



Q 1.(a).

$$(i) A = [5, 6, 4, 1, 9, 10]$$

We do Reverse-Partition  $(A, 1, 5, 6)$

$$A \rightarrow \begin{array}{cccccc} 5 & 6 & 4 & 1 & 9 & 10 \\ & & & & i & j \end{array}$$

$$\begin{array}{cccccc} 5 & 6 & 4 & 1 & 10 & 9 \\ & & & i & j \end{array}$$

$$\begin{array}{cccccc} 5 & 6 & 4 & 10 & 1 & 9 \\ & & i & j \end{array}$$

$$\begin{array}{cccccc} 5 & 6 & 10 & 4 & 1 & 9 \\ & i & j \end{array}$$

$$\begin{array}{cccccc} 5 & 10 & 6 & 4 & 1 & 9 \\ i & j \end{array}$$

$$10 \ 5 \ 6 \ 4 \ 1 \ 9$$

$$A' = [10, 5, 6, 4, 1, 9]$$

$$(ii) A = [5, 6, 4, 1, 9, 10, 11, 12, 13]$$

Reverse-Partition  $(A, 1, 5, 9)$

$$A' \rightarrow \begin{array}{ccccccccc} 5 & 6 & 4 & 1 & 9 & 10 & 11 & 12 & 13 \\ p & & & & q & & & & r \end{array}$$

$$\rightarrow 5 \ 6 \ 4 \ 1 \ 13 \ 10 \ 11 \ 12 \ 9$$

$$\rightarrow 5 \ 6 \ 4 \ 12 \ 13 \ 10 \ 11 \ 1 \ 9$$

$$\rightarrow 5 \ 6 \ 11 \ 12 \ 13 \ 10 \ 4 \ 1 \ 9$$

$$\rightarrow 5 \ 10 \ 11 \ 12 \ 13 \ 6 \ 4 \ 1 \ 9$$

$$A' \rightarrow [13, 10, 11, 12, 5, 6, 4, 1, 9]$$

(iii)

$$A = [ \underset{p}{5}, \underset{q}{6}, 4, 1, 9, 10, 11, 12, 13, \underset{r}{14} ]$$

$$A' \rightarrow [5 \ 6 \ 4 \ 1 \ 14 \ 10 \ 11 \ 12 \ 13 \ 9]$$

$$A' \rightarrow [5 \ 6 \ 4 \ 13 \ 14 \ 10 \ 11 \ 12 \ 1 \ 9]$$

⋮

$$A' \rightarrow [14, 13, 12, 11, 5, 6, 4, 1, 9]$$

Q1-b.

$A[1, \dots, n]$  is a sorted array.

$A[1, \lceil n/2 \rceil, n]$  can be used as an argument to the Reverse partition function as the sorted elements are partitioned around  $\lceil n/2 \rceil$  with elements on the left being less than  $\lceil n/2 \rceil$  and elements on the right being greater than  $\lceil n/2 \rceil$ .  $\lceil n/2 \rceil$  here is the median.

if  $n$  is odd, we get the following structure,  
Let,

$$A \rightarrow a_1 \text{ --- } a_x \underset{\substack{b \\ \text{pivot}}}{\text{---}} a'_1 \text{ --- } a'_x$$

Now, using the reverse partition function, we get,  
in place,

$$A' \rightarrow a'_x \ a'_1 \text{ --- } a'_{x-1} \ a_1 \text{ --- } a_x \ b$$

if  $n$  is even,

$$A \rightarrow a_1 \text{ --- } a_{x-1} \ b \ a'_1 \text{ --- } a'_x$$

after reverse partition, in place,

$$A' \rightarrow a'_1 \text{ --- } a'_x \ a_1 \text{ --- } a_{x-1} \ b$$

Such a structure for  $A$  can be verified based on the application of the reverse partition function on our original array  $A$ .

If an array  $A$  is sorted, and  $n$  is even,

The sub-arrays  $a'_1, \dots, a'_x$  and  $a_1, \dots, a_{x-1}$  are sorted individually, this follows from our original  $A$ .

If  $n$  is odd, we can use rotation sorting for the sub array  $a'_x \ a'_1 \text{ --- } a'_{x-1}$  in order to  $a'_1 \ a'_2 \text{ --- } a'_{x-1} \ a'_x$  in linear time.

Following this, for any sorted array  $A$ , after reverse partition and rotation adjustment, we obtain array  $A \cup b$  in place, such that  $A_1$  and

$A_2$  are sorted and  $b$  is the median of  $A$ .

For quick sort to give a balanced partition, the pivot must be the median. If we consider the pivot to be the last element for the partition function,  $A_1, A_2, b$  satisfies the criterion. After the first call to the partition function, the next two calls will be on sorted arrays  $A_1, A_2$  where we repeat the procedure recursively. We will prove this by induction with appropriate base cases.

Fast\_QS ( $A$ , start, end)

{  
    if  $((\text{end} - \text{start} + 1) \leq 2)$  {  
        return  
    }

    if  $((\text{end} - \text{start} + 1) \% 2 == 0)$  {

        Reverse\_Partition ( $A$ , start,  $\frac{\text{start} + \text{end} - 1}{2}$ , end)

        Fast\_QS ( $A$ , start,  $\frac{\text{start} + \text{end} - 1}{2}$ )

        Fast\_QS ( $A$ ,  $\frac{\text{start} + \text{end} + 1}{2}$ , end - 1)

    }

    if  $((\text{end} - \text{start} + 1) \% 2 \neq 0)$  {

Reverse\_Partition ( A , start ,  $\lfloor \frac{\text{start} + \text{end} - 1}{2} \rfloor$  , end )

Rotation\_adjust ( A , start ,  $\lfloor \frac{\text{start} + \text{end} - 1}{2} \rfloor$  )

Fast\_QS ( A , start ,  $\lfloor \frac{\text{start} + \text{end} - 1}{2} \rfloor$  )

Fast\_QS ( A ,  $\lfloor \frac{\text{start} + \text{end} - 1}{2} \rfloor$  , end - 1 )

}

}

Rotation\_adjust ( A , start , end )

{

k = A[start]

for i from start + 1 to end :

A[i - 1] = A[i]

A[end] = k

}

Proof:

Base case :

for  $n = 2$ , there are two elements in the array, with one of them being the pivot, the

partition function will give us a balanced partition for  $n = 1$ , we will also get a balanced partition trivially.

for  $n = 3$ ,

$x_1 \ x_2 \ x_3$

$\uparrow$   
median

After fast QS,

$x_3 \ x_1 \ x_2$  - 1<sup>st</sup> reverse partition

Next calls, Fast-QS( $[x_3]$ )

Fast-QS( $[x_1, x_2]$ )

Since median  $x_2$  is at the last element, we will have a balanced result from the partition function.

Consider Fast-QS to give balanced partitions for arrays of size  $n/2$  which are sorted.

We show that Fast-QS will give balanced partitions for arrays of size  $n+1$ . (The next level  $i$  in the induction ladder).

For array  $A(1 \text{ --- } n/2, \underbrace{\frac{n}{2}+1}_b, \frac{n}{2}+2 \text{ --- } n+1)$   
 $\underbrace{\hspace{1.5cm}}_{A_1}$   $\underbrace{\hspace{1.5cm}}_{A_2}$   
 $\text{size } n/2$   $\text{size } n/2$   
 $(n/2 - 1 + 1)$   $(n+1 - (\frac{n}{2} + 2) + 1)$   
 $\text{pivot}$

Fast-QS( $A$ ) will yield, in place,

$A \rightarrow [\text{Fast-QS}(A_2), \text{Fast-QS}(A_1), b]$

In the first call to the partition function, during quick sort, as median  $b$  is the pivot element, we get a balanced partition resulting in

$$A \rightarrow [ \text{Fast\_QS}(A_1), b, \text{Fast\_QS}(A_2) ]$$

$\text{Fast\_QS}(A_1)$  is a permutation of  $A_1$  and elements in  $A_1$  are less than  $b$ . Similarly, elements in  $A_2$  are greater than  $b$ .

The next calls to the partition function will be on  $\text{Fast\_QS}(A_1)$  and  $\text{Fast\_QS}(A_2)$ . By our inductive assumption, these calls and subsequent ones will yield balanced partitions.

Hence  $\text{Fast\_QS}(A)$  will give balanced partitions when quick sort is applied for  $A$  of size  $(n+1)$ .

Our proof by induction is complete.

For  $\text{Fast\_QS}(A)$ ,

$$T(n) = 2T(n/2) + \Theta(n)$$

We divide our problem into two sub problems, our reverse partition procedure and rotation adjustment procedure if required, take linear time.

Using master theorem,

$$T(n) = aT(n/b) + f(n)$$

$a=2, b=2, f(n) = \Theta(n)$



$$g(n) = n^{\log_b a} = n^{\log_2 2} = n$$

$$f(n) = \Theta(g(n))$$

$$T(n) = \Theta(n \log n)$$

Fast-QS will produce the array for balanced partitions in  $\Theta(n \log n)$  time complexity.

Q1.c

Consider the array  $A$  after  $\text{Fast-QS}(A)$ ,

$$A = \left[ \underset{\frac{n-1}{2}}{A_1}, \underset{1}{b}, \underset{\frac{n-1}{2}}{A_2} \right], \text{ size}(A) = n$$

$$\text{Fast-QS}(A) = \left[ \text{Fast-QS}(A_2), \text{Fast-QS}(A_1), b \right]$$

For every element in  $\text{Fast-QS}(A_2)$ , which is a permutation of elements in  $A_2$ , every element in  $\text{Fast-QS}(A_1)$  and element  $b$ , will be lesser.

$$\therefore \text{Number of inversions} \geq \underset{\substack{\uparrow \\ \text{no of elements in} \\ A_2}}{\left(\frac{n-1}{2}\right)} \cdot \underset{\substack{\nwarrow \\ \text{no of elements} \\ \text{in } A_1}}{\left(\frac{n-1}{2} + 1\right)}$$

$\begin{matrix} b \\ \downarrow \end{matrix}$

$$\therefore \text{No of inversions} \geq \frac{1}{4}(n^2 - 1)$$

While we divided our array into sizes  $(\frac{n-1}{2}), 1, (\frac{n-1}{2})$ , we can do a similar analysis for when  $n/2$  is an integer.

There will be other inversions with respect to two elements within Fast-QS ( $A_1$ ) and Fast-QS ( $A_2$ ) and  $b$ , but our analysis suffices to show the asymptotic bounds.

For insertion sort,

$$T(n) = \Theta(n + I)$$

$$= \Theta(n + c_1 n^2)$$

$$\therefore c_2(n + c_1 n^2) \leq T(n) \leq c_2(n + c_1 n^2)$$

for some  $c_1, c_2$ , for all  $n > n_1$ .

$\therefore$  For some constant  $c_0$  for all  $n > n_0$ .

$$c_0 n^2 \leq T(n)$$

$$\therefore T(n) = \Omega(n^2)$$

Q1-d.

$$A = [A_1 \ b \ A_2] \rightarrow [A_2 \ A_1 \ b]$$

What we need the reverse partition function to do is that pivot  $b$  must go to the last element and for sub arrays  $A_1$  and  $A_2$

the median must be at the end. Such conditions suffice our Fast-QS analysis as we saw earlier. We can replace median  $b$  with the last element in element in  $A_2$  and achieve the same conditions.

We make our fast partition dunction work in constant time.

We are essentially putting the median in the last position and we show that this is a valid reverse partition for our array  $A$ .

- For  $A[1, \dots, n]$ , we want to preserve the structure after calling partition on the array output by reverse partition.

$$- A[1, \dots, n] \rightarrow a_1 \dots a_x b a'_1 \dots a'_x$$

We transform into,

$$a_1 \dots a_x a'_1 \dots a'_x b$$

On call to partition,

$$\text{elements } a_1 \dots a_x < b$$

$$\text{elements } a'_1 \dots a'_x > b$$

$\therefore$  Looking at the boundary, we get  
 $A \rightarrow a_1 \dots a_x b a'_1 \dots a'_x$  after partition.

In order to make the reverse partition in  $O(1)$  time, we construct an array of pointers representation of  $A$ .

Initialize - Fast - Reverse - Partition ( $A$ )  
P

{

$A^*[1, \dots, n]$  - Array of pointers to nodes

for  $i$  from 1 to  $n$

{  
 $n = \text{node}()$   
 $n.\text{key} = A[i]$   
 $A^*[i] = n$   
 }

}

Fast-Reverse-Partition ( $A^*, p, q, r$ )

{  
 exchange ( $A^*[q], A^*[r]$ )

} return

We call Fast-QS ( $A^*, \text{start}, \text{end}$ )

For  $A$ ,

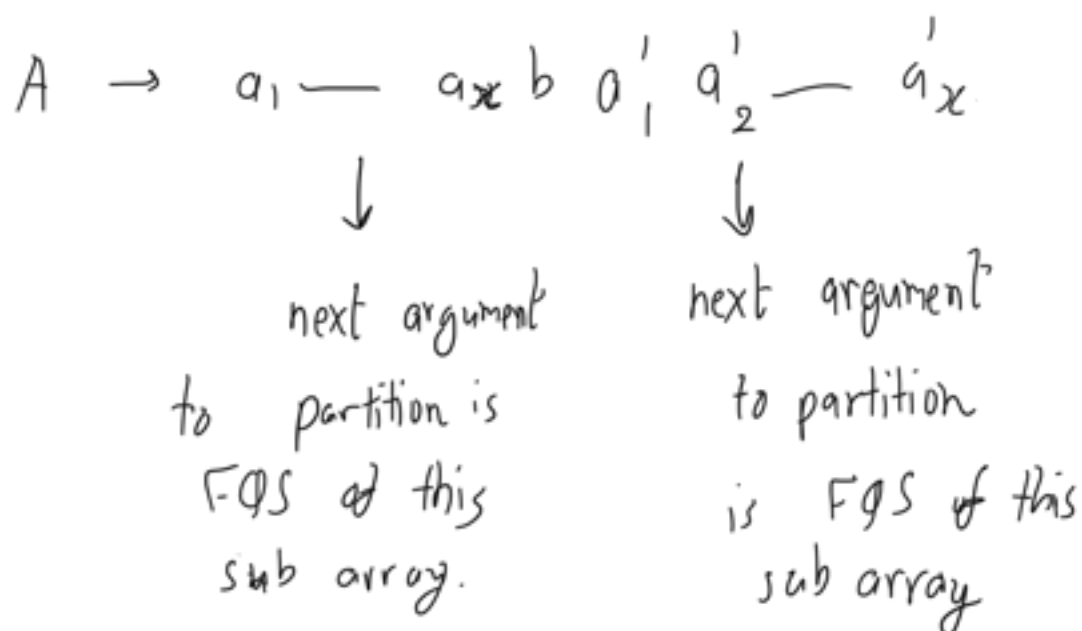
$A \rightarrow q_1 \dots q_x \mid q'_1 \dots q'_x$

We get,

$A'$

$A' \rightarrow q_1 \dots q_x \mid q'_1 \dots q'_x$

After partition,



In the next calls to the partition function, FQS will put the respective medians at the end of the sub arrays and hence the partitions will be balanced. We can proceed further similarly.

$$T(n) = 2 T(n/2) + O(1)$$

$$n^{\log_b a} = n^{\log_2 2} = n$$

$$f(n) = O(1)$$

Using master theorem,

$$f(n) = O(n^{\log_2 2 - \epsilon}), \quad \epsilon > 0$$

$$\therefore T(n) = O(n)$$

Q1.e

We count the number of inversions for fast reverse partition. Without loss of generality, for simplicity we assume that  $n = 2^k$

Consider the median at position  $n/2$ . It is now at the last position in the array. It has  $\frac{n}{2}$  numbers greater than it behind it and thus has  $\frac{n}{2}$  inversions.

Consider the next two medians for the next two sub arrays,  
Original array element positions,  $(\frac{n}{2} + \frac{n}{4})$ ,  
 $(\frac{n}{2} - \frac{n}{4})$

The number of inversions for each of these medians with respect to those sub arrays will be  $\frac{n}{4}$  each, which makes it  $\frac{n}{2}$ .

The next set of medians will be  $(\frac{n}{2} + \frac{n}{4}) \pm \frac{n}{8}$   
and  $(\frac{n}{2} - \frac{n}{4}) \pm \frac{n}{8}$

For each of these four medians, with respect to that corresponding sub array, there will be  $\frac{n}{8}$  inversions. Total becomes  $4 \cdot \frac{n}{8} \sim \frac{n}{2}$

As we go down sets of medians, the number of such levels of medians will be  $\log_2 n$   
as  $\frac{n}{2^{\log_2 n}} = 1$ , will give us our last set of

medians.

means.

For each level, we have  $\sim cn$  inversions.

$$\therefore I = \Theta(n \log n)$$

$\therefore$  For insertion sort,

$$T(n) = \Theta(n + I) = \Theta(n \log n)$$

Q2.

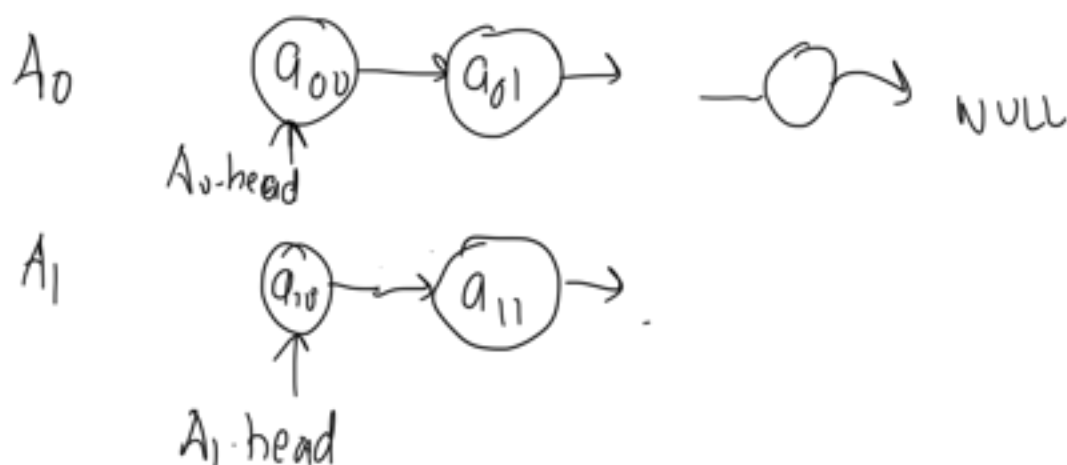
(a)

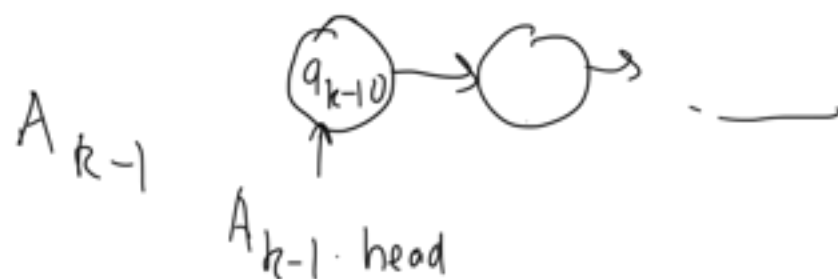
We have been given linked lists  $A_0, A_1, \dots, A_{k-1}$  of lengths  $n_0, n_1, \dots, n_{k-1}$

We define Min Heap  $\mathcal{Q}$ .

Elements in the min heap will be of the form  $(x, \text{list}_x)$  where  $x$  denotes the elements corresponding to which the Heap property is maintained.

$\text{list}_x$  denotes the linked list from which element  $x$  is obtained.





$A_0, A_1, \dots, A_{k-1}$  are sorted.

We build a min heap with the keys of the elements pointed to by the heads of the linked list.

The next element in each list will be less than the head element and one of these head element keys will be minimum.

We then Extract min and go to the next element of that corresponding linked list from which the element was extracted.

We insert the new element in our heap.

We again extract min from our heap to find the second minimum element and iterate in that corresponding list. We repeat the process until completion. We present the algorithm and then the proof of correctness using the loop invariant.

We use the following Heap functions as an abstraction.

$Q = \text{Build\_Min\_Heap}([ (x_1, l_1), (x_2, l_2) \dots ])$

- Takes in an array of Heap elements, builds a min heap based on the  $x$  values and returns an array. -  $O(k)$  where  $k$  is the size of the heap.

$(x_{\min}, l_{\min}) = \text{Extract\_Min}(Q)$

- Returns  $(x_{\min}, l_{\min})$ ,  $O(\log k)$



Insert-Min-Heap ( $\mathcal{Q}$ ,  $(x_i, l_i)$ )  
 - inserts  $(x_i, l_i)$  into the heap. -  $O(\log k)$

We have,  $n = n_0 + n_1 + \dots + n_{k-1}$   
 Let our new array be  $X$ .

Merge ( $A_0, A_1, \dots, A_{k-1}$ )  
 {

$\mathcal{Q} = \text{Build-Min-Heap}([ (A_0.\text{head}.\text{key}, 0)$   
 $(A_1.\text{head}.\text{key}, 1)$   
 $\vdots$   
 $(A_{k-1}.\text{head}.\text{key}, k-1) ]$

$A_0^* = A_0.\text{head}$  # pointer to list  $A_0$

$A_1^* = A_1.\text{head}$

$\vdots$   
 $A_{k-1}^* = A_{k-1}.\text{head}$  # pointer to list  $A_{k-1}$

for  $i$  from 1 to  $n$   
 {

$(a, b) = \text{Extract-Min}(\mathcal{Q})$

$X[i] = a$

$A_b^* = A_b^*.\text{next}$

if ( $A_b^* \neq \text{NULL}$ )

```

    {
        Insert_Min_Heap(Q, (Ab.key, b))
    }

}

return X
}

```

Proof :

Loop invariant : At each iteration  $i$ , the  $i^{\text{th}}$  smallest element is selected and  $(i-1)$  elements in sorted order from the smallest are present in  $X$ .

Initialization :

- In iteration 1 of the main for loop, we take the zeroth elements of each linked list to our heap. Since linked lists are sorted, the elements succeeding the elements succeeding them are larger than these elements. One of these elements is therefore the smallest and we obtain that element using extract min.

Maintenance :

- In iteration  $i$ , we assume that  $(i-1)$  smallest elements are present in  $X$ .
- We show that element  $i$  must be present in the current frontier from which the heap is made of.
- Suppose that element  $i$  was not present in the frontier. The heap will consist of  $k$  elements each of which will be greater than element  $i$ . Since the  $(i-1)$  smallest elements are already in  $X$  and once we put an element in  $X$ , we iterate forward in that list and do not come across the element again.
- Since the lists are sorted, the elements ahead of the elements in the frontier are greater than the elements of the frontier.
- So the element  $i$  is not among elements not in our frontier. But element  $i$  must be present somewhere. Therefore our assumption is wrong. Hence, element  $i$  is present in the frontier.
- We obtain element  $i$  when we do extract min on the frontier elements which constitute the heap.
- Hence our loop invariant holds for iteration  $i$ .

Termination:

- In the final iteration, we obtain element  $n$  of  $X$  which completes our sorted array  $X$ .

Hence we have merged  $A_0, A_1, \dots, A_{b-1}$  to form

X.

for each of the  $n$  iterations of the loop, extraction from the heap and insertion into the heap take  $O(\log k)$  time complexity.

$$\therefore T(n) = O(n \log k)$$

where  $n$  is  $(n_0 + n_1 + \dots + n_{k-1})$

Using the definition of Big Oh,  
 $T(i) \leq c \log k$

$$\sum_{i=1}^n T(i) \leq cn \log k$$

$$T(n) \leq cn \log k$$

$$\therefore T(n) = O(n \log k)$$

Q2.b

We have,

Array  $A[1, \dots, n]$  for  $n$  words.

distinct words range from  $0, 1, \dots, k-1$

Correspondingly we will have linked lists  $A_0, A_1, \dots, A_{k-1}$ .

$A[i] = j$ , if word at position  $i$  is the  $j^{\text{th}}$  word in the vocabulary.

We iterate through array  $A$  and for each word we encounter in  $A$ , we add a corresponding element to the linked list for the word in the vocabulary.

Generate Sub Array ( $A[1, \dots, n], n, k$ )

{  $A_0 = \text{LinkedList}()$ ,  $\dots$ ,  $A_{k-1} = \text{LinkedList}()$   
# initialize

#  $A_0.\text{head} = \text{NULL}$ ,  $A_0.\text{tail} = \text{NULL}$  # node pointers

for  $i$  from 1 to  $n$   
{

$x = \text{node}()$

$x.\text{key} = A[i]$ ,

$j = A[i]$  # vocabulary word index

if ( $A_j.\text{head} == \text{NULL}$ ) {

$A_j.\text{head} = x$

$A_j.\text{tail} = x$

}

else {

$A_j.\text{tail}.\text{next} = x$

$A_j.\text{tail} = x$

}

}

return.  $A_0.\text{head}, A_1.\text{head}, \dots, A_{k-1}.\text{head}$

3

As we iterate through  $A$  once,

$$T(n) = O(n)$$

Q2.6

Let  $A[\text{start}, \dots, \text{end}]$  be a minimum span.

$$\text{let } A(\text{start}) = x$$

let  $A[\text{end}] = y$

A [ start ——— end ]  
          x                                  y

$A[start + 1]$  cannot be  $x$  and  
 $A[end - 1]$  cannot be  $y$  as that would  
 violate our definition of minimum span.

We run procedure (a) on our new lists  $A_0, A_1, \dots, A_{k-1}$ .

- Consider the time when we pick start as the minimum element through  $A_x$
- Each time, the heap will be consisted of the  $k$  frontier elements corresponding to the  $k$  linked lists.
- Since start is the minimum element. all of

the elements in the frontier must be greater than start.

- $A[start - end]$  is the minimum span.
- For every linked list apart from  $A_x$  and  $A_y$ , the element first element after start corresponding to that list will be present in the heap. Consider  $e_i$  to be present for  $A_i$ .

If  $e_i$  is not the first element for  $A_i$  after start, that would mean that the first element after start has been iterated over. But start is our minimum element at our iteration timestep and therefore  $e_i$  must be the first element for  $A_i$  after start. This follows from the correctness of procedure (a).

- Let  $e_y$  be the element in  $A_y$  at our time step.  $e_y$  is the first element after start corresponding to  $A_y$ .
- If  $e_y$  is less than end,  $start - e_y - (end - 1)$  will constitute a span thus violating our definition that  $start - end$  is the minimum span.
- Hence  $e_y$  must be end.
- Thus, for the time step in which we select start as the minimum element, end will also be present in the heap along with  $k-2$  other elements corresponding to other lists.

Q2-d

- Since  $(start, end)$  will be part of the frontier constituting the heap; we will use a max Heap P.
- If there is a span and it occurs in the heap, the remaining  $k-2$  elements must be between the limits of the span.
- For any frontier consisting of  $k$  elements from the  $k$  linked lists.
- Let  $m_1$  be the minimum element and  $m_2$  be the maximum element, the other  $k-2$  elements corresponding to other  $k-2$  linked lists are therefore between  $m_1$  and  $m_2$ .
- Hence,  $(m_1, m_2)$  constitutes a span, albeit not necessarily a minimum span.
- We iterate through all the possible frontiers as we use procedure (a). We generate the corresponding min and max for the span and compare through iterations.

Find - Min - Span  $(A_0, \dots, A_{k-1})$



$$P = \text{Build\_Max\_Heap} \left( \left[ (A_0.\text{head}.\text{key}, 0), \right. \right. \\ \left. \left. (A_1.\text{head}.\text{key}, 0), \right. \right. \\ \left. \left. \vdots \right. \right. \\ \left. \left. (A_{k-1}.\text{head}.\text{key}, 0) \right] \right)$$

$$Q = \text{Build\_Min\_Heap} \left( \left[ (A_0.\text{head}.\text{key}, 0) \right. \right. \\ \left. \left. (A_1.\text{head}.\text{key}, 1) \right. \right. \\ \left. \left. \vdots \right. \right. \\ \left. \left. (A_{k-1}.\text{head}.\text{key}, k-1) \right] \right)$$

$$A_0^* = A_0.\text{head} \quad \# \text{ pointer to list } A_0$$

$$A_1^* = A_1.\text{head}$$

$$\vdots$$

$$A_{k-1}^* = A_{k-1}.\text{head} \quad \# \text{ pointer to list } A_{k-1}$$

$$\text{span\_val} = \infty$$

for  $i$  from 1 to  $n$

{

$$(a, b) = \text{Extract\_Min}(Q)$$

$$(c, d) = \text{return\_Max}(P)$$

$$\text{if } (c - a < \text{span\_val}) \{$$

$$\text{min\_span} = (a, c)$$

$$\text{span\_val} = c - a$$

}

$$A_b^* = A_b^*.next$$

if ( $A_b^* \neq \text{NULL}$ )  
{

Insert-Min-Heap( $Q, (A_b^*.key, b)$ )

Insert-Max-Heap( $P, A_b^*.key, b$ )  
}

}

}

- Essentially, min heap  $Q$  and max heap  $P$  will consist of the same configuration of frontier elements.
- In order to effectively find the maximum element for every configuration of the min heap, we use the max heap.
- The heap operations take  $O(\log k)$  time for each iteration of the main for loop which is  $n$  times.
- $O(\log k)$  for Extract-Min( $Q$ )  
 $O(1)$  for return-Max( $P$ )  
 $O(\log k)$  for insertions in each of the heaps.

$$\therefore T(n) = O(n \log k) //$$

### Problem 3

3(a)

k-Parent (i) {

$$\text{return } \left\lfloor \frac{i-1}{k} \right\rfloor$$

}

k-Child (i, j) {

$$\text{return } (ki+1) - (j-1)$$

}

Q 3-(b)

For element i, k children will go from -

$$\begin{cases} ki+1 & - c_1 \\ ki+0 & - c_2 \\ ki-1 & \end{cases}$$

$$i \rightarrow \left( \begin{array}{c} ki - (k-2) - 1 \\ ki - (k-2) - c_k \end{array} \right)$$

$$c_1 > c_2 \dots > c_k$$

for  $c_1$ ,

$$\left\lfloor \frac{ki+1-1}{k} \right\rfloor = i$$

for  $c_k$

$$\left\lfloor \frac{ki - (k-2) - 1}{k} \right\rfloor = \left\lfloor i - \frac{k-1}{k} \right\rfloor = i$$

for the element after  $c_1$ ,

$$\left\lfloor \frac{ki+2-1}{k} \right\rfloor = \left\lfloor i + \frac{1}{k} \right\rfloor = i+1$$

This is correct as the element next after  $c_1$  will be the child of  $(i+1)$ .

for the element before  $c_k$ ,

$$\left\lfloor \frac{(ki - (k-2) - 1) - 1}{k} \right\rfloor = \left\lfloor \frac{ki - k}{k} \right\rfloor$$

$$= \left\lfloor \frac{k(i-1)}{k} \right\rfloor$$

$$= \left\lfloor (i-1) \right\rfloor$$

$$= i-1$$

This is correct as the element before  $C_k$  will have  $(i-1)$  as its parent.

$$\begin{aligned} \text{if } x_1 < x < x_2 \\ \text{and } \lceil x_1 \rceil = \lceil x_2 \rceil = z \\ \text{then } \lceil x \rceil = z \end{aligned}$$

Therefore the elements between  $C_1$  and  $C_k$  will give  $i$  correctly as the parent.

This completes our verification.

(c)  $k\_Max\_Heapify(A, i, k)$

{  
largest = 0

for  $j$  from 1 to  $k$  {

if ( $A[k\_child(i,j)] > largest$ ) and  
( $k\_child(i,j) \leq A\_heap\_size$ )) {

$largest = k\_child(i,j)$ .

}

}

if ( $A[i] < A[largest]$ )

{

exchange ( $A[i], A[largest]$ )

$k\_Max\_Heapify(A, largest, k)$

}

}

(d)

$k\_Extract\_Max(A)$

{

$m = A[1]$   
 $A[1] = A[A.\text{heap-size}]$   
 $A.\text{heap-size} = A.\text{heap-size} - 1$

$k\_Max\_Heapify(A, 1, k)$

return  $m$

}

(e) let  $d$  be the depth in the tree.

level 1 will have 1 element.

level 2 will have  $k$  elements.

Each of those  $k$  elements will have  $k$  children,

level 3 will have  $k^2$  elements

$\vdots$   
 level  $d$  will have at max  $k^d$  elements  
 in case the tree is full

$$n \leq 1 + k + k^2 + \dots + k^d$$

Also,

$$1 + k + \dots + k^{d-1} < n$$

leaf nodes will have depth  $d$ , height 0  
 penultimate level nodes, depth  $d-1$ , height 1

|

root node  $\rightarrow$  depth 1 height  $d-1$   
 $= h$

$$\therefore 1+k - k^h < n \leq 1+k - k^{h+1}$$

$$S_1 < n \leq S_2$$

$$S_1 = 1+k - k^h$$
$$k S_1 = k - k^{h+1}$$

$$S_1 = \frac{k^{h+1} - 1}{k-1} = \frac{k \cdot k^h}{k-1} - \frac{1}{k-1}$$

$$S_2 = \frac{k^{h+2} - 1}{k-1} = \frac{k^2 \cdot k^h}{k-1} - \frac{1}{k-1}$$

There exist  $c_1, c_2$  such that,

$$c_1 k^h < S_1$$

$$S_2 < c_2 k^h \quad \text{for all } h \geq h_0$$

$\therefore$  We can see that,

$$n = \theta(k^h)$$

$$h = \theta(\log_k n)$$

Each individual call to  $k$ -Max-Heapify will



be  $O(k)$

The number of calls to  $k\_Max\_Heapify$  will be  $O(h) \equiv O(\log_k n)$  for the completion of the recursion for the  $k\_Max\_Heapify$  function.

$\therefore$  For  $k\_Max\_Heapify$ ,

$$T(n) = O(k \log_k n)$$

We minimize  $f(k) = k \log_k n$  wrt  $k$

$$f(k) = k \log_k n$$

$$= \frac{k \ln n}{\ln k}$$

$$f(k) = \ln n \cdot \frac{k}{\ln k}$$

$$\frac{d f(k)}{d k} = \ln n \cdot \left( 1 \cdot \frac{1}{\ln k} - \frac{1}{(\ln k)^2} \cdot \frac{1}{k} \cdot k \right)$$

$$= \ln n \left( \frac{\ln k - 1}{(\ln k)^2} \right)$$

$$\frac{d f(k)}{d k} = 0$$

$$\frac{\ln k - 1}{(\ln k)^2} = 0$$

$$\ln k = 1$$

$$k = e$$

The closest integers to  $e$  are 2 and 3

$$\text{as } k \rightarrow 1, f(k) \rightarrow \infty$$

$$\text{as } k \rightarrow \infty, f(k) \rightarrow \infty$$

$f(k)$  will have a minima at  $k = e$

for our integer  $k$  purposes,

$$\frac{3}{\ln 3} < \frac{2}{\ln 2} \quad (2.73 < 2.88)$$

$\therefore$  We take  $k = 3$  as our solution to the optimization.

