GCIS-124 Software Development & Problem Solving

6.1: Data Structures II



	MON (2/19)	TUE	WED (2/21)	THU	FRI (2/23)	SAT
Unit 5: Data Structures I					Unit 6: Data Structures II	
					Unit 5 Mini-Practicum	
			Assignment 5.1 Due (start of class)	Assignment 5.2 Due (start of class)		
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Data Structures II



You are already familiar with many data structures from the perspective of a user including arrays, queues, stacks, lists, sets, and dictionaries.

In this unit we will learn how **different implementations** of many of these data structures work.

We will also examine the situational **strengths and weaknesses** of each implementation in terms of **complexity** (both space and time).

- In this unit we will continue exploring abstract data types and data structures.
 - An abstract data type describes the behavior of a data structure from the perspective of its user without providing any implementation details.
 - Every abstract data type (ADT) may be implemented in more than one way.
 - When solving a new problem, choosing the most efficient implementation is just as important as choosing the correct data structure.
- We will examine several different abstract data types and implement each of them in at least one way including:
 - Binary Trees
 - Binary Search Trees
 - Heaps
 - Comparable/Comparators
 - Tree Sets & Tree Maps
- We will begin by focusing on a new data structure: the binary tree.

Review: Abstract Data Types

- A **data structure** is a grouping of related data.
 - We refer to the data as elements.
- Most data structures provide some common operations including store, retrieve, remove, and size.
- An abstract data type (ADT) defines the behavior of a data structure from the point of view of its user.
 - This includes the possible values and the operations available.
- Choosing the ADT that provides operations suitable for solving a problem usually isn't enough.
 - Each ADT may be implemented in multiple ways.
 - Choosing the correct implementation is also important.
 - This requires understanding the complexity of each operation under different circumstances.



Choosing the correct *abstract data type* involves understanding the nature and constraints of the problem being solved.

Choosing the correct *implementation* involves understanding which *operations* will be used the most and which of the available options provides the *most efficient implementation* of those operations.

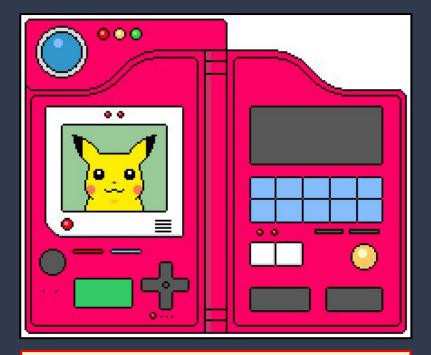
- Pokémon trainers use a Pokédex to keep track of the Pokémon that they have captured.
- When encountering a Pokémon in the wild, it's important for a trainer to know whether or not they have already captured that species.
- In order to implement the Pokédex, we will need a data structure that meets the following requirements:
 - Quickly search to determine whether or not a specific Pokémon is already in the collection.
 - Print all of the Pokémon in our Pokédex in order by number.
- What is the best data structure to use that meets both of those requirements?

Gotta Catch 'em All!



I need to know if it's worth using my last Razz Berry and an Ultra Ball or not.

The Pokédex as a List

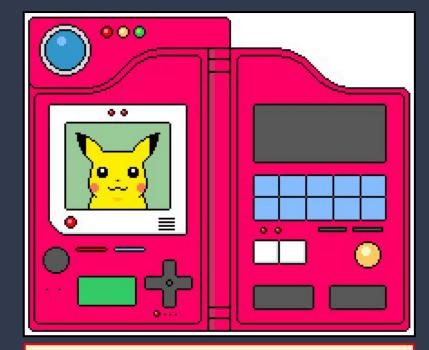


What if we try to keep the list in sorted order?

- Simply add each Pokémon to the end of a list as it is captured.
 - This is a **constant time** (**O(C)**) operation.
- How will you determine whether or not you have captured a specific Pokémon when you encounter it in the wild?
 - Perform a *linear search*; an O(N) operation.
- What is the complexity of maintaining the Pokédex over time?
 - Each time you encounter a Pokémon, you will perform a linear search (O(N)).
 - The complexity over time will be $O(N^2)$.
- How will you print the Pokémon in order?
 - Search the list for the Pokémon with the next smallest number.
 - To print N Pokémon, you will need to perform N linear searches; again O(N²).
- There is probably a better way.

- **Sort** the list each time a new Pokémon is added.
- This will allow you to perform a binary search to determine whether or not you have already captured a Pokémon.
 - This is an **O(log₂N)** operation.
- What is the complexity of searching the Pokédex over time?
 - Each time you encounter a Pokémon, you will perform a binary search.
 - The complexity over time will be O(Nlog₂N). Much improved!
- Printing the Pokemon in order is as simple as iterating over the list, an O(N) operation! Another big improvement!
- But what is the complexity of keeping the list sorted?
 - Each *insert* is an O(N) operation.
 - You will perform an insert N times; O(N²). Ugh.

The Pokédex as a Sorted List



What if we used a fast data structure like a Python dictionary?

The Pokédex as a Dictionary



If we want to avoid a lot of slow operations, it seems like we'll need a new data structure to solve this problem.

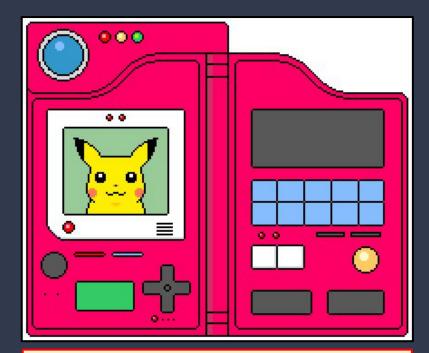
- Why not use a dictionary to keep track of your captured Pokémon?
 - Use the Pokémon's number as the key.
- Adding a new Pokémon is a constant time
 (O(C)) operation.
- Searching for a Pokémon is also a constant time
 (O(C)) operation.
- But what about printing the Pokémon in order by number?
 - Hash maps do not maintain the keys in a predictable order.
 - This means that you'd have to *iterate* over the keys looking for the Pokémon with the next smallest number; a O(N) operation.
 - And to print N Pokémon, you'd have to do the linear search N times; O(N²). Gross.
 - Alternatively, you could *copy* all of the Pokémon into a list and *sort* them:
 O(N+Nlog₂N). Better, but not great.

Let's look at the data structures we already know and consider the complexity to:

- Add a Pokémon to the Pokédex.
- Determine if a Pokémon is already in the Pokédex.
- Add N Pokémon to the Pokédex.
- Print the Pokédex in order

	List	Sorted List	Dictionary
Add	O(C)	O(N)	O(C)
Find	O(N)	O(log ₂ N)	O(C)
Add N	O(N)	O(N ²)	O(N)
Print	O(N ²)	O(N)	O(N+Nlog ₂ N)

Pokédex Options



We have learned several options for storing data but none of them are great for this problem.

Binary Trees... What Are They Even?

A **binary tree** is one of two things...

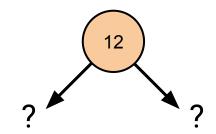
An empty tree...

Can you see it? It's right there...

The empty tree is a tree with no data, and no sub-trees.

The empty tree is represented using null.

A non-empty tree...



A non-empty tree includes **a value** and a **left subtree** and a **right subtree**, either or both of which may be empty.

Parts of a Binary Tree

A non-empty tree comprises at least one **node**.

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In diagrams, a node is represented as a circle.

The *value* is written inside the circle.

The **subtrees** are indicated using arrows.

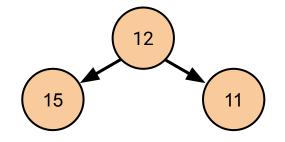
A *leaf* is a node whose left and right subtrees are both empty.



The arrows are not drawn for empty subtrees.

These subtrees may also be referred to as *empty nodes* and are represented using null.

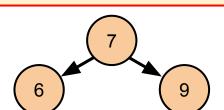
An *internal node* is a node that is not a leaf.



That is to say that **one** or **both** of its subtrees is not an empty tree.

Parts of a Binary Tree

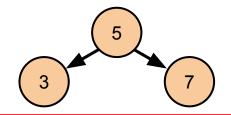
A node **c** is a **child** of another node **p** if it is the left or right subtree of **p**.



In this example, **6** is a child of **7** because **6** is the *left subtree*.

9 is also a child of **7** because **9** is the *right subtree* of **7**.

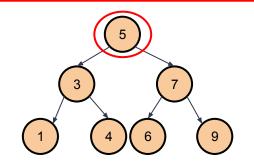
A node **p** is a **parent** of another node **c** if **c** is one of its subtrees.



In this example, **5** is the parent of **7** because **7** is the *right subtree* of **5**.

5 is also the parent of **3** because **3** is its *left subtree*.

The **root node** is a node that has no parent.

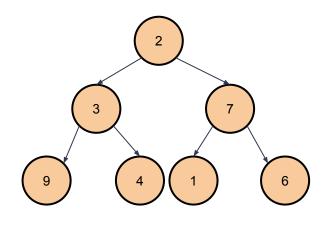


In this example, **5** is the root node because it has no parent.

There is only *one* root node in a binary tree.

6.1 A Binary Node

You should remember the Node class that we wrote and used to implement the NodeStack and NodeQueue. Now we're going to create a similar class to represent a node in a binary tree: BinaryNode.



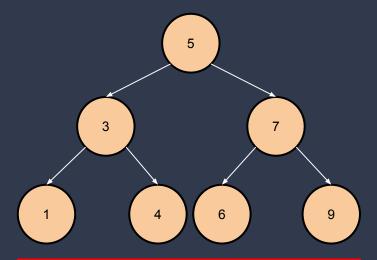
- Create a new class called BinaryNode.
- The class should have the following methods:
 - A least one constructor that takes an int value.
 - A getter/setter for its int value.
 - A getter/setter for its left subtree (a BinaryNode).
 - A getter/setter for its right subtree (a BinaryNode).
 - O A toString() that returns a String in the format:
 "BinaryNode{value=<value>, left=<left>,
 right=<right>}"
- Add a main method and build the binary tree shown on the left. Print it to standard output.

- The next part of the Pokédex problem is to print all of the Pokémon in the Pokédex by number.
- This will require us to **stringify** the binary tree that implements our Pokédex.
 - "Stringify" is a technical term that I totally did not just make up that refers to making a string from the values in the tree.
- Converting a binary tree into a string requires that we:
 - Visit each node in the tree.
 - Add its value to a string using concatenation.
- The process of visiting each node in a tree
 is also referred to as *traversing* the tree.

Printing the Pokédex



Infix (in-order) Traversal



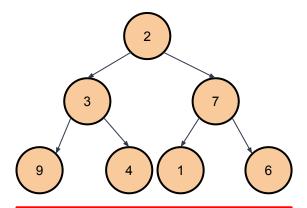
Consider how changing the order in which the nodes are visited would change the string we are building.

There are other kinds of traversal that change the order in which the middle node is visited.

- There is more than one way in which to traverse a binary tree; the order in which the nodes are *visited*, and therefore the results, may change.
- Consider the binary tree to the left. If you wanted to print all of the node values in increasing numerical order, in which order would you need to visit each nodes?
 - First, visit the *left subtree*.
 - Then, visit the *middle node*.
 - Finally, visit the right subtree.
- This is called an *infix traversal* or sometimes an *in order traversal*.
 - The nodes are always visited in the order: *left-middle-right*.

6.2 An Infix (in-order) Traversal

It's important that we be able to convert a Binary Tree into string that we can read and understand so that we can examine the contents of the tree as we manipulate it. Let's implement a method to perform an infix traversal of the tree and returns it as a string.



The order is all wrong! How to we make sure that the nodes print in the correct order?

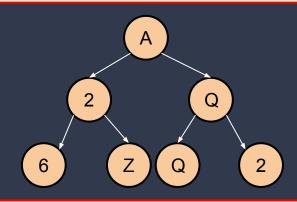
We will discuss that soon!

- Open the BinaryNode class and define a method named
 "infixTraversal" that returns a String.
 - o Create an empty String.
 - o If the left subtree is not null, call its infixTraversalmethod and add the return value to the string.
 - Then the node should visit **itself** add the value to the string followed by a space, e.g. "5".
 - o If the right subtree is not null, call its infixTraversalmethod and add the return value to the string.
 - Return the string!
- Update the main method in your BinaryNode to call the infixTraversal method on the root of the tree that you built previously. Print the resulting string.
 - It should print the string: 9 3 4 2 1 7 6

- Once the values are added to a binary tree, how would we **search** the tree to determine whether or not it contains a specific value?
- Binary trees are a recursive data structure, so it should come as no surprise that a recursive algorithm can be used to search a binary tree BT.
 - If value matches the target, return true.
 - Otherwise, if the *left subtree* is not null, search it. If the target is found, return true.
 - Otherwise, if the *right subtree* is not null, search it. If the target is found, return true.
 - Otherwise, return false.

Searching a Binary Tree

Determining whether or not a value is present in a binary tree requires a **search**.

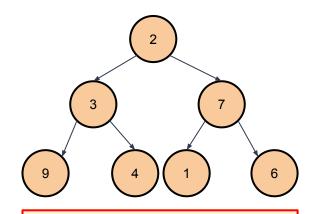


If the target is not in the root node, search one of the subtrees.

If the target still isn't not found, search the other subtree.

6.3 Searching a Binary Tree

Because the values in a Binary Tree are not in any particular order, searching means that, if the target doesn't match the value in a node, you may need to search **both** the left and right subtrees. Try implementing a search algorithm now. What is the time complexity when searching a binary tree with N nodes?

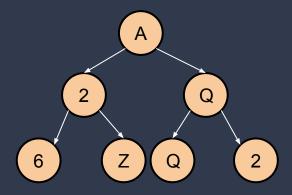


Make sure to search for values in both subtrees as well as values that are not present in the tree.

- Open the BinaryNode class and define a new method with the signature: public boolean search (int target)
 - o If the node's value matches the target, return true.
 - Otherwise, search the left subtree, and return true if the target is found.
 - Otherwise, search the right subtree, and return true if the target is found.
 - Otherwise, return false.
- Update the main method to search for several values and print the results.

Search Complexity

A binary tree is **any** tree with the structure described previously.



Each node has two children: a left subtree and a right subtree.

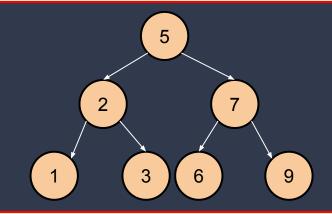
There is no relationship between the data in the nodes.

- What is the average complexity of searching a binary tree with N nodes?
 - On average, about **half** of the nodes will need to be searched, so $O(\frac{1}{2}N)$ or just O(N).
- This is no better than a *linear search*! Is there a way that we can improve the efficiency of searching a binary tree?
- A binary search runs in logarithmic time
 (Olog₂N) because it eliminates half of the
 values with each iteration; it chooses to
 search only the left half or the right half of
 an array.
- Is there a way to arrange values in a binary tree to accomplish the same thing?

- Assuming that the values in a binary tree can be arranged into some natural order, we can build the tree so that it is more efficiently searchable.
- Each time a new value is added to the tree:
 - If the new value comes before the root's value in natural order, then it is added to the left subtree.
 - If the new value comes after the root's value in natural order, then it is added to the right subtree.
- The process continues recursively through each node in the tree until an empty subtree is found.
 - The new value is added in place of the empty subtree.

Binary Search Trees (BSTs)

A **binary search tree** (**BST**) is a special binary tree that has some extra rules.

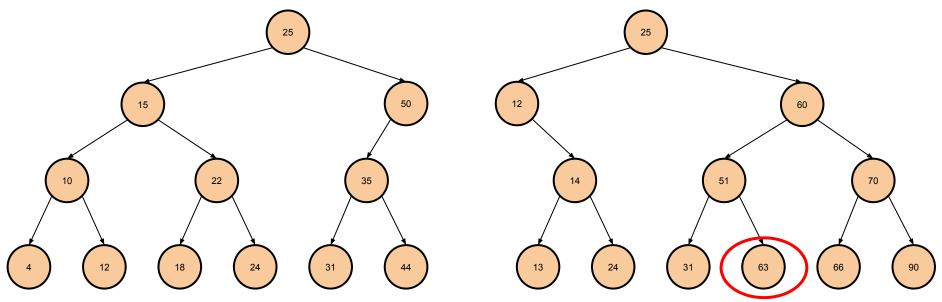


From any node in the tree, the left subtree contains only values that come **before**...

...and the right subtree contains only values that come *after*.

Identifying Binary Search Trees

Is this a Binary Search Tree? If not, why not?



6.4 A Binary Search Tree ADT

Remember, an ADT tells us **what** a data structure does, but not **how** it does it - it **defines** behavior without **implementing** it. We will need to define an **abstract data type** (**ADT**) to represent a **binary search tree** (**BST**) using a Java interface.

<</ri>SinarySearchTree

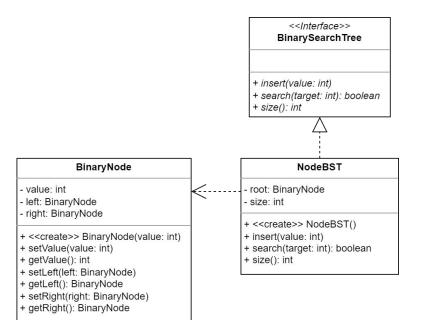
- + insert(value: int)
- + search(target: int): boolean
- + size(): int

For now, the BST will only work with integer values.

Using the UML to the left, define a new Java interface to represent a binary search tree.

6.5 A Node-Based BST

As you know, most ADTs can be implemented in more than one way. Let's work on a node-based implementation of a BST using the BinaryNode!



- Using the UML to the left, begin implementing the NodeBST class.
 - Use the constructor to set the root node to null.
 - Implement the size() method just like we have for most of our data structures.
 - Stub out the other methods for now.
 - The string representation of the NodeBST should be an infix traversal of the tree, or "<empty>" if the tree is empty.
- Define a main method with the appropriate
 signature and use it to create an empty NodeBST
 and print it to standard output.

Inserting into the Pokédex

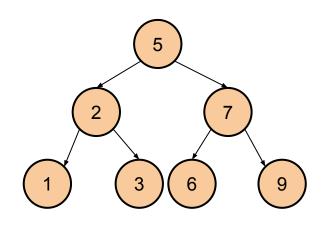


Once I've captured a new Pokémon, I need to insert it into my Pokédex!

- So now that we know that we can implement the Pokédex using a binary search tree, how do we go about adding a new Pokémon to the Pokédex?
- Each new Pokémon will need to be inserted into the correct position in the BST.
- Again, because a BST is a recursive data structure, a recursive algorithm can be used.
 - If the new value comes before value, insert the new value into the left subtree.
 - Otherwise, insert the new value into the right subtree.
 - Repeat this until an empty subtree is found. Create a new BinaryNode and add it to the tree.

6.6 Practice Drawing BSTs

Drawing diagrams can help understand how something works. Let's practice inserting into binary search trees by drawing a few pictures!

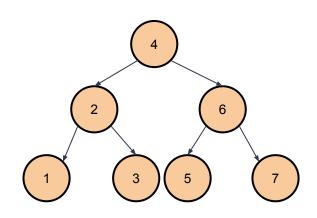


Get out a pen or pencil and some paper and draw a few trees!

- When inserting into a binary search tree, if the tree is empty, the value becomes the root. For each additional value:
 - o If the value is less than the root, insert into the left subtree.
 - If the value is greater than the root, insert into the right subtree.
 - Recurse until an empty subtree is found; the new value becomes the subtree.
- Try it now with the following sets of values:
 - 0 5, 7, 6, 2, 9, 1, 3
 - 0 5, 4, 6, 3, 7, 2, 8, 1, 9
 - 0 10, 15, 20, 25, 30, 35, 40
 - 0 10, 9, 8, 7, 6, 5, 4, 3, 2, 1

6.7 Inserting into a Binary Search Tree

Building a BST requires inserting new values into a node's *left* subtree if they are less than the the node's value, and into the *right* subtree otherwise. This continues until an empty subtree is found. Try implementing binary insert now!



Use main to build the tree depicted above and print it to standard output.

- Open the NodeBST and define a private helper function named binaryInsert that declares a parameter for a BinaryNode node and an int value to be inserted.
 - o If the value is less than the node's value:
 - If the left subtree is empty, make a new BinaryNode with the value and make it the left subtree.
 - Otherwise, use recursion to insert the value into the left subtree.
 - o If the value is greater than the node's value:
 - If the right subtree is empty, make a new BinaryNode with the value and make it the right subtree.
 - Otherwise, use recursion to insert the value into the right subtree.
- Navigate to the insert method.
 - o If the root node is null, create it using the value.
 - Otherwise, call binaryInsert with the root and the value.
 - Don't forget to increment size!

Available Pokémon

039	004	147	075	129	008	146	020	026	036
132	093	001	145	174	050	196	003	151	019
470	009	051	054	130	144	052	006	076	094
074	197	053	025	136	002	035	143	134	055
065	005	113	149	173	172	064	133	446	471
150	242	007	148	063	135	700	013	092	040

6.8 Building Your Pokédex

We'll be using a Binary Search Tree (BST) inside of a Pokédex that stores the number of any Pokémon that we encounter in the wild. As each Pokémon is encountered, its number will be inserted into the BST. When we are finished, we can print all of the stored Pokémon in order!

Pokédex

- bst: BinarySearchTree
- + <<create>> Pokédex()
- + addPokémon(number: int): void
- + toString(): String

- Use the UML to the left to implement a Pokédex class that uses a BST to provide the following behavior:
 - A Pokédex is constructed empty. How should you represent an empty BST?
 - o addPokémon inserts the Pokémon's number into the Pokédex.
 - toString returns all of the Pokémon contained in the Pokédex in order.
- Define a main method
 - Create an empty Pokédex and add 15 of the Pokémon from the previous table in the order that they appear in the table.
 - Print the Pokédex to standard output.

- Each time a new Pokémon is encountered, it is essential that we can quickly search the Pokédex and determine whether or not we've already captured a Pokémon of that species.
- It should come as no surprise that there is a recursive algorithm for searching a BST that is only a little different from the algorithm to search a plain binary tree.
 - If value matches the target, return true.
 - If the target is less than value, search the left subtree.
 - Return false if the left subtree is null.
 - Otherwise, search the *right subtree*.
 - Return false if the right subtree is null.

Searching the Pokédex ____

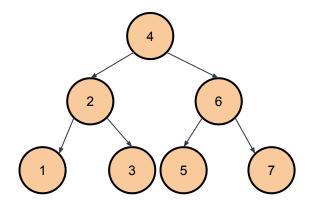


The old search method blindly searches the left subtree and then the right. It doesn't pay attention to the relationship between the value and the target.

In a plain *binary tree*, this is the only option. But in a *BST*, we can search much more efficiently.

6.9 Searching a BST

Values in a Binary Search Tree (BST) are organized such that the left subtree only contains values that are less than a node's value, and the right subtree only contains values that are greater than the node's value. Because of this, a search of the BST is able to make a decision about which subtree to search (just like *Binary Search*). Let's implement a binary search now!



A search through a BST will be able to make decisions about which subtrees to search.

- Begin by creating a private helper function named binarySearch that declares parameters for a BinaryNode node and an int target.
 - o If the target is equal to the node's value, return true.
 - o If it is less than the node's value, recursively search the left subtree.
 - Otherwise, recursively search the right subtree.
 - o If you find an empty subtree, return false.
- Implement the search method by calling the binarySearch method with the root and the target and return the result.
- Use the main method to search for at least 4 values, 2 of which are not in the tree.

6.10 Searching Your Pokédex

It's not enough to simply add Pokémon to the Pokédex as they are encountered - the Pokémon Trainer also wants to be able to quickly determine whether or not a Pokémon is already in the Pokédex so that they can decide whether or not it is worth taking the time to try and catch it!

Pokédex

- bst: BinarySearchTree
- + <<create>> Pokédex()
- + containsPokémon(
 - number: int): boolean
- + addPokémon(number: int): void
- + toString(): String

- Enhance the Pokédex class so that it also provides the following behavior:
 - containsPokémon returns true if the Pokémon's number is already in the Pokédex, and false otherwise.
 - addPokémon update the method so that it should only add a Pokémon to the Pokédex if it is not already present.
- Use the main method to search for several Pokémon and print the results to standard output.
 - Make sure to search for Pokémon that are in the Pokédex as well as those that are not.
 - What happens if you try to add the same Pokémon twice?

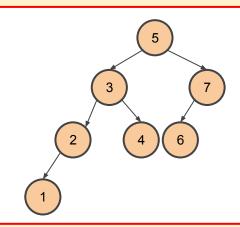
Balance

A binary tree is **balanced** if the left and right subtrees are both **bushy** and about equally so.

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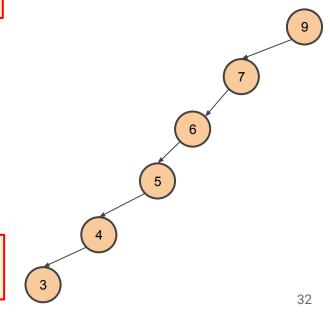
This means that there are approximately the same number of nodes in both halves of the tree *at every level*.

A binary tree is *unbalanced* if there are more nodes in one half of the tree than the other.



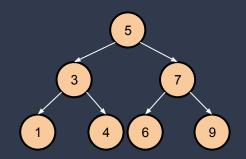
Unbalanced trees are more **branchy** than **bushy**.

In the extreme case, an unbalanced tree becomes a *list*.

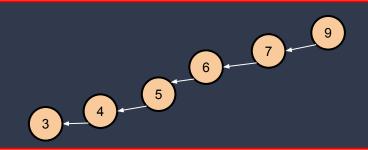


- The complexity of searching a binary search tree depends on how balanced the tree is.
 - A balanced tree has the same number of nodes in the left subtree as it has in its right subtree.
 - These trees are referred to as bushy.
- An unbalanced tree has more nodes in one subtree than the other.
 - These trees are referred to as branchy.
 - The extreme case is a **list**.
- If a BST is balanced, a search eliminates half of the nodes in the tree with each iteration, just like a binary search; the complexity is O(log₂N).
- If the BST is unbalanced, the performance approaches linear time (O(N)).

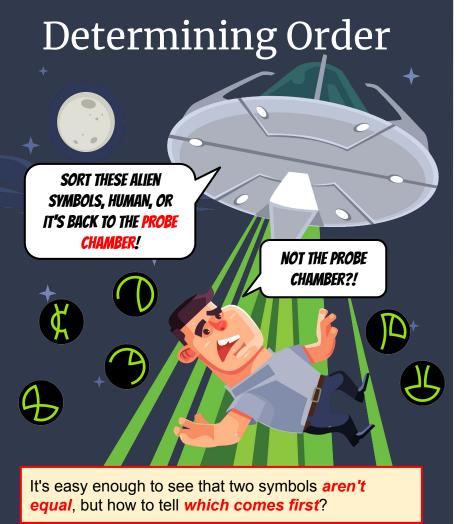
Complexity of Searching



Each iteration eliminates half of the nodes in a balanced tree. The complexity is therefore O(log₂N) (just like *binary search*).



In the extreme case in an unbalanced tree, every node is searched: O(N).



- Sorting numbers is easy.
 - You either sort them in ascending order from smallest to largest, or descending order from largest to smallest.
- Sorting strings is also easy. Sort of.
 - Most humans like to sort strings alphabetically.,
 e.g. "apple", "Cat", "monkey", "Zoo".
 - Most computers like to sort them
 lexicographically, which is in ascending order by
 ASCII value, e.g. "Cat", "Zoo", "apple", "monkey".
- But what about sorting other types of things?
 - Like fruits, or dogs, or goats, or trolls?
 - Or the symbols in an alien language?
- We already know how to compare arbitrary objects for equality.
 - We use the equals (Object) method that is inherited from the Object class.
 - The default implementation compares the identity of the two objects, but we can override that.
- That's great, but knowing whether or not two objects are equal to each other tells us nothing about which one comes first in sorted order.

- The easiest way to sort values of some type is if they are naturally comparable to each other.
 - The type defines its own natural order.
 - That is to say, given two values of the same type, you can compare one to the other to determine which comes first.
- In Java, a type is made *naturally comparable* by implementing the java.lang.Comparable interface.
 - Comparable is a generic interface that defines a type parameter T. This is used to specify the type to which the class can be compared.
 - A class usually specifies its own type in place of the type parameter.
- The Comparable interface defines a single method: public int compareTo (T other)
- Given two instances of a comparable class A
 and B, A. compareTo (B) returns:
 - o if A and B are **equal** to each other.
 - o < 0 if A comes before B in sorted order.
 - > 0 if A comes after B in sorted order.



If a class implements Comparable, then you can directly compare two instances of the class using the compareTo(T) method to see which comes first in sorted order.

A Closer Look at Comparable

```
public class AlienAlphabet implements Comparable<AlienAlphabet> {
       private final int sequenceNumber;
       private final String symbol;
       public AlienAlphabet(int sequenceNumber, String symbol) {
           this.sequenceNumber = sequenceNumber;
           this.symbol = symbol;
10
      @Override
       public int compareTo(AlienAlphabet other) {
11
12
           // sort by sequence number
13
           return this.sequenceNumber - other.sequenceNumber;
14
15 }
```

A class implements Comparable to define its own **natural order**, which determines how instances of the class will be arranged in **sorted order**.

Comparable is a *generic type*. Its *type*parameter determines the type of value that can
be passed into the compareTo(T o) method.

Most of the time, a class wants to be compared with *its own type*, and so it will specify its own type in place of the type parameter.

When A.compareTo (B) is called on an instance it should return <0 if a comes before b in sorted order, 0 if A and B are equal, and >0 if A comes after B.

6.11 Comparable Pokémon

Right now we are representing a Pokémon using its number. But there is a lot more to Pokémon than a mere number - each Pokémon should also at least have a name! Let's create a new class to represent Pokémon and implement it so that Pokémon are naturally comparable by number.

```
public class Alien implements Comparable<Alien> {
    private final int seqNumber;
    private final String symbol;

    public Alien(int seqNumber, String symbol) {
        this.seqNumber = seqNumber;
        this.symbol = symbol;
    }

    @Override
    public int compareTo(Alien other) {
        // sort by sequence number
        return this.seqNumber - other.seqNumber;
    }
}
```

Up until now we have been representing Pokémon using integers.

Let's create a class that defines its own *natural order* instead.

- Create a new Java class named "Pokémon".
 - Add fields and a constructor for the Pokémon's number and name.
 - Override toString() to return a String in the format "#: <name>",
 e.g. "7: Squirtle".
 - o **Implement** the Comparable interface.
 - The class should specify its own type for the generic type parameter.
 - Compare two Pokémon using their numbers in ascending order.
 - Remember, poke1.compareTo(poke2) should return an integer that is <0 if poke1 is first, 0 if they are equal, and >0 if poke1 is second.
- Add a main method with the appropriate signature.
 - Create a Java List that contains at least 3 different Pokémon. Make sure to add them out of order.
 - Call the <u>Collections.sort(List)</u> method to sort the list and then print it to standard output.

Comparators



A Comparator is a **separate class** that can compare instances of **some other class**. It can help sort things that **do not** have a natural order **or** sort them in a different way if they do.

- If a class implements Comparable, it defines its own natural order and can be sorted.
 - O By using Collections.sort(List) for example.
- But what do you do if a class doesn't implement Comparable and you would still like to arrange objects of the class into some order?
- Or what if the class does implement Comparable but you want to arrange objects into some other order?
- Java provides another interface that allows you to create a helper class that can be used to arrange objects of some other class:

java.util.Comparator

- It is a **generic type** that defines a single method, compare (T a, T b), that compares two instances of some **other class**.
- It follows the same rules as Comparator and returns an integer <0 if a comes before b in sorted order, 0 if a and b are equal, and >0 if a comes after b.
- A class that implements Comparator acts as a helper to sort objects of another type.

A Closer Look at Comparator

```
public class AlienComparator implements Comparator<AlienAlphabet> {

@Override
public int compare(AlienAlphabet o1, AlienAlphabet o2) {
    String sym1 = o1.getSymbol();
    String sym2 = o2.getSymbol();
    return sym1.toLowerCase().compareTo(sym2.toLowerCase());
}
```

It's worth noting that String, like many other Java types, implements Comparable to define *its own natural order*. This means that you can *use* its compareTo(String) method in your own classes.

A class that implements Comparator defines a sort order for *some other class*, which is specified for the Comparator's type parameter.

A Comparator is needed when the other class does not define a natural order at all *or* to sort instances of the class in some other way.

The other class is specified in place of the type parameter, and determines the parameter types for the compare(T ol, T ol) method.

When C.compare (A, B) is called on the Comparator it should return <0 if A comes before B in sorted order, 0 if A and B are equal, and >0 if A comes after B.

6.12 Pokémon Comparator

Pokémon define a *natural order* that sorts them in ascending order by number. That's great! But what if we wanted to sort them them in some other way, e.g. alphabetically by name? We'd need a separate Comparator class that will help Java's sorting algorithms to arrange the Pokémon in a different way, *other than* the natural sorting that we defined in the previous activity.

It's great that Pokémon are naturally sortable by number, but what if we wanted to sort them in another way? A Comparator can help with that!

- Create a new Java class named "PokémonComparator".
 - o **Implement** the Comparator interface.
 - Specify Pokémon as the comparable type. Both parameters to the compare (T o1, T o2) should therefore also be Pokémon.
 - Compare two Pokémon alphabetically by name.
 - Remember, comp.compare (poke1, poke2) should return an integer that is <0 if poke1 is first, 0 if they are equal, and >0 if poke1 is second.
- Define a main method with the appropriate signature.
 - Create a Java List that contains at least 3 different Pokémon. Make sure to add them out of order.
 - Call the static <u>Collections.sort</u>(<u>List</u>, <u>Comparator</u>) method to use the PokémonComparator to sort the list and then print it to standard output.

Comparable vs. Comparator



6.13 Comparable Fruit

It can take a long time to understand the uses of Comparable and Comparator and how they are different from each other. The best way to get a handle on it is to practice implementing both a few times to solve different problems. Let's try another example now!



```
public class Alien implements Comparable<Alien> {
    private final int seqNumber;
    private final String symbol;

public Alien(int seqNumber, String symbol) {
        this.seqNumber = seqNumber;
        this.symbol = symbol;
    }

@Override
public int compareTo(Alien other) {
        // sort by sequence number
        return this.seqNumber - other.seqNumber;
    }
}
```

- Create a new Java class named "Fruit".
 - Every fruit has a name and a price.
 - Override toString() to return a String in the format
 "<name> \$<price>", e.g. "Apple \$1.50".
 - Implement the Comparable interface.
 - Implement the compareTo method so that two fruits are compared by name. If two fruits have the same name, compare them by price.
 - Remember, fruit1.compareTo(fruit2) should return an integer that is <0 if fruit1 is first, 0 if they are equal, and >0 if fruit1 is second.
- Add a main method with the appropriate signature.
 - Create a List with at least 3 fruits. Print it, sort it, and then print it again.

6.14 A Fruit Comparator

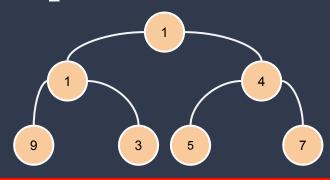
If a class does not implement Comparator to define its own natural sorting order or if you need to sort objects of the class in some other way, you will need to create a Comparator to help sort them. Practice now by defining a Comparator that sorts fruits by price.



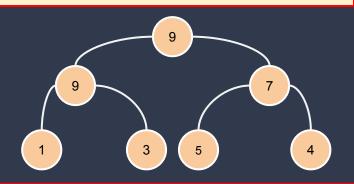
- Create a new Java class named "FruitComparator".
 - o *Implement* the Comparator interface.
 - Specify Fruit as the comparable type. Both parameters to the compare (T o1, T o2) should therefore also be Fruit.
 - Compare two Fruit by **price** and then **name**.
 - Remember, comp.compare(fruit1, fruit2) should return an integer that is <0 if fruit1 is first, 0 if they are equal, and >0 if fruit1 is second.
- Add a main method with the appropriate signature.
 - Create a Java List that contains at least 3 different fruit.
 Print if, use the FruitComparatorto sort it, and then print it again.

- A heap is a another kind of binary tree that is similar to a binary search tree.
- Each node in the heap has a value that is an equal or lower priority than the value of its parent.
 - The exception of course is the root, which has no parent and always contains the highest priority value.
- The horizontal order of the nodes (i.e. from left to right) is arbitrary.
 - In other words, there is no horizontal relationship between nodes; the left or the right child may be higher priority.
- Priority is determined by the specific problem statement.
 - It is up to the programmer to decide what "highest priority" means, especially when dealing with non-numerical data.
- A heap can be organized in one of two ways.
 - A min-heap organizes values from smallest to largest, and so the root will always have the smallest value in the heap.
 - A max-heap organizes values from largest to smallest, and so the root will always have the largest value in the heap.

Heaps



An example of a *min-heap*. Notice that there is no *horizontal* relationship between nodes.



An example of a *max-heap*.

6.15 The Heap Abstract Data Type (ADT)

There is more than one possible way to implement a heap! Like all Abstract Data Types (ADTs), the definition of a *heap* tells us *what* a heap can do, but not *how* it does it. Let's define a Java interface that defines the essential behavior that a heap provides.

<<Interface>> Heap

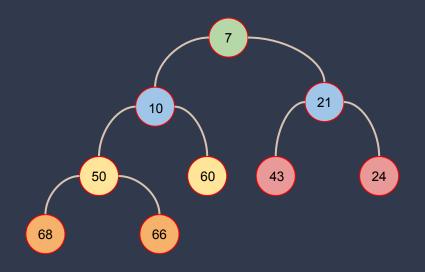
- + add(value: int)
- + remove(): int
- + size(): int

- Create a "heaps" package, and then use the UML diagram to the left to implement a Heap abstract data type.
- When you are finished, verify that you do not have any problems in your project and push your code.

A heap only provides basic operations to add and remove values.

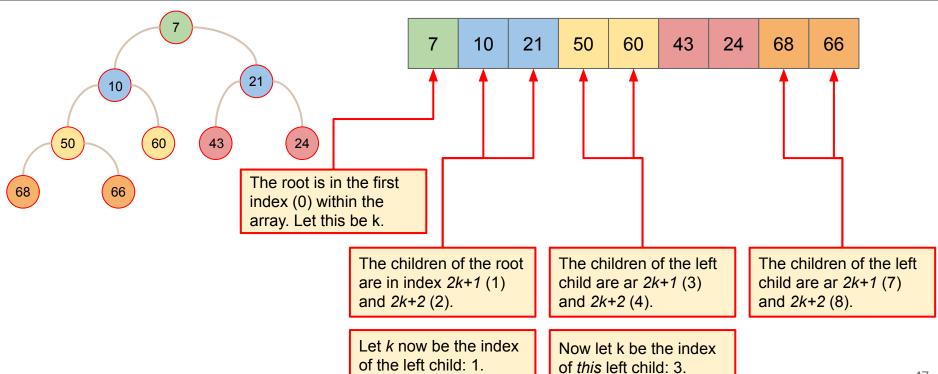
- Like many data structures, a heap can be implemented in more than one way.
 - One obvious way would be to try to implement a heap using **binary nodes**.
 - But perhaps there is a way to implement a heap using an array?
- The heap can be created using an overlay on an array by adhering to the following rules assuming an array of size N with indexes ranging from 0 to N-1.
 - The root of the heap is at index 0.
 - Let k be the index of a node in the tree where $0 \le k < N$.
 - The *left* child of node k is located at index 2k+1.
 - The **right** child of node k is located at index **2**k+2.
- For example:
 - o If k is 0, its left child is at $2*\underline{0}+1=1$, and its right child is at 2*0+2=2.
 - o If **k** is 11, it's left child is at 2*<u>11</u>+1 = 23, and its right child is at 2*<u>11</u>+2 = 24.
- Like other array-based data structures, one challenge is **growing the array** then it has filled up with values.
 - This is easier than some other data structures, because the values can be copied into the same indexes in a larger array.

A Heap Overlay



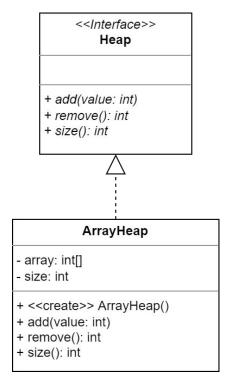
Let's look at an example of how this heap would be overlaid onto an array.

An Array-Based Heap



6.16 An Array-Based Heap

Unlike the other trees that we have implemented in this unit, we will not implement the heap using nodes. Instead, we will implement our first heap as an **overlay** on top of an array.



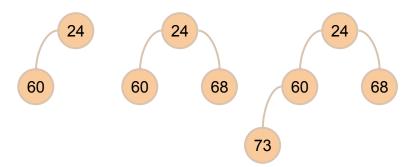
- Using the UML to the left as a guide, begin your array-based implementation of a heap by creating a new Java class named "ArrayHeap" inside the heaps package.
 - o In the constructor:
 - Initialize the array to a capacity of 3.
 - Set the size to 0.
 - Add a toString() in the format "<size>, <array>"
 - For example: "0, [0, 0, 0]"
 - **Hint:** use Arrays.toString().
 - o Implement the size () method.
 - Stub out the remaining methods.
- Add a main method to the class.
 - Create an instance of the ArrayHeap and print its size to standard output.

Adding to a Heap

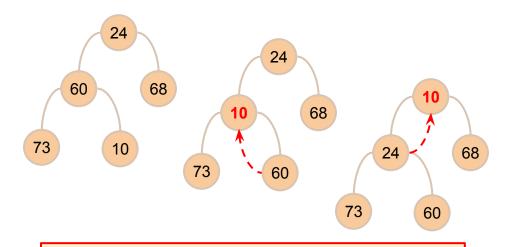
The first node added to the heap becomes the **root**.

24

Each subsequent node is added to the *leftmost* open position in the *bottom* level of the tree.



If a newly added node has a higher priority than it's parent, the values are **swapped**.

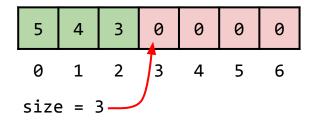


The swaps continue until the value is in the correct priority position. This is called **sifting up**.

6.17 Adding to the Heap

The first few steps of adding a new value to a heap is exactly the same as appending a value to an ArrayList. Let's focus on that part first, before we worry about sifting up.

Remember that Java fills int arrays with 0s by default.

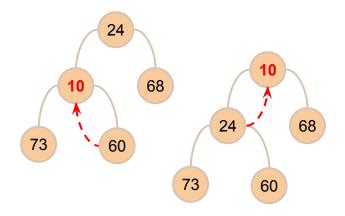


The next value added to this heap will go into the index to which size refers (3).

- Open the ArrayHeap class and navigate to the add method.
 - Values are always initially added to *leftmost-open position* on the bottom of the heap. The good news is that this is the *index* to which size refers!
 - First, check to see if size is equal to the length of the array; if so, use Arrays.copyOf (original, newSize) to create a bigger copy of the array.
 - Next, put the new value in the correct location in the array.
 - Don't forget to increment size!
- Update your main method.
 - Verify that you are able to add 4 or more values to the heap.
 - Print the heap. You should notice that the values are in the array, but not in the correct order.

6.18 Sifting Up

Unless we are very lucky, newly added values in a heap are not likely to be in the correct position. The new values will need to be *sifted up* into the heap until they are in the correct position, i.e. a higher priority than *both* of the children. Let's take a crack at implementing the algorithm now!

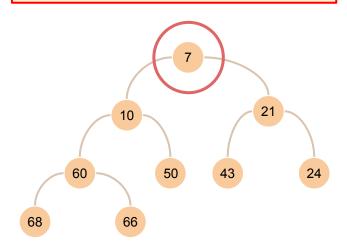


A value added to the bottom of the heap may not be in the correct place and needs to **sift up** to the correct location.

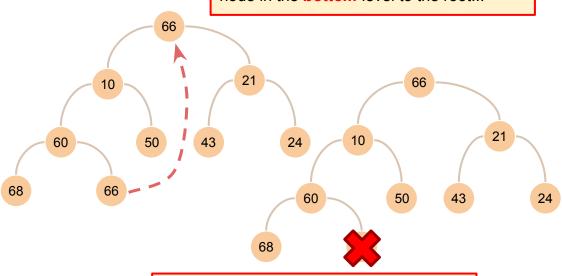
- Sifting requires that we **swap** values at two different indexes in an array. Open the ArrayHeap class and define a new private helper method named "swap" that declares parameters for two indexes a and b.
 - If a **and** b **are not equal**, **swap** the values at the specified indexes.
- Next, implement the algorithm to sift up in your add method **before** you increment size:
 - Set the child index equal to size.
 - Set the parent index equal to (child 1) / 2.
 - o As long as array[parent] > array[child]:
 - **Swap** the values.
 - Set child equal to parent.
 - Set parent equal to (child 1) / 2.
- Run main to verify that the values are being sifted up.

Removing From the Heap

The root always contains the *highest priority* value, and so the root is always the value *removed* from the heap.



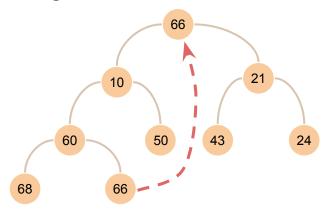
Then, *copy* the value from the *rightmost* node in the *bottom* level to the root...



...and then **remove** that rightmost node from the bottom level (set it to 0).

6.19 Removing From a Heap

The highest priority value is always the next to be removed from the heap. Let's begin implementing the algorithm now by focusing on the first few steps, which are fairly straightforward.



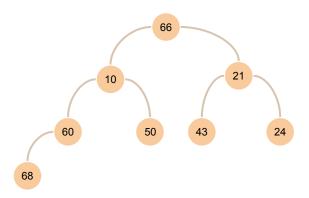
Once finished, you will be doing **most** of the work of removing.

The next step is to **sift** the value swapped into index 0 **down** into the correct position in the heap.

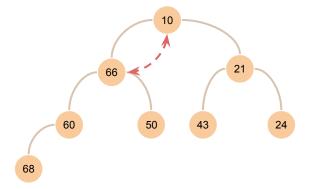
- Open the ArrayHeap class and navigate to the remove () method.
 The first few steps are fairly straightforward.
 - Save the value at index 0 in a temporary variable.
 - **Swap** the value in rightmost position in the bottom of the tree into index 0.
 - **Hint**: it will be at the last index that a value was added to the heap.
 - Be sure to set the value at that index to 0 after swapping.
 - Don't forget to decrement size!
 - **Return** the temporary value.
- Update the main to remove and print a few values (and the heap).
 - The first value should be the highest priority (i.e. lowest value), but the next value(s) that you remove probably will not be in the correct priority order.
 - This is because the value swapped into index 0 is not yet **sifted down**.

Removing From a Heap: Part 2

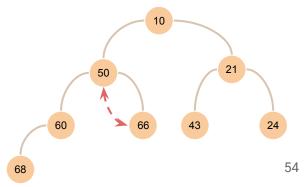
Now the value in the root needs to be **sifted down** to its proper position in the heap.



Compare its value to both children and, if it is not *higher priority* than both, *swap* it's value with the *higher priority* of the two.

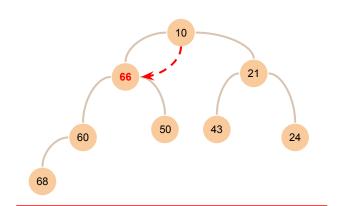


Repeat this until the value is lower priority than both of its children.



6.20 Sifting Down

At this point, the value that was in the rightmost bottom node in the tree is now at the root, meaning that it will need to be *sifted down* until it is in the correct priority position. This is more complicated than sifting up because it will need to be compared to *both* of its children and swapped with the higher priority of the two!



Once sift down is working, your heap implementation will be complete.

- The sifting algorithm is a little more complex than sifting up.
 - The parent value needs to be compared with **both** of its children to decide which one to swap with (if either).
 - We also need to make sure not to swap into an index that is outside of the heap (e.g. into an unused part of the array).
- Open ArrayHeap and navigate to the remove method. Implement the sift down algorithm before the method returns.
 - Start the parent at index at 0 (the value you swapped).
 - As long as parent is less than size:
 - Compare the parent to **both** of its children (i.e. **2k+1**, **2k+2**).
 - Make sure that they exist!
 - If the parent is not higher priority than both:
 - Swap it with the higher priority of the two.
 - Set parent to the swap index.
 - Otherwise, break.

Heap Complexity

Q: What is the worst case time complexity for **adding** a new value to a heap?

A: We are using the size of the heap to find the correct location, which means that adding the value to the array is O(C).

In the worst case a value added to the bottom of the tree is **sifted up** all the way to the root of the tree. What is the complexity of that operation?

The maximum number of swaps is determined by the height of the tree, which is log_2N . Therefore the worst case time complexity is also $O(log_2N)$.

Q: What is the worst case time complexity for **removing** a value from a heap?

A: We use the size of the heap to find the rightmost bottom value in the tree, which is an **O(C)** operation.

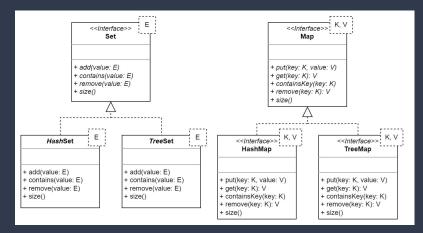
In the worst case the value copied into the root must be **sifted down** all the way back to the bottom of the tree, which is again the height of the tree: log₂N.

The worst case complexity of removing is therefore $O(log_2N)$.

- So far in this unit, we have examined several different kinds of binary trees.
 - A binary tree is one in which each node has a left subtree and a right subtree.
 - A binary search tree is a binary tree that guarantees that all of the values in a node's left subtree are less than the node's value, and all of the values in the right subtree are greater than.
 - A heap is a binary tree that guarantees that the values in each node's left and right subtrees are a lower priority than the node's value.
- We have also discussed different ways of implementing each kind of tree, e.g. using nodes or an overlay on an array.
- But binary trees can also be used to implement other data structures!
- The TreeSet in Java is an implementation of the Set abstract data type that maintains its elements in sorted order.
- The TreeMap in Java is an implementation of the Map abstract data type that maintains its keys in sorted order.
- Both data structures require that the elements implement Comparable or that a Comparator is provided to help with the sorting.

Tree-Based Data Structures: TreeSet and

TreeMap



The TreeSet and TreeMap are alternate implementations of abstract data types with which you are already familiar. They provide all of the same operations.

The fundamental difference is that both keep the values that they store *in sorted order*. This makes them perform slightly *slower*.

A Closer Look at the TreeSet

```
1 Set<String> strings = new TreeSet<>();
2 strings.add("z");
3 strings.add("y");
4 strings.add("x");
5
6 for(String s : strings) {
7    System.out.println(s);
8 }
```

A Closer Look at the TreeSet

TreeSet is an implementation of the same abstract data type as HashSet.

A TreeSet provides all of the same operations as a HashSet, and also guarantees that the elements are unique...

The major difference that you will notice is that, when iterating over the elements, they are in **sorted order**.

```
1 Set<String> strings = new TreeSet<>();
2 strings.add("z");
3 strings.add("y");
4 strings.add("x");
5
6 for(String s : strings) {
7    System.out.println(s);
8 }
```

The type used with a TreeSet must be naturally Comparable...

... **or** a Comparator can be passed into the constructor, e.g.:

new TreeSet<>(new DogComparator());

6.21 TreeSet Practice

There is nothing like conducting an experiment to see how the different Set implementations work. Practice using a HashSet and a TreeSet to store strings and see how they behave differently.

```
Set<String> strings = new TreeSet<>();
strings.add("z");
strings.add("y");
strings.add("x");

for(String s : strings) {
    System.out.println(s);
}
```

We are using *polymorphism* to write code that will work with any kind of Set.

- Create a new Java class named "SetsAndMaps" and define a method named "addAndPrint" that declares a parameter for a Set<String>.
 - Add at least 5 strings to the set in no particular order. Make sure to vary the case of the first letters of the strings, e.g. "aardvark", "Zoo", "Monkey", "zebra", "shark".
 - Use a for-each loop to iterate over the values in the set and print them to standard output.
- Define a main method with the appropriate signature.
 - Call addAndPrint with an empty HashSet<String>.
 - Call it a second time with an empty TreeSet<String>.
 - Run your code.

6.22 More TreeSet Practice

You may have noticed that the natural ordering of strings arranges capital letters before lowercase letters, e.g. "Zoo" comes before "aardvark". Let's fix that by writing a custom String Comparator and providing it to a TreeSet to use in sorting.

- Create a new Java class named AlphabeticComparatorthat implements Comparator<String.
 - Override the compare method to compare two strings.
 - Convert the strings to lowercase, then compare the first to the second, e.g.
 a.compareTo(b).
 - Return the result.
- In the main method in the SetsAndMaps class:
 - Create an instance of the AlphabeticComparator and pass it into the constructor of a new TreeSet<String>.
 - o Call the addAndPrint method with the new TreeSet.
 - o Run your code!

A Closer Look at the TreeMap

```
Map<Dog, Breed> dogs = new TreeMap<>(new DogComparator());
dogs.put(new Dog("Buttercup", 10), Breed.BASSET);
dogs.put(new Dog("Flash", 8), Breed.BLOODHOUND);
dogs.put(new Dog("Hermione", 2), Breed.BASSET);
dogs.put(new Dog("Princess Fluffypants", 1), Breed.CORGIE);

for(Dog dog : dogs.keySet()) {
    System.out.println(dog + " is a " + dogs.get(dog));
}
```

A Closer Look at the TreeMap

TreeMap is an implementation of the same abstract data type as HashMap.

A TreeMap provides all of the same operations as a HashMap, and also guarantees that the keys are unique...

The major difference that you will notice is that, when iterating over the keys, they are in **sorted order**.

```
Map<Dog, Breed> dogs = new TreeMap<>(new DogAgeComparator());
dogs.put(new Dog("Buttercup", 10), Breed.BASSET);
dogs.put(new Dog("Flash", 8), Breed.BLOODHOUND);
dogs.put(new Dog("Hermione", 2), Breed.BASSET);
dogs.put(new Dog("Princess Fluffypants", 1), Breed.CORGIE);

for(Dog dog : dogs.keySet()) {
    System.out.println(dog + " is a " + dogs.get(dog));
}
```

The key type used must be naturally Comparable or a Comparator must be given to the TreeMap to use when sorting the keys.

It does not matter whether or not the *values* in the map are Comparable. *Only* the keys are sorted.

```
Princess Fluffypants(age 1) is a CORGIE
Hermione(age 2) is a BASSET
Flash(age 8) is a BLOODHOUND
Buttercup(age 10) is a BASSET
```

6.23 TreeMap Practice

There is nothing like conducting an experiment to see how the different Map implementations work. Practice using a HashMap and a TreeMap to store string keys and see how they behave differently.

We are using **polymorphism** to write code that will work with any kind of Map.

- Open the SetsAndMaps class and define a method named
 "putAndPrint" that declares a parameter for a Map<String,
 Integer>.
 - Add at least 5 key/value pairs to the map in no particular order. Make sure to vary the case of the first letters of the keys, e.g. "aardvark", "Zoo", "Monkey", "zebra", "shark".
 - Use a for-each loop to iterate over the keys in the map and print them to standard output.
- Define a main method with the appropriate signature.
 - Call putAndPrint with an empty HashMap<String>.
 - Call it a second time with an empty TreeMap<String>.
 - Call it a third time with an empty TreeMap<String> that uses an AlphabeticComparator.
 - Run your code.

Tree vs. Hash



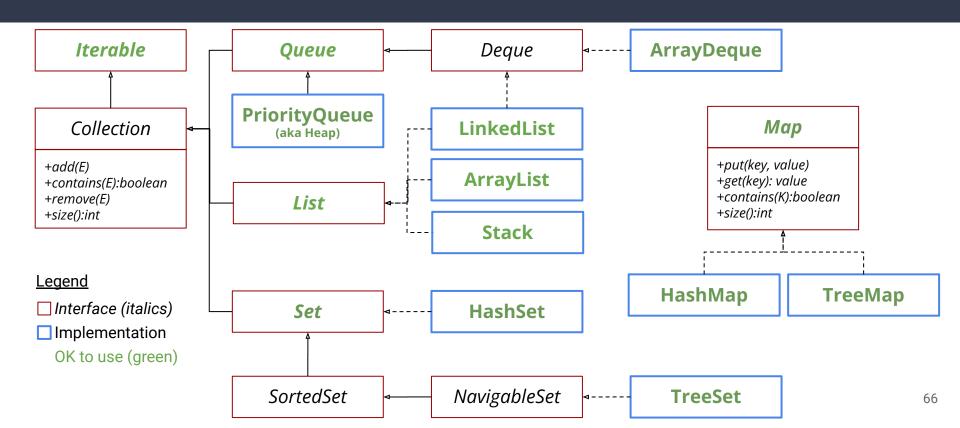
Not only are TreeSet and TreeMap harder to use because of the additional requirements that elements be Comparable and/or a Comparator be provided...

...but they are also **slower** than their hashing counterparts.

Unless the elements absolutely, positively *must* be kept in sorted order, choose the hashing version for its speed.

- A <u>Red-Black Tree</u> is a self-balancing binary search tree.
 - "Self-balancing" means that, as values are added, the tree makes sure that they are moved around to maintain balance without breaking the rules of a BST.
 - Remember that a balanced BST guarantees O(log₂n) search time.
 - The complexity of inserting into or removing from a red-black tree is O(log,n).
- Java's TreeSet and TreeMap both use red-black trees to keep elements in sorted order.
 - This means that inserting a new value or removing a value has a complexity of $O(\log_2 n)$.
 - It also means that determining whether or not a value is contained in the data structure has a complexity of O(log₂n).
- Recall that HashSet and HashMap provide O(C) (constant time) performance for all basic operations.
 - This means that adding, removing, and searching all run much faster than in the tree-based versions.
- Therefore, you should only use a TreeSet or TreeMap if you must maintain the elements in sorted order.
 - Otherwise, you should choose the **faster** versions.

An Incomplete JCF Class Hierarchy



Summary & Reflection

