

# Exercise 15.4-2

■ 7:29 pm

```
PRINT_LCS(c, X, Y, i, j)
    if c[i][j] == 0
        return
    if X[i] == Y[j]
        PRINT_LCS(c, X, Y, i-1, j-1)
        print X[i]
    elseif c[i-1][j] > c[i][j-1]
        PRINT_LCS(c, X, Y, i-1, j)
    else
        PRINT_LCS(c, X, Y, i, j-1)
```

■ 7:57pm

```
PRINT-LCS(c, X, Y, i, j)
    if c[i, j] == 0
        return
    if X[i] == Y[j]
        PRINT-LCS(c, X, Y, i - 1, j - 1)
        print X[i]
    else if c[i - 1, j] > c[i, j - 1]
        PRINT-LCS(c, X, Y, i - 1, j)
    else
        PRINT-LCS(c, X, Y, i, j - 1)
```

# Exercise 15.4-2

■ 9:13 pm

```
LCS(X, C, r, j)
  if r==0 or j==0
    return // stop
  if C[r,j]==C[r-1,j-1]
    LCS(X, C, r-1, j-1)
    print(X[r]) // row number
  else if C[r-1,j]>=C[r,j-1]
    LCS(X, C, r-1, j) // go up a row
  else
    LCS(X, C, r, j-1) // go left a column
```

■ 9:25pm

```
PRINT-LCS(C, X, Y, i, j)
  if c[i, j] == 0
    return
  if X[i] == Y[j]
    PRINT-LCS(C, X, Y, i-1, j-1)
    print X[i]
  else if c[i-1, j] > c[i, j-1]
    PRINT-LCS(C, X, Y, i-1, j)
  else
    PRINT-LCS(C, X, Y, i, j-1)
```

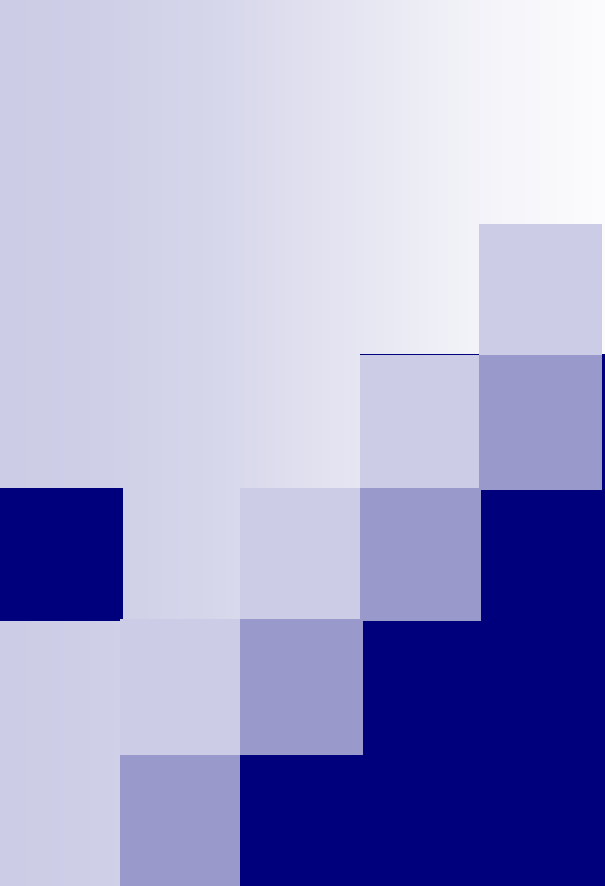
# Exercise 15.4-2

■ 9:38pm

```
PRINT-LCS(c, A, i, j)
  if i == 0 or j == 0
    return
  if c[i,j] == c[i-1, j-1] + 1  \\ equivalent to b[i,j] == 'D'
    PRINT-LCS(c, A, i-1, j-1)
    print xi
  elseif c[i,j] = c[i-1, j]      \\ equivalent to b[i,j] == 'V'
    PRINT-LCS(c, A, i-1, j)
  else                          \\ equivalent to b[i,j] == 'H'
    PRINT-LCS(c, A, i, j-1)
```

■ *Solution*

```
RECONSTRUCT-LCS(c, X, Y, i, j)
  if i == 0 or j == 0
    return
  if  $x_i == y_j$ 
    RECONSTRUCT-LCS(c, X, Y, i - 1, j - 1)
    print  $x_i$ 
  elseif  $c[i, j] == c[i - 1, j]$ 
    RECONSTRUCT-LCS(c, X, Y, i - 1, j)
  else RECONSTRUCT-LCS(c, X, Y, i, j - 1)
```



# NP-Completeness (Nondeterministic Polynomial Time Completeness)

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# Overview

- Algorithms seen so far are  $O(n^k)$
- Are all problems polynomial time?
- There are problems that cannot be solved by any computer no matter how long it takes
- There are problems that can be solved but not in  $O(n^k)$
- Problems that can be solved in polynomial time are termed as tractable
- Problems that require super-polynomial time are intractable, or *hard*

# Overview

- NP-complete problems are those that have no known polynomial solution. Further, no one has ever been able to prove that *no* polynomial time algorithm exists for them
- Some NP-complete problems
  - Longest path problem
  - Hamiltonian cycle problem
  - 3-CNF satisfiability



# Polynomial (P) Problems

- Are solvable in polynomial time
- Are solvable in  $O(n^k)$ , where  $k$  is some constant and  $n$  is the size of the problem
- Almost all the algorithms we have covered so far are P problems

# NP Problems

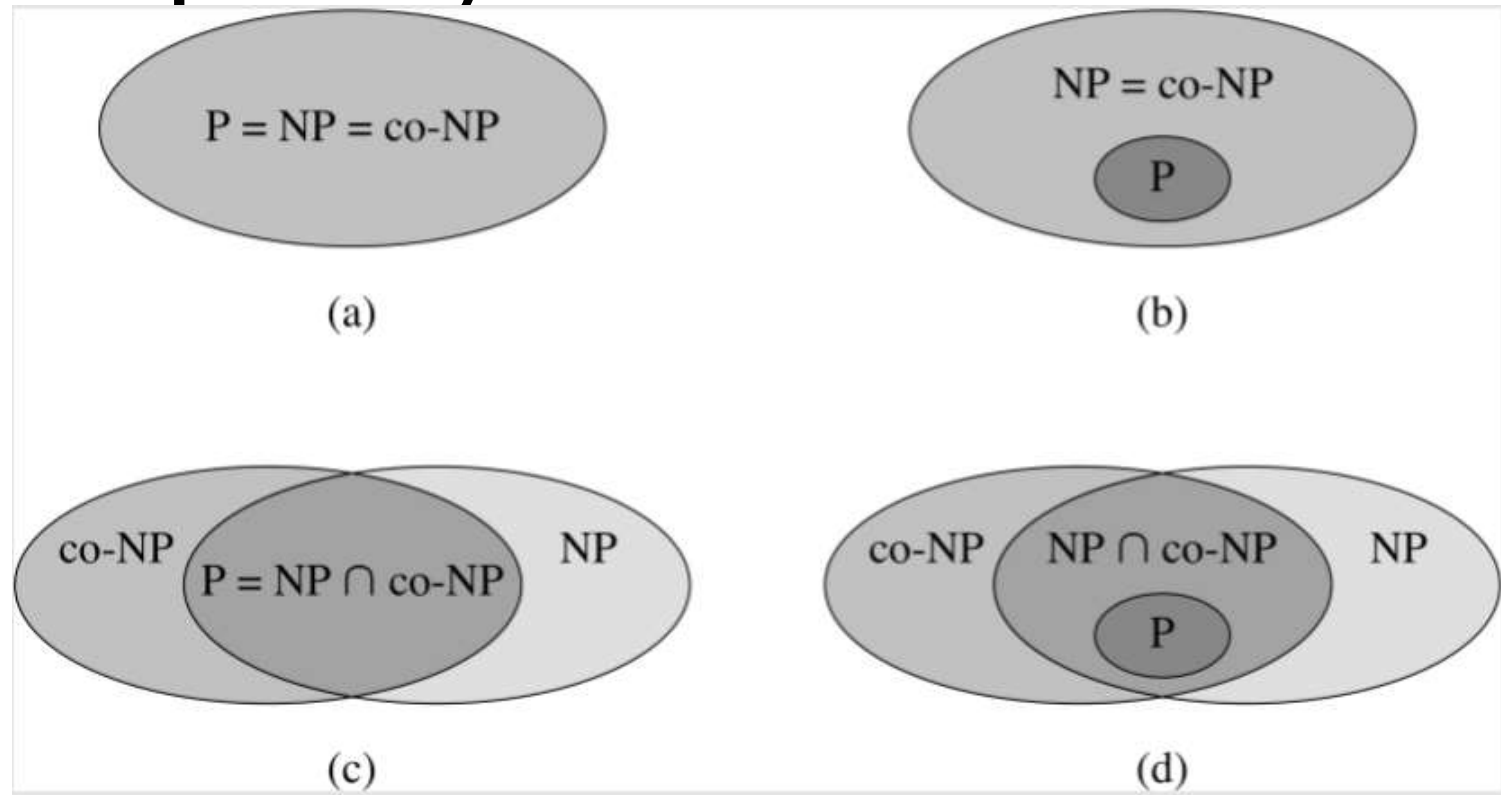
- This class of problems has solutions that are verifiable in polynomial time
- What is meant by “verifiable?”
  - Say a solution to a hamiltonian cycle is provided:  $(v_1, v_2, v_3, \dots, v_k)$
  - If we can easily verify in polynomial time that all  $(v_i, v_{i+1})$  are edges of the graph and form a simple cycle then it is a NP problem
- Any problem P is also NP
- Or,  $P \subset NP$



# co-NP Problems

- Say there are a set of problems  $L$ , such that  $L$ 's complement  $\bar{L} \in \text{NP}$
- Then  $L$  are termed as co-NP class of problems

# Complexity Classes



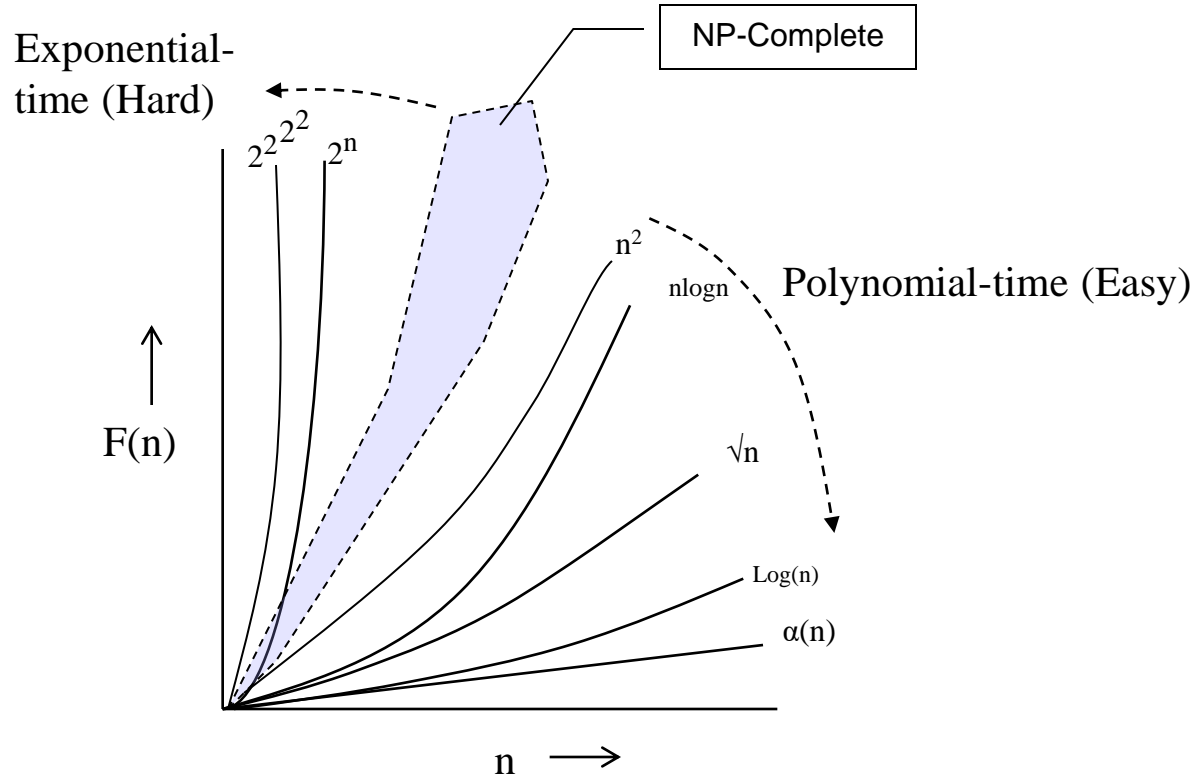
Four possible relationships for complexity classes

- a)  $P = NP = \text{co-NP}$
- b) If  $NP$  is closed under complement then  $P = \text{co-NP}$
- c)  $P = NP \cap \text{co-NP}$
- d)  $P \neq NP \cap \text{co-NP}$ ; most researchers regard this is most likely

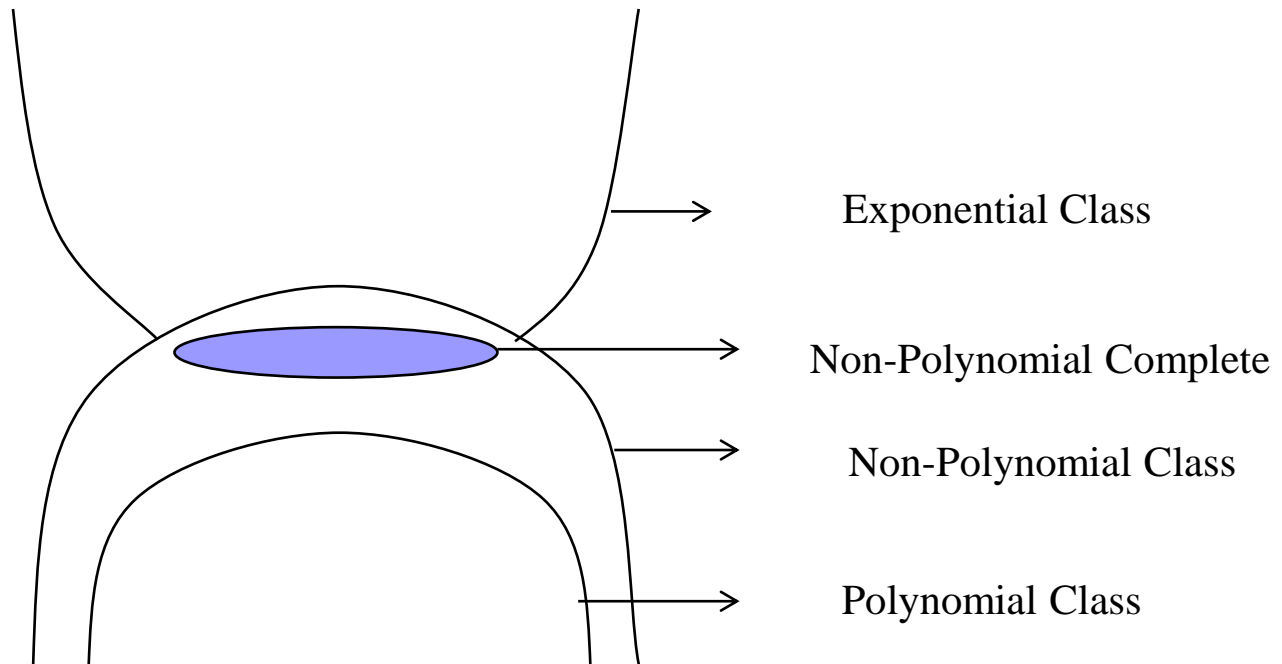
# NP-Complete Problems

- Are NP problems whose polynomial-time algorithm has never been discovered
- As difficult, or hard, as any NP problem
- If any NPC problem can be solved in polynomial time then all NP problems have polynomial time algorithms
- If we can establish a problem is NPC, we provide the evidence for its intractability
- In this case we try to find an approximation algorithm, rather than searching for a fast algorithm that provides exact solution

# Exponential Time Algorithms



# Where does NP Complete lie?





# NPC Examples

- Longest path problem: (similar to Shortest path problem, which requires polynomial time) suspected to require exponential time, since there is no known polynomial algorithm.
- Hamiltonian Cycle problem: Traverses all vertices exactly once and form a cycle.

# Examples

## ■ 3-CNF Satisfiability<sup>1</sup>

- A Boolean formula is in  $k$ -conjunctive normal form, or  $k$ -CNF, if it is the AND of clauses of OR of exactly  $k$  variables
- For example, a 2-CNF is
$$(x_1 \vee \overline{x_2}) \wedge (\overline{x_1} \vee x_3) \wedge (x_1 \vee \overline{x_2})$$
- This function is satisfiable with  $x_1 = 1$ ,  $x_2 = 0$ , and  $x_3 = 1$

3-CNF satisfiability is NP-complete

<sup>1</sup>A boolean formula is satisfiable if there is some assignment of its variables that results in a logical 1

# How to Show NPC

- To demonstrate that a problem is NPC, we are stating how hard is the problem
- Instead of finding an algorithm, we try to prove that no efficient algorithm is likely to exist
- We rely on three concepts for this demonstration:
  - Decision problems vs. optimization problems
  - Reductions
  - A *first* NPC problem



# Decision vs. Optimization

*Optimization problems:* A solution has an associated value, we wish to find a feasible solution with best value

Ex: Several paths from  $u$  to  $v$  in a graph. SHORTEST-PATH (a feasible solution) finds a path that uses fewest edges (best value)

*Decision problems:* in which the answer is simply “yes” or “no”

Ex: Does a path exist from  $u$  to  $v$  consisting of at the most  $k$  edges?

NP-completeness applies to *decision problems*, and not to *optimization problems*

Converting an *optimization problem* into its related *decision problem* helps to show that the problem is “hard”

# Reduction

Say

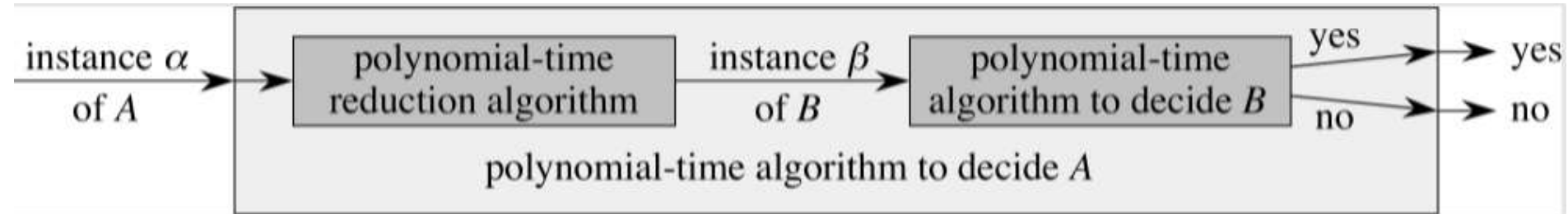
- $B$  is a problem (easy/hard?)
- $A$  is known to be difficult

If we can solve  $A$  using  $B$  as a subroutine then  $A$  is solvable

A reduction is an algorithm for transforming one problem ( $A$ ) into another problem ( $B$ )

A sufficiently efficient reduction from one problem to another may be used to show that the first problem is at least as difficult as the second one

# Reduction



- Given a polynomial-time reduction algorithm
- Given a polynomial-time decision algorithm for problem B

Solution of the instance  $\beta$  of  $B$  will be the solution of instance  $\alpha$  of  $A$

Conversely, if  $A$  is known to have no polynomial-time algorithm then no polynomial-time algorithm can exist for  $B$

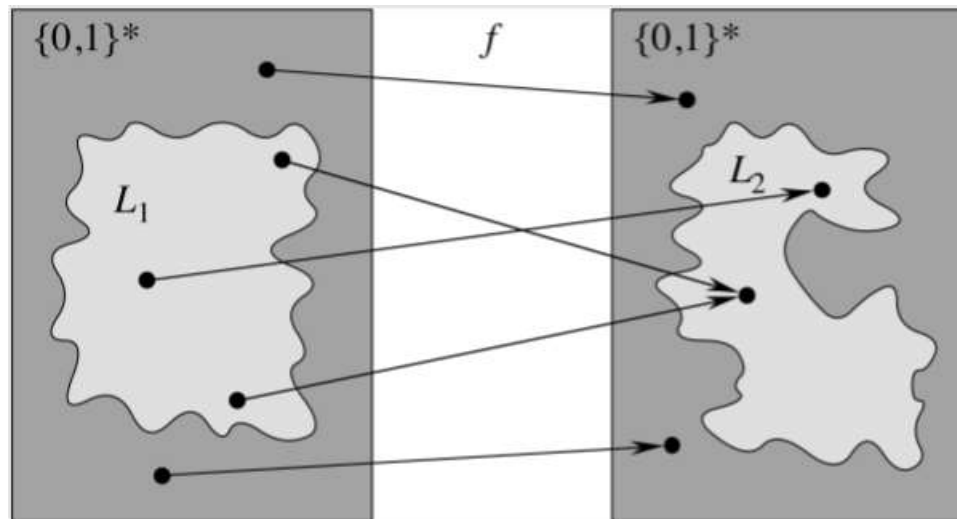
That is, if  $B$  is a subroutine of  $A$ , and  $A$  is hard to solve then  $B$  is hard to solve too

# Reduction---notations

- Intuitively, a problem  $L_1$  can be reduced to another problem  $L_2$  if an instance of  $L_1$  can be “easily rephrased” as an instance of  $L_2$
- Say there is a polynomial-time function  $f$  such that for any  $x \in L_1$ ,  $f(x) \in L_2$  then  $f$  is a reduction function
- If  $f$  is a polynomial time computable function then we can write:

$$L_1 \leq_p L_2$$

which means that  $L_1$  is polynomial-time reducible to  $L_2$





# A *first* NPC Problem

- Reduction technique relies on having a problem known to be NPC
- Circuit (or Boolean) satisfiability is used as the “first” problem
- We begin by proving that this first is NPC

# A Formal-language Framework

Thinking of all problems as decision (1 or 0) problems, we can utilize formal-language theory, which is reviewed as

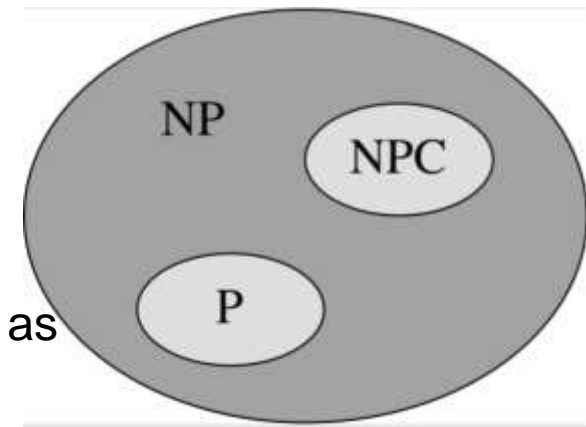
- An **alphabet**  $\Sigma$  is a finite set of symbols
- A **language**  $L$  over  $\Sigma$  is any set of strings made up of symbols from  $\Sigma$ 
  - For example, if  $\Sigma = \{0,1\}$ , the set  $L = \{10,11,101,111,1011,10001, \dots\}$  is the language of binary numbers
- An **empty string** is represented by  $\varepsilon$  and an empty language by  $\emptyset$
- $\Sigma^*$  represents the language of all strings of  $\Sigma$ 
  - For example,  $\Sigma^* = \{\varepsilon, 0,1,00,10,01,11,000,001, \dots\}$  is the set of all binary strings
  - Every language  $L$  of  $\Sigma$  is a subset of  $\Sigma^*$

# NP-complete Formal Definition

- A language  $L \subseteq \{0,1\}^*$  is NP-complete if
  1.  $L \in \text{NP}$
  2.  $L' \leq_p L$  for every  $L' \in \text{NP}$
- If a language  $L$  satisfies property 2, but not necessarily property 1, we say  $L$  is NP-hard
- Theorem  
If an NP-complete problem is solvable in polynomial time then  $P = \text{NP}$   
Equivalently, if any problem in NP is not polynomial-time solvable, then no NP-complete is polynomial-time solvable (counter-positive of the first statement)

(Proof in the book)

Note: Most computer scientists view the relationships as  $P \subset \text{NP}$ ,  $P \subset \text{NP}$ , and  $P \cap \text{NPC} = \emptyset$



# Hamiltonian Cycles

- A simple cycle in an undirected graph that contains each vertex of the graph
- The name honors W. R. Hamilton, who described the game shown on next slide
- One player sticks five pins in five consecutive vertices
- Other player must complete the path to form a cycle containing all the vertices

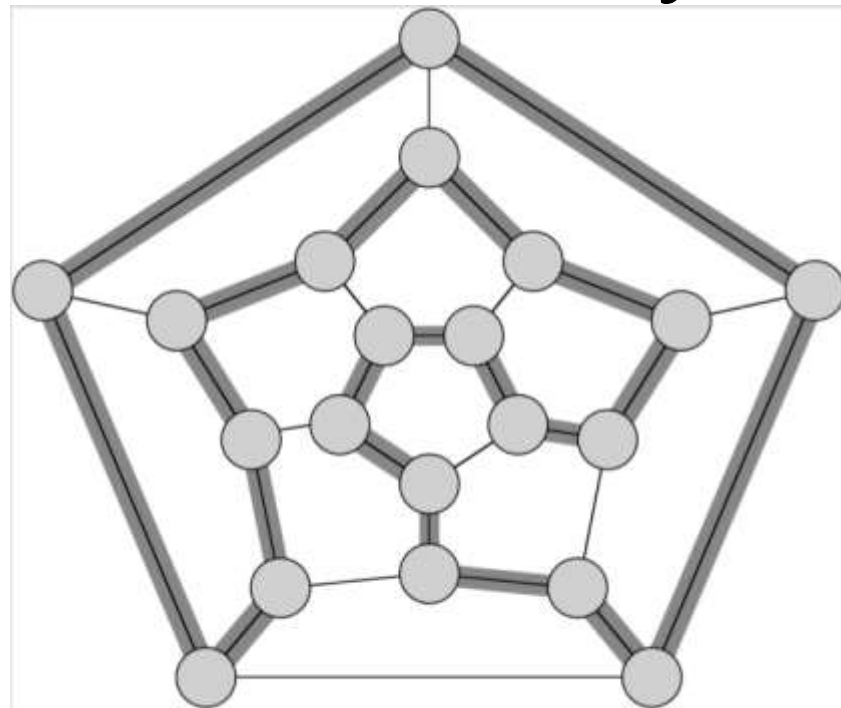
Sir William Rowan Hamilton



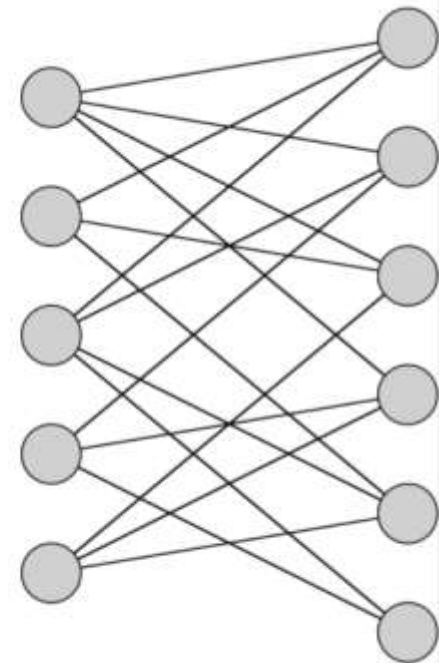
4 August 1805 – 2 September 1865) was an Irish mathematician. While still an undergraduate he was appointed Andrews professor of Astronomy and Royal Astronomer of Ireland



# Hamiltonian Cycles



(a)



(b)

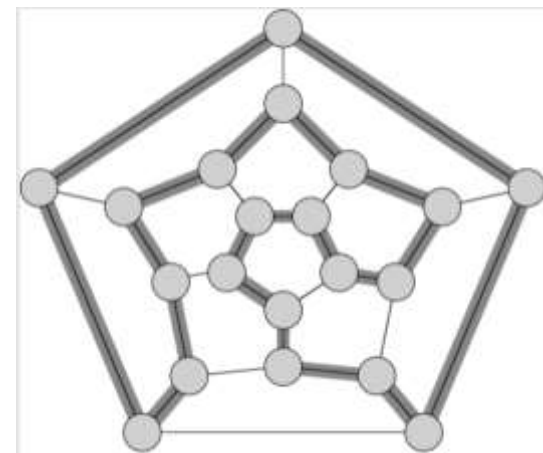
- a) A graph representing the vertices, edges, and faces of a dodecahedron, with a hamiltonian cycle shown by shaded edges. In other words this graph is hamiltonian
- b) A bipartite graph with an odd number of vertices. Any such graph is nonhamiltonian

# Hamiltonian-cycle Problem

- “Does a graph  $G$  have a hamiltonian cycle?”

$$\text{HAM-CYCLE} = \{\langle G \rangle : G \text{ is a hamiltonian graph}\}$$

- One possible solution:  
List all possible permutations of vertices of  $G$  and then check each permutation to see if it's a hamiltonian cycle or not
- Running time  $\Omega(m!) = \Omega(\sqrt{n}) = \Omega(2^{\sqrt{n}})$
- Where
  - $m = \text{vertices of } G$
  - $n = |\langle G \rangle|$ , that is the length of the encoded form of  $G$
- Hamiltonian-cycle problem is actually NP-complete



# Traveling Salesman problem

- Input:
  - Weighted graph  $G$
  - Length  $\ell$
- Output:
  - Yes if a circuit exists of length  $\leq \ell$
  - No otherwise
- TSP can be reduced from Hamiltonian cycle.  
TSP can be represented as a subroutine of HC,  
so as to represent TSP as NPC.



## References

- 1) NP-Completeness - TUSHAR KUMAR J.  
& RITESH BAGGA
- 2) Introduction to Algorithms (Cormen et al)
- 3) Wikipedia.com