Optimistic Stack Allocation and Dynamic Heapification for Managed Runtimes

Software Engineering Research in India (SERI 2024)



Aditya Anand

Indian Institute of Technology Bombay

Content of the slides

Aditya Anand*, Solai Adithya†, Swapnil Rustagi†, Priyam Seth†, Vijay Sundaresan#, Daryl Maier#, V Krishna Nandivada† and Manas Thakur*. "Optimistic Stack Allocation and Dynamic Heapification in Managed Runtimes", PLDI 2024.

*IIT Bombay, †IIT Mandi, #IBM Canada, †IIT Madras









• Managed runtime for Java allocate objects on the heap.

• A a = new A(); // On heap



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- Benefits:
 - Unburden programmer from making complex allocation-deallocation decisions and reduce the possibility of harmful memory bugs.
 - Automatic garbage collection.
- Challenges:
 - Access time is high.
 - Garbage collection is an overhead.





• Memory allocated on stack:



- Memory allocated on stack:
 - Less access time.



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 - Get freed up as soon as the allocating method returns.



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- In case of Java:
 - Escape analysis is performed: Just-in-time (JIT) compilation Imprecise
 - Very few objects get allocated on stack.





• Perform precise (context-, flow-, field-sensitive) escape analysis statically.



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- Use statically generated escape analysis result to optimistically allocate objects on stack at runtime.



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 - Dynamic Features: Dynamic Class Loading (DCL), Hot-Code Replacement (HCR) allows code changes.



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 - Dynamic Features: Dynamic Class Loading (DCL), Hot-Code Replacement (HCR) allows code changes.
 - An object that was stack allocated based on static-analysis results, might start escaping at run-time.



- Perform precise (context-, flow-, field-sensitive) escape analysis statically.
- Use statically generated escape analysis result to optimistically allocate objects on stack at runtime.
- Challenges:
 - Dynamic Features: Dynamic Class Loading (DCL), Hot-Code Replacement (HCR) allows code changes.
 - An object that was stack allocated based on static-analysis results, might start escaping at run-time.
- How to safely allocate objects on stack in a managed runtime?

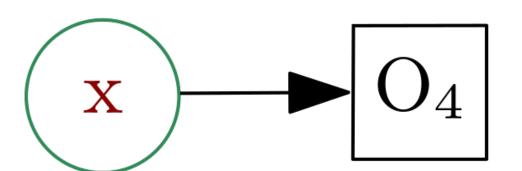


```
11. void zar(A p, A q) { . . .}
1. class A {
                            12. void bar(A p_1, A p_2) {
2. A f;
3. void foo(A q, A r) { 13. p_1.f = p_2;
4. A x = \text{new A()}; // O_4 14. } /* method bar */
     A y = \text{new A}(); // O_5 15. } /* class A */
5.
6.
    x.f = new A(); // O_6
  A p = x.f;
8.
     bar(p, y);
9.
    r.zar(p, q);
10. } /* method foo */
```



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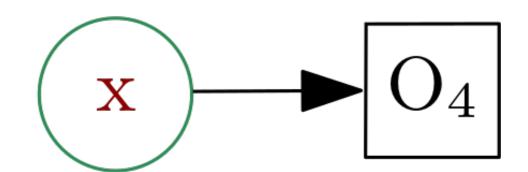
foo

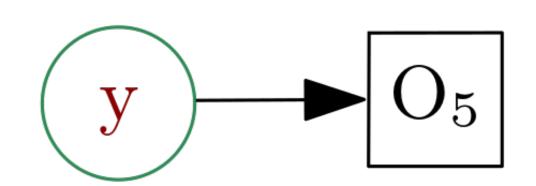




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foo

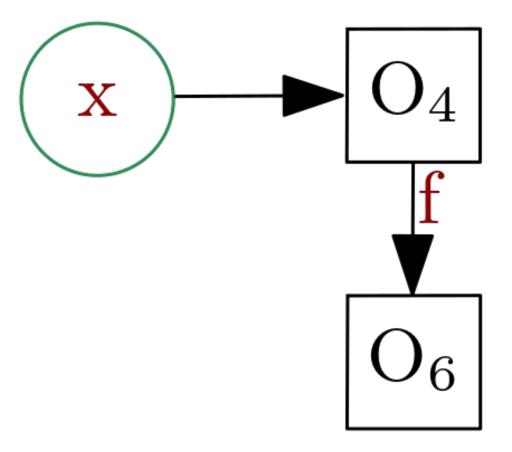


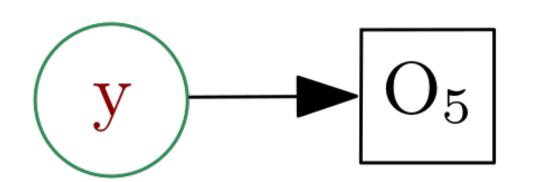




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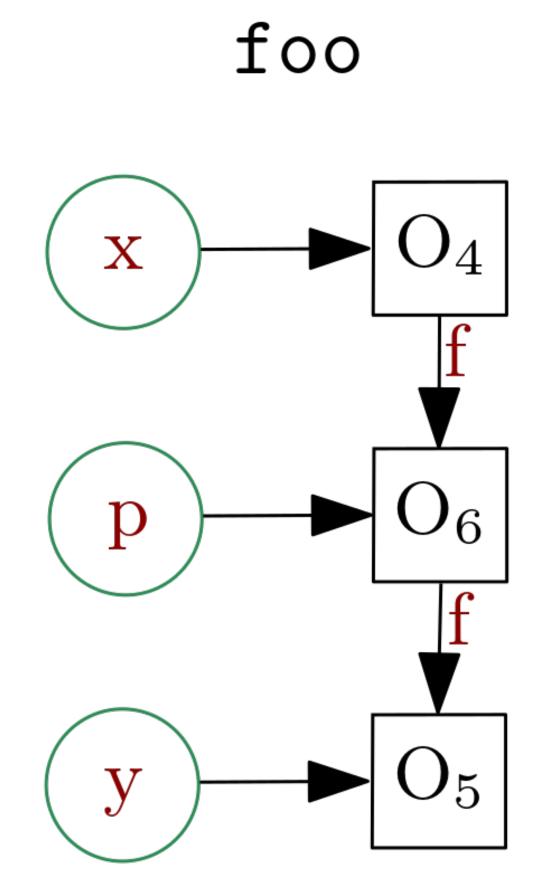


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foo \mathbf{X} p

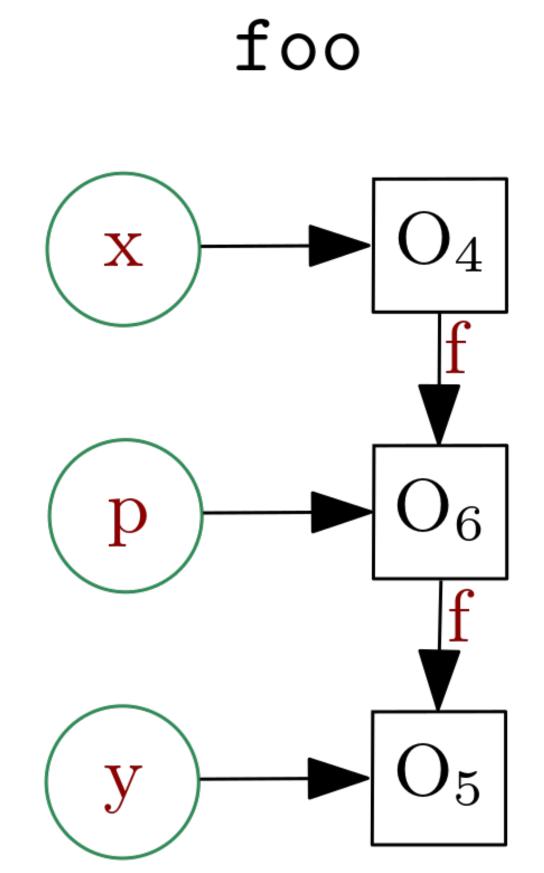


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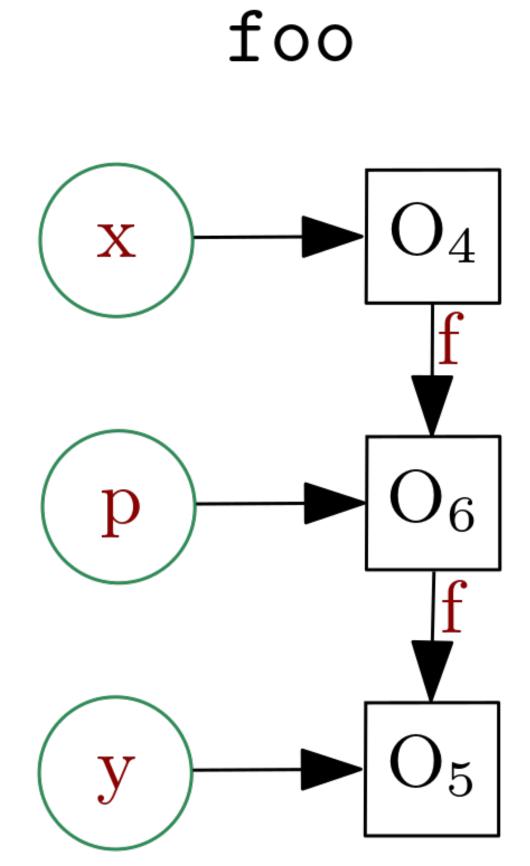




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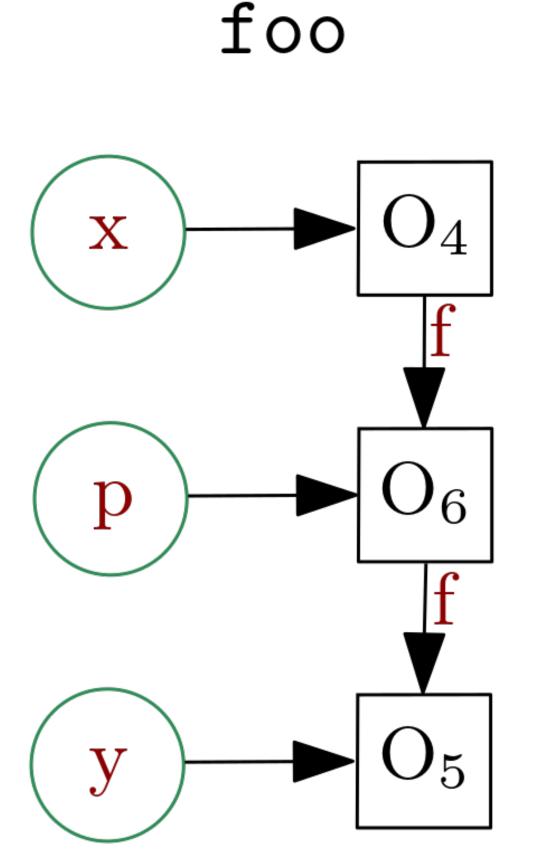
```
void zar(A p, A q) { . . .}
12. void bar(A p_1, A p_2) {
```

Stack Allocate $O_4, O_5 \text{ and } O_6$





```
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       A y = \text{new A()}; // O_5 15. } /* class A */
5.
      x.f = new A(); // O<sub>6</sub> 16. class B extends A
6.
       A p = x.f;
                              17. void zar(A p, A q) {
8.
                              18. q.f = p;
       bar(p, y);
9.
                              19. } /* method zar */
       r.zar(p, q);
10. } /* method foo */
                              20. } /* class B */
```





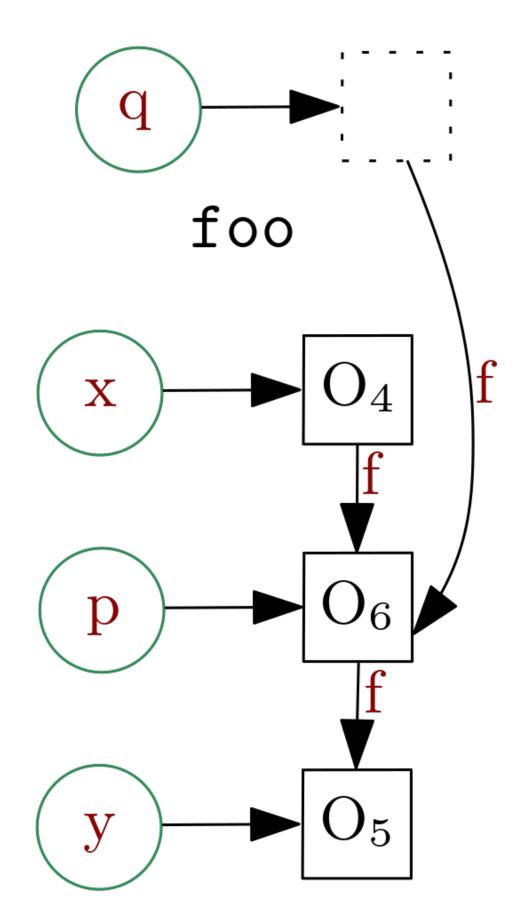
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foo p y



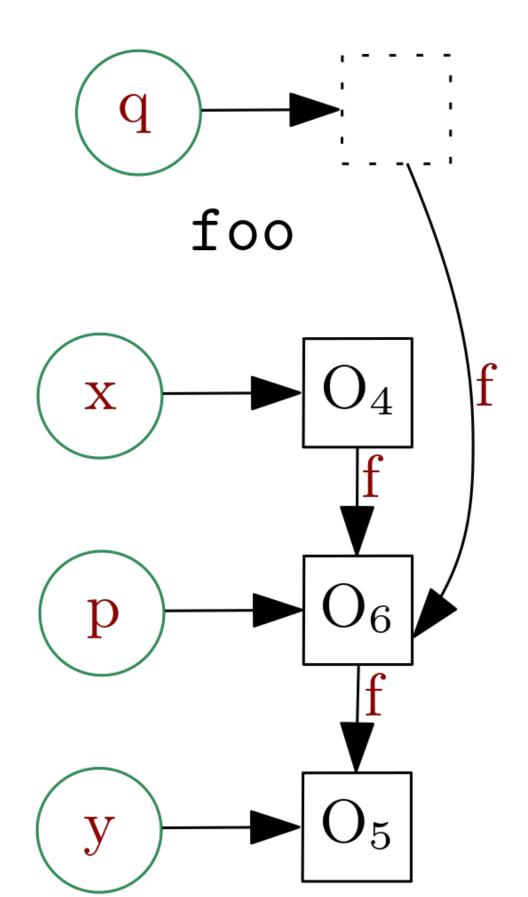


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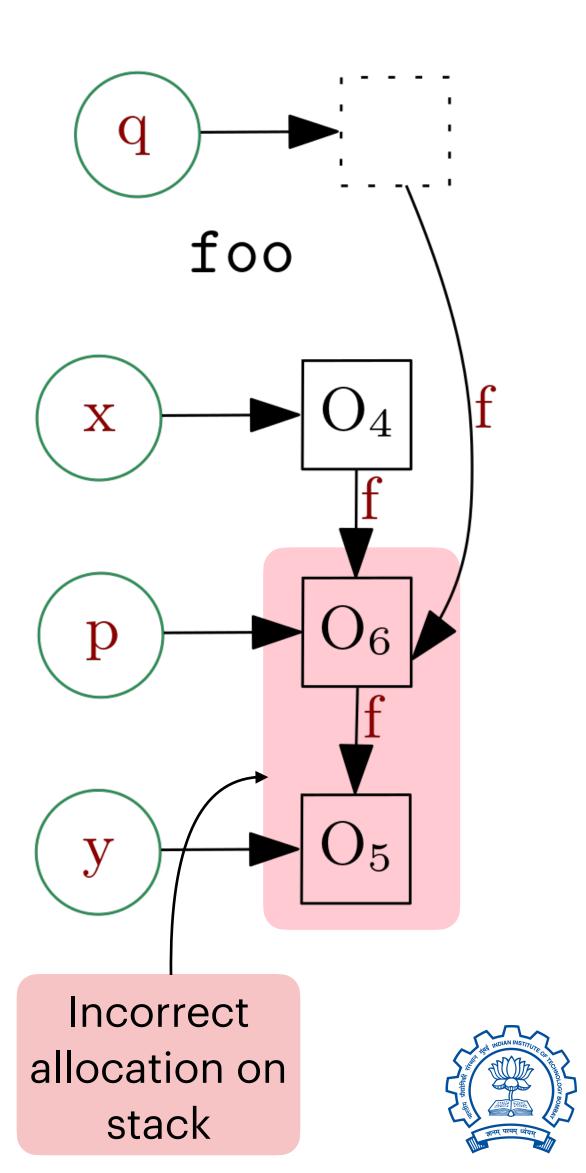


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                              11.
2. A f;
                              12. void bar(A p_1, A p_2) {
   void foo(A q) A r) { 13. p_1.f = p_2;
     A x = \text{new A}(); // O_4 14. } /* method bar */
       A y = \text{new A()}; // O_5 15. } /* class A */
5.
     x.f = new A(); // O<sub>6</sub> 16. class B extends A
6.
                              17. void zar(A p, (A q) {
      A p = x.f;
       bar(p, y);
8.
                              18. q.f = p;
       r.zar(p,(q);
9.
                            19. } /* method zar */
10.
    } /* method foo */
                              20. } /* class B */
```





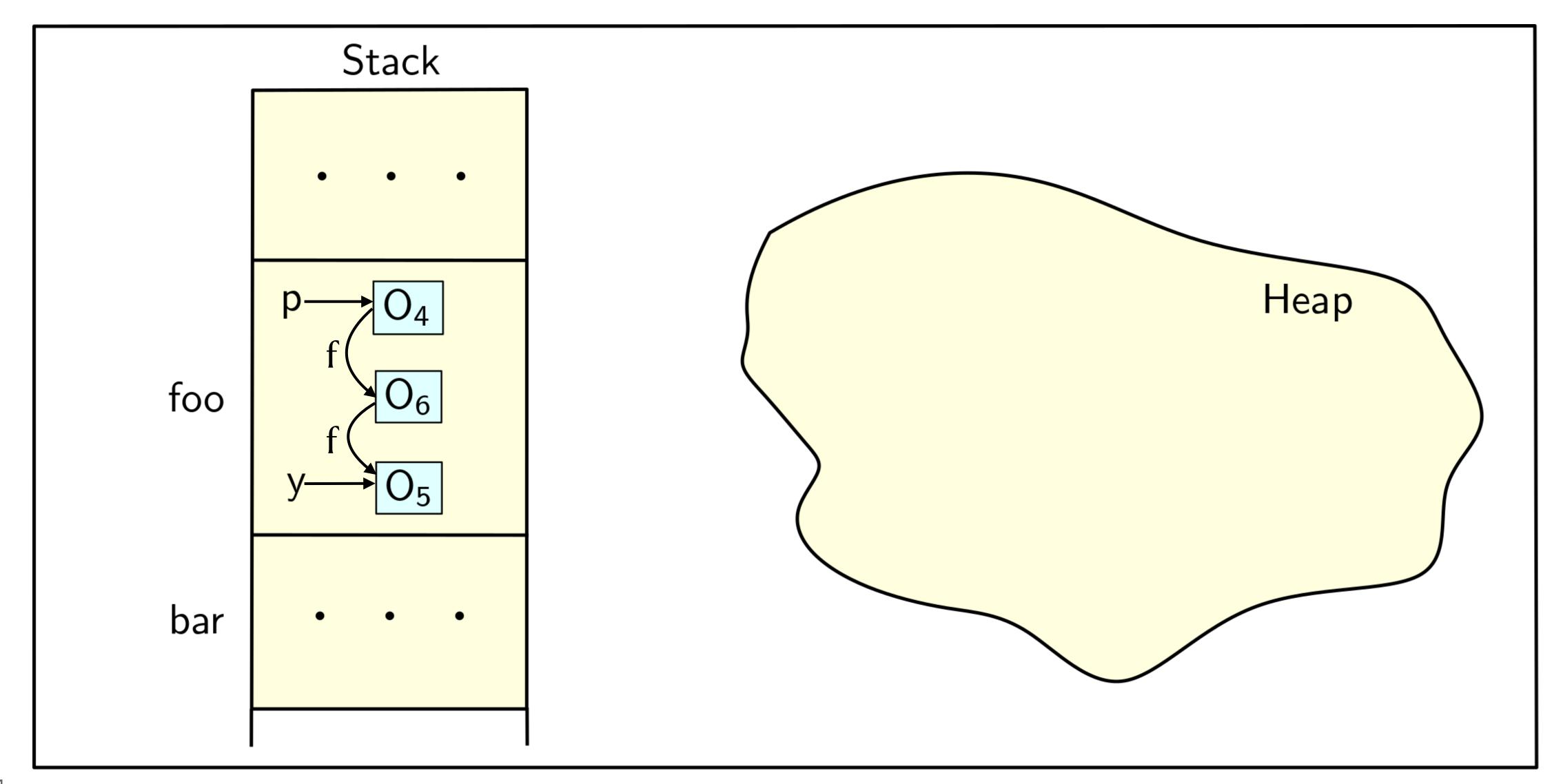
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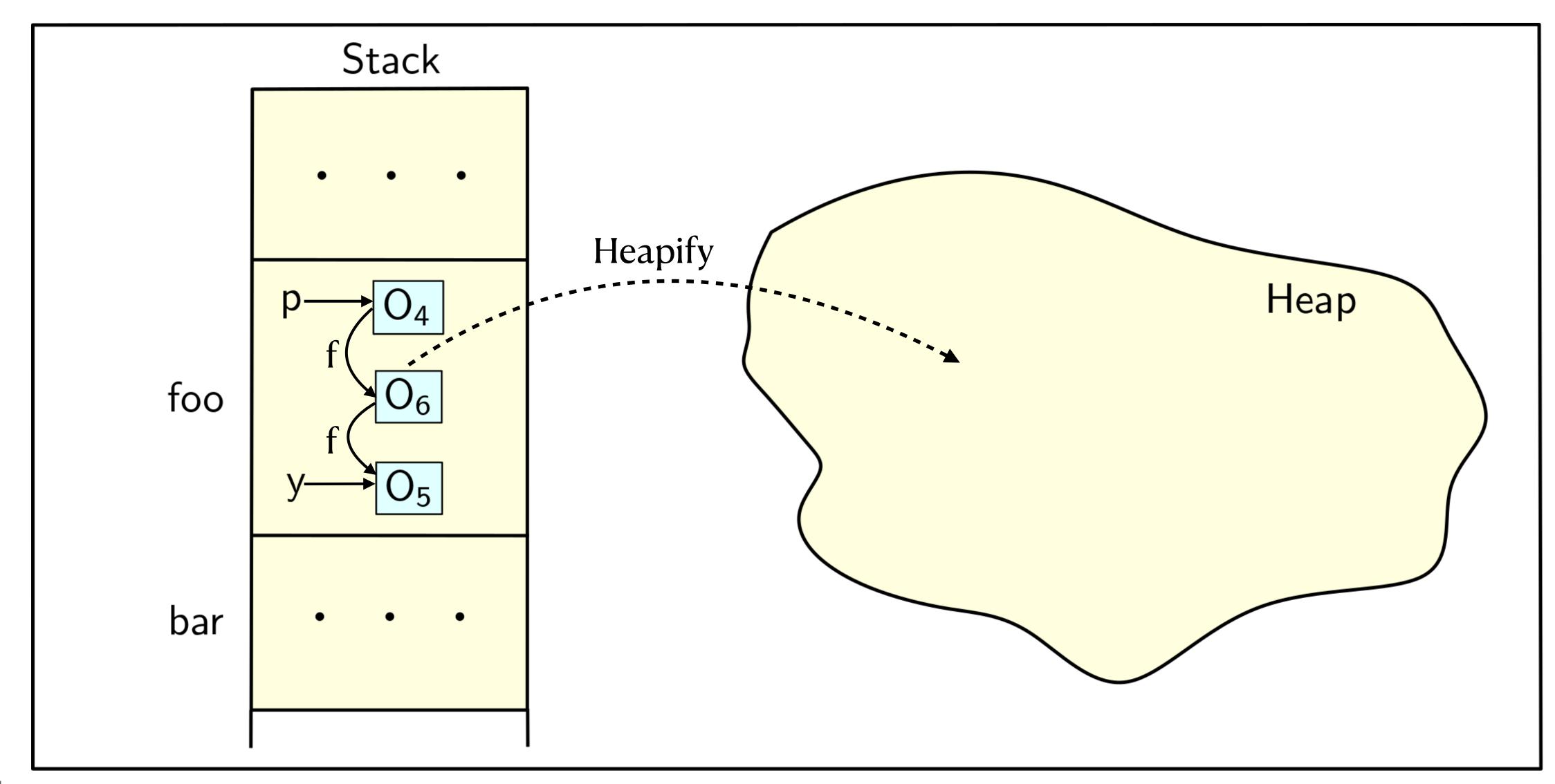


Dynamic Heapification

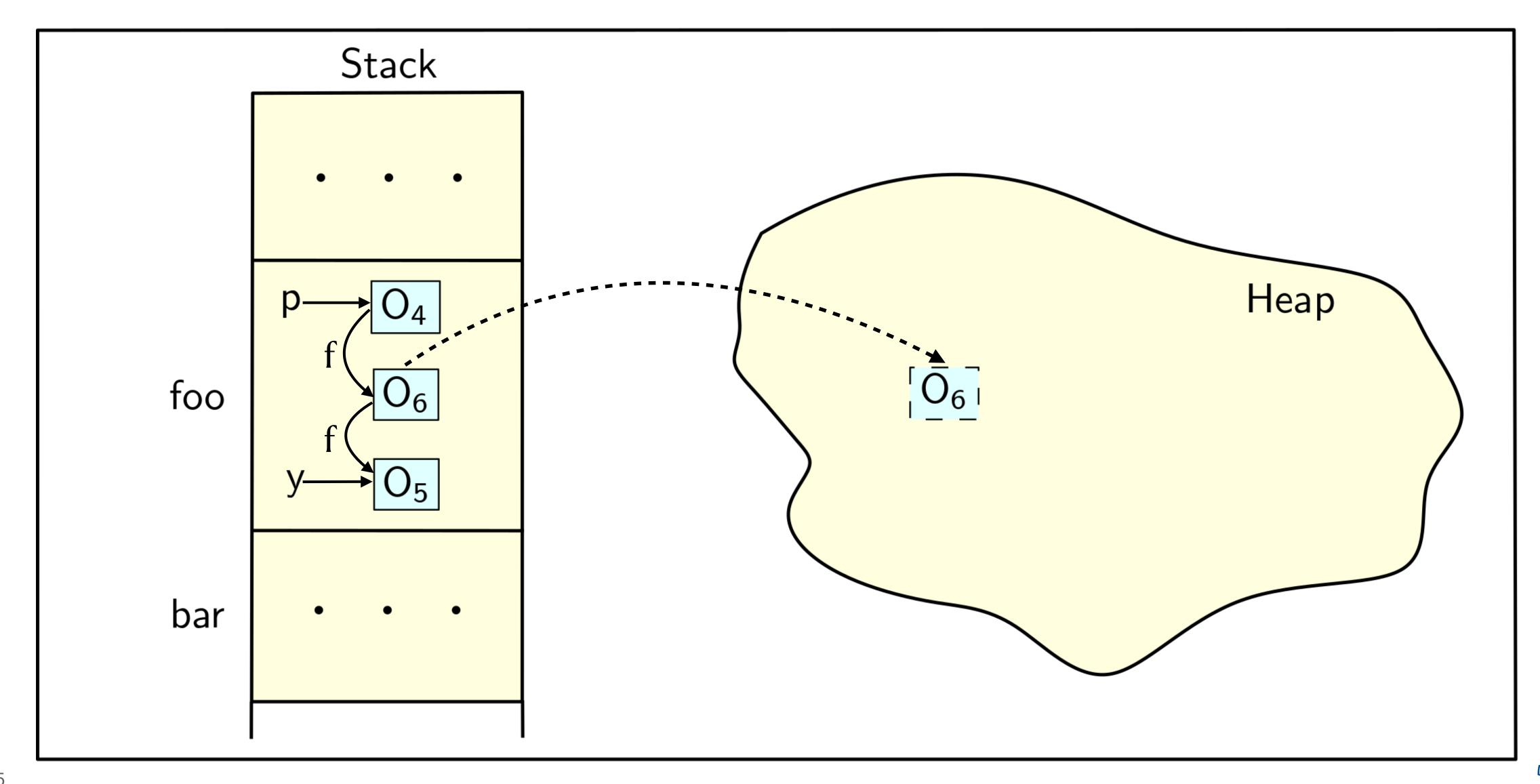




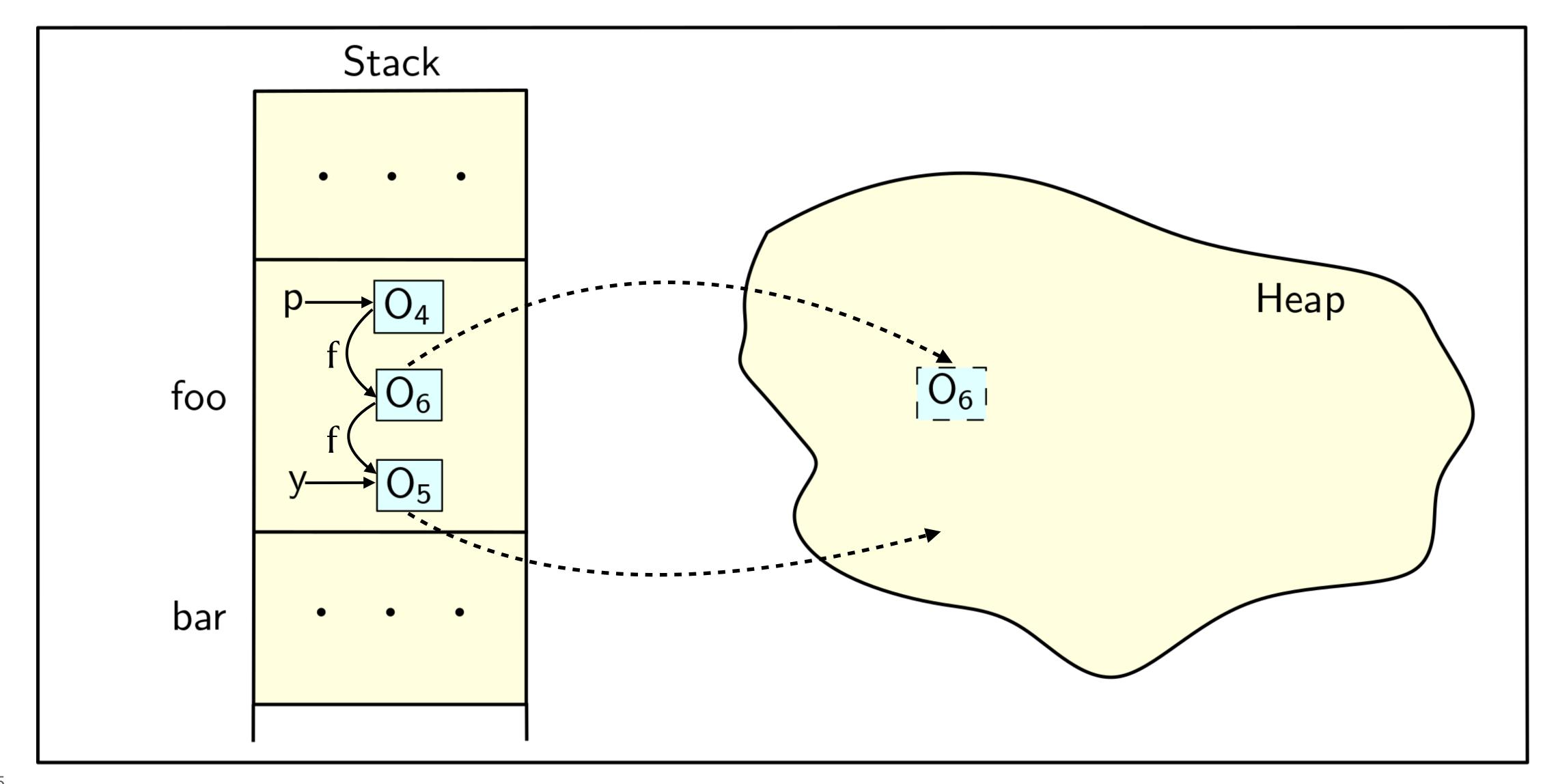




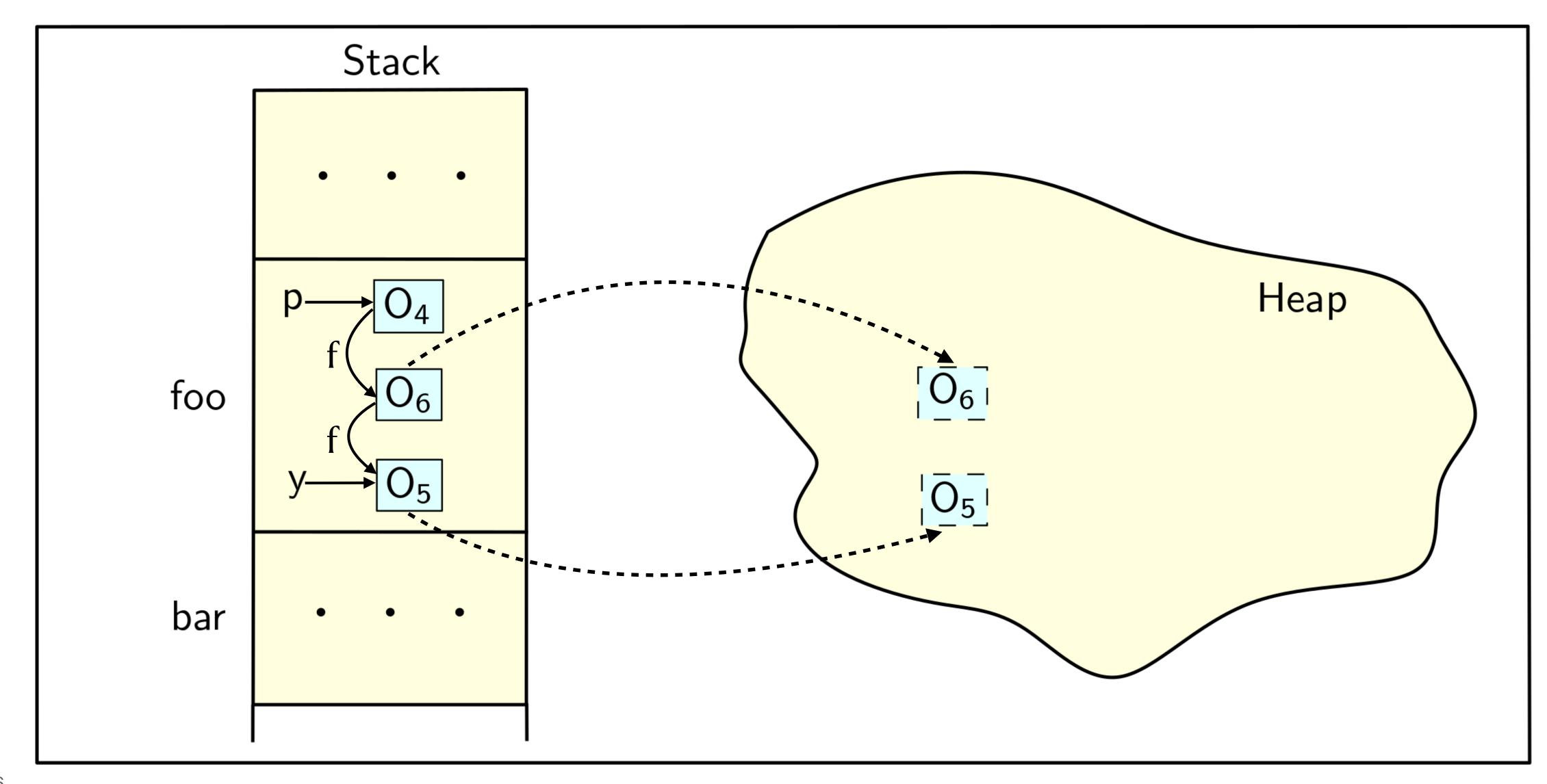




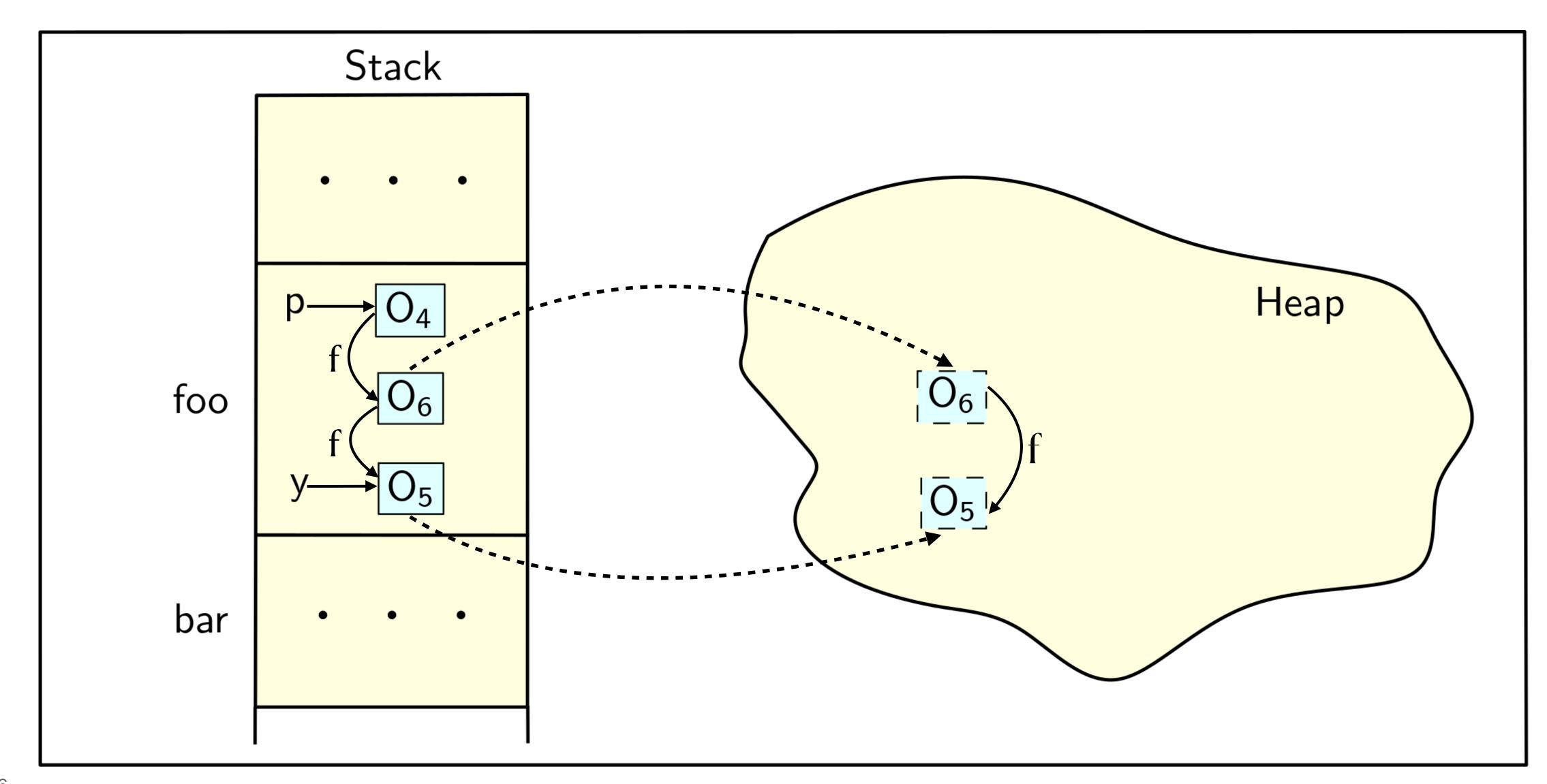




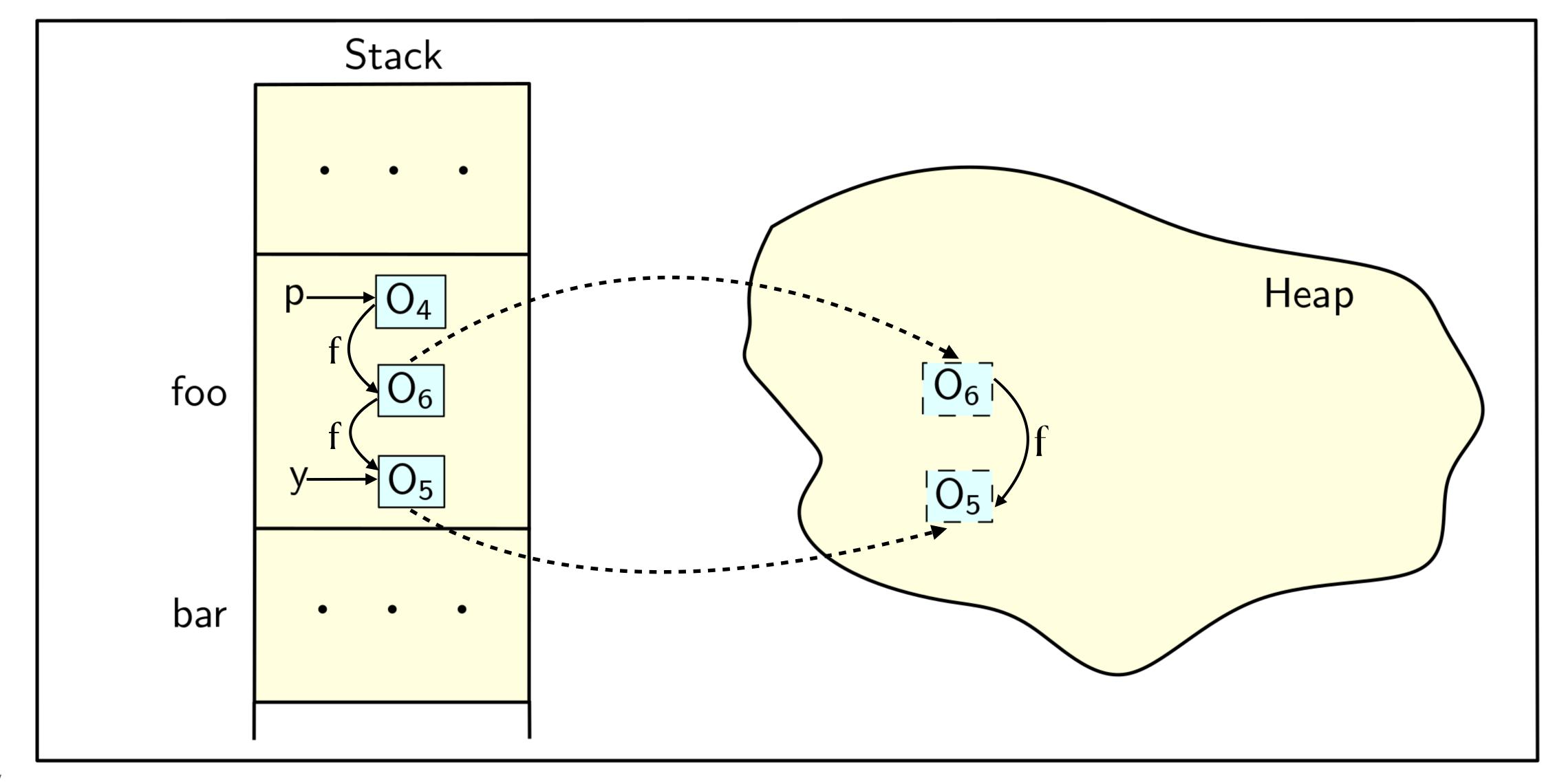




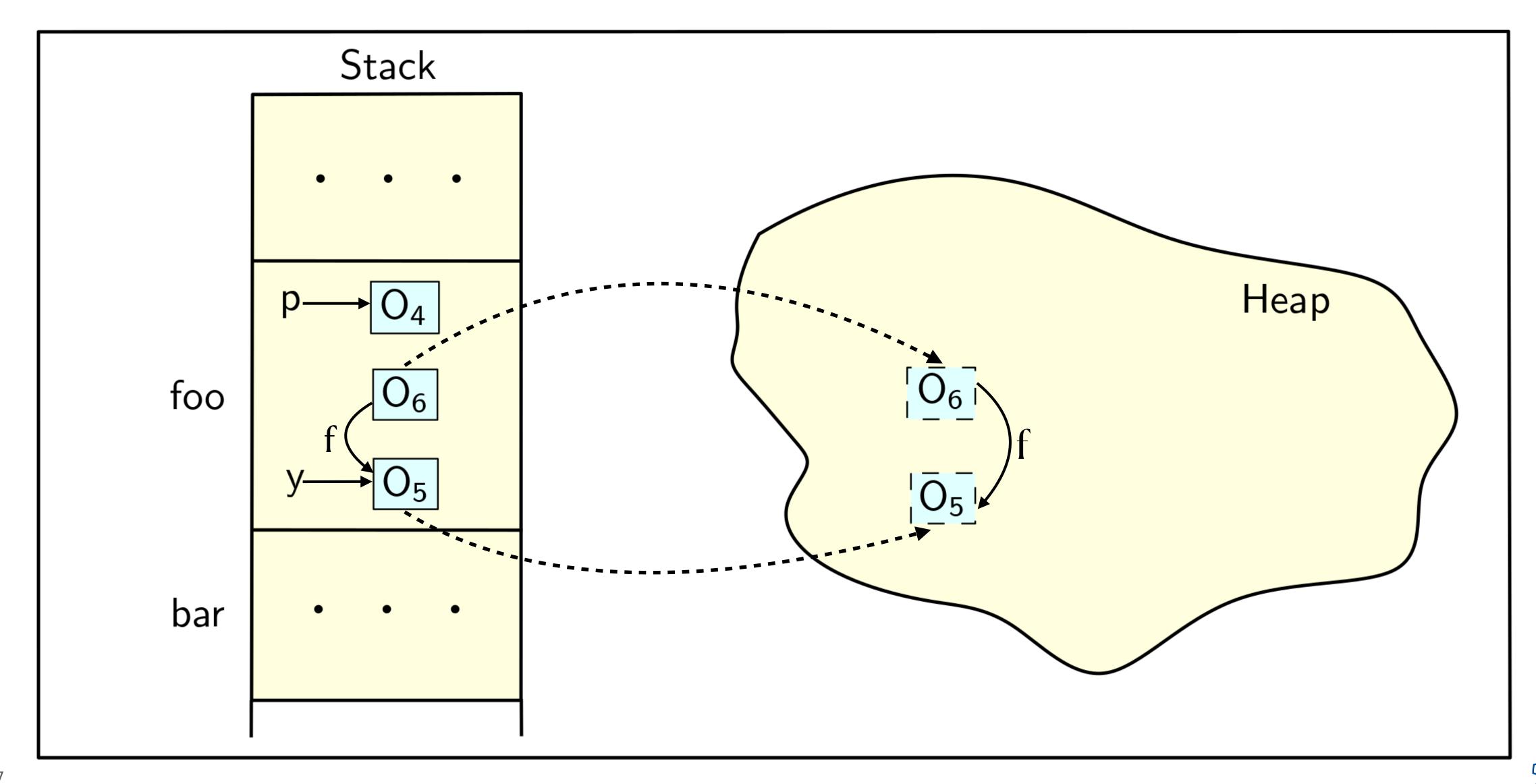




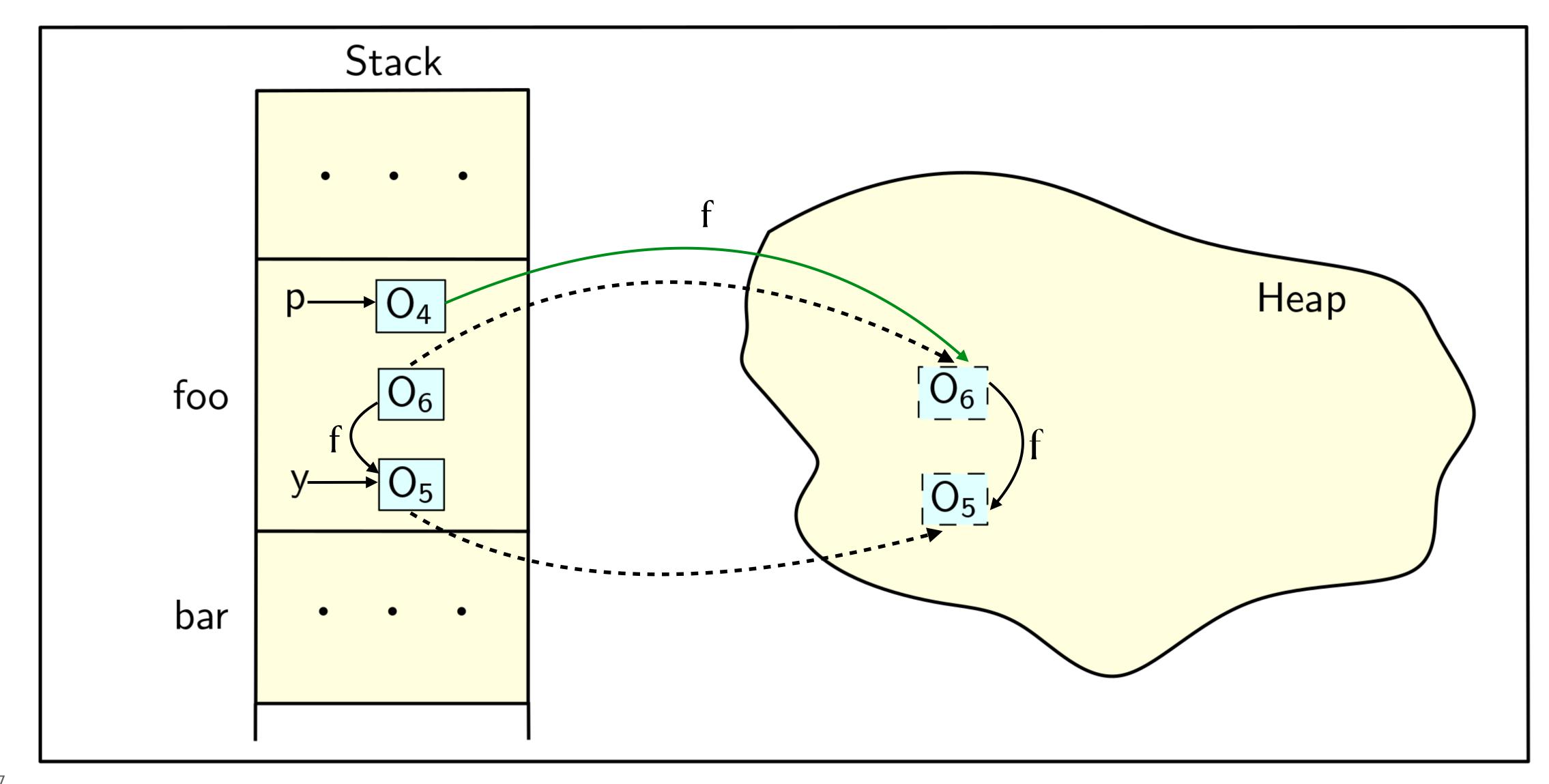




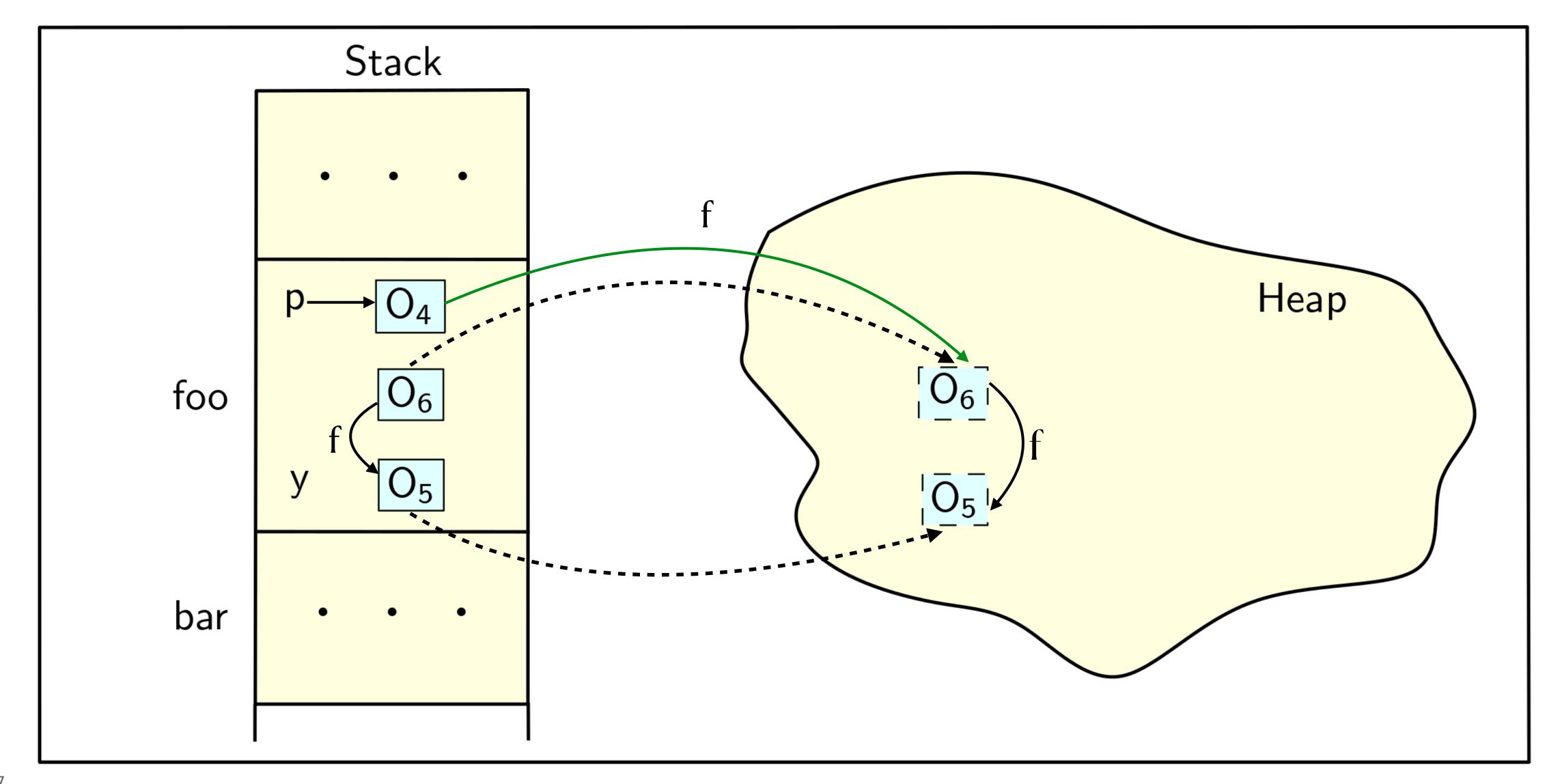




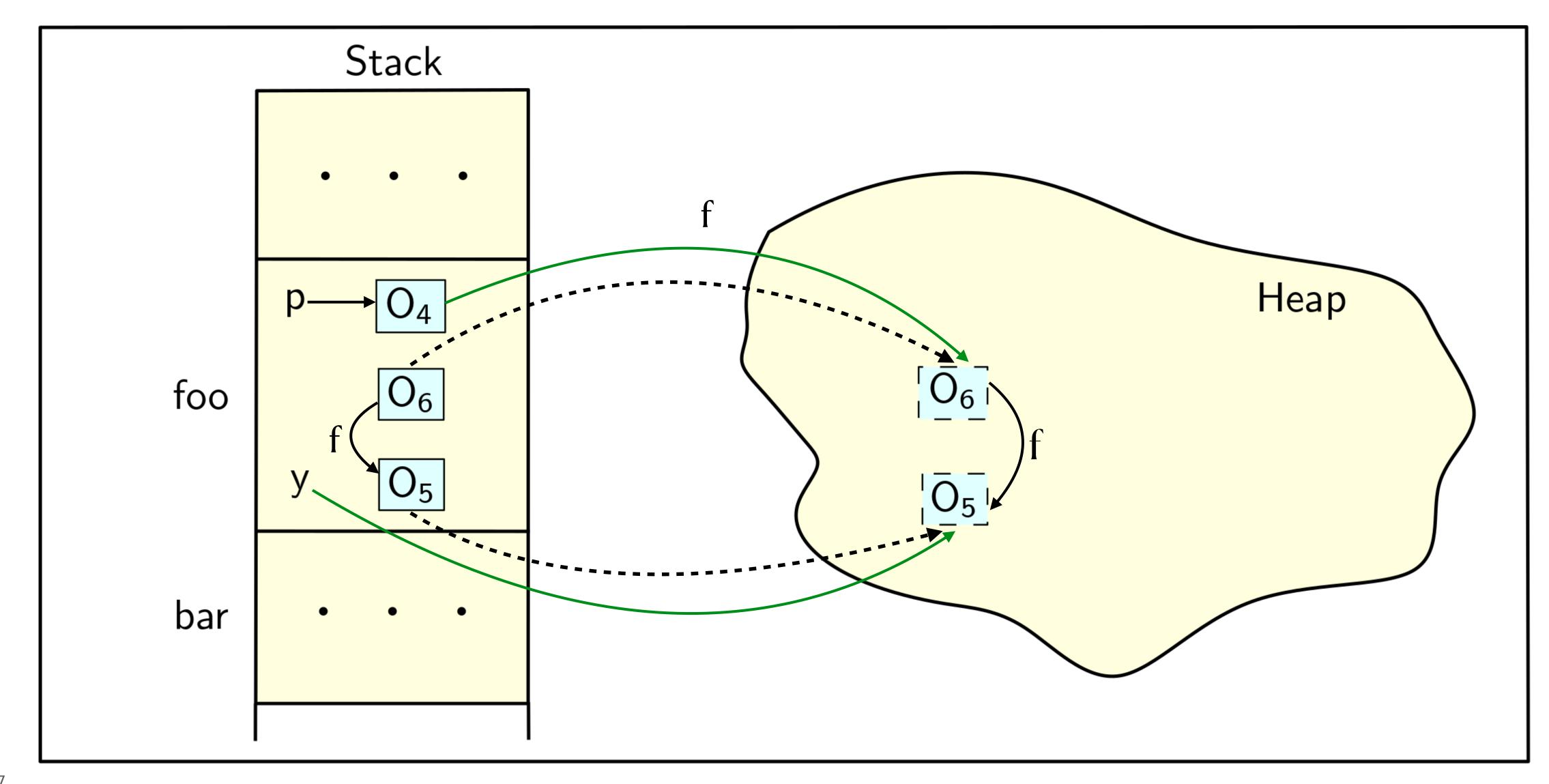




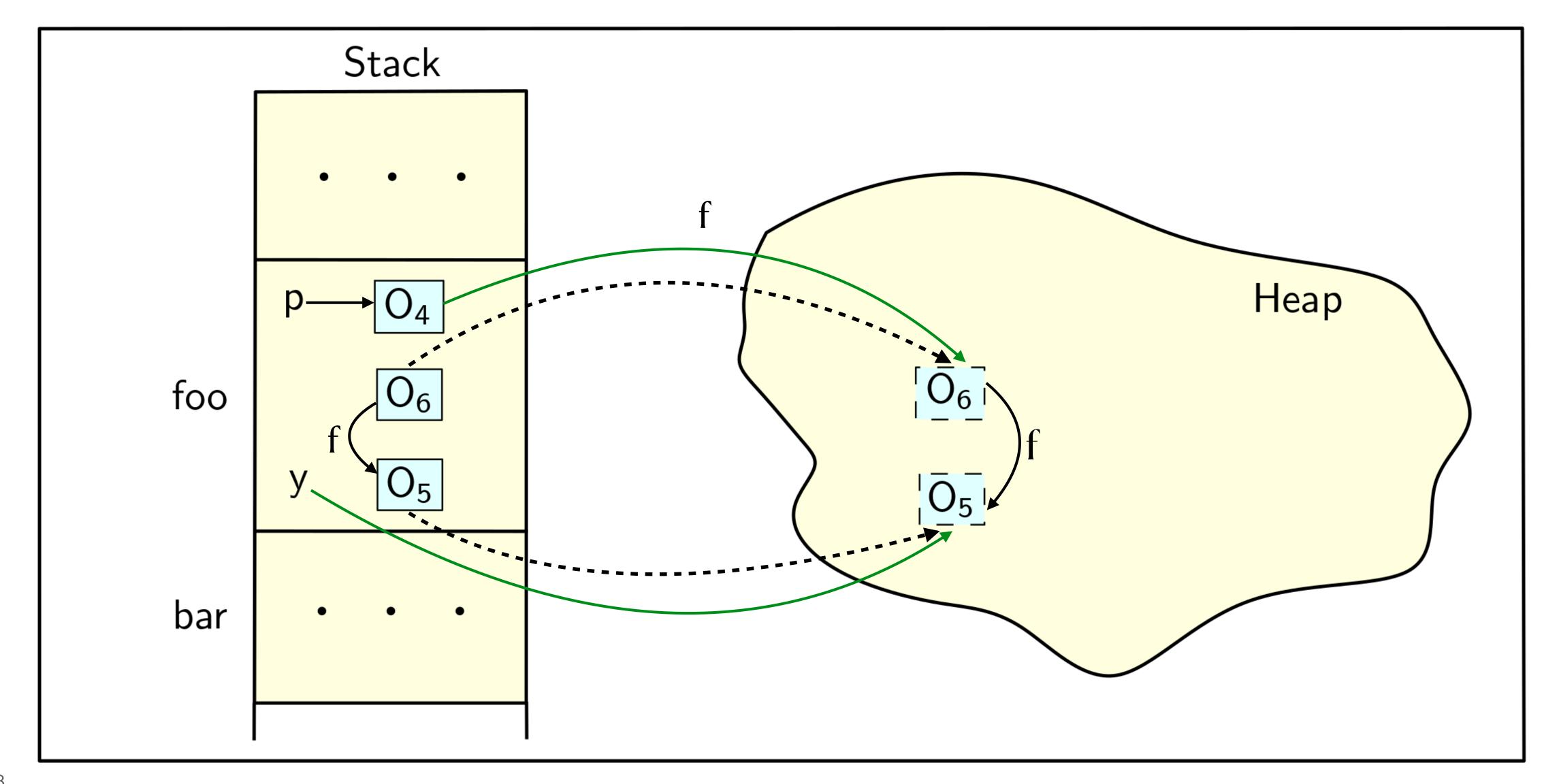




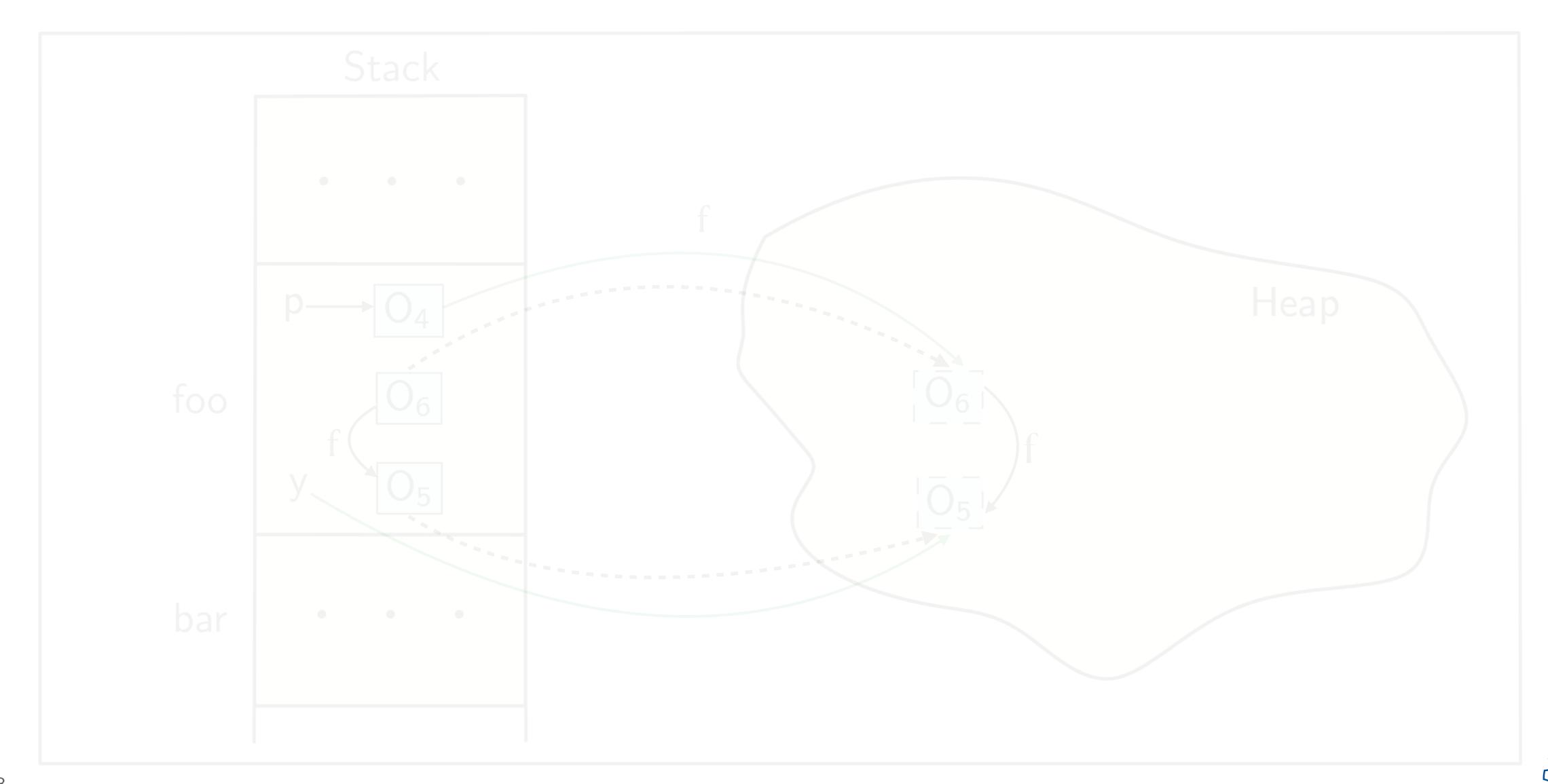


















```
1 Procedure HeapificationCheckAtStore(1hs, rhs)
      if rhs object is outside stack bounds then
           No heapification required.
3
       else
4
           /* The rhs object is present on the stack */
           if lhs object is outside stack bounds then
5
               Heapify starting from the rhs object.
6
           else
               /* Both lhs and rhs objects are on the stack */
               if rhs object has been allocated before the lhs object then
8
                   No heapification required.
 9
               else
10
                   /* The lhs object has been allocated in either the same frame or a deeper frame as
                      compared to the rhs object */
                   Perform stack-walk and heapify if needed.
```



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1 Procedure HeapificationCheckAtStore(1hs, rhs)
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3
                                                                                                  rhs
       else
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```
1 Procedure HeapificationCheckAtStore(1hs, rhs)
       if [rhs object is outside stack bounds then]
           No heapification required.
3
                                                                                                  rhs
       else
                                                                                     lhs
4
           /* The rhs object is present on the stack */
           if lhs object is outside stack bounds then
5
               Heapify starting from the rhs object.
6
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                                                                                                  rhs
       else
                                                                                     lhs
4
           /* The rhs object is present on the stack */
           if [lhs object is outside stack bounds then]
5
               Heapify starting from the rhs object.
6
           else
               /* Both lhs and rhs objects are on the stack */
               if rhs object has been allocated before the lhs object then
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       else
                                                                                     lhs
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6
           else
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       else
                                                                                      lhs
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           /* The rhs object is present on the stack */
           if lhs object is outside stack bounds then
5
               Heapify starting from the rhs object.
6
           else
               /* Both lhs and rhs objects are on the stack */
               if (rhs object has been allocated before the lhs object then)
8
                   No heapification required.
 9
               else
10
                   /* The lhs object has been allocated in either the same frame or a deeper frame as
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```



```
    class T {
    T f;
    void m1() {m2(. . .);}
    void m2() {m3(. . .);}
    void m3(T a, T b) {
    a.f = b;
    } /* method m3 */
    /* class T */
```



```
1. class T {
                            m1 | O_a allocated here
   Tf;
   void m1() {m2(. . .);}
                            m2 | O_b allocated here
   void m2() {m3(. . .);}
   void m3(T a, T b) {
                                   a.f = b
                            m3
6. a.f = b;
7. } /* method m3 */
8.} /* class T */
                                    Case-1
                                  O_b escapes
```



```
1. class T {
                              m1 | O_a allocated here | m1 | O_b allocated here
    Tf;
    void m1() {m2(. . .);}
                              m2 | O_b allocated here | m2 | O_a allocated here
    void m2() {m3(. . .);}
    void m3(T a, T b) {
                                      a.f = b
                              m3
                                                   m3
                                                          a.f = b
6. a.f = b;
7. } /* method m3 */
8.} /* class T */
                                                            Case-2
                                      Case-1
                                                      O_b doesn't escape
                                     O_b escapes
```



```
1. class T {
                                m1 | O_a allocated here | m1 | O_b allocated here | m1
     T f;
     void m1() {m2(. . .);}
                                    |O_b| allocated here |\mathrm{m2}|O_a| allocated here |\mathrm{m2}|
                                                                                  O_a and O_b both
     void m2() {m3(. . .);}
                                                                                    allocated here
     void m3(T a, T b) {
                                                                              m3
                                                                                       a.f = b
                                m3
                                                       m3
                                          a.f = b
                                                                a.f = b
    a.f = b;
7. } /* method m3 */
                                                                                       Case-3
8.} /* class T */
                                                                 Case-2
                                          Case-1
                                                                                 O_b doesn't escape
                                                           O_b doesn't escape
                                        O_b escapes
```



```
1. class T {
                                m1 | O_a allocated here | m1 | O_b allocated here | m1
     T f;
     void m1() {m2(. . .);}
                                    |O_b| allocated here |\mathrm{m2}|O_a| allocated here |\mathrm{m2}|
                                                                                  O_a and O_b both
     void m2() {m3(. . .);}
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                                                                              m3
                                                                                       a.f = b
                                 m3
                                          a.f = b
                                                        m3
                                                                a.f = b
    a.f = b;
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                                                                                       Case-3
8.} /* class T */
                                                                 Case-2
                                          Case-1
                                                                                 O_b doesn't escape
                                                           O_b doesn't escape
                                        O_b escapes
```

Stack Walk — Costly

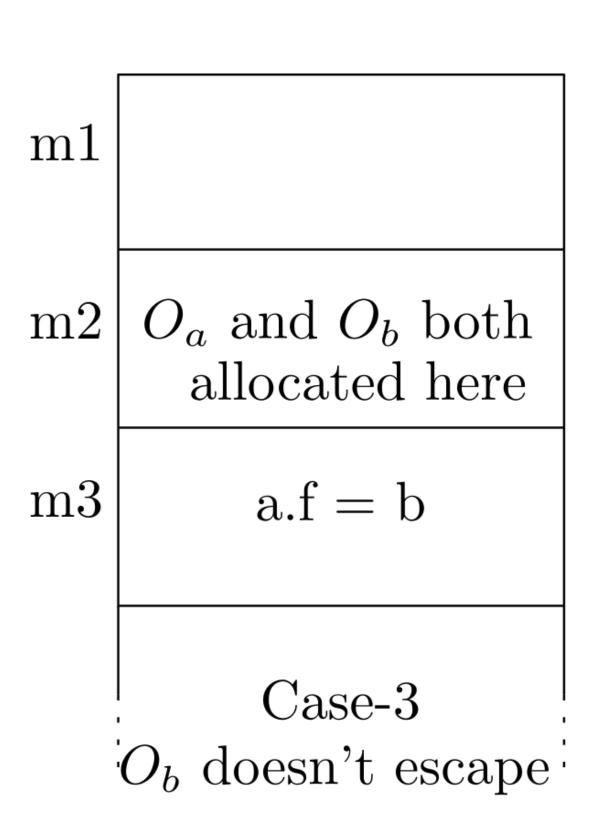




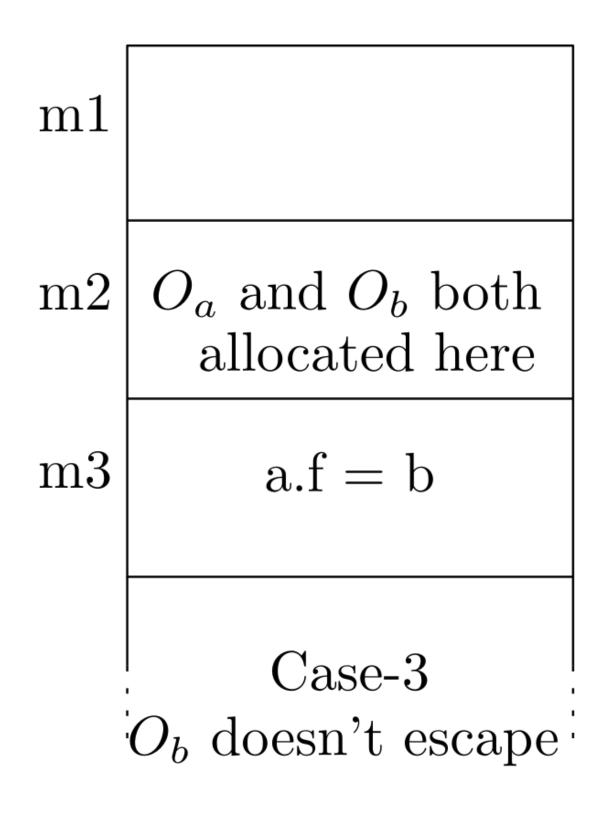


• A simple address-comparison check works majority of times.

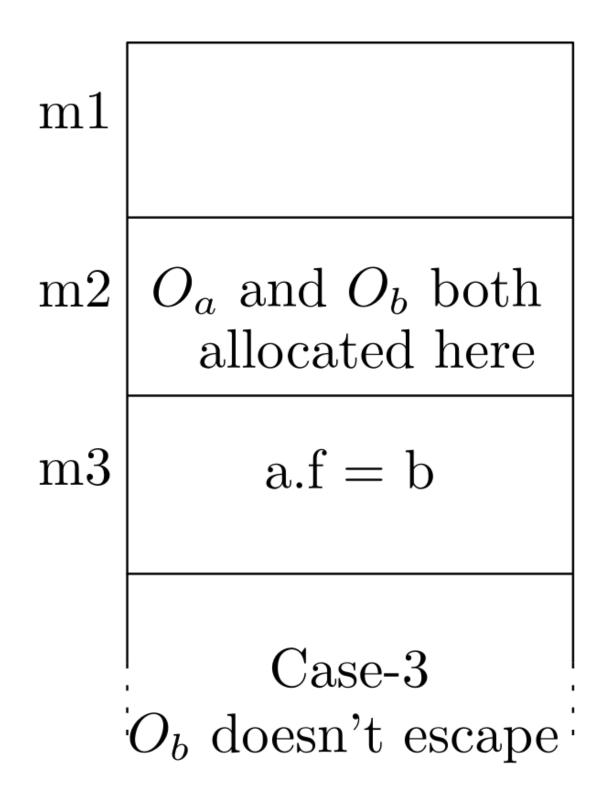
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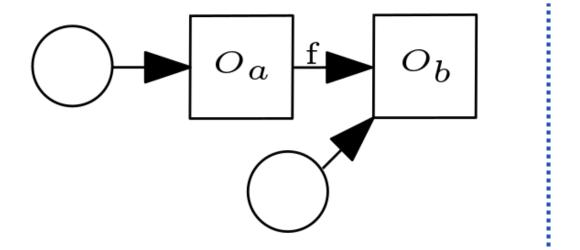


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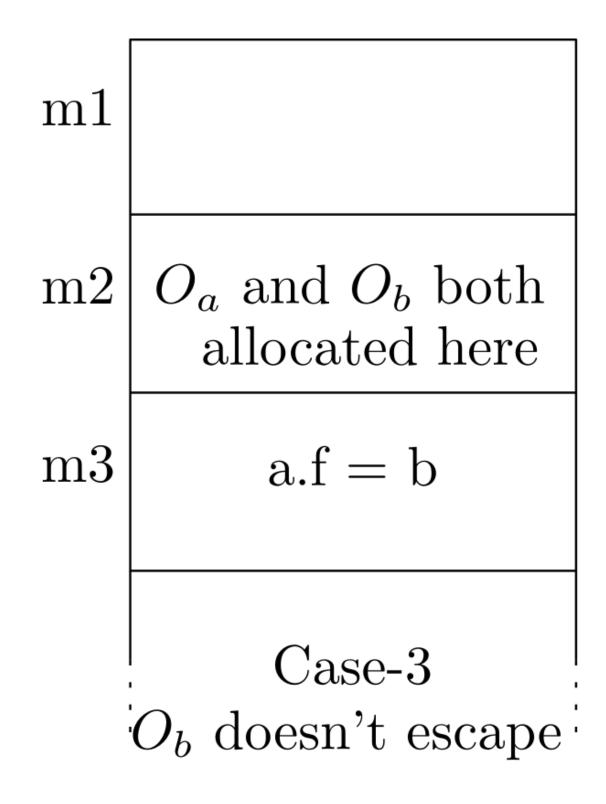


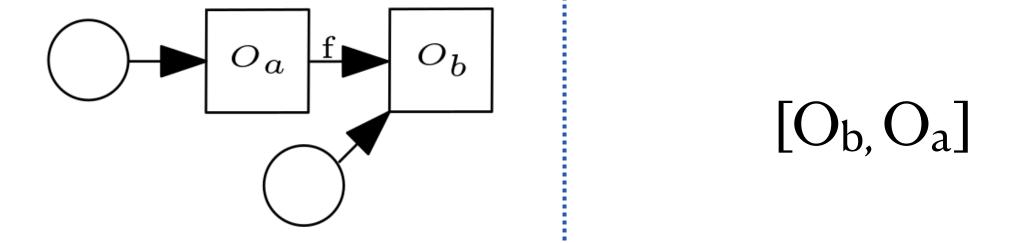
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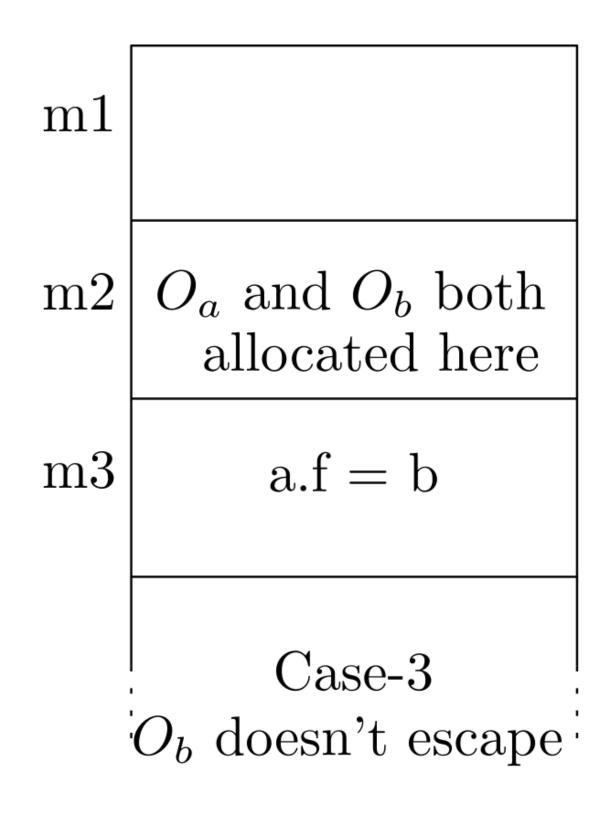


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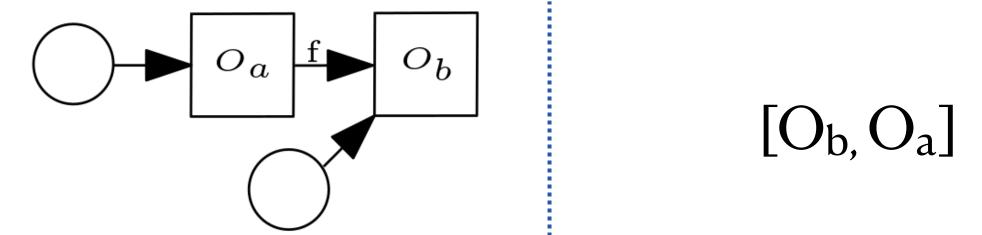




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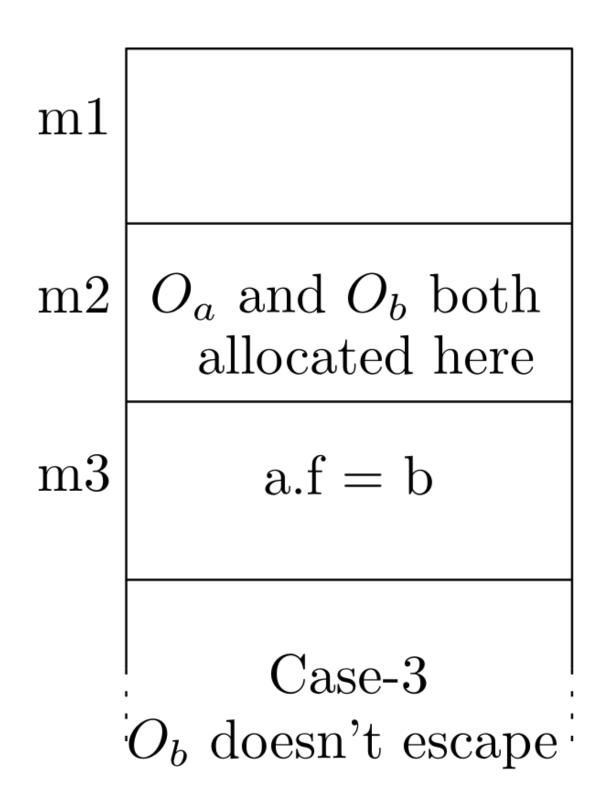


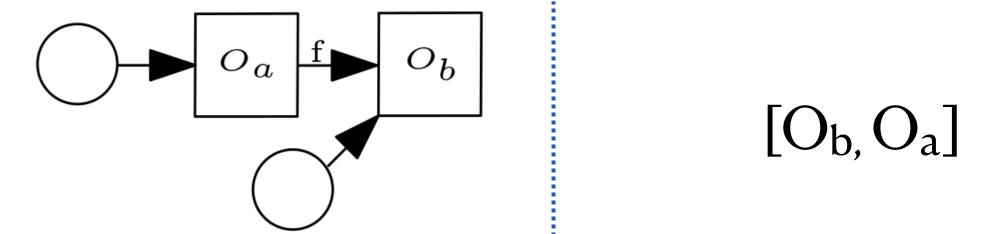
• Statically create a partial order of stack-allocatable objects.



• Use the stack-order in VM to re-order the list of stack allocated objects.

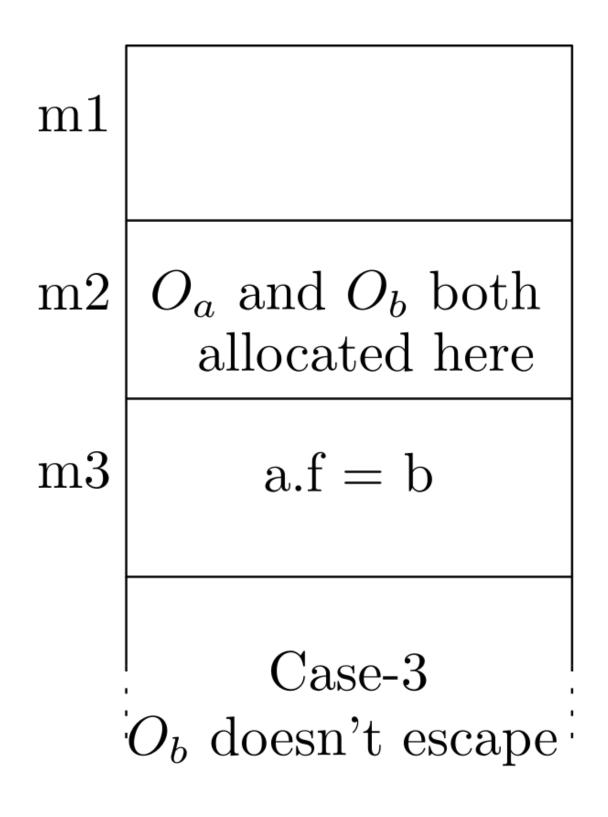
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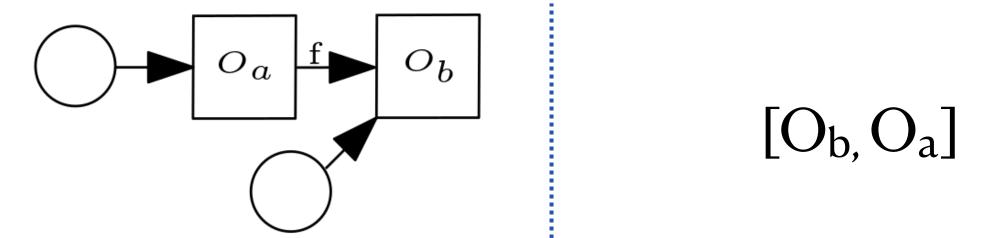




- Use the stack-order in VM to re-order the list of stack allocated objects.
- Reduces cost of heapification checks.

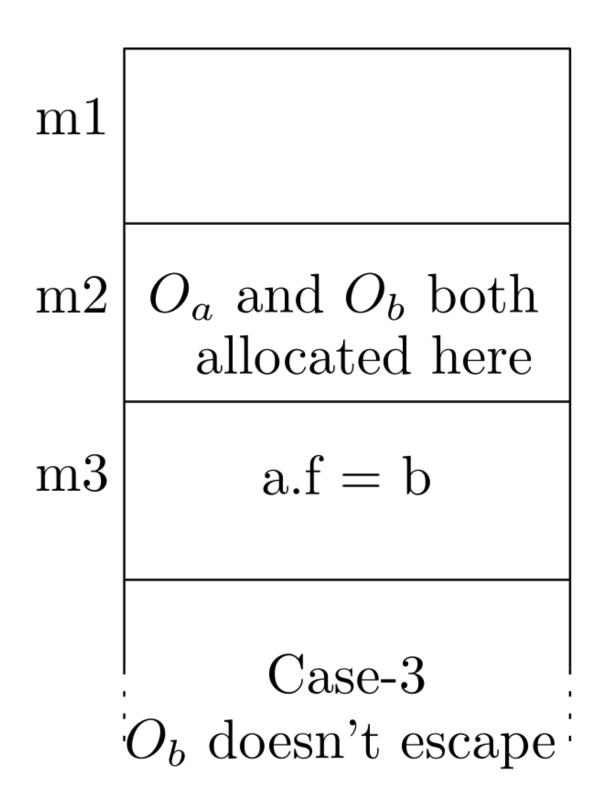
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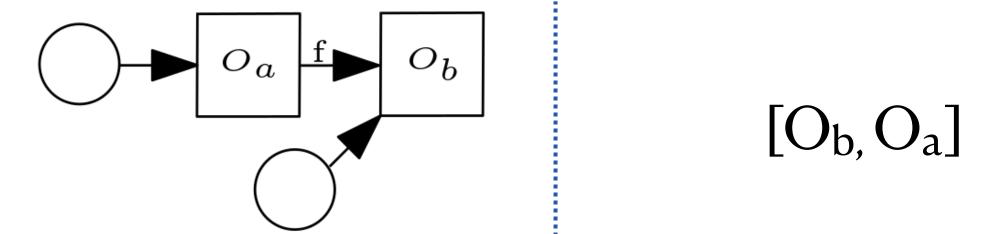




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- Use the stack-order in VM to re-order the list of stack allocated objects.
- Reduces cost of heapification checks.
- In case of cycles result will not be valid only for one store statement. Stack Walk

• Implementation:

- Static analysis: Soot
- Runtime components: OpenJ9 VM

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 - BASE: Stack allocation with the existing scheme.
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- Compute:
 - Enhancement in stack allocation.
 - Impact on performance and garbage collection.

	Non Optimistic Scheme (BASE)			Optimistic Scheme (OPT)		
Benchmark	Static Count	Dynamic Count	Stack Bytes	Static Count	Dynamic Count	Stack Bytes
graphchi	0 (0.0 %)	OM (0.00%)	0MB	32 (4.15%)	506.3M (6.9%)	9184.6MB
fop	10 (0.15%)	0.04M (0.002%)	1MB	50 (0.77%)	9.8M (0.42%)	161.2MB
h2	61 (2.33%)	29M (0.92%)	523MB	94 (3.87%)	452M (13.92%)	10801MB
luindex	35 (1.35%)	3M (2.39%)	98MB	89 (3.49%)	5M (3.49%)	133MB
lusearch	30 (1.09%)	25M (3.23%)	775MB	78 (3.05%)	59M (7.4%)	1686MB
pmd	89 (1.09%)	52M (7.20%)	1310MB	191 (3.97%)	105M (14.2%)	2465MB
compiler	93 (1.73%)	94M (5.50%)	1720MB	137 (2.75%)	105M (6.17%)	2329MB
rsa	16 (1.13%)	0.1M (1.1%)	46MB	35 (3.18%)	7M (4.62%)	170MB
signverify	15 (0.84%)	0.24M (0.86%)	6.8MB	51 (3.10%)	2.1M (7.24%)	49.4MB



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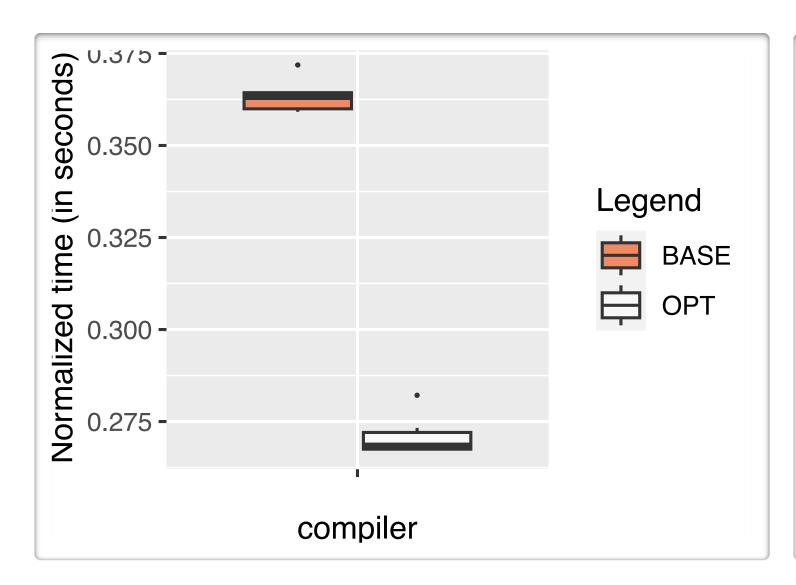
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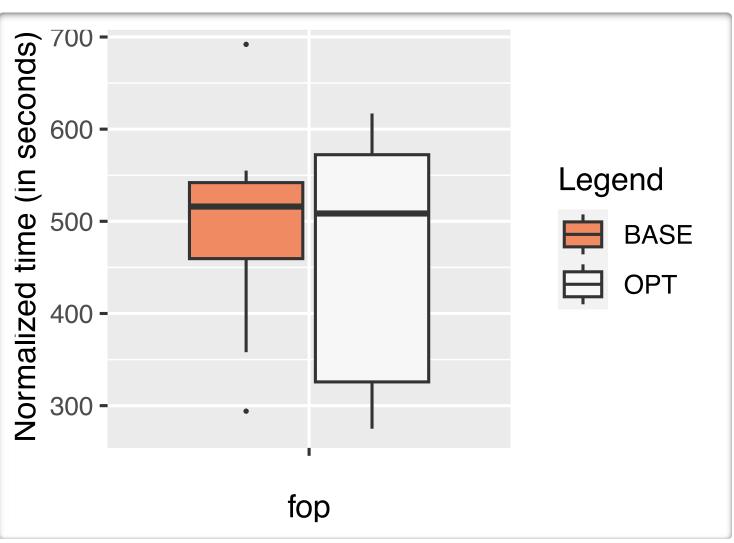


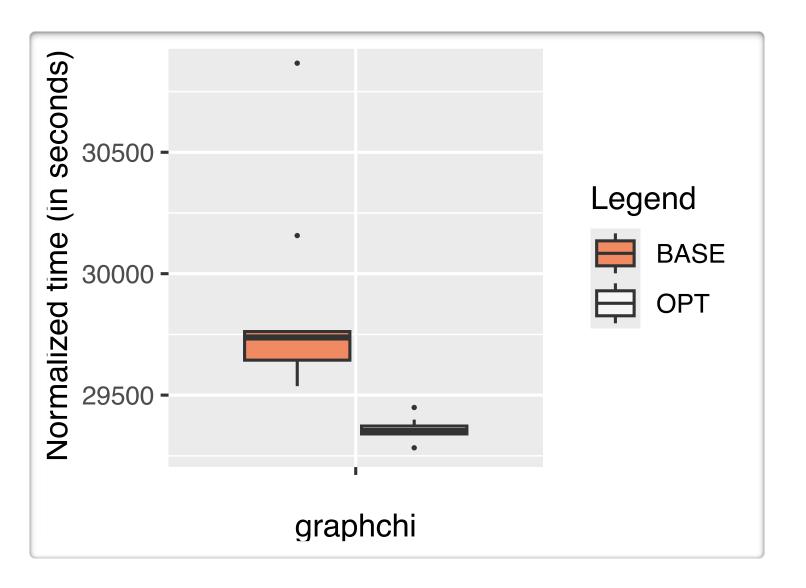
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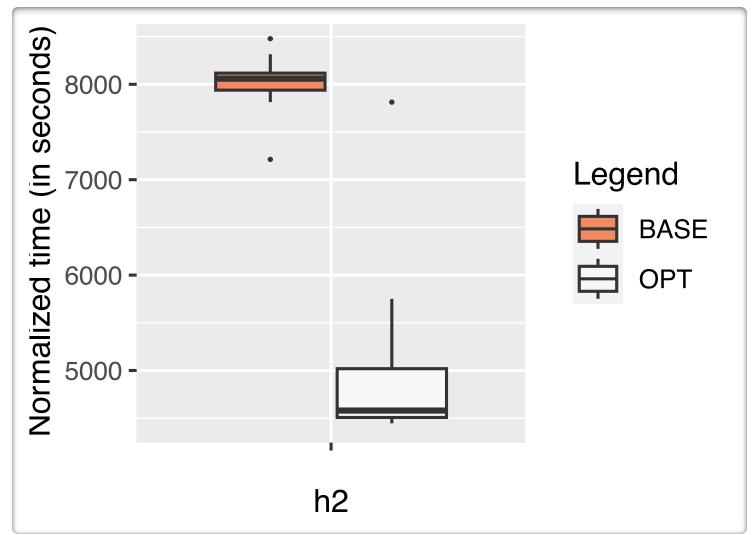


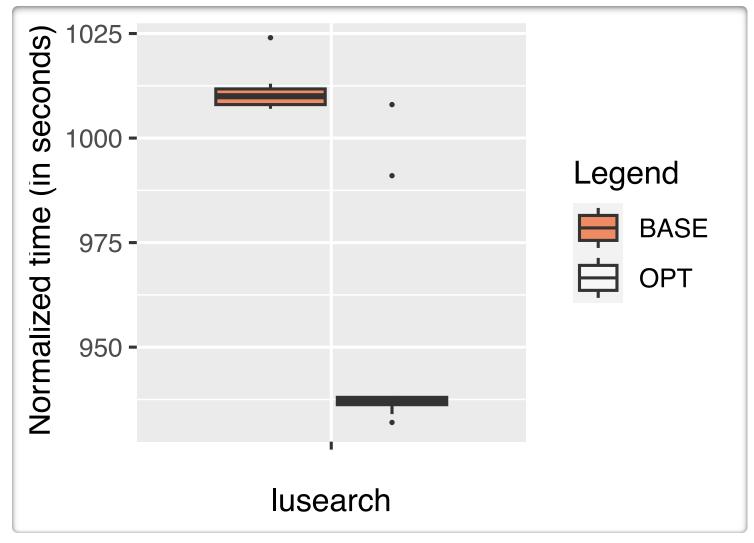
Performance

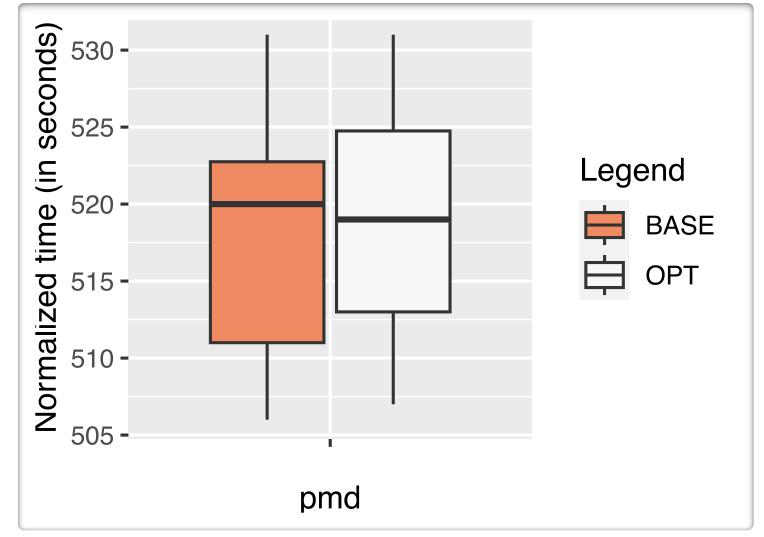






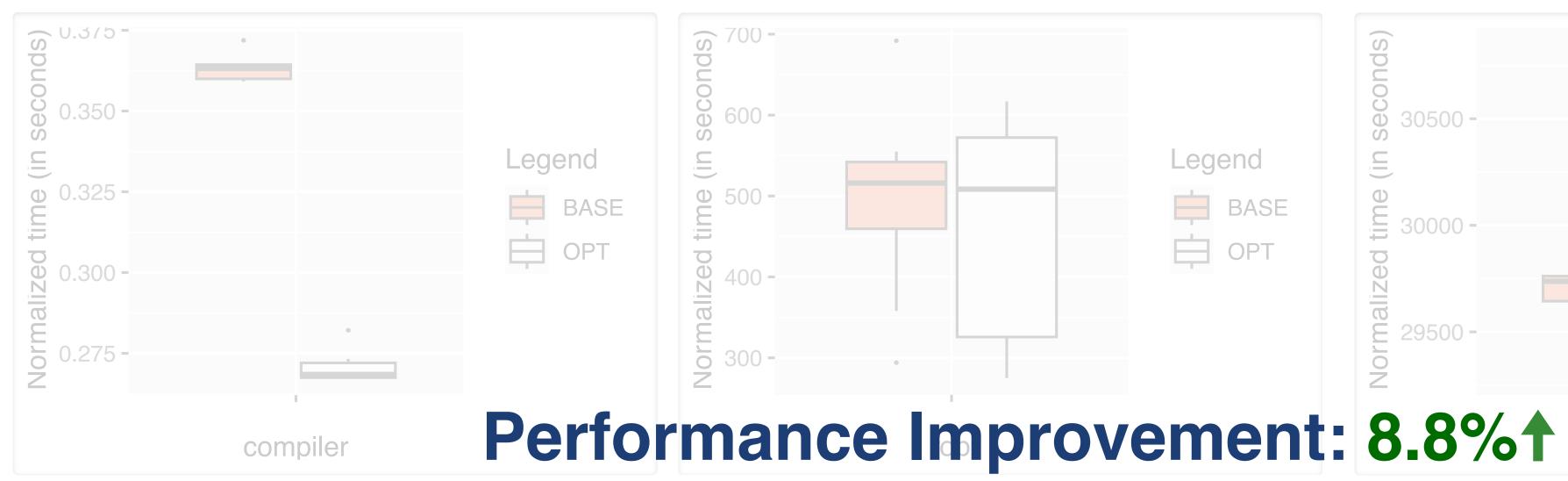


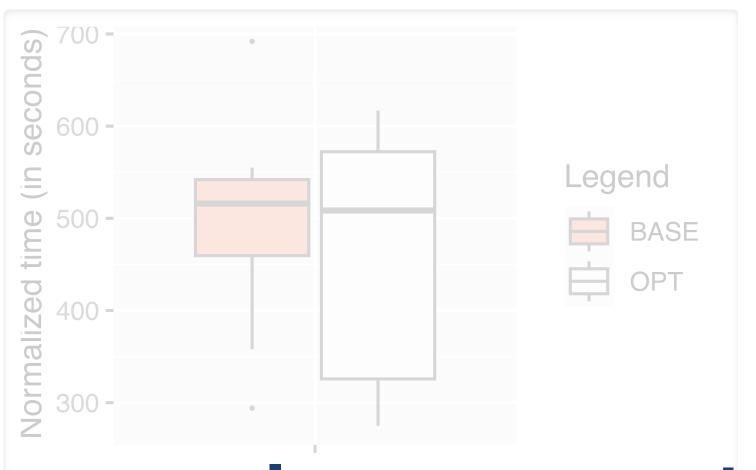


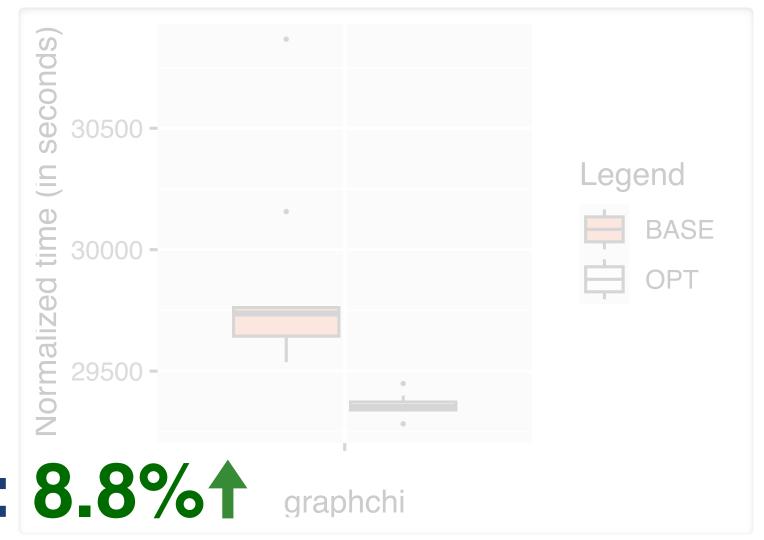


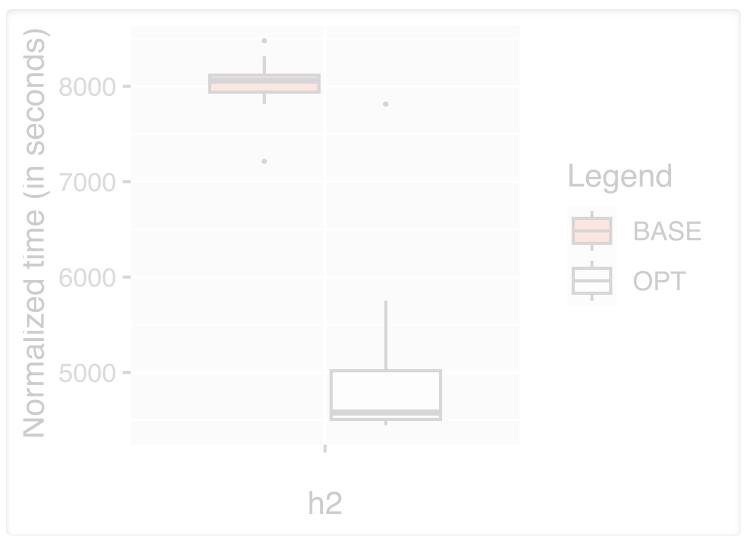


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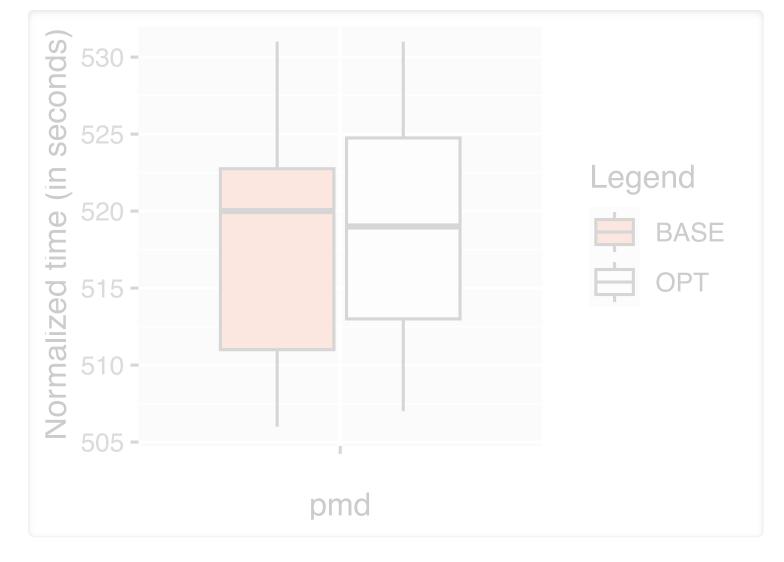






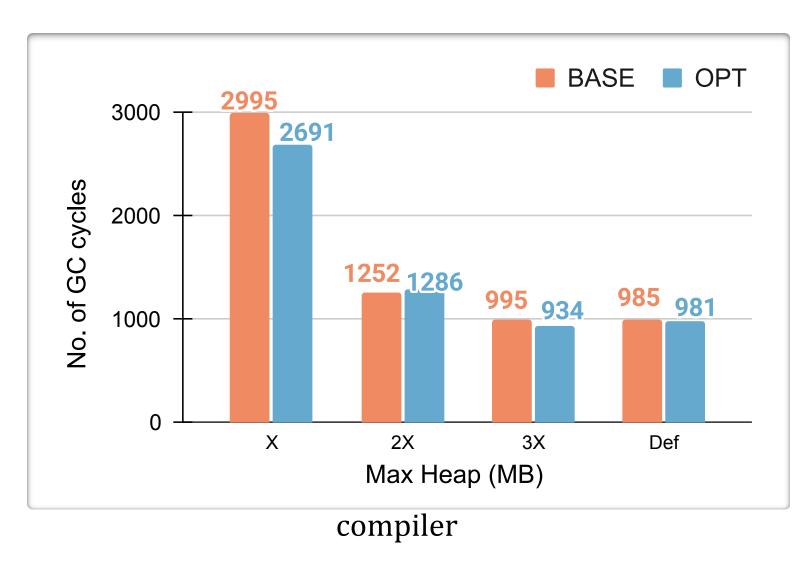


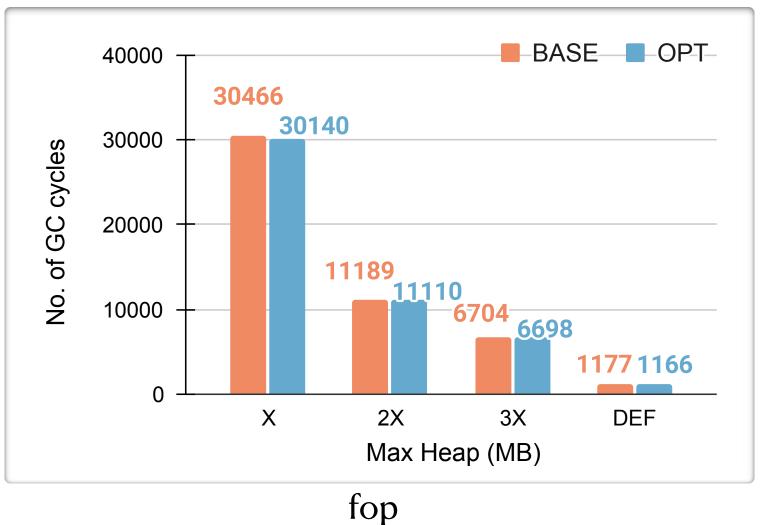


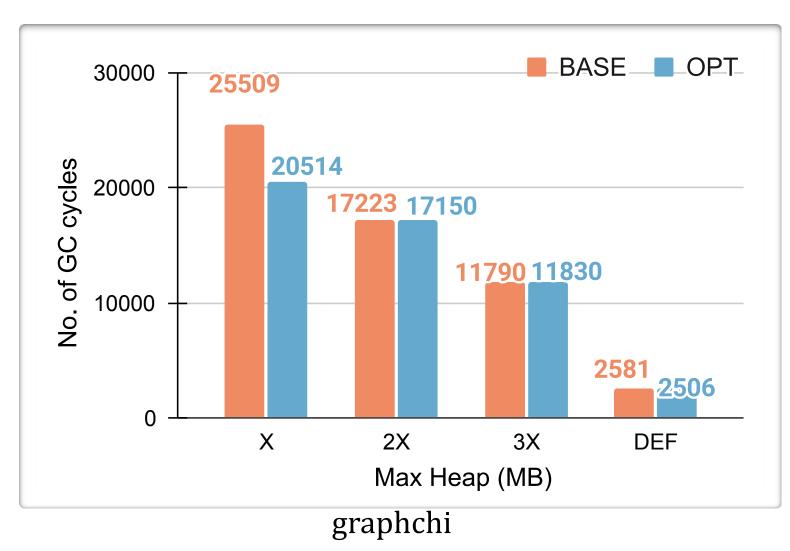


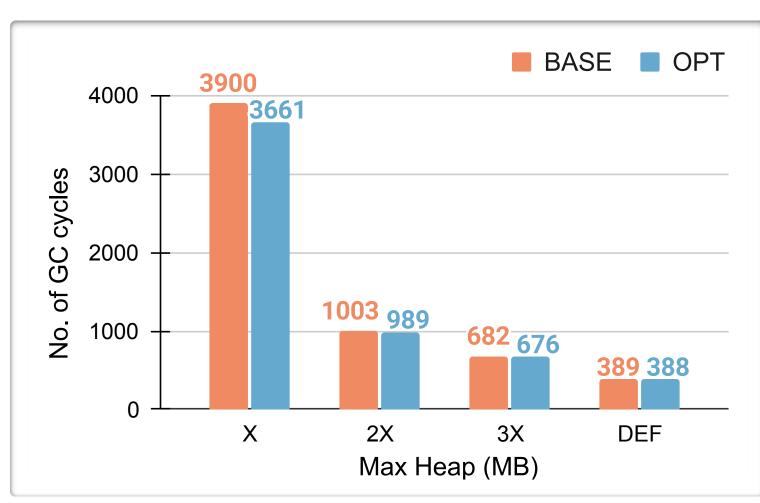


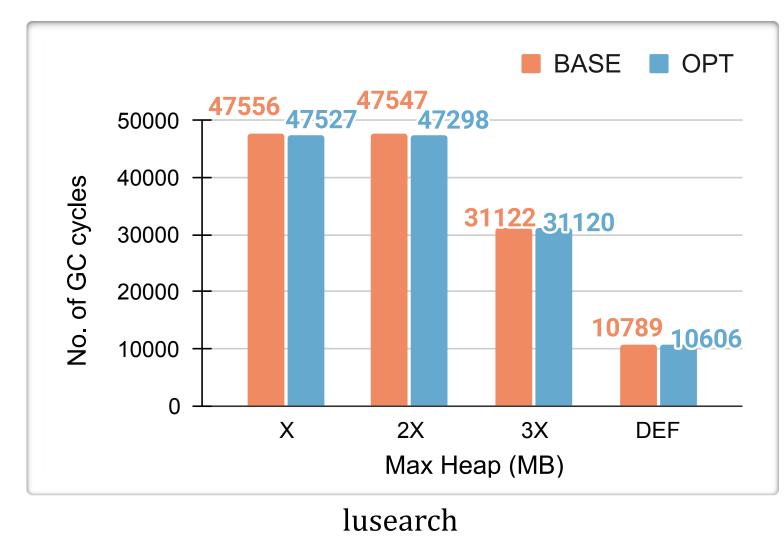
Garbage Collection

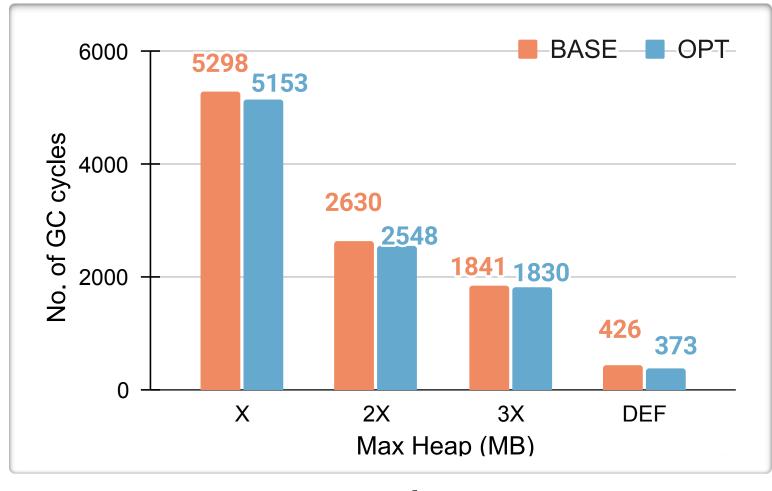




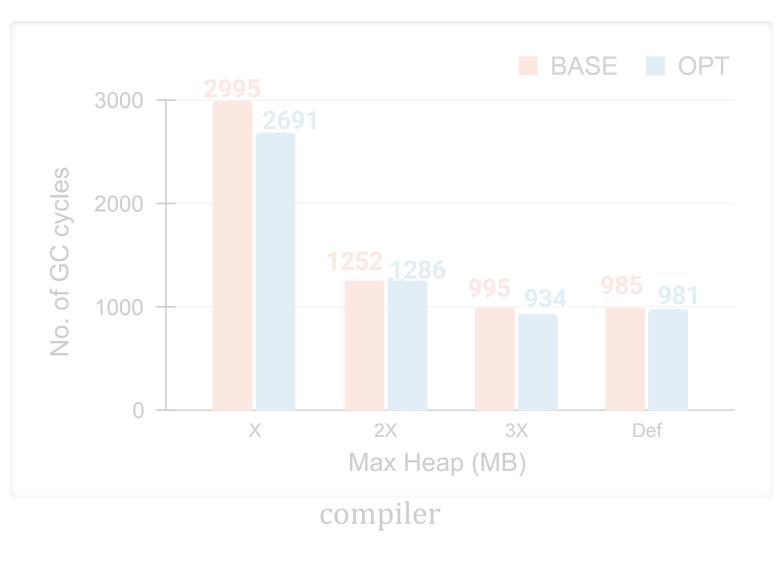


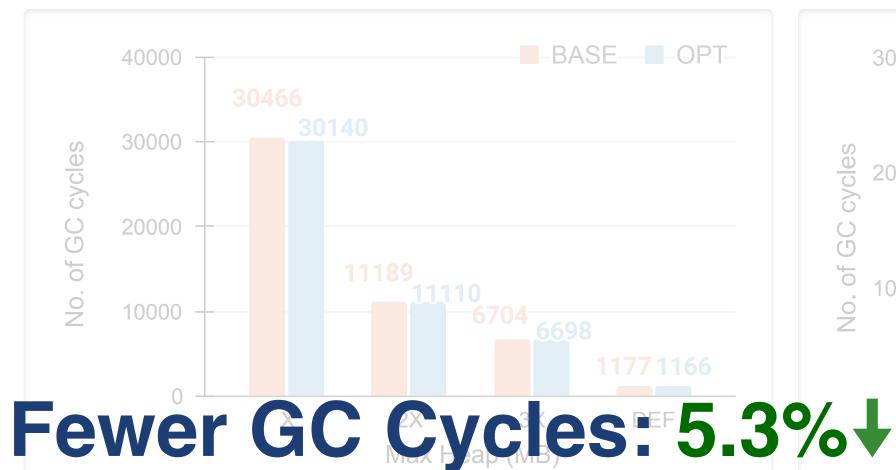


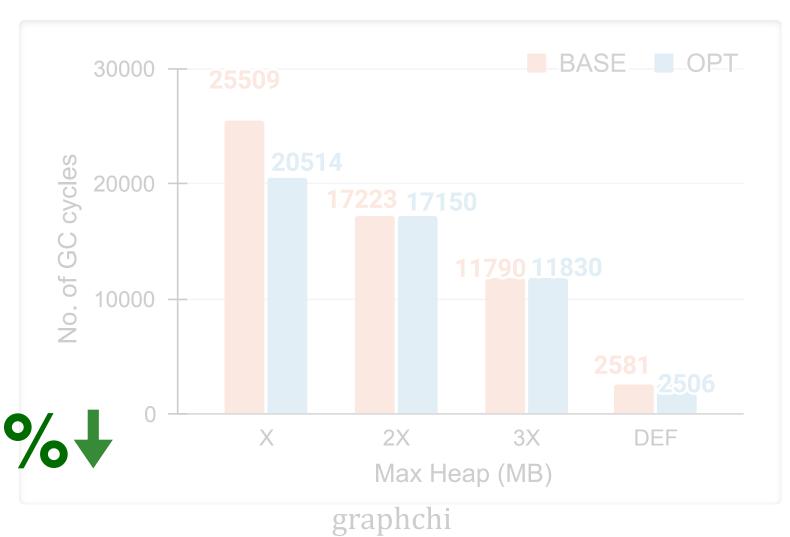


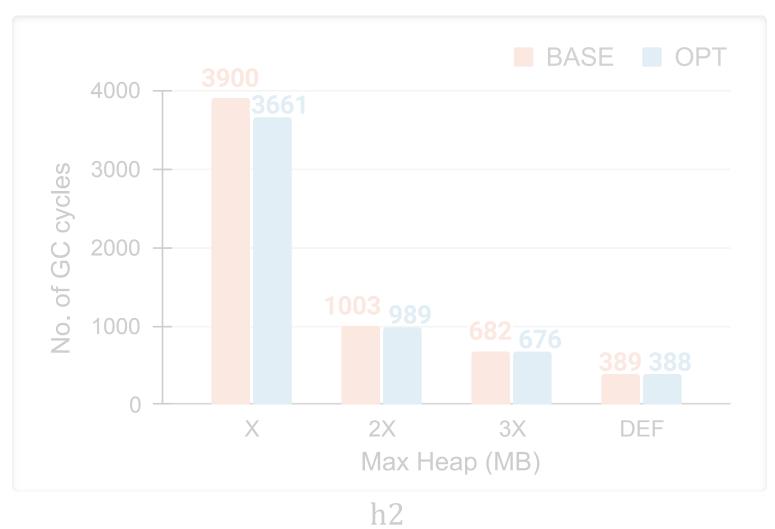


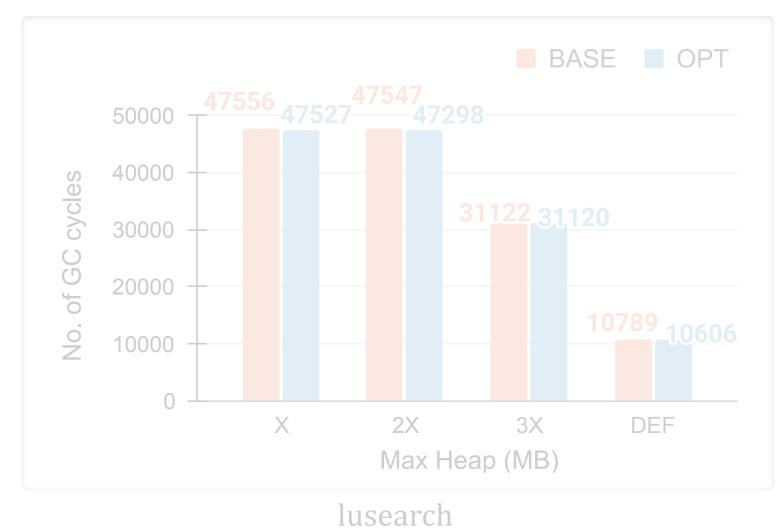
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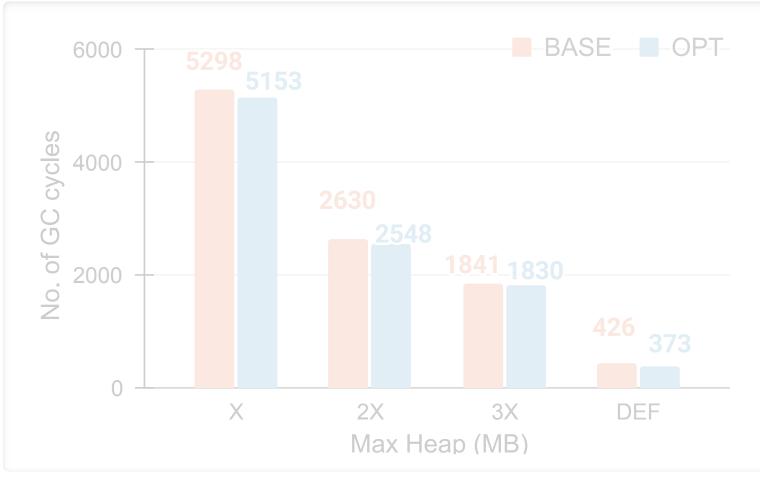








fop





• An important OO Optimization: Allocating method-local objects on the stack frames of their allocating methods.

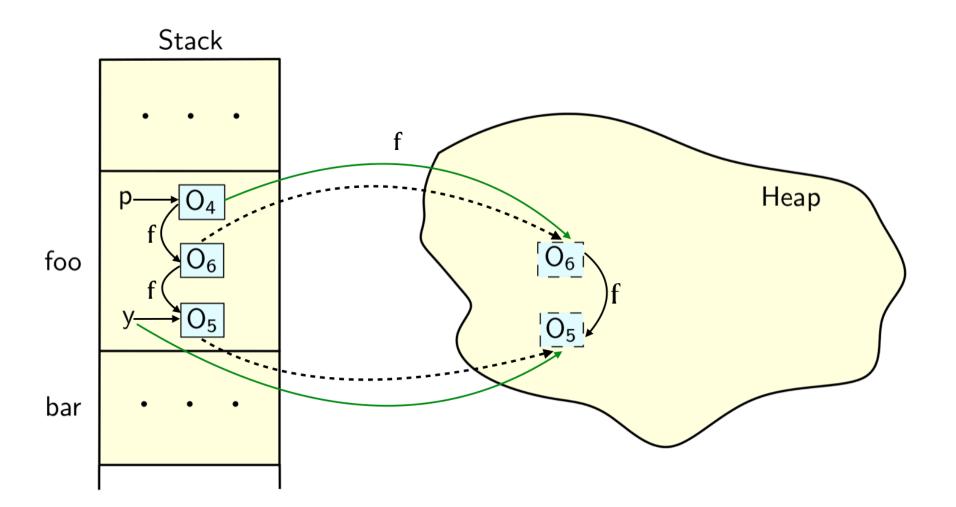


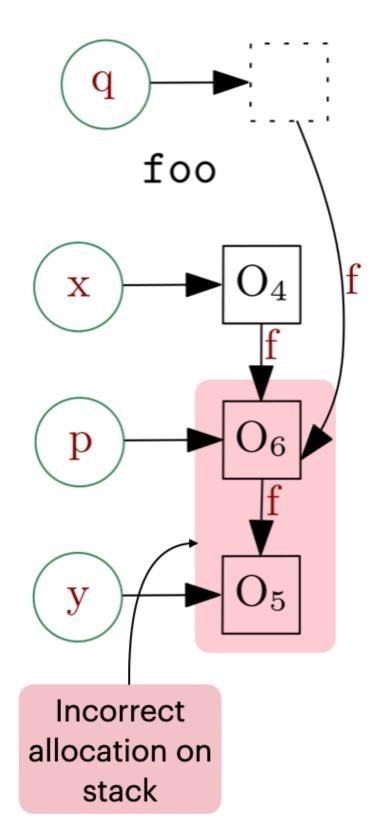
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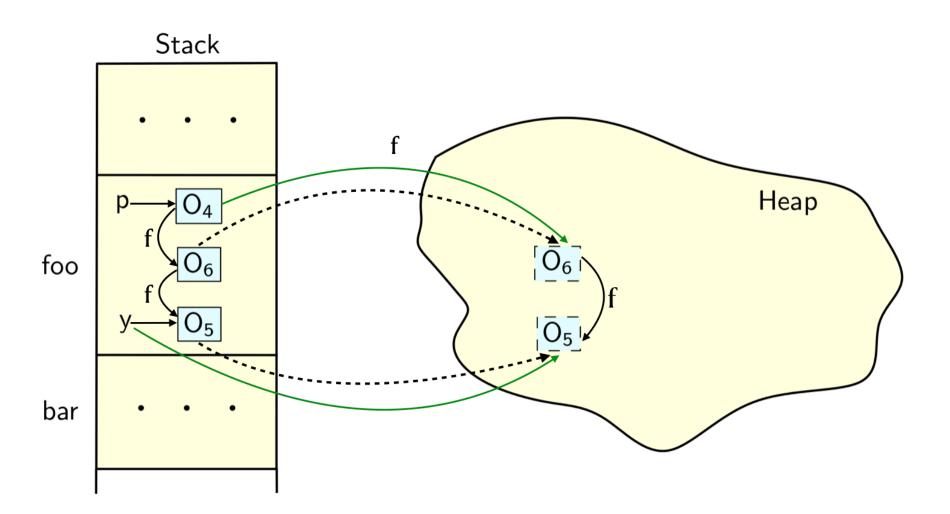
• Ensure functional correctness in cases static analysis results do not correspond to the runtime environment.

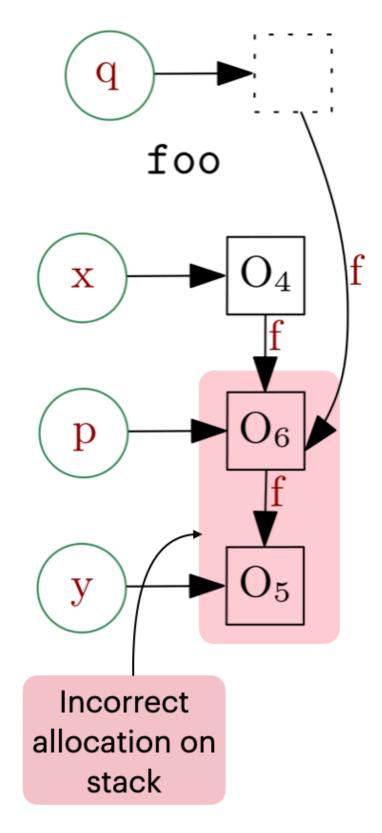






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- Ensure functional correctness in cases static analysis results do not correspond to the runtime environment.





• Overall, one of the first approaches to soundly and efficiently use static (offline) analysis results in a JIT compiler!







Optimistic Stack Allocation and Dynamic Heapification for Managed Runtimes

ADITYA ANAND, Indian Institute of Technology Bombay, India SOLAI ADITHYA, Indian Institute of Technology Mandi, India SWAPNIL RUSTAGI, Indian Institute of Technology Mandi, India PRIYAM SETH, Indian Institute of Technology Mandi, India VIJAY SUNDARESAN, IBM Canada Lab, Canada DARYL MAIER, IBM Canada Lab, Canada V. KRISHNA NANDIVADA, Indian Institute of Technology Madras, India MANAS THAKUR, Indian Institute of Technology Bombay, India

The runtimes of managed object-oriented languages such as Java allocate objects on the heap, and rely on automatic garbage collection (GC) techniques for freeing up unused objects. Most such runtimes also consist of just-in-time (JIT) compilers that optimize memory access and GC times by employing *escape analysis*: an object that does not escape (outlive) its allocating method can be allocated on (and freed up with) the stack frame of the corresponding method. However, in order to minimize the time spent in JIT compilation, the scope of such useful analyses is quite limited, thereby restricting their precision significantly. On the contrary, even though it is feasible to perform precise program analyses statically, it is not possible to use their results in a managed runtime without a closed-world assumption. In this paper, we propose a static+dynamic scheme that allows one to harness the results of a precise static escape analysis for allocating objects on stack, while taking care of both soundness and efficiency concerns in the runtime.





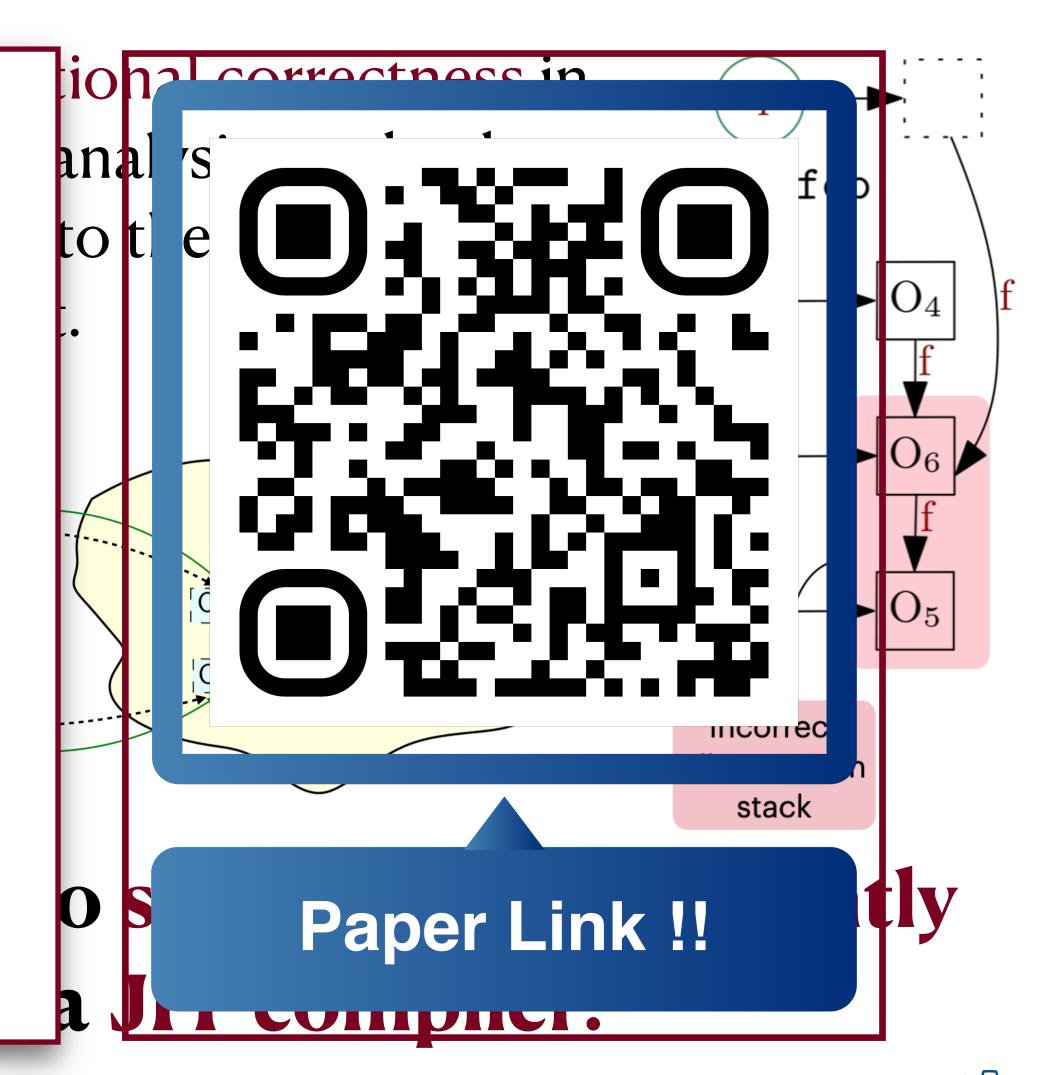




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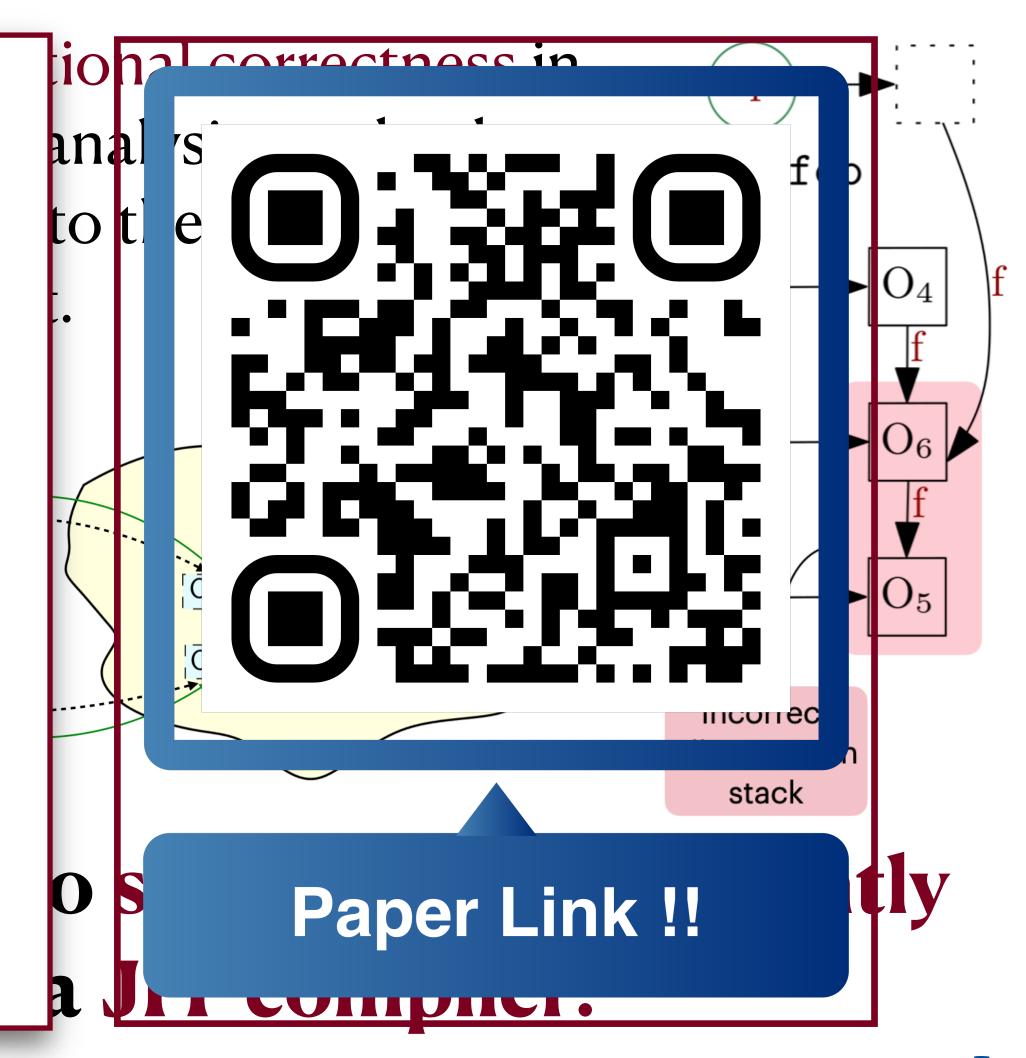




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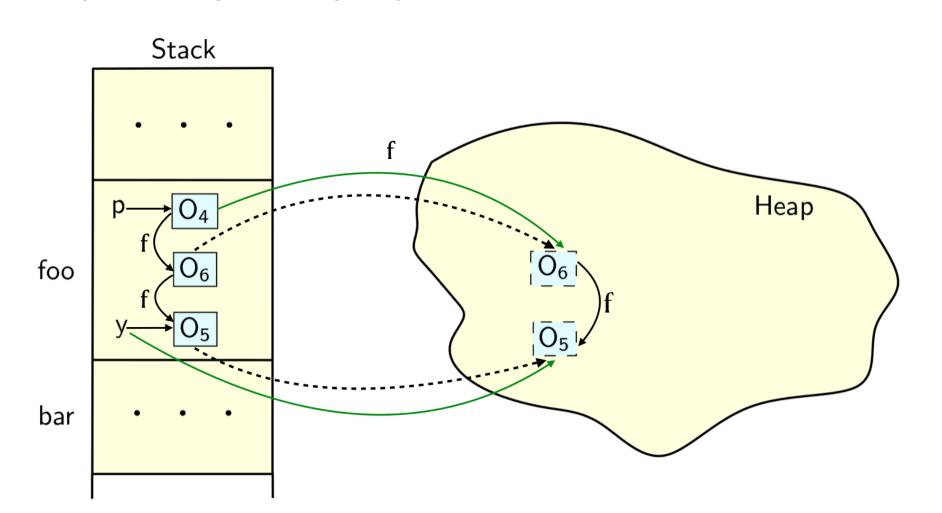
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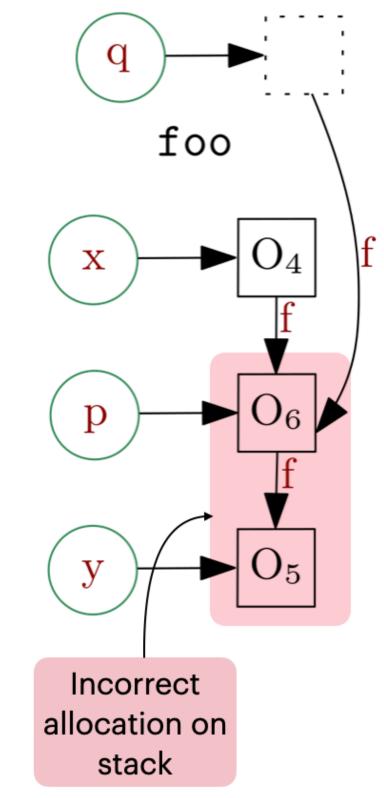






- An important OO Optimization: Allocating method-local objects on the stack frames of their allocating methods.
- Used static escape analysis to optimistically allocate identified objects on stack to improve the precision without thwarting the efficiency.
- Ensure functional correctness in cases static analysis results do not correspond to the runtime environment.





• Overall, one of the first approaches to soundly and efficiently use static (offline) analysis results in a JIT compiler!