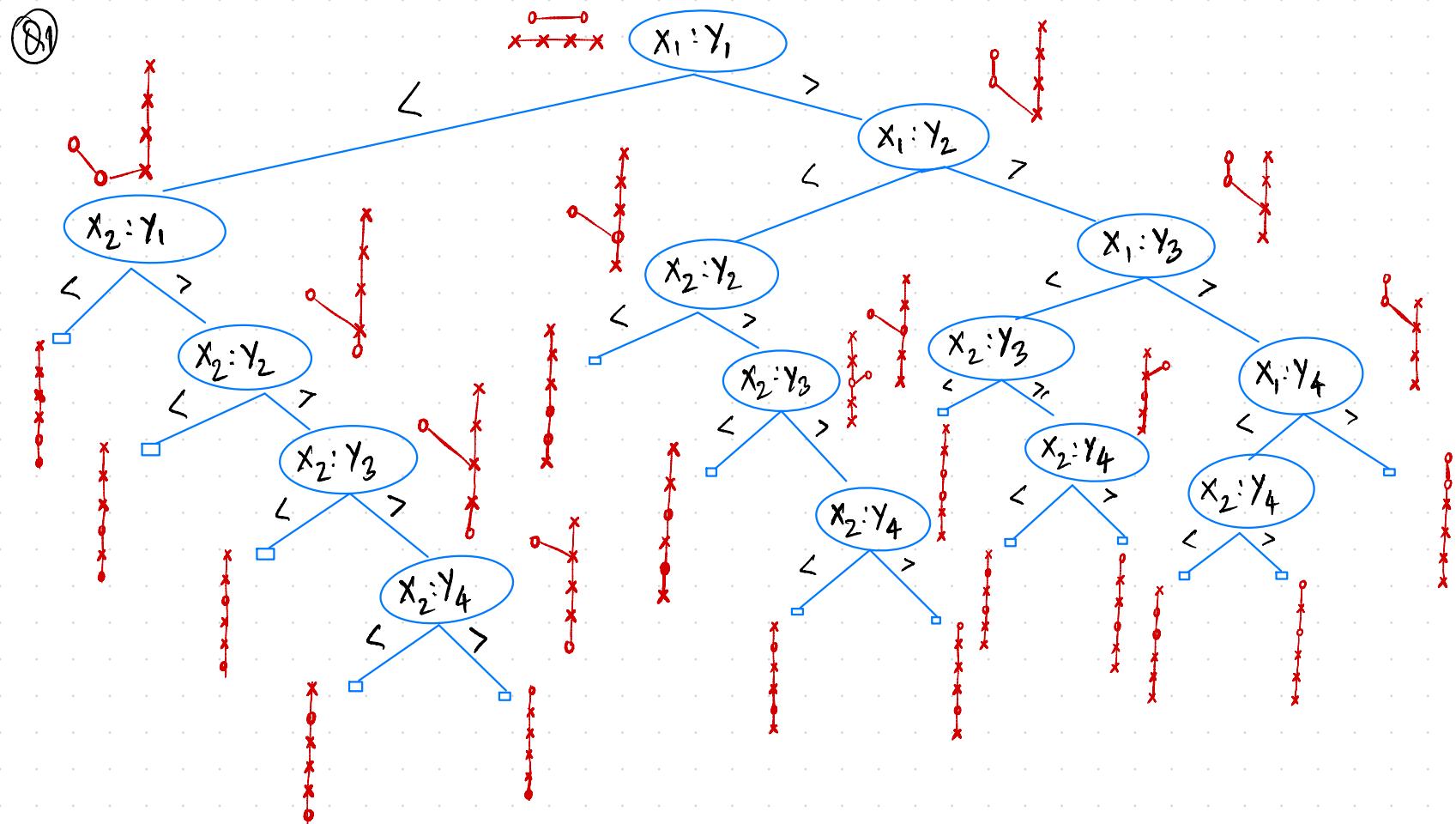


# Assignment - 1

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(89)



(Q2)

Maximum number of comparisons in Merge Sort after accounting for arbitrary  $n$ :

$$S(n) = n \lceil \log_2 n \rceil - 2^{\lceil \log_2 n \rceil} + 1$$

$$\Rightarrow S(1000) = 1000 \lceil \log_2 n \rceil - 2^{\lceil \log_2 1000 \rceil} + 1$$

$$\Rightarrow \text{Upper bound for } S(1000) = \boxed{8977}$$

Minimum number of comparisons = height of comparison tree

Number of possible orderings =  $n!$

$\Rightarrow$  Height of comparison tree =  $\lg(n!)$   $\geq$  Minimum number of comparisons

$\Rightarrow$  From Stirling's approximation, we know that,

$$n! = \sqrt{2\pi n} \left(\frac{n}{e}\right)^n$$

$$\lg(n!) = \lg\left(\sqrt{2\pi n} \left(\frac{n}{e}\right)^n\right)$$

$$= \frac{1}{2} \lg(2\pi n) + n \lg(n) - n \lg e$$

$$= \frac{1}{2} \lg 2 + \frac{1}{2} \lg \pi + \frac{1}{2} \lg n + n \lg n - n \lg e$$

$$= \frac{1}{2} + \frac{1}{2} \lg \pi + \left(n + \frac{1}{2}\right) \lg n - \frac{n}{\lg e} \lg 2$$

$$\Rightarrow \text{Lower bound for } S(1000) = \boxed{8530}$$

$\rightarrow$  Stirling's approximation is very accurate for the value of  $n$  we are dealing with, hence the error due to estimation is very low.

$\rightarrow$  Log-properties help make simplification more accurate to mitigate small errors

$\rightarrow$  Since Stirling's approximation is used to find the logarithm

of smaller values, there is very marginal error in computation.

→ Thus, using the closed form solution of the recurrence relation we can estimate the upper bound for  $S(1000)$ . Similarly, we can estimate the lower bound using Stirling's Approximation, which can compute factorials of large numbers very accurately for numbers in that range ( $1000$ ). This gives us our bounds as:

$$8530 \leq S(1000) \leq 8977$$

(Q3) We know that,

$$g = o(f) \rightarrow 0 \leq g < c.f, \forall c > 0$$

$$g = w(f) \rightarrow 0 \leq f < c.g, \forall c > 0$$

Since  $o(f)$  represents functions that strictly grow slower than  $f$  and  $w$  represents functions that strictly grow faster than  $f$ , we cannot have a function that does both at the same time.

$\Rightarrow g = o(f)$  and  $g = w(f)$  is not possible simultaneously.

$\Rightarrow \Theta(f) = o(f) \cap w(f)$  requires  $0 \leq g < c.f$  AND  $0 \leq f < c.g$   $\forall c > 0$ . Since this is not possible, there is no 'small-theta' (or, it is an empty set of functions)

$\Rightarrow \Theta(f) = o(f) \cap w(f)$  cannot represent any function and thus is an empty set. Hence, no ' $\Theta$ ' notation exists.

Q4 (a)  $f = O(g) \Rightarrow g = O(f)$

Let  $f(x) = n - 1$   
 $g(x) = n^2 - n + 1$

$$f \leq c \cdot g \quad [n-1 \leq c(n^2-n+1)]$$
$$\Rightarrow f = O(g)$$

However,  $g \not\leq c \cdot f \quad [n^2-n+1 \not\leq c(n-1)]$

$$\Rightarrow g \neq O(f)$$

$$\therefore f = O(g) \neq g = O(f) \quad [\text{FALSE}]$$

(b)  $\max(f, g) = \Theta(f+g)$

$$\max(f, g) = O(f+g) \text{ and } f+g = O(\max(f, g))$$

Let  $f(n) = n$ ,  $g(n) = -n + 1$

$$f(n) + g(n) = n - n + 1 = 1$$

$$\Rightarrow \Theta(f+g) = \Theta(1) \quad [\text{constant growth}]$$

$$\max(f, g) = n$$

$$\Rightarrow \Theta(\max(f, g)) = \Theta(n) \quad [\text{grows linearly}]$$

Since  $\Theta(\max(f, g)) \neq \Theta(f+g)$

$$\Theta(n) \neq \Theta(1)$$

$$\Rightarrow \max(f, g) \neq \Theta(f+g)$$

[FALSE]

(c) If  $g > 1$  and  $f = O(g)$ , then  $\ln f = O(\ln g)$

Let  $f(x) = 2(1 + \frac{1}{x})$ ,  $g(x) = 1 + \frac{1}{x}$

$\Rightarrow f = O(g)$  since  $f \leq Cg$  where  $C = 2$

$$\ln f = \ln [2(1 + \frac{1}{x})] = \ln 2 + \ln(1 + \frac{1}{x}) \approx \ln 2 + \frac{1}{x}$$

$$\ln g = \ln(1 + \frac{1}{x}) \approx \frac{1}{x} \quad [\text{as } x \rightarrow \infty]$$

$\Rightarrow \ln f \not\leq \ln g \Rightarrow \ln f \neq O(\ln g)$

[FALSE]

(d)  $f = O(g) \Rightarrow f \circ \log = O(g \circ \log)$

$$f(n) \leq Cg(n) \quad \forall n > n_0$$

Let  $n = \log m$

$$f(\log_b m) \leq C \cdot g(\log_b m) \quad \forall \log_b m > n_0$$

$$\Rightarrow f(\log_b m) \leq C \cdot g(\log_b m) \quad \forall m > b^{n_0}$$

$$\Rightarrow f(\log_b m) \leq C \cdot g(\log_b m) \quad \forall m > m_0 \quad [m_0 = b^{n_0}]$$

$\Rightarrow [f \circ \log = O(g \circ \log)]$  [TRUE]

(e)  $f = O(g) \Rightarrow 2^f = O(2^g)$

Let  $f(n) = 2n$ ,  $g(n) = n$

$$f \leq 2g \Rightarrow f = O(g) \quad [f = O(g) \Rightarrow f \leq C \cdot g]$$

$$2^f = 2^{2n} = 4^n$$

$$2^g = 2^n$$

$$\Rightarrow 2^f \neq O(2^g) \text{ since } 4^n \geq 2^n$$

[FALSE]

$$(f) f = O(g) \Rightarrow 2^f = O(2^g)$$

$$f < c g$$

$$\text{Let } c=1 \Rightarrow f < g$$
$$\Rightarrow 2^f < 2^g \rightarrow 2^f < c' 2^g \quad [c'=1]$$
$$\Rightarrow 2^f = o(2^g) \quad [\text{TRUE}]$$

$$(g) f = O(f^2)$$

$$f \leq c f^2 \quad \exists c > 0$$

$$\text{Let } f = 1/n$$

$$\text{For large values of } n, f(n) = 1/n \gg f(n)^2 = 1/n^2$$
$$\Rightarrow f \notin O(f^2)$$

[FALSE]

$$(h) f(n) = O(f(n/2))$$

$$f(n) = O(f(n/2)) \text{ and } f(n/2) = O(f(n))$$

$$\text{Let } f(n) = 2^n \Rightarrow f(n/2) = 2^{n/2} = \sqrt{2^n}$$

$$2^{n/2} \leq c_1 2^n \text{ but } 2^n \not\leq c_2 2^{n/2}$$

$$\Rightarrow f(n/2) = O(f(n)) \text{ but } f(n) \neq O(f(n/2)) \text{ since } 2^n \text{ grows much faster than } 2^{n/2}$$

[FALSE]

$$\begin{aligned}
 Q5) \quad T(n) &= 3T(n/2) + n \\
 &= 3(3T(n/4) + n/2) + n \\
 &= 9T(n/4) + 3n/2 + n \\
 &= 9[3T(n/8) + n/4] + 3n/2 + n \\
 &= 27T(n/8) + 9n/4 + 3n/2 + n
 \end{aligned}$$

$$\Rightarrow T(n) = 3^k T\left(\frac{n}{2^k}\right) + n \sum_{i=0}^{k-1} \left(\frac{3}{2}\right)^i$$

$$\sum_{i=0}^{k-1} \left(\frac{3}{2}\right)^i = \frac{\left(\frac{3}{2}\right)^k - 1}{\left(\frac{3}{2}\right) - 1} = 2 \left(\left(\frac{3}{2}\right)^k - 1\right)$$

$$\Rightarrow T(n) = 3^k T\left(\frac{n}{2^k}\right) + 2n \left[\left(\frac{3}{2}\right)^k - 1\right]$$

Stopping condition  $\rightarrow T(1) \Rightarrow \frac{n}{2^k} = 1 \Rightarrow n = 2^k$  or  $k = \log_2 n$

$$\left(\frac{3}{2}\right)^k = \left(\frac{3}{2}\right)^{\log_2 n} = n^{\log_2 \left(\frac{3}{2}\right)}$$

$$\begin{aligned}
 \Rightarrow T(n) &= 3^k T(1) + 2n \left[n^{\log_2 \left(\frac{3}{2}\right)} - 1\right] \\
 &= 3^k T(1) + 2n^{\log_2 \left(\frac{3}{2}\right) + 1} - 2n
 \end{aligned}$$

$$3^k = 3^{\lg n} = n^{\lg 3}$$

$$\Rightarrow T(n) = n^{\lg 3} T(1) + 2n^{\log_2 \left(\frac{3}{2}\right) + 1} - 2n$$

$$\Rightarrow T(n) = \Theta(n^{\log_2 3}) = \Theta(n^{1.585})$$

(Q.6) (a)  $f(x) = 4x^2 - 10x$   
To prove that  $f(x)$  is polynomial, show that  
 $f(x) \leq C f(x/2) \quad \forall x > x_0, C > 1$

$$f(x/2) = \frac{4x^2}{4} - \frac{10x}{2} = x^2 - 5x$$

$$4x^2 - 10x \leq C(x^2 - 5x)$$

$$4x^2 - 10x \leq Cx^2 - 5Cx$$

$$x(4-C) \leq 10 - 5C$$

Let  $C = 4$

$$0 \leq 10 \Rightarrow C \text{ cannot be 4}$$

Let  $C = 5$

$$x(4-5) \leq 10 - 5(5)$$

$$x \geq 15$$

$\Rightarrow \boxed{C=5}$   
 $x_0 = 14$

(Also note:  $f(x)$  is eventually  $\geq 0$  [ $x=0$ ] and)  
is an increasing function

Q6(b)  $f(x) = 2^x - 10x$

$$f(x-k) = 2^{x-k} - 10(x-k)$$

Given:  $k=1$

$$f(x-1) = 2^{x-1} - 10(x-1)$$

Show that  $\forall x > x_0 \Rightarrow f(x) > C f(x-1)$

$$2^x - 10x > C [2^{x-1} - 10(x-1)]$$

$$2^x - 10x > C \cdot 2^{x-1} - C \cdot 10x + 10C$$

Let  $C=2$

$$2^x - 10x > 2 \cdot 2^{x-1} - 2 \cdot 10x + 10(2)$$

$$-10x > -20x + 20$$

$$10x > 20$$

$$x > 2$$

$\Rightarrow \boxed{C=2}$  (also note:  $f$  is eventually  $> 0$  [ $x=6$ ] and an increasing function)

(Q16) (c)  $f(x) = \frac{2^x}{x!}$

$$f(x-k) = \frac{2^{x-k}}{(x-k)!}$$

Show that  $f(x) < f\left(\frac{x-k}{c}\right) \quad \forall x > x_0$

Given,  $k=1$

$$f(x-1) = \frac{2^{x-1}}{(x-1)!}$$

$$\frac{2^x}{x!} < \frac{2^{x-1}}{(x-1)!} \times \frac{1}{c}$$

$$\frac{2^x}{x \times (x-1)!} < \frac{2^x}{2(x-1)!} \times \frac{1}{c}$$

$$c < \frac{x}{2}$$

Let  $c=2$

$$2 < \frac{x}{2} \Rightarrow x > 4$$

$$\Rightarrow \boxed{c=2 \\ x_0=4}$$

④(d) Since  $f(x)$  and  $g(x)$  are polynomial type,

$$\Rightarrow f(x) \leq C_1 f(x/2) \quad \forall x > x_{og}$$

$$g(x) \leq C_2 g(x/2) \quad \forall x > x_{og}$$

Let  $x_0 = \max(x_{og}, x_{og})$  such that at  $\forall x > x_0$ ,  
both  $f(x)$  and  $g(x)$  are polynomial.

$$\begin{aligned} h(x) &= f(x) \cdot g(x) \\ &\leq C_1 f(x/2) \cdot C_2 g(x/2) \\ &\leq C_1 C_2 f(x/2) g(x/2) \end{aligned}$$

$$h(x) \leq C h(x/2), \text{ where } C = C_1 C_2, h(x/2) = f(x/2) \cdot g(x/2)$$

$\Rightarrow h(x) := f(x) \cdot g(x)$  is also polynomial, showing that  
polynomial functions are closed under  
multiplication.

$$\textcircled{Q6} \text{ (c) } S_{f(n)} = \sum_{i=1}^n i^7 \log^3 i$$

Let  $f(x) = x^7 \log^3 x \Rightarrow f(x)$  is eventually  $\geq 0$  [ $x=1$ ] and increasing

$$f(x/2) = \frac{x^7}{2^7} \log^3\left(\frac{x}{2}\right)$$

$$x^7 \log^3 x \leq C \frac{x^7}{2^7} \log^3\left(\frac{x}{2}\right)$$

$$\log^3 x \leq \frac{C}{2^7} (\log x - \log 2)^3$$

At large values of  $x$ ,  $(\log x - \log 2)^3 \approx \log^3 x$

$$\Rightarrow \log^3 x \leq \frac{C}{2^7} \log^3 x$$

$\Rightarrow C \geq 128 \Rightarrow f(x)$  is polynomial type function

$$\Rightarrow S_{f(n)} = \Theta(n \times n^7 \log^3 n)$$

$$S_{f(n)} = \Theta(n^8 \log^3 n)$$

$$\textcircled{Q6} \textcircled{Q7} \text{ (ii)} S_{f(n)} = \sum_{i=1}^n i^2 \log i$$

Let  $f(x) = \frac{x^2}{\log x}$   $\Rightarrow f(x)$  is eventually  $\geq 0$  [ $x=2$ ] and is an increasing function

$$f(x/2) = \frac{x^2}{4 \log(x/2)}$$

$$\frac{x^2}{\log x} \leq C \frac{x^2}{4 \log(x/2)}$$

$$C \geq \frac{4 \log x/2}{\log x} = \frac{4(\log x - \log 2)}{\log x}$$

At large values of  $x$ ,  $(\log x - \log 2) \approx \log x$

$$\Rightarrow C \geq \frac{4}{\log x} \Rightarrow C \geq 4$$

$\Rightarrow f(x)$  is a polynomial type function

$$\Rightarrow S_{f(n)} = \Theta\left(\frac{n^2}{\log n}\right)$$

$$(Q6) (e) (iii) S_{f(n)} = \sum_{i=1}^n \frac{2^i}{i^5 \log i}$$

$$\text{Let } f(x) = \frac{2^x}{x^5 \log x}$$

$$f(x-k) = \frac{2^{x-k}}{(x-k)^5 \log(x-k)}$$

$$\frac{2^x}{x^5 \log x} \geq C \cdot \frac{2^{x-k}}{(x-k)^5 \log(x-k)}$$

$$\text{Let } k=1$$

$$\frac{2^x}{x^5 \log x} \geq C \cdot \frac{2^{x-1}}{(x-1)^5 \log(x-1)}$$

$$\frac{2}{x^5 \log x} \geq C \cdot \frac{1}{(x-1)^5 \log(x-1)}$$

$$\frac{2}{x^5 \log x} \geq \frac{C}{x^5 \times (1-\frac{1}{x})^5 [\log x + \log(1-\frac{1}{x})]}$$

At large values of  $x$ ,  $(1-\frac{1}{x})^5 \approx 1$  and  $\log(1-\frac{1}{x}) \approx 0$

$$\Rightarrow \frac{2}{\log x} \geq \frac{C}{\log x} \Rightarrow C \leq 2$$

$\Rightarrow$  For  $C=2$ ,  $k=1$ ,  $f(x)$  is exponentially increasing

$$S_{f(n)} = \Theta\left(\frac{2^n}{n^5 \log n}\right)$$

(Q7) (a)  $n^{\lg n}$

(i)  $\lg n = \log_2 n \Rightarrow 2^{\lg n} = n$   
 $\Rightarrow (2^{\lg n})^{\lg n} = \boxed{2}$

(b)  $2^{2^{\lg \lg n}-1}$   
 $= 2^{2^{\lg \lg n}-1}$   
 $= 2^{2^{\lg \lg n}/2}$   
 $= 2^{\lg n/2} \quad [2^{\lg \lg n} = \lg n]$   
 $= (2^{\lg n})^{1/2} \quad [2^{\lg n} = n]$   
 $= n^{1/2} = \boxed{\sqrt{n}}$

(c)  $\sum_{i=0}^{k-1} 2^i$   
 $= 2^0 + 2^1 + 2^2 + \dots + 2^{k-1}$   
 $= 1 + 2 + 4 + \dots + 2^{k-1}$   
 $= \frac{2^k - 1}{2 - 1} \quad [\text{Sum of geometric series}]$   
 $= \boxed{2^k - 1}$

(ii)  $n^{\log_c n}$   
 $n = c^{\log_c n}$   
 $\Rightarrow (c^{\log_c n})^{\log_c n} = \boxed{c}$

(b)  $c^{c^{\log_c \log_c n}-1}$   
 $= c^{c^{\log_c \log_c n}/c}$   
 $= c^{\log_c^n/c}$   
 $= (n)^{1/c}$   
 $= \boxed{c\sqrt[n]{n}}$

(c)  $\sum_{i=0}^{k-1} c^i$   
 $= c^0 + c^1 + c^2 + \dots + c^{k-1}$   
 $= \boxed{\frac{c^k - 1}{c - 1}}$

$$(d) 2^{(\lg n)^2}$$

$$= 2^{\lg n \lg n}$$

$$= \boxed{n^{\lg n}} \quad [2^{\lg n} = n]$$

$$(d) (\log_c n)^c$$

$$= c^{(\log_c n)^{c-1}} (\log_c n)$$

$$= \boxed{n^{(\log_c n)^{c-1}}}$$

$$(e) 4^{\lg n}$$

$$= (2^2)^{\lg n}$$

$$= 2^{2 \lg n}$$

$$= 2^{\lg n^2}$$

$$= \boxed{n^2}$$

$$[a \lg n = \lg n^a]$$

$$(e) (c^c)^{\log_c n}$$

$$= c^{c \log_c n}$$

$$= c^{\log_c n^c}$$

$$= \boxed{n^c}$$

$$(f) (\sqrt{2})^{\lg n}$$

$$= (2^{1/2})^{\lg n}$$

$$= 2^{\lg \sqrt{n}}$$

$$= \boxed{\sqrt{n}}$$

$$[a \lg n = \lg n^a]$$

$$(f) (c^{1/c})^{\log_c n}$$

$$= c^{\log_c n^{1/c}}$$

$$= \boxed{n^{1/c}}$$