabla_\mu g_{\nu\rho} \right) e_B^\nu = -e_A^\mu \cdot \frac{1}{2} (\eta_\mu;_\nu + \eta_\nu;_\mu) e_B^\nu \\ = -e_A^\mu N(\mu;_\nu) e_B^\nu = K_{AB} = K_{AB}$$



DEF Th trace of the extrinsic curvature is  $K \equiv h^{AB} K_{AB}$

$$\rightarrow \text{We have } K = h^{AB} \cdot (-e_A^\mu \eta_{(\mu;_\nu)} e_B^\nu) \\ = -(g^{\alpha\mu} - e^\mu_\alpha e^\nu_\mu) \cdot e^\alpha_\mu e^\beta_\nu \cdot e_A^\mu \cdot e_B^\nu \eta_{(\mu;_\nu)} \\ = -(g^{\mu\nu} - e^\mu_\alpha e^\nu_\mu) \eta_{\mu;_\nu} \\ = -h^\mu;_\mu \\ \rightarrow K = -h^\mu;_\mu$$

PROP A surface is  $\begin{cases} \text{convex if } K > 0 \\ \text{concave if } K < 0 \end{cases}$

$\rightarrow$  While  $h_{AB}$  is concerned with the purely intrinsic aspects of a hypersurface's geometry,  $K_{AB}$  is concerned with the extrinsic aspects. Taken together, these tensors provide a virtually complete characterization of the hypersurface.

## Gauss-Codazzi equations:

→ We want to know the expression of the projected components of the Riemann tensor:  $R_{ABCD} = e_A^\mu e_B^\nu e_C^\lambda e_D^\sigma R_{\mu\nu\rho\sigma}$  in term of the intrinsic Riemann tensor on the surface  ${}^S R_{ABCD}$ :

$${}^S R_{ABCD} = h_{AE} {}^S R^E_{BCD}$$

→ We have:

$${}^S R_{EDAB} = h_{EC} \left( 2A \Gamma_D^C B + \Gamma_F^C A \Gamma_D^F B - 2B \Gamma_D^C A - \Gamma_F^C D \Gamma_D^F A - D_A^F \Gamma_D^C F \right)$$

→ the question we now examine is whether this 3-D Riemann tensor can be expressed in term of  $R^Y_{SAB}$  (the 4-D version) evaluated on  $\Sigma$ .

**PROP**  $R_{ABCD} = {}^S R_{ABCD} + \epsilon (K_{AD} K_{BC} - K_{AC} K_{BD})$

[DEMO] We start from  $e_A^\mu;_\nu e_B^\nu = \Gamma_A^D e_D^\mu + \epsilon K_{AB} n^\mu / \lambda \cdot e_c^\lambda$

→ The LHS is  $(e_A^\mu;_\nu e_B^\nu) \lambda \cdot e_c^\lambda$

$$= e_A^\mu;_\nu e_B^\nu e_c^\lambda + e_A^\mu;_\nu e_B^\nu;_\lambda e_c^\lambda$$

$$= e_A^\mu;_\nu e_B^\nu e_c^\lambda + e_A^\mu;_\nu (\Gamma_D^D C e_D^\nu + \epsilon K_{BC} n^\nu)$$

$$= e_A^\mu;_\nu e_B^\nu e_c^\lambda + \Gamma_D^D C (\Gamma_A^D e_E^\mu + \epsilon K_{AD} n^\mu) + \epsilon K_{BC} e_A^\mu;_\nu n^\nu$$

→ The RHS is  $(\Gamma_A^D B e_D^\mu + \epsilon K_{AB} n^\mu) \lambda \cdot e_c^\lambda$

$$= (\Gamma_A^E B, C + \Gamma_D^E C \Gamma_A^D B - \Gamma_D^E \Gamma_B^D) e_E^\lambda$$

$$+ \epsilon (K_{AB,C} + \Gamma_D^D K_{DC} - \Gamma_D^C K_{AD}) n^\mu + \epsilon (K_{AB} n^\mu; \lambda e_c^\lambda - K_{BC} e_A^\mu;_\nu n^\nu)$$

↪  $R^\mu_{\alpha\beta\nu} e_A^\nu e_B^\nu e_c^\lambda = (\partial_C \Gamma_A^E D + \Gamma_D^E \Gamma_A^D B - (B \leftrightarrow C)) e_E^\lambda$

$$- \Gamma_A^E B \partial_C \Gamma_D^D e_E^\lambda + \epsilon (\partial_D K_{AB} - \partial_B K_{AC} + \Gamma_D^D K_{DC} - \Gamma_D^C K_{DB}) n^\mu$$

$$- \Gamma_B^D C K_{AD} + \Gamma_C^D B K_{AD}$$

$$+ \epsilon (K_{AB} n^\mu; \lambda e_c^\lambda - K_{AC} n^\mu; \lambda e_B^\lambda) - \epsilon K_{AC} e_A^\mu;_\nu n^\nu + \epsilon K_{BC} e_A^\mu;_\nu n^\nu$$

$$\Rightarrow R^\mu_{\alpha\beta\nu} = {}^S R^E_{ACB} e_E^\mu + \epsilon (K_{AB,C} - K_{AC,B}) n^\mu$$

$$+ \epsilon (K_{AB} n^\mu; \lambda e_c^\lambda - K_{AC} n^\mu; \lambda e_B^\lambda) \quad \cdot e_D^\mu$$

$$\Rightarrow R_{DABC} = {}^S R_{DACS} + \epsilon (K_{AB} e_D^\sigma g_{\sigma\mu} n^\mu; \lambda e_c^\lambda - K_{AB} e_D^\sigma g_{\sigma\mu} n^\mu; \lambda e_B^\lambda)$$

$$+ \epsilon (-K_{AB} K_{DC} + K_{AC} K_{DB})$$

**PROP**  $R^\perp_{ABC} = K_{AC} B - K_{AB} C \quad \rightarrow \text{It's the Gauss-Codazzi eqs.}$

## ① Ricci scalar and contracted form

→ The Gauss-Codazzi equations can also be written in contracted form, in terms of the Einstein tensor  $G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu}$ . The spacetime Ricci tensor is given by

$$R_{\mu\nu} = R^{\alpha}_{\mu\alpha\nu} = (\epsilon n^\kappa n_\beta + h^{AB} e_A^\kappa e_B^\beta) R^P_{\mu\kappa\nu} \\ = R^+_{\mu\nu} + R^-_{\mu\nu} h^{AB}$$

The Ricci scalar is given by:

$$R = (\epsilon n^\kappa n^\nu + h^{CD} e_C^\mu e_D^\nu) (R^+_{\mu\nu} + R^-_{\mu\nu} h^{AB}) \\ = R^+_{AA} + R^+_{CC} h^{CD} + R^-_{AA} h^{AB} + h^{CD} R^-_{CCB} \\ = R^+_{AA} + R^+_{AA} + h^{CD} ({}^S R^A_{CAB} + \epsilon K^A_{\mu} K^{\mu}_{CA}) \\ = R^+_{AA} + R^+_{AA} + {}^S R + \epsilon (\text{tr}\{K^2\} - \text{tr}\{K\}^2) \\ = (\text{complicated, go in Poisson p. 79 or notes p. 205})$$

PROP The 4-D Ricci scalar evaluated on the hypersurface  $\Sigma$  can be written as:

$$R = {}^S R + \epsilon (\text{tr}\{K^2\} + \text{tr}\{K\}^2) + 2\epsilon (n^\mu_{;\nu} n^\nu - n^\mu n^\nu)_{;\mu}$$

## 5.2 ADM parametrization of the metric

→ In a 3+1 or  $(n-1,1)$  decomposition, one considers the foliation of spacetime by a family of spacelike hypersurfaces  $\Sigma_a$  defined by  $f(x^\mu) - a = 0$

↳ Locally, there exist a change of coord.  $x^\mu = x^\mu(f, y^A)$  with, for each surface,  $\epsilon = -1$

↳ The normal is then given by:

$$n_\mu = \frac{-\partial f}{\sqrt{|g_{\mu\nu} \partial f \partial f|}}$$

DEF We introduce the Lapse function of an interval  $N$  by

$$N = \frac{1}{\sqrt{|g^{\mu\nu} \partial f \partial f|}}$$

→ Consider the frame  $(n^{\mu}, \frac{\partial x^{\mu}}{\partial f}) = (n^{\mu}, e_A{}^{\mu}) = e_a{}^{\mu}$

The tangent vector along the  $f$  coordinate lines is

$$\frac{\partial}{\partial f} = \frac{\partial x^{\mu}}{\partial f} \cdot \frac{\partial}{\partial x^{\mu}} = f^{\mu} \cdot \frac{\partial}{\partial x^{\mu}}$$

This vector can be decomposed in a normal and tangential component:  $\frac{\partial x^{\mu}}{\partial f} = f^{\mu} = N' n^{\mu} + N^A e_A{}^{\mu}$

PROP The scalar  $N' = N$

| DEMO Indeed, contracting with  $n_{\mu}$ , we have:

$$n_{\mu} \cdot \frac{\partial x^{\mu}}{\partial f} = n_{\mu} (N' n^{\mu} + N^A e_A{}^{\mu}) = -N'$$

$$\Leftrightarrow -N \frac{\partial f}{\partial x^{\mu}} \cdot \frac{\partial x^{\mu}}{\partial f} = -N' \Leftrightarrow N = N' \quad \text{OK}$$

DEF We define the lapse function  $N$  and the shift vector  $N^A$  as  
 $N = g_{\mu\nu} f^{\mu} n^{\nu}$  and  $N^A = h^A{}_B f^B$   $\approx$  vertical

The set  $\{N, N^A, h^{AB}\}$  are the ADM variables

→ Since  $dx^{\mu} = \frac{\partial x^{\mu}}{\partial f} df + \frac{\partial x^{\mu}}{\partial y^A} dy^A$ , we get:

$$ds^2 = \left( \frac{\partial x^{\mu}}{\partial f} df + e_A{}^{\mu} dy^A \right) g_{\mu\nu} \left( \frac{\partial x^{\nu}}{\partial f} df + e_B{}^{\nu} dy^B \right)$$

$$= \left( N n^{\mu} df + e_A{}^{\mu} (dy^A + N^A df) \right) g_{\mu\nu} \left( N n^{\nu} df + e_B{}^{\nu} (dy^B + N^B df) \right)$$

$$= N^2 n^{\mu} n^{\nu} g_{\mu\nu} df^2 + g_{\mu\nu} e_A{}^{\mu} e_B{}^{\nu} (dy^A + N^A df)(dy^B + N^B df)$$

$$= -N^2 df^2 + h_{AB} (dy^A + N^A df)(dy^B + N^B df)$$

DEF The ADM parametrization of the metric is given by

$$ds^2 = -N^2 df^2 + h_{AB} (dy^A + N^A df)(dy^B + N^B df)$$

→ In  $(f, y^A)$  coord., the metric is :

$$g_{..} = \begin{pmatrix} -N^2 + N_A N^A & N_B \\ N_A & h_{AB} \end{pmatrix}$$

PROP The inverse metric is  $(g^{-1})^{..} = \begin{pmatrix} -1/N^2 & N^C/N^2 \\ N^B/N^2 & h^{BC} - N^B N^C / N^2 \end{pmatrix}$

DEMO Indeed :

$$(-N^2 + N_A N^A)(-1/N^2) + N_B (N^B/N^2) = 1$$

$$(-N^2 + N_A N^A)(N^C/N^2) + N_B (h^{BC} - N^B N^C / N^2)$$

$$= -N^C + \frac{N_A N^A N^C}{N^2} + N_B h^{BC} - \frac{N_B N^B N^C}{N^2} = N_B h^{BC} - N^C = N^C - N^C = 0$$

$$(N_A)(-1/N^2) + h_{AB} (N^B/N^2) = (-N_A + N_A)/N^2 = 0$$

$$N_A (N^C/N^2) + h_{AB} (h^{BC} - N^B N^C / N^2) = h_{AB} h^{BC} = g_A^C$$



PROP  $\sqrt{-g} = N \sqrt{h}$

DEMO Indeed, we can use Laplace expansion:

$$(g^{-1})^{AB} = \det(g^{-1}) \cdot \det(h) \Rightarrow g = -N^2 \det h$$



→ Recall that in the moving frame  $(n^A, e_A{}^M)$ , the metric is

$$g_{..} = \begin{pmatrix} \epsilon & 0 \\ 0 & h_{AB} \end{pmatrix} \text{ and its inverse } g^{..} = \begin{pmatrix} \epsilon & 0 \\ 0 & h^{BC} \end{pmatrix}$$

? ↳ In the  $(f, y^A)$  coord. system,  $n_f = -N$  and  $n_A = 0$

② Lie derivative of  $h_{AB}$ :

→ For later purpose, we also need to compute  $\mathcal{L}_f h_{AB}$

$$\text{PROP } \mathcal{L}_f h_{AB} = \mathcal{L}_f (g_{\mu\nu}) e_A{}^\mu e_B{}^\nu$$

DEMO Indeed:

$$\mathcal{L}_f h_{AB} = \mathcal{L}_f (g_{\mu\nu} e_A{}^\mu e_B{}^\nu) \text{ and}$$

$$\mathcal{L}_f e_A{}^\mu = f^\alpha \partial_\alpha e_A{}^\mu - \partial_\alpha f^\mu e_A{}^\alpha$$

$$= \frac{\partial x^\alpha}{\partial f} \cdot \frac{\partial x^\mu}{\partial y^A} - \frac{\partial x^\mu}{\partial f} \cdot \frac{\partial x^\alpha}{\partial y^A} = \frac{\partial^2 x^\mu}{\partial f \partial y^A} - \frac{\partial^2 x^\alpha}{\partial f \partial y^A} = 0$$



$$\rightarrow \text{Now, } \mathcal{L}_f g_{\mu\nu} = f_{\mu;v} + f_{v;\mu}$$

$$= f^\alpha \partial_\alpha g_{\mu\nu} + \partial_\mu f^\alpha g_{\alpha v} + \partial_v f^\alpha g_{\mu\alpha}$$

$$= f^\alpha \nabla_\alpha g_{\mu\nu} + \nabla_\mu f^\alpha g_{\alpha v} + \nabla_v f^\alpha g_{\mu\alpha} \\ + f^\alpha \Gamma_{\mu\alpha}^\sigma g_{\sigma v} + f^\alpha \Gamma_{v\alpha}^\sigma g_{\mu\sigma} - \Gamma_{\mu\alpha}^\sigma f^\alpha g_{\sigma v} - \Gamma_{v\alpha}^\sigma f^\alpha g_{\mu\sigma} \quad (\text{ok})$$

$$\rightarrow \text{Now, recall that } f_\mu = N n_\mu + N_\mu \text{ and that } N^\mu = e_A^\mu N^A \perp n^\mu$$

$$\text{then, } \mathcal{L}_f h_{AB} = e_A^\mu e_B^\nu (f_{\mu;v} + f_{v;\mu})$$

$$= e_A^\mu e_B^\nu ((N n_\mu + N_\mu);v + (N n_\nu + N_\nu); \mu)$$

$$= e_A^\mu e_B^\nu \left[ N_{,v} \cdot \cancel{n_\mu} + N_{,\mu} \cdot \cancel{n_\nu} + N(n_{\mu;v} + n_{v;\mu}) + N_{\mu;v} + N_{v;\mu} \right]$$

$$= -N(-n_{\mu;v} e_A^\mu e_B^\nu - n_{v;\mu} e_A^\mu e_B^\nu) + N_{A|B} + N_{B|A}$$

$$\Leftrightarrow \mathcal{L}_f h_{AB} = -N(K_{AB} + K_{BA}) + N_{A|B} + N_{B|A}$$

prop  $K_{AB} = -N \Gamma_A^\mu \Gamma_B^\nu$

[DEMO] Indeed,

$$K_{AB} = -n_{\mu;v} e_A^\mu e_B^\nu = -n_{A|B} = n_{A,B} + n_x \Gamma_A^x \Gamma_B^x \text{ where } x = \{f, y^A\} \\ = -N \Gamma_A^\mu \Gamma_B^\nu \text{ using the fact that } n_f = -N \text{ and } n_A = 0$$

## 5.3 Hamiltonian formulation

→ One consider a  $(n-1)+1$  decomposition, in the particular case where  $\mathcal{L} = x^0$  (foliation by equal-time hypersurfaces).

In our old coord. on the hypersurface,

$$\mathcal{L} = x^0, \quad y^A = x^i \text{ such that } e_A{}^m = \frac{\partial x^m}{\partial y^A} = f_i^m \text{ and } \epsilon = -1$$

→ We then have  $n_m = -N f_m^0$

↳ To compute its inverse, one has

$$\frac{\partial}{\partial x^0} = N n^m \frac{\partial}{\partial x^m} + N^i \frac{\partial}{\partial x^i} \quad \Leftrightarrow n^m = \begin{pmatrix} 1/N \\ -N^i/N \end{pmatrix}$$

→ The expression for the metric in the coord.  $(\mathcal{L}, y^A) \mapsto (x^0, x^i)$  becomes:  $ds^2 = -N^2 (dx^0)^2 + g_{ij} (dx^i + N^i dx^0) (dx^j + N^j dx^0)$

↳ It can be interpreted as an invertible change of coord. from

$${}^d g_{\mu\nu} \cong ({}^d g_{00}, {}^d g_{0i}, {}^d g_{ij}) \mapsto (N, N^i, g_{ij})$$

$$\text{In matrix form: } {}^d g_{\mu\nu} = \begin{pmatrix} {}^d g_{00} & {}^d g_{0k} \\ {}^d g_{ki} & {}^d g_{ik} \end{pmatrix} = \begin{pmatrix} N_j N^j - N^2 & N_k \\ N_i & g_{ik} \end{pmatrix}$$

**Note** We use  ${}^d$  suffix to denote the components of a tensor in space-time, while no suffix means the components of a tensor in space alone.

→ We use  $g_{ij}^{kl}$  to raise and lower indices of  $N^j$ :  $N_i = g_{im} N^m$  and  $g_{ik} g^{kj} = \delta_{ij}$

**Prop** The inverse metric is

$${}^d g^{v\lambda} = \begin{pmatrix} {}^d g^{00} & {}^d g^{0m} \\ {}^d g^{k0} & {}^d g^{km} \end{pmatrix} = \begin{pmatrix} -1/N^2 & N^m/N^2 \\ N^k/N^2 & g^{km} - N^k N^m / N^2 \end{pmatrix}$$

↳ It gives indeed  ${}^d g_{\mu\nu} {}^d g^{v\lambda} = \delta_\mu^\lambda$

→ The inverse change of variable is then given by:

$${}^d g_{00} = -1/N^2 \Leftrightarrow N = \sqrt{-{}^d g_{00}} \text{ and } N^i = \frac{-1}{\sqrt{{}^d g}} \cdot g^{0i}$$

$$\text{We still have } \sqrt{-{}^d g} = N \sqrt{g} \text{ with } g \equiv \det g_{ij}$$

### ① Rewriting Einstein-Hilbert action:

→ We want to write  $S^{EH}[g_{\mu\nu}] = \int d^d x \sqrt{-{}^d g} ({}^d R - 2\Lambda)$  in terms of the ADM's variables:

$$\text{Recall } {}^d R = R - (\text{tr}[K]^2 - \text{tr}[K^2]) - 2 \underbrace{(n^\alpha;_\beta n^\beta - n^\beta;_\alpha n^\alpha)}_{\text{total derivative}}$$

$$\hookrightarrow S[g_{ij}, N^i, N] = \int d^d x N \sqrt{g} (R - \text{tr}[K]^2 + \text{tr}[K^2] - 2\Lambda)$$

$$= \int d^d x N \sqrt{g} \{ (R - 2\Lambda) - K^i;_i K^j;_j + K^i;_j K^j;_i \} = \int d^d x L$$

DEF | We introduce the Wheeler-DeWitt metric  $G^{ijpq}$  as

$$G^{ijpq} = \frac{\sqrt{g}}{2} (g^{ip} g^{jq} + g^{iq} g^{jp} - 2 g^{ij} g^{pq})$$

↪ A useful identity is:

$$\begin{aligned} G^{ijpq} K_{ij} K_{pq} &= \frac{\sqrt{g}}{2} (K^{pq} K_{pq} + K^{qp} K_{pq} - 2 K^i;_i K^q;_q) \\ &= \sqrt{g} (\text{tr}[K^2] - \text{tr}[K]^2) \end{aligned}$$

↪ We can write:

$$S = \underbrace{\int d^d x N \sqrt{g} (R - 2\Lambda)}_{\text{pot. term}} + \underbrace{N G^{ijpq} K_{ij} K_{pq}}_{\text{kinetic term}}$$

→ Using previous result that  $\mathcal{L}_g h_{AB} = -N(K_{AB} + K_{DA}) + N_A B + N_B A$ :

$$\mathcal{L}_g / \partial x^0 = \dot{g}_{ij} = 2N K_{ij} + N_{ilj} + N_{jli}$$

$$\Leftrightarrow K_{ij} = \frac{1}{2N} (\dot{g}_{ij} - N_{ilj} - N_{jli})$$

↪ Since the spatial curvature only involves spatial derivatives of  $g_{ij}$ , the only dependence of  $L$  on time derivatives is contained in the dependence of  $K_{ij}$  on  $\dot{g}_{ij}$ .

DEF We introduce the canonical momenta associated to  $\dot{g}_{ij}$  as

$$\pi^{ij} = \frac{\partial \mathcal{L}}{\partial \dot{g}_{ij}}$$

↳ We have  $\pi^{ij} = NG^{ijpq} \left( \frac{1}{2N} K_{pq} + K_{ij} \delta^i_p \delta^j_q \right) = G^{ijpq} K_{pq}$

We only perform a Legendre transform on variables in which  $\partial_t$  appears.  
To invert this relation, we need to compute the inverse of the Wheeler-DeWitt metric:

PROP  $G_{pqrs} = \frac{1}{2\sqrt{g}} (g_{pr}g_{qs} + g_{ps}g_{qr} - \frac{2}{d-2} g_{pq}g_{rs})$  and  $G^{ijpq} = \frac{G_{pqrs}}{\delta^i_r \delta^j_s}$

[DEMO] We have  $G^{ijpq} = \sqrt{g}/2 (g^{ip}g^{jq} + g^{iq}g^{jp} - 2g^{pq}g^{ij})$ . Then,  
we suppose  $G_{pqrs} = \frac{1}{2\sqrt{g}} (g_{pr}g_{qs} + g_{ps}g_{qr} - \alpha g_{pq}g_{rs})$  and compute

$$\begin{aligned} G^{ijpq} G_{pqrs} &= \frac{1}{4} \left( \delta^i_r \delta^j_s + \delta^i_s \delta^j_r - 2 \cancel{g^{ij} g_{rs}} + \delta^i_s \delta^j_r + \delta^i_r \delta^j_s - 2 \cancel{g_{rs} g^{ij}} \right) \\ &\quad - \alpha \cancel{g^{ij} g_{rs}} - \alpha \cancel{g^{ij} g_{rs}} + 2\alpha(d-1) \cancel{g^{ij} g_{rs}} \\ &= \frac{1}{2} (\delta^i_r \delta^j_s + \delta^i_s \delta^j_r) \text{ if } -4 - 2\alpha + 2\alpha(d-1) = 0 \Leftrightarrow \alpha = \frac{2}{d-2} \end{aligned}$$

→ Inverting the relation, we get  $K_{pq} = G_{pqrs} \pi^{rs}$

$$\Rightarrow \dot{g}_{pq} = 2N G_{pqrs} \pi^{rs} + N p_{|q} + N q_{|p}$$

② Performing the Legendre transform:

→ The Hamiltonian is obtained by doing a Legendre transform with respect to  $\dot{g}_{ij}$

DEF The canonical Hamiltonian  $\mathcal{H}_c$  is defined as

$$\mathcal{H}_c \equiv (\pi^{ij} \dot{g}_{ij} - \mathcal{L}) \Big|_{\dot{g}_{ij} = \dot{g}_{ij}(\pi^{kl})}$$

$$\rightarrow \mathcal{H}_c = \pi^{ij} (2N G_{jrs} \pi^{rs} + N_{ij} + N_{ji}) - \sqrt{g} (R - 2\Lambda) - NG^{ijpq} (K_{ij} K_{pq})$$

and  $(K_{ij} K_{pq}) \Big| = G_{jrs} \pi^{rs} G_{qhl} \pi^{hl}$

We get:

$$\begin{aligned} \mathcal{H}_c &= \pi^{ij} (2N G_{jrs} \pi^{rs} + N_{ilj} + N_{jli}) - N\sqrt{g}(R - 2\Lambda) \\ &\quad - N G^{ijpq} (G_{jrs} \pi^{rs} G_{pqkl} \pi^{kl}) \\ &= N (\pi^{ij} G_{jrs} \pi^{rs} - \sqrt{g}(R - 2\Lambda)) + (N_{ilj} + N_{jli}) \pi^{ij} \end{aligned}$$

→ Notice that  $\pi^{ij}$  is a tensor density. One can covariantly integrate by parts and the covariant total derivative is an ordinary one that can be dropped in the first order action, but there is an additional term:

$$\pi^{ij}_{;j} = \pi^{ij}_{,j} + \Gamma^i_k \pi^{kj} + \Gamma^j_k \pi^{ik} - \Gamma^k_k \pi^{ij}$$

Up to a total derivative, we have:

$$\pi^{ij} (N_{ilj} + N_{jli}) = \underbrace{2(\pi^{ij} N_j)_{lj}}_{\text{total derivative}} - 2\pi_{lj}^{ij} \cdot N^l$$

PROP The canonical Hamiltonian can be written as

$$\mathcal{H}_c = N \mathcal{H}_1 + N^i \mathcal{H}_i + 2(\pi^{ij} N_j)_{lj}$$

with  $\mathcal{H}_1$  is the Hamiltonian constraint:

$$\mathcal{H}_1 \equiv \pi^{ij} G_{jrs} \pi^{rs} - \sqrt{g}(R - 2\Lambda)$$

and  $\mathcal{H}_i$  is the diffeomorphism constraint (or momentum constraint):

$$\mathcal{H}_i \equiv -2\pi_{lj}^{ij}$$

PROP The ADM Lagrangian  $\mathcal{L}_{ADM}$  is given by

$$\mathcal{L}_{ADM}[g_{ij}, \pi^{ij}, N, N^i] = \underbrace{\dot{g}_{ij} \pi^{ij}}_{\text{p.v.}} - N \mathcal{H}_1 - N^i \mathcal{H}_i$$

$$L = p_i \dot{q}^i - H$$

→ The associated EOM are found by varying  $\mathcal{L}_{ADM}$  with respect to  $\pi^{ij}$  and  $g_{ij}$ , and by imposing  $\mathcal{H}_1 \approx 0$  and  $\mathcal{H}_i \approx 0$  ( $\Leftrightarrow$  varying  $L$  w.r.t.  $N$  and  $N^i$ ).

$$\hookrightarrow \delta S = \int d^4x \left\{ -\delta N \cdot \mathcal{H}_1 - \delta N^i \cdot \mathcal{H}_i + \frac{\delta \mathcal{L}^{ADM}}{\delta g_{ij}} \cdot \delta g_{ij} + \frac{\delta \mathcal{L}^{ADM}}{\delta \pi^{ij}} \cdot \delta \pi^{ij} \right\}$$

- ①  $\frac{\delta \mathcal{L}^{ADM}}{\delta N} = 0 \Leftrightarrow \mathcal{H}_1 = 0 \quad \left. \begin{array}{l} \text{Lagrange multiplier. The Hamiltonian of the} \\ \text{theory vanishes on the EOM.} \end{array} \right\}$
- ②  $\frac{\delta \mathcal{L}^{ADM}}{\delta N^i} = 0 \Leftrightarrow \mathcal{H}_i = 0$

$$\textcircled{3} \quad \frac{\delta \mathcal{L}^{\text{ADM}}}{\delta \pi^{ij}} = 0 \Leftrightarrow \dot{g}_{ij} = 2N G_{jirs} \pi^{rs} + N_{ilj} + N_{jil}$$

$$\textcircled{4} \quad \frac{\delta \mathcal{L}^{\text{ADM}}}{\delta g_{ij}} = 0 \Leftrightarrow \dot{\pi}^{ij} = -N\sqrt{g}(G^{ij} + \Lambda g^{ij}) + \frac{1}{2}Ng^{ij}\pi^{pq}G_{pqrs}\pi^{rs} \\ - 2N\frac{1}{\sqrt{g}}(\pi^{im}\pi^{mj} - \frac{1}{d-2}\pi\pi^{ij}) + \sqrt{g}(N^{ij}g^{ij}N^{lm}_{lm}) \\ + (\pi^{ij}N^m)_{lm} - N^i_{lm}\pi^{mj} - N^j_{lm}\pi^{mi}$$

See notes p.92 for the computation.