



# OPERATING SYSTEMS

Module3\_Part7

Textbook : Operating Systems Concepts by Silberschatz

# Resource-Allocation Graph

- Deadlocks can be described more precisely in terms of a directed graph called a system resource allocation graph.
- This graph consists of a set of vertices  $V$  and a set of edges  $E$ . The set of vertices  $V$  is partitioned into two different types of nodes:

$P == \{ P1, P2, \dots, Pn \}$ , the set consisting of all the active processes in the system,

$R == \{ R1, R2, \dots, Rm \}$  the set consisting of all resource types in the system.

# Resource-Allocation Graph

- A directed edge from process  $P_i$  to resource type  $R_j$  is denoted by  $P_i \rightarrow R_j$ ; it signifies that process  $P_i$  has requested an instance of resource type  $R_j$  and is currently waiting for that resource.

A directed edge from resource type  $R_j$  to process  $P_i$  is denoted by  $R_j \rightarrow P_i$ ; it signifies that an instance of resource type  $R_j$  has been allocated to process  $P_i$ ;

A directed edge  $P_i \rightarrow R_j$  is called a request edge;

a directed edge  $R_j \rightarrow P_i$  is called an assignment edge

# Resource-Allocation Graph

- Pictorially we represent each process  $P_i$  as a circle and each resource type  $R_j$  as a rectangle.
- Since resource type  $R_j$  may have more than one instance, we represent each such instance as a dot within the rectangle.
- Note that a request edge points to only the rectangle  $R_j$ , whereas an assignment edge must also designate one of the dots in the rectangle.
- When process  $P_i$  requests an instance of resource type  $R_j$ , a request edge is inserted in the resource-allocation graph. When this request can be fulfilled, the request edge is *instantaneously* transformed to an assignment edge. When the process no longer needs access to the resource, it releases the resource; as a result, the assignment edge is deleted.

# Resource-Allocation Graph

Consider the current situation in the system

The sets  $P$ ,  $R$  and  $E$ :

$P == \{P1, P2, P3\}$

$R == \{R1, R2, R3, R4\}$

$E == \{P1 \rightarrow R1, P2 \rightarrow R3, R1 \rightarrow P2, R2 \rightarrow P2, R2 \rightarrow P1, R3 \rightarrow P3\}$

Resource instances:

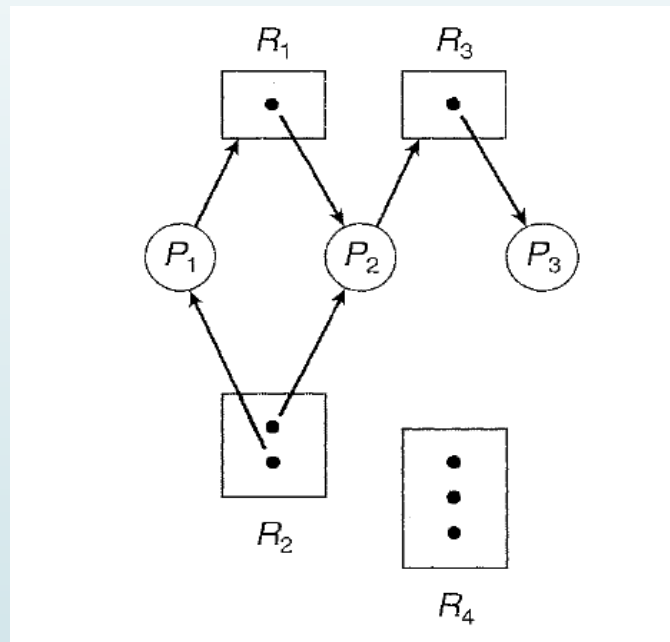
One instance of resource type R1

Two instances of resource type R2

One instance of resource type R3

Three instances of resource type R4

# Resource allocation graph



Process states:

Process  $P_1$  is holding an instance of resource type  $R_2$  and is waiting for an instance of resource type  $R_1$ .

Process  $P_2$  is holding an instance of  $R_1$  and an instance of  $R_2$  and is waiting for an instance of  $R_3$ .

Process  $P_3$  is holding an instance of  $R_3$ .



# Resource allocation graph

Given the definition of a resource-allocation graph, it can be shown that, if the graph contains no cycles, then no process in the system is deadlocked.

If the graph does contain a cycle, then a deadlock may exist.

If each resource type has exactly one instance, then a cycle implies that a deadlock has occurred.

If the cycle involves only a set of resource types, each of which has only a single instance, then a deadlock has occurred. Each process involved in the cycle is deadlocked. In this case, a cycle in the graph is both a necessary and a sufficient condition for the existence of deadlock.

# Resource allocation graph

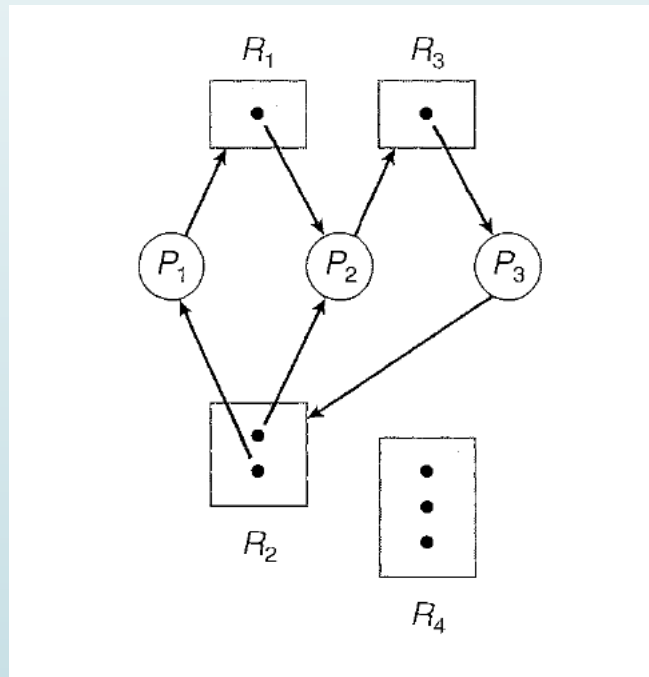
- If each resource type has several instances, then a cycle does not necessarily imply that a deadlock has occurred. In this case, a cycle in the graph is a necessary but not a sufficient condition for the existence of deadlock.

To illustrate this concept, we return to the resource-allocation graph depicted in Figure. Suppose that process  $P3$  requests an instance of resource type R2. Since no resource instance is currently available, a request edge  $P3 \rightarrow R2$  is added to the previous graph. At this point, two minimal cycles exist in the system:



# Resource allocation graph

- $P_1 \rightarrow R_1 \rightarrow P_2 \rightarrow R_3 \rightarrow P_3 \rightarrow R_2 \rightarrow P_1$
- $P_2 \rightarrow R_3 \rightarrow P_3 \rightarrow R_2 \rightarrow P_2$



Resource-allocation graph with a deadlock

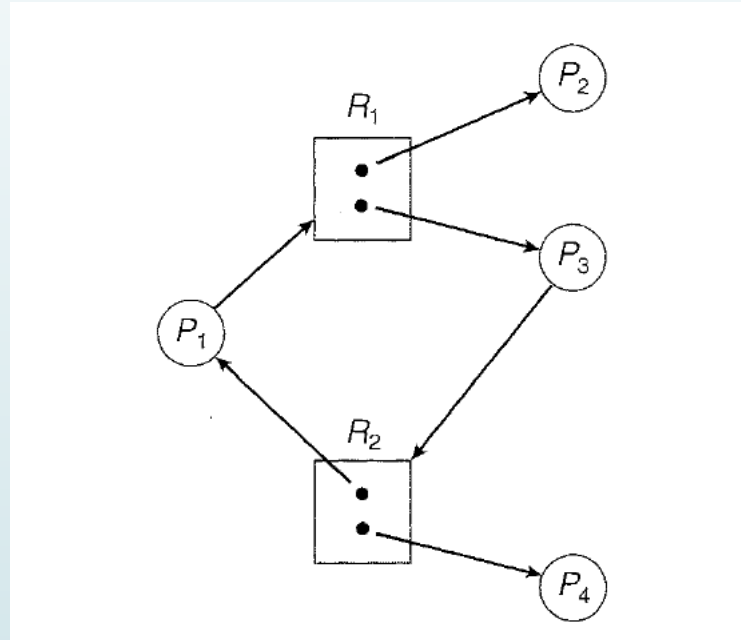


# Resource allocation graph

- Processes  $P1$ ,  $P2$ , and  $P3$  are deadlocked. Process  $P2$  is waiting for the resource
- $R3$ , which is held by process  $P3$ . Process  $P3$  is waiting for either process  $P1$  or
- process  $P2$  to release resource  $R2$ . In addition, process  $P1$  is waiting for process
- $P2$  to release resource  $R1$ .

# Resource allocation graph

- Now consider the resource-allocation graph below. in this example,
- we also have a cycle:
- $P_1 \rightarrow R_1 \rightarrow P_3 \rightarrow R_2 \rightarrow P_1$



Resource  
allocation graph  
with cycle and no  
deadlock

However, there is no deadlock. Observe that process  $P_4$  may release its instance of resource type  $R_2$ . That resource can then be allocated to  $P_3$ , breaking the cycle. In summary, if a resource-allocation graph does not have a cycle, then the system is *not* in a deadlocked state. If there is a cycle, then the system may or may not be in a deadlocked state. This observation is important when we deal with the deadlock problem.