

**CO202 – Software Engineering – Algorithms**  
**Dynamic Programming**

Ben Glocker  
Huxley Building, Room 377  
[b.glocker@imperial.ac.uk](mailto:b.glocker@imperial.ac.uk)

# Algorithm Design

How to design an (efficient) algorithm?

Structure the problem!\*

Make use of **algorithmic schemes/design paradigms**

- Incremental Approach
- Divide and Conquer (last week)
- **Dynamic Programming** (this week)
- Greedy Algorithms (next week)

\*Take some time and think!

# Dynamic Programming Principle

- DP solves problems by **combining** the solutions to **subproblems** (similar to Divide and Conquer)
- In D&C subproblems do not overlap: disjoint partitioning
- In DP subproblems **overlap**: subproblems share subsubproblems
- A DP algorithm solves each subproblem just once and **saves its answer in a table**, avoiding recomputations

The term “Programming” refers to a **tabular** method, not to writing computer code. DP is typically applied to **optimisation problems**.

# Optimisation Problems

- Such problems can have many possible solutions
- Each solution has a value (e.g. a *cost* or an *energy*)
- Optimisation seeks for a solution with the optimal (minimum or maximum) value:  $an^*$  optimal solution

\*Note: there could be several solutions with the same optimal value

# Dynamic Programming

When developing a DP algorithm, follow four steps:

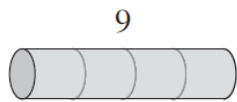
1. Characterize the structure of an optimal solution
2. Recursively define the value of an optimal solution
3. Compute the value of an optimal solution, typically in a bottom-up fashion
4. Construct an optimal solution from computed information

# Example: Rod Cutting

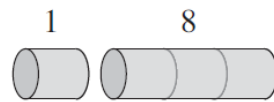
Given a rod of length  $n$  metres and a table of prices  $p_i$  for  $i = 1, 2, \dots, n$ , determine the maximum revenue  $r_n$  obtainable by cutting up the rod and selling the pieces.

length $i$	1	2	3	4	5	6	7	8	9	10
price $p_i$	1	5	8	9	10	17	17	20	24	30

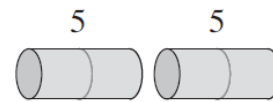
Possible cuts for rod of length  $n = 4$



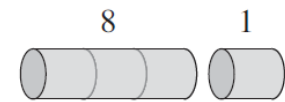
(a)



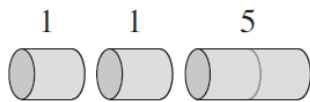
(b)



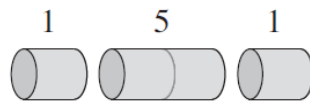
(c)



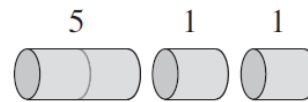
(d)



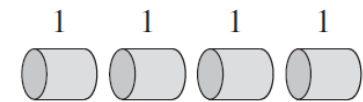
(e)



(f)



(g)



(h)

[Cormen] p.361

## Example: Rod Cutting (cont'd)

A rod of length  $n$  can be cut up in  $2^{n-1}$  different ways\*

\*we assume only integer-valued cuts are possible

- An optimal solution cuts the rod into  $k$  pieces, for some  $1 \leq k \leq n$

- Optimal decomposition is then

$$n = i_1 + i_2 + \cdots + i_k$$

- Providing maximal revenue

$$r_n = p_{i_1} + p_{i_2} + \cdots + p_{i_k}$$

# Example: Rod Cutting (cont'd)

Optimal solutions for all  $1 \leq i \leq 10$

Max Revenue	Optimal Decomposition
$r_1 = 1$	$1 = 1$ (no cuts)
$r_2 = 5$	$2 = 2$ (no cuts)
$r_3 = 8$	$3 = 3$ (no cuts)
$r_4 = 10$	$4 = 2 + 2$
$r_5 = 13$	$5 = 2 + 3$
$r_6 = 17$	$6 = 6$ (no cuts)
$r_7 = 18$	$7 = 1 + 6$ or $7 = 2 + 2 + 3$
$r_8 = 22$	$8 = 2 + 6$
$r_9 = 25$	$9 = 3 + 6$
$r_{10} = 30$	$10 = 10$ (no cuts)



# Recursive Rod Cutting

The maximum revenue  $r_n$  can be determined by considering the revenues for shorter rods:

$$r_n = \max(p_n, r_1 + r_{n-1}, r_2 + r_{n-2}, \dots, r_{n-1} + r_1)$$

or more compact (with  $r_0 = 0$ )

$$r_n = \max_{1 \leq i \leq n} (p_i + r_{n-i})$$

The rod-cutting problem exhibits **optimal substructure**: optimal solutions to a problem incorporate optimal solutions to related subproblems.

# Recursive Rod Cutting (cont'd)

## Top-down Implementation

CUT-ROD(p,n)

```
1: if n == 0                                # if no rod
2:     return 0                             # then no revenue
3: q = -∞                                    # initialise revenue
4: for i = 1 to n                           # search for maximum
5:     q = max(q, p[i] + CUT-ROD(p,n-i))
6: return q
```

What is the running time of the CUT-ROD algorithm?

$$T(n) = 2^n$$

Bad, bad...really bad!

# Recursive Rod Cutting (cont'd)

Exponential running time of CUT-ROD

$$T(n) = \begin{cases} 1, & \text{if } n = 0 \\ 1 + \sum_{j=0}^{n-1} T(j), & \text{if } n > 0 \end{cases}$$

Assumption:  $T(n) = 1 + \sum_{j=0}^{n-1} T(j) = 2^n$

Base case:  $T(0) = 1 = 2^0$

Holds.

Show for  $n+1$ :  $T(n+1) = 1 + \sum_{j=0}^n T(j)$

$$= 1 + \sum_{j=0}^{n-1} T(j) + T(n)$$

$$= 2T(n)$$

$$= 2(2^n)$$

$$= 2^{n+1}$$

Extract  $T(n)$  from the summation

Get twice the same term

Insert assumption

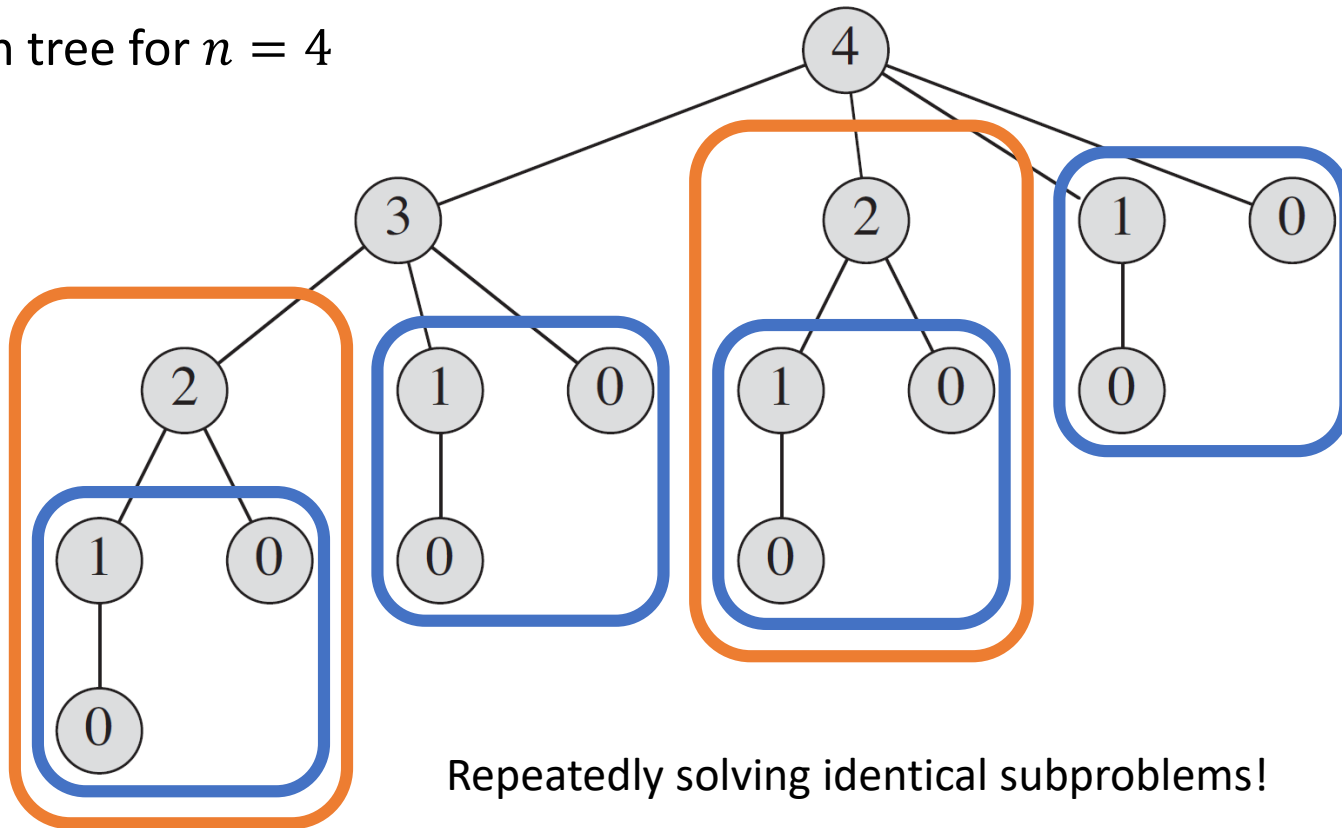
Done.

■

# Inefficiency of Recursive Rod Cutting

Why is CUT-ROD so inefficient?

Recursion tree for  $n = 4$



[Cormen] p.364

# The Dynamic Programming Approach

- Subproblems are solved **once**, and solutions are **saved**
- If we encounter a subproblem again, just **look up** the solution
- Dynamic programming uses additional memory, often yielding a **time-memory trade-off**
- Two strategies for implementing a DP algorithm:
  1. **Top-down with memoization\***
  2. **Bottom-up**

\*Note: not a typo, memoization comes from *memo*.

# Top-Down with Memoization

MEMOIZED-CUT-ROD( $p, n$ )

```
1: let  $r[0..n]$  be a new array
2: for  $i = 0$  to  $n$ 
3:    $r[i] = -\infty$ 
4: return MEMOIZED-CUT-ROD-AUX( $p, n, r$ )
```

MEMOIZED-CUT-ROD-AUX( $p, n, r$ )

```
1: if  $r[n] \geq 0$ 
2:   return  $r[n]$ 
3: if  $n == 0$ 
4:    $q = 0$ 
5: else
6:    $q = -\infty$ 
7:   for  $i = 1$  to  $n$ 
8:      $q = \max(q, p[i] + \text{MEMOIZED-CUT-ROD-AUX}(p, n-i, r))$ 
9:  $r[n] = q$ 
10: return  $q$ 
```

CUT-ROD( $p, n$ )

```
1: if  $n == 0$ 
2:   return 0
3:  $q = -\infty$ 
4: for  $i = 1$  to  $n$ 
5:    $q = \max(q, p[i] + \text{CUT-ROD}(p, n-i))$ 
6: return  $q$ 
```

# Bottom-Up Version of Rod Cutting

BOTTOM-UP-CUT-ROD( $p, n$ )

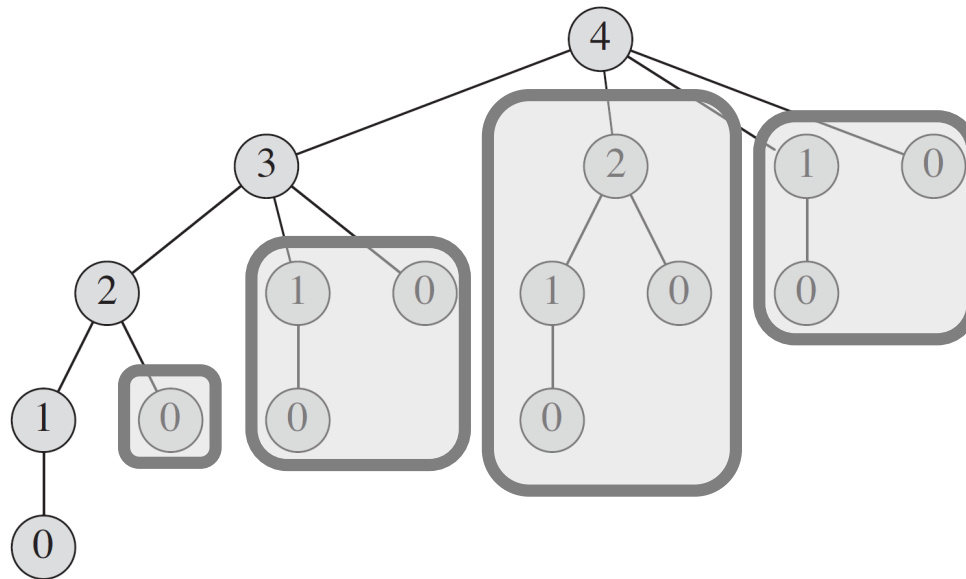
```
1: let  $r[0..n]$  be a new array
2:  $r[0] = 0$ 
3: for  $j = 1$  to  $n$ 
4:      $q = -\infty$ 
5:     for  $i = 1$  to  $j$ 
6:          $q = \max(q, p[i] + r[j-i])$ 
7:      $r[j] = q$ 
8: return  $r[n]$ 
```

What is the running time of BOTTOM-UP-CUT-ROD?

$$T(n) = n^2$$

# Memoization

- Memoization allows us to make top-down recursive algorithms as efficient as bottom-up approaches
- A memoized recursive algorithm maintains an entry in a table for the solution to each subproblem





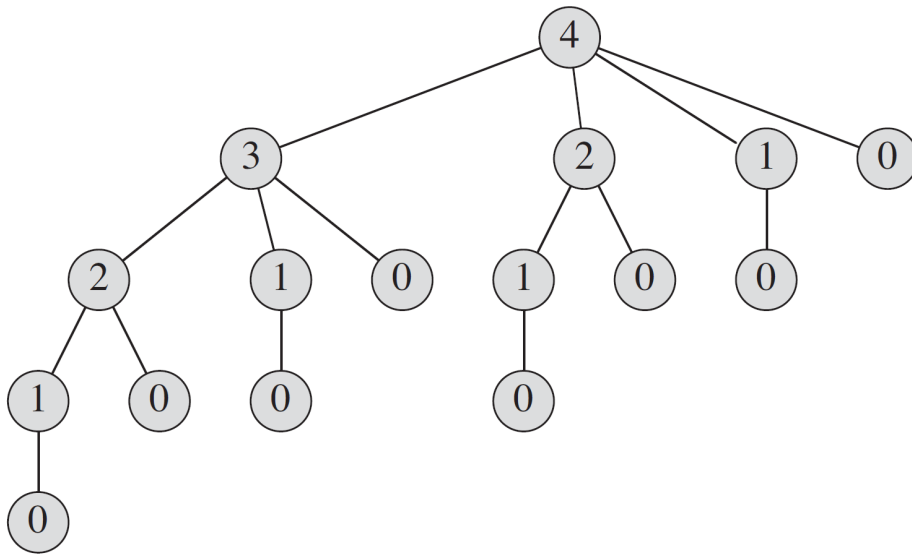
# Top-down vs. Bottom-up

Top-down with memoization and bottom-up have the same asymptotic running time

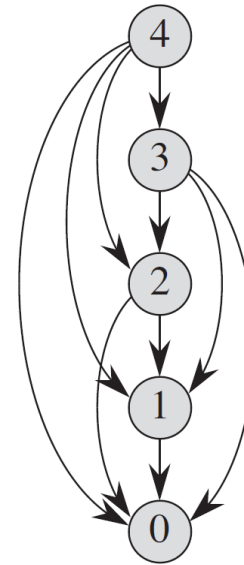
- Bottom-up is usually more efficient by a constant factor because there is no overhead for recursive calls
- Bottom-up might benefit from optimal memory access
- Top-down can avoid to compute solutions of subproblems that are not required
- Top-down implementation is closer to the natural, recursive definition of the problem

# Subproblem Graphs

Rod cutting with  $n = 4$



Recursion Tree



Subproblem Graph

# Reconstructing a Solution

Compute not only the maximum revenue, but also record the solution (i.e. the cut off pieces)

EXTENDED-BOTTOM-UP-CUT-ROD( $p, n$ )

```
1: let  $r[0..n]$  and  $s[1..n]$  be a new array
2:  $r[0] = 0$ 
3: for  $j = 1$  to  $n$ 
4:    $q = -\infty$ 
5:   for  $i = 1$  to  $j$ 
6:     if  $q < p[i] + r[j-i]$ 
7:        $q = p[i] + r[j-i]$ 
8:        $s[j] = i$ 
9:    $r[j] = q$ 
10: return  $r$  and  $s$ 
```

$i$	0	1	2	3	4	5	6	7	8	9	10
$r[i]$	0	1	5	8	10	13	17	18	22	25	30
$s[i]$		1	2	3	2	2	6	1	2	3	10

# Print a Solution

Print the list of piece sizes for an optimal decomposition of a rod of length  $n$

```
PRINT-CUT-ROD-SOLUTION(p,n)
```

```
1: (r,s) = EXTENDED-BOTTOM-UP-CUT-ROD(p,n)
```

```
2: while n > 0
```

```
3:     print s[n]
```

```
4:     n = n - s[n]
```

# Key Elements of Dynamic Programming

When should we consider DP?

- **Optimal substructure:** Optimal solution to a problem contains optimal solutions to subproblems
- **Overlapping subproblems:** The space of subproblems must be “small”. Subproblems are solved over and over, rather than generating new subproblems

# Longest Common Subsequence (LCS)

Consider the following two strands of DNA

A strand of DNA is a string over the finite set  $\{A, C, G, T\}$ , standing for bases adenine, cytosine, guanine, and thymine.

$$S_1 = \text{ACCGGTCGAGTGCGCGGAAGCCGGCCGAA}$$
$$S_2 = \text{GTCGTTCGGAATGCCGTTGCTCTGTAAA}$$

**How similar are these two strands?**

One way of defining similarity is to look for bases that appear in same order, but not necessarily consecutively

$$S_3 = \text{GTCGTCGGAAGCCGGCCGAA}$$

# Formal Definition of Subsequence

Given a sequence

$$X = \langle x_1, x_2, \dots, x_m \rangle$$

Another sequence

$$Z = \langle z_1, z_2, \dots, z_k \rangle$$

is a **subsequence** of  $X$  if there exists a strictly increasing sequence  $\langle i_1, i_2, \dots, i_k \rangle$  of indices of  $X$  such that for all  $j = 1, 2, \dots, k$  we have  $x_{i_j} = z_j$ .

Example:  $Z = \langle B, C, D, B \rangle$  is a subsequence of  $X = \langle A, B, C, B, D, A, B \rangle$  with corresponding index sequence  $\langle 2, 3, 5, 7 \rangle$ .

# Common Subsequence

Given two sequences  $X$  and  $Y$ , then the sequence  $Z$  is a **common subsequence** if it is a subsequence of  $X$  and  $Y$ .

Example:  $X = \langle A, B, C, B, D, A, B \rangle$  and  $Y = \langle B, D, C, A, B, A \rangle$  then  $Z = \langle B, C, A \rangle$  is a common subsequence. What is the LCS?

## The LCS Problem

Given two sequences  $X$  and  $Y$ , find a maximum-length common subsequence. We will solve this using DP!



# Reminder: Dynamic Programming

When developing a DP algorithm, follow four steps:

1. Characterize the structure of an optimal solution
2. Recursively define the value of an optimal solution
3. Compute the value of an optimal solution
4. Construct an optimal solution

# LCS – Step 1: Characterize the Structure

Brute-force: Enumerate all subsequences of  $X$  and check each whether it is also a subsequence of  $Y$ . **Impractical!**

**Definition of prefix:** The  $i$ th prefix of  $X$ , for  $i = 0, 1, \dots, m$ , is defined as  $X_i = \langle x_1, x_2, \dots, x_i \rangle$  with  $X_0$  being the empty sequence

Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

# LCS – Step 1: Characterize the Structure

Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

$$X_7 = \langle A, B, C, B, D, A, B \rangle$$

$$Y_6 = \langle B, D, C, A, B, A \rangle$$

$$Z_4 = \langle B, C, A, B \rangle$$

# LCS – Step 1: Characterize the Structure

Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

$$X_7 = \langle A, B, C, B, D, A, \textcolor{red}{B} \rangle$$

$$Y_6 = \langle B, D, C, A, B, A \rangle$$

$$Z_4 = \langle B, C, A, \textcolor{red}{B} \rangle$$

# LCS – Step 1: Characterize the Structure

Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

$$X_7 = \langle A, B, C, B, D, A, B \rangle$$

$$Y_5 = \langle B, D, C, A, B, A \rangle$$

$$Z_4 = \langle B, C, A, B \rangle$$

# LCS – Step 1: Characterize the Structure

Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

$$X_7 = \langle A, B, C, B, D, A, \textcolor{red}{B} \rangle$$

$$Y_5 = \langle B, D, C, A, \textcolor{red}{B}, \textcolor{gray}{A} \rangle$$

$$Z_4 = \langle B, C, A, \textcolor{red}{B} \rangle$$

# LCS – Step 1: Characterize the Structure

Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

$$X_6 = \langle A, B, C, B, D, A, B \rangle$$

$$Y_4 = \langle B, D, C, A, B, A \rangle$$

$$Z_3 = \langle B, C, A, B \rangle$$

# LCS – Step 1: Characterize the Structure

Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

$$X_6 = \langle A, B, C, B, D, A, B \rangle$$

$$Y_4 = \langle B, D, C, A, B, A \rangle$$

$$Z_3 = \langle B, C, A, B \rangle$$



# LCS – Step 1: Characterize the Structure

Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

$$X_5 = \langle A, B, C, B, D, A, B \rangle$$

$$Y_3 = \langle B, D, C, A, B, A \rangle$$

$$Z_2 = \langle B, C, A, B \rangle$$

# LCS – Step 1: Characterize the Structure

Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

$$X_5 = \langle A, B, C, B, D, A, B \rangle$$

$$Y_3 = \langle B, D, C, A, B, A \rangle$$

$$Z_2 = \langle B, C, A, B \rangle$$

# LCS – Step 1: Characterize the Structure

Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

$$X_4 = \langle A, B, C, B, D, A, B \rangle$$

$$Y_3 = \langle B, D, C, A, B, A \rangle$$

$$Z_2 = \langle B, C, A, B \rangle$$

# LCS – Step 1: Characterize the Structure

Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

$$X_4 = \langle A, B, C, B, D, A, B \rangle$$

$$Y_3 = \langle B, D, C, A, B, A \rangle$$

$$Z_2 = \langle B, C, A, B \rangle$$

# LCS – Step 1: Characterize the Structure

Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

$$X_3 = \langle A, B, C, \textcolor{gray}{B}, \textcolor{gray}{D}, \textcolor{blue}{A}, \textcolor{blue}{B} \rangle$$

$$Y_3 = \langle B, D, C, \textcolor{blue}{A}, \textcolor{blue}{B}, \textcolor{gray}{A} \rangle$$

$$Z_2 = \langle B, C, \textcolor{blue}{A}, \textcolor{blue}{B} \rangle$$

# LCS – Step 1: Characterize the Structure

Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

$$X_3 = \langle A, B, \textcolor{red}{C}, \textcolor{gray}{B}, \textcolor{gray}{D}, \textcolor{blue}{A}, \textcolor{blue}{B} \rangle$$

$$Y_3 = \langle B, D, \textcolor{red}{C}, \textcolor{blue}{A}, \textcolor{blue}{B}, \textcolor{gray}{A} \rangle$$

$$Z_2 = \langle B, \textcolor{red}{C}, \textcolor{blue}{A}, \textcolor{blue}{B} \rangle$$

# LCS – Step 1: Characterize the Structure

Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

$$X_2 = \langle A, B, C, B, D, A, B \rangle$$

$$Y_2 = \langle B, D, C, A, B, A \rangle$$

$$Z_1 = \langle B, C, A, B \rangle$$

# LCS – Step 1: Characterize the Structure

Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

$$X_2 = \langle A, \textcolor{red}{B}, \textcolor{blue}{C}, \textcolor{gray}{B}, \textcolor{gray}{D}, A, \textcolor{blue}{B} \rangle$$

$$Y_2 = \langle B, D, \textcolor{blue}{C}, \textcolor{blue}{A}, \textcolor{blue}{B}, \textcolor{gray}{A} \rangle$$

$$Z_1 = \langle \textcolor{red}{B}, \textcolor{blue}{C}, \textcolor{blue}{A}, \textcolor{blue}{B} \rangle$$



# LCS – Step 1: Characterize the Structure

Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

$$X_2 = \langle A, B, C, B, D, A, B \rangle$$

$$Y_1 = \langle B, D, C, A, B, A \rangle$$

$$Z_1 = \langle B, C, A, B \rangle$$

# LCS – Step 1: Characterize the Structure

Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

$$X_2 = \langle A, B, C, B, D, A, B \rangle$$

$$Y_1 = \langle B, D, C, A, B, A \rangle$$

$$Z_1 = \langle B, C, A, B \rangle$$

# LCS – Step 1: Characterize the Structure

Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

$$X_1 = \langle A, B, C, B, D, A, B \rangle$$

$$Y_0 = \langle B, D, C, A, B, A \rangle$$

$$Z_0 = \langle B, C, A, B \rangle$$

# LCS – Step 1: Characterize the Structure

## Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

## Proof: (Part 1)

- 1) If  $z_k \neq x_m$ , then we could append  $x_m = y_n$  to  $Z$  and get an LCS with  $k + 1$ , which is a contradiction to  $Z$  being LCS of  $X$  and  $Y$ .

The prefix  $Z_{k-1}$  with length  $k - 1$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$ . Suppose there is LCS  $W$  that is greater than  $k - 1$ , then appending  $x_m = y_n$  to  $W$  produces an LCS with length  $> k$ , which is a contradiction.

# LCS – Step 1: Characterize the Structure

## Optimal substructure of LCS (Theorem):

Given  $X = \langle x_1, x_2, \dots, x_m \rangle$  and  $Y = \langle y_1, y_2, \dots, y_n \rangle$  and let  $Z = \langle z_1, z_2, \dots, z_k \rangle$  be any LCS of  $X$  and  $Y$

1. If  $x_m = y_n$ , then  $z_k = x_m = y_n$  and  $Z_{k-1}$  is LCS of  $X_{m-1}$  and  $Y_{n-1}$
2. If  $x_m \neq y_n$ , then  $z_k \neq x_m$  implies that  $Z$  is LCS of  $X_{m-1}$  and  $Y$
3. If  $x_m \neq y_n$ , then  $z_k \neq y_n$  implies that  $Z$  is LCS of  $X$  and  $Y_{n-1}$

## Proof: (Part 2 & 3)

- 2) If  $z_k \neq x_m$ , then  $Z$  is a common subsequence of  $X_{m-1}$  and  $Y$ .  
If there were a common subsequence  $W$  of  $X_{m-1}$  and  $Y$  with length  $> k$ , then  $W$  would also be a common subsequence of  $X_m$  and  $Y$ , which is a contradiction with  $Z$  being LCS of  $X$  and  $Y$ .
- 3) The proof is symmetric to (2).



# LCS – Step 2: Recursive Solution

There are two cases:

1. If  $x_m = y_n$ , find LCS of  $X_{m-1}$  and  $Y_{n-1}$  and append  $x_m = y_n$
2. Otherwise, solve two subproblems
  - a) Find LCS of  $X_{m-1}$  and  $Y$
  - b) Find LCS of  $X$  and  $Y_{n-1}$

Whichever LCS is longer, it is also an LCS of  $X$  and  $Y$

## Overlapping-subproblems property:

In order to find LCS of  $X$  and  $Y$  we may need to find LCSs of  $X$  and  $Y_{n-1}$  and  $X_{m-1}$  and  $Y$ . Each of these subproblems has the subsubproblem of finding LCS of  $X_{m-1}$  and  $Y_{n-1}$ .

## LCS – Step 2: Recursive Solution (cont'd)

Let  $c[i, j]$  be the length of an LCS of sequences  $X_i$  and  $Y_j$

$$c[i, j] = \begin{cases} 0, & \text{if } i = 0 \text{ or } j = 0 \\ c[i - 1, j - 1] + 1, & \text{if } i, j > 0 \text{ and } x_i = y_j \\ \max(c[i, j - 1], c[i - 1, j]), & \text{if } i, j > 0 \text{ and } x_i \neq y_j \end{cases}$$

# LCS – Step 3: Computing a Solution (Bottom-up)

LCS-LENGTH(X,Y)

```
1: m = X.length
2: n = Y.length
3: let b[1..m,1..n] and c[0..m,0..n] be new tables
4: for i = 1 to m                                # initialize first column
5:     c[i,0] = 0
6: for j = 0 to n                                # initialize first row
7:     c[0,j] = 0
8: for j = 1 to n
9:     for i = 1 to m
10:        if  $x_i == y_j$                         # case 1
11:            c[i,j] = c[i-1,j-1] + 1
12:            b[i,j] = ↖
13:        elseif c[i-1,j] ≥ c[i,j-1]             # case 2a
14:            c[i,j] = c[i-1,j]
15:            b[i,j] = ↑
16:        else                                    # case 2b
17:            c[i,j] = c[i,j-1]
18:            b[i,j] = ←
19: return c and b
```



# LCS – Step 4: Construct a Solution

```
PRINT-LCS(b,X,i,j)
1:  if i == 0 or j == 0
2:      return
3:  if b[i,j] == ↖
4:      PRINT-LCS(b,X,i-1,j-1)
5:      print xi
6:  elseif b[i,j] == ↑
7:      PRINT-LCS(b,X,i-1,j)
8:  else
9:      PRINT-LCS(b,X,i,j-1)
```

# Approximate String Matching

How similar are SUNNY and SNOWY?

- LCS has length 3: SNY
- **LCS Distance:** How many operations does it need to transform one string into the other? Allowed operations are **DELETE** and **INSERT**

S	U	N	N	-	-	Y
S	-	N	-	O	W	Y

Distance: 4

# Levenshtein Distance



Allowed operations are **DELETE**, **INSERT** and **REPLACE**

S	U	N	N	-	Y
S	-	N	O	W	Y

Distance: 3

$$d[i, j] = \begin{cases} \max(i, j), & \text{if } i = 0 \text{ or } j = 0 \\ \min \begin{cases} d[i - 1, j] + 1 \\ d[i, j - 1] + 1 \\ d[i - 1, j - 1] + \mathbf{1}_{(x_i \neq y_j)} \end{cases}, & \text{otherwise} \end{cases}$$

# Levenshtein Distance (cont'd)

LEV-DISTANCE(X,Y)

```
1: m = X.length
2: n = Y.length
3: let d[0..m,0..n] be new table
4: for i = 1 to m
5:     d[i,0] = i
6: for j = 0 to n
7:     d[0,j] = j
8: for j = 1 to n
9:     for i = 1 to m
10:         c = xi == yj ? 0 : 1
11:         d[i,j] = min(d[i-1,j] + 1, d[i,j-1] + 1, d[i-1,j-1] + c)
12: return d[m,n]
```

# Conclusions

- DP is a powerful algorithm design technique that can sometimes reduce exponential running time to polynomial (e.g. rod cutting, LCS, ...)
- DP is employed in many applications
  - Bioinformatics (computational genomics, DNA matching)
  - Spell checker (via approximate string matching)
  - Time series analysis (speech recognition)
  - Economics

# References

## Books

- **[Cormen] Introduction to Algorithms**  
T.H. Cormen, C.E. Leiserson, R.L. Rivest, C. Stein. MIT Press. 2009 (3<sup>rd</sup> Edition)
- **[Sedgewick] Algorithms**  
R. Sedgewick, K. Wayne. Addison-Wesley. 2011 (4<sup>th</sup> Edition)
- **[Dasgupta] Algorithms**  
S. Dasgupta, C. Papadimitriou, U. Vazirani. McGraw-Hill Higher Education. 2006

## Online

- <http://algs4.cs.princeton.edu/lectures/>
- <https://www.coursera.org/courses?query=algorithms>

CO202 – Software Engineering – Algorithms

# Dynamic Programming - Exercises

# Exercise 1: Compute LCS

	j	0	1	2	3	4	5	6
i		$y_j$	<b>B</b>	<b>D</b>	<b>C</b>	<b>A</b>	<b>B</b>	<b>A</b>
0	$x_i$							
1	<b>A</b>							
2	<b>B</b>							
3	<b>C</b>							
4	<b>B</b>							
5	<b>D</b>							
6	<b>A</b>							
7	<b>B</b>							



# Exercise 1: Compute LCS

	j	0	1	2	3	4	5	6
i		$y_j$	<b>B</b>	<b>D</b>	<b>C</b>	<b>A</b>	<b>B</b>	<b>A</b>
0	$x_i$	0	0	0	0	0	0	0
1	<b>A</b>	0						
2	<b>B</b>	0						
3	<b>C</b>	0						
4	<b>B</b>	0						
5	<b>D</b>	0						
6	<b>A</b>	0						
7	<b>B</b>	0						

```

8: for j = 1 to n
9:   for i = 1 to m
10:    if  $x_i == y_j$ 
11:       $c[i,j] = c[i-1,j-1] + 1$ 
12:       $b[i,j] = \nwarrow$ 
13:    elseif  $c[i-1,j] \geq c[i,j-1]$ 
14:       $c[i,j] = c[i-1,j]$ 
15:       $b[i,j] = \uparrow$ 
16:    else
17:       $c[i,j] = c[i,j-1]$ 
18:       $b[i,j] = \leftarrow$ 

```

# Exercise 1: Compute LCS

	j	0	1	2	3	4	5	6
i		$y_j$	<b>B</b>	<b>D</b>	<b>C</b>	<b>A</b>	<b>B</b>	<b>A</b>
0	$x_i$	0	0	0	0	0	0	0
1	<b>A</b>	0	0					
2	<b>B</b>	0						
3	<b>C</b>	0						
4	<b>B</b>	0						
5	<b>D</b>	0						
6	<b>A</b>	0						
7	<b>B</b>	0						

```

8: for j = 1 to n
9:   for i = 1 to m
10:    if  $x_i == y_j$ 
11:       $c[i,j] = c[i-1,j-1] + 1$ 
12:       $b[i,j] = \nwarrow$ 
13:    elseif  $c[i-1,j] \geq c[i,j-1]$ 
14:       $c[i,j] = c[i-1,j]$ 
15:       $b[i,j] = \uparrow$ 
16:    else
17:       $c[i,j] = c[i,j-1]$ 
18:       $b[i,j] = \leftarrow$ 

```

# Exercise 1: Compute LCS

	j	0	1	2	3	4	5	6
i		$y_j$	<b>B</b>	<b>D</b>	<b>C</b>	<b>A</b>	<b>B</b>	<b>A</b>
0	$x_i$	0	0	0	0	0	0	0
1	<b>A</b>	0	↑ 0					
2	<b>B</b>	0						
3	<b>C</b>	0						
4	<b>B</b>	0						
5	<b>D</b>	0						
6	<b>A</b>	0						
7	<b>B</b>	0						

```

8: for j = 1 to n
9:   for i = 1 to m
10:    if  $x_i == y_j$ 
11:       $c[i,j] = c[i-1,j-1] + 1$ 
12:       $b[i,j] = \nwarrow$ 
13:    elseif  $c[i-1,j] \geq c[i,j-1]$ 
14:       $c[i,j] = c[i-1,j]$ 
15:       $b[i,j] = \uparrow$ 
16:    else
17:       $c[i,j] = c[i,j-1]$ 
18:       $b[i,j] = \leftarrow$ 

```

# Exercise 1: Compute LCS

	j	0	1	2	3	4	5	6
i		$y_j$	<b>B</b>	<b>D</b>	<b>C</b>	<b>A</b>	<b>B</b>	<b>A</b>
0	$x_i$	0	0	0	0	0	0	0
1	<b>A</b>	0	↑ 0					
2	<b>B</b>	0	1					
3	<b>C</b>	0						
4	<b>B</b>	0						
5	<b>D</b>	0						
6	<b>A</b>	0						
7	<b>B</b>	0						

```

8: for j = 1 to n
9:   for i = 1 to m
10:    if  $x_i == y_j$ 
11:       $c[i,j] = c[i-1,j-1] + 1$ 
12:       $b[i,j] = \nwarrow$ 
13:    elseif  $c[i-1,j] \geq c[i,j-1]$ 
14:       $c[i,j] = c[i-1,j]$ 
15:       $b[i,j] = \uparrow$ 
16:    else
17:       $c[i,j] = c[i,j-1]$ 
18:       $b[i,j] = \leftarrow$ 

```

# Exercise 1: Compute LCS

	j	0	1	2	3	4	5	6
i		$y_j$	<b>B</b>	<b>D</b>	<b>C</b>	<b>A</b>	<b>B</b>	<b>A</b>
0	$x_i$	0	0	0	0	0	0	0
1	<b>A</b>	0	↑ 0					
2	<b>B</b>	0	↖ 1					
3	<b>C</b>	0						
4	<b>B</b>	0						
5	<b>D</b>	0						
6	<b>A</b>	0						
7	<b>B</b>	0						

```

8: for j = 1 to n
9:   for i = 1 to m
10:    if  $x_i == y_j$ 
11:       $c[i,j] = c[i-1,j-1] + 1$ 
12:       $b[i,j] = \nwarrow$ 
13:    elseif  $c[i-1,j] \geq c[i,j-1]$ 
14:       $c[i,j] = c[i-1,j]$ 
15:       $b[i,j] = \uparrow$ 
16:    else
17:       $c[i,j] = c[i,j-1]$ 
18:       $b[i,j] = \leftarrow$ 

```

# Exercise 1: Compute LCS

	j	0	1	2	3	4	5	6
i		$y_j$	<b>B</b>	<b>D</b>	<b>C</b>	<b>A</b>	<b>B</b>	<b>A</b>
0	$x_i$	0	0	0	0	0	0	0
1	<b>A</b>	0	↑					
2	<b>B</b>	0	↖					
3	<b>C</b>	0	1					
4	<b>B</b>	0						
5	<b>D</b>	0						
6	<b>A</b>	0						
7	<b>B</b>	0						

```

8: for j = 1 to n
9:   for i = 1 to m
10:    if  $x_i == y_j$ 
11:       $c[i,j] = c[i-1,j-1] + 1$ 
12:       $b[i,j] = \nwarrow$ 
13:    elseif  $c[i-1,j] \geq c[i,j-1]$ 
14:       $c[i,j] = c[i-1,j]$ 
15:       $b[i,j] = \uparrow$ 
16:    else
17:       $c[i,j] = c[i,j-1]$ 
18:       $b[i,j] = \leftarrow$ 

```

# Exercise 1: Compute LCS

	j	0	1	2	3	4	5	6
i		$y_j$	<b>B</b>	<b>D</b>	<b>C</b>	<b>A</b>	<b>B</b>	<b>A</b>
0	$x_i$	0	0	0	0	0	0	0
1	<b>A</b>	0	↑					
2	<b>B</b>	0	↖					
3	<b>C</b>	0	↑					
4	<b>B</b>	0						
5	<b>D</b>	0						
6	<b>A</b>	0						
7	<b>B</b>	0						

```

8: for j = 1 to n
9:   for i = 1 to m
10:    if  $x_i == y_j$ 
11:       $c[i,j] = c[i-1,j-1] + 1$ 
12:       $b[i,j] = \nwarrow$ 
13:    elseif  $c[i-1,j] \geq c[i,j-1]$ 
14:       $c[i,j] = c[i-1,j]$ 
15:       $b[i,j] = \uparrow$ 
16:    else
17:       $c[i,j] = c[i,j-1]$ 
18:       $b[i,j] = \leftarrow$ 

```

## Exercise 2: Compute Levenshtein Distance

	j	0	1	2	3	4	5	6	7	8	9
i		$y_j$	E	M	P	I	R	I	C	A	L
0	$x_i$										
1	I										
2	M										
3	P										
4	E										
5	R										
6	I										
7	A										
8	L										



## Exercise 2: Compute Levenshtein Distance

	j	0	1	2	3	4	5	6	7	8	9
i		$y_j$	E	M	P	I	R	I	C	A	L
0	$x_i$	0	1	2	3	4	5	6	7	8	9
1	I	1									
2	M	2									
3	P	3									
4	E	4									
5	R	5									
6	I	6									
7											
8											
9											

```
8: for j = 1 to n
9:   for i = 1 to m
10:    c =  $x_i == y_j$  ? 0 : 1
11:    d[i,j] = min(d[i-1,j] + 1, d[i,j-1] + 1, d[i-1,j-1] + c)
```

## Exercise 2: Compute Levenshtein Distance

	j	0	1	2	3	4	5	6	7	8	9
i		$y_j$	E	M	P	I	R	I	C	A	L
0	$x_i$	0	1	2	3	4	5	6	7	8	9
1	I	1	R	1							
2	M	2									
3	P	3									
4	E	4									
5	R	5									
6	I	6									
7	C	7									
8	A	8									
9	L	9									

```

8: for j = 1 to n
9:     for i = 1 to m
10:        c = xi == yj ? 0 : 1
11:        d[i,j] = min(d[i-1,j] + 1, d[i,j-1] + 1, d[i-1,j-1] + c)

```

## Exercise 2: Compute Levenshtein Distance

	j	0	1	2	3	4	5	6	7	8	9
i		$y_j$	E	M	P	I	R	I	C	A	L
0	$x_i$	0	1	2	3	4	5	6	7	8	9
1	I	1	R1								
2	M	2	R2	D2							
3	P	3									
4	E	4									
5	R	5									
6	I	6									
7	C	7									
8	A	8									
9	L	9									

```

8: for j = 1 to n
9:     for i = 1 to m
10:        c = xi == yj ? 0 : 1
11:        d[i,j] = min(d[i-1,j] + 1, d[i,j-1] + 1, d[i-1,j-1] + c)

```

## Exercise 2: Compute Levenshtein Distance

	j	0	1	2	3	4	5	6	7	8	9
i		$y_j$	E	M	P	I	R	I	C	A	L
0	$x_i$	0	1	2	3	4	5	6	7	8	9
1	I	1	R 1								
2	M	2	R D 2								
3	P	3	R D 3								
4	E	4									
5	R	5									
6	I	6									
7	C	7									
8	A	8									
9	L	9									

```

8:  for j = 1 to n
9:      for i = 1 to m
10:         c = xi == yj ? 0 : 1
11:         d[i,j] = min(d[i-1,j] + 1, d[i,j-1] + 1, d[i-1,j-1] + c)

```

## Exercise 2: Compute Levenshtein Distance

	j	0	1	2	3	4	5	6	7	8	9
i		$y_j$	E	M	P	I	R	I	C	A	L
0	$x_i$	0	1	2	3	4	5	6	7	8	9
1	I	1	R 1								
2	M	2	R D 2								
3	P	3	R D 3								
4	E	4	K 3								
5	R	5									
6	I	6									
7	C	7									
8	A	8									
9	L	9									

```

8:  for j = 1 to n
9:      for i = 1 to m
10:         c = xi == yj ? 0 : 1
11:         d[i,j] = min(d[i-1,j] + 1, d[i,j-1] + 1, d[i-1,j-1] + c)

```

## Exercise 2: Compute Levenshtein Distance

	j	0	1	2	3	4	5	6	7	8	9
i		$y_j$	E	M	P	I	R	I	C	A	L
0	$x_i$	0	1	2	3	4	5	6	7	8	9
1	I	1	R 1								
2	M	2	R 2								
3	P	3	R 3								
4	E	4	K 3								
5	R	5	D 4								
6	I	6									
7	C	7									
8	A	8									
9	L	9									

```

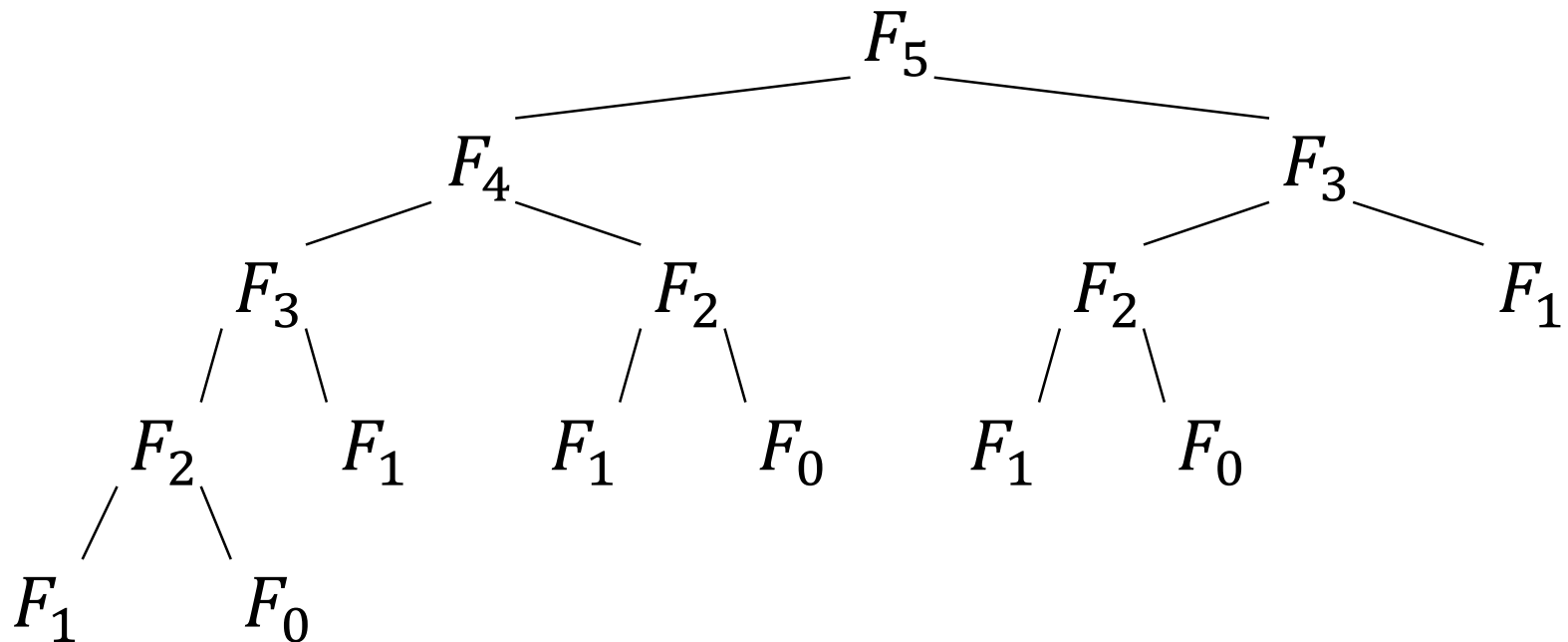
8: for j = 1 to n
9:     for i = 1 to m
10:        c = xi == yj ? 0 : 1
11:        d[i,j] = min(d[i-1,j] + 1, d[i,j-1] + 1, d[i-1,j-1] + c)

```

## Exercise 3: Fibonacci Sequence

0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, ...

$$F(n) = \begin{cases} 0, & \text{if } n = 0 \\ 1, & \text{if } n = 1 \\ F(n-1) + F(n-2), & \text{otherwise} \end{cases}$$



# Exercise 3: Fibonacci Sequence

NAÏVE-FIBONACCI( $n$ )

```
1: if n == 0
2:     return 0
3: if n == 1
4:     return 1
5: return NAÏVE-FIBONACCI( $n-1$ ) + NAÏVE-FIBONACCI( $n-2$ )
```

Running time of NAÏVE-FIBONACCI:

$$T(n) = O(2^{0.694n})$$



# Exercise 3: Fibonacci Sequence

BOTTOM-UP-FIBONACCI(*n*)

1: **if** *n* == 0

2:     **return** 0

3: let *f*[0..*n*] be a new array

4: *f*[0] = 0

5: *f*[1] = 1

6: ?

7: ?

8: ?

0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, ...

$$F(n) = \begin{cases} 0, & \text{if } n = 0 \\ 1, & \text{if } n = 1 \\ F(n-1) + F(n-2), & \text{otherwise} \end{cases}$$

What is the running time of BOTTOM-UP-FIBONACCI?

## Exercise 4: Coin Change Problem

Coin change is the problem of finding the least number of coins for a given amount of money.

For example, the UK coin set contains the following coins:

- 1p, 2p, 5p, 10p, 20p, 50p, £1, £2, and £5 (very uncommon).
- For £2.82, the optimal change is £2, 50p, 20p, 10p, 2p.

1. Write a mathematical recurrence equation that determines the least number of coins.
2. Devise a pseudo-code, bottom-up dynamic programming algorithm `coin_change(n, coins)`.

# Exercise 5: Fibonacci Challenge

## D&C Fibonacci Revisited

Naïve:

$$F(n) = \begin{cases} 0, & \text{if } n = 0 \\ 1, & \text{if } n = 1 \\ F(n-1) + F(n-2), & \text{otherwise} \end{cases}$$

Let's rewrite Fibonacci

$$F(n) = F(2k) = F(k)^2 + 2F(k)F(k-1) \quad \text{for even } n$$

$$F(n) = F(2k-1) = F(k)^2 + F(k-1)^2 \quad \text{for odd } n$$

$$F(n) = \begin{cases} 0, & \text{if } n = 0 \\ 1, & \text{if } n = 1 \\ F(\lceil n/2 \rceil)^2 + 2F(\lceil n/2 \rceil)F(\lceil n/2 \rceil - 1), & \text{if } n \geq 2 \text{ and } n \text{ is even} \\ F(\lceil n/2 \rceil)^2 + F(\lceil n/2 \rceil - 1)^2, & \text{if } n \geq 2 \text{ and } n \text{ is odd} \end{cases}$$

# Exercise 5: Fibonacci Challenge

## D&C Fibonacci Revisited

$$F(n) = \begin{cases} 0, & \text{if } n = 0 \\ 1, & \text{if } n = 1 \\ F(\lceil n/2 \rceil)^2 + 2F(\lceil n/2 \rceil)F(\lfloor n/2 \rfloor - 1), & \text{if } n \geq 2 \text{ and } n \text{ is even} \\ F(\lceil n/2 \rceil)^2 + F(\lfloor n/2 \rfloor - 1)^2, & \text{if } n \geq 2 \text{ and } n \text{ is odd} \end{cases}$$

DC-FIBONACCI(*n*)

```
1: if n == 0 || n == 1
2:   return n
3: else
4:   ?
```

What is the running time?