Imperial College London

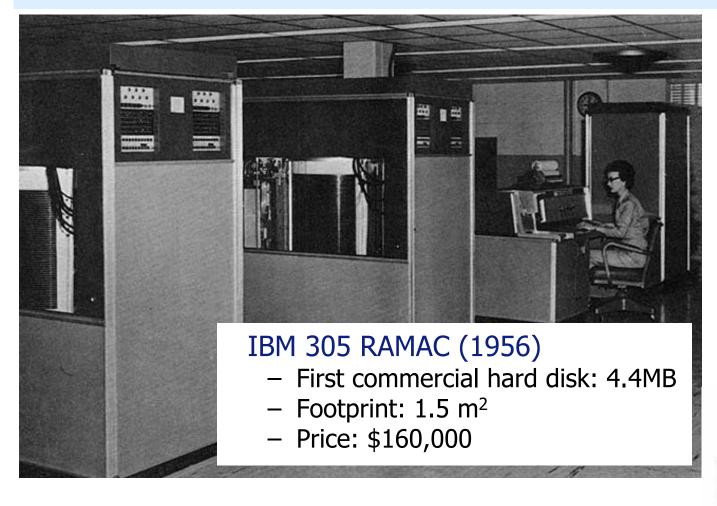
Operating Systems

Disk Management



Disks, SSDs, RAID, Caching

Disks have come a long way...



Toshiba 0.85" disk (2005)

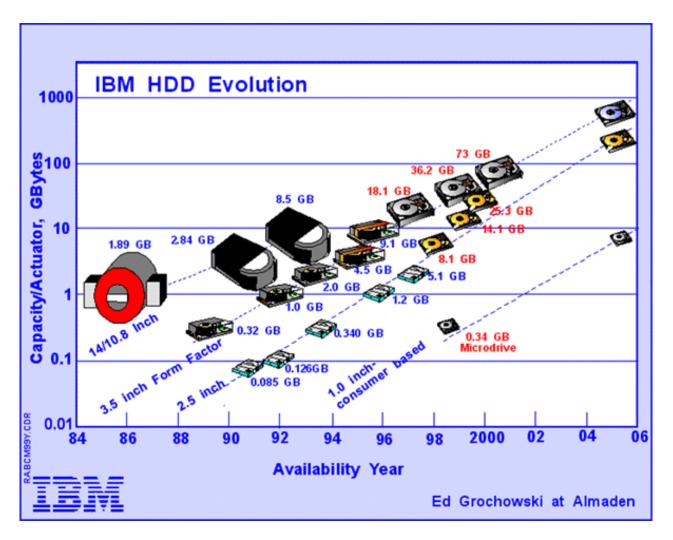
- Capacity: 4GB

- Price: <\$300

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Disk Evolution

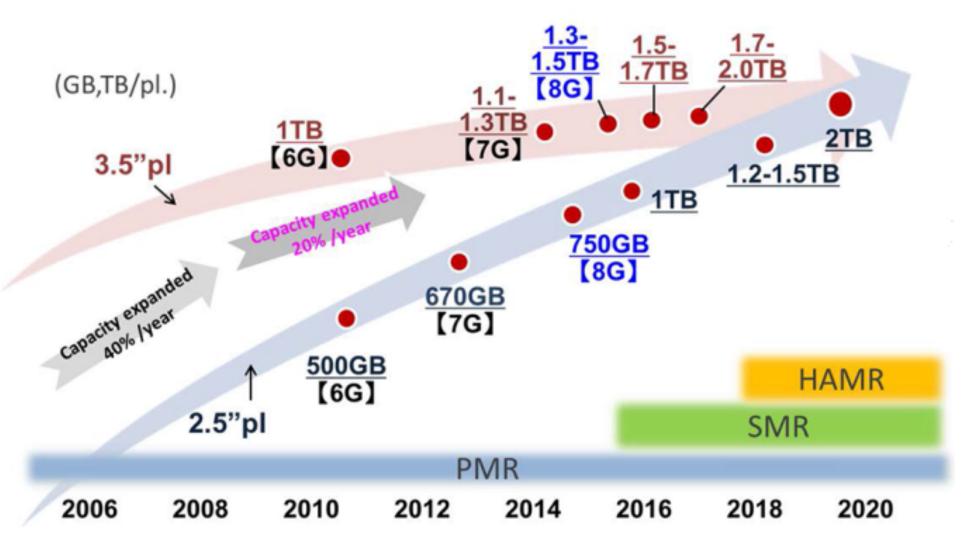


Capacity increases exponentially

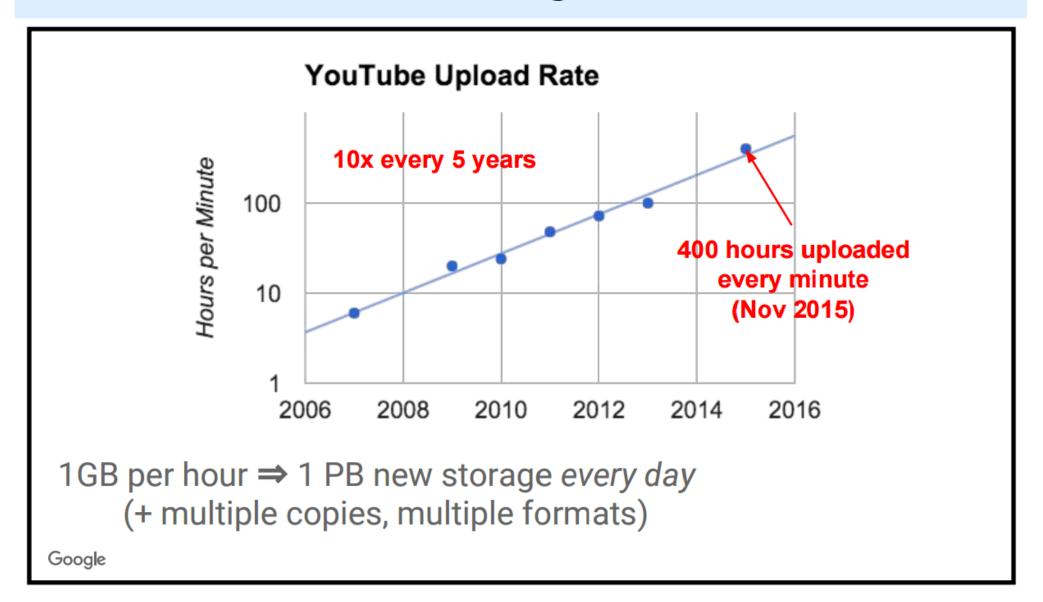
Access speeds not so much... (why?)

Disk Evolution

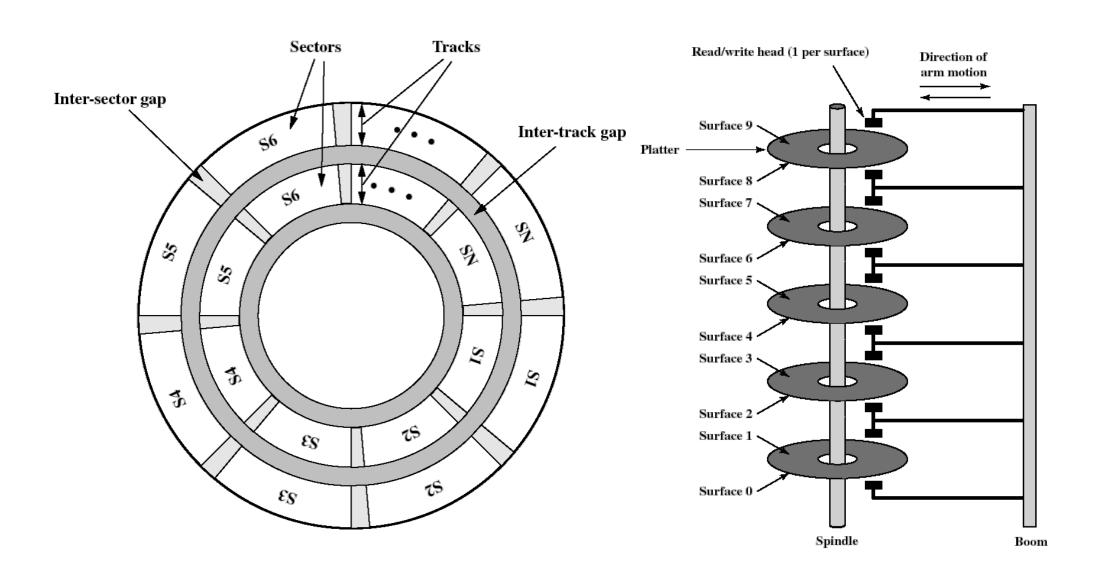
[Road map for storage density increase] (SDK forecast)



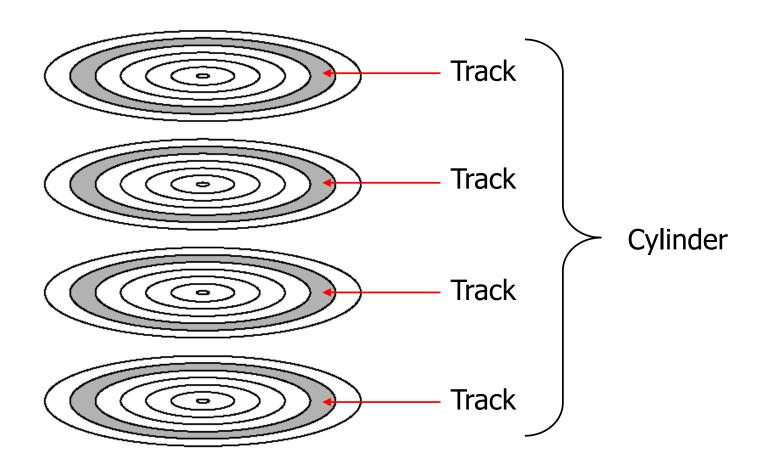
What is driving demand?



Disk Storage Devices



Tracks and Cylinders



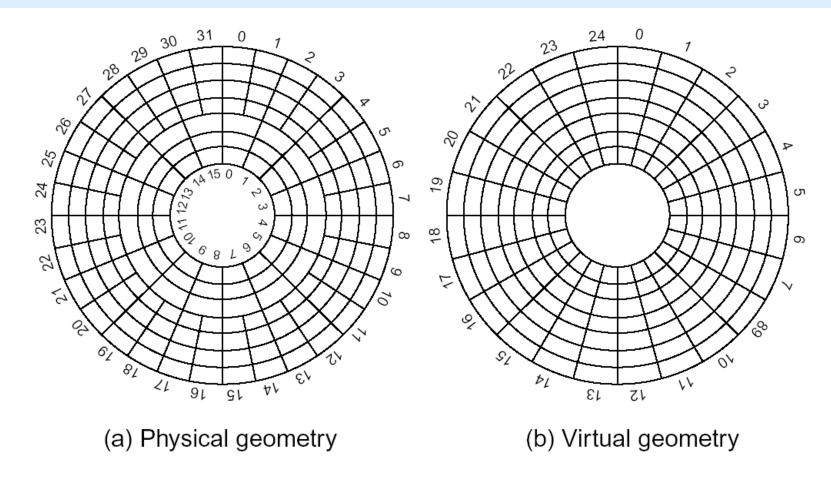
Sample Disk Specification





Parameter	IBM 360KB floppy disk	Seagate Barracuda ST3400832AS
No. of cylinders	40	16,383
Tracks / cylinder	2	16
Sectors / track	9	63
Bytes / sector	512	512
Sectors / disk	720	781,422,768
Disk capacity	360KB	400GB

Sector Layout



Surface divided into 20 or more zones

- Outer zones have more sectors per track
- Ensures that sectors have same physical length
- Zones hidden using virtual geometry

Disk Addressing

Physical hardware address: (cylinder, surface, sector)

But actual geometry complicated → hide from OS

Modern disks use **logical sector addressing** (or logical block addresses LBA)

- Sectors numbered consecutively from 0..n
- Makes disk management much easier
- Helps work around BIOS limitations
 - Original IBM PC BIOS 8GB max
 - 6 bits for sector, 4 bits for head, 14 bits for cylinder

Disk Capacity

Disk capacity statements can be confusing!

```
1 KB = 2^{10} bytes = 1024 bytes vs 1 KB = 10^3 bytes = 1000 bytes 1 MB = 2^{20} bytes = 1024^2 bytes vs 1 MB = 10^6 bytes = 1000^2 bytes 1 GB = 2^{30} bytes = 1024^3 bytes vs 1 GB = 10^9 bytes = 1000^3 bytes
```

For the exam: just make it consistent

Disk Formatting

Before disk can be used, it must be formatted:

- Low level format
 - Disk sector layout

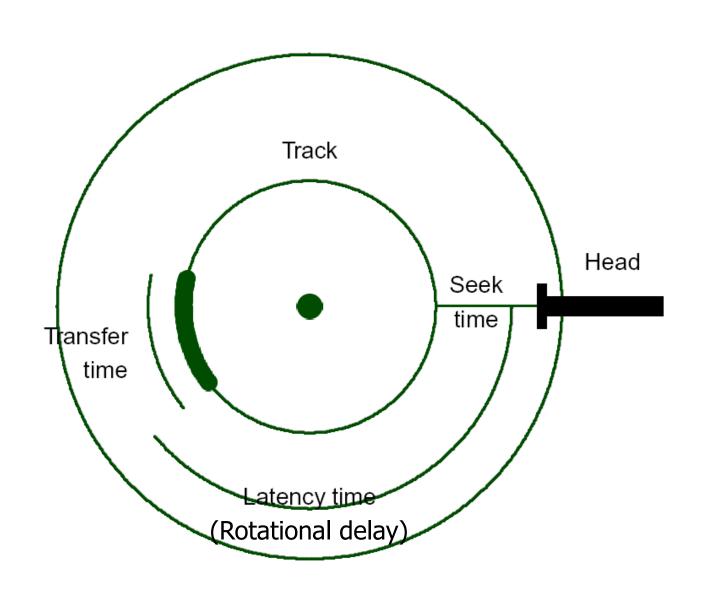
Preamble	Data	ECC
----------	------	-----

- Cylinder skew
- Interleaving

High level format

- Boot block
- Free block list
- Root directory
- Empty file system

Disk Delays I



Disk Delays II

Typical disk has:

Sector size: 512 bytes

Seek time (adjacent cylinder): <1 ms

Seek time (average): 8 ms

Rotation time (average latency): 4 ms

<u>Transfer rate</u>: up to 100MB per sec

Disk scheduling

- Minimise seek and/or latency times
- Order pending disk requests with respect to <u>head position</u>

Seek time approx. 2-3 larger than latency time

More important to optimise

Disk Performance

Seek time: t_{seek} Latency time (rotational delay): $t_{latency} = \frac{1}{2\pi}$

Transfer time:
$$t_{transfer} = \frac{b}{rN}$$

where

b - number of bytes to be transferred

N - number of bytes per track

r - rotation speed in revolutions per second

Total access time: $t_{access} = t_{seek} + \frac{1}{2r} + \frac{b}{rN}$

Example: Disk Performance

Example:

Average seek time: 10ms

512 byte sectors

File size: 2560 sectors (1.3 MB)

Rotation speed: 10,000 rpm

320 sectors per track

Case A:

- Read file stored as compactly as possible on disk
 - i.e. file occupies all sectors on 8 adjacent tracks
 - 8 tracks x 320 sectors/track = 2560 sectors

Case B:

Read file with all sectors randomly distributed across disk

Answer: Disk Performance

Case A:

Time to read first track

```
Average seek = 10 \text{ ms}

Rotational delay = 3 \text{ ms} = 1 / [2 * (10,000 / 60)]

Read 320 sectors = 6 \text{ ms} = b / (N * (10,000 / 60)]

19 \text{ ms}
```

- Time to read next track = 3 ms + 6 ms = 9 ms
- Total time = $19 \text{ ms} + 7 \times 9 \text{ ms} = 82 \text{ ms} = 0.082 \text{ seconds}$

Case B:

```
Average seek = 10 \text{ ms}

Rotational delay = 3 \text{ ms}

Read 1 sector = 0.01875 \text{ ms} = 512 / [512*320 * (10,000/60)]

13.01875 \text{ ms}
```

- Total time = $2560 \times 13.01875 \text{ ms} = 33.328 \text{ seconds}$

Disk Scheduling

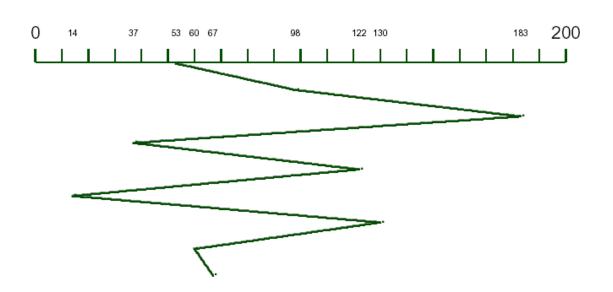
First Come First Served (FCFS)

No ordering of requests: random seek patterns

- OK for lightly-loaded disks
- But poor performance for heavy loads
- Fair scheduling

Queue: 98, 183, 37, 122, 14, 130, 60, 67

Head starts at 53



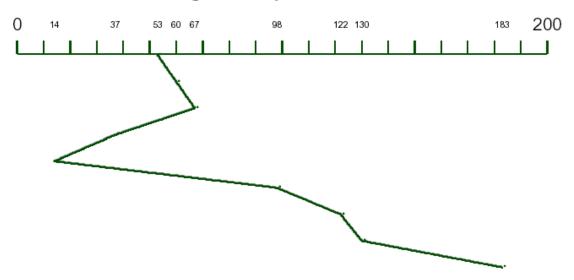
Shortest Seek Time First (SSTF)

Order requests according to <u>shortest seek distance</u> from current head position

- Discriminates against innermost/outermost tracks
- Unpredictable and unfair performance

Queue: 98, 183, 37, 122, 14, 130, 60, 67

- Head starts at 53
- If, when handling request at 14, new requests arrive for 50, 70, 100, → long delay before 183 serviced



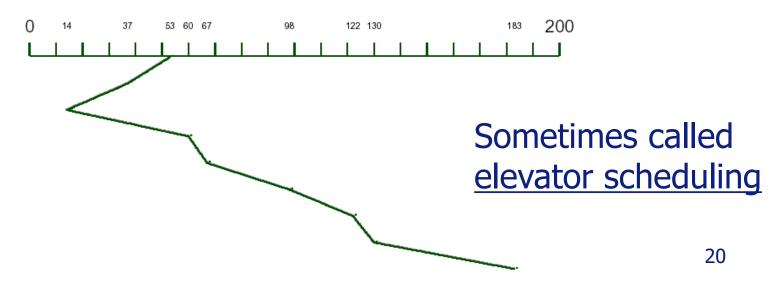
SCAN Scheduling

Choose requests which result in shortest seek time in preferred direction

- Only change direction when reaching outermost/innermost cylinder (or no further requests in preferred direction)
- Most common scheduling algorithm
- Long delays for requests at extreme locations

Queue: 98, 183, 37, 122, 14, 130, 60, 67

Head starts at 53; direction: towards 0



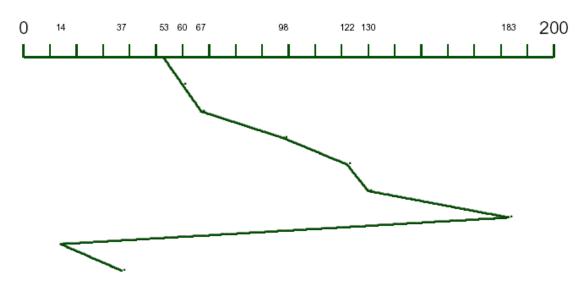
C-SCAN

Services requests in one direction only

- When head reaches innermost request, jump to outermost request
- Lower variance of requests on extreme tracks
- May delay requests indefinitely (though less likely)

Queue: 98, 183, 37, 122, 14, 130, 60, 67

Head starts at 53



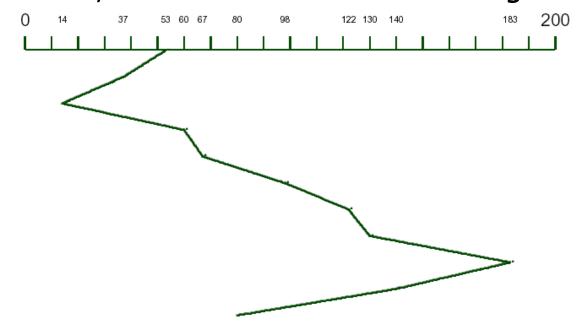
N-Step SCAN

As for SCAN, but services only requests waiting when sweep began

- Requests arriving during sweep serviced during return sweep
- Doesn't delay requests indefinitely

Queue: 98, 183, 37, 122, 14, 130, 60, 67

- Head starts at 53; direction: towards 0.
- Requests 80, 140 arrive when head moving outwards



Linux: Disk Scheduling

I/O requests placed in **request list**

- One request list for each device in system
- bio structure: associates memory pages with requests

Block device drivers define **request** operation called by kernel

- Kernel passes ordered request list
- Driver must perform all operations in list
- Device drivers do not define read/write operations

Some devices drivers (e.g. RAID) order their own requests

Bypass kernel for request list ordering (why?)

Linux: Disk Scheduling Algorithms

Default: variation of SCAN algorithm

- Kernel attempts to merge requests to adjacent blocks
- But: synchronous read requests may starve during large writes

Deadline scheduler: ensures reads performed by deadline

Eliminates read request starvation

Anticipatory scheduler: delay after read request completes

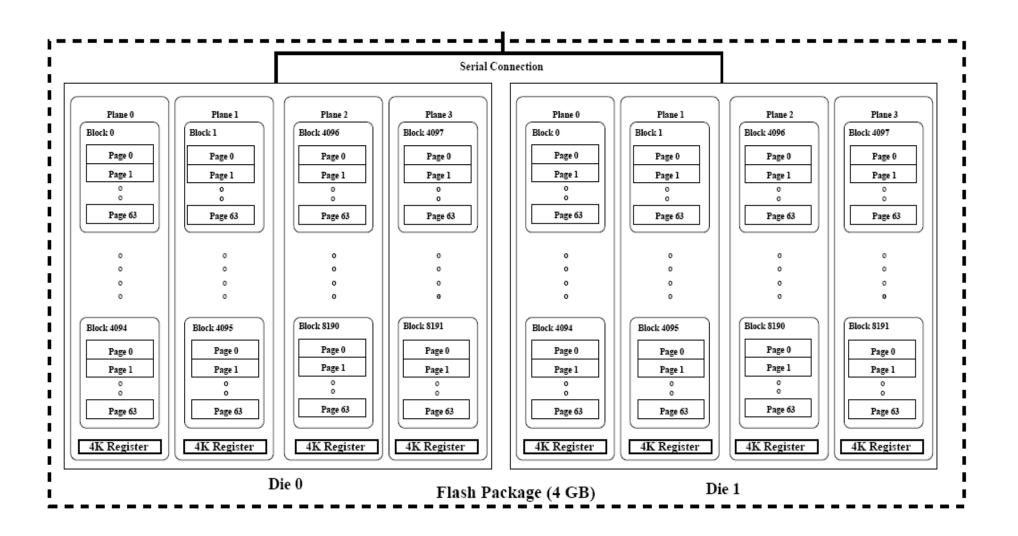
- Idea: process will issue another synchronous read operation before its quantum expires
- Reduces excessive seeking behaviour
- Can lead to reduced throughput if process does not issue another read request to nearby location
 - Anticipate process behaviour from past behaviour

Solid State Drives (SSDs)



http://blog.digistor.com/under-the-hood-industrial-ssd-advancements/

Internals

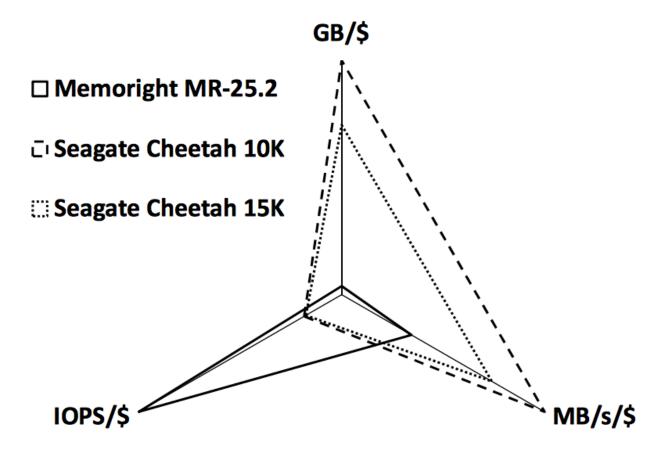


SSDs vs HDDs

SSDs

- More bandwidth (1GB/s read/write vs 100MB/s)
- Smaller latencies (microseconds vs milliseconds)
- So SSDs are always better?

Detailed tradeoffs



If you care about IOPS/\$, then choose SSDs YouTube doesn't run on SSDs (2017)

RAID

RAID

Problem:

- CPU performance doubling every 18 months
- Disk performance has increased only 10 times since 1970

Solution: Use parallel disk I/O

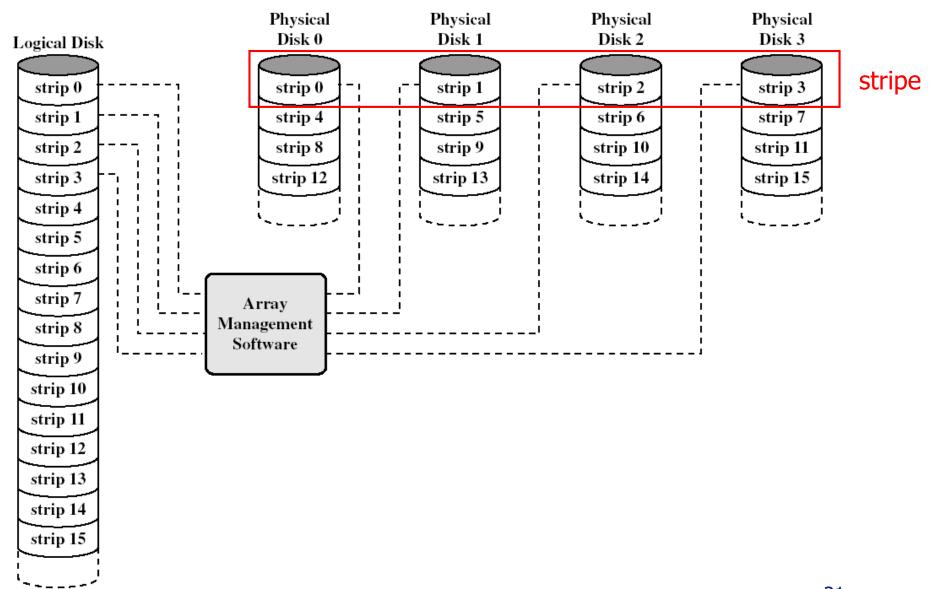
RAID (Redundant Array of Inexpensive Disks)

- Use array of physical drives appearing as single virtual drive
- Stores data distributed over array of physical disks to allow parallel operation (called **striping**)

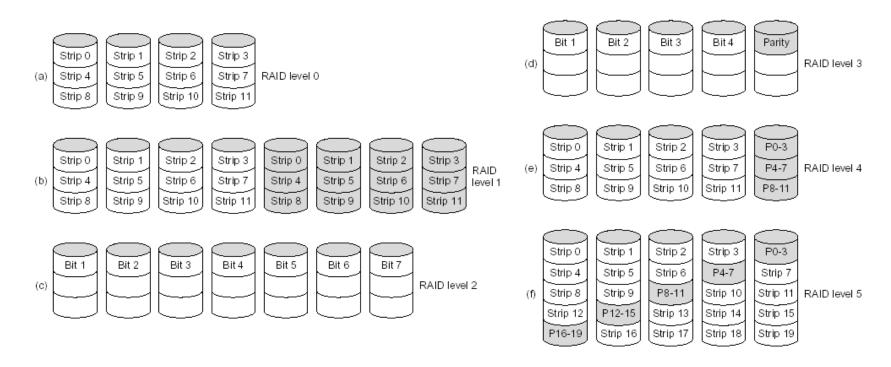
Use redundant disk capacity to respond to disk failure

More disks ⇒ lower mean-time-to-failure (MTTF)

RAID: Striping



RAID Levels



RAID levels with different properties in terms of

- performance characteristics
- level of redundancy
- degree of space efficiency (cost)

Some other these are of historic interest...

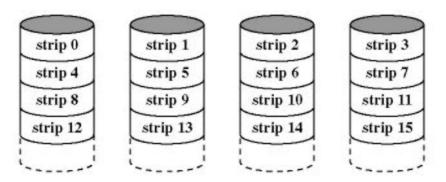
RAID Level 0 (Striping)

Use multiple disks and spread out data

Disks can seek/transfer data concurrently

Also may balance load across disks

No redundancy → no fault tolerance

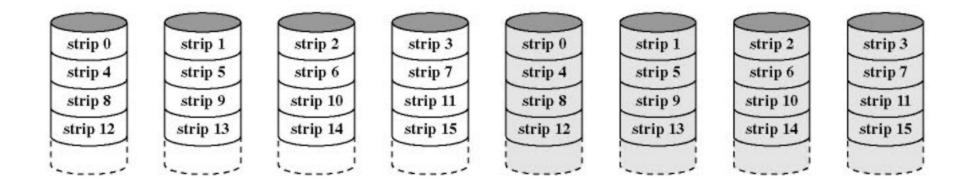


RAID Level 1 (Mirroring)

Mirror data across disks

Reads can be serviced by either disk (fast)
Writes update both disks in parallel (slower)
Failure recovery easy

High storage overhead (high cost)



RAID Level 2 (Bit-Level Hamming)

Parallel access by striping at bit-level

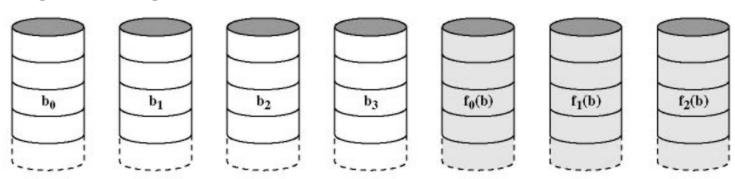
- Use Hamming <u>error-correcting code</u> (ECC)
- Corrects single-bit errors (and detect double-bit errors)

Very high throughput for reads/writes

- But all disks participate in I/O requests (no concurrency)
- Read-modify-write cycle

Only used if high error rates expected

- ECC disks become bottleneck
- High storage overhead



RAID Level 3 (Byte-Level XOR)

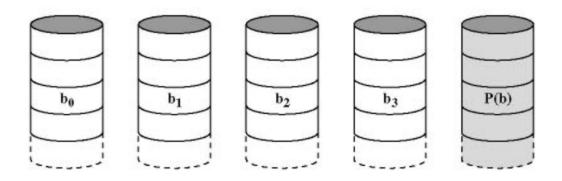
Only single parity strip used

Parity = data1 XOR data2 XOR data3 ...

Reconstruct missing data from parity and remaining data

Lower storage overhead than RAID L2

But still only one I/O request can take place at a time



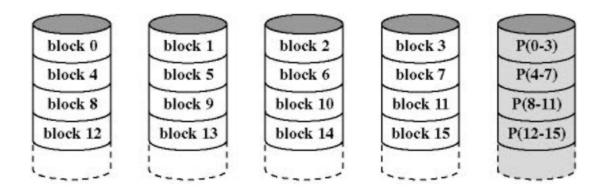
RAID Level 4 (Block-Level XOR)

Parity strip handled on block basis

Each disk operates independently

Potential to service multiple reads concurrently Parity disk tends to become bottleneck

Data and parity strips must be updated on each write



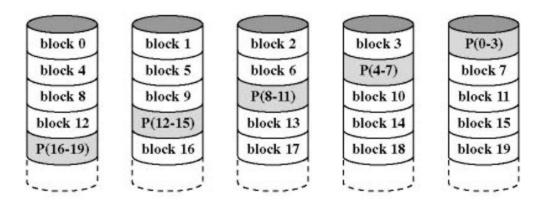
RAID Level 5 (Block-Level Distributed XOR)

Like RAID 4, but distribute parity

Most commonly used

Some potential for write concurrency Good storage efficiency/redundancy trade-off

Reconstruction of failed disk non-trivial (and slow)



RAID Summary

Category	Level	Description	I/O Data Transfer (read/write)	I/O Request Rate (reads/writes)		
Striping	0	Non-redundant	+ / +	+ / +		
Mirroring	1	1 Mirrored + / 0		+ / 0		
Parallel access	2	Redundant via Hamming code	++ / ++	0 / 0		
	3	Bit interleaved parity	++ / ++	0 / 0		
Independent access	4	Block interleaved parity	+ / -	+ / -		
	5	Block interleaved distributed parity	+ / -	+ / - or 0		

better than single disk (+) / same (0) / worse (-)

Disk Caching

Disk Cache

Idea: Use main memory to improve disk access

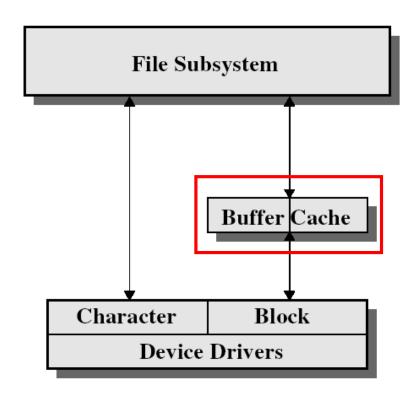
Buffer in main memory for disk sectors

- Contains copy of some sectors from disk
- OS manages disk in terms of blocks
 - Multiple sectors for efficiency
 - cf. Device Management (block devices)

Buffer uses finite space

Need replacement policy when buffer full

Buffer Cache



Least Recently Used (LRU)

Replace block that was in cache longest with no references

Cache consists of stack of blocks

- Most recently referenced block on top of stack
- When block referenced (or brought into cache),
 place on top of stack
- Remove block at bottom of stack when new block brought in

Don't move blocks around in main memory

Use stack of <u>pointers</u> instead

Problem: Doesn't keep track of block "popularity"

Least Frequently Used (LFU)

Replace block that has experienced fewest references

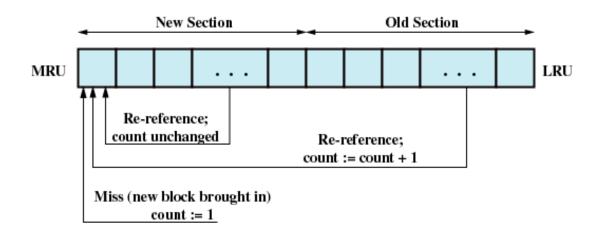
Counter associated with each block

- Counter incremented each time block accessed
- Block with smallest count selected for replacement

Some blocks may be referenced many times in short period of time

- Leads to misleading reference count
- Use frequency-based replacement

Frequency-Based Replacement



Divide LRU stack into two sections: new and old

- Block referenced → move to top of stack
- Only increment reference count if not already in new

Problem: blocks "age out" too quickly (why?)

- Use three sections and only replace blocks from old

	New Section		-	Middle Section		n -	Old Section			-	
MRU										LRU	