



# Image Transforms

DCT and JPEG

Haar Filter for pattern recognition

ART (Angular Radial Transform)

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# Introduction

- Important transforms for image processing
  - Compression
  - Pattern analysis
- Topics
  - Discrete Cosine Transform (DCT)
  - Haar Transform
  - ART (Angular Radial Transform)



# Fourier Transform

- Fourier Analysis for continuous/discrete time signals
  - Use orthogonal basis functions  $\{\cos nt, \sin mt\}$

$$x(t) = \sum_{n=0}^{\infty} a_n \cos(nt) + \sum_{m=0}^{\infty} b_m \sin(mt)$$

$$x[n] = \sum_{k=-\infty}^{\infty} X[k] e^{jk \frac{2\pi}{N} n}, \text{ with } N \text{ samples}$$

- Fourier Coefficients
  - Unique representation of a function (signal)
  - Signal identification and reconstruction
    - Pattern recognition and synthesis



# Why Transform is Used?

- Frequency domain processing
  - Modulation/demodulation
- Mathematical tool
  - Filtering (convolution-multiplication)
- Pattern identification
  - Fourier coefficients distributions
- Energy compaction
  - Energy is mainly distributed in the lower frequency band.
  - Usually high frequency coefficients are almost zero.



## 2D DTFS (DFT)

- Application to DTFS to 2D image signal
  - Consider the NxN image block as a periodic discrete signal
  - Orthogonal transform (x, y axes)
- Using the 2D DFT coefficients
  - Image compression: energy compaction
  - Pattern recognition: vector representation and clustering

$$I[x, y] = \sum_{n=0}^{N-1} \sum_{m=0}^{N-1} X[m, n] e^{jm\frac{2\pi}{N}x} e^{jn\frac{2\pi}{N}y}, \text{ with NxN block}$$

$$X[m, n] = \frac{1}{N} \frac{1}{N} \sum_{y=0}^{N-1} \sum_{x=0}^{N-1} I[x, y] e^{-jm\frac{2\pi}{N}x} e^{-jn\frac{2\pi}{N}y}$$



# DCT (Discrete Cosine Transform)

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# 1D DCT (1)

- 1D Discrete Cosine Transform

$$v(k) = \alpha(k) \sum_{m=0}^{N-1} u(m) \cos \left[ \frac{\pi(2m+1)k}{2N} \right], \quad 0 \leq k \leq N-1$$

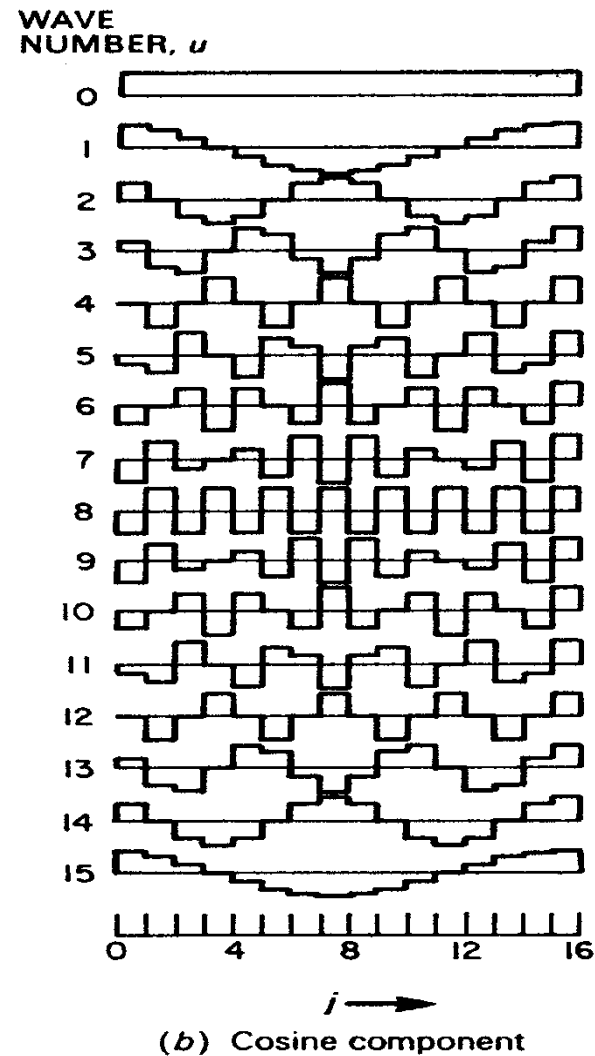
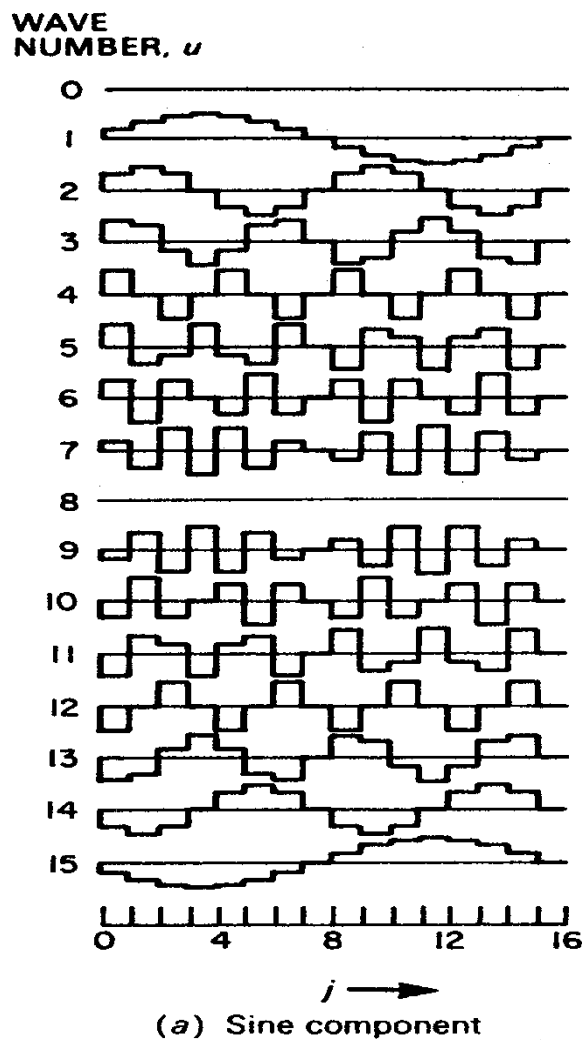
$$u(m) = \sum_{k=0}^{N-1} \alpha(k) v(k) \cos \left[ \frac{\pi(2m+1)k}{2N} \right], \quad 0 \leq m \leq N-1$$

where  $\alpha(0) = \frac{1}{\sqrt{N}}$

$$\alpha(k) = \frac{2}{\sqrt{N}}, \quad 1 \leq k \leq N-1$$

## 1D DCT (2)

- Basis functions (waveforms) for 1D DCT( $N = 16$ )







## 2D DCT (1)

- Basis functions for 2D DCT
  - Separable for  $x$  and  $y$  directions



## 2D DCT (2)

- 8x8 block 2D DCT (64 coefficients)
  - Efficient energy compaction
  - Blocking artifact at low bit rates
  - Fast FDCT/IDCT algorithms exist

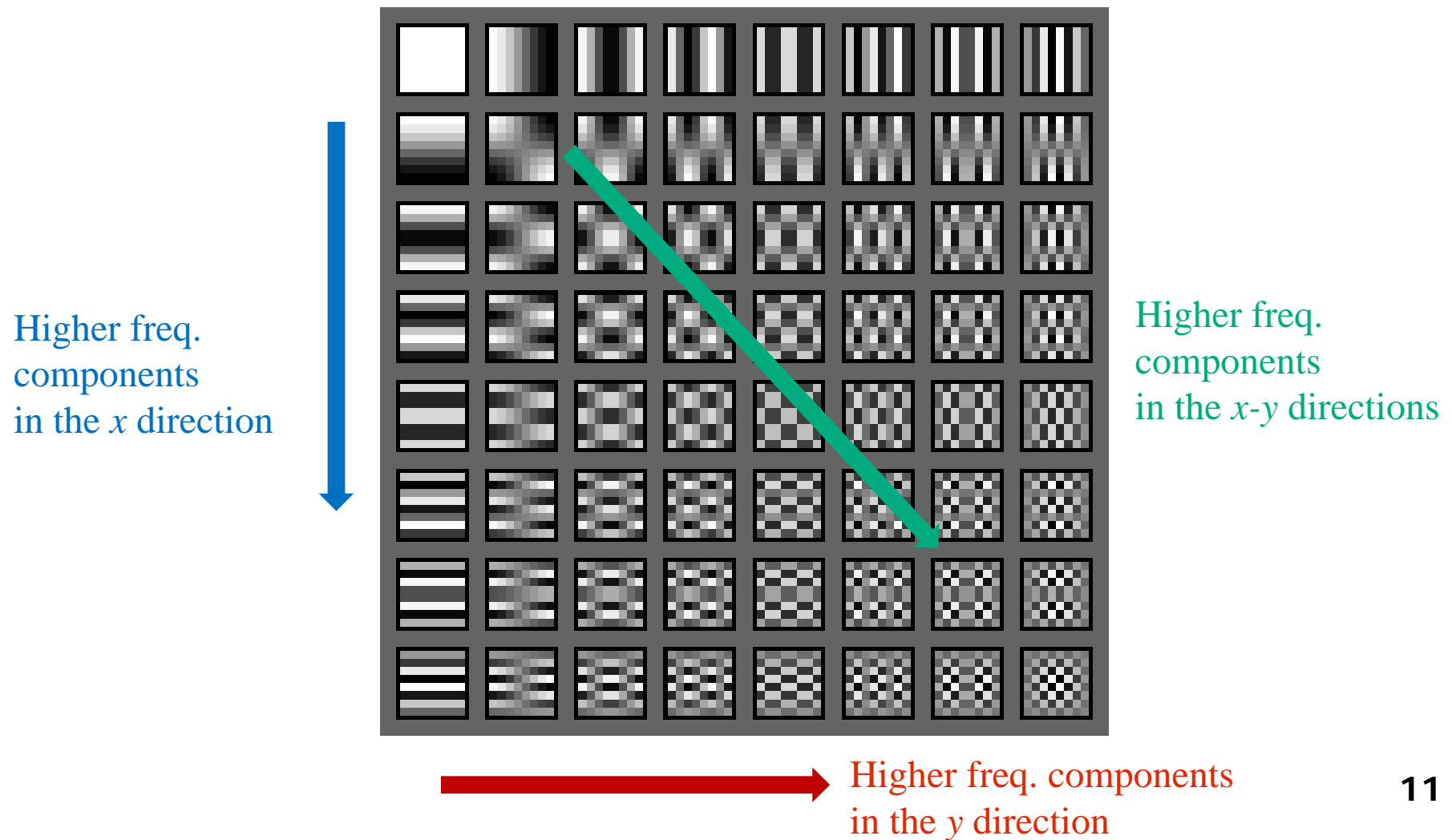
$$F_{vu} = \frac{1}{4} C_v C_u \sum_{y=0}^{N-1} \sum_{x=0}^{N-1} S_{yx} \cos \left( v\pi \frac{2y+1}{2N} \right) \cos \left( u\pi \frac{2x+1}{2N} \right)$$

$$S_{yx} = \frac{1}{4} \sum_{v=0}^{N-1} \sum_{u=0}^{N-1} C_v C_u F_{vu} \cos \left( v\pi \frac{2y+1}{2N} \right) \cos \left( u\pi \frac{2x+1}{2N} \right)$$

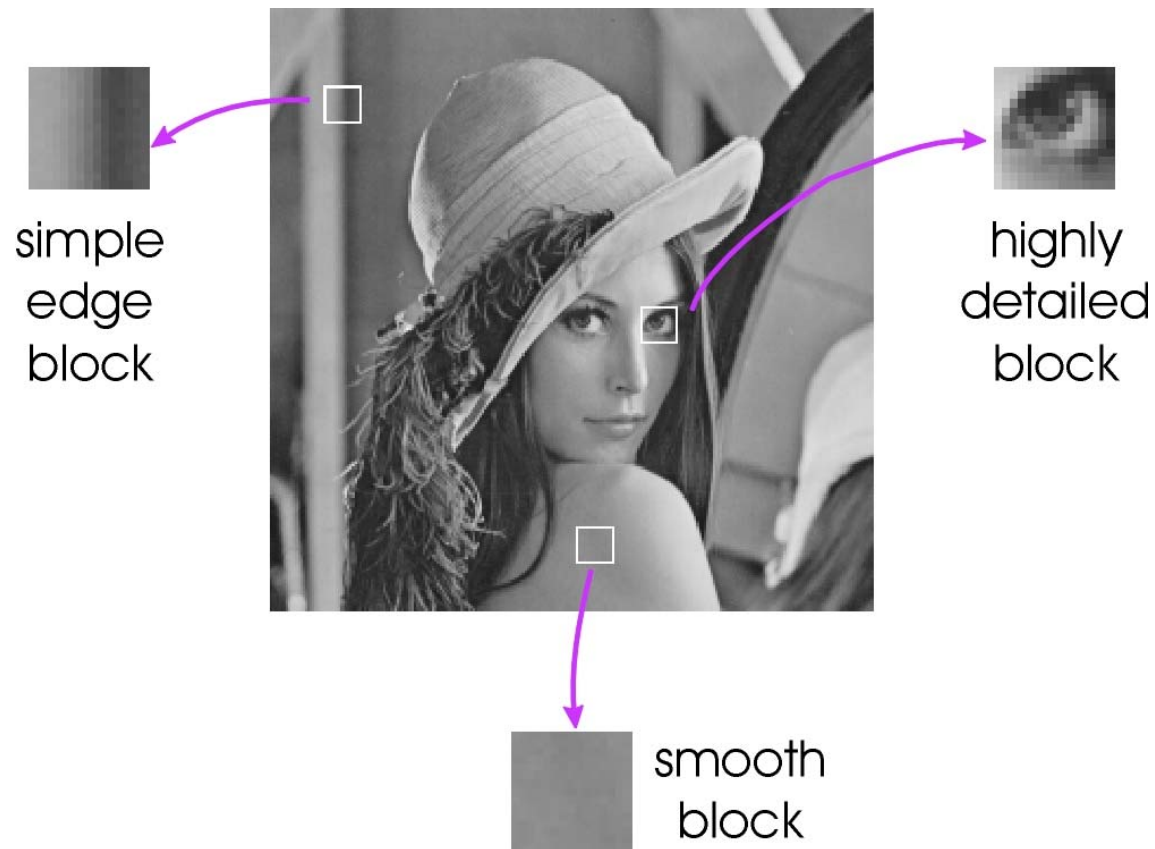
$$C_u = \begin{cases} \frac{1}{\sqrt{2}} & \text{if } u = 0 \\ 1 & \text{else} \end{cases} \quad C_v = \begin{cases} \frac{1}{\sqrt{2}} & \text{if } v = 0 \\ 1 & \text{else} \end{cases}$$

## 2D DCT (3)

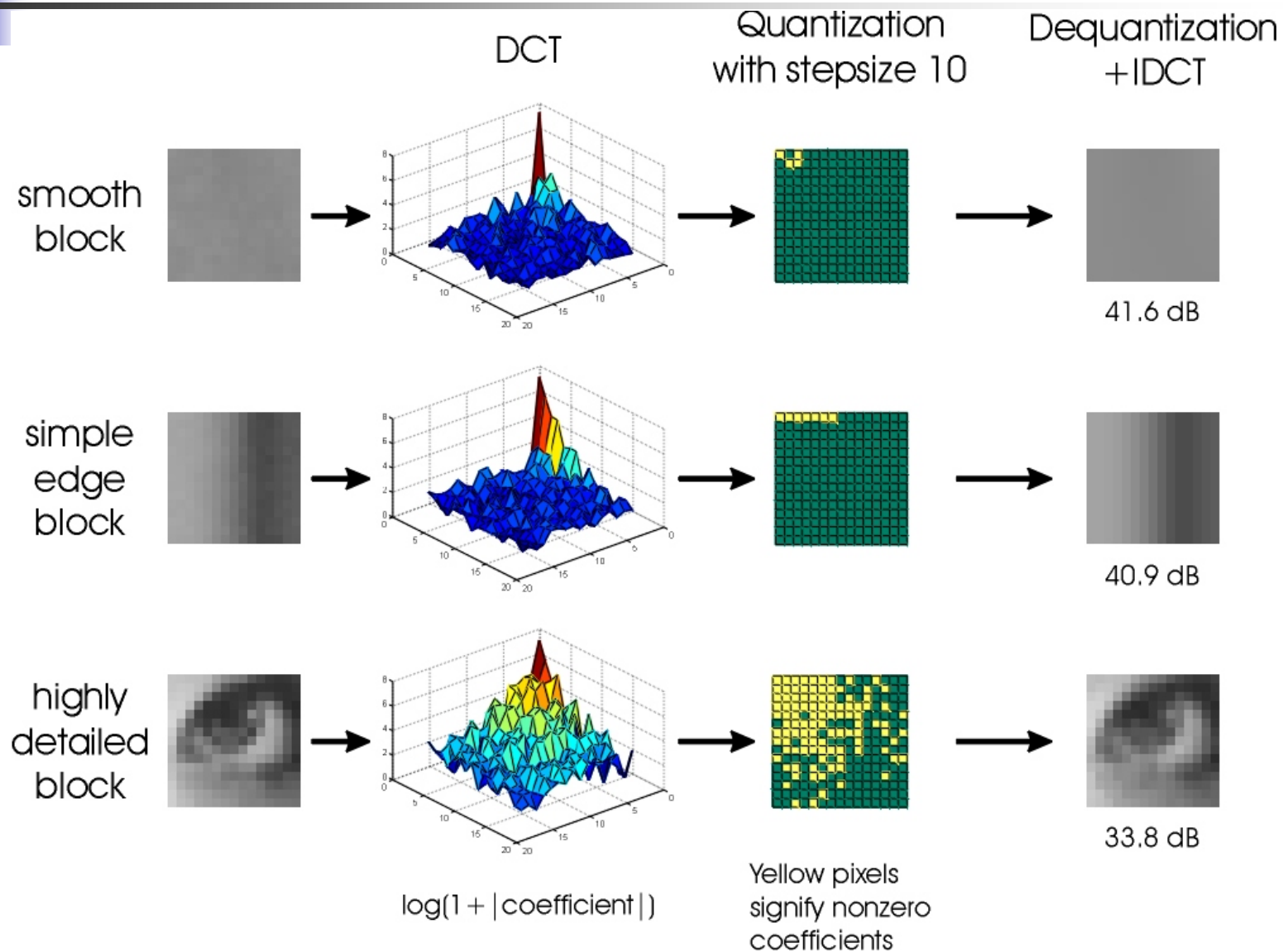
2-D DCT Waveforms (8x8: total 64 coefficients)



# DCT Energy Compaction (1)



# DCT Energy Compaction (2)



# DCT Energy Compaction (3)

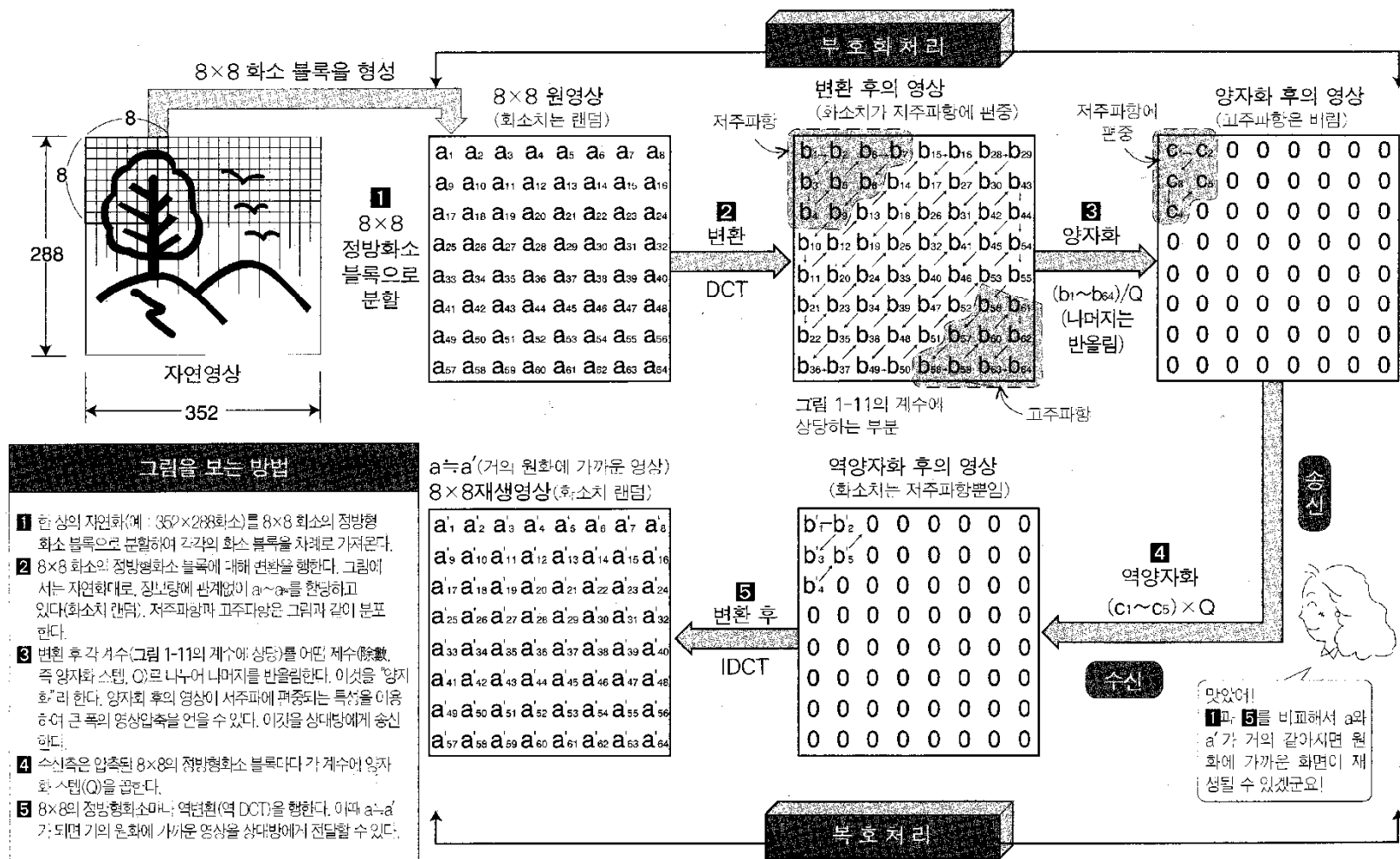
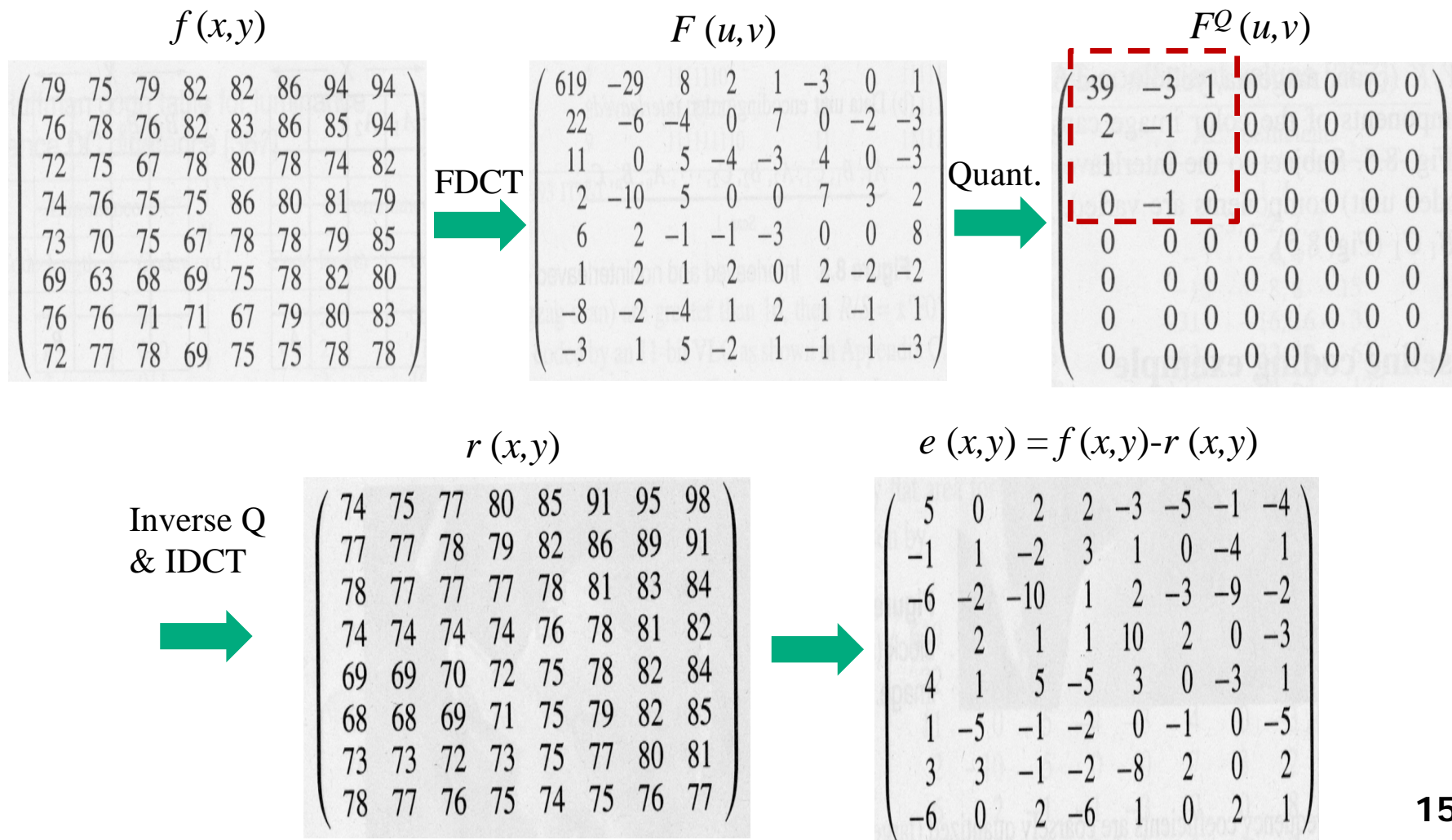


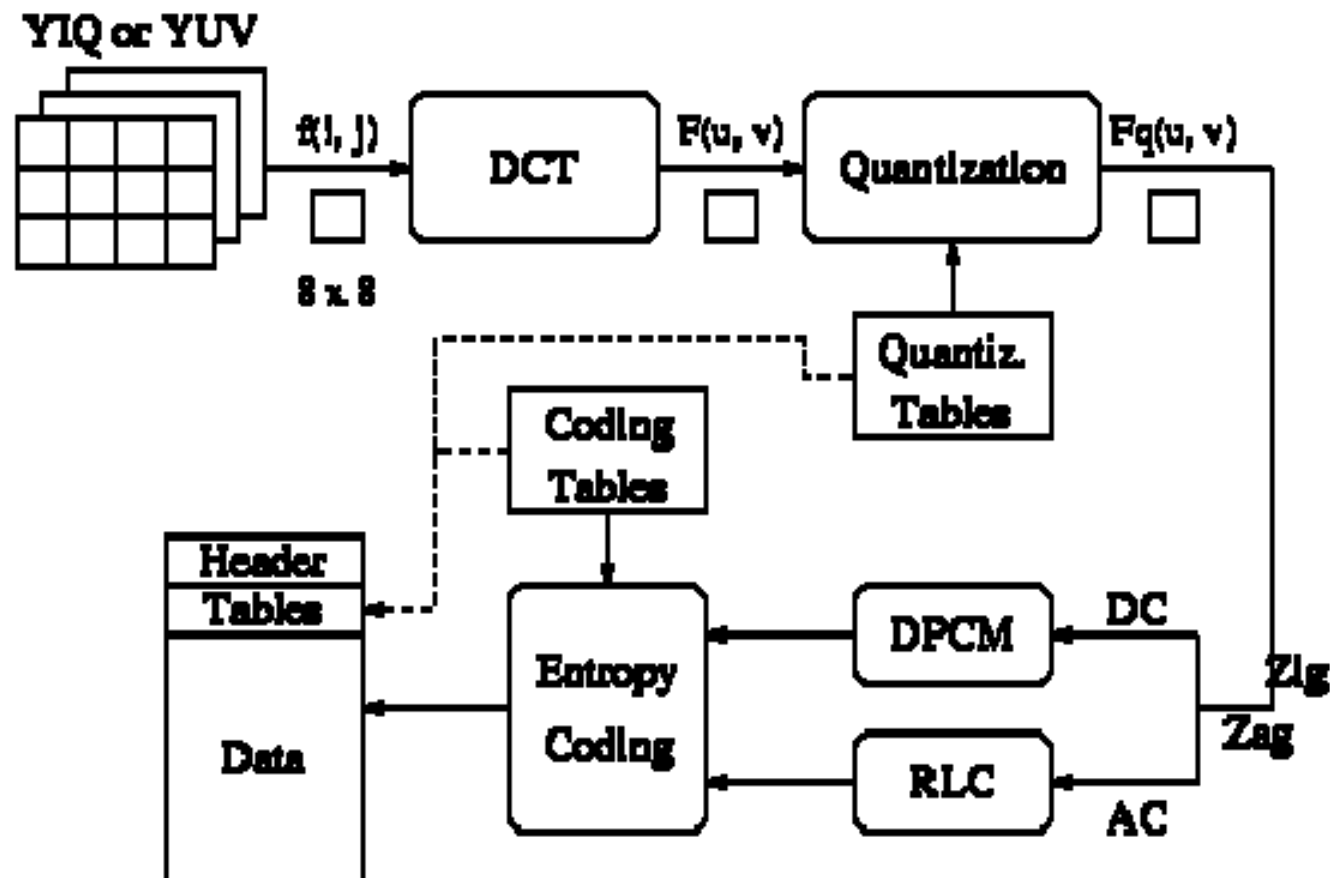
그림 1-12 화면내(공간적) 상관관계에 따른 정보압축의 방법

# DCT Energy Compaction (4)

## DCT encoding example



# JPEG Encoding Structure





# Quantization

## □ Quantization table

- No default values for quantization tables
- Application may specify the tables
- $Q(u, v)$  : quantization table  
integer value from 1 to 255

$$\text{Quantization} : F^Q(u, v) = \text{round}\left(\frac{F(u, v)}{Q(u, v)}\right)$$

$$\text{Dequantization} : R(u, v) = F^Q(u, v) \times Q(u, v)$$

**Table 8.1** Luminance quantization matrix  $Q_{uv}$   
(example only) [367]

16	11	10	16	24	40	51	61
12	12	14	19	26	58	60	55
14	13	16	24	40	57	69	56
14	17	22	29	51	87	80	62
18	22	37	56	68	109	103	77
24	35	55	64	81	104	113	92
49	64	78	87	103	121	120	101
72	92	95	98	112	100	103	99

Source: © 1993 ITU-T.

**Table 8.2** Chrominance quantization matrix  $Q_{uv}$   
(example only) [367]

17	18	24	47	99	99	99	99
18	21	26	66	99	99	99	99
24	26	56	99	99	99	99	99
47	66	99	99	99	99	99	99
99	99	99	99	99	99	99	99
99	99	99	99	99	99	99	99
99	99	99	99	99	99	99	99
99	99	99	99	99	99	99	99

Source: © 1993 ITU-T.

# Zigzag Scan

## ■ Zigzag scan of DCT coefficients

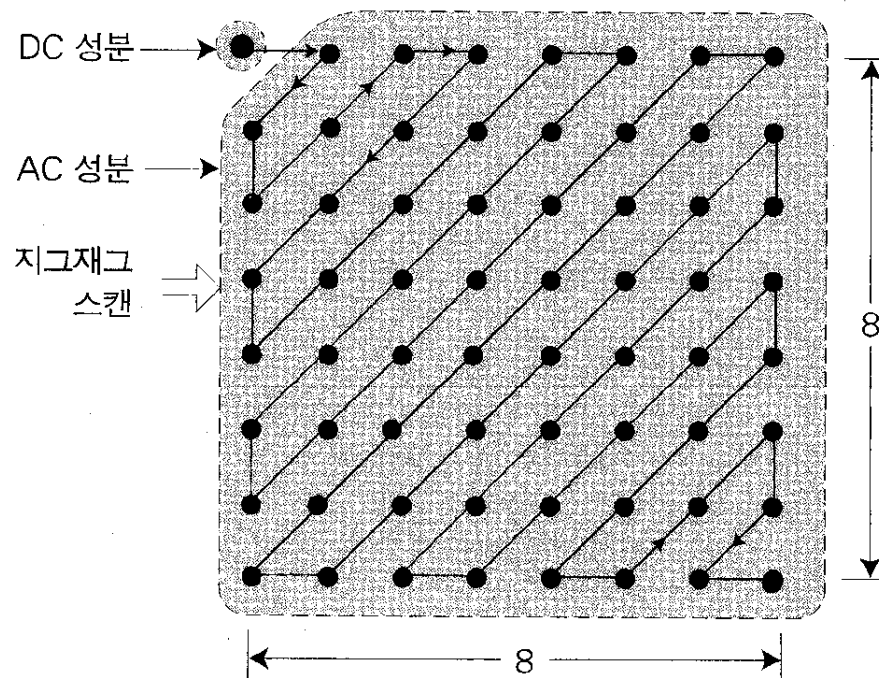


그림 4-2에 소개된 것처럼 입력영상에서  $8 \times 8$  화소의 블록 단위로 DCT 연산을 행하여 얻어진 DCT 계수를 DC 성분, AC 성분 각각 독립적으로 양사화압축하는 형식입니다. AC 성분은 그림과 같이 지그재그 스캔에 의해 부호화됩니다.

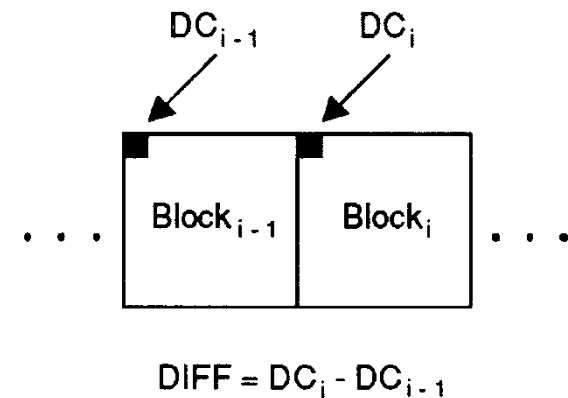


DC : Direct Current  
AC : Alternate Current

그림 4-3 DCT 부호화방식에 있어서 DCT 계수의 DC 성분과 AC 성분

# RLE on AC components

- 8x8 DCT block has many zeros for AC components.
  - Number of zeros are encoded
- RLE Construction
  - *Skip*: number of zeros
  - *Value*: next non-zero component
  - (0,0) end-of-block
- DC coefficients
  - DPCM coding



# Entropy Coding

- Huffman coding
  - Variable length coding (VLC)
  - Huffman tables (2 AC and DC tables for baseline)

**Table 8.3** Difference categories for DC coding [367]

SSSS	DIFF values
0	0
1	-1, 1
2	-3, -2, 2, 3
3	-7...-4, 4...7
4	-15...-8, 8...15
5	-31...-16, 16...31
6	-63...-32, 32...63
7	-127...-64, 64...127
8	-255...-128, 128...255
9	-511...-256, 256...511
10	-1023...-512, 512...1023
11	-2047...-1024, 1024...2047

Source: © 1993 ITU-T.

**Table 8.4** Huffman code table for luminance and chrominance DC difference [367]

SSSS	Luminance DC		Chrominance DC	
	Code length	Codeword	Code length	Codeword
0	2	00	2	00
1	3	010	2	01
2	3	011	2	10
3	3	100	3	110
4	3	101	4	1110
5	3	110	5	11110
6	4	1110	6	111110
7	5	11110	7	1111110
8	6	111110	8	11111110
9	7	1111110	9	111111110
10	8	11111110	10	1111111110
11	9	111111110	11	11111111110

Source: © 1993 ITU-T.



# JPEG Modes

- Sequential mode
  - Left-to-right, top-to-bottom scan
  - Baseline sequential mode use Huffman coding
- Lossless mode
  - Spatial prediction + Huffman coding
- Progressive mode
  - Spectral selection:
    - Send DC and few AC coeff's first and gradually some more ACs
  - Successive approximation:
    - send DCT coeff's MSB to LSB

# Compression Results (1)



Original  
image  
(24bpp)



JPEG  
Compressed  
image  
(8:1 -- 3bpp)



JPEG  
Compressed  
image  
(32:1 --  
0.75bpp )



JPEG  
Compressed  
image  
(128:1 --  
0.1875bpp )



## Compression Results (2)

QF=5: 9438 bytes

QF=10: 15325 bytes

QF=25: 29360 bytes

QF=50: 46295 bytes

QF=75: 70586 bytes

QF=100: 326321 bytes



No compression: 781300 bytes



# Haar Transform (Filter)

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# Haar transform (1)

- Haar function
  - non-sinusoidal periodic, orthonormal, complete

$$\begin{aligned} \text{haar}(0,0,t) &= \frac{1}{\sqrt{N}}, & t \in (0,1) \\ \text{haar}(r,m,t) &= \begin{cases} \frac{2^{\frac{r}{2}}}{\sqrt{N}} & \frac{m-1}{2^r} \leq t < \frac{m-\frac{1}{2}}{2^r} \\ \frac{2^{-\frac{r}{2}}}{\sqrt{N}} & \frac{m-\frac{1}{2}}{2^r} \leq t < \frac{m}{2^r} \\ 0 & \text{elsewhere for } t \in (0,1) \end{cases} \end{aligned}$$

$\Rightarrow$  Haar transform is obtained by letting  $t = \frac{m}{N}$ ,  $m = 0, 1, \dots, N-1$

## Haar transform (2)

- Basis Functions ( $N = 16$ )
  - One cycle of harmonic waveforms
  - How much energy for the specific frequency exists at a local position

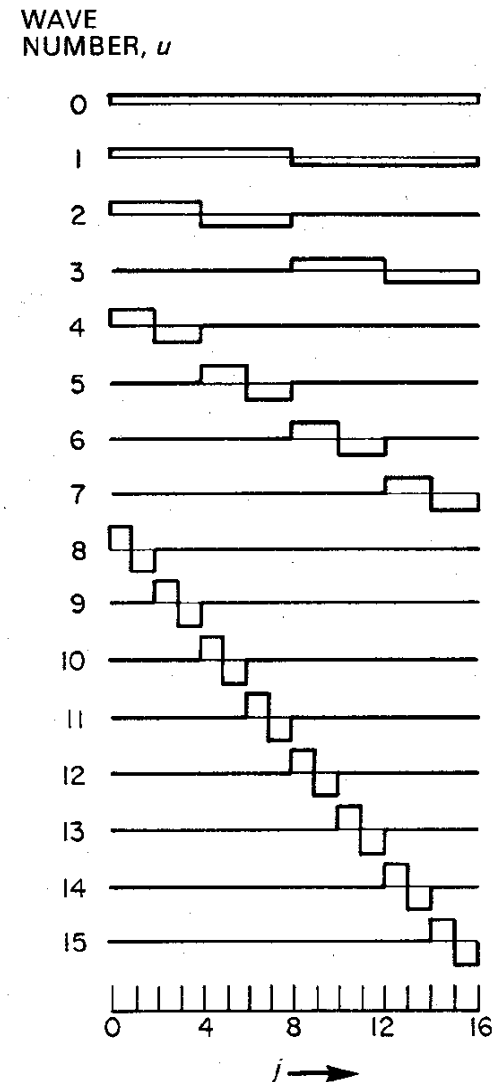


FIGURE 8.4-4. Haar transform basis functions,  $N = 16$ .



## Haar transform (3)

$$\mathbf{H}_4 = \frac{1}{\sqrt{4}} \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & -1 & -1 \\ \sqrt{2} & -\sqrt{2} & 0 & 0 \\ 0 & 0 & \sqrt{2} & -\sqrt{2} \end{bmatrix}$$

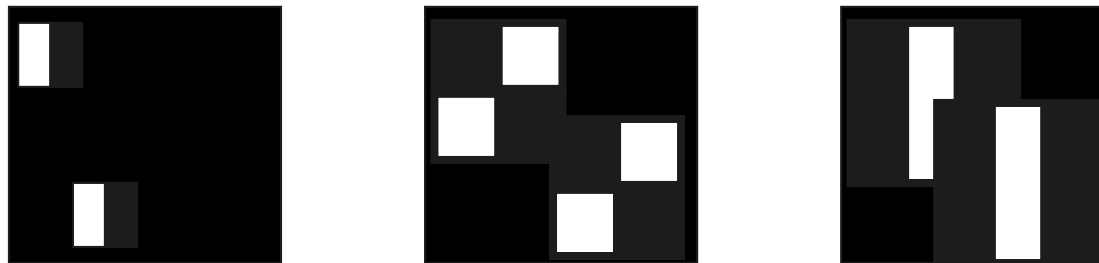
$$\mathbf{H}_8 = \frac{1}{\sqrt{8}} \begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & -1 & -1 & -1 & -1 \\ \sqrt{2} & \sqrt{2} & -\sqrt{2} & -\sqrt{2} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \sqrt{2} & \sqrt{2} & -\sqrt{2} & -\sqrt{2} \\ 2 & -2 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 2 & -2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 2 & -2 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 2 & -2 \end{bmatrix}$$

# 2-D Haar-like transform (1)

- 2-D Haar-like Feature Bank (Location & Size)
  - Various filters with respect to locations & sizes
  - For pattern analysis



EX:



## 2-D Haar-like transform (2)

- Linear combination 2-D Haar-like filters for face
  - openCV: face detection



Haar 필터 - 패턴 인식 (얼굴)



# ART (Angular Radial Transform)

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# ART (1)

- ◆ MPEG-7: Region-based shape descriptor
- ◆ suitable for shape analysis

Basis function of ART in the polar coordinates

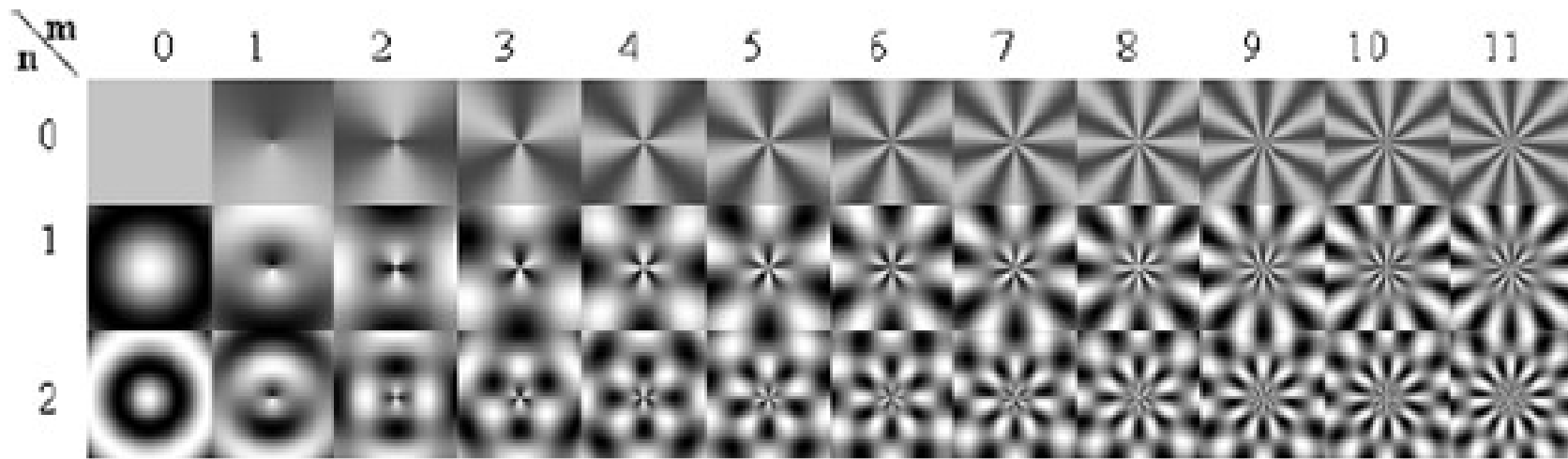
$$ART_{nm} = \int_0^{2\pi} \int_0^1 A_m(\theta) R_n(\rho) I(\rho, \theta) \rho d\rho d\theta$$

$$A_m(\theta) = \frac{1}{2\pi} \exp(jm\theta),$$

$$R_n(\rho) = \begin{cases} 1, & n = 0, \\ 2 \cos(\pi n \rho), & n \neq 0. \end{cases}$$

# ART (1)

- ◆ Usually use 36 patterns (3 radial, 12 angular frequencies)
- ◆ 36-D vector descriptor of magnitude



3 radial, 12 angular ART Basis patterns



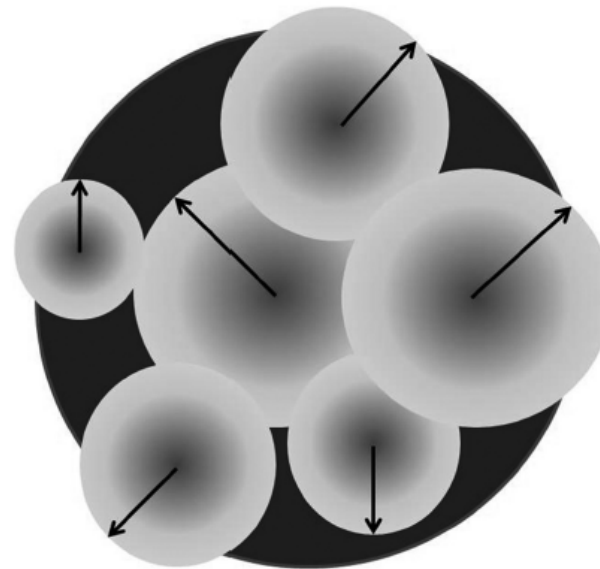
# ART Application (1)

- Human shape recognition and classification
  - Using 2000 human shapes DB and 36-D ART vectors
- Design the classifier based on
  - 36-D ART mean vectors
  - Distance variance of each cluster

$$m_d = E[\|\mathbf{M}_{ART} - \mathbf{DB}_{ART}\|],$$

$$\sigma_d^2 = Var[\|\mathbf{M}_{ART} - \mathbf{DB}_{ART}\|],$$

$$T_h = m_d + \alpha \sigma_d.$$



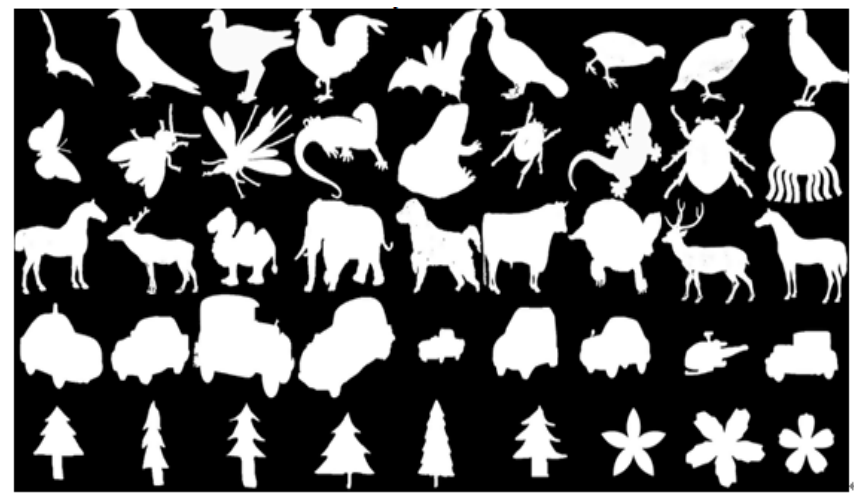
Clustered 36D ART vector space

# ART Application (2)

## ◆ Example: Shape (human) recognition



True-positive recognition



True-negative recognition









False-negative errors



False-positive errors

## ART Application (3)

- ◆ Vector clustering of ART vectors
  - Multi-modes modeling of ART vector space
  - Human pose classification

class	Samples of DB	# of class	$\alpha$
1		445	0.4
2		321	0.3
3		714	0.5
4		398	0.4
5		546	0.4
6		255	0.3