

# Lower bounds Using Formulas and Rectangles

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# Overview

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Khrapchenko proved a lower bound of  $n^2$  for parity function  $f(x_1, \dots, x_n) = x_1 \oplus x_2 \oplus \dots \oplus x_n$ . Later, **Rychkov** observed that Khrapchenko's argument reduces the lower bound problems for DeMorgans formulas to a combinatorial problem about covering of Rectangles by monochromatic subrectangles.

# Definitions

## Rectangles

A  $n$ -dimensional *combinational rectangle* or *rectangle*, is a Cartesian product  $R = A \times B$  of two disjoint subsets  $A$  and  $B$  of vectors in  $\{0, 1\}^n$ . A *subrectangle* of  $R$  is a subset  $S \subseteq R$  which itself forms a rectangle.

## Separator $f$

A boolean function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  separates a rectangle  $A \times B$  if  $f(A) = 1$  and  $f(B) = 0$ , that is  $f(a) = 1 \forall a \in A$  and  $f(b) = 0 \forall b \in B$ .

## Monochromatic Rectangles

Rectangles separated by a boolean variable  $x_i$  or by its negation  $\neg x_i$  are called *monochromatic*.  $R$  is monochromatic if  $\exists i$  such that  $a_i \neq b_i \forall (a, b) \in R$

# Important Points

- If the monochromatic rectangles are separated by non-negated variables  $x_i$ , they are called *positively monochromatic*.  
For such cases,  $a_i = 1$  and  $b_i = 0 \forall$  edges  $(a, b) \in R$
- Every  $n$ -dimensional rectangle can be bound covered by at most  $2n$  monochromatic rectangles separated by variables  $x_1, x_2, \dots, x_n$  and their negations. These rectangles however overlap, but we are interested in coverings by non-overlapping rectangles.

# Tiling Number

## Tiling Number

The *tiling number*  $\chi(R)$  of a rectangle  $R$  is the smallest number  $t$  such that  $R$  can be decomposed into  $t$  disjoint monochromatic rectangles. For a boolean function  $f$ ,  $\chi(f) = \chi(f^{-1}(1) \times f^{-1}(0))$ .

- *Monotone tiling numbers*,  $\chi_+(R)$ ,  $\chi_+(f)$ , allow only *positively* monochromatic rectangles. In general they may not be defined :  $f(\vec{0}) = 1, f(\vec{1}) = 0$ .
- If  $f$  is *monotone boolean function* then  $f(a) = 1, f(b) = 0 \Rightarrow \exists i, a_i = 1, b_i = 0$ .  $\chi_+(f)$  well defined.
- Any  $n$ -dimensional rectangle can be covered by  $2n$  monochromatic rectangles, if disjointness is relaxed :  
$$M_{i,a} = \{(x, y) \in R \mid x_i = a, y_i = 1 - a\} \quad (a = 0, 1; i = 1, \dots, n)$$
  
Called *canonical monochromatic rectangles*. Thus the disjointness constraint makes  $\chi(R)$  nontrivial.

# Rychkov's Lemma

Let  $L(f)$  be the smallest leafsize of a DeMorgan formula computing  $f$ . A formula is monotone if it has no negated variables as input literals. If  $f$  is a monotone boolean function, then  $L_+(f)$  denotes the smallest leafsize of a monotone DeMorgan formula computing  $f$ .

## Lemma (Rychkov's Lemma)

*For every boolean function  $f$  and for every monotone boolean function  $g$ ,  $L(f) \geq \chi(f)$  and  $L_+(f) \geq \chi_+(f)$*

- The proof goes by *Induction* on  $L(f)$ .
- Base Case :  $L(f) = 1$  then  $f$  is a single variable  $x_i$  or its negation.  $R$  itself is monochromatic rectangle.
- Induction Step : Let  $t = L(f)$ , assume theorem holds for  $\forall$  boolean functions  $g$  with  $L(g) \leq t - 1$ .
- Consider the minimal formula  $f$ , and assume that the last gate is an *And* gate (the case for Or gate is similar).
- Thus  $f = f_0 \wedge f_1$  for some boolean functions  $f_0, f_1$ , such that  $L(f_0) + L(f_1) = L(f)$



- Suppose  $f$  separates  $R = A \times B$ ,  $f(A) = 1$ ,  $f(B) = 0$
- Consider  $B_0 = \{b \in B \mid f_0(b) = 0\}$
- Then  $f_0$  separates  $R_0 = A \times B_0$  and  $f_1$  separates  $R_1 = A \times (B \setminus B_0)$ .
- Using induction hypothesis,  $\chi(R_i) \leq L(f_i)$  for both  $i = 0, 1$ . Hence ,  
 $\chi(R) \leq \chi(R_0) + \chi(R_1) \leq L(f_0) + L(f_1) = L(f)$
- Proof for monotone case is same with basis case replaced with  $L_+(g) = 1$ . Here  $R$  itself is positively monochromatic rectangle. Inductive step is same.

- It is not known whether some polynomial inverse of Rychkov's lemma holds.
- Only a 'quasi-polynomial' inverse is known  
 $L(f) \leq \chi(f)^{2 \log(\chi(f))}$ , lemma 3.9
- Since boolean functions with  $L(f) = \Omega(2^n / \log(n))$  exist (Theorem 1.12), the above inequality implies that boolean functions  $f$  of  $n$  variables such that  $\chi(f) \geq 2^{(1-o(1))\sqrt{n}}$  exists.



[Stasys Jukna \(2011\)](#)

Boolean Function Complexity - Advances and Frontiers

# The End