

# 4

# FREE DIRAC FIELD

- Our field doesn't have to be a scalar, we need to consider all representations of the Lorentz group. Here we consider the spinor representation which correspond to particles with half-integer spin.

## 4.1 Spinor representation

- Spinor representation is constructed with the help of matrices  $\gamma_\mu$  which satisfy the property

$$\{\gamma_\mu, \gamma_\nu\} = \gamma_\mu \gamma_\nu + \gamma_\nu \gamma_\mu = 2 \eta_{\mu\nu} = 2 g_{\mu\nu}$$

They're called the gamma matrices.

- From the gamma matrices, we define the spin matrices as

$$S^{\mu\nu} = \frac{i}{4} [\gamma^\mu, \gamma^\nu]$$

which satisfy the commutation relation of the Lorentz algebra:

$$[S^{\mu\nu}, S^{\rho\sigma}] = i (g^{\nu\rho} S^{\mu\sigma} + g^{\mu\rho} S^{\nu\sigma} - g^{\mu\sigma} S^{\nu\rho} - g^{\nu\sigma} S^{\mu\rho})$$

- The minimum size of  $\gamma$ -matrices in 4-D is 4. We're gonna use the chiral representation defined as:

$$\gamma^0 = \begin{pmatrix} 0 & \mathbb{1}_2 \\ \mathbb{1}_2 & 0 \end{pmatrix}; \quad \gamma^i = \begin{pmatrix} 0 & \vec{\sigma} \\ -\vec{\sigma} & 0 \end{pmatrix} \Leftrightarrow \gamma^\mu \equiv \begin{pmatrix} 0 & \sigma^\mu \\ \bar{\sigma}^\mu & 0 \end{pmatrix}$$

with  $\sigma^\mu \equiv (1, \vec{\sigma})$  and  $\bar{\sigma}^\mu \equiv (1, -\vec{\sigma})$

↳  $S^{\mu\nu}$  are 6 matrices  $4 \times 4$ , acting on  $\Psi_\alpha(x)$  where  $\alpha \in \{1, 2, 3, 4\}$  is a spinor index, and generate Lorentz transfo.

## ① Lorentz transformation:

→ The finite Lorentz transformation is:

$$\Lambda_{12} = \exp \left\{ -\frac{i}{2} \omega_{\mu\nu} S^{\mu\nu} \right\}$$

with  $\omega_{\mu\nu}$  are the 6 parameters of transformations

↳ In our representation, the generators are:

$$S^{0i} = \frac{i}{4} [\gamma^0, \gamma^i] = \frac{i}{4} (\gamma^0 \gamma^i - \gamma^i \gamma^0) = \frac{i}{4} \left\{ \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 & \alpha_i \\ -\alpha_i & 0 \end{pmatrix} - \begin{pmatrix} 0 & \alpha_i \\ \alpha_i & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right\}$$

$$= \frac{i}{4} \left\{ \begin{pmatrix} -\alpha_i & 0 \\ 0 & \alpha_i \end{pmatrix} - \begin{pmatrix} \alpha_i & 0 \\ 0 & -\alpha_i \end{pmatrix} \right\} = -\frac{i}{2} \begin{pmatrix} \alpha_i & 0 \\ 0 & -\alpha_i \end{pmatrix} \rightsquigarrow \text{boost}$$

$$S^{ij} = \frac{i}{4} [\gamma^i, \gamma^j] = \frac{i}{4} \left\{ \begin{pmatrix} 0 & \alpha_j \\ -\alpha_j & 0 \end{pmatrix} \begin{pmatrix} 0 & \alpha_i \\ -\alpha_i & 0 \end{pmatrix} - \begin{pmatrix} \alpha_i & 0 \\ 0 & -\alpha_i \end{pmatrix} \begin{pmatrix} 0 & \alpha_j \\ -\alpha_j & 0 \end{pmatrix} \right\} = \frac{i}{4} \left\{ \begin{pmatrix} -\alpha_i \alpha_j & 0 \\ 0 & -\alpha_i \alpha_j \end{pmatrix} - \begin{pmatrix} \alpha_i \alpha_j & 0 \\ 0 & -\alpha_i \alpha_j \end{pmatrix} \right\}$$

$$= \frac{i}{4} \begin{pmatrix} -\alpha_i \alpha_j + \alpha_j \alpha_i & 0 \\ 0 & -\alpha_i \alpha_j + \alpha_j \alpha_i \end{pmatrix} = \frac{i}{4} \begin{pmatrix} i \epsilon^{ijk} \alpha_k & 0 \\ 0 & -i \epsilon^{ijk} \alpha_k \end{pmatrix} = \frac{1}{2} \epsilon^{ijk} \begin{pmatrix} \alpha_k & 0 \\ 0 & \alpha_k \end{pmatrix} \rightsquigarrow \text{rotat.}$$

Recall

The Pauli matrices are:

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}; \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}; \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \text{ and } \sigma_i \sigma_j = \delta_{ij} + i \epsilon_{ijk} \tilde{\sigma}_k$$

DEF We can split our 4-component spinor into 2 parts:

$$\psi_\alpha = \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix} \text{ with } i=1,2 \text{ called Weil spinors}$$

PROP The Lorentz transformation does not mix the Weil spinors

$$S^{\mu\nu} = \frac{i}{4} [\gamma^\mu, \gamma^\nu] = \frac{i}{4} \left\{ \begin{pmatrix} \alpha^\mu \bar{\alpha}^\nu & 0 \\ 0 & \bar{\alpha}^\mu \alpha^\nu \end{pmatrix} - \begin{pmatrix} \alpha^\nu \bar{\alpha}^\mu & 0 \\ 0 & \bar{\alpha}^\nu \alpha^\mu \end{pmatrix} \right\}$$

$$= \frac{i}{4} \begin{pmatrix} \alpha^\mu \bar{\alpha}^\nu - \alpha^\nu \bar{\alpha}^\mu & 0 \\ 0 & \bar{\alpha}^\mu \alpha^\nu - \bar{\alpha}^\nu \alpha^\mu \end{pmatrix} \rightsquigarrow \text{block-diagonal}$$

→ Theories constructed only on  $\psi_L$  (or  $\psi_R$ ) won't be invariant under space reflection ( $P$ )

→ The Lorentz transformation acts on spinors as follows:

$$\psi_\alpha(x) \rightarrow \psi'_\alpha(x) = (\Lambda_{1/2})_{\alpha\beta} \psi_\beta(\Lambda^{-1}x)$$

where  $\Lambda$  is the matrix of Lorentz transformation in the vector representation,  $\Lambda = \exp\left(-\frac{i}{2}\omega_{\mu\nu} T^{\mu\nu}\right)$  where  $(T^{\mu\nu})_{\alpha\beta} = i(\delta^\mu_\alpha \delta^\nu_\beta - \delta^\mu_\beta \delta^\nu_\alpha)$  are the corresponding generators.

## 4.2 Lagrangian of a free Dirac field

### ① Construction of the Lagrangian:

→ We need to construct scalars. We could think of  $\psi^\dagger \psi$ , but it's not a scalar.

Let's show that  $\psi^\dagger \psi$  is not a scalar:

$$\rightarrow \sigma_i^\dagger = \sigma_i; \gamma^0^\dagger = \gamma^0; \gamma^i^\dagger = \begin{pmatrix} 0 & -\sigma^i \\ \sigma^i & 0 \end{pmatrix} = -\gamma^i$$

→ Consider a boost:

$$(S^{0i})^\dagger = \left( \frac{i}{4} [\gamma^0, \gamma^i] \right)^\dagger = \frac{-i}{4} (\gamma^i \gamma^0 + \gamma^0 \gamma^i)^\dagger \\ = \frac{-i}{4} (\gamma^i \gamma^0 - \gamma^0 \gamma^i) = -\frac{i}{4} [\gamma^0, \gamma^i] = -S^{0i}$$

→ For a rotation:  $(S^{ij})^\dagger = S^{ji}$

→ Thus,  $(S^{\mu\nu})^\dagger \neq S^{\mu\nu}$  and therefore:

$$\psi^\dagger \underbrace{\psi}_\lambda \rightarrow \psi^\dagger \Lambda^\dagger \Lambda \psi \approx \psi^\dagger (1 + \frac{i}{2} \omega_{\mu\nu} S^{\mu\nu\dagger}) (1 - \frac{i}{2} \omega_{\mu\nu} S^{\mu\nu}) \psi \\ \neq \psi^\dagger \psi$$

→ We need to use the properties of  $\gamma$ -matrices.

→ Since  $\{\gamma^\mu, \gamma^\nu\} = 2\gamma^{\mu\nu}$ ,  $(\gamma^0)^2 = 1$ .

$$\hookrightarrow \gamma^0 \gamma^0 \gamma^0 = \gamma^0; \gamma^0 (\gamma^0)^\dagger \gamma^0 = (\gamma^0)^\dagger$$

$$\gamma^0 \gamma^i \gamma^0 = -\gamma^i; \gamma^0 (\gamma^i)^\dagger \gamma^0 = \gamma^0 \gamma^i (\gamma^0 \gamma^0) \gamma^i \dots \gamma^i \gamma^0 = (-\gamma^i)^\dagger$$

$$\hookrightarrow \gamma^0 S^{0i} \gamma^0 = -S^{0i} \text{ and } \gamma^0 S^{ij} \gamma^0 = S^{ij}$$

$$\text{We found that: } \gamma^0 S^{0i\dagger} \gamma^0 = S^{0i}$$

$$\gamma^0 S^{ij\dagger} \gamma^0 = S^{ij}$$

DEF

| Let  $\psi$  be a Dirac spinor. Then its Dirac adjoint is defined as  $\bar{\psi} = \psi^+ \gamma^0$

↳ Under Lorentz transformation, it transforms as follow:

$$\begin{aligned}\bar{\psi} \psi &\xrightarrow{\Lambda} \psi^+ (\exp[-\frac{i}{2} \omega_{\mu\nu} S^{\mu\nu}])^+ \gamma^0 (\exp[\frac{i}{2} \omega_{\mu\nu} S^{\mu\nu}]) \psi \\ &= \psi^+ \gamma^0 \gamma^0 \exp[-\frac{i}{2} \omega^0 \exp[...]] \psi \\ &= \bar{\psi} \psi \\ \rightarrow \bar{\psi} \psi &\text{ is a scalar.}\end{aligned}$$

→ More properties of the  $\gamma$ -matrices:

?  $\rightarrow \Lambda^{1/2} \gamma^\mu \Lambda^{-1/2} = \Lambda^\mu_\nu \gamma^\mu \sim \text{in } \gamma^\mu, \text{ the index is a genuine vector index.}$

DEF

→ We define a 5th  $\gamma$ -matrix:  $\gamma^5 \equiv i \gamma^0 \gamma^1 \gamma^2 \gamma^3$

PROP 1)  $\gamma^5 = \frac{-i}{4!} \epsilon^{\mu\nu\rho\sigma} \gamma_\mu \gamma_\nu \gamma_\rho \gamma_\sigma = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$

2) This matrix anticommutes with all  $\gamma^\mu$ :

$$\{\gamma^\mu, \gamma^5\} = 0 \text{ because } \gamma^5 = \text{diag}(-1, 1)$$

3)  $[\gamma^5, S^{\mu\nu}] = 0 \Leftrightarrow \Lambda^{1/2} \gamma^5 \Lambda^{-1/2} = \gamma^5$

Indeed:  $[\gamma^5, [\gamma^\mu, \gamma^\nu]] = \gamma^5 \gamma^\mu \gamma^\nu - \gamma^\mu \gamma^\nu \gamma^5 - \gamma^\mu \gamma^\nu \gamma^5 + \gamma^\nu \gamma^\mu \gamma^5$   
 $= \cancel{\gamma^\mu} \gamma^\nu \cancel{\gamma^5} - \gamma^5 \gamma^\nu \gamma^\mu - \cancel{\gamma^\mu} \cancel{\gamma^\nu} \gamma^5 + \gamma^\nu \gamma^\mu \gamma^5 = 0$

→ We then have several ingredients for our Lagrangian:

$\bar{\psi} \psi \longrightarrow \text{scalar}$

$\bar{\psi} \gamma^5 \psi \longrightarrow \text{pseudo-scalar}$

$\bar{\psi} \gamma^\mu \psi \longrightarrow \text{vector}$

$\bar{\psi} \gamma^\mu \gamma^\nu \psi \longrightarrow \text{pseudo-vector}$

$\bar{\psi} \gamma^\mu \gamma^\nu \gamma^\rho \psi \longrightarrow \text{tensor}$

DEF

| We define the Dirac Lagrangian  $\mathcal{L}_{\text{Dirac}}$  as:

$$\begin{aligned}\mathcal{L}_{\text{Dirac}} &\equiv \bar{\psi} (i \gamma^\mu \partial_\mu) \psi - m \bar{\psi} \psi \\ &= \bar{\psi} (i \not{\partial} - m) \psi\end{aligned}$$

→ Matrix notation:  $\Psi_a^+ i \gamma^0 \gamma_b^\mu \gamma_c^\nu \partial_\mu \Psi_c - \Psi_a^+ \gamma^0 \gamma_b^\mu \gamma_c^\nu m$

↳ Let's check the hermiticity of  $S = \int d^4x \{ \bar{\psi} (i\cancel{D} - m) \psi \}$

$$\rightarrow (\bar{\psi} \psi)^+ = (\psi^\dagger \gamma^0 \psi)^+ = \psi^\dagger \gamma^0 \psi = \bar{\psi} \psi$$

$$\rightarrow (\int d^4x \{ i\bar{\psi} \gamma^\mu \partial_\mu \psi \})^+ = \int d^4x \{ (-i) \partial_\mu \psi^\dagger \gamma^\mu \gamma^0 \psi \}$$

$$= - \int d^4x \{ i \bar{\psi} \gamma^\mu \partial_\mu \psi \} = \int d^4x \{ i \bar{\psi} \gamma^\mu \partial_\mu \psi \}$$

### ① Equations of motion:

→ We vary the action with respect to  $\bar{\psi}$ :

$$S[\bar{\psi} + \delta \bar{\psi}] = \int d^4x \{ (\bar{\psi} + \delta \bar{\psi}) (i \gamma^\mu \partial_\mu - m) \psi \}$$

$$= \int d^4x \{ \bar{\psi} (i \cancel{D} - m) \psi + \delta \bar{\psi} (i \gamma^\mu \partial_\mu - m) \psi \}$$

$$\delta S = \int d^4x \{ \delta \bar{\psi} (i \cancel{D} - m) \psi \} = 0 \Leftrightarrow (i \cancel{D} - m) \psi = 0$$

We find the Dirac equation  $(i \gamma^\mu \partial_\mu - m) \psi = 0$

↳ We also find the conjugate eqs if we vary the action with respect to  $\psi$ , but it's not an independent one:  $-i \partial_\mu \bar{\psi} \gamma^\mu - m \bar{\psi} = 0$

## 4.3 Solutions to the free Dirac equation

### ① Relation with the Klein-Gordon equation:

→ Each component of dirac spinor satisfies the K-G equation:

$$0 = (i \cancel{D} + m) (i \cancel{D} - m) \psi$$

$$= (i \gamma^\mu \partial_\mu + m) (i \gamma^\nu \partial_\nu - m) \psi = (-\gamma^\mu \gamma^\nu \partial_\mu \partial_\nu - m^2) \psi$$

$$= \left\{ -\frac{1}{2} (\gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu) \partial_\mu \partial_\nu - m^2 \right\} \psi = \left( -\frac{1}{2} \{ \gamma^\mu \gamma^\nu \} \partial_\mu \partial_\nu - m^2 \right) \psi$$

$$= (\gamma^{\mu\nu} \partial_\mu \partial_\nu - m^2) \psi = (\cancel{D}^2 - m^2) \psi$$

↳ All solution of Dirac eq. are linear superposition of the plane waves  $e^{\pm i \omega t \mp i \vec{p} \cdot \vec{x}}$ . By analogy with the scalar case, we guess:

$$\psi_\alpha(x) = \int \frac{d^3 p}{(2\pi)^{3/2} \sqrt{2\omega_p}} \left\{ a_\alpha^i u_\alpha^i(\vec{p}) e^{-i(\omega t - \vec{p} \cdot \vec{x})} + b_\alpha^{i*} v_\alpha^i(\vec{p}) e^{i(\omega t - \vec{p} \cdot \vec{x})} \right\}$$

where  $a_\alpha^i + b_\alpha^{i*}$  are arbitrary coefficients and  $u_\alpha^i(p), v_\alpha^i(p)$  spinors, with  $i = 1, 2$ .

→ In addition, the wave function has to satisfy the equations:

$$(i\gamma^\mu \partial_\mu - m) u(\vec{p}) e^{-i\omega t + i\vec{p}\vec{x}} = 0$$

$$(i\gamma^\mu \partial_\mu - m) v(\vec{p}) e^{i\omega t - i\vec{p}\vec{x}} = 0$$

↳ Considering the 1<sup>st</sup> one, we get:

$$(i\gamma^\mu \partial_\mu - m)_{\mu x} u_x(\vec{p}) e^{-i\omega t + i\vec{p}\vec{x}} = e^{-i\omega t + i\vec{p}\vec{x}} (\omega \gamma^0 - \vec{p} \cdot \vec{\gamma} - m \mathbb{1}_4) u(\vec{p}) = 0$$

$$\Leftrightarrow \begin{pmatrix} -m & \omega_p - \vec{p} \cdot \vec{\sigma} \\ \omega_p - \vec{p} \cdot \vec{\sigma} & -m \end{pmatrix} \begin{pmatrix} u_L \\ u_R \end{pmatrix} = 0$$

$$\Leftrightarrow \begin{cases} -m u_L + (\omega_p - \vec{p} \cdot \vec{\sigma}) u_R = 0 \\ (\omega_p + \vec{p} \cdot \vec{\sigma}) u_L - m u_R = 0 \end{cases} \Rightarrow u_L = \frac{\omega_p - \vec{p} \cdot \vec{\sigma}}{m} u_R$$

$$\text{Injecting, we get: } \frac{1}{m} (\omega_p + \vec{p} \cdot \vec{\sigma})(\omega_p - \vec{p} \cdot \vec{\sigma}) u_R - m u_R = 0$$

$$\Leftrightarrow \frac{1}{m} (\omega_p^2 - (\vec{p} \cdot \vec{\sigma})(\vec{p} \cdot \vec{\sigma})) u_R - m u_R = 0$$

$$\text{And } p_i p_j \sigma_i \sigma_j = (\delta_{ij} + i \epsilon_{ijk} \sigma_k) p_i p_j = p_i p_j = \vec{p}^2$$

$$\Leftrightarrow \frac{1}{m} (m^2 + \vec{p}^2 - \vec{p}^2) u_R - m u_R = 0$$

It's always true! We have the freedom to pick the 1<sup>st</sup> solution (say for  $u_R$ ) and the 2<sup>nd</sup> depends on the 1<sup>st</sup> by  $u_L = \frac{1}{m} (\omega_p - \vec{p} \cdot \vec{\sigma}) u_R$

DEF We define  $\xi^1 \equiv \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $\xi^2 \equiv \begin{pmatrix} 0 \\ 1 \end{pmatrix}$  so we can write

$$u = \begin{pmatrix} u_L \\ u_R \end{pmatrix} = \begin{pmatrix} (\omega_p - \vec{p} \cdot \vec{\sigma}) / m \cdot \xi^1 \\ \xi^2 \end{pmatrix}$$

### ② Normalization of our solutions:

→ Let's check the actual normalization:

$$\begin{aligned} \bar{u} u &= u^\dagger \gamma^0 u \\ &= (\sigma \cdot p / m \cdot \xi^i, \xi^i) \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \sigma \cdot p / m \cdot \xi^j \\ \xi^j \end{pmatrix} = 2 \xi^i \frac{\sigma \cdot p}{m} \xi^j \\ &= 2 \frac{\sigma \cdot p}{m} u_R^\dagger u_R \end{aligned}$$

→ We remember that we could take any linearly independent spinors for  $u_R$ . We're gonna redefine  $u_R$  so the normalization doesn't depend on  $p$ .

→ Since  $\sigma \cdot p = \begin{pmatrix} \omega + p_3 & p_1 - i p_2 \\ p_1 + i p_2 & \omega - p_3 \end{pmatrix}$ , we can compute:

$$\text{Det}(\sigma \cdot p) = \omega^2 - p_3^2 - p_1^2 - p_2^2 = m^2 > 0$$

$$\text{Tr}(\sigma \cdot p) = 2\omega_p > 0$$

We showed that  $\sigma \cdot p$  has positive eigenvalues. We can then

define  $\sqrt{\sigma \cdot p}$  and  $\sqrt{\bar{\sigma} \cdot p}$

→ We define  $u_i^i = \sqrt{\bar{\sigma} \cdot p} \xi^i$  with  $\xi^1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \xi^2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ . Our solutions become:

$$u_i^i = \begin{pmatrix} \sigma p \\ m \end{pmatrix} \sqrt{\bar{\sigma} \cdot p} \xi^i = \begin{pmatrix} \sqrt{\sigma \cdot p} \xi^i \\ m \end{pmatrix} = \begin{pmatrix} u_i^i \\ m \end{pmatrix}$$

$$\text{Indeed: } \sqrt{\sigma \cdot p} \cdot \sqrt{\bar{\sigma} \cdot p} = \sqrt{\sigma \cdot p \bar{\sigma} \cdot p} = \left( \begin{pmatrix} \omega + p_3 & p_1 - i p_2 \\ p_1 + i p_2 & \omega - p_3 \end{pmatrix} \cdot \begin{pmatrix} \omega - p_3 & -p_1 + i p_2 \\ p_1 + i p_2 & \omega + p_3 \end{pmatrix} \right) = \sqrt{m^2 \mathbb{1}_2} = \sqrt{m^2} \mathbb{1}_2$$

→ Normalization of  $\bar{u}_i^i \cdot u_i^i$ :

$$\begin{aligned} \bar{u}_i^i \cdot u_i^i &= (\xi^{i+} \sqrt{\sigma \cdot p}, \xi^{i+} \sqrt{\bar{\sigma} \cdot p}) \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \sqrt{\sigma \cdot p} \xi^i \\ \sqrt{\bar{\sigma} \cdot p} \xi^i \end{pmatrix} \\ &= 2m \xi^{i+} \xi^i = 2m \delta^{ij} \quad \text{Nice!} \end{aligned}$$

## ② Final solutions:

→ We have:  $u_i^i = \begin{pmatrix} \sqrt{\sigma \cdot p} \xi^i \\ \sqrt{\bar{\sigma} \cdot p} \xi^i \end{pmatrix}$  with:  $\bar{u}_i^i \cdot u_i^i = 2m \delta^{ij}$   
 $\bar{u}_i^j \cdot u_j^i = -2m \delta^{ij}$   
 $\bar{u}_i^i \cdot u_i^j = \bar{u}_i^j \cdot u_i^i = 0$

## ③ Usefull relations:

$$\begin{aligned} \rightarrow \text{We have: } \sum_i u_{\alpha}^i(p) \bar{u}_{\beta}^i(p) &= \sum_i \begin{pmatrix} \sqrt{\sigma \cdot p} \xi^i \\ \sqrt{\bar{\sigma} \cdot p} \xi^i \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \xi^{i+} \sqrt{\bar{\sigma} \cdot p}, \xi^{i+} \sqrt{\sigma \cdot p} \end{pmatrix} \\ &= \sum_i \begin{pmatrix} \sqrt{\sigma \cdot p} \xi^i \xi^{i+} \sqrt{\bar{\sigma} \cdot p} & \sqrt{\bar{\sigma} \cdot p} \xi^i \xi^{i+} \sqrt{\sigma \cdot p} \\ \sqrt{\bar{\sigma} \cdot p} \xi^i \xi^{i+} \sqrt{\bar{\sigma} \cdot p} & \sqrt{\bar{\sigma} \cdot p} \xi^i \xi^{i+} \sqrt{\sigma \cdot p} \end{pmatrix} = \begin{pmatrix} m & \sigma p \\ \bar{\sigma} p & m \end{pmatrix} \\ &= \gamma^{\alpha} p_{\alpha} + m = (\gamma^{\mu} p_{\alpha})_{\alpha \beta} + m \delta_{\alpha \beta} \end{aligned}$$

↳ In general, we have:

$$\sum_i u^i(p) \bar{u}^i(p) = \gamma^\mu p_\mu + m$$

$$\sum_i v^i(p) \bar{v}^i(p) = \gamma^\mu p_\mu - m$$

→ Other useful normalization relations:

$$v^{i+}(p) v^j(p) = q \omega_p \delta^{ij}$$

$$\bar{v}^i(p) u^j(p) = \bar{u}^i(p) v^j(p) = 0$$

$$u^{i+}(\vec{p}) v^j(-\vec{p}) = 0$$

## 4.4 Quantization

② Classical expression:

→ Our action reads  $S = \int d^4x \{ \bar{\psi} \gamma^\mu \partial_\mu \psi - m \bar{\psi} \psi \}$

→ The momentum is given by  $i\psi^+$  because  $\psi^+ \gamma^0 (\gamma^0 \partial_0 + i\partial_i) \psi = \psi^+ \partial_0 \psi + \dots$  no  $\gamma^0$

→ The hamiltonian is  $H = \int d^3x \bar{\psi} (-i\vec{\gamma} \cdot \vec{\nabla} + m) \psi$  and the impulsion:  $P_j = \int d^3x \psi^+ (-i\partial_j) \psi$

→ The Noether currents and charges are:

$$J^\mu = \bar{\psi} \gamma^\mu \psi \sim Q = \int d^3x \bar{\psi} \gamma^0 \psi = \int d^3x \psi^+ \psi$$

③ Commutation relations:

→ By analogy with the K-G equation, we impose:

$$[\psi_\alpha(\vec{x}), \psi_\beta^\dagger(\vec{y})] = \delta_{\alpha\beta} \cdot \delta^3(\vec{x} - \vec{y})$$

and then decompose the Dirac field in  $\hat{a}$  and  $\hat{a}^\dagger$  operators:

$$\psi_\alpha(\vec{x}, t) = \frac{1}{(2\pi)^{3/2} \sqrt{2\omega_k}} \{ e^{-i\omega t - ik\vec{x}} u_\alpha^i(t) a^i(t) + e^{i\omega t - ik\vec{x}} v_\alpha^i(t) b^i(t) \}$$

The corresponding hamiltonian would read:

$$H = \int d^3p \sum_i \{ \omega_k \hat{a}_k^\dagger \hat{a}_k - \omega_k \hat{b}_k^\dagger \hat{b}_k \} \rightarrow \text{Not bounded from below!} \quad \square$$

## ① Anticommutation relations:

→ We interpret particles as excitations of a given mode, or oscillator. Several oscillator in the same mode are in a more excited state of the same oscillator. If we want fermions, they must respect Pauli exclusion principle: 1 mode = 1 fermion max. This correspond to  $|0\rangle, \hat{a}^\dagger|0\rangle, \hat{a}^\dagger\hat{a}^\dagger|0\rangle \neq 0$

→ These properties correspond to the anticommutation relations of the creation and annihilation operators. We thus impose:

$$\textcircled{*} \quad \{\psi_\alpha(\vec{x}), \psi_\beta^+(\vec{y})\} = \delta_{\alpha\beta} \delta^3(\vec{x}-\vec{y})$$

$$\text{and } \{\psi_\alpha(\vec{x}), \psi_\beta(\vec{y})\} = \{\psi_\alpha^+(\vec{x}), \psi_\beta^+(\vec{y})\} = 0$$

↳ Correspondingly,  $\hat{a}$  and  $\hat{a}^\dagger$  should anticommute:

$$\textcircled{**} \quad \{\hat{a}_p^{i\dagger}, \hat{a}_{\bar{q}}^j\} = \delta^{ij} \delta^3(\vec{p}-\vec{q})$$

$$\{\hat{b}_{\bar{p}}^{i\dagger}, \hat{b}_{\bar{q}}^j\} = \delta^{ij} \delta^3(\vec{p}-\vec{q})$$

→ We need to check that  $\textcircled{**} \Rightarrow \textcircled{*}$  and calculate  $\hat{N}, \hat{P}, \dots$

## ② Construction of $\hat{N}, \hat{P}_i$ and $\hat{Q}$ :

→ Check of the anticommutation relations:

$$\rightarrow \psi_\alpha(\vec{x}) = \int \frac{d^3k}{(2\pi)^3 \sqrt{2\omega_k}} \sum_i \left\{ \hat{a}_k^i u_\alpha^i(t) e^{i\vec{k}\vec{x}} + \hat{b}_k^{i\dagger} v_\alpha^i(t) e^{-i\vec{k}\vec{x}} \right\}$$

$$? \quad \rightarrow \psi_\beta^+(\vec{y}) = \int \frac{d^3p}{(2\pi)^3 \sqrt{2\omega_p}} \sum_j \left\{ \hat{b}_{\bar{p}}^j u_\beta^j(\bar{p}) e^{i\vec{p}\vec{y}} + \hat{a}_{\bar{p}}^{j\dagger} v_\beta^j(\bar{p}) e^{-i\vec{p}\vec{y}} \right\}$$

$$\begin{aligned} \rightarrow \{\psi_\alpha(\vec{x}), \psi_\beta^+(\vec{y})\} &= \int \frac{d^3k d^3p}{(2\pi)^3 \sqrt{2\omega_k 2\omega_p}} \sum_{i,j} \cdot \left\{ e^{i\vec{k}\vec{x} - i\vec{p}\vec{y}} u_\alpha^i(t) \underbrace{\{\hat{a}_k^i, \hat{a}_{\bar{p}}^{j\dagger}\}}_{\delta^{ij} \delta^3(\vec{k}-\vec{p})} u_\beta^j(\bar{p}) \right. \\ &\quad \left. + e^{-i\vec{k}\vec{x} + i\vec{p}\vec{y}} v_\alpha^i(t) \underbrace{\{\hat{b}_k^{i\dagger}, \hat{b}_{\bar{p}}^j\}}_{\delta^{ij} \delta^3(\vec{k}-\vec{p})} v_\beta^j(\bar{p}) \right\} \\ &= \int \frac{d^3p}{(2\pi)^3 2\omega_p} \sum_i \left( e^{i\vec{p}(\vec{x}-\vec{y})} u_\alpha^i(\bar{p}) u_\beta^{i\dagger}(\bar{p}) + e^{-i\vec{p}(\vec{x}-\vec{y})} v_\alpha^i(\bar{p}) v_\beta^{i\dagger}(\bar{p}) \right) \end{aligned}$$

Previously, we showed that  $\sum_i u_\alpha^i u_\beta^{i\dagger} = \sum_i u_\alpha^i \bar{u}_\beta^i \gamma_0 = [(\gamma^0 p_\mu + m)\gamma^0]_{\alpha\beta}$   
and  $\sum_i v_\alpha^i v_\beta^{i\dagger} = (\gamma^0 p_\mu - m)\gamma^0$

$$\begin{aligned}
 &= \int \frac{d^3 p}{(2\pi)^3} \frac{e^{i\vec{p}(\vec{x}-\vec{y})}}{2\omega_p} \left( \gamma^\alpha \omega_p - \vec{\gamma} \cdot \vec{p} + m + \gamma^\alpha \omega_p + \vec{\gamma} \cdot \vec{p} - m \right) \gamma_\alpha^\beta \\
 &= \int \frac{d^3 p}{(2\pi)^3} \frac{2\omega_p}{2\omega_p} e^{i\vec{p}(\vec{x}-\vec{y})} \cdot \gamma_\alpha^\beta \gamma_\beta^\beta = \delta_{\alpha\beta} \delta^3(\vec{x}-\vec{y})
 \end{aligned}$$

→ (See notes for details) We find the Hamiltonian to be:

$$\hat{H} = \int d^3 p \omega_p \left\{ \hat{a}_{\vec{p}}^{\dagger} \hat{a}_{\vec{p}}^i + \hat{b}_{\vec{p}}^{\dagger} \hat{b}_{\vec{p}}^i \right\}$$

$$\text{Similarly, we have: } \hat{P}_i = \int d^3 p p_i \left\{ \hat{a}_{\vec{p}}^{\dagger} \hat{a}_{\vec{p}}^i + \hat{b}_{\vec{p}}^{\dagger} \hat{b}_{\vec{p}}^i \right\}$$

$$\text{and } \hat{Q} = \int d^3 p \left\{ \hat{a}_{\vec{p}}^{\dagger} \hat{a}_{\vec{p}}^i - \hat{b}_{\vec{p}}^{\dagger} \hat{b}_{\vec{p}}^i \right\}$$

→ We interpret  $\hat{a}_{\vec{p}}^{\dagger}, \hat{b}_{\vec{p}}^{\dagger}$  as creation operators of particles and anti-particles

## 4.5 Spin

→ We have 2 types of particles and anti-particles ( $i=1, 2$ ). To understand, we need to consider the angular momentum operator.

Recall: the conserved current under a Lorentz transformation for a scalar field is:

$$M_{\lambda\mu}^A = T^A{}_\lambda x_\mu - T^A{}_\mu x_\lambda + S^A{}_\lambda^\mu$$

→ In the rest frame of a particle ( $\vec{p}=0$ ), this operator reduces to  
 $\vec{S} \stackrel{\text{def}}{=} \vec{J}|_{\text{rest}} = \int d^3 x \psi^\dagger \frac{1}{2} \vec{\sigma} \psi \text{ with } \vec{\sigma} = \begin{pmatrix} \vec{\sigma} & 0 \\ 0 & \vec{\sigma} \end{pmatrix}$

DEF<sub>1</sub> The spin operator is  $S_i = \frac{i}{4} \epsilon_{ijk} [\gamma^j, \gamma^k] = \epsilon_{ijk} S^{jk}$

→ We need to calculate the action of this operator on the state with zero momentum  $\hat{a}_i^\dagger(0)|0\rangle$

$$\vec{S} \hat{a}_o^{+s} |0\rangle = \int \frac{d^3x \ d^3p \ d^3k}{(2\pi)^3 2\sqrt{\omega_k \omega_p}} \\ \times \left( e^{i\vec{k}\vec{x}} u^{+i}(k) \hat{b}^i(k) + e^{-i\vec{k}\vec{x}} u^{+i}(k) \hat{a}^{+i}(k) \right) \\ \times \frac{1}{2} \sum \left( e^{i\vec{p}\vec{x}} u^j(\vec{p}) \hat{a}^j(\vec{p}) + e^{-i\vec{p}\vec{x}} u^j(\vec{p}) \hat{b}^{+j}(\vec{p}) \right) \hat{a}^{+k} |0\rangle \quad \vec{\Sigma}^+$$

$\rightarrow$  Since  $\vec{J}|0\rangle = 0$ , we have  $\vec{J} \hat{a}^{+k} |0\rangle = [\vec{J}, \hat{a}^{+k}] |0\rangle$ . We'll have term like  $[ba, a^\dagger]$ ,  $[bb^\dagger, a^\dagger]$ ,  $[a_p^\dagger b_p^\dagger, a_o^\dagger]$  all giving 0, and  $[a_p^\dagger a_p, a_o^\dagger] |0\rangle = (a_p^\dagger a_p a_o^\dagger - a_o^\dagger a_p^\dagger a_p) |0\rangle = \hat{a}_o^\dagger |0\rangle$

Thus, the integral become:

$$\begin{aligned} \vec{S} \hat{a}_o^{+s} |0\rangle &= [\vec{S}, \hat{a}_o^{+s}] |0\rangle \\ &= \int d^3x \frac{d^3k \ d^3q}{(2\pi)^3 2\sqrt{\omega_k \omega_q}} \left[ (b_k^i u^{+i}(k) e^{i\vec{k}\vec{x}} + a_k^{+i} u^{+i}(k) e^{-i\vec{k}\vec{x}}) \frac{1}{2} \sum \right. \\ &\quad \left. (a_q^j u^j(q) e^{i\vec{q}\vec{x}} + b_q^{+j} u^j(q) e^{-i\vec{q}\vec{x}}), \hat{a}_o^{+s} \right] |0\rangle \\ &= \int \frac{d^3k}{2\omega_k} u^{+i}(k) \cdot \frac{1}{2} \sum u^i(k) \underbrace{[a_k^{+i} a_k^i, \hat{a}_o^{+s}] |0\rangle}_{\hat{a}_k^{+i} S^{is} S(k) |0\rangle} \\ &= \int \frac{d^3k}{4\omega_k} u^{+i}(k) \sum u^i(k) \hat{a}_k^{+i} S^{is} S(k) |0\rangle \\ &= \frac{1}{2m} \underbrace{(S^{+i} \sqrt{m!}, S^{+i} \sqrt{m!})}_{(S^{+i}, S^{+i})} \underbrace{(\bar{\sigma}/2, 0)}_{(0, \bar{\sigma}/2)} \underbrace{(S^s)}_{(S^s, S^s)} = 2m S^{+i} \frac{1}{2} \bar{\sigma} S^s \\ &= \left( S_a^{+i} \frac{1}{2} \bar{\sigma}_{\alpha\beta} S_\beta^s \right) \hat{a}_o^{+i} |0\rangle \end{aligned}$$

Consider the 3rd component:

$$S_3 \hat{a}_o^{+s} |0\rangle = \left( S^{+i} \frac{1}{2} \sigma_3 S^s \right) \hat{a}_o^{+i} |0\rangle \\ = \begin{cases} 1/2 \hat{a}_o^+ |0\rangle & \text{for } s=1 \\ -1/2 \hat{a}_o^+ |0\rangle & \text{for } s=2 \end{cases}$$

$$\sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

## 4.6 One-particle states

→ Let's show that states created by  $\hat{a}^{i+}(\vec{p})$  and  $\hat{b}^{i+}(\vec{p})$  have given energy and momentum:

$$\begin{aligned}\hat{N} \hat{a}^{i+}(\vec{p}) |0\rangle &= \int d^3k \omega_k (\hat{a}_k^{i+} \hat{a}_k^{i+} + \hat{b}_k^{i+} \hat{b}_k^{i+}) \hat{a}_p^{i+} |0\rangle \\ &= \int d^3k \omega_k \hat{a}_k^{i+} \hat{a}_k^{i+} \hat{a}_p^{i+} |0\rangle = \int d^3k \omega_k \hat{a}_k^{i+} \underbrace{\{\hat{a}_k^{i+}, \hat{a}_p^{i+}\}}_{\delta^{ij} \delta^3(\vec{k} - \vec{p})} |0\rangle \\ &= \omega_p \hat{a}_p^{i+} |0\rangle\end{aligned}$$

Analogously, for  $\hat{p}$ :  $\hat{p} \hat{a}^{i+}(\vec{k}) |0\rangle = \vec{k} \hat{a}^{i+}(\vec{k}) |0\rangle$

For the charges  $\hat{Q}$ :  $\hat{Q} \hat{a}^{i+}(\vec{k}) |0\rangle = (+1) \cdot \hat{a}^{i+}(\vec{k}) |0\rangle$   
 $\hat{Q} \hat{b}^{i+}(\vec{k}) |0\rangle = (-1) \hat{b}^{i+}(\vec{k}) |0\rangle$

## 4.7 Statistics:

→ A general 2-particles state is written as:

$$\int d^3k d^3p f(k, p) \hat{a}^{i+}(\vec{k}) \hat{a}^{j+}(\vec{p}) |0\rangle$$

The wave function  $f(k, p)$  has to be antisymmetric in  $k \leftrightarrow p$  and  $i \leftrightarrow j$  because of the anti-symmetry of the product  $\hat{a}^{i+}(\vec{k}) \hat{a}^{j+}(\vec{p})$

→ We're dealing with fermions

→ Energy of a 2 particles state:

$$\begin{aligned}\hat{H} \hat{a}_p^{i+} \hat{a}_q^{j+} |0\rangle &= \int d^3k \omega_k \hat{a}_k^{i+} \hat{a}_k^{j+} \hat{a}_p^{i+} \hat{a}_q^{j+} |0\rangle \\ &= \int d^3k \omega_k \hat{a}_k^{i+} (\delta^{ij} \delta^3(\vec{k} - \vec{p}) - \hat{a}_p^{i+} \hat{a}_k^{j+}) \hat{a}_q^{j+} |0\rangle \\ &= \dots (\omega_p + \omega_q) \hat{a}_p^{i+} \hat{a}_q^{j+} |0\rangle\end{aligned}$$