## Cross-layer communication over fading channels with adaptive decision feedback

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#### Motivation

#### Physical Layer Design Objective

- Reliable Communication
- Efficiency in Rate and Power

#### Network Layer Design Objective

- Quality of Service(delay, etc.)
- System Stability

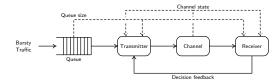
#### Cross Layer Design Objective

- Physical and Network Layer consideration Reliable Communication
- Minimizing both Power and Delay in Reliable Communication



## Features of Cross Layer Design in Wireless systems

- Rate Adaptation in wireless systems:
  - Traffic Load in Network
  - Channel State
- Optimization Objectives:
  - Block Error Probability
  - End to End Packet Delays
  - Transmission Power



## **Motivating Question**

Effect of Feedback on System Performance

#### Effect of an ACK/NACK feedback channel

- It can improve decoding error performance
- It can also increase queuing delay due to re-transmission

#### What controls the expected number of retransmissions?

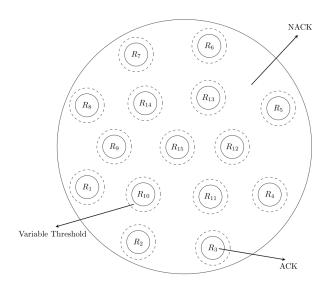
- Ohannel State (exogenous process)
- The decoding threshold at the receiver.

#### Important Question

• How can we control decoding threshold?

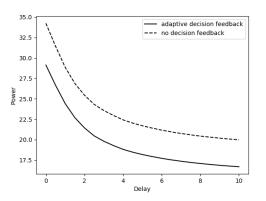


## Our main idea: exploit adaptive decision feedback





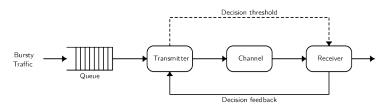
# Adaptive Decision feedback implies significantly better power-delay trade-off



•  $P(\alpha) = \min_{g} \{ \text{power of policy (g)} \mid \text{Delay of policy (g)} \le \alpha \}$ 



## Communication System



#### System Variables

- Number of new packets: $A_k$
- Queue length: Q<sub>k</sub>
- Channel state:  $S_k$
- Number of transmitter packets: U<sub>k</sub>
- Decoding threshold :  $T_k$
- Fading gain :  $H(S_k)$

#### Processes and Queue Dynamic

- A<sub>k</sub> is an i.i.d process with decreasing and convex pdf
- $S_k$  is an i.i.d process

$$Q_{k+1} = \begin{cases} Q_k - U_k + A_k, & \text{if} \quad \mathfrak{E}_k = 1 \\ Q_k + A_k, & \text{if} \quad \mathfrak{E}_k = 0 \end{cases}$$



#### Performance Metrics

- Queuing delay
- Probability of Error
- Transmission power

#### Our Solution

- Buffering delay is  $d(Q_{k+1} A_k)$
- ullet We upper bound  $P_e$  by arepsilon
- How to find transmission power?

#### Probability of error and re-transmission

By [Forney 1968], we know error exponent for channels with feedback

$$P_{\mathrm{e}} \leq \exp\Bigl(-rac{
ho}{2}M\log\bigl(1+rac{\pi H(s)}{(1+
ho)}\bigr) + 
ho Nu + rac{1}{1+
ho}Mt\Bigr)$$

and

$$P_r = \exp(Mt)P_e$$



#### **Ensuring Reliable Communication**

#### Finding Power needed to ensure desired probability of error

• Power Function is  $\phi(u, t)h(s)$ , where

$$\phi(u,t) = (1+\rho) \Big[ \exp \Big( -\frac{2\log \varepsilon}{\rho M} + 2\frac{N}{M}u - \frac{2}{1+\rho}t \Big) - 1 \Big]$$

2 probability of re-transmission p(t)

$$p_r(U_k, T_k, \Pi_k, S_k) = \exp(MT_k)\varepsilon =: p(T_k)$$

#### Imposing power constraint

• Maximum power constraint:  $\phi(u, t)h(s) \leq \Pi_{\text{max}}$ 



## Solution Approach

Assumptions on the model

#### Communication cost at each time

$$c(q, s, u, t) = \lambda_{\pi} \phi(u, t) h(s) + \lambda_{d} \left[ p(t) d(q) + (1 - p(t)) d(q - u) \right]$$

#### Assumptions on the Model

- $d(\cdot)$  increasing convex
- $\phi(\cdot,\cdot)$  increasing in  $u_k$
- $\phi(\cdot,\cdot)$  decreasing in  $t_k$

- $h(\cdot)$  increasing, convex
- p(t) increasing in  $t_k$

## Solution Approach

MDP Formulation

#### Prolem(1)

State Space: communication rule:

 $(Q_k, S_k) (U_k, T_k) = g_k(Q_k, S_k)$ 

Action Space: communication policy:

 $(U_k, T_k) g = (g_1, g_2, \dots, g_N)$ 

Find policy g which minimizes:

$$J(g) = \mathbb{E}\left[\sum_{k=1}^{K} c(Q_k, S_k, U_k, T_k)\right]$$

Question: What are some qualitative properties of policy g?



#### Literature Review

#### Similar Models in the Literature

- Power-delay trade-off only with rate adaption.
   [Berry and Gallager 2002], [Uysal-Biyikoglu, Prabhakar, and El Gamal 2002], [Zafer and Modiano 2009], [Bettesh and Shamai 2006], [Goyal, Kumar, and Sharma 2008], [Fu, Modiano, and Tsitsiklis 2006]
  - Dynamic Programming Decomposition/structure of optimal policy in 1 dimension.
- [Cao and Yeh 2008]: Investigated *Adaptive Decision Feedback*, and 2 dimensional structural Properties
  - Only for binary choice for rate and threshold

## Key Contribution of This paper

Investigating qualitative structure of multi-dimensional optimal policies

#### Question

Question: Does the optimal policy have monotonicity Properties?

Why this question is important?

- Understanding the physical system
- Can be exploited in online-learning algorithms

Why it's hard to answer?

- Both state and action spaces are multi-dimensional
- Most of previous results in cross layer design are for 1 dimensional systems.



## Solution and Main Results



## Dynamic Programming Decomposition

Defining value functions and action-value functions

#### Proposition 1

For any q and s

$$V_{K+1}(q,s)=0$$

and for  $k \in \{K, K - 1, ..., 1\}$ ,

$$W_k(q, s, u, t) = c_k(q, s, u, t) +$$

$$\sum_{(s,a)} P_S(s) P_A(a) [(1-p(t)) V_{k+1}(q-u+a,s) + p(t) V_{k+1}(q+a,s)]$$

- Value function :  $V_k(q, s) = \min_{u,t} W_k(q, s, u, t)$ .
- Then:  $g_k(q,s) \to \text{arg min at stage } k \Rightarrow g = (g_1,\ldots,g_K)$  is optimal for Problem.



#### Main Results

#### Structural Properties of Value Function

#### Theorem 1

For any time slot k, the value function  $V_k(q,s)$  satisfies the following properties:

- For any  $s \in \mathcal{S}$ ,  $V_k(q, s)$  is weakly increasing in q.
- ② For any  $q \in \mathcal{Q}$ ,  $V_k(q,s)$  is weakly increasing in s.
  - $1 \Rightarrow \uparrow$  number of packets  $\Rightarrow \uparrow$  cost to go.
  - 2  $\Rightarrow$  channel deteriorates  $\Rightarrow \uparrow$  cost to go.

#### Main Results

Structural Properties of Optimal policy

## Theorem 2 (Informal Representation)

- $\uparrow$  number of packets  $\Rightarrow$  (Rate  $\uparrow$ ) Or (Threshold  $\downarrow$ ).
- channel deteriorates  $\Rightarrow$  (Rate  $\downarrow$ ) Or (Threshold  $\uparrow$ ).



#### Main Results

Structural Properties of Optimal policy

#### Theorem 3

Suppose the cost function satisfies the following property:

(P) for any  $(q,s)\in\mathcal{Q}\times\mathcal{S}$ , and any  $u_1,u_2\in\mathcal{U}$  and  $t_1,t_2\in\mathcal{T}$  such that  $u_1\leq u_2$  and  $t_1\leq t_2$ , we have

$$c(q, s, u_1, t_2) + c(q, s, u_2, t_1) \leq c(q, s, u_2, t_2) + c(q, s, u_1, t_1).$$

Then, the "or" in Theorem 2 can be replaced by "and".

- Verify property (P)  $\rightarrow$  system parameters  $(\lambda_{\pi}, \lambda_{d}, \Pi_{\mathsf{max}}, M)$
- $1 \Rightarrow \uparrow$  number of packets  $\Rightarrow$  (Rate  $\uparrow$ ) And (Threshold  $\downarrow$ ).
- 2  $\Rightarrow$  channel deteriorates  $\Rightarrow$  (Rate  $\downarrow$ ) And (Threshold  $\uparrow$ ).



#### Partial Order on Action Space

#### Definition

 $\preceq_{\mathcal{A}}$ : a partial order on  $\mathcal{U} \times \mathcal{T}$ 

- we say  $(u_1, t_1) \preceq_{\mathcal{A}} (u_2, t_2)$  if  $u_1 \leq u_2$  and  $t_1 \geq t_2$
- Fixed  $s \in \mathcal{S}$ ,  $W_k(q, s, u, t)$  has decreasing differences on  $\mathcal{Q} \times (\mathcal{U} \times \mathcal{T})$ .
- ② Fixed  $q \in \mathcal{Q}$ ,  $W_k(q, s, u, t)$  has increasing differences on  $\mathcal{S} \times (\mathcal{U} \times \mathcal{T})$ .
- **3** Given the sub-modularity on  $(\mathcal{U} \times \mathcal{T}) \Rightarrow$  Theorem 3.

#### Conclusion and Future Directions

#### Conclusion

- We investigate the impact of adaptive decision feedback on power-delay curve.
- We establish monotonicity property of the optimal policy and value function.

#### **Future Directions**

- Extend these results to infinite horizon setup
- 2 Develop online learning algorithms to utilize such properties.

## Thank you!



### Questions

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