

Theory Assignment-1 , B19003

- 1) a) Yes, the algorithm can take $O(n)$ on some inputs. $O(n^2)$ does not place asymptotically ~~strong~~ tight bounds all the time. It may or may not place asymptotically tight bounds. So, the algorithm may not take $O(n^2)$ for all algorithms. For some cases, it can take $O(n)$. eg - Consider insertion sort, it takes $O(n^2)$ for worst cases i.e. we can say that it takes $O(n^2)$ for worst case. This is when all integers are arranged in descending order ^{and we want sort it} in ascending order. However, if the integers are ^{already} arranged in ascending order, the time complexity is $O(n)$.
- b) Yes, the algorithm can take $O(n)$ on all inputs. $O(n^2)$ does not place asymptotically tight bounds. It just places an upper bound i.e. we are assured that the algorithm will not take more time than $O(n^2)$ for any input, eg - Insertion sort takes $O(n^2)$ for time complexity for worst case. So, we can also say that it takes $O(n^2)$ as it just places an upper bound.
- c) Yes, the algorithm can take $O(n)$ for some inputs. $O(n^2)$ bound does not imply a $\Theta(n^2)$ bound on the running time of insertion sort on every input. eg - in ~~an~~ insertion sort, worst case running time is $\Theta(n^2)$. But if the input is already sorted, then we can say that it runs in $O(n)$ time complexity ~~or time~~ or placing an upper bound on it, we can say $O(n)$ time complexity, for that input.

3) a) $T(n^2) = 7T(n^2/4) + cn^2$ and $T(1) = 1$
changing variable from n^2 to x , we have -

$$T(x) = 7T(x/4) + cx$$

now, using Master's theorem, we have the first case;

$$f(x) = cx = O(x)$$

$$b = 4, a = 7$$

$$f(x) = O(x^{\log_4 7 - \epsilon}) = O(x^{1.403 - \epsilon}) \text{ and we have}$$

$$f(x) = O(x) \text{ so, } \epsilon = 0.403 > 0.$$

So, ~~$T(x) = O(n^{\log_4 7})$~~

So, $T(x) = O(x^{\log_4 7})$

But, if we carefully see in $T(x)$, x takes only perfect squares i.e. $x = \{1, 4, 9, \dots\}$. In real world scenario, we may have x which is not a perfect square. So, to compensate for that, I am redefining,

$T(x) = O(x^{\log_4 7})$

Big-oh places an upper bound on the inputs, i.e. if we have $x=2$ or $x=3$, we can very well say that $T(x) \leq T(4)$ for $x \leq 4$. ~~In that case, we write it as~~ That's why, I'm preferring big-oh notation.

b) $T(n) = n \times T(\sqrt{n})$

$T(n^{1/2}) = n^{1/2} T(n^{1/4})$

$T(n^{1/4}) = n^{1/4} T(n^{1/8})$

In general form, we can write,

$T(n) = n^{1 + \frac{1}{2} + \frac{1}{4} + \dots \text{up to } 2^i \text{ terms}} T(2)$

Now, assuming i takes larger values like 1000, 10,000 etc, then 2^i is very large number. So,

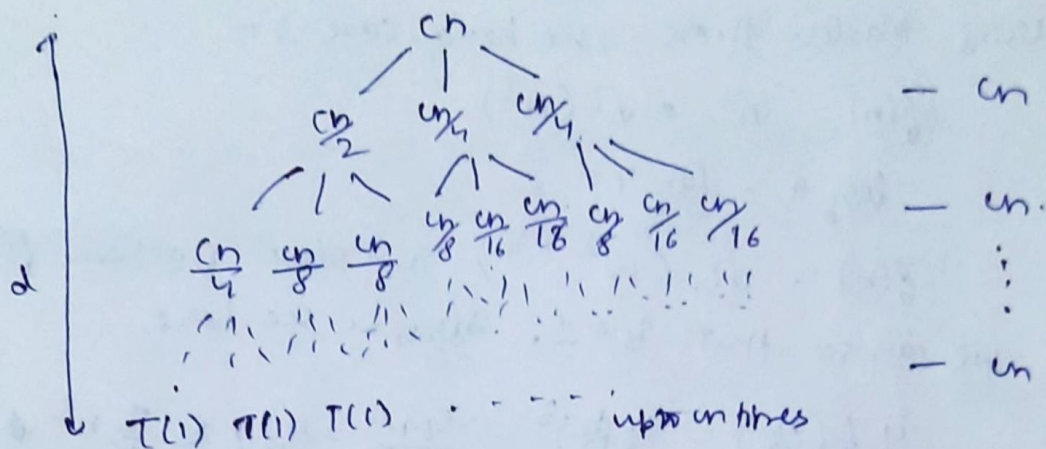
$$\begin{aligned} T(n) &= n^{1 + \frac{1}{2} + \frac{1}{4} + \dots \text{up to } 2^i \text{ terms}} T(2) \leq n^{1 + \frac{1}{2} + \frac{1}{4} + \dots \infty} T(2) \\ &= n^{\frac{1}{1 - \frac{1}{2}}} T(2) \\ &= n^2 T(2) \\ &= 4n^2 \end{aligned}$$

Thus, we have $T(n) \leq 4n^2$, or maybe we can write it as $T(n) = O(n^2)$.

c) $T(n) = T(n/2) + 2T(n/4) + 3n/2$

$T(n) = T(n/2) + 2T(n/2) + cn$ where $c = 3/2$.

Using recursion tree we have -



Now, considering the depth of the tree, we have different depths for $\frac{cn}{2}$, $\frac{cn}{4}$ and $\frac{cn}{4}$: since, the depths are not equal, the tree is

imbalanced, sum of ~~$T(n) \leq T(\frac{n}{2}) + 2T(\frac{n}{4}) + cn$~~
 ~~$d(\frac{n}{2}) \lg(\frac{n}{2}) + 2d(\frac{n}{4}) \lg(\frac{n}{4}) + cn$~~

each layer will not give cn . So, let us guess that the complexity is $O(n \lg n)$. So, $O(n \lg n)$ is the upper bound on the time complexity. We show that $T(n) \leq d n \lg n$, where d is a suitable positive constant.

$$\begin{aligned} T(n) &\leq T(\frac{n}{2}) + 2T(\frac{n}{4}) + cn \\ &\leq d \frac{n}{2} \lg(\frac{n}{2}) + 2d \frac{n}{4} \lg(\frac{n}{4}) + cn \\ &= d \frac{n}{2} \lg n + 2d \frac{n}{4} \lg n - d(\frac{n}{2} \lg 2 + n \lg 2) + cn \\ &= d n \lg n - d(\frac{3n}{2}) + cn \\ &= d n \lg n - d n(\frac{3}{2}) + cn \\ &\leq d n \lg n, \text{ as long as } d \geq \frac{2c}{3} \text{ or } d \geq 1 \end{aligned}$$

$$(\because c = \frac{3}{2})$$

$$\begin{aligned} \text{So, } T(n) &\leq d n \lg n \\ T(n) &= O(n \lg n) \end{aligned}$$

d) $T(n) = 4T(n/2) + n^3$ and $T(1) = 1$

Using Master's theorem, we have case 3:-

$$f(n) = n^3 = \Omega(n^3)$$

$$\log_b a = \log_2 4 = 2$$

$$f(n) = \Omega(n^{2+\epsilon}) \text{ and since we have } f(n) = \Omega(n^3),$$

we can see that $\epsilon = 1$. Also, we see here,

$$4f(n/2) = 4\left(\frac{n}{2}\right)^3 = \frac{4n^3}{8} = \frac{n^3}{2} \leq \frac{3}{4}n^3 = cn^3$$

where $c = \frac{3}{4}$.

$$\text{So, } 4f(n/2) \leq \frac{3}{4}f(n)$$

Thus, by master's theorem, $T(n) = \Theta(f(n))$

$$\underline{T(n) = \Theta(n^3)}$$

e) $T(n) = T(n/2) + \lg n$

In book, "Algorithm Design: Foundation, Analysis, and Internet Examples" by Michael T. Goodrich and Roberto Tamassia. The new master theorem mentioned in the book (Pg-268-270) is stronger than that given in CLRS.

So, acc- to new master's theorem,

$$c_{\text{crit}} = \log_b a = \log_2 1 = 0.$$

We have $f(n) = \lg n = (n^0 \lg^1 n)$. Hence, $c = 0$ and $k = 1$. So, $c = c_{\text{crit}}$. We fall in 2nd case. We have

$$f(n) = \Theta(\lg n), \text{ so by new Master's theorem -}$$

$$\begin{aligned} T(n) &= \Theta(n^0 \lg^{1+1} n) \\ &= \Theta(\lg^2 n) \end{aligned}$$

Considering, n is not of form 2^i where $i \in \mathbb{Z}^+$, we can

write $T(n)$ as -

$$\underline{T(n) = O(\lg^2 n)}, \text{ thus giving an upper bound.}$$

7) if $f(n) = O(g(n))$, we say that - * Here O denotes "small-oh"

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0 \quad - (1)$$

So, in order to find the order, let us use eq (1) -

① considering $f(n) = \cancel{n^{1.2}} n \lg n$ and $g(n) = \frac{n^{1.2}}{\lg n}$

$$\begin{aligned} & \lim_{n \rightarrow \infty} \frac{\cancel{n^{1.2}} n \lg n}{\frac{n^{1.2}}{\lg n}} \\ &= \lim_{n \rightarrow \infty} \frac{2 \lg n (1/n)}{0.2 n^{-0.8}} \quad (\text{using L' hospital}) \\ &= \lim_{n \rightarrow \infty} \frac{10 \lg n}{n^{0.2}} \\ &= \lim_{n \rightarrow \infty} \frac{10 (1/n)}{0.2 n^{-0.8}} \quad (\text{using L' hospital}) \\ &= \lim_{n \rightarrow \infty} \frac{50}{n^{0.2}} = 0 \end{aligned}$$

So, $\cancel{\frac{n^{1.2}}{\lg n}} = \cancel{O(n \lg n)}$

So, $n \lg n = O\left(\frac{n^{1.2}}{\lg n}\right)$ or $n \lg n < \frac{n^{1.2}}{\lg n}$

② considering $f(n) = \frac{n^{1.2}}{\lg n}$ and $g(n) = n^2$

$$\begin{aligned} & \lim_{n \rightarrow \infty} \frac{n^{1.2}}{\lg n (n^2)} \\ &= \lim_{n \rightarrow \infty} \frac{1}{\lg n n^{0.8}} \\ &= 0 \end{aligned} \quad (\text{as } \lg n \rightarrow \infty \text{ and } n^{0.8} \rightarrow \infty)$$

So, $\frac{n^{1.2}}{\lg n} = O(n^2)$ or $\frac{n^{1.2}}{\lg n} < n^2$

③ considering $f(n) = n^2$ and $g(n) = 1.1^n$

$$\lim_{n \rightarrow \infty} \frac{n^2}{(1.1)^n}$$

$$\begin{aligned}
 &= \lim_{n \rightarrow \infty} \frac{2n}{(1.1)^n \ln(1.1)} \quad (\text{using L' hospital}) \\
 &= \lim_{n \rightarrow \infty} \frac{2}{(1.1)^n \ln^2(1.1)} \quad (\text{using L' hospital}) \\
 &= 0
 \end{aligned}$$

So, $n^2 = O((1.1)^n)$ or $n^2 < (1.1)^n$

④ considering $f(n) = \lg^3(n)$ and $g(n) = n \lg n$,

$$\begin{aligned}
 &\lim_{n \rightarrow \infty} \frac{n \lg^3 n}{n \lg n} \\
 &= \lim_{n \rightarrow \infty} \frac{\lg^2 n}{1} \quad (\text{using L' hospital}) \\
 &= \lim_{n \rightarrow \infty} \frac{2 \lg n \left(\frac{1}{n}\right)}{1} \quad (\text{using L' hospital}) \\
 &= \lim_{n \rightarrow \infty} \frac{2 \left(\frac{1}{n}\right)}{1} \\
 &= 0
 \end{aligned}$$

So, $n \lg^3 n = O(n \lg n)$ or $\lg^3 n < n \lg n$

⑤ considering $f(n) = (0.9)^n$ and $g(n) = \lg^3(n)$.

$$\begin{aligned}
 &\lim_{n \rightarrow \infty} \frac{(0.9)^n}{\lg^3 n} \\
 &= \lim_{n \rightarrow \infty} \frac{(0.9)^n \ln(0.9)}{3 \lg^2 n \left(\frac{1}{n}\right)} \quad \left(\text{as } \lim_{n \rightarrow \infty} \lg^3 n \rightarrow \infty \text{ and } \lim_{n \rightarrow \infty} (0.9)^n \rightarrow 0 \right) \\
 &= 0
 \end{aligned}$$

So, $(0.9)^n = O(\lg^3 n)$ or $(0.9)^n < \lg^3(n)$.

So, finally, we can write the total order as -

$$1.1^n > n^2 > \frac{n^{1.2}}{\lg n} > n \lg n > \lg^3 n > (0.9)^n$$

- 5) the value returned by the function is $\frac{n(n+1)(n+2)}{3}$ and the worst case running time is $O(n^3)$.

Explanation:

We usually use sigma notation for solving these types of looping questions. Consider the following loop -

for $i = 1$ to n :

for $j = 1$ to n :

$r = r + 1$.

print (r).

So, the value of r is given by $\sum_{i=1}^n \sum_{j=1}^n 1$. So, the value printed is $2n$.

$$\sum_{i=1}^n \sum_{j=1}^n 1 = 2n.$$

Now, for our question, we write the output as -

$$\sum_{i=1}^n \sum_{j=1}^i \sum_{k=j}^{i+j} 1$$

$$= \sum_{i=1}^n \sum_{j=1}^i i+1$$

$$= \sum_{i=1}^n \frac{i(i+1)}{2} = \sum_{i=1}^n i^2 + i \quad \left(\because \sum_{j=1}^i i = i^2 \right. \\ \left. \sum_{j=1}^i 1 = i \right)$$

$$= \sum_{i=1}^n i^2 + i$$

$$= \frac{i(i+1)(2i+1)}{6} + \frac{i(i+1)}{2} \Big|_1^n$$

$$= \frac{n(n+1)(2n+1)}{6} + \frac{3n(n+1)}{6}$$

$$= \frac{2n(n+1)(n+2)}{6}$$

$$= \frac{n(n+1)(n+2)}{3} = O(n^3)$$

2) We prove by loop invariants. We define the loop invariant as -

"let M, N be the values typed in by the user into variables a, b . So, just before and just after every iteration $i < \epsilon_s$,

$$\text{GCD}(M, N) = 2^{i+1} \text{GCD}\left(\frac{M}{2^{i+1}}, \frac{N}{2^{i+1}}\right)$$

Putting $a = \frac{M}{2^{i+1}}$ and $b = \frac{N}{2^{i+1}}$, $\text{GCD}(M, N) = 2^{i+1} \text{GCD}(a, b)$

and for every iteration $i \geq \epsilon_s$,

$$\text{GCD}(M', N') = \text{GCD}(a, b)$$

where $M' = \frac{M}{2^{\epsilon_s}}$ and $N' = \frac{N}{2^{\epsilon_s}}$, $\epsilon_s \in \mathbb{Z}^+$ and $\epsilon_s \geq 0$.

Here, a and b are changing, M, N, M', N' are fixed "

Initialization: In the first iteration, we have $a = M$ and $b = N$ where M, N are values typed in by the user. So,

$$\text{GCD}(M, N) = \text{GCD}(M, N)$$

$$\text{GCD}(M, N) = \text{GCD}(a, b) \quad \text{as } a = M, b = N.$$

Thus, the invariant condition is true in the first iteration of the loop.

Maintenance: Consider iteration j . Let M is of the form $2^{d_1} 3^{d_2} 5^{d_3} \dots$ and N of the form $2^{\beta_1} 3^{\beta_2} 5^{\beta_3} \dots$. Now, we define ϵ_s as $\epsilon_s = \min(d_1, \beta_1)$. Then, we have 2 cases -

Case 1: $j \leq \min(d_1, \beta_1)$ or $j < \epsilon_s$.

So, by property of GCD, we know $\text{GCD}(M, N) = 2^{j+1} \text{GCD}\left(\frac{M}{2^{j+1}}, \frac{N}{2^{j+1}}\right)$

That is what is being done by the code. We have defined k which is getting multiplied by 2 at each iteration until i has reached ϵ_s . According to code,

$$a = \frac{M}{2^{i+1}} \text{ and } b = \frac{N}{2^{i+1}} \quad \text{as } a \text{ and } b \text{ are getting updated after each iteration.}$$

$$\text{So, } \text{GCD}(M, N) = 2^{i+1} \text{GCD}(a, b)$$

Case 2: $j \geq \min(d_1, \beta_1)$ or $j \geq \xi_1$.

From $c = \min(d_1, \beta_1)$ to j we define c as $c = a - b$.

So,

~~$\gcd(a, b)$~~

$$a = (a-b) \bmod b. \quad (\because b \text{ divides } b)$$

$$a = c \bmod b.$$

Then, by Euclid's algorithm,

$$\gcd(a, b) = \gcd(c, b) \quad (\because c < a)$$

$$\gcd(a, b) = \gcd(a-b, b)$$

The above thing is true for $a > b$. Similarly, we can show for $b > a$. Actually, in code, we don't have c , we are just updating a as

$$a = a - b, \text{ keeping } b \text{ constant when } a > b$$

and b as

$$b = b - a, \text{ keeping } a \text{ constant when } b > a.$$

This will go on until $a = b$.

Finally, I will conclude this section by saying that from $i = \xi$, $a = \frac{M}{2^{\xi+1}}$ and $b = \frac{N}{2^{\xi+1}}$, and then it is getting updated as $a = a - b$ and $b = b - a$.

Termination: While terminating, or at the last iteration, we will have the condition $a = b$ (check code). So, we know

$$\gcd(a, b) = \gcd(a, a)$$

$$= \gcd(a, a)$$

Then, we give the output as $2^{\xi+1} \cdot a$ as $\gcd(M, N)$.

$$\gcd(M, N) = 2^{\xi+1} \cdot a$$

we have also placed a base condition in code. Base condition is when either of a or b is 0. So, $\gcd(a, 0) = a$, $\gcd(0, a) = a$ and $\gcd(0, 0) = 0$.