

# CSCI 544 Applied Natural Language Processing

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### **Logistical Comments**

- HW3: will be released soon
- Project Proposal Deadline: Oct 19
- Project topics

#### **Project Topics**

- Solving an interesting problems using NLP
- Two types of projects:

#### 1. Novel topic:

- NLP tasks in an explored domains
- Empirical analysis for an NLP method
- Improving an existing algorithm
- Research
- Survey paper

#### 2. Paper implementation

- Generating full results for a selected paper
- Explore the algorithm beyond the paper: change a module, new datasets, new features, improvements, etc.
- Expected novelty: need to be beyond assignments and projects will be graded relatively. The more creative project will need less empirical exploration

#### **Project Topics**

- Project advisor: can be selected prior to the deadline or will be assigned after the submission
- Office hours: received feedback to make the process smooth (you can also email)
- Similar projects: use the group spreadsheet
- Instructions for proposal submission will be released soon
- Role of the advisor
- Timing: 2 weeks + 3 weeks + 3 weeks

# Probabilistic Language Models

- Language model: assigns a probability to a given sentence:
  - Spell Correction

```
The office is about fifteen minutes form my house

P(about fifteen minutes from) >> P(about fifteen minutes form)
```

Speech Recognition

```
P(I saw a van) >> P(eyes awe of an)
P(I listen to Pat and I see Mark) >> P(I listen to Pa Tennessee Mark)
```

– Machine Translation:

```
P(high winds tonight) > P(large winds tonight)
P(Put on your shoes) > P(Wear your shoes)
```

## Probabilistic Language Models

 Goal: compute the probability of a given sentence or sequence of words

$$P(W) = P(w_1, w_2, w_3, w_4, w_5...w_n)$$

Related task: probability of an upcoming word:

$$P(w_5|w_1,w_2,w_3,w_4)$$

- A model that computes either of P(W) or  $P(W_n | W_1, W_2...W_{n-1})$  is called a **language model?**
- language model or LM is the standard term, but in a sense, we are modeling the grammar of the language

## Probabilistic Language Models

- How can we compute a probability for a sentence
- Naïve idea: prepare a training dataset? (infeasible)
- We can use the sequential property of a sentence:

```
P(w_1, w_2, w_3, ..., w_n) = P(w_1)P(w_2 | w_1)P(w_3 | w_1, w_2)...P(w_n | w_1, ..., w_{n-1})
P(\text{"its water is so transparent"}) = P(\text{its}) \times P(\text{water | its}) \times P(\text{is | its, water})
\times P(\text{so | its, water, is}) \times P(\text{transparent | its, water, is, so})
P(w_n | w_1, ..., w_{n-1}) = \frac{Count(w_1, ..., w_n)}{Count(w_1, ..., w_{n-1})}
Ex: P(\text{Machine | "One of the AI branches is"}) = 2/7
```

Too many possible sentences!

Using Google and the Web

Ex: (count("One of the AI branches is NLP" = 0))

We'll never see enough data for estimating all possibilities

#### Language Models with Local Dependencies

Markov simplification

$$P(w_n|w_1,w_2...w_{n-1}) = P(w_n|w_{n-1})$$
  
 $P(NLP| "One of the AI branches is") = P(NLP| "is")$   
=135,000 /23,440,000,000

More generally for (H= i-1-k):

$$P(w_1, w_2...wn) = \prod_{i=1}^{n} P(wi | w_1, w_2...wi_{-1}) = \prod_{i=1}^{n} P(wi | wk, wk_{+1...}w_{i-1})$$

#### Unigram

We consider full independence:

$$P(w_1, w_2...w_n) = \prod_{i=1}^{n} P(w_i)$$

Some automatically generated sentences from a unigram model

```
fifth, an, of, futures, the, an, incorporated, a, a, the, inflation, most, dollars, quarter, in, is, mass
```

thrift, did, eighty, said, hard, 'm, july, bullish

that, or, limited, the

#### Bigram

• We consider H=1:

$$P(w_1, w_2...w_n) = \prod_{i=1}^{n} P(w_i | w_{i-1})$$

 Some automatically generated sentences from a unigram model

```
texaco, rose, one, in, this, issue, is, pursuing, growth, in, a, boiler, house, said, mr., gurria, mexico, 's, motion, control, proposal, without, permission, from, five, hundred, fifty, five, yen
```

outside, new, car, parking, lot, of, the, agreement, reached

this, would, be, a, record, november

#### **N-Grams**

- We can extend to trigrams, 4-grams, ...
- N-grams are not good generative model of language because language data has long-distance dependencies:
  - "The computer which I had just put into the machine room on the fifth floor crashed."
- But we can often use N-gram models to perform downstream tasks, e.g., spellcheck, machine translation

# Computing Bigram Probabilities

- Maximum likelihood estimation:
- Build a training dataset
- Estimate the probabilities using frequencies

$$P(w_{i} | w_{i-1}) = \frac{count(w_{i-1}, w_{i})}{count(w_{i-1})}$$

$$P(w_i \mid w_{i-1}) = \frac{c(w_{i-1}, w_i)}{c(w_{i-1})}$$

# Computing Bigram Probabilities

#### Example:

$$P(w_i \mid w_{i-1}) = \frac{c(w_{i-1}, w_i)}{c(w_{i-1})}$$

<s>I am Sam </s>

<s> Sam I am </s>

<s> I do not like green eggs and ham </s>

$$P({\rm I}|{\rm < s>}) = \frac{2}{3} = .67 \qquad P({\rm Sam}|{\rm < s>}) = \frac{1}{3} = .33 \qquad P({\rm am}|{\rm I}) = \frac{2}{3} = .67 \\ P({\rm < /s>}|{\rm Sam}) = \frac{1}{2} = 0.5 \qquad P({\rm Sam}|{\rm am}) = \frac{1}{2} = .5 \qquad P({\rm do}|{\rm I}) = \frac{1}{3} = .33$$

### Berkeley Restaurant Project

- Presented at ICLSP-94: spoken language turn into text data (9222 sentences)
- can you tell me about any good Cantonese restaurants close by
- mid priced Thai food is what I'm looking for
- tell me about chez Panisse
- can you give me a listing of the kinds of food that are available
- I'm looking for a good place to eat breakfast
- when is caffe Venezia open during the day
- Bigram Counts

	i	want	to	eat	chinese	food	lunch	spend
i	5	827	0	9	0	0	0	2
want	2	0	608	1	6	6	5	1
to	2	0	4	686	2	0	6	211
eat	0	0	2	0	16	2	42	0
chinese	1	0	0	0	0	82	1	0
food	15	0	15	0	1	4	0	0
lunch	2	0	0	0	0	1	0	0
spend	1	0	1	0	0	0	0	0

#### Berkeley Restaurant Project

#### Bigram Probabilities

Normalize by unigrams:

i	want	to	eat	chinese	food	lunch	spend
2533	927	2417	746	158	1093	341	278

#### Resulting probabilities:

	i	want	to	eat	chinese	food	lunch	spend
i	0.002	0.33	0	0.0036	0	0	0	0.00079
want	0.0022	0	0.66	0.0011	0.0065	0.0065	0.0054	0.0011
to	0.00083	0	0.0017	0.28	0.00083	0	0.0025	0.087
eat	0	0	0.0027	0	0.021	0.0027	0.056	0
chinese	0.0063	0	0	0	0	0.52	0.0063	0
food	0.014	0	0.014	0	0.00092	0.0037	0	0
lunch	0.0059	0	0	0	0	0.0029	0	0
spend	0.0036	0	0.0036	0	0	0	0	0

Estimating probabilities of sentences:

```
P(<s> | want chinese food </s>) = P(||<s>) × P(want||) × P(chinese|| want) × P(food|| chinese) × P(</s>|| food|| = P(||<s>) * 0.33 * 0.0065*0.52 * P(</s>|| food||
```

 $P(\langle s \rangle | chinese want food \langle s \rangle) = P(|\langle s \rangle) \times P(chinese | l) \times P(want | chinese) \times P(food | want) \times P(\langle s \rangle | food) = P(|\langle s \rangle) * 0.0063 * 0* 0.0065 * P(\langle s \rangle | food)$ 

#### Accessible Language Models

- We do computations in log space
  - Avoid underflow
  - (also adding is faster than multiplying)  $\log(p_1 \cdot p_2 \cdot p_3 \cdot p_4) = \log p_1 + \log p_2 + \log p_3 + \log p_4$
- Language Modeling Toolkits
- SRILM: <a href="http://www.speech.sri.com/projects/srilm/">http://www.speech.sri.com/projects/srilm/</a>
- KenLM: <a href="https://kheafield.com/code/kenlm/">https://kheafield.com/code/kenlm/</a>
- NLTK: <a href="https://www.nltk.org/api/nltk.lm.html">https://www.nltk.org/api/nltk.lm.html</a>
- Google: <a href="https://ai.googleblog.com/2006/08/all-our-n-gram-are-belong-to-you.html">https://ai.googleblog.com/2006/08/all-our-n-gram-are-belong-to-you.html</a>

That's why we decided to share this enormous dataset with everyone. We processed 1,024,908,267,229 words of running text and are publishing the counts for all 1,176,470,663 five-word sequences that appear at least 40 times. There are 13,588,391 unique words, after discarding words that appear less than 200 times.

#### Language Model Evaluation

- What is a good language model?
- Assigns higher probability to "real" or "frequently observed" sentences Than "ungrammatical" or "rarely observed" sentences?
- We train parameters of our model on a training set and test the model's performance on a testing set
- An evaluation metric is used to measure how well our model does on the test set.

#### Extrinsic Evaluation of N-Gram Models

- We can compare two models A and B by testing each model on a common task
- spelling corrector, speech recognizer, MT system
- Run the task, get an accuracy for A and for B
   How many misspelled words corrected properly?
   How many words translated correctly?
- Compare accuracy for A and B
- Extrinsic evaluation is time-consuming and depends on a task that may take a long time for completion

#### Intrinsic Evaluation of N-Gram Models

#### Perplexity

- Only an approximation
  - the test data should look like the training data
  - generally only useful in pilot experiments (still helpful)
- Intuition: the best language model is the one that best predicts an unseen test set
- Gives the highest P(sentence)
- Lower perplexity = better model

$$PP(W) = P(w_1 w_2 ... w_N)^{-\frac{1}{N}}$$

$$= \sqrt[N]{\frac{1}{P(w_1 w_2 ... w_N)}}$$

$$PP(W) = \sqrt[N]{\prod_{i=1}^{N} \frac{1}{P(w_i | w_1 ... w_{i-1})}}$$

$$PP(W) = \sqrt[N]{\prod_{i=1}^{N} \frac{1}{P(w_i|w_{i-1})}}$$

For bigrams

#### Intrinsic Evaluation of N-Gram Models

Training 38 million words, test 1.5 million words, WSJ

N-gram Order	Unigram	Bigram	Trigram
Perplexity	962	170	109

- Choose a random bigram (<s>, w) according to its probability
- Now choose a random bigram (w, x) according to its probability
- And so on until we choose </s>
- Then string the words together

```
<s> I
    I want
    want to
    to eat
        eat Chinese
        Chinese food
        food </s>
I want to eat Chinese food
```

#### Approximating Shakespeare

1 gram	<ul> <li>To him swallowed confess hear both. Which. Of save on trail for are ay device and rote life have</li> <li>Hill he late speaks; or! a more to leg less first you enter</li> </ul>
2 gram	<ul><li>-Why dost stand forth thy canopy, forsooth; he is this palpable hit the King Henry. Live king. Follow.</li><li>-What means, sir. I confess she? then all sorts, he is trim, captain.</li></ul>
3 gram	<ul><li>-Fly, and will rid me these news of price. Therefore the sadness of parting, as they say, 'tis done.</li><li>-This shall forbid it should be branded, if renown made it empty.</li></ul>
4 gram	<ul><li>-King Henry. What! I will go seek the traitor Gloucester. Exeunt some of the watch. A great banquet serv'd in;</li><li>-It cannot be but so.</li></ul>

- N=884,647 tokens, V=29,066
- Shakespeare produced 300,000 bigram types out of  $V^2$ = 844 million possible bigrams.
  - So 99.96% of the possible bigrams were never seen (have zero entries in the table)
- Quadrigrams worse: What's coming out looks like Shakespeare because it is Shakespeare

#### The Wall Street Journal

Brazil on market conditions

gram

Months the my and issue of year foreign new exchange's september were recession exchange new endorsed a acquire to six executives

Last December through the way to preserve the Hudson corporation N.

B. E. C. Taylor would seem to complete the major central planners one point five percent of U. S. E. has already old M. X. corporation of living on information such as more frequently fishing to keep her

They also point to ninety nine point six billion dollars from two hundred four oh six three percent of the rates of interest stores as Mexico and

### Overfitting of N-Grams

- N-grams only work well for word prediction if the test corpus looks like the training corpus
  - In real life, this often is not the case
  - We need to train robust models that generalize!
  - One kind of generalization: Zeros!
    - Things that don't ever occur in the training set
      - But occur in the test set
- Training set:
  - ... denied the allegations
  - ... denied the reports
  - ... denied the claims
  - ... denied the request

P("offer" | denied the) = 0

Test set

... denied the offer

... denied the loan

## Smoothing

- Bigrams with zero probability
  - will assign 0 probability to the test set!
- Perplexity cannot be computed (can't divide by 0)!
- When we have sparse statistics:

```
P(w | denied the)
```

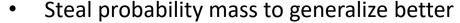
3 allegations

2 reports

1 claims

1 request

7 total



P(w | denied the)

2.5 allegations

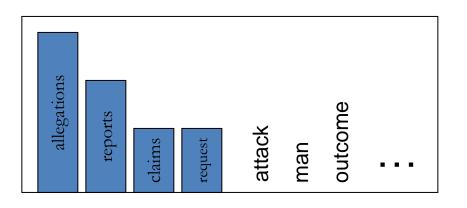
1.5 reports

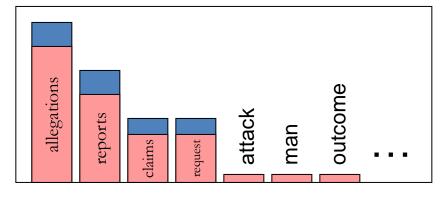
0.5 claims

0.5 request

2 other

7 total





# Laplace Smoothing

- Pretend we saw each word one more time than we did
- Just add one to all the counts!

• MLE estimate:

$$P_{MLE}(w_i \mid w_{i-1}) = \frac{c(w_{i-1}, w_i)}{c(w_{i-1})}$$

• Add-1 estimate:

$$P_{Add-1}(w_i \mid w_{i-1}) = \frac{c(w_{i-1}, w_i) + 1}{c(w_{i-1}) + V}$$

# Berkeley Restaurant Corpus: Laplace smoothed bigram counts

	i	want	to	eat	chinese	food	lunch	spend
i	6	828	1	10	1	1	1	3
want	3	1	609	2	7	7	6	2
to	3	1	5	687	3	1	7	212
eat	1	1	3	1	17	3	43	1
chinese	2	1	1	1	1	83	2	1
food	16	1	16	1	2	5	1	1
lunch	3	1	1	1	1	2	1	1
spend	2	1	2	1	1	1	1	1

	i	want	to	eat	chinese	food	lunch	spend
i	0.0015	0.21	0.00025	0.0025	0.00025	0.00025	0.00025	0.00075
want	0.0013	0.00042	0.26	0.00084	0.0029	0.0029	0.0025	0.00084
to	0.00078	0.00026	0.0013	0.18	0.00078	0.00026	0.0018	0.055
eat	0.00046	0.00046	0.0014	0.00046	0.0078	0.0014	0.02	0.00046
chinese	0.0012	0.00062	0.00062	0.00062	0.00062	0.052	0.0012	0.00062
food	0.0063	0.00039	0.0063	0.00039	0.00079	0.002	0.00039	0.00039
lunch	0.0017	0.00056	0.00056	0.00056	0.00056	0.0011	0.00056	0.00056
spend	0.0012	0.00058	0.0012	0.00058	0.00058	0.00058	0.00058	0.00058

#### Berkeley Restaurant Corpus: Laplace smoothed bigram counts

Reconstitutes counts

$$c^*(w_{n-1}w_n) = \frac{[C(w_{n-1}w_n) + 1] \times C(w_{n-1})}{C(w_{n-1}) + V}$$

- Suitable for domains where the number of zeros isn't so huge
- Domains with high-level tasks, e.g., text classification.

	i	want	to	eat	chinese	food	lunch	spend
i	3.8	527	0.64	6.4	0.64	0.64	0.64	1.9
want	1.2	0.39	238	0.78	2.7	2.7	2.3	0.78
to	1.9	0.63	3.1	430	1.9	0.63	4.4	133
eat	0.34	0.34	1	0.34	5.8	1	15	0.34
chinese	0.2	0.098	0.098	0.098	0.098	8.2	0.2	0.098
food	6.9	0.43	6.9	0.43	0.86	2.2	0.43	0.43
lunch	0.57	0.19	0.19	0.19	0.19	0.38	0.19	0.19
spend	0.32	0.16	0.32	0.16	0.16	0.16	0.16	0.16

	i	want	to	eat	chinese	food	lunch	spend
i	5	827	0	9	0	0	0	2
want	2	0	608	1	6	6	5	1
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lunch	2	0	0	0	0	1	0	0
spend	1	0	1	0	0	0	0	0