Lexical Reciprocity, Logical Symmetry, and Protopredicates

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Lexical reciprocity

1 - Introduction

Morpho-semantic relation between:

binary predicate

Sue married Dan

• collective-unary predicate

Sue and Dan married

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1 - Introduction

Types of predicates

Eventive verbs marry, meet, hug, kiss, argue

Stative verbs match, rhyme, be in love, intersect

Nouns partner, cousin, friend, enemy

Adjectives similar, adjacent, equal, parallel

1 - Introduction

Challenges

- Semantic generalizations
- Analysis

Plan

General properties

- Non-productive#Sue and Dan praised
- No obvious relation to reciprocal quantifiers
 Sue and Dan praised each other
- Cliticization/affixation set aside

- Reciprocity-symmetry generalization
- Irreducible collectivity
- Searle's collective intentionality
- Protopredicates

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2 - The reciprocity-symmetry generalization

Reciprocity and symmetry

- Two kinds of lexical reciprocity
- Correlate with (non) symmetry

2 - The reciprocity-symmetry generalization

Two kinds of lexical reciprocity

Plain reciprocity (plainR):

- (1) Sue and Dan married
 - ⇔ Sue married Dan and Dan married Sue

Pseudo-reciprocity (pseudoR):

- (2) Sue and Dan hugged

Sue Dan hugs Dan Sue

Dan Sue

Dan is asleep Sue is asleep

Symmetric and non-symmetric predicates

Symmetric:

- (1) Sue married Dan
 - ⇔ Dan married Sue

Non-symmetric:

- (2) Sue hugged Dan
 - ⇔ Dan hugged Sue
- (3) Sue praised Dan
 - ⇔ Dan praised Sue

Symmetry in logic and set theory

- binary predicates
- unrelated to reciprocity
- non-symmetry ≠ asymmetry

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2 - The reciprocity-symmetry generalization

Short history

1960s: symmetry assumed for lexical reciprocals

Dong (1971): pseudo-reciprocity and non-symmetry

1970s-now: missing formal semantic generalizations

2 - The reciprocity-symmetry generalization

Reciprocity-Symmetry Generalization

	reciprocity	symmetry
MARRY	\Leftrightarrow	+
HUG	\Leftrightarrow	_
PRAISE	Χ	_

Generalization:

Plain reciprocity (\Leftrightarrow) correlates with **symmetry**.

Pseudo-reciprocity (♠) correlates with **non**-symmetry.

Apparently new, but hinted at in Gleitman et al. (1996).

Examples

Plain reciprocity & Symmetry:

talk (with)talk		
(with)	marry (ACC)	neighbor (of)
meet (with)meet	match (ACC)	partner (of)
(with)	similar (to)	sibling (of)
share NP (with)	identical (to)	cousin (of)
rhyme (with)	parallel (to)	twin (of)
collaborate (with)		

Pseudo-reciprocity & Non-symmetry:

talk (to)talk (to)	11:1 (::1)	(, , , , ,
meet (ACC)meet	collide (with)	embrace (ACC)
` ,	hug (ACC)	pet (ACC)
(ACC)	kiss (ACC)	cuddle (ACC)
fall in love (with)	fuck (ACC)	nuzzle (ACC)
be in love (with)	ruck (ACC)	Huzzie (ACC)

kiss with, hug with... (Hebrew, Greek...)

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Notes

- RSG is lexical semantics, not logic
- Truth-conditional equivalence without pragmatic identity:

North Korea is similar to China \neq China is similar to North Korea

3 Unary predicates are either collective or mixed (Ginzburg 1990):

Sue and Dan met/kissed: collective

Sue and Dan talked/are in love: distributive/collective

4 Strawson symmetry (Schwarz 2006, Partee 2008):

Sue and Kim are sisters \Leftrightarrow Sue is Kim's sister and Kim is Sue's sister

Sue is Kim's sister $\stackrel{S}{\Rightarrow}$ Kim is Sue's sister

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2 - The reciprocity-symmetry generalization

Account of RSG

- 1 Irreducible collectivity (Goodman, Searle)
- Collectivity-based symmetry (Lakoff & Peters)
- 3 Protopredicates between conceptual level and lexical level

3 - Irreducible Collectivity

Collective concepts

(1) A, B & C are similar

 \Rightarrow A & B are similar, B & C are similar, and C & A are similar $\not\leftarrow$ A & B are similar, B & C are similar, and C & A are similar

Goodman (1951), Lasersohn (1995)

- (2) A, B & C agree $\Rightarrow \not= A \& B$ agree, B & C agree, and C & A agree
- (3) A, B & C are partners
 - $\Rightarrow \not =$ A & B are partners, B & C are partners, and C & A are partners

```
SIMILAR \approx "share a property"

AGREE \approx "share an opinion"

PARTNER \approx "share an asset"

SIBLING \approx "share a parent"

COUSIN \approx "share a grandparent, non-siblings" note 5
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Irreducibility of collective predication

Collectivity is a lexical primitive:

- simplex predicate ranging over sets
- not definable on the basis of other concepts

lexically reciprocal predicates = one species of irreducible collectivity

Some plain reciprocals

Collective **Binary** collaborate collaborate with talk with talk meet with meet similar to similar parallel parallel to identical to identical neighbor of neighbor partner of partner sibling partner of \mapsto cousin of cousin

The collective predicate is primitive; the binary predicate is derived

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3 - Irreducible Collectivity

The plainR Rule

x is cousin of $y \stackrel{\text{def}}{=} \text{COUSIN}(\{x, y\})$ $\approx x$ and y share grandparents

x is similar to $y \stackrel{\text{def}}{=} \text{SIMILAR}(\{x, y\})$ $\approx x$ and y share a property

The plainR Rule: $R = \lambda x. \lambda y. P(\{x, y\})$

Lakoff & Peters (1969):

- logical
- $\bullet \ \ \mathsf{collective} \mapsto \mathsf{binary}$
- symmetry with plain reciprocals part of RSG

But how about **pseudo-reciprocals**?

3 - Irreducible Collectivity

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The puzzle of pseudo-reciprocals

Logical derivation is impossible

- (1) Sue & Dan hugged
- (2) Sue hugged Dan and Dan hugged Sue
- $(2) \not \Rightarrow (1)$

What does (1) "really mean"?

- Semantic relations between (1) and (2)?
- Does (1) entail (2)?

A and B are hugging



?the woman is hugging the man

A and B are hugging?



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4 - Collective intentionality

Collective intentionality

A hug is an act of collective intensionality.

Searle (1990): "Collective intentional behavior is a primitive that cannot be analyzed as just the summation of individual behavior."

Sue and Dan hugged =

- There was a hugging event.
- Sue and Dan were collectively responsible for it.
- One of them hugged the other **subentailment** (Dowty 1987)

Collective HUG is simplex - not analyzed on the basis of simpler concepts, e.g. binary hug.

4 - Collective intentionality

More pseudo-reciprocals

(1) Sue & Dan are in love

 \approx There's a collective-intensional love between Sue and Dan. Subentailment: Sue is in love with Dan and Dan is in love with Sue (but this is not enough for (1) to hold).

Two uni-directional relations – not enough for collectivity!

sue ve-dan makirim (Hebrew)

pprox There's an acquaintance relation between Sue and Dan. Subentailment: Dan knows Sue and Sue knows Dan (but this is not enough for (2) to hold).

Sue & Dan are talking

 \approx There's a collective-intensional talking event between Sue and Dan. Subentailment: One person (e.g. S) is talking to the other (D), and D is actively engaged in that act (talking to S as well, listening, ready to answer, etc.)

Two uni-directional relations – not necessary for collectivity!

Smells of polysemy...

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Intermediate summary

- RSG: plainR-symmetric vs. pseudoR-nonsymmetric.
- Lexical reciprocity: a subspecies of collectivity.
- Plain reciprocity and symmetry: a logical rule.
- Pseudo-reciprocity as polysemy: "softer" lexical restrictions intensionality, weak distributivity.
- A formal account of RSG?

Protoroles and protopredicates

Protoroles = "entailments of a group of predicates with respect to one of the arguments or each" (Dowty 1991)

→ distinct from morpho-syntax

 $\hbox{``group of predicates''} \quad \to \quad \hbox{non-standard types (unary+binary)}$

thematic arguments \rightarrow Davidsonian

Protopredicates = typed Davidsonian predicates without morpho-syntactic features

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5 - Protopredicates

Types of protopredicates

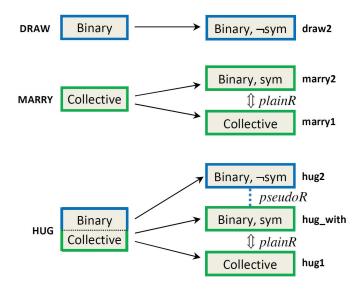
		20	agent	patient	collective
binary	DRAW		А	В	_
collective	SHAKE- HANDS	ii	-	_	A,B
binary/			A	В	A,B
collective	HUG		A,B	A,B	A,B 27/40

5 - Protopredicates

Implications for RSG

Type p-predicate	Reciprocity	Symmetry?
b	Х	_
С	plainR	+
bc	pseudoR plainR	+

Summary: Protopredicates and the RSG



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Note 1: RSG is not logic, but lexical semantics

* $Xkiss_{iv}$ = "kiss each other (not necessarily simultaneously)" plainR with **non**-symmetric $kiss_{tv}$

* $Xtalk_{tv} = "\lambda x. \lambda y. x$ talks to y and y talk to x (without necessarily listening)"

symmetric but pseudoR with $talk_{iv}$

The RSG is not logically necessary – it is a lexical generalization.

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8 - Notes

Note 2: symmetry and figure-ground effects

North Korea is similar to China \neq China is similar to North Korea Sue collaborated with Dan \neq Dan collaborated with Sue

More generally:

The bicycle is near the house \neq The house is near the bicycle Dr. Jekyll is Mr. Hyde \neq Mr. Hyde is Dr. Jekyll

Truth-conditional equivalence, despite difference in pragmatic import.

(Dowty 1991, Gleitman et al. 1996)

8 - Notes

Note 3: mixed predicates

Many reciprocal predicates are mixed (Ginzburg 1990):

Sue talked/married/shares a flat/is similar/in love...

Sue and Dan talked/married/share a flat/are similar/in love...

Sue and Dan are in love.

Distributive: Sue is in love and Dan is in love.

Collective: Sue and Dan share a love between them – their love to each other.

Until Xmas, Sue and Dan had shared a love between them. In Xmas, their love died out: Sue fell in love with another man, and Dan fell in love with another woman.

Since Xmas Sue and Dan are no longer in love.

True collectively, but false distributively.

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Note 4: weak symmetry

SISTER:

Sue and Kim are sisters \Leftrightarrow Sue is Kim's sister and Kim is Sue's sister Sue is Kim's sister $\not\Rightarrow$ Kim is Sue's sister

Weak symmetry ("Strawson symmetry": Schwarz 2006, Partee 2008):

Sue is Kim's sister and Kim is female ⇒ Kim is Sue's sister

CROSS (INTERSECT):

Road A and road B cross \Leftrightarrow Road A crosses road B and road B crosses road A The road crosses the town $\not\Rightarrow$ #The town crosses the road

The road crosses X and X is a road (an elongated object) \Rightarrow X crosses the road

Hebrew: A&B cross - CLV ("cross"); A crosses B - XCH ("divide")

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8 - Notes

Note 6: comitative constructions in Hebrew

Siloni (2012) classifies Hebrew *im* ('with') constructions as "discontinuous reciprocals".

(1) Amy hitnaSka ("kiss-sg") im ("with") Dan ⇔ Amy ve-Dan hitnaSku ("kiss-pl")

Siloni tries to define a compositional meaning for im as a plainR mapping. However – in general im may also lead to pseudoR alternations:

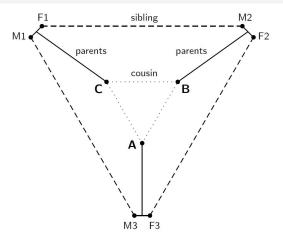
histaxbek (im) – act friendly to/act friendly to each otherhitxaSben (im) – do bookkeeping with/do bookkeeping with each other

Conclusion: Similarly to English (*meet with* vs. *collide with*), Hebrew comitatives don't support compositional reciprocity either.

For further research: Greek (Dimitriadis 2008).

8 - Notes

Note 5: "A, B and C are cousins" - irreducibly collective



G P_1 P_2 A B C

A, B and C share a grandparent, but B and C aren't cousins

each of A, B and C is a cousin of the other, but they don't share any grandparent

 $COUSIN \approx$ "share a grandparent, non-siblings"

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8 - Notes

Note 7: Hugs on internet...



"bride and groom are hugging on stairs"



"weasels are hugging, love is everywhere"



"young couple looking at the camera as they are hugging"



"two grey elephants are hugging by their trunks"

Lexical Reciprocity as a Typicality Preference: Experimental Evidence

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Joint work with Imke Kruitwagen and Eva Poortman

July 7, 2016 NYU

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Reciprocal verbs

Focus: verbs like hug, kiss, collide

Two usages:

A and B hug A hugs B

Old assumption:

Reciprocity = Symmetric Participation
A and B hug ←→ A hugs B and B hugs A

Newer assumption:

Reciprocity *entails* Symmetric Participation A and B hug → A hugs B and B hugs A

Claim: Neither assumption is correct. The two entries are logically independent, but related through typicality.

"They are hugging" in Google Images







Hypothesis: for *A&B hug,* and with many other verbs, symmetric participation is not required.

Aim

Examine whether a substantial percentage of speakers accepts reciprocity without symmetric participation above chance level, for a substantial number of reciprocal verbs.

Materials - Verbs

knuffelen - "hug"
botsen (tegen) - "collide (with)"
appen - "send WhatsApp message to (each other)"
praten (tegen) - "talk (to)"
spreken (tegen) - "speak (to)"
kletsen (tegen) - "chat (to)"
roddelen (tegen) - "gossip (to)"
vechten (tegen) - "fight (against)"

Why not "talk with" etc.?

Materials – target items



One side is **active**; the other side is (visibly) **passive**. Passive side shows **collaboration**.

Truth-judgement task for two sentences:

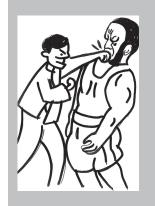
Collective – het meisje en de vrouw knuffelen

"the girl and the woman hug"

Binary – het meisje knuffelt de vrouw

"the woman hugs the girl"

Materials – more target illustrations







Materials – more target illustrations









Materials - Fillers

8 target verbs

X 2 sentences (collective + binary)

- = **18** target items
- + 30 fillers, of two types to hit balance between expected true/false ratios:
- 1. Collective/binary sentences, in situations where they are clearly true
- 2. Other types of sentences, in situations where they are <u>not</u> clearly true/false

Procedure

- 48 Dutch speakers (female 37, age M=23)
- Trials on a screen in a pseudo-random order (Open Sesame)
- green key for "true" and a red key for "false"

Control task

Appendix – 9 control items







Only collective sentences:

"the girl and the woman hug"
"the boy and the girl talk"

More control drawings



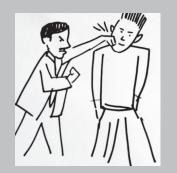












Results summary				
verb	col+	bin+	col+bin-	ctrl.col+
hug	79%	31%	48%	19%
collide	98%	2%	96%	65%
appen	94%	8%	85%	44%
talk	46%	4%	42%	13%
speak	69%	13%	56%	33%
chat	98%	17%	81%	27%
gossip	90%	6%	83%	46%
fight	73%	15%	58%	23%
MEAN	81%	12%	69%	34%

Results summary				
verb	col+	bin+	col+bin-	ctrl.col+
hug	79%	31%	48%	19%
collide	98%	2%	96%	65%
appen	010	20/2	85%	44%
talk	Changed th		42%	13%
speak 24-66%, M=40%			56%	33%
chat	98%	17%	81%	27%
gossip	90%	6%	83%	46%
fight	73%	15%	58%	23%
MEAN	81%	12%	69%	34%

Pilot – video clips

knuffelen – "hug"

botsen (tegen) - "collide (with)"

appen - "send WhatsApp message to (each other)"

praten (tegen) - "talk (to)"

vechten (tegen) - "fight (against)"

After showing the film, the sentence was:

"Violet and Mark hugged/collided/apped/talked/fought"

Or: "Mark hugged/... Violet"

Results summary				
Verb	Col+	Bin-	Col+Bin-	Ctrl.Col+
hug	64%	28%	36%	24%
collide	92%	0%	92%	76%
appen	20%	0%	20%	8%
talk	48%	4%	48%	8%
fight	48%	4%	48%	8%
MEAN	54%	7%	49%	25%

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Discussion

- Symmetric participation is not required with collective verbs that are traditionally classified as "reciprocal"
- Attitude of passive side matters: collaboration positively affects collective judgement

Outline of theory:

For pseudo-reciprocal predicates P, an event e is *typical* for P proportionally to two values:

- Participation, e.g. number of hugs
- Evidence for collective intentionality

The higher the typicality value is, the higher the chance is that the event passes the speaker threshold for "truth".