

# Advanced general relativity

ULB MA | 2023–2024 | Prof. Glenn BARNICH

## Chapter 1: Auxiliary Fields

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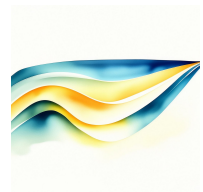
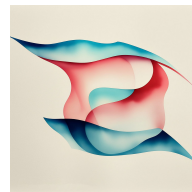
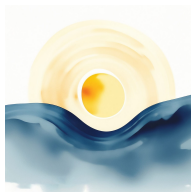
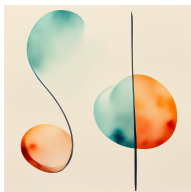
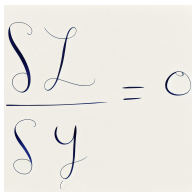
**Heads up: only Chapter 1 here.** This DocHub upload contains **only the first chapter**. The full set of chapters, personal notes, exercise corrections, and a reference-book list are on my website.

- **All chapters:** see the course page
- **Exercise corrections & personal work:** see the main page.
- **Reference books:** see the book section.

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<https://adierckx.github.io/NotesAndSummaries/Master/MA1/PHYS-F-418>



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# ADVANCED GENERAL RELATIVITY

PHYS-F-418 ~ Glenn Barnich

- The major aim of this course is to provide technical background material needed for standard computations in GR and its extension.

## CH1 AUXILIARY FIELDS

### 1.1 Generalized auxiliary fields and symmetries

- Let the action  $S$  depends on 2 fields  $y^i, z^\alpha$ :

$$S[y^i, z^\alpha] = \int d^4x \mathcal{L}[y^i, y_{,\mu}^i, y_{,\mu\nu}^i, z^\alpha, z_{,\mu\nu}^\alpha, \dots]$$

where  $\partial_\mu \equiv \partial/\partial x^\mu$

- ↳ Varying the action we get:

$$\delta S = \int d^4x \left\{ \frac{\delta \mathcal{L}}{\delta y^i} \delta y^i + \frac{\delta \mathcal{L}}{\delta z^\alpha} \delta z^\alpha \right\}$$

- Reminder: for  $L = L(q, \dot{q}, \ddot{q})$ , one gets:

$$\delta L = \delta q \frac{\partial L}{\partial q} + \delta \dot{q} \frac{\partial L}{\partial \dot{q}} + \delta \ddot{q} \frac{\partial L}{\partial \ddot{q}}$$

Furthermore,  $\delta \dot{q} = \frac{d}{dt} \delta q$ . Integrating by part, we get:

$$\delta L = \delta q \left\{ \frac{\partial L}{\partial q} - \frac{d}{dt} \frac{\partial L}{\partial \dot{q}} + \frac{d^2}{dt^2} \frac{\partial L}{\partial \ddot{q}} \right\} + (\text{boundary terms})$$

- ↳ The Euler-Lagrange derivatives are given by:

$$\frac{\delta \mathcal{L}}{\delta y^i} = \frac{\partial \mathcal{L}}{\partial y^i} - \partial_\mu \frac{\partial \mathcal{L}}{\partial y_{,\mu}^i} + \partial_\mu \partial_\nu \frac{\partial \mathcal{L}}{\partial y_{,\mu\nu}^i} - \dots$$

- If we assume  $\frac{\delta \mathcal{L}}{\delta z^\alpha} = 0 \Leftrightarrow z^\alpha = Z^\alpha[y]$  can be solved algebraically.

DEF A field  $z^\alpha$  is an auxiliary field is

$$\frac{\delta L}{\delta z^\alpha} = 0 \Leftrightarrow z^\alpha = Z^\alpha(y^i, y_\mu^i, y_{\mu\nu}^i, \dots) \text{ algebraically.}$$

Thm Let  $S[y^i, z^\alpha]$  such that

$$\begin{cases} \frac{\delta L}{\delta y^i} = 0 \\ \frac{\delta L}{\delta z^\alpha} = 0 \end{cases} \quad \text{It is equivalent to } \frac{\delta \bar{L}}{\delta y^i} = 0 \text{ where}$$

$$\bar{L} \equiv L[y, z=Z] := L|_{z=Z} \text{ is the reduced Lagrangian.}$$

DEMO We have to show that  $\left. \frac{\delta L}{\delta y^i} \right|_{z=Z} = \frac{\delta \bar{L}}{\delta y^i}$ . We consider:

$$\begin{aligned} \delta S &= \delta S[y, z=Z] \\ &= \int d^4x \left\{ \frac{\partial L}{\partial y^i} \delta y^i + \frac{\partial L}{\partial y_\mu^i} \delta y_\mu^i + \dots + \frac{\partial L}{\partial z^\alpha} \left( \frac{\partial Z^\alpha}{\partial y^i} \delta y^i + \frac{\partial Z^\alpha}{\partial y_\mu^i} \delta y_\mu^i + \dots \right) \right. \\ &\quad \left. + \frac{\partial L}{\partial z^\alpha} \left[ \frac{\partial Z^\alpha}{\partial y^i} \delta y^i + \frac{\partial Z^\alpha}{\partial y_\mu^i} \delta y_\mu^i + \dots \right] \right\} \\ &= \int d^4x \left\{ \frac{\delta L}{\delta y^i} \delta y^i + \frac{\delta L}{\delta z^\alpha} \delta Z^\alpha \right\} = \int d^4x \delta \bar{L} \end{aligned}$$

### ① Symmetries:

DEF Let  $S = [\varphi^i, \dots] = \int d^4x L[\varphi^i, \varphi_\mu^i, \dots]$ . There is a symmetry when

$$\delta_\varphi \varphi^i = Q^i[\varphi^i, \varphi_\mu^i, \dots] \Rightarrow \delta_\varphi L = \partial_\mu k^\mu$$

and  $\delta_\varphi \varphi_\mu^i = \partial_\mu \delta_\varphi \varphi^i = \partial_\mu Q^i$

→ In this case, we have:

$$\delta_\varphi L = Q^i \frac{\partial L}{\partial \varphi^i} + \partial_\mu Q^i \frac{\partial L}{\partial \varphi_\mu^i} + \partial_\mu \partial_\nu \frac{\partial L}{\partial \varphi_{\mu\nu}^i} + \dots = \partial_\mu k^\mu$$

$$\Leftrightarrow Q^i \left( \frac{\partial L}{\partial \varphi^i} - \partial_\mu \frac{\partial L}{\partial \varphi_\mu^i} + \dots \right) = Q^i \frac{\delta L}{\delta \varphi^i} = \partial_\mu \left[ k^\mu - \frac{\partial L}{\partial \varphi_\mu^i} Q^i + \dots \right] = \partial_\mu j_\varphi^\mu$$

$$Q^i(\dots) = \partial_\mu \left[ k^\mu - \frac{\partial L}{\partial \varphi_\mu^i} Q^i \right]$$

boundary term? We found the Noether current  $j_\varphi^\mu$  such that  $\partial_\mu j_\varphi^\mu = Q^i \frac{\delta L}{\delta \varphi^i}$



Lemme

Let  $\delta y^i = Q^i$ ,  $\delta z^\alpha = Q^\alpha$  be a symmetry of  $S[y, z]$ .  
Then,  $\bar{\delta} y^i = Q^i|_{z=Z}$  is a symmetry of  $\bar{S}[y]$

DEMO By definition, there exist a Noether current such that

$$Q^i \frac{\delta L}{\delta y^i} + Q^\alpha \frac{\delta L}{\delta z^\alpha} = \partial_\mu J^\mu_Q|_{z=Z}$$

$$\Leftrightarrow \bar{Q}^i \frac{\delta L}{\delta y^i} + \underbrace{Q^\alpha \frac{\delta L}{\delta z^\alpha}}_{=0} = \partial_\mu J^\mu_Q|_{z=Z}$$

What happens?

$$\Leftrightarrow \bar{Q}^i \frac{\delta \bar{L}}{\delta y^i} = \partial_\mu J^\mu_Q|_{z=Z} \text{ by the previous result}$$

Then

By suitably choosing  $\delta z^\alpha$ , one can arrange that  $\delta y^i = \bar{Q}^i$  is a symmetry of  $S[y, z]$ :  $\delta y^i = \bar{\delta} y^i$

DEMO We write  $S[y, z] = \bar{S}[y] + T[y, z]$  with  $T = \int d^4x M$ . Then,

$$\frac{\delta L}{\delta y^i} = \frac{\delta \bar{L}}{\delta y^i} + \frac{\delta M}{\delta y^i} \text{ and } \frac{\delta L}{\delta z^\alpha} = \frac{\delta M}{\delta z^\alpha}$$

$$\text{Since } \frac{\delta L}{\delta y^i} = \frac{\delta \bar{L}}{\delta y^i}, \text{ we have } \frac{\delta M}{\delta y^i} = 0$$

$$\Rightarrow \frac{\delta M}{\delta y^i} = \lambda_i^\alpha \frac{\delta L}{\delta z^\alpha} + \lambda_i^{\alpha\mu} \partial_\mu \frac{\delta L}{\delta z^\alpha} + \dots$$

$$\begin{aligned} \hookrightarrow \bar{Q}^i \frac{\delta L}{\delta y^i} + \delta z^\alpha \frac{\delta L}{\delta z^\alpha} &= \bar{Q}^i \left( \frac{\delta \bar{L}}{\delta y^i} + \lambda_i^\alpha \frac{\delta L}{\delta z^\alpha} + \lambda_i^{\alpha\mu} \partial_\mu \frac{\delta L}{\delta z^\alpha} + \dots \right) + \delta z^\alpha \frac{\delta L}{\delta z^\alpha} \\ &= \partial_\mu [J^\mu_Q + t^\mu] + \underbrace{\bar{Q}^i \lambda_i^\alpha \frac{\delta L}{\delta z^\alpha}}_1 - \underbrace{\partial_\mu [\bar{Q}^i \lambda_i^{\alpha\mu}]}_2 \frac{\delta L}{\delta z^\alpha} + \underbrace{\delta z^\alpha \frac{\delta L}{\delta z^\alpha}}_3 \end{aligned}$$

$$\text{with } t^\mu = \lambda_i^{\alpha\mu} \bar{Q}^i \frac{\delta L}{\delta z^\alpha}$$

$$\hookrightarrow 3 = 1 + 2$$

$$\text{We need to set } \delta z^\alpha = -[\bar{Q}^i \lambda_i^\alpha - \partial_\mu (\bar{Q}^i \lambda_i^{\alpha\mu})]$$

① Examples:

① GF YM theories:

$$\rightarrow S = \int d^4x \left\{ \frac{1}{4} F_{\mu\nu}^a F^{\mu\nu}_a - \partial_\mu \bar{C}^a D_\mu C^a - B_a \partial^\mu A_\mu^a + \frac{f}{2} B_a B^a \right\}$$

On vérifie que  $B_a$  sont des champs auxiliaires en regardant les EOM.

$$\hookrightarrow \frac{\delta L}{\delta B^a} = 0 \Leftrightarrow -\partial^\nu A_\nu^a = \delta B^a \Leftrightarrow B^a = -\frac{1}{\delta} \partial^\nu A_\nu^a$$

$\Rightarrow$  Lorsque  $\delta \neq 0$ ,  $B^a$  est un champ auxiliaire

② First order Hamiltonian systems.

$\rightarrow$  We consider  $S_H = \int dt \{ p_a \dot{q}^a - H(q, p, t) \}$  and we compute  $\frac{\delta S_H}{\delta p_a} = 0$ . We suppose  $\left| \frac{\partial^2 H}{\partial p_a \partial p_b} \right| \neq 0$  (regularity). Then,

$$\Leftrightarrow \dot{q}^a - \frac{\partial H}{\partial p_a} = 0 \rightarrow p_a = p_a(q, \dot{q}, t)$$

$\hookrightarrow$  Canonical momenta are auxiliary fields

## 1.2 Invertibility and Legendre transforms

Prop 1 Invertibility is guaranteed if  $H$  is constructed from  $L$  by Legendre transform:  $\left| \frac{\partial^2 L}{\partial \dot{q}^a \partial \dot{q}^b} \right| \neq 0 \Leftrightarrow \left| \frac{\partial^2 H}{\partial p_a \partial p_b} \right| \neq 0$

Prop 1 Legendre transforms are invertible, they square to one.

$\rightarrow$  Example:  $H = \frac{1}{2} g^{ab}(q) p_a p_b + k^a(q) p_a + V(q)$   
such that  $\det g^{ab} \neq 0$  and  $g_{ab} g^{bc} = \delta_a^c$

$\hookrightarrow$  The reduced action is the Lagrangian action:

$$\bar{S}_H = \int dt \left\{ \frac{1}{2} (\dot{q}^a - k^a) g_{ab} (\dot{q}^b - k^b) - V(q) \right\}$$

$\hookrightarrow$  For an harmonic oscillator,  $H = \frac{1}{2} p^2 + \frac{1}{2} \omega^2 q^2$

$$\Rightarrow \frac{\delta S_H}{\delta q} = 0 \Leftrightarrow -\ddot{q} = \omega^2 q \Leftrightarrow q = \frac{-1}{\omega^2} \ddot{q}$$

$$\begin{aligned} \hookrightarrow \bar{S}_H &= \int dt \left\{ p \dot{q} - H \right\} \Big|_{q = \frac{-1}{\omega^2} \ddot{q}} = \int dt \left\{ \frac{-1}{\omega^2} \ddot{q} \ddot{q} - \frac{1}{2} \left( p^2 + \frac{1}{\omega^2} \ddot{q}^2 \right) \right\} \\ &= \int dt \left\{ \frac{1}{2} \frac{1}{\omega^2} \ddot{q}^2 - \frac{1}{2} p^2 \right\} \end{aligned}$$

$\hookrightarrow$  Same dynamics.