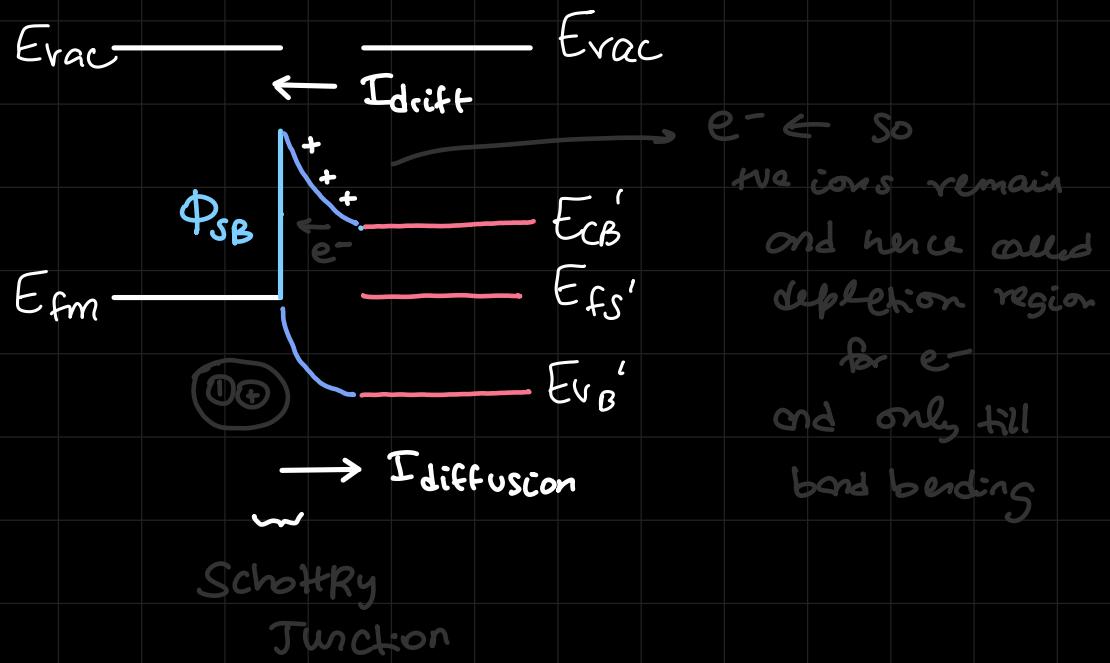


$\phi_m > \phi_s \rightarrow$  Schottky effect

SB  $\rightarrow$  Schottky barrier



Since  $I_{diffusion} > I_{drift}$

due to  
motion of  $e^-$

↳ hence called a majority

carrier device because we have a N-type semiconductor

for a Schottky barrier  $\rightarrow$  majority carrier based device

Whereas most other are minority " " eg: MOSFET

$$\phi_{SB} = \phi_m - \phi_s = V_{bi}$$

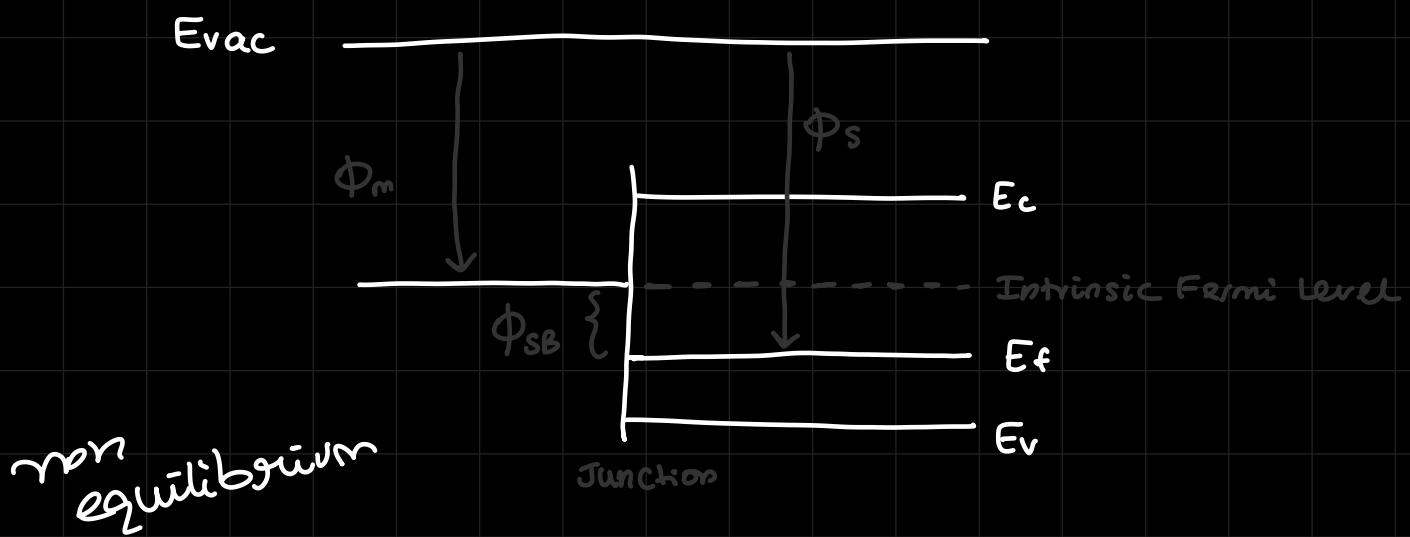
forward bias

- ↳  $V_{bi}$  decreases with  $V$
- ↳ depletion width decreases
  - ↳ barrier decreases
  - ↳  $e\tau$  for  $e^-$  to pass through
  - ↳  $I_{diff} > I_{drift}$
- ↳ low band bending

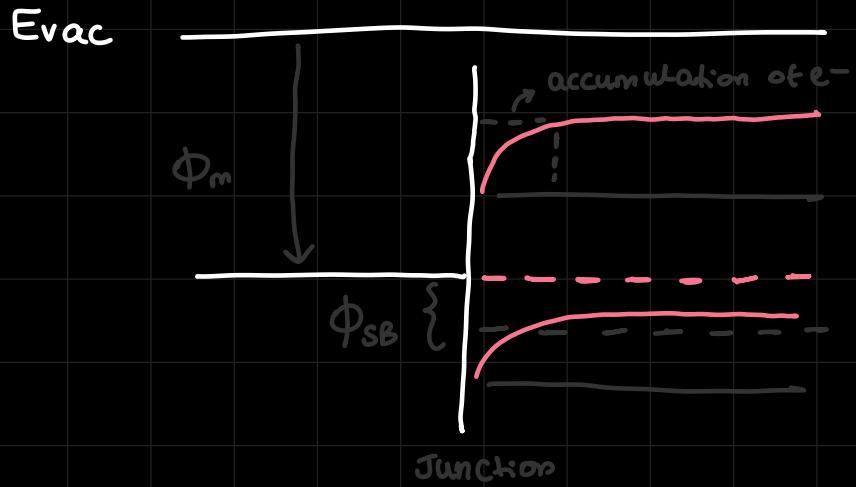
# for OHMIC CONTACT

- ↳  $\phi_m \leq \phi_s$

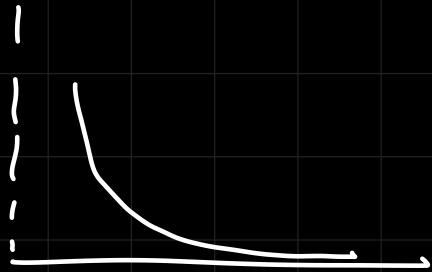
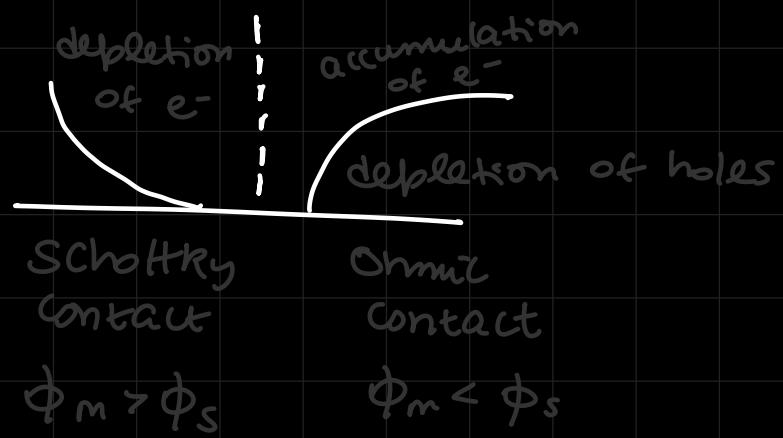
for P type sc  $\rightarrow$



equilibrium

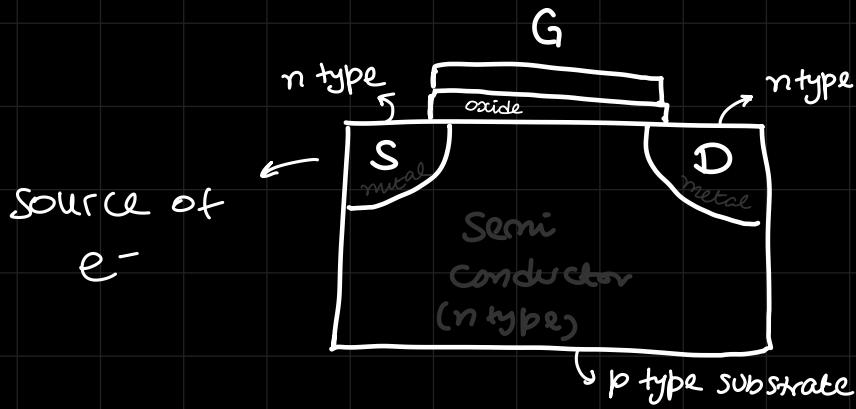


upwards bending



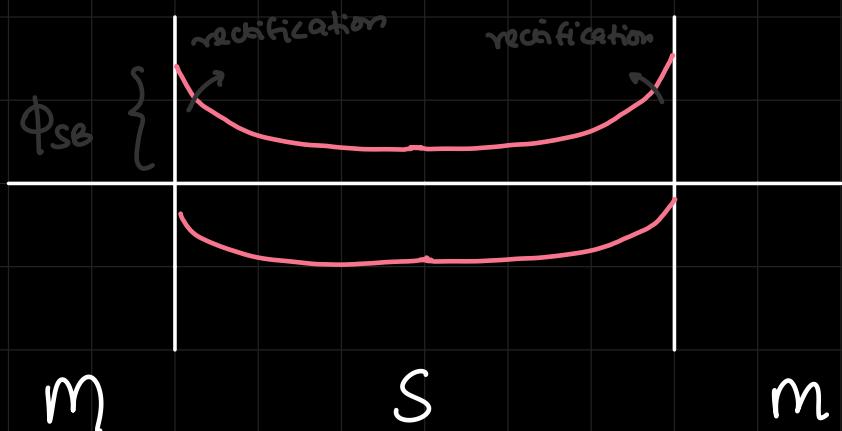
for ptype  $\rightarrow$

$$\Phi_m > \phi_s$$



lets replace the SC  
in SRL & drain by a  
metal in a MOSFET  
Which contact would we  
need?

↳ Schottky  
(~PN Junction)



### NPN Junction

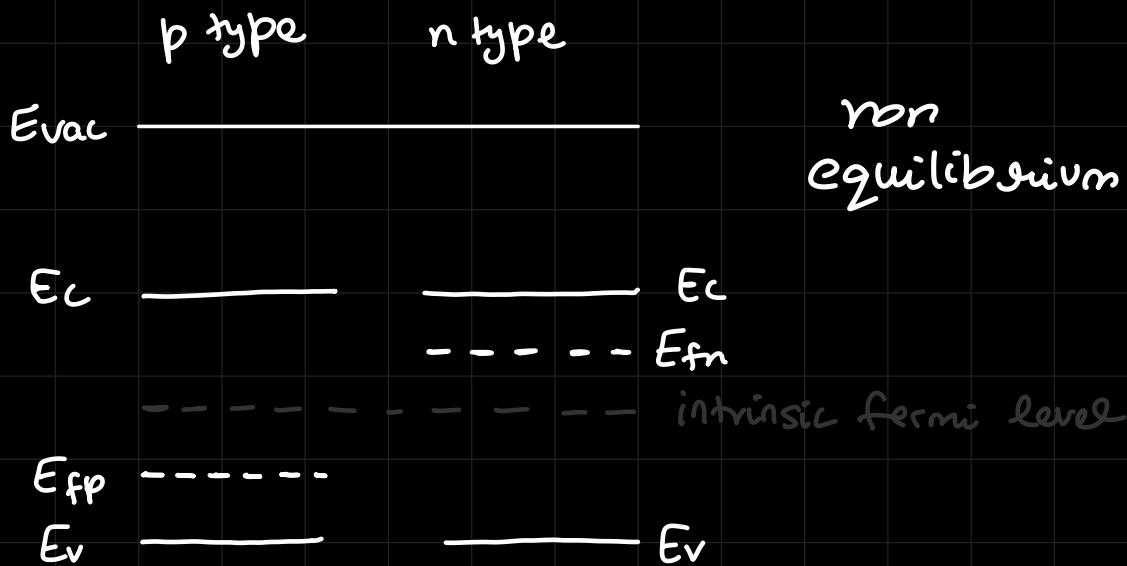
similar to BJT besides  
the C, B, E region width

Quasi Fermi Level :  $E_f$  splits when bias applied

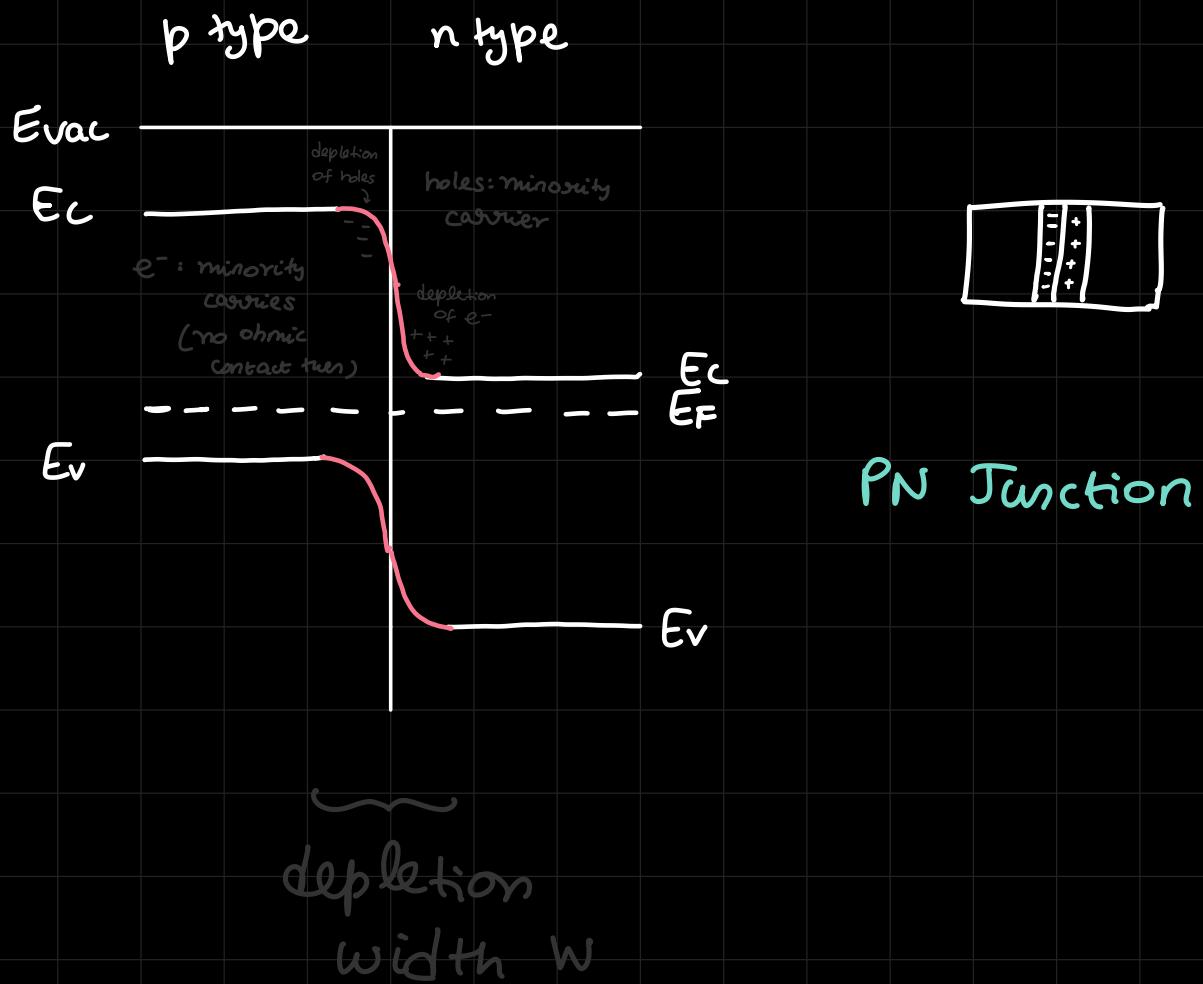
# HOMO JUNCTION : between same SC but could have  
different doping

some material  $\rightarrow$  some e- affinity  
and some  
band gap

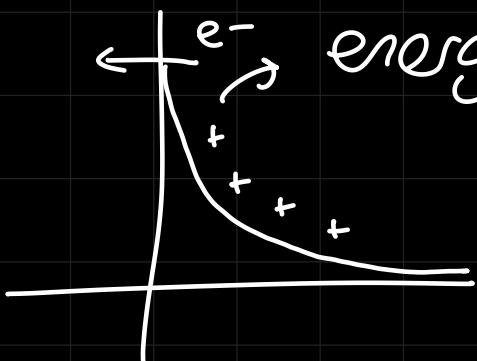
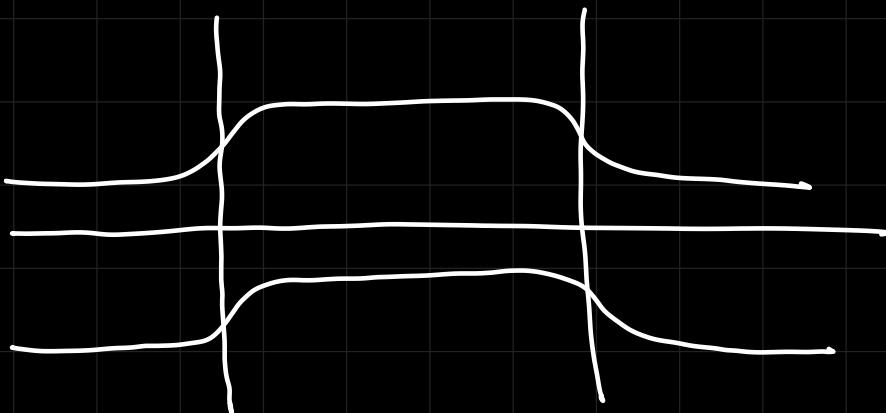
$$\chi_e \\ (E_{vac} - E_C)$$



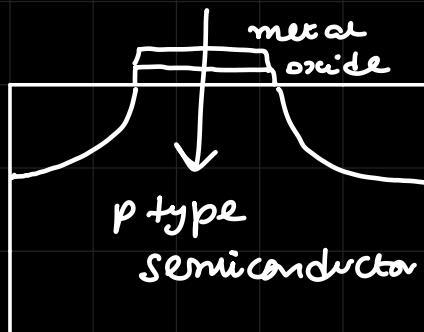
equilibrium



for npn MOSFET



energy goes down  
e<sup>-</sup> would want to go to the other side  
hence depletion of e<sup>-</sup>



Longitudinally,

M → I → S  
(insulator)

In MSM, replace S → I and 2nd M → S  
and we achieve the MIS junction

metal



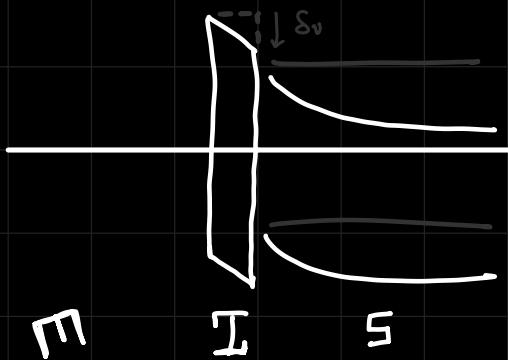
Semi-conductor

Insulator  $\rightarrow$  barrier  $\rightarrow$  potential

drop

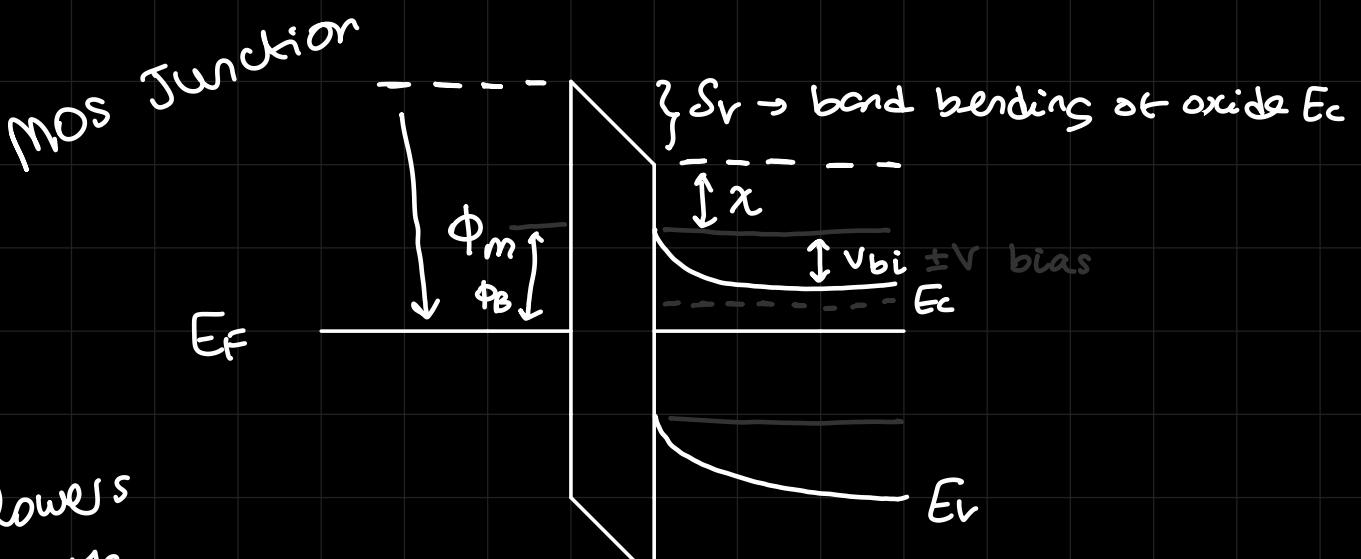
earlier:  $\phi_{SB} = \phi_m - \phi_s$

now:  $\phi_{SB} = \phi_m - \phi_s - \delta_v$



# Defects: Any impurity

Fermi Level pinning



lowers  
schottky  
barrier  
height  
(not ideal)

metal oxide n type  
(insulator) semi conductor

## # Fermi Level Pinning

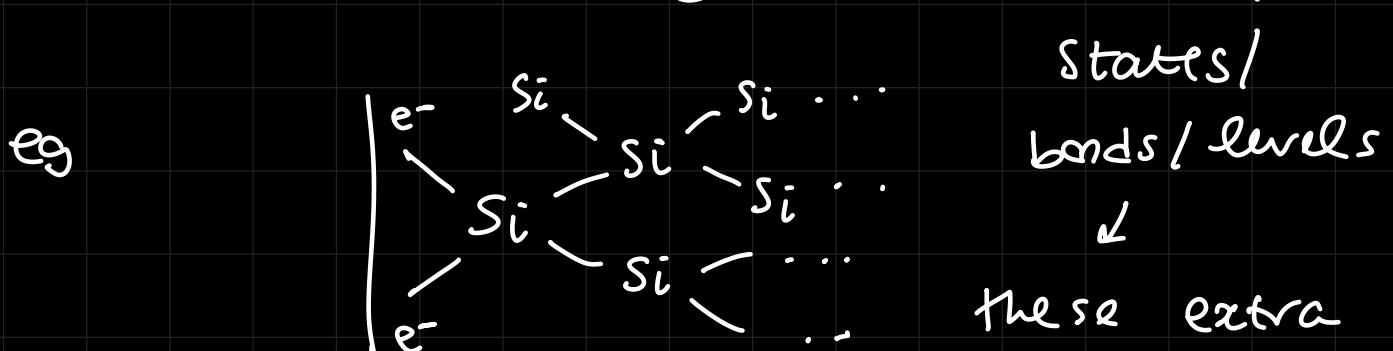
metal induced gap states ( $\approx$  impurities)

↳ another origin of interface states that

results in "metallic" screening

↳ FERMI LEVEL PINNING

Interface unsaturated bonds ↳  
possibility of dangling bonds at the  
surface → excess e- → results in extra



Surface

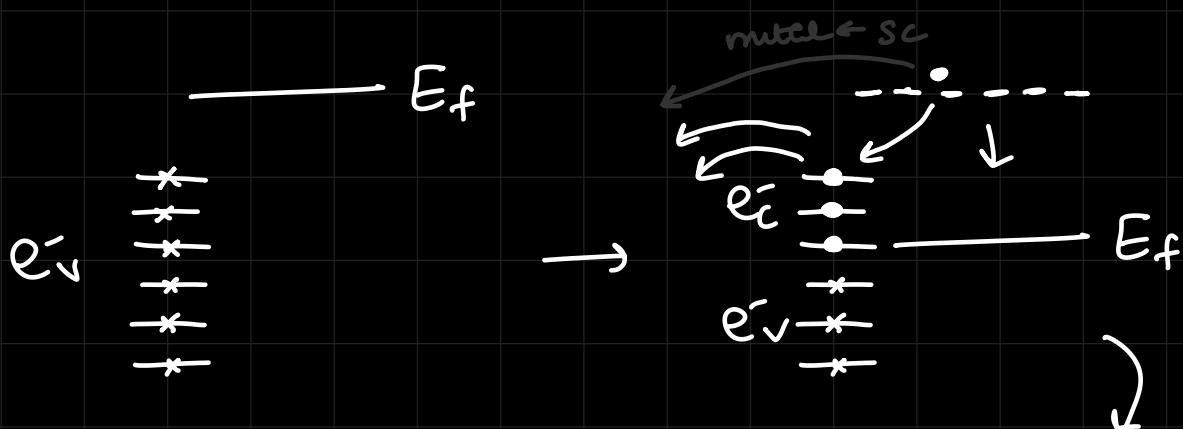
these extra  
levels remain  
within the band gap

it is observed that the impurity states take up  $\frac{2}{3}$ rd of the band gap

We have the Fermi level at the mid band of band gap  $\rightarrow$  at  $\frac{E_g}{2}$

and we are shifting this  $E_f$

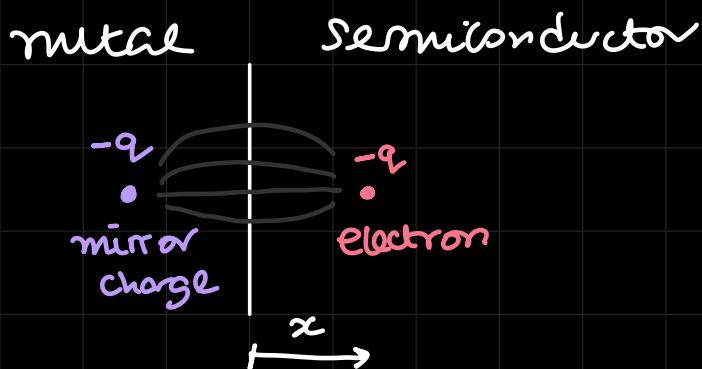
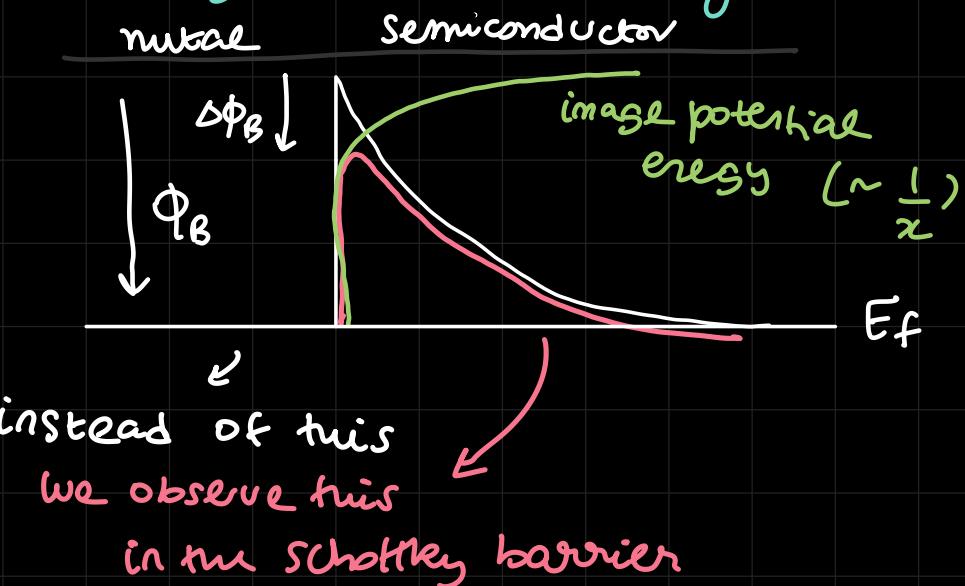
At equilibrium in the M-S junction, the system must maintain charge neutrality and hence some of the conduction  $e^-$  (free  $e^-$ ) go to the metal side after the Fermi level converts the valence  $e^-$  to conduction  $e^-$  when coming down.



those  $e^-$  that leave  $E_f$  and fill the upper positions, due to them the  $E_f$  which it is filled and hence  $E_f$  goes up ↑

Due to this, the Fermi level is restricted

# # modification to the Barrier Potential due to Image Force Lowering

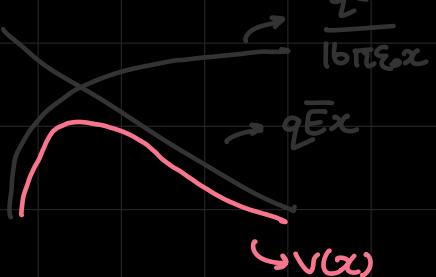


$$F = \frac{1}{4\pi\epsilon_0} \times \frac{q^2}{(2x)^2} = \frac{q^2}{16\pi\epsilon_0 x^2}$$

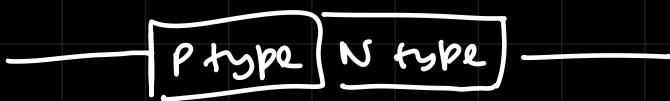
$$V = - \int \vec{F} \cdot d\vec{x}$$

$$V(x) = \left( \frac{q^2}{16\pi\epsilon_0 \cdot x} - qEx \right)$$

Under an Electric Field

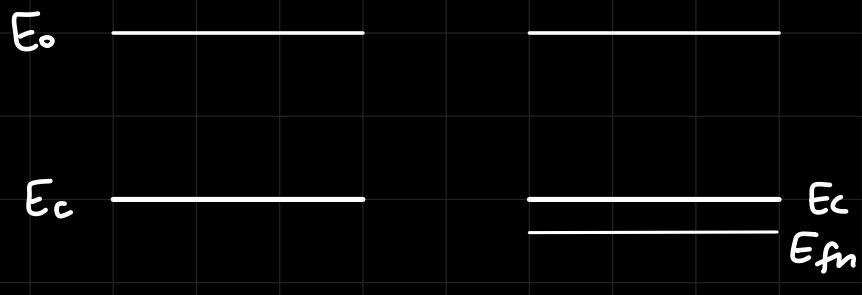


# Homojunction

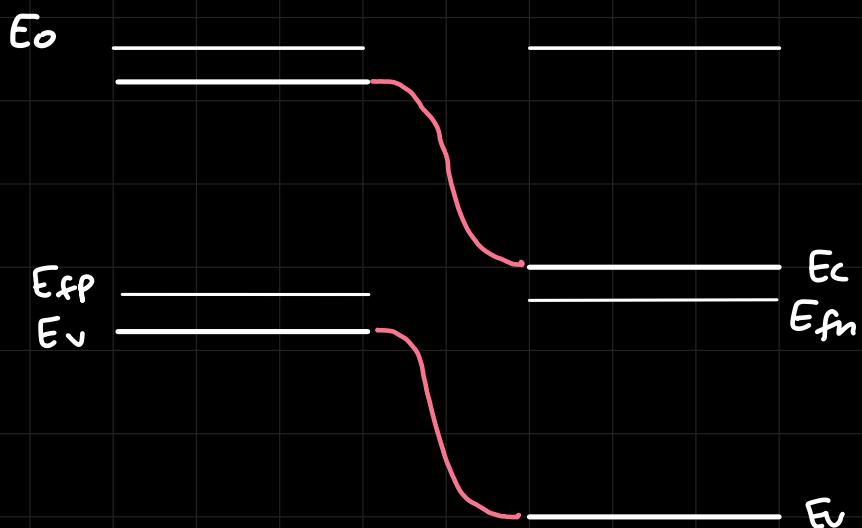


Heavily Doped Case

NON EQUILIBRIUM

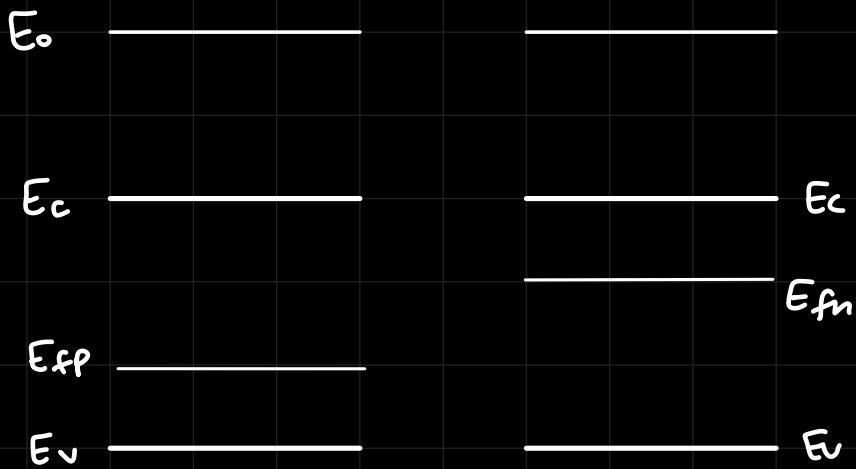


EQUILIBRIUM

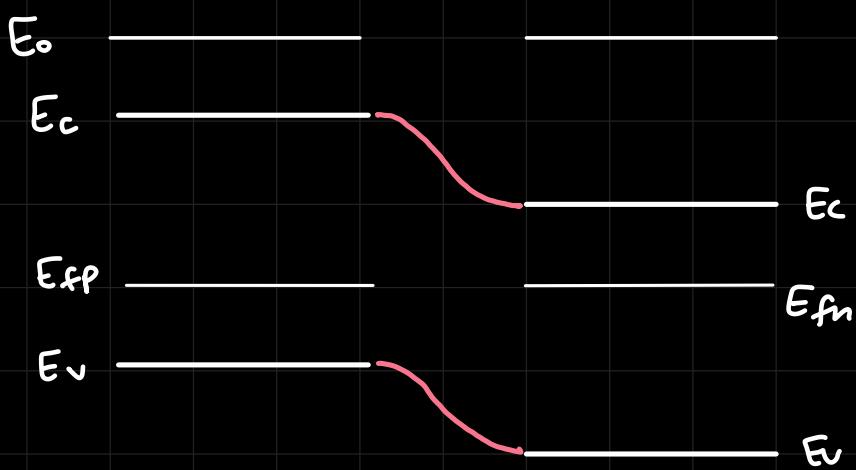


moderately doped case

NON EQUILIBRIUM



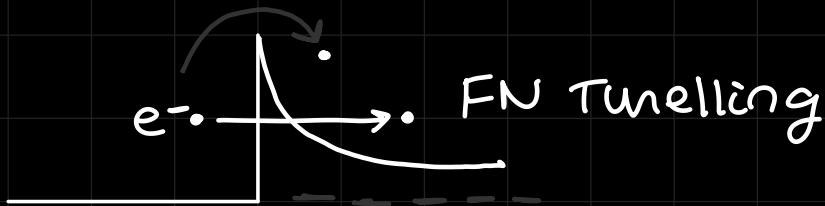
EQUILIBRIUM



The heavily doped one will have a higher  $\bar{E}$  and drift current because of more band bending

In the case of MS Junction, heavily doped  $\rightarrow$  band bending  $\uparrow \rightarrow \bar{E} \uparrow \rightarrow$  drift current  $\uparrow$  (easier to drift to the SC side)  
 $\hookrightarrow$  high amount of force on  $e^-$  on metal side  
 $\downarrow$

Instead of all  $e^-$  passing the potential barrier from above, some "tunnel" through barrier



Homojunctions usually don't have dangling bonds and hence <sup>(less)</sup> no impurity  
 $\hookrightarrow$  lesser probability of observing fermi level pinning

\$ GaAs  $\rightarrow$  3,5 system  
 $\hookrightarrow$  too much impurity levels  
 $\hookrightarrow$  not ideal

$e^-$  movement

↳ drift

↳ diffusion

↳ tunnelling

Zener diode: reverse bias

heavily doped

very high band bending

very high  $E$

tunnelling ✓

in breakdown region

non equilibrium

$E_C$  —————

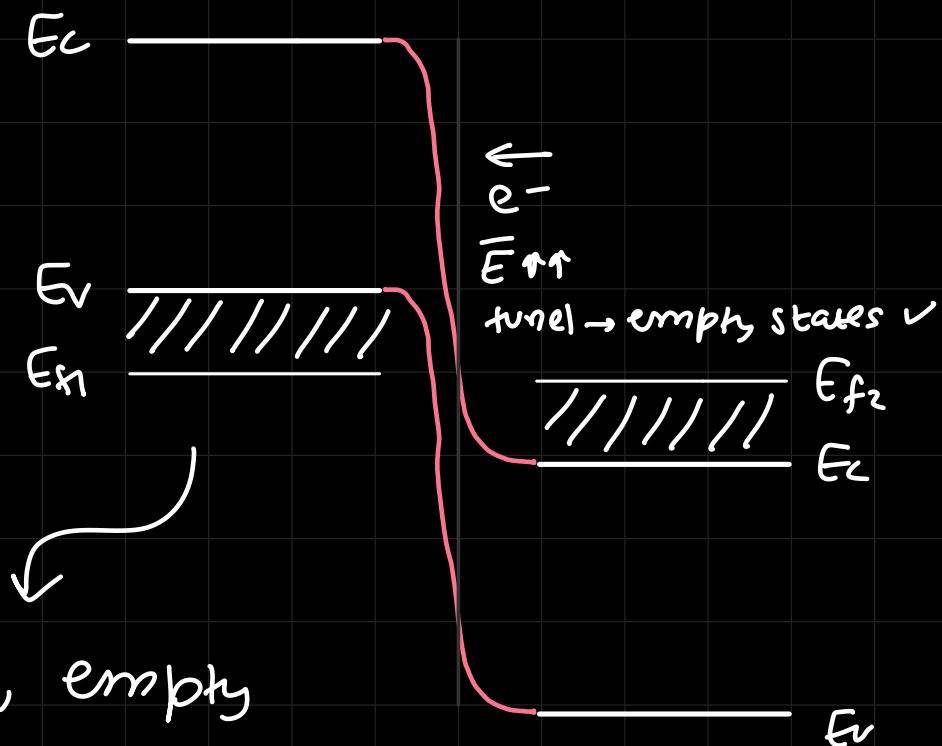
—————  $E_{f2}$

$E_C$

$E_V$  —————

$E_V$

$E_{X1}$  —————



above  $E_F$ , empty  
states

(no holes)

below  $E_F$ , filled  $e^-$  states

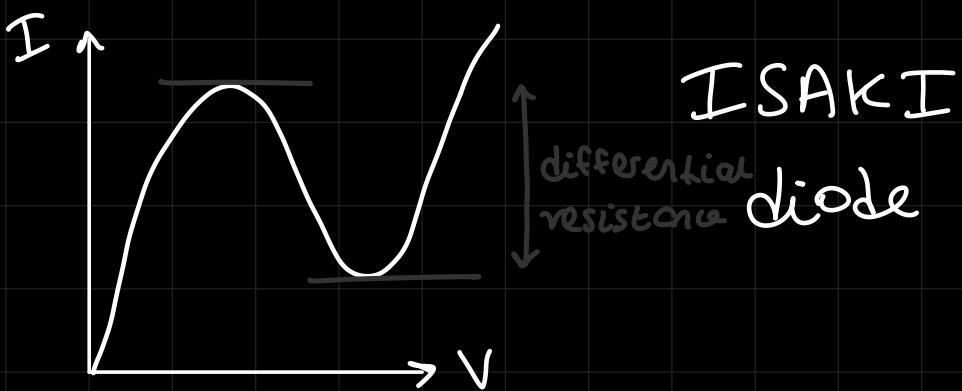
barrier height is very high ( $V_{bi}$ )

↳  $\sim$  no drift current

Apply a small amount of forward bias,  
 $E_F$  at the n side goes up along with it  
 $E_C$  and  $E_V$  to maintain band gap

or with n type at reference, p type  
goes down

due to the high  $\bar{E}$  the free  $e^-$  go toward  
the p types' empty states



non linear characteristics

$$\text{absolute Resistance} = \frac{V}{I}$$

$$\text{differential Resistance} = \frac{dV}{dI}$$

for the above characteristic, we have a region where current is reducing with increase in potential

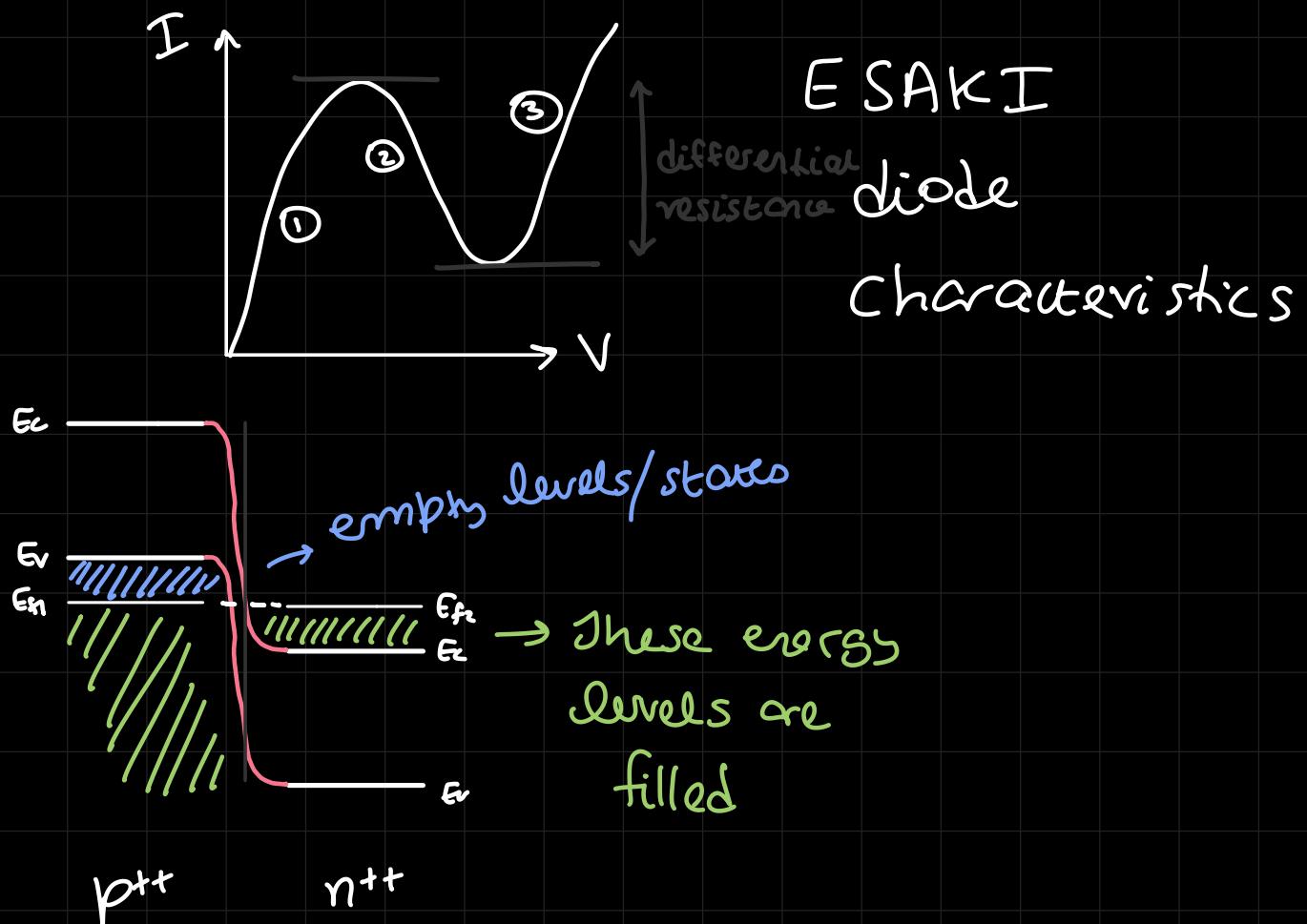
$$\text{so, we get } R = \frac{dV}{dI} (+)$$

$\equiv$  Negative Differential Resistance  
 $\equiv$  NDR diode

useful for amplifiers

Esaki diode  $\rightarrow$  degenerate semiconductor  
 (heavily doped  $\sim 10^{21}/\text{cm}^3$ )  
 $n^{++}/p^{++}$

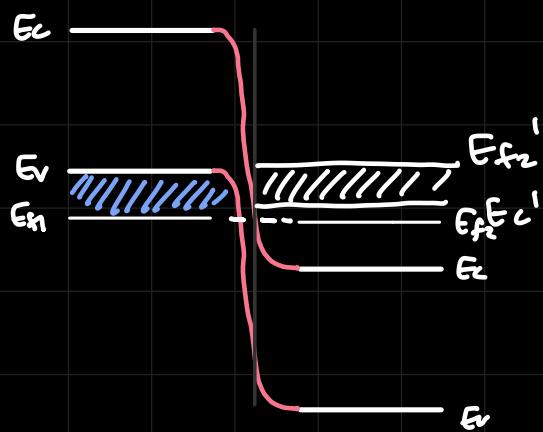
$E_F$  lies outside the band gap



When we potential applied,  $n^{++}$  side's fermi level goes up and some  $e^-$  from  $n$  side "tunnel" through the barrier towards  $p$  side and we observe maximum current

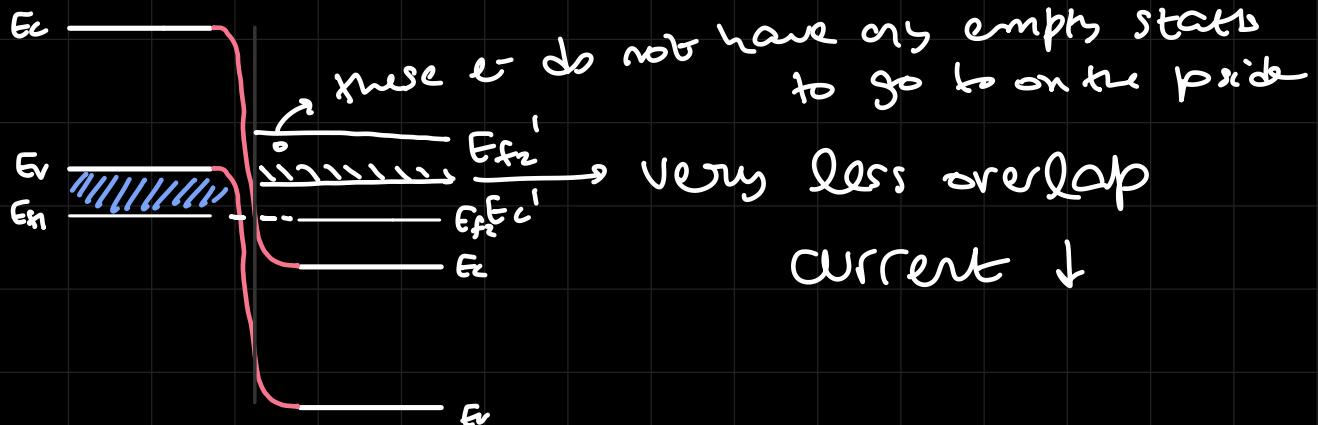
①

initial potential bias

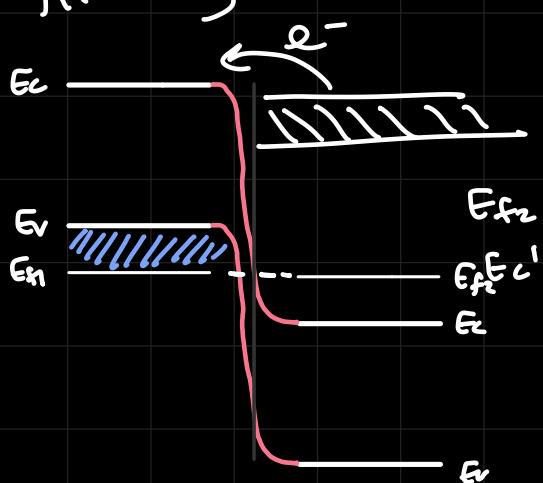


all  $e^-$  can tunnel through

when increasing  $V$  further ②



finally



③

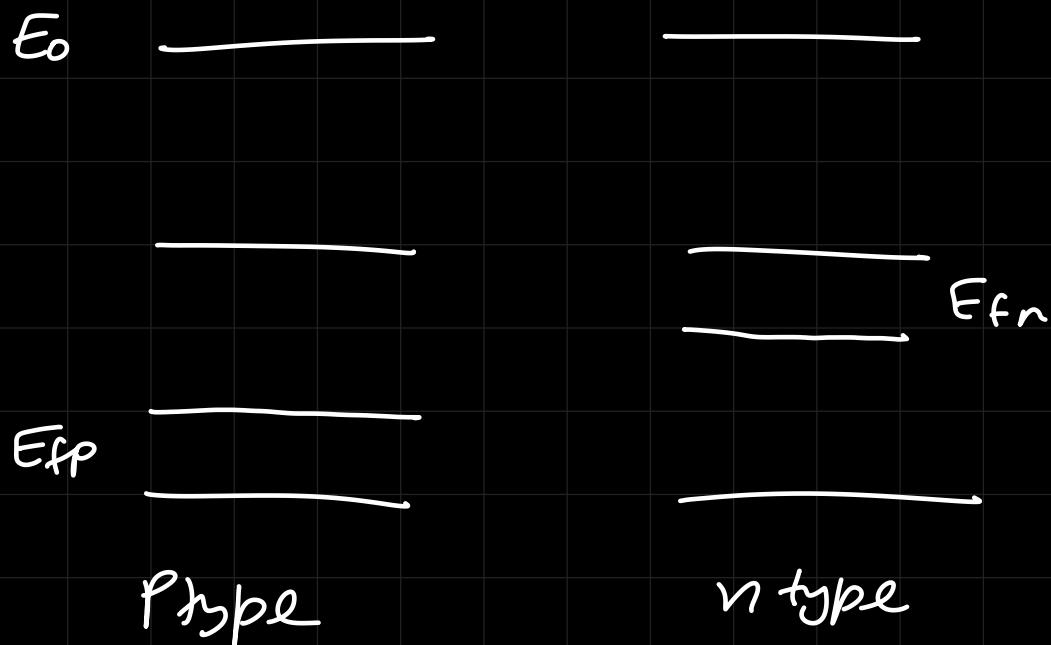
jumps over the barrier  
at very high  $V$

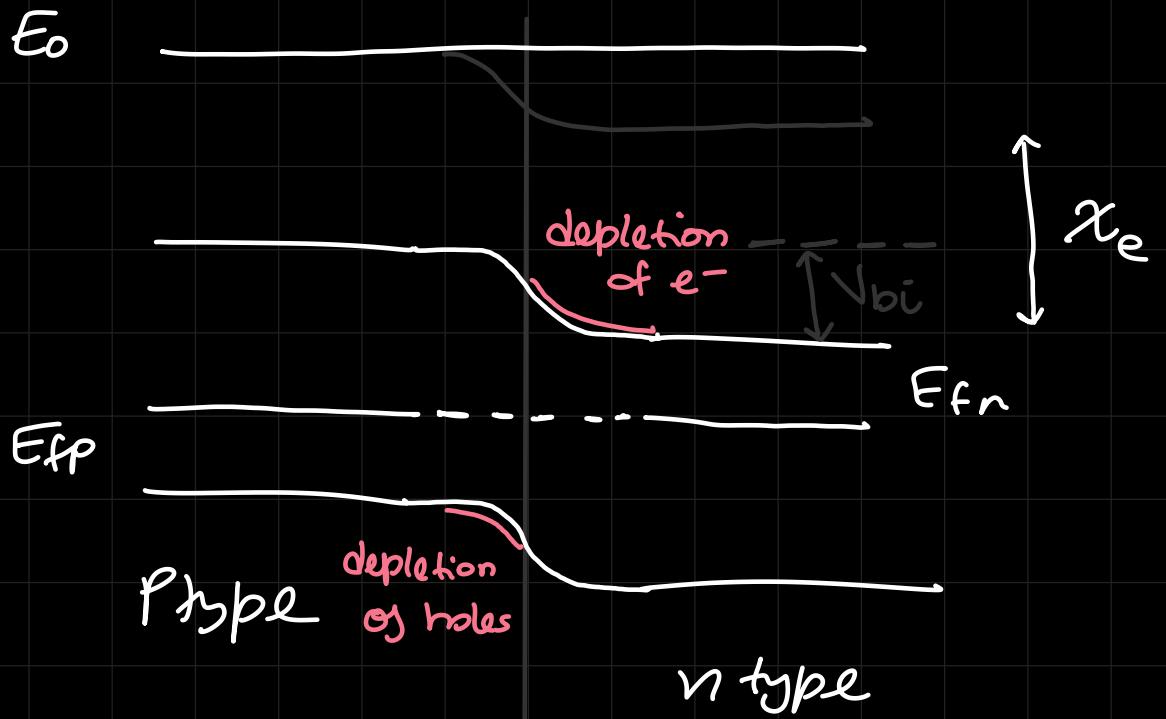
ESAKI diode works in forward bias  
Zener diode (PN) works in reverse bias

diffusion current : current due to difference  
in concentration

# PN Junction : at room temp, diff and  
drift current cancel each  
other out and hence net  
current = 0

note: There exist NN and PP Junctions as well.





exponentially varying  
band bending

(excess) acceptor ions  $\nwarrow$  donor ions  $\nearrow$

|   |   |   |     |
|---|---|---|-----|
|   | - | + |     |
| P | - | + | $n$ |
|   | - | + |     |

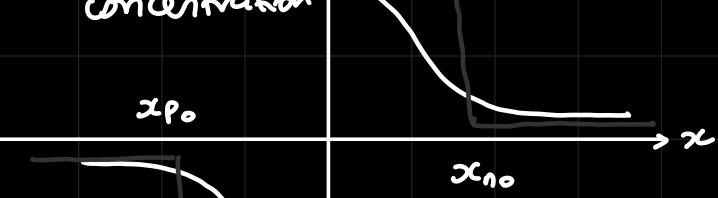
charge:

$$p - N_A$$

$\downarrow$

free ions

ion concentration  $N_D \rightarrow$  ideally



$$\mathcal{J}_n = q N_D$$

$$\mathcal{J}_p = -q N_A$$

but  $\mathcal{J}(x)$

$$x: 0 \rightarrow x_{n_0}$$

$\mathcal{J}(x)$

$$x: -x_{p_0} \rightarrow 0$$

$$f_0(x) = \begin{cases} -qN_a & : -x_{p_0} < x \leq 0 \\ qN_D & : 0 < x < x_{n_0} \\ 0 & : -x_{p_0} > x \text{ or } x > x_{n_0} \end{cases}$$

# Gauss law

$$\oint_S E \cdot d\alpha = \frac{1}{\epsilon} \oint_V f \cdot dV = \frac{Q_{enc}}{\epsilon_0}$$

$$\frac{dE}{dx} = \frac{f}{\epsilon_0}$$

$$E(x) - E(x_0) = \frac{1}{\epsilon} \int_{x_0}^x f(x) dx$$

$$\frac{\partial^2 \phi(x)}{\partial x^2} = -\nabla E = -\frac{f(x)}{\epsilon_0}$$

$$\phi(x) - \phi(x_0) = \int_{x_0}^x -E(x) dx$$

$$\text{Laplace's eqn} \rightarrow \frac{\partial^2 \phi(x)}{\partial x^2} = 0$$

$\left\{ \begin{array}{l} \text{no net} \\ \therefore \text{excess} \\ \text{charge} \end{array} \right\}$

for  $f = -qN_A : -x_{p_0} < x \leq 0$

$$\bar{E} = ?$$

$$\frac{dE}{dx} = -\frac{qN_A}{\epsilon}$$

$$\int dE = -\frac{qN_A}{\epsilon} \int dx$$

$$\bar{E}_{(x)} = -\frac{qN_A x}{\epsilon} + C$$

$\hookrightarrow \text{const}$

find using

we know  $f = 0$

boundary condition

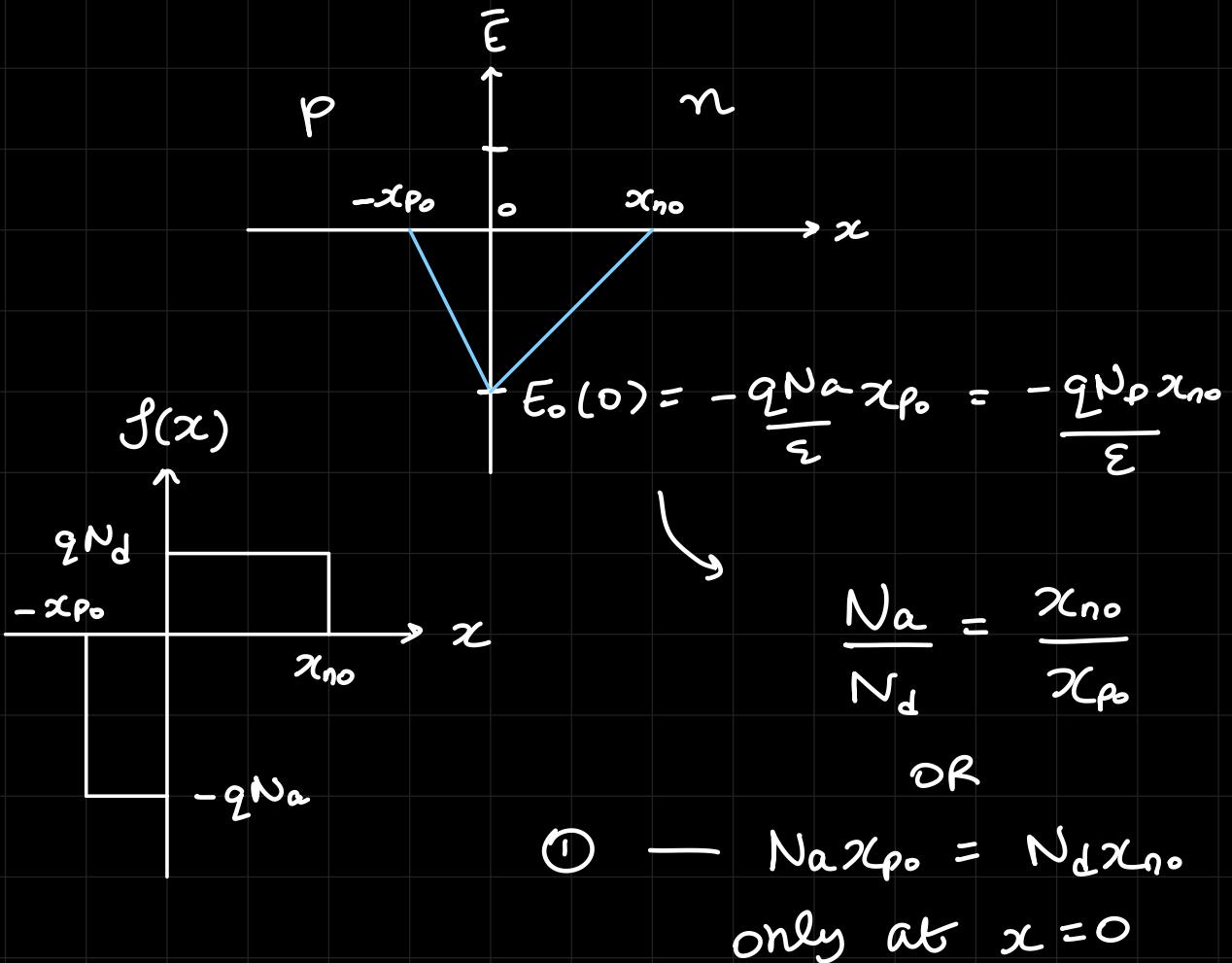
for  $x < -x_{p_0}$

so at  $x = -x_{p_0}$  (boundary)

$$\hookrightarrow \bar{E} = 0$$

$$\bar{E}(x) = \begin{cases} -\frac{qN_A}{\epsilon}(x + x_{p_0}) & : -x_{p_0} < x < 0 \\ \frac{qN_A}{\epsilon}(x - x_{p_0}) & : 0 < x < x_{p_0} \end{cases}$$

max  $\bar{E}$  is  $E_0$  at  $x = 0$



note:

hole density > e- density

→ p side less doped wrt n side

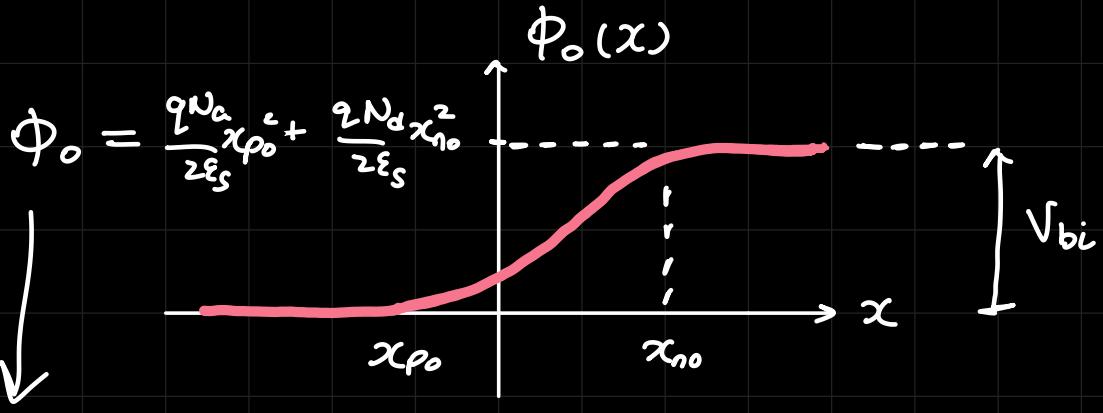
hence  $\bar{E}$  graph is not a perfect triangle

Poisson's Eqn  $\rightarrow \phi(x) = -\int E(x) dx + C$

$\bar{E}$  and potential are continuous at  $x=0$

so,

$$\phi(x) = \begin{cases} \frac{qN_a}{\epsilon_s} \left[ x_{p0}x + \frac{x^2}{2} + \frac{x_{p0}^2}{2} \right] & : -x_{p0} < x < 0 \\ \frac{qN_d}{\epsilon_s} \left( x_{n0}x - \frac{x^2}{2} \right) + \frac{qN_a x_{p0}^2}{2\epsilon} & : 0 < x < x_{n0} \end{cases}$$



$\Phi_0$  is the  
built in  
voltage

$$\Phi_0 = V_{bi}$$

$$V_{bi} = \frac{qN_a}{2\epsilon_s} x_{p0}^2 + \frac{qN_d}{2\epsilon_s} x_{n0}^2$$

$$\text{depletion width} = x_{n0} - (-x_{p0})$$

$$= x_{n0} + x_{p0}$$

$$\text{from } \textcircled{1} \rightarrow N_a x_{p0} = N_d x_{n0}$$

$$x_{p0} = \frac{N_d}{N_a} x_{n0}$$

$$W = x_{n0} \left( 1 + \frac{N_d}{N_a} \right)$$

if  $N_a, N_d \gg$ ,  
 $W \downarrow$

Low concentration  
 $\equiv$  wider width



so, for high dopings  
we have small depletion width

if  $N_A, N_D \uparrow \rightarrow W \downarrow \rightarrow$  band bending  $\downarrow$

$$W = \frac{2\epsilon_s V_{bi}}{q} \left[ \frac{N_A + N_D}{N_A \cdot N_D} \right]^{1/2}$$

note :  $W \propto V_{bi}$

Reverse bias  $\rightarrow V_{bi}' = V_{bi} - qV \rightarrow W \uparrow \rightarrow E \downarrow I \downarrow$

Forward bias  $\rightarrow V_{bi}' = V_{bi} + qV \rightarrow W \downarrow \rightarrow E \uparrow I \uparrow$

diffusion current = - drift current  
for pn junction

$$V_{bi} = \frac{kT}{q} \ln \left[ \frac{N_A N_D}{n_i^2} \right]$$

drift current =  $q P \mu p E$

diffusion current =  $q D_p \frac{dp}{dx}$

} for p side

$$q \mu_F E = q D_F \frac{dp}{dx}$$

$$\mu_F \left[ -\frac{dv}{dx} \right] = D_F \frac{dp}{dx}$$

$$-\mu_F \int_{x_1}^{x_2} dv = D_F \int_{p_n}^{p_p} \frac{1}{p} dp$$

$$V(x_{p_0} - x_{n_0}) = \frac{D_F}{\mu_F} \ln \left( \frac{p_p}{p_n} \right)$$

→ diffusion coeff  
↓ mobility

$$\frac{D_F}{\mu_F} = \frac{kT}{q} : \text{Einstein relation}$$

$$\text{and } p_p \approx N_A$$

$$\text{and } p_n n = n_i^2 \quad (\text{mass action Law})$$

$$p_n N_D = n_i^2$$

$$V(x_{p_0} - x_{n_0}) = \frac{kT}{q} \ln \left[ \frac{N_A}{n_i^2 / N_D} \right]$$

$$(\text{Unit: Volts}) \quad V_{bi} = \frac{kT}{q} \ln \left[ \frac{N_A N_D}{n_i^2} \right]$$

now we have 2 formulae for  $V_{bi}$

# # Lecture after Quiz-3

## → HETERO Junctions

Hetero structure devices: devices with a position dependent alloy composition

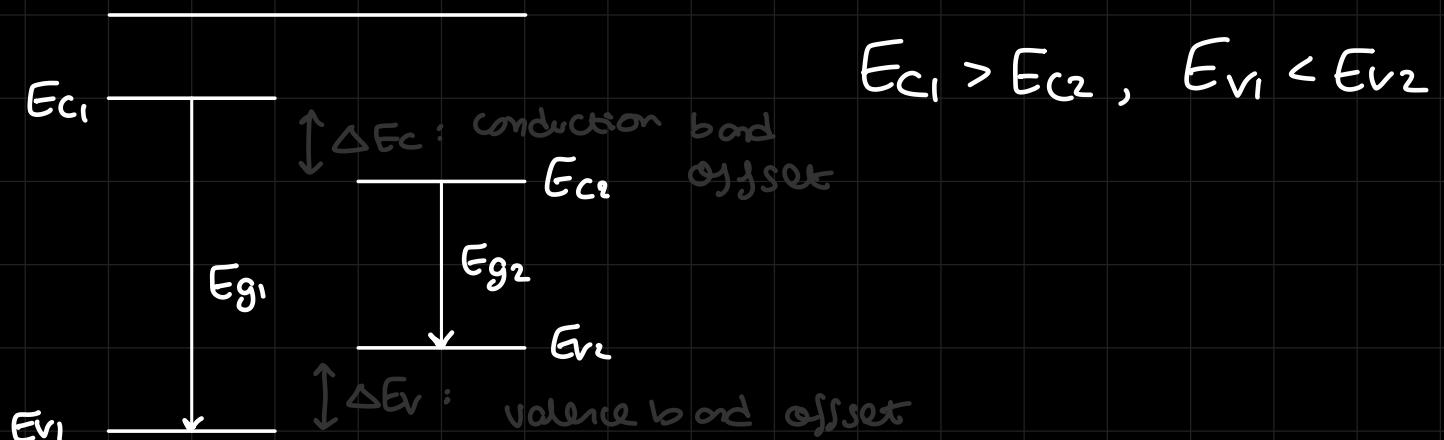
## → Type of heterojunctions

Straddling Gap

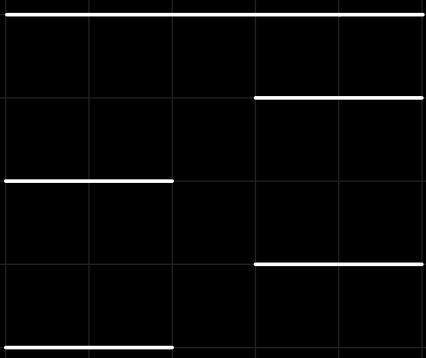
Staggered gap

Broken gap

### ① Staggered gap



## (2) Staggered Gap



$$E_{C1} < E_{C2}$$

$$E_{V1} < E_{V2}$$

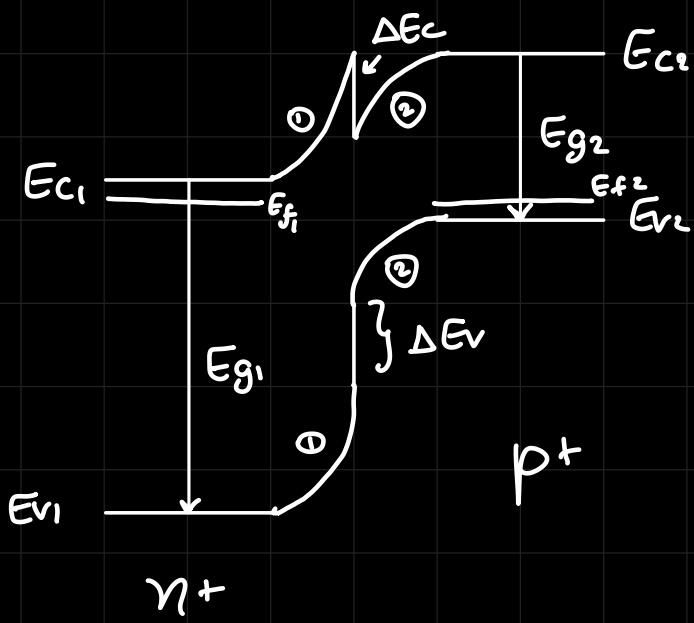
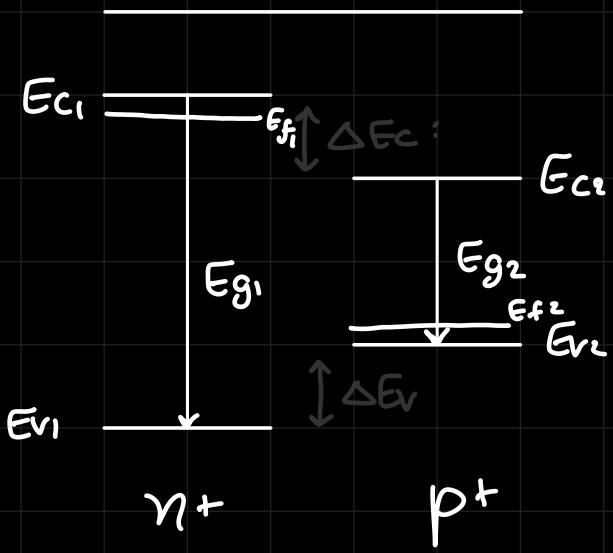
## (3) Broken Gap



$$E_{C2} > E_{V2} > E_{C1} > E_{V1}$$

eg:  $\text{Al}_{0.3}\text{Ga}_{0.7}\text{As}$

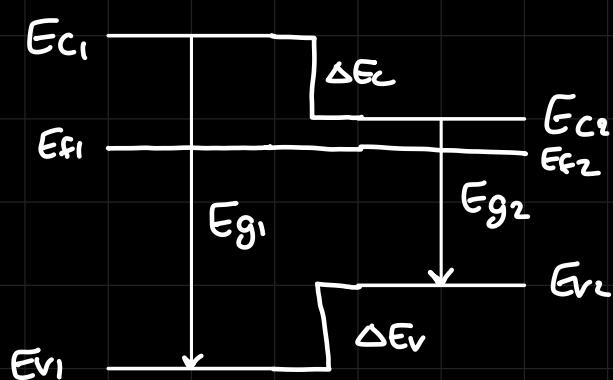
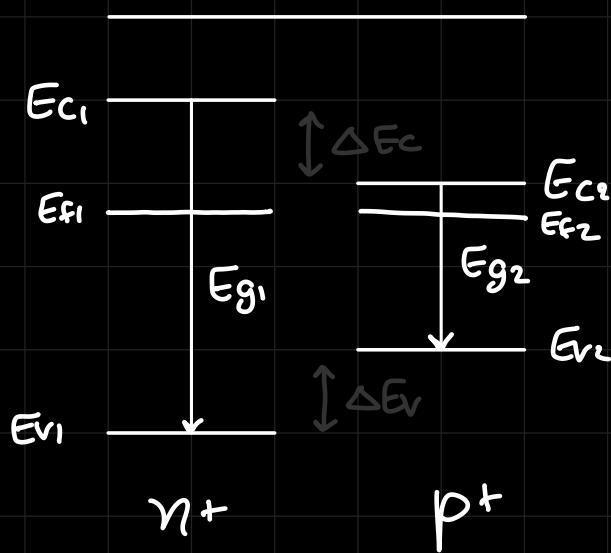
before contact



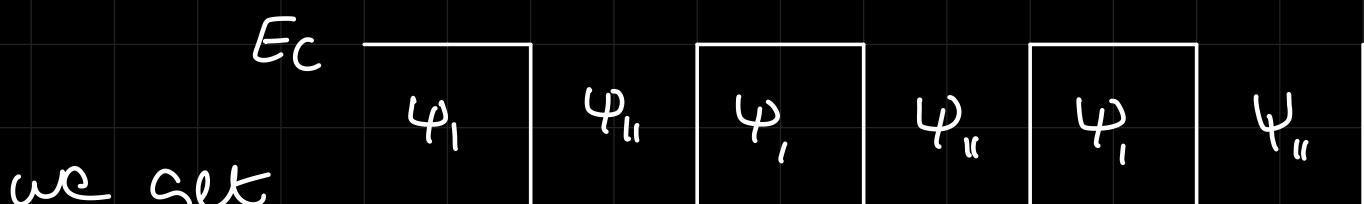
① : e- depleted  
② : e- accumulated

how to determine  $\bar{E}$  for the sudden jump due to the offsets?

How about we choose doping so that no band bending required ( $E_F$  const)



what if we add more such SC pairs?



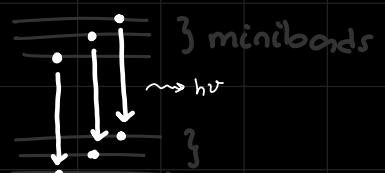
we get  
quantum  
well

Kroneig Penny Model  
quantized periodicity

This conduction bond will form mini bonds. We can also think about valence bond similarly.

minibond (super lattice design)

quantum cascade laser

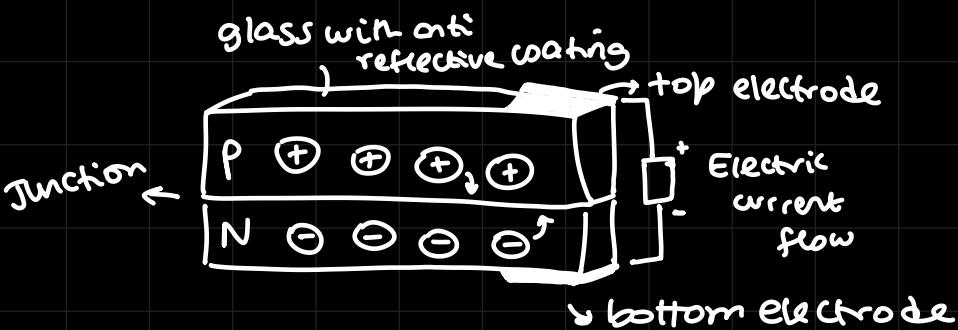


If the distance of traversal is kept constant, we can achieve a radiation of some freq with very high intensity (LASER)

# # SOLAR CELL

$h\nu$  absorbed :  $e^- \xrightarrow{\text{free}} \text{electron hole pair generated}$

Utilizes a PN junction



This charge is held in a solar cell

works in unbiased mode

The charges in the depletion region must be immediately separated ( $h\nu$ ) and stored

$$I = I_{ph} - I_d$$

photosogenerated current      diode/dark current due to thermal excitation inside the device  
need to minimize  $I_d$  and maximize  $I_{ph}$

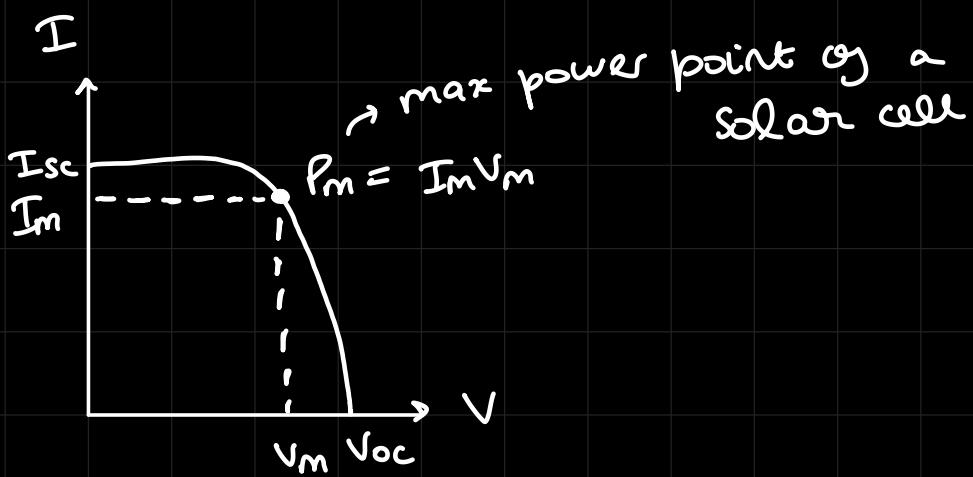
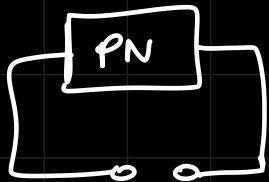
$$I = I_{ph} - I_o \left( e^{\frac{qV}{k_B T}} - 1 \right)$$

\* Short circuit current: max  $I$  generated with no bias/resistor

P-N

$I_{sc}$

\* Open circuit voltage : max V generated (V<sub>oc</sub>) in open circuit condition



# SOLAR Efficiency (Shockley - Queisser Limit)

The max efficiency for a single pn junction solar cell is 33.7%.

at  $E_g = 1.4 \text{ eV}$

but  $\text{Si} \rightarrow E_g = 1.1 \text{ eV}$   
resulting in  $\eta_{\max} = 32\%$  only

visible range :  $1.6 \text{ eV} \rightarrow 3.1 \text{ eV}$

but  $n_{33.7} \rightarrow E_g = 1.4 \text{ eV} < 1.6 \text{ eV}$

We get better  $\eta$  efficiency by stacking layers of diff materials (with varying band gaps) to capture wider range of frequency spectrum.

### = Tandem Solar Cell

Theoretically, we got 50% - 52%  $\eta$

Still we use Si because practical use case wise, it offers mix of  $\eta$  and complexity.