

# Problem Sheet 5

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1. Consider the formula  $\varphi = \forall x \exists y R(x, y) \wedge \exists y \forall x \neg R(x, y)$ . Show that  $\varphi$  is satisfiable over a structure whose universe is infinite and countable.

## Solution

Let  $\mathcal{N}$  be the structure having as universe the set  $\mathbb{N}$  of natural numbers and which interprets  $R(x, y)$  as the successor relation, i.e,  $R(x, y) = \{(n, n + 1) \mid n \in \mathbb{N}\}$ . Observe that  $\mathcal{N} \models \varphi$ , because every  $x \in \mathbb{N}$  has a successor in  $\mathbb{N}$ , making  $\forall x \exists y R(x, y)$  true. But  $1 \in \mathbb{N}$  is not the predecessor of any number in  $\mathbb{N}^a$ , making  $\exists y \forall x \neg R(x, y)$  true.

**Follow-up Question.** What property should  $R$  satisfy so that  $\varphi$  is not satisfiable over any structure with infinite and countable universe?

<sup>a</sup>Assuming the set of natural numbers start with 1 and not 0

2. Let  $\tau$  be a signature consisting of a binary relation  $P$  and a unary relation  $F$ . Let  $\mathcal{F}$  be a structure consisting of a universe of people,  $P(x, y)$  is interpreted on  $\mathcal{F}$  as “ $x$  is a parent of  $y$ ” and  $F(x)$  is interpreted as “ $x$  is female”. Given the  $\tau$ -structure  $\mathcal{F}$ ,
  - (a) Define a formula  $\varphi_B(x, y)$  which says  $x$  is a brother of  $y$
  - (b) Define a formula  $\varphi_A(x, y)$  which says  $x$  is an aunt of  $y$
  - (c) Define a formula  $\varphi_C(x, y)$  which says  $x$  and  $y$  are cousins
  - (d) Define a formula  $\varphi_O(x)$  which says  $x$  is an only child
  - (e) Give an example of a family relationship that cannot be defined by a formula

## Solution

- (a)  $\varphi_B(x, y) = \exists z (P(z, x) \wedge P(z, y)) \wedge \neg F(x)$
- (b) Similar to (a), define the formula  $\varphi_S(x, y)$  which says that “ $x$  is the sister of  $y$ ” as  $\varphi_S(x, y) = \exists z (P(z, x) \wedge P(z, y)) \wedge F(x)$ . Then,  $\varphi_A(x, y) = \exists z (P(z, y) \wedge \varphi_S(x, z))$ .
- (c) Define the formula  $\varphi_{sib}(x, y)$  which says that “ $x$  is the sibling of  $y$ ” as  $\varphi_{sib}(x, y) = \exists z (P(z, x) \wedge P(z, y))$ . Then,  $\varphi_C(x, y) = \exists z \exists w (P(z, x) \wedge P(w, y) \wedge \varphi_{sib}(z, w))$ .
- (d)  $\varphi_O(x) = \exists z (P(z, x) \wedge \exists y (\neg(y = x) \wedge P(z, y)))$
- (e) The relation “ $x$  is an ancestor of  $y$ ” cannot be captured by an FOL formula.

3. Consider the signature  $\tau$  that has the binary functions  $+$ ,  $\times$ . Let  $\mathcal{N}$  be the structure over  $\tau$  having as universe the set  $\mathbb{N}$  of natural numbers and which interprets  $+$ ,  $\times$  in the usual way. Construct FO formulae  $\text{Zero}(x)$ ,  $\text{One}(x)$ ,  $\text{Even}(x)$ ,  $\text{Odd}(x)$  and  $\text{Prime}(x)$  using  $\tau$  such that

- For any  $a \in \mathbb{N}$ ,  $\mathcal{N} \models \text{Zero}(a)$  iff  $a$  is zero.
- For any  $a \in \mathbb{N}$ ,  $\mathcal{N} \models \text{One}(a)$  iff  $a$  is one.
- For any  $a \in \mathbb{N}$ ,  $\mathcal{N} \models \text{Even}(a)$  iff  $a$  is even.
- For any  $a \in \mathbb{N}$ ,  $\mathcal{N} \models \text{Odd}(a)$  iff  $a$  is odd.
- For any  $a \in \mathbb{N}$ ,  $\mathcal{N} \models \text{Prime}(a)$  iff  $a$  is prime.

Goldbach's conjecture says that every even integer greater than 2 is the sum of two primes. Whether or not this is true is an open question in number theory. State Goldbach's conjecture as a FO-sentence over  $\tau$ .

#### Solution

- $\text{Zero}(a) = \forall x (a + x) = x$
- $\text{One}(a) = \forall x (a \times x) = a$
- $\text{Even}(a) = \exists x (x + x) = a$
- $\text{Odd}(a) = \neg \text{Even}(a)$
- $\text{Prime}(a) = \neg(\exists x \exists y (\neg \text{One}(x) \wedge \neg \text{One}(y) \wedge (x \times y) = a)) \wedge \neg \text{One}(a)$

We can also define a FO formula  $\text{Two}(a)$  such that for any  $a \in \mathbb{N}$ ,  $\mathcal{N} \models \text{Two}(a)$  iff  $a$  is two, as  $\text{Two}(a) = \exists x (\text{One}(x) \wedge (x + x) = a)$ .

Using the above formulae, we can state Goldbach's conjecture as:

$$\text{Goldbach} := \forall x (\neg \text{Zero}(x) \wedge \neg \text{Two}(x) \wedge \text{Even}(x) \rightarrow \exists y \exists z \text{Prime}(y) \wedge \text{Prime}(z) \wedge (y + z) = x)$$

4. A group is a structure  $(G, +, 0)$  where  $G$  is a set,  $0 \in G$  is a special element called the identity and  $+: G \times G \rightarrow G$  is a binary operation such that

- The operation  $+$  is associative
- The constant  $0$  is a right-identity for the operation  $+$
- Every element in  $G$  has a right inverse: for each  $x \in G$ , we can find  $y \in G$  such that  $x + y = 0$
- For any three elements  $x, y, z \in G$ , if  $x + z = y + z$ , then  $x = y$

Using a signature  $\tau = (c, \text{op})$  where  $c$  is a constant and  $\text{op}$  is a binary function symbol write (a)-(d) in FO.

### Solution

We write the following formulae for each one of the above specifications:

- (a)  $\varphi_a = \forall x \forall y \forall z [\text{op}(\text{op}(x, y), z) = \text{op}(x, \text{op}(y, z))]$
- (b)  $\varphi_b = \forall x (\text{op}(x, c) = x)$
- (c)  $\varphi_c = \forall x \exists y (\text{op}(x, y) = c)$
- (d)  $\varphi_d = \forall x \forall y \forall z [(\text{op}(x, z) = \text{op}(y, z)) \rightarrow x = y]$

5. Let  $\tau$  be a signature consisting of the binary function symbol  $+$  and a constant  $0$ . We denote by  $x + y$  the function  $+(x, y)$ . Consider the following sentences:

$$\varphi_1 := \forall x \forall y \forall z [(x + (y + z)) = ((x + y) + z)]$$

$$\varphi_2 := \forall x [(x + 0) = x \wedge (0 + x) = x]$$

$$\varphi_3 := \forall x [\exists y (x + y = 0) \wedge \exists z (z + x) = 0]$$

Let  $\psi$  be the conjunction of the three sentences.

- (a) Show that  $\psi$  is satisfiable by exhibiting a  $\tau$ -structure.
- (b) Show that  $\psi$  is not valid.
- (c) Let  $\alpha$  be the sentence  $\forall x \forall y ((x + y) = (y + x))$ . Does  $\alpha$  follow as a consequence of  $\psi$ ? That is, is it the case that  $\psi \rightarrow \alpha$ ?
- (d) Show that  $\psi$  is not equivalent to any of  $\varphi_1 \wedge \varphi_2$ ,  $\varphi_2 \wedge \varphi_3$  and  $\varphi_1 \wedge \varphi_3$ .

### Solution

- (a) A structure  $\mathcal{A}$  that satisfies  $\psi$  can be one with  $u(\mathcal{A}) = \mathbb{Z}$ ,  $0_{\mathcal{A}} = 0$  and  $+_{\mathcal{A}} = +_{\mathbb{Z}}$ .
- (b) A structure that does not satisfy  $\psi$  can be one where  $u(\mathcal{A}) = \mathbb{Z}$ ,  $0_{\mathcal{A}} = 0$  and  $+_{\mathcal{A}}(x, y) = 2x + 3y$ . You can in fact verify that none of  $\varphi_1$ ,  $\varphi_2$ , and  $\varphi_3$  are satisfied.
- (c) An example of a structure  $\mathcal{A}$  that does not satisfy  $\psi \Rightarrow \alpha$  is one where  $u(\mathcal{A}) = \{M \in \mathbb{R}^{n \times n} : |M| \neq 0\}$  (the set of invertible real-valued  $n \times n$  matrices),  $0_{\mathcal{A}} = I_n$ , and  $+_{\mathcal{A}}(A, B) = AB$ . It can be verified that this structure satisfies  $\psi$  but not  $\alpha$  (i.e., it is not commutative).
- (d)
  - i. A structure  $\mathcal{A}$  satisfying  $\varphi_1 \wedge \varphi_2$  but not  $\psi$  is one where  $u(\mathcal{A}) = \mathbb{Z}$ ,  $0_{\mathcal{A}} = 1$ , and  $+_{\mathcal{A}}(x, y) = xy$ .
  - ii. A structure  $\mathcal{A}$  satisfying  $\varphi_2 \wedge \varphi_3$  but not  $\psi$  is one where  $u(\mathcal{A}) = \mathbb{N}$ ,  $0_{\mathcal{A}} = 0$ , and  $+_{\mathcal{A}}(x, y) = |x - y|$ .

- iii. A structure  $\mathcal{A}$  satisfying  $\varphi_1 \wedge \varphi_3$  but not  $\psi$  is one where  $u(\mathcal{A}) = \mathbb{Z}$ ,  $0_{\mathcal{A}} = 1$ , and  $+_{\mathcal{A}}(x, y) = x + y$ .

6. Explain the difference between the first order prefixes  $\exists x \forall y \exists z$  and  $\forall x \exists y \forall z$ .

#### Solution

The first one states that there exists some  $x$  in the universe such that for every  $y$  in the universe there is some  $z$  in the universe (which may depend on  $y$ ) such that the statement holds.

The second one states that for every  $x$  in the universe, there is some  $y$  in the universe (which may depend on  $x$ ) such that for every  $z$  in the universe the statement holds.

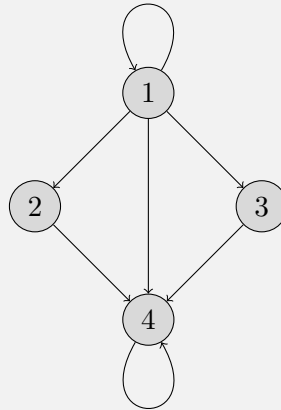
The difference between the two is illustrated with an example in the following question.

7. Show that the sentences  $\forall x \exists y \forall z (E(x, y) \wedge E(x, z) \wedge E(y, z))$  and  $\exists x \forall y \exists z (E(x, y) \wedge E(x, z) \wedge E(y, z))$  are not equivalent by exhibiting a graph which satisfies one but not both of the sentences.

#### Solution

The first sentence is actually satisfied only by the complete graph  $K_n$ , where  $E_{\mathcal{A}} = u(\mathcal{A}) \times u(\mathcal{A})$ . To see this, assume there is some  $(a, b) \notin E_{\mathcal{A}}$ . If  $\forall x \exists y \forall z [E(x, y) \wedge E(x, z) \wedge E(y, z)]$ , then we can choose  $x = a$ , and then, for any  $y$  that we choose, choosing  $z = b$  will cause  $E(x, y) \wedge E(x, z) \wedge E(y, z)$  to not be satisfied, since  $E(a, b)$  is not satisfied. This structure will also clearly satisfy the second sentence.

For an example of a structure that satisfies the second sentence but not the first, consider the following graph:



$$u(\mathcal{A}) = \{1, 2, 3, 4\}, \quad E_{\mathcal{A}} = \{(1, 1), (1, 2), (1, 3), (1, 4), (2, 4), (3, 4), (4, 4)\}$$

8. For each  $n \in \mathbb{N}$ ,  $\exists^{\geq n}$  denotes a counting quantifier. Intuitively,  $\exists^{\geq n}$  means that “there exist atleast  $n$  such that”. FO with counting quantifiers is the logic obtained by adding these quantifiers (for each  $n \in \mathbb{N}$ ) to the fixed symbols of FO. The syntax and semantics are as follows:

**Syntax :** For any formula  $\varphi$  of FO with counting quantifiers,  $\exists^{\geq n}x \varphi$  is also a formula.

**Semantics :**  $\mathcal{A} \models \exists^{\geq n}x \varphi$  iff  $\mathcal{A} \models \varphi(a_i)$  for each of  $n$  distinct elements  $a_1, a_2, \dots, a_n$  from the universe  $u(\mathcal{A})$ .

- (a) Using counting quantifiers, define a sentence  $\varphi_{45}$  such that  $\mathcal{A} \models \varphi_{45}$  iff  $|u(\mathcal{A})| = 45$ .  
(b) Define a FO sentence  $\varphi$  (not using counting quantifiers) that is equivalent to the sentence  $\exists^{\geq n}x (x = x)$ .

#### Solution

(a)

$$\exists^{\geq k}x(x = x) \wedge \neg \exists^{\geq k+1}x(x = x)$$

is an FOL sentence with counting quantifiers that is true iff  $|u(\mathcal{A})| = k$ .

(b)

$$\exists x_1 \cdots \exists x_n \bigwedge_{1 \leq i < j \leq n} \neg(x_i = x_j)$$

is an FOL sentence equivalent to

$$\exists^{\geq n}x(x = x)$$

9. Write an FO formula that will evaluate to true only over a structure that has atleast  $n$  elements and atmost  $m$  elements.

#### Solution

Using the counting quantifiers we discussed earlier, such a sentence would be  $\exists^{\geq n}x(x = x) \wedge \neg \exists^{\geq m+1}x(x = x)$ . Removing the counting quantifiers, we get the sentence:

$$\left( \exists x_1 \cdots \exists x_n \bigwedge_{1 \leq i < j \leq n} \neg(x_i = x_j) \right) \wedge \neg \left( \exists x_1 \cdots \exists x_{m+1} \bigwedge_{1 \leq i < j \leq m+1} \neg(x_i = x_j) \right)$$

One can show that this sentence is equivalent to:

$$\left( \exists x_1 \cdots \exists x_n \bigwedge_{1 \leq i < j \leq n} \neg(x_i = x_j) \right) \wedge \left( \forall x_1 \cdots \forall x_{m+1} \bigvee_{1 \leq i < j \leq m+1} (x_i = x_j) \right)$$