# ARM Processor Cortex<sup>®</sup>-A53 MPCore

**Product Revision r0** 

# **Software Developers Errata Notice**

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- the page numbers to which your comments apply
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#### **Release Information**

Errata are listed in this section if they are new to the document, or marked as "updated" if there has been any change to the erratum text in Chapter 2. Fixed errata are not shown as updated unless the erratum text has changed. The summary table in section 2.2 identifies errata that have been fixed in each product revision.

18 Apr 2016:	Changes in	1 Document	v18
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		8			
Page	Status	ID	Cat	Rare	Summary of Erratum
33	New	853175	CatB		APB access to ETM space while core is in Retention will never complete
34	New	855871	CatB		ETM does not report IDLE state when disabled using OSLOCK
35	New	855873	CatB		An eviction might overtake a cache clean operation
36	New	855872	CatB	Rare	A Store-Exclusive instruction might pass when it should fail
44	Updated	836870	CatC		Non-allocating reads might prevent a store exclusive from passing
47	New	851672	CatC		ETM might trace an incorrect exception address
48	New	851871	CatC		ETM might lose counter events while entering wfx mode
49	New	852071	CatC		Direct branch instructions executed before a trace flush might be output in an atom packet after flush acknowledgement
50	New	852521	CatC		A64 unconditional branch might jump to incorrect address
51	New	853172	CatC		ETM might assert AFREADY before all data has been output
52	New	855827	CatC		PMU counter values might be inaccurate when monitoring certain events
54	New	855829	CatC		Reads of PMEVCNTR <n> are not masked by HDCR.HPMN</n>
55	New	855830	CatC		Loads of mismatched size might not be single-copy atomic
26 Mar	2015: Cha	anges in Doc	ument v	17	
Page	Status	ID	Cat	Rare	Summary of Erratum
32	New	845719	CatB		A load might read incorrect data
46	New	845819	CatC		Instruction sequences containing AES instructions might produce incorrect results
20 Feb	2015: Cha	nges in Docu	ment v1	6	
Page	Status	ID	Cat	Rare	Summary of Erratum
20	New	843419	CatA		A load or store might access an incorrect address
31	New	843819	CatB		Memory locations might be accessed speculatively due to instruction fetches when HCR.VM is set
18 Nov	2014: Cha	nges in Docu	ıment v1	.5	
Page	Status	ID	Cat	Rare	Summary of Erratum
44	New	836870	CatC		Non-allocating reads might prevent a store exclusive from passing
45	New	836919	CatC		Write of JMCR in EL0 does not generate an UNDEFINED exception
08 Oct	2014: Cha	nges in Docu	ment v1	4	
Page	Status	ID	Cat	Rare	Summary of Erratum

# 18 New 835769 CatA 29 Aug 2014: Changes in Document v13

No new or updated errata in this document version.

See the Summary Table for errata fixes in the latest product revision.

### 24 Jul 2014: Changes in Document v12

Page	Status	ID	Cat	Rare	Summary of Erratum			
42	New	831920	CatC		HCR.DC being set when HCR.VM not set does not correctly affect Data Cache Invalidate by MVA/VA behaviour			
43	New	831921	CatC		AuxReg field of ID_MMFR0 reports incorrect value			
03 Jun	03 Jun 2014: Changes in Document v11							
Page	Status	ID	Cat	Rare	Summary of Erratum			
17	New	829070	CatA		Speculative data reads might be performed to Device memory			

AArch64 multiply-accumulate instruction might produce incorrect result

15 May	2014:	Changes	in Do	cument	v10

	•	8						
Page	Status	ID	Cat	Rare	Summary of Erratum			
30	New	827319	CatB		Data cache clean instructions might cause overlapping transactions to the interconnect			
28	New	826319	CatB		System might deadlock if a write cannot complete until read data is accepted			
41	New	827971	CatC		Store release might not be multi-copy atomic			
11 Mar 2014: Changes in Document v9								
Page	Status	ID	Cat	Rare	Summary of Erratum			
26	New	823819	CatB		A snoop request to a core coincident with retention entry might cause deadlock			
27	New	824069	CatB		Cache line might not be marked as clean after a CleanShared snoop			
27 Feb	2014: Cha	inges in Doci	ıment v8					
Page	Status	ID	Cat	Rare	Summary of Erratum			
22	New	823273	CatA	Rare	Load or store which fails condition code check might cause data corruption			
40	New	822769	CatC		Mismatched aliases might cause deadlock			
03 Feb	2014: Cha	nges in Docu	ıment v7					
Page	Status	ID	Cat	Rare	Summary of Erratum			
16	New	821523	CatA		Hazarding snoop request might cause deadlock			
08 Jar	2014: Cha	nges in Docu	ıment v6					
Page	Status	ID	Cat	Rare	Summary of Erratum			
25	New	819472	CatB		Store exclusive instructions might cause data corruption			

### 15 Nov 2013: Changes in Document v5

No new or updated errata in this document version.

See the Summary Table for errata fixes in the latest product revision.

#### 05 Nov 2013: Changes in Document v4

05 INOV	2015; Clia	inges in Doci	iment v4	•		
Page	Status	ID	Cat	Rare	Summary of Erratum	
24	New	814270	CatB		Misaligned PC and out-of-range address aborts might be taken to incorrect exception level	
39	New	814271	CatC		CPUMERRSR register might be updated incorrectly after multiple ECC/parity errors	
25 Sep 2013: Changes in Document v3						
Page	Status	ID	Cat	Rare	Summary of Erratum	
15	New	812869	CatA		Instruction stream might be corrupted	
16 Sep	2013: Cha	nges in Docu	ment v2			
Page	Status	ID	Cat	Rare	Summary of Erratum	
23	New	810919	CatB		Clearing of the global exclusive monitor can fail to set the event register	
38	New	811819	CatC		A 64-bit load to Device memory which crosses a 64-byte boundary can access more data than is permitted	

### 13 Aug 2013: Changes in Document v1

No new or updated errata in this document version.

See the Summary Table for errata fixes in the latest product revision.

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# Chapter 1.

# Introduction

This chapter introduces the errata notice for the ARM Cortex-A53 MPCore processor.

# 1.1. Scope of this document

This document describes errata categorized by level of severity. Each description includes:

- the current status of the defect
- where the implementation deviates from the specification and the conditions under which erroneous behavior occurs
- the implications of the erratum with respect to typical applications
- the application and limitations of a 'work-around' where possible

This document describes errata that may impact anyone who is developing software that will run on implementations of this ARM product.

# 1.2. Categorization of errata

Errata recorded in this document are split into the following levels of severity:

Errata Type	Definition
Category A	A critical error. No workaround is available or workarounds are impactful. The error is likely to be common for many systems and applications.
Category A(Rare)	A critical error. No workaround is available or workarounds are impactful. The error is likely to be rare for most systems and applications. Rare is determined by analysis, verification and usage.
Category B	A significant error or a critical error with an acceptable workaround. The error is likely to be common for many systems and applications.
Category B(Rare)	A significant error or a critical error with an acceptable workaround. The error is likely to be rare for most systems and applications. Rare is determined by analysis, verification and usage.
Category C	A minor error.

Table 1

Categorization of errata

# Chapter 2.

# **Errata Descriptions**

# 2.1. Product Revision Status

The rnpn identifier indicates the revision status of the product described in this book, where:

**rn** Identifies the major revision of the product.

**pn** Identifies the minor revision or modification status of the product.

# 2.2. Revisions Affected

Table 2 below lists the product revisions affected by each erratum. A cell marked with **X** indicates that the erratum affects the revision shown at the top of that column.

This document includes errata that affect revision r0 only.

Refer to the reference material supplied with your product to identify the revision of the IP.

Table 2 Revisions Affected

ID	Cat	Rare	Summary of Erratum	r0p0	r0p1	r0p2	r0p3	r0p4
843419	CatA		A load or store might access an incorrect address	X	X	X	X	X
835769	CatA		AArch64 multiply-accumulate instruction might produce incorrect result	X	X	X	X	X
829070	CatA		Speculative data reads might be performed to Device memory	X	X	X	X	
821523	CatA		Hazarding snoop request might cause deadlock	X	X	X		
812869	CatA		Instruction stream might be corrupted	X				
823273	CatA	Rare	Load or store which fails condition code check might cause data corruption	X	X	X		
855873	CatB		An eviction might overtake a cache clean operation	X	X	X	X	X
855871	CatB		ETM does not report IDLE state when disabled using OSLOCK	X	X	X	X	X
853175	CatB		APB access to ETM space while core is in Retention will never complete	X	X	X	X	X
845719	CatB		A load might read incorrect data	X	X	X	X	X
843819	CatB		Memory locations might be accessed speculatively due to instruction fetches when HCR.VM is set	X	X	X	X	X
827319	CatB		Data cache clean instructions might cause overlapping transactions to the interconnect	X	X	X		
826319	CatB		System might deadlock if a write cannot complete until read data is accepted	X	X	X		
824069	CatB		Cache line might not be marked as clean after a CleanShared snoop	X	X	X		
823819	CatB		A snoop request to a core coincident with retention entry might cause deadlock	X	X	X		

ID	Cat	Rare	Summary of Erratum	r0p0	r0p1	r0p2	r0p3	r0p4
819472	CatB		Store exclusive instructions might cause data corruption	X	X			
814270	CatB		Misaligned PC and out-of-range address aborts might be taken to incorrect exception level	X				
810919	CatB		Clearing of the global exclusive monitor can fail to set the event register	X				
855872	CatB	Rare	A Store-Exclusive instruction might pass when it should fail	X	X	X	X	X
855830	CatC		Loads of mismatched size might not be single-copy atomic	X	X	X	X	X
855829	CatC		Reads of PMEVCNTR <n> are not masked by HDCR.HPMN</n>	X	X	X	X	X
855827	CatC		PMU counter values might be inaccurate when monitoring certain events	X	X	X	X	X
853172	CatC		ETM might assert AFREADY before all data has been output	X	X	X	X	X
852521	CatC		A64 unconditional branch might jump to incorrect address	X	X	X	X	X
852071	CatC		Direct branch instructions executed before a trace flush might be output in an atom packet after flush acknowledgement	X	X	X	X	X
851871	CatC		ETM might lose counter events while entering wfx mode	X	X	X	X	X
851672	CatC		ETM might trace an incorrect exception address	X	X	X	X	X
845819	CatC		Instruction sequences containing AES instructions might produce incorrect results	X	X	X	X	X
836919	CatC		Write of JMCR in EL0 does not generate an UNDEFINED exception	X	X	X	X	X
836870	CatC		Non-allocating reads might prevent a store exclusive from passing	X	X	X	X	X
831921	CatC		AuxReg field of ID_MMFR0 reports incorrect value	X	X	X	X	
831920	CatC		HCR.DC being set when HCR.VM not set does not correctly affect Data Cache Invalidate by MVA/VA behaviour	X	X	X	X	
827971	CatC		Store release might not be multi-copy atomic	X	X	X	X	
822769	CatC		Mismatched aliases might cause deadlock	X	X			
814271	CatC		CPUMERRSR register might be updated incorrectly after multiple ECC/parity errors	X				
811819	CatC		A 64-bit load to Device memory which crosses a 64-byte boundary can access more data than is permitted	X				

# 2.2.1. r0p0 implementation fixes

The following errata might be fixed in some implementations of r0p0. This can be determined by reading the REVIDR register where a set bit indicates that the erratum is fixed in this part.

REVIDR[0] 810919 CatB Clearing of the global exclusive monitor can fail to set the event register

REVIDR[1] 812869 CatA Instruction stream might be corrupted

Note that there is no change to the MIDR which remains at r0p0 but the REVIDR is updated as above to indicate which errata are corrected. Software will identify this release through the combination of MIDR/MIDR\_EL1 and REVIDR/REVIDR\_EL1.

# 2.2.2. r0p1 implementation fixes

The following errata might be fixed in some implementations of r0p1. This can be determined by reading the REVIDR register where a set bit indicates that the erratum is fixed in this part.

REVIDR[2] 821523 CatA Hazarding snoop request might cause deadlock

REVIDR[6] 829070 CatA Speculative data reads might be performed to Device memory

Note that there is no change to the MIDR which remains at r0p1 but the REVIDR is updated as above to indicate which errata are corrected. Software will identify this release through the combination of MIDR/MIDR\_EL1 and REVIDR/REVIDR\_EL1.

# 2.2.3. r0p2 implementation fixes

The following errata might be fixed in some implementations of r0p2. This can be determined by reading the REVIDR register where a set bit indicates that the erratum is fixed in this part.

REVIDR[2]	821523	CatA	Hazarding snoop request might cause deadlock
REVIDR[4]	823273	CatA Rare	Load or store which fails condition code check might cause data corruption
REVIDR[5]	823819	CatB	A snoop request to a core coincident with retention entry might cause deadlock
REVIDR[6]	829070	CatA	Speculative data reads might be performed to Device memory
REVIDR[7]	835769	CatA	AArch64 multiply-accumulate instruction might produce incorrect result

Note that there is no change to the MIDR which remains at r0p2 but the REVIDR is updated as above to indicate which errata are corrected. Software will identify this release through the combination of MIDR/MIDR\_EL1 and REVIDR/REVIDR\_EL1.

# 2.2.4. r0p3 implementation fixes

The following errata might be fixed in some implementations of r0p3. This can be determined by reading the REVIDR register where a set bit indicates that the erratum is fixed in this part.

REVIDR[6] 829070 CatA Speculative data reads might be performed to Device memory

REVIDR[7] 835769 CatA AArch64 multiply-accumulate instruction might produce incorrect result

Note that there is no change to the MIDR which remains at r0p3 but the REVIDR is updated as above to indicate which errata are corrected. Software will identify this release through the combination of MIDR/MIDR\_EL1 and REVIDR/REVIDR\_EL1.

# 2.2.5. r0p4 implementation fixes

The following errata might be fixed in some implementations of r0p4. This can be determined by reading the REVIDR register where a set bit indicates that the erratum is fixed in this part.

REVIDR[7] 835769 CatA AArch64 multiply-accumulate instruction might produce incorrect result

REVIDR[8] 843419 CatA A load or store might access an incorrect address

Note that there is no change to the MIDR which remains at r0p4 but the REVIDR is updated as above to indicate that the erratum is corrected. Software will identify this release through the combination of MIDR/MIDR\_EL1 and REVIDR/REVIDR\_EL1.

# 2.3. Category A

# 812869: Instruction stream might be corrupted

Category A

Products Affected: Cortex-A53 MPCore.

Present in: r0p0

# **Description**

The Cortex-A53 MPCore processor includes a Branch Target Instruction Cache (BTIC). Under certain conditions after a BTIC hit the processor might execute some instructions using corrupted data.

# **Configurations Affected**

All configurations.

#### **Conditions**

- 1) The MMU and the instruction cache must be enabled.
- 2) Instruction code included in a loop must contain:
  - 1. A branch of type: B, BL, CBZ/CBNZ, TBZ/TBNZ.
  - 2. The branch is at an address within 24 bytes of the end of a 4KB region (when mapped using a page size smaller than 1MB) or the end of a 1MB region (when mapped using a page size of 1MB or greater).
  - 3. There must be no unconditional branches between the target address of the branch and the next 8-byte boundary.
  - 4. The target address of the branch should not be within the last 8 bytes of a 64-byte cache line.
- 3) The loop must be executed again without any of the following conditions being met:
  - 1. An ISB or exception return being executed.
  - 2. An exception being taken.
  - The fetch of an address which would result in a prefetch abort, breakpoint or vector catch. An instruction cache or TLB maintenance operation being executed.
  - 4. An address translation operation being executed.
  - 5. A change of security state.
  - 6. A write to the SCTLR, HSCTLR, SCR, HCR, HCR2, DACR, TTBR0, TTBR1, TTBCR, HTTBR, HTCR, VTTBR, VTCR, MAIR0, MAIR1, HMAIR0, HMAIR1, CONTEXTIDR, SCTLR\_EL1, SCTLR\_EL2, SCTLR\_EL3, SCR\_EL3, HCR\_EL2, DACR32\_EL2, TTBR0\_EL1, TTBR1\_EL1, TCR\_EL1, TTBR0\_EL2, TCR\_EL2, VTTBR\_EL2, VTCR\_EL2, TTBR0\_EL3, TCR\_EL3, MAIR\_EL1, MAIR\_EL2, MAIR\_EL3 or CONTEXTIDR\_EL1.

If these conditions are met, then under unusual circumstances the data within the BTIC might be corrupted, causing incorrect data to be fetched between the first and second 8-byte address boundaries after the branch target address.

#### **Implications**

The Cortex-A53 processor could execute erroneous instructions, which would corrupt the flow of execution. There is no additional privilege/security exploit resulting from the presence of this erratum because the corrupted instruction will be executed in the current processor mode and security state (rather than some other errata-induced mode).

# Workaround

No workaround is available.

# 821523: Hazarding snoop request might cause deadlock

**Category A** 

Products Affected: Cortex-A53 MPCore.

Present in: r0p0, r0p1, r0p2

#### **Description**

The Cortex-A53 MPCore processor can accept snoop requests from an ACE interconnect. If these snoops match the address of an ongoing write request, then the hazarding logic will ensure that the write and the snoop are processed in the correct order. However, because of this erratum, in certain cases the snoop might not be processed correctly, which might result in deadlock.

### **Configurations Affected**

This erratum only affects configurations of the Cortex-A53 processor with an AMBA 4 ACE master interface, when it can receive snoops from a coherent interconnect.

#### **Conditions**

- 1) The Cortex-A53 MPCore processor makes an external read request on the ACE interface.
- 2) The interconnect cannot accept the request, and so deasserts ARREADY.
- 3) There are at least six other requests in progress within the Cortex-A53 that are all also trying to arbitrate so that they can make a request on the ACE read channel.
- 4) There is a request, which starts after the six other requests, that needs to make a write request on the ACE interface. This write is an eviction from an L1 or L2 cache.
- 5) The interconnect makes a snoop request to the same address as the write request.

If these conditions are met then under certain timing conditions the Cortex-A53 processor might not respond to the snoop until after the interconnect has accepted the read requests, which could cause the system to deadlock.

#### **Implications**

If the erratum occurs, the processor might deadlock.

It is possible to meet the timing conditions for this erratum when Cortex-A53 is connected to CCI-400.

#### Workaround

There is no workaround for this erratum.

# 829070: Speculative data reads might be performed to Device memory

# **Category A**

Products Affected: Cortex-A53 MPCore.

Present in: r0p0, r0p1, r0p2, r0p3

#### **Description**

The v8 ARM architecture states that speculative data accesses are not permitted to any memory location with any Device memory attribute, with some exceptions. Because of this erratum, such speculative accesses might occur in non-exceptional cases.

**Note:** The ARMv7 and earlier architectures defined Device and Strongly-ordered memory types. Both of these are equivalent to forms of the Device memory type in ARMv8, and are affected by this erratum.

#### Configurations affected

Configurations of Cortex-A53 MPCore including the VFP + Neon Extension are affected.

#### **Conditions**

- 1) A load instruction is executed, accessing Device memory.
  - The load is not a floating-point or Advanced SIMD instruction.
- 2) Either:
  - 1. A floating-point, Advanced SIMD, or cryptography instruction is immediately before or immediately after the load instruction with no intervening exception, or
  - There is a floating-point multiply-accumulate instruction (including Advanced SIMD floating-point) within the nine instructions preceding the load.
    - No exception or exception return is taken between the multiply-accumulate and the load.
    - There is no change of mode between the multiply-accumulate and the load.
    - There are no instructions reading the result of the multiply-accumulate or the floating-point status flags between the multiply-accumulate and the load.
    - There is no ISB instruction between the multiply-accumulate and the load.
    - For the purposes of this erratum, floating-point multiply-accumulate instructions are VFMA.F32, VFMA.F64, VFMS.F32, VFMS.F64, VFNMA.F32, VFNMA.F64, VFNMS.F32, VFNMS.F64, VMLA.F32, VMLA.F64, VMLS.F32, VMLS.F64, VNMLA.F32, VNMLA.F64, VNMLS.F32, VNMLS.F64, VRECPS.F32 and VRSQRTS.F32 in AArch32, and FMLA, FMLS, FMADD, FMSUB, FNMADD, FNMSUB, FRECPS and FRSQRTS in AArch64.

If these conditions are met then bytes within the same 16-byte aligned region as any of the accessed bytes might be speculatively read. The data from such a read will not be used by the processor.

#### **Implications**

If any peripherals are mapped using Device memory and have locations which have side effects on a read, such side effects could be observed for reads which were not requested by any load instructions executed by the processor. This erratum is classified as Category A as there is no workaround which can be generally applied to all systems.

#### Workaround

There is no generally viable workaround. The conditions of the erratum can be avoided by ensuring that any load instructions to Device memory have no adjacent floating-point, Advanced SIMD or cryptography instructions, and that the preceding nine instructions before a load to device memory do not contain any floating-point multiply-accumulate instructions. This can be simply achieved through inserting nine NOP instructions before and one after any such load.

# 835769: AArch64 multiply-accumulate instruction might produce incorrect result

### **Category A**

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

#### **Description**

When executing in AArch64 state, some multiply-accumulate instructions which read an accumulator operand from the result of an earlier multiply instruction might produce incorrect results.

#### Configurations affected

All configurations of Cortex-A53 are affected.

#### **Conditions**

There are five similar sets of conditions, all only in AArch64 state, which can expose this erratum.

In the following conditions:

- "A multiply instruction" is defined as one of MADD, MSUB, MUL, SMADDL, SMSUBL, SMULH, SMULL, UMADDL, UMSUBL, UMULH or UMULL.
- "A multiply-accumulate instruction" is defined as one of MADD, MSUB, SMADDL, SMSUBL, UMADDL or UMSUBL.
- "A 64-bit multiply-accumulate instruction" is defined as a multiply-accumulate instruction which has the 'sf' field (bit 31) set in the instruction encoding.

#### Condition 1:

- 1) The following two instructions are executed in either order:
  - A load or store instruction using pre-indexed writeback.
  - A multiply instruction.
- 2) A load, store or prefetch instruction using the same base register (Rn) as the earlier load or store is executed.
- 3) A 64-bit multiply-accumulate instruction is executed, which uses the result of the earlier multiply instruction as the accumulator register (Ra). This instruction cannot read any register written by the instruction in subcondition 2.
- 4) Between these four instructions there must be no other instructions.

#### Condition 2:

- 1) The following two instructions are executed in either order:
  - An ADRP instruction.
  - A multiply instruction.
- 2) A load, store or prefetch instruction using the destination register (Rd) of the ADRP as the base register (Rn) is executed.
- 3) A 64-bit multiply-accumulate instruction is executed, which uses the result of the earlier multiply instruction as the accumulator register (Ra). This instruction cannot read any register written by the instruction in subcondition 2.
- 4) Between these four instructions there must be no other instructions.

#### Condition 3:

- 1) An MSR instruction writing to SP\_EL0, SP\_EL1, SP\_EL2, ELR\_EL1, ELR\_EL2 or ELR\_EL3 is executed.
- 2) A multiply instruction is executed.
- 3) A load, store or prefetch instruction is executed.
- 4) A 64-bit multiply-accumulate instruction is executed, which uses the result of the earlier multiply instruction as the accumulator register (Ra). This instruction cannot read any register written by the instruction in subcondition 3.
- 5) There can be up to one additional instruction inserted in this sequence either before or after the multiply in subcondition 2, which could be a branch instruction.

# Condition 4:

- 1) The following two instructions are executed in either order:
  - Any operation writing the stack pointer.
  - A multiply instruction.
- 2) A load, store or prefetch instruction using the zero register as an address offset register (Rm) is executed.
- 3) A 64-bit multiply-accumulate instruction is executed, which uses the result of the earlier multiply instruction as the accumulator register (Ra). This instruction cannot read any register written by the instruction in subcondition 2.
- 4) Between these four instructions there must be no other instructions.

#### Condition 5:

- 1) An instruction is executed which writes to an integer register, referred to as A. This can be:
  - A single-register load, where A is the transfer register (Rt).
  - An LDP or LDNP of 64-bit integer registers, where A is the second transfer register (Rt2).
  - An integer multiply or divide, where A is destination register (Rd).
  - An FCVT\*, FMOV, UMOV or SMOV, where A is destination register (Rd).
- 2) An integer data processing instruction is executed, which writes to A but does not read A.
- 3) A multiply instruction is executed.
- 4) A load, store or prefetch instruction is executed using A as the base register (Rn) or as the offset register (Rm) if using register-offset addressing.
- 5) A 64-bit multiply-accumulate instruction is executed, which uses the result of the earlier multiply instruction as the accumulator register (Ra). This instruction cannot read any register written by the instruction in subcondition 4.
- 6) There can be up to one additional instruction inserted in this sequence either before or after the multiply in subcondition 3, which could be a branch instruction.

# **Implications**

If any of these sets of conditions are met, then the upper 32 bits of the result of the final multiply-accumulate instruction might be computed incorrectly.

#### Workaround

The only viable workaround is to avoid any of these code sequences, typically by avoiding the use of multiply-accumulate instructions, or by inserting a NOP between any adjacent load/store/prefetch instruction and multiply-accumulate instruction with no data dependency between them.

# 843419: A load or store might access an incorrect address

### **Category A**

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

#### **Description**

When executing in AArch64 state, a load or store instruction which uses the result of an ADRP instruction as a base register, or which uses a base register written by an instruction immediately after an ADRP to the same register, might access an incorrect address.

#### Configurations affected

All configurations of Cortex-A53 are affected.

#### Conditions

There are two instruction sequences which can trigger this erratum, both only in AArch64 state.

#### Sequence 1:

- 1) An ADRP instruction, which writes to a register Rn.
  - This instruction must be located in memory at an address where the bottom 12 bits are equal to 0xFF8 or 0xFFC.
- 2) A load or store instruction:
  - This can be:
    - · A single register load or store, of either integer or vector registers
    - Or an STP or STNP, of either integer or vector registers
    - Or an Advanced SIMD ST1 store instruction.
  - This must not write to Rn.
  - This may optionally read Rn (either as an address register or a data register to be stored).
  - If a load, this must access a region of memory which crosses a 64-bit boundary.
  - If a store, this must access a region of memory which crosses a 128-bit boundary.
- 3) There can optionally be one instruction after instruction 2.
  - This cannot be a branch.
  - This cannot write Rn.
  - This may optionally read Rn.
- 4) A load or store instruction from the "Load/store register (unsigned immediate)" encoding class, using Rn as the base address register.

#### Sequence 2:

- 1) An ADRP instruction, which writes to a register Rn.
- 2) Another instruction which writes to Rn.
  - This cannot be a branch or an ADRP.
  - This cannot read Rn.
- 3) Another instruction.
  - This cannot be a branch.
  - This cannot write Rn.
  - This may optionally read Rn.
- 4) A load or store instruction from the "Load/store register (unsigned immediate)" encoding class, using Rn as the base address register.

# **Implications**

If the above conditions are met, then the load or store instruction at position 4 in either sequence might access an incorrect address. In all cases, the address accessed will undergo the same permission checks and translation as if that were the correct address for the instruction. In no case will the load or store access memory which the current exception level does not have access permissions for.

### Workaround

The only viable workaround for this erratum is to avoid the triggering code sequences. Typically this would be achieved by:

- For sequence 1, ensuring that any loads or stores using the result of an ADRP are immediately after the ADRP when the instructions are in different 4KB regions, or by inserting NOP instructions before the final load or store to ensure there are more than two instructions between the ADRP and the load or store.
- For sequence 2, ensuring that the result of an ADRP is not overwritten by a consecutive instruction which does not read that result, by either removing the ADRP or placing a NOP after the ADRP.

# 2.4. Category A (Rare)

# 823273: Load or store which fails condition code check might cause data corruption

**Category A Rare** 

Products Affected: Cortex-A53 MPCore.

Present in: r0p0, r0p1, r0p2

#### Description

Under rare circumstances a conditional load instruction which fails its condition code check might cause data corruption or deadlock.

# **Configurations Affected**

This erratum affects all configurations of Cortex-A53.

#### **Conditions**

- 1) The core is executing in AArch32.
- 2) A load instruction is executed that satisfies the following requirements:
  - The instruction is conditional.
  - The instruction fails its condition code check.
  - The data addressed by the instruction given correct register inputs crosses an 8-byte boundary (including cases
    where an alignment fault would have been generated if the instruction had passed its condition code check).
- 3) Within the six instructions before the load instruction is an instruction which writes to a register used in the address calculation of the load.
  - This instruction must have the opposite condition code to the load instruction.
  - There must be no instructions which set the condition code flags between this instruction and the load instruction.
- 4) The load instruction must stall in the pipeline due to one of the following reasons:
  - A VFP divide or square root instruction completes at the same time the load is issued.
  - The ETM is enabled and requests a pipeline stall. ETM stalling is enabled by setting TRCSTALLCTLR.ISTALL.
  - FP/Neon retention is enabled by setting the CPUECTLR.FPRETCTL field to a non-zero value, and the load is either a VFP/Neon load or is adjacent to a VFP or Neon instruction.
  - The load instruction is adjacent to a conditional integer divide instruction, which stalls due to an earlier integer divide which has not yet completed.
  - The load is to the program counter, or the instruction following the load is a BLX (register) instruction.

If these conditions are met, then under rare conditions data memory might be corrupted.

#### **Implications**

It is believed that the various conditions required to cause this erratum are very unlikely to occur together in practice. Defect 823274 on the Cortex-A7 MPCore processor shares the same root cause, and has not been observed in deployed systems. As such, the practical implications for real systems should be minimal.

#### Workaround

There is no complete workaround for this erratum. Some of the stall conditions can be avoided by disabling ETM stalling in the TRCSTALLCTLR and disabling FP/Neon retention in the CPUECTLR.

# 2.5. Category B

# 810919: Clearing of the global exclusive monitor can fail to set the event register

**Category B** 

Products Affected: Cortex-A53 MPCore.

Present in: r0p0

### **Description**

The Cortex-A53 MPCore processor implements the ARMv8-A architecture. One of the features of this architecture is that if the global exclusive monitor for a processor changes from the Exclusive state to the Open state, the event register for that processor is set.

In some circumstances on the Cortex-A53 processor this event might not be generated, meaning that the processor will not exit standby state.

# **Configurations Affected**

All configurations.

#### **Conditions**

- 1) A load-exclusive operation is executed to an address marked as cacheable and shareable. Concurrently with (1), another agent in the system (another core in the cluster, or another master connected using a coherent interconnect) accesses the same address, causing the cache line to be evicted from the processor's cache.
- 2) The processor executes a WFE instruction.

If the above conditions are met then the WFE instruction can cause entry into standby state rather than resuming execution after the instruction.

# **Implications**

This erratum can cause software relying on this behavior to hang. This has been observed in spinlock implementations within OS kernels.

#### Workaround

The effects of this erratum can be mitigated using the event stream feature of the Generic Timer. Using CNTKCTL/CNTKCTL\_EL1 or CNTHCTL/CNTHCTL\_EL2, the event stream can be configured to periodically generate an event on the processor, which would wake up a processor which had hung due to this erratum and allow software to continue execution.

# 814270: Misaligned PC and out-of-range address aborts might be taken to incorrect exception level

Category B

Products Affected: Cortex-A53 MPCore.

Present in: r0p0

#### Description

The ARMv8 architecture requires that:

- Branches to unaligned addresses cause an instruction fault.
- Accesses in AArch64 to virtual addresses outside a 48-bit range from the appropriate base cause a translation fault.

Because of this erratum such faults might be reported at EL2 rather than at EL1.

# **Configurations Affected**

All configurations are affected.

#### **Conditions**

- Execution is at the non-secure EL0 or EL1 exception levels, in either AArch32 or AArch64.
- A branch is executed to an address which is either:
  - Unaligned (that is, not 4-byte aligned for the A32 and A64 instruction sets or not 2-byte aligned for the T32 instruction set).
- The memory location addressed by the (sign-extended) bottom 49 bits of the branch target would cause a stage 2 permission fault if accessed from the current exception level.

If these conditions are met, then the processor might incorrectly take the exception caused by the instruction fault at EL2 (in EL2H or Hyp) mode, rather than at EL1.

#### **Implications**

Some fault types might be reported to the hypervisor rather than the operating system.

#### Workaround

Hypervisor software, when handling an instruction fault from a lower exception level, can identify these cases from examination of the HFAR/FAR\_EL2. The hypervisor can then emulate the correct exception and pass the exception to the operating system fault handler.

# 819472: Store exclusive instructions might cause data corruption

Category B

Products Affected: Cortex-A53 MPCore.

Present in: r0p0, r0p1

#### **Description**

If a Cortex-A53 processor is executing a load and store exclusive sequence at the same time as a processor in another cluster is executing a cache maintenance operation to the same address, then this erratum might cause data corruption.

#### **Configurations Affected**

This erratum affects all configurations of the Cortex-A53 processor with an L2 cache present when it is connected to a coherent interconnect.

#### **Conditions**

- 1) A load exclusive instruction is executed to shareable, cacheable memory.
- 2) A store exclusive instruction is executed to the same address.
- 3) The store exclusive instruction passes the exclusive monitor.
- 4) The cache line is in a SharedDirty state in the Cortex-A53 cluster, and is present in L2 and in the L1 cache of the processor performing the store exclusive.
- 5) An external CleanShared snoop for the same cache line address is sent to the Cortex-A53 cluster while the store exclusive is executing. This snoop type is typically generated from a cache maintenance operation.

#### **Implications**

If the erratum occurs, a subsequent load to the same address might not observe the data from the store exclusive or other stores from that processor, resulting in data corruption.

In a system that only contains clusters of Cortex-A53 or Cortex-A57 processors this will only occur if one processor is executing a DCCMVAC or DCCMVAU instruction to the same address to which the exclusive access is targeted.

#### Workaround

Software that uses DCCMVAC or DCCMVAU instructions can replace them with DCCIMVAC instructions to avoid this erratum.

Note that this workaround must be applied to all the masters in the system that might generate a snoop into the Cortex-A53 cluster. For example, on a big.LITTLE configuration with one Cortex-A53 processor and one Cortex-A57 processor, the workaround needs to be applied to all software running on the Cortex-A57 processor.

On a Cortex-A57 processor it is possible to set bit 44 in the CPUACTLR\_EL1 register to automatically convert all DCCMVAC and DCCMVAU instructions into DCCIMVAC instructions.

# 823819: A snoop request to a core coincident with retention entry might cause deadlock

Category B

Products Affected: Cortex-A53 MPCore.

Present in: r0p0, r0p1, r0p2

# **Description**

The Cortex-A53 MPCore processor supports a dynamic retention feature, where a core can execute a WFI or WFE instruction and subsequently enter a low-power retention state. Because of this erratum, under some conditions the processor might deadlock on core retention entry.

#### Configurations affected

This erratum affects configurations of Cortex-A53 that make use of the CPU retention feature.

#### **Conditions**

- 1) Core retention is enabled by setting CPUACTLR.CPURETCTL to a non-zero value.
- 2) The core executes a WFI or WFE instruction, entering into standby mode.
- 3) The timer for retention entry expires, and the processor takes CPUQACTIVE for that core low.
- 4) The power controller takes CPUQREQn for that core low, requesting entry into retention state.
- 5) Coincident with the request from the power controller, an access is made which requires a snoop to be sent to the core in standby. This could be caused by:
  - An access to an address present within the L1 data cache of the core from either another core in the processor or from an agent outside the processor received over a coherent interconnect.
  - An instruction cache or TLB maintenance operation executed by another core within the processor.
  - A DSB instruction executed by another core within the processor.
  - A DVM message transaction being sent to the processor from a coherent interconnect.

If these conditions are met, then the processor might deadlock.

# **Implications**

In a system implementing CPU retention where one core can enter retention dynamically while other cores or processors are executing, deadlock conditions might be observed. If CPU retention is disabled, this erratum will not occur, but overall system power consumption might be higher.

#### Workaround

This erratum can be avoided by disabling CPU retention, by setting CPUACTLR.CPURETCTL to zero.

# 824069: Cache line might not be marked as clean after a CleanShared snoop

Category B

Products Affected: Cortex-A53 MPCore.

Present in: r0p0, r0p1, r0p2

# **Description**

If a Cortex-A53 processor is executing a store or PLDW instruction at the same time as a processor in another cluster is executing a cache maintenance operation to the same address, then this erratum might cause a clean cache line to be incorrectly marked as dirty.

#### Configurations affected

This erratum affects all configurations of the Cortex-A53 processor when it is connected to a coherent interconnect.

#### Conditions

- 1) A store or PLDW instruction is executed to shareable cacheable memory, or the hardware data prefetcher spots a pattern of stores and starts prefetching a cache line.
- 2) The cache line containing that address is in a SharedDirty state in the Cortex-A53 cluster, but is not present in the L1 cache of the CPU executing the store or PLDW.
- 3) An external CleanShared snoop for the same cache line address is sent to the Cortex-A53 cluster while the store or PLDW is executing. This snoop type is typically generated from a cache maintenance operation. The snoop gets a PassDirty response indicating that the responsibility for the dirty data is being passed to the interconnect.
- 4) Either:
  - The line is fetched by the PLDW or data prefetcher but the CPU does not perform a store to that address. The line might be marked as dirty even though there was no store performed.
  - A second external snoop for the same cache line is sent to the Cortex-A53 cluster while the store or PLDW is still executing. This snoop might get a dirty response when it should see clean data.

#### **Implications**

In a system that only contains clusters of Cortex-A53 or Cortex-A57 processors, a CleanShared snoop will only occur if one processor is executing a DCCMVAC or DCCMVAU instruction.

If the erratum occurs, the cache line could be marked as dirty in more than one cluster. This violates the coherency protocol, however for many coherent interconnects it will not cause any functional failure. It will not cause any failure with CCI-400 or CCN-504.

The erratum could also cause the line to remain incorrectly marked as dirty after a DCCMVAC or DCCMVAU instruction. This could then cause the line to be written back to memory when it did not need to be. This will not cause any functional failure in the majority of systems, but could be visible if that address was being used with software cache maintenance to communicate with a non-coherent master.

#### Workaround

The majority of systems will not need a workaround for this erratum. For any systems that do need a workaround, any software that uses DCCMVAC or DCCMVAU instructions can replace them with DCCIMVAC instructions, or their AArch64 equivalents.

Note that this workaround must be applied to all the masters in the system that might generate a snoop into the Cortex-A53 cluster. For example, on a big.LITTLE configuration with one Cortex-A53 processor and one Cortex-A57 processor, the workaround needs to be applied to all software running on the Cortex-A57 processor.

On a Cortex-A57 processor it is possible to set bit 44 in the CPUACTLR\_EL1 register to automatically convert all DCCMVAC and DCCMVAU instructions into DCCIMVAC instructions.

# 826319: System might deadlock if a write cannot complete until read data is accepted

**Category B** 

Products Affected: Cortex-A53 MPCore.

Present in: r0p0, r0p1, r0p2

#### **Description**

The Cortex-A53 MPCore processor supports access to other processors and peripherals through an AMBA AXI4 ACE interface. It is intended that the processor always accepts read data presented on the read data channel in a timely manner. It should not require another transaction to complete before it accepts the read data. Because of this erratum, the processor might deassert RREADYM until a write that is presented on the write channel is accepted by the interconnect and receives a response from the interconnect. If the system is unable to accept the write until the processor has accepted read data then RREADYM can remain deasserted indefinitely and the system can deadlock.

# **Configurations Affected**

This erratum only affects configurations of the Cortex-A53 processor with an AMBA 4 ACE or AXI master interface and an L2 cache.

To be affected by this erratum, the system that Cortex-A53 is connected to must also contain a peripheral or other component that contains a dependency between the read and write channels. The dependency must prevent the peripheral from accepting or responding to a write until it finishes processing a read.

#### Conditions

- A DCCMVAC, DCCMVAU, or DCCSW instruction (or the equivalent DC CVAC, DC CVAU, or DC CSW in AArch64) is executed which hits a dirty line in the L2 cache.
- 2) The interconnect accepts the dirty data, but does not return the write response.
- An L2 linefill is started. This could be caused by an instruction fetch, a data read that does not allocate into L1, or an ACP read.
- 4) The linefill is to the same L2 index as the earlier cache clean, and picks the same line as a victim that the earlier cache clean accessed.
- 5) The interconnect returns the data for the L2 linefill.
- 6) The interconnect tries to return read data for other unrelated read requests, which the Cortex-A53 cluster will not accept by holding RREADYM low. This needs to be from a sufficient number of reads to fill all buffers on the path from the Cortex-A53 cluster through the interconnect or slave to the point where the read and write channels converge.
- 7) The interconnect or slave does not return the write response for the dirty line until the read data is accepted by the processor.

# **Implications**

If the system contains dependencies as described above then when a sufficient number of outstanding transactions are present and certain timing conditions are met then the system could deadlock.

A system with ADB-400, CCI-400, and DMC-400 does not contain this dependency on the path to main memory. However, if a peripheral or different memory controller is connected to CCI-400 then those other components might introduce such a dependency. If this dependency is only present due to a peripheral in a non-cached memory region, then this erratum is unlikely to occur because:

- The L2 linefill with the incorrect dependency that causes this erratum must be to cacheable memory.
- Cache evictions can overtake non-cacheable and device writes within the cluster.
- The system must respond with the read data for the L2 linefill before the older writes are processed and responded to.
- The number of outstanding transactions to a peripheral is likely to be much less than to main memory.
- The number of outstanding reads the Cortex-A53 cluster can generate to device or non-cacheable memory is limited. If LDM instructions are not used to such peripherals then there will be a maximum of only a single read from each CPU in the cluster.

### Workaround

For systems without a snoop filter in the interconnect, and without an L3 cache, this erratum can be worked around by disabling Evict and WriteEvict transactions. This can be done by clearing bit 14 and setting bit 3 in the L2ACTLR. This applies to CCI-400 based systems.

For systems with a snoop filter or L3 cache, it can be worked around by software that uses DCCMVAC, DCCMVAU, or DCCSW instructions replacing them with DCCIMVAC or DCCISW instructions, or their AArch64 equivalents.

# 827319: Data cache clean instructions might cause overlapping transactions to the interconnect

Category B

Products Affected: Cortex-A53 MPCore.

Present in: r0p0, r0p1, r0p2

#### Description

The Cortex-A53 MPCore processor supports connecting to an interconnect with a snoop filter or an L3 cache through an AMBA 5 CHI interface. It will notify the interconnect when a clean line is evicted from the cluster. Under certain conditions this erratum can cause a clean line eviction to occur at the same time as another transaction to the same address, which can cause data corruption if the interconnect reorders the two transactions.

#### Configurations affected

This erratum only affects configurations of the Cortex-A53 processor with an AMBA 5 CHI master interface and an L2 cache.

To be affected by this erratum, the system that Cortex-A53 is connected to must also contain a snoop filter and be capable of reordering requests to the same address.

#### Conditions

- 1) A DCCMVAC, DCCMVAU, or DCCSW instruction (or the equivalent DC CVAC, DC CVAU, or DC CSW in AArch64) is executed which hits a dirty line in the L2 cache.
- The cluster sends a WriteClean transaction for the dirty data to the interconnect, but the interconnect does not yet return the response.
- 3) Another access to the L2 cache is made. This could be caused by an instruction fetch, an ACP access, or an eviction from the L1 data cache.
- 4) The access is to the same L2 index as the earlier cache clean, and picks the same line as a victim that the earlier cache clean accessed. This causes the cluster to send an Evict or WriteEvict transaction to the interconnect.
- 5) The interconnect reorders the transactions so that the Evict or WriteEvict is processed before the WriteClean.
- 6) Another master in the system accesses the same address, and the interconnect processes this request after it has processed the Evict or WriteEvict, but before it has processed the WriteClean.

#### **Implications**

If the interconnect reorders the two transactions to the same address then there will be a short period between the two transactions being processed where the snoop filter is incorrect. If another master request is processed during this time then it might not observe the dirty data.

CCN-504 contains a snoop filter and might reorder transactions. However it also contains an L3 cache, and if the L3 cache is enabled then there is an additional condition required to observe any failure. This condition is that the L3 line that is allocated by the WriteEvict transaction is then evicted from L3 before the WriteClean transaction is processed.

#### Workaround

This erratum can be worked around by software that uses DCCMVAC, DCCMVAU, or DCCSW instructions replacing them with DCCIMVAC or DCCISW instructions, or their AArch64 equivalents.

# 843819: Memory locations might be accessed speculatively due to instruction fetches when HCR.VM is set

## Category B

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

### Description

The ARMv8 architecture requires that when all associated stages of translation are disabled for the current exception level, memory locations are only accessed due to instruction fetches within the same or next translation granule as an instruction which has been or will be fetched due to sequential execution. In the conditions detailed below, the Cortex-A53 MPCore processor might access other locations speculatively due to instruction fetches.

#### Configurations affected

All configurations of Cortex-A53 are affected.

#### **Conditions**

- 1) The processor must be executing at EL3, EL2 or Secure EL1.
- 2) The processor can be in either AArch32 or AArch64 execution state.
- Address translation is disabled for the current exception level (by clearing the appropriate SCTLR.M, HSCTLR.M
  or SCTLR\_ELx.M bit).
- 4) The HCR.VM/HCR\_EL2.VM bit is set.

# **Implications**

If these conditions are met, then speculative instruction fetches might be made to memory locations not permitted by the architecture.

#### Workaround

Because the HCR.VM bit is reset low, this situation is most likely to arise in powerdown code, if EL2 or EL3 software disables address translation before the core is powered down. To work around this erratum, software should ensure that HCR.VM is cleared before disabling address translation at EL3, EL2 or Secure EL1.

# 845719: A load might read incorrect data

### Category B

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

#### **Description**

When executing in AArch32 state at EL0, a load to the same offset within a different 4GB region as a recent previous load in AArch64 state might read incorrect data.

#### Configurations affected

All configurations of Cortex-A53 are affected.

#### Conditions

The following sequence must occur for this erratum to be triggered:

Note the sequence requires execution in both AArch32 and AArch64, therefore at least one exception level change is required in this sequence.

- At EL0 or EL1, in AArch32 or AArch64 state, a load, store, preload or data- or instruction-cache maintenance by MVA instruction is executed.
- 2) At EL0 or EL1 in AArch64 state, a load is executed with VA[63:32] != 32'h000000000.
- 3) At EL0 in AArch32 state, a load is executed to the same 4KB region as the instruction in position 1, with VA[31:6] matching the VA from the instruction in position 2.

Between position 1 and position 3 in the sequence, the following must **not** occur:

- A write to any of the following registers:
  - Any SCTLR.
  - Any TTBR.
  - Any TCR.
  - Any MAIR.
  - CONTEXTIDR.
- A TLB maintenance instruction is executed, followed by a DSB.

Between position 2 and position 3 in the sequence, the following must **not** occur:

• An address translation instruction is executed.

#### **Implications**

If the above conditions are met, then data corruption could occur. The load at EL0 could access data written at EL1 for which it does not have read permissions, however a load in non-secure state cannot access secure data.

#### Workaround

The erratum can be avoided by causing one of the conditions given in the list above to occur between positions 2 and 3 in the sequence. Because there must be an exception return from AArch64 to AArch32 between positions 2 and 3 in the above sequence, the recommended workaround is to insert a write of the CONTEXTIDR\_EL1 register into operating system exception return sequences.

# 853175: APB access to ETM space while core is in Retention will never complete

### Category B

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

### **Description**

When a core is in Retention, it is in a low power state, and the main clock is turned off. An APB request to the ETM should cause the core to exit Retention and respond to the request.

Because of this erratum, the core will exit Retention, but **PREADYDBG** will not be driven HIGH, and the APB request will never complete.

# **Configurations Affected**

All configurations are affected.

#### **Conditions**

- 1) The core must be in Retention.
- 2) A master must make an APB request to ETM space.

#### **Implications**

The core associated with the ETM will exit Retention normally, and will be able to execute instructions.

The APB transaction will not have any effect on the ETM.

The master that made the APB request will deadlock.

Another master in the cluster that makes an APB request to any slave will deadlock.

#### Workaround

The external debug or self-hosted debug software must disable Retention by setting CPUECTLR. CPURETCTL to 0x0 before accessing the ETM.

# 855871: ETM does not report IDLE state when disabled using OSLOCK

Category B

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

#### Description

The OS Lock feature in the ETM allows software running on a processor to disable external debug access and then save the register state before powering down the ETM. There is a defined sequence which must be followed to ensure that the register state is stable and that all trace has been output before the system is powered-down. Because of this erratum, when the OS Lock mechanism is used, the ETM will never indicate that it is safe to be powered-off.

### **Configurations Affected**

All configurations are affected.

#### **Conditions**

- 1) The ETM is enabled using TRCPRGCTLR.EN == 1
- 2) The OS Lock feature is used to disable the ETM using TRCOSLAR.OSLK == 1

# **Implications**

Software which follows the defined save and restore sequence will poll TRCSTATR.IDLE, but this will remain HIGH even though the ETM will be disabled and will drain. If the ETM was already disabled with TRCPRGCTLR.EN == 0 then the sequence will behave correctly.

#### Workaround

After the ETM has been disabled using TRCOSLAR.OSLK and the state of TRCPRGCTLR.EN has been recorded, TRCPRGCTLR.EN can be written LOW. This will allow the disable sequence to complete correctly.

# 855873: An eviction might overtake a cache clean operation

### Category B

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

#### **Description**

The Cortex-A53 processor supports instructions for cache clean operations. To avoid data corruption, the processor must ensure correct ordering between evictions and cache clean operations for the same address.

Because of this erratum, the processor might issue an eviction and an L2 cache clean operation to the interconnect in the wrong order. The processor might also issue the transactions such that they are outstanding in the interconnect at the same time. This violates the ACE protocol specification and might cause the transactions to be erroneously re-ordered in the interconnect.

#### **Configurations Affected**

To be affected by this erratum, the following must be true:

- The processor is configured with an ACE bus interface.
- The processor is configured with an L2 cache.

#### **Conditions**

The erratum can be hit if the following conditions are met under specific timing conditions.

- 1) One or both of the following are true:
  - L2ACTLR[14] is set to 1. This enables sending of WriteEvict transactions on the ACE interface when the processor evicts data that it holds in the UniqueClean state.
  - L2ACTLR[3] is set to 0. This enables sending of Evict transactions on the ACE interface when the processor evicts clean data.
- 2) A core executes a cache clean by address operation for a line that is present and dirty in the L2 cache.
- 3) A core performs a memory access to the same set. This could be any type of memory access including a pagewalk, an instruction fetch, a cache maintenance operation, or a data access.
- 4) The instruction in condition (3) triggers an L2 cache eviction.
- 5) The line chosen for eviction from the L2 cache is the same line that was targeted by the cache clean operation in condition (2).

# **Implications**

If the processor is connected to an interconnect that has a system cache or a snoop filter then this erratum might cause data corruption.

# Workaround

The erratum can be avoided by upgrading cache clean by address operations to cache clean and invalidate operations. For Cortex-A53 r0p3 and later releases, this can be achieved by setting CPUACTLR.ENDCCASCI to 1. For earlier releases, software that uses DCCMVAC or DCCMVAU instructions can replace them with DCCIMVAC instructions.

# 2.6. Category B (Rare)

# 855872: A Store-Exclusive instruction might pass when it should fail

Category B Rare

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

#### Description

The processor implements an internal exclusive monitor to manage Load-Exclusive, Store-Exclusive, and Clear-Exclusive instructions. Because of this erratum, a Load-Exclusive instruction to cacheable memory might set the monitor to the exclusive state when the processor does not have exclusive access to the line. A subsequent Store-Exclusive instruction might pass when it should fail.

The erratum affects all Load-Exclusive and Store-Exclusive instructions, including Load-Acquire Exclusive and Store-Release Exclusive instructions.

# **Configurations Affected**

All configurations are affected.

#### Conditions

- 1) A core executes a store to memory that is marked as both inner-writeback and outer-writeback.
- 2) The store is not a Store-Exclusive (or a Store-Release Exclusive) or a Store-Release instruction.
- 3) The store is not followed by a DMB SY or DSB.
- 4) The store misses in the L1 data cache.
- 5) The store does not trigger a linefill. This requires one or more of the following to be true:
  - The core is in read-allocate mode.
  - The memory is marked as no-write-allocate.
  - The memory is marked as transient.
  - The store is a STNP instruction.
  - The store is triggered by a DC ZVA instruction.
- 6) The core starts a linefill to the same address as the store. The linefill is started for one of the following:
  - A PRFM, PLD, or PLDW instruction.
  - An automatic data prefetch.
  - A pagewalk.
- 7) The core executes a Load-Exclusive (or a Load-Acquire Exclusive) instruction to the same address as the store.
- 8) The store data is forwarded to the Load-Exclusive instruction.
- 9) The Load-Exclusive instruction retires before the linefill in condition (6) is serialised.

If the above conditions are met then the processor might set the internal exclusive monitor. This is not correct because the processor is not guaranteed to have exclusive access to the line.

#### **Implications**

If another core or master executes a Load-Exclusive instruction to the same address then both cores or masters might gain access to an exclusive region of code at the same time.

Most of the code sequences that can hit this erratum are not expected to exist commonly in real code. The scenario involving read-allocate mode in condition (5) is the most plausible.

The erratum has not been observed in the field. This indicates that the code sequences and timing conditions required to hit the erratum are rare.

# Workaround

If a workaround is required then the only workaround is to avoid the conditions described. Disabling read-allocate mode by setting CPUACTLR.RADIS to 0b11 degrades write-stream performance. Therefore, the preferred workaround is to avoid conditions (2) or (3) using an appropriate Store-Release or DMB instruction.

# 2.7. Category C

# 811819: A 64-bit load to Device memory which crosses a 64-byte boundary can access more data than is permitted

Category C

Products Affected: Cortex-A53 MPCore.

Present in: r0p0

# **Description**

The Cortex-A53 MPCore processor implements the ARMv8-A architecture. One of the requirements of this architecture is that an implementation must not perform more accesses to a Device memory location than are specified by a simple sequential execution of the program.

On the Cortex-A53 processor, an LDRD or LDP of 32-bit general-purpose registers which is 32-bit aligned but crosses a 64-byte boundary will access more bytes than is architecturally permitted for the instruction.

# **Configurations Affected**

All configurations.

#### **Conditions**

- 1) An LDRD or LDP of two 32-bit general-purpose registers is executed with an address 4 bytes before the end of a 64-byte region (thus addressing 32 bits at the top of one 64-byte region and 32 bits at the bottom of the next).
- 2) The address region is marked as Device memory.

If the above conditions are met then the processor will read the correct 32-bit chunk from the top of the first 64-byte region, but accesses 64 bits from the start of the next 64-byte region, rather than just the 32 bits the instruction explicitly accesses.

#### **Implications**

The effects of this erratum depend on the configuration of the system. It will only cause incorrect behavior when the system contains a read-sensitive peripheral accessed using Device memory, where the erratum causes a register access that the software did not intend. It is unlikely however that software would access such a peripheral using an unaligned instruction of the type described.

## Workaround

Any software workaround depends on the specific peripheral being accessed, and how it is accessed. No workaround will be required if software does not use LDRD/LDP to access 64 bits of a peripheral starting at a 32-bit aligned address which crosses a 64-byte boundary.

# 814271: CPUMERRSR register might be updated incorrectly after multiple ECC/parity errors

**Category C** 

Products Affected: Cortex-A53 MPCore.

Present in: r0p0

# **Description**

The Cortex-A53 MPCore processor optionally provides support for soft error detection and correction on SRAM arrays, and for reporting of these events when they occur.

Because of this erratum, such errors might be reported incorrectly.

# Configurations affected

Configurations which have the CPU\_CACHE\_PROTECTION configuration variable set to "TRUE" to provide protection on the CPU RAM arrays are affected.

#### **Conditions**

- 1) The CPUMERRSR is marked as not valid, either out of reset or because of a software write to the register.
- 2) A parity error is detected on the TLB RAM.
- 3) A parity or ECC error is detected on one of the DCU Tag RAMs, where the bottom two bits of the DCU RAM bank ID match the bank ID of the earlier error in the TLB RAM.

If these conditions are met, then the DCU Tag RAM error will cause the "Repeat error count" field in the CPUMERRSR to be incremented, rather than the "Other error count" field.

# **Implications**

Software which uses the error count fields in the CPUMERRSR might see a higher number of TLB errors than is accurate, but will see a correct number of total errors.

# Workaround

There is no workaround for this erratum.

# 822769: Mismatched aliases might cause deadlock

**Category C** 

Products Affected: Cortex-A53 MPCore.

Present in: r0p0, r0p1

#### **Description**

If the translation tables are constructed such that two or more different virtual addresses map to the same physical address, but the virtual addresses have different shareability attributes, then under certain conditions the processor might not respond to a snoop from the interconnect, which can lead to deadlock.

# **Configurations Affected**

This erratum only affects configurations of the Cortex-A53 processor with an AMBA 4 ACE master interface, when it can receive snoops from a coherent interconnect.

#### **Conditions**

- 1) A load or store instruction, or ACP access, is executed to an address marked as cacheable shareable memory. This causes the line to be allocated into an L1 or L2 cache.
- The interconnect supplies the cache line in a shared state, indicating that another master might also hold the cache line.
- 3) A full cache line write is made to the same address. This could be an ACP write of a full cache line, or one or more store instructions on a CPU that can be merged together into a full line.
- 4) If the full line write is from a CPU then it must not be allocated into the L1 cache, either because the memory is marked as transient or no-allocate in the page tables, the store is a non-temporal store, or the CPU has switched into read allocate mode after seeing several full cache line writes. The processor will send a MakeUnique coherency request externally.
- 5) The full line write is to cacheable non-shareable memory.
- 6) The full cache line causes an eviction of a different line from the L2 cache.
- 7) The interconnect makes a snoop request to the same address as the eviction.
- 8) The interconnect does not respond to the MakeUnique coherency request of the full cache line write until after the snoop completes.

If these conditions are met then the Cortex-A53 processor might not respond to the snoop until after the interconnect has completed and returned the response to the MakeUnique request, which could cause the system to deadlock.

# **Implications**

A deadlock can occur during execution of software which explicitly sets up two or more aliases to the same physical memory page with different shareability attributes.

It is not expected that many applications make use of mismatched shareability attributes, and in most cases such use is architecturally UNPREDICTABLE.

#### Workaround

If multiple aliases to the same physical page in memory are required, the cache must be cleaned and invalidated for the relevant addresses between uses of the different aliases.

# 827971: Store release might not be multi-copy atomic

Category C

Products Affected: Cortex-A53 MPCore.

Present in: r0p0, r0p1, r0p2, r0p3

#### **Description**

The Cortex-A53 MPCore processor supports store release instructions, which are required to be multi-copy atomic when observed by load acquire instructions. However, in some unusual code sequences, this erratum can cause the CPU executing the store release to observe the value stored earlier than it should have.

# Configurations affected

All configurations are affected.

#### **Conditions**

On one CPU, the follow sequence must occur:

- 1) A store release instruction is executed to address A. This could be a STLR, STLRB, or STLRH instruction.
- 2) The store release is to normal cacheable memory, normal non-cacheable memory, or to Device-GRE memory.
- 3) If the store is to cacheable memory, it must miss in the cache and must not cause an L1 allocation. This means that either the memory is marked as no write allocate or as transient in the page tables, or the store release is immediately following a steam of stores which caused the processor to enter read allocate mode.
- A store instruction is executed to address A. This must store the same number of or more bytes than the store release.
- 5) A load acquire instruction is executed to address A.
- 6) A store or store release to address B is executed.

On a second CPU, which can be within the same cluster or in a different cluster, the following sequence must occur:

- 1) A load or load acquire to address B is executed.
- A load acquire to address A is executed.
- 3) An ordering dependency is introduced between the first load and the load acquire. This could be an address dependency, a barrier instruction, or the first load is a load acquire.

The ARM architecture requires that the store release executed to address A is not observed by load acquire instructions on the same CPU until it is also observable by any load acquire instructions on all other CPUs. However, if the above conditions are met and the timing conditions are such that the processor or interconnect reorders transactions to addresses A and B, then this erratum can cause the second processor to incorrectly observe the store to address B before it observes the store release to address A.

## **Implications**

Any software that relies upon the ordering properties in the specific sequence above will have a small chance of observing accesses in an incorrect order, which might lead to a coherency failure.

However, it is not expected that typical synchronization code would rely on this, in particular it would not perform stores and store releases to the same address in close succession.

## Workaround

Multi-processing code is not written in a style which exposes this erratum, and therefore is not expected to require a workaround. For any software that does require a workaround, the store to address A can be replaced by a store release.

# 831920: HCR.DC being set when HCR.VM not set does not correctly affect Data Cache Invalidate by MVA/VA behaviour

**Category C** 

Products Affected: Cortex-A53 MPCore.

Present in: r0p0, r0p1, r0p2, r0p3

# **Description**

If a Cortex-A53 processor is configured with HCR.DC set and HCR.VM clear it will perform a Data Cache Invalidate by MVA/VA executed at non-secure EL1 as a Data Cache Clean and Invalidate, as required by the architecture, however it may cause the operation to be performed as an Invalidate on other masters in the same coherency domain or caches downstream of the Cortex-A53 processor.

## Configurations Affected

This erratum affects all configurations of the Cortex-A53 processor.

#### **Conditions**

- 1) The HCR is written with the DC bit set and the VM bit clear.
- 2) The processor executes a DCIMVAC (AArch32) or DC IVAC (AArch64) at non-secure EL1, which targets an address which has stage 2 write permissions.
- The address targeted is dirty in another master in the same coherency domain or a cache downstream of the Cortex-A53 processor.

If these conditions are met then the cache line targeted by the maintenance operation in step 2 will be invalidated in the caches described in step 3 without first having been cleaned.

#### **Implications**

A guest OS could cause data loss by invalidating a cached address without cleaning it.

Note that the combination of HCR.DC=1 and HCR.VM=0 was UNPREDICTABLE in the ARMv7 architecture, and as such existing hypervisor implementations are unlikely to encounter this erratum.

#### Workaround

Software can ensure that it always sets HCR.VM to 1 when setting HCR.DC to 1 (the architecture defines that HCR.VM behaves as if set to 1 when HCR.DC is set to 1, so this will have no other repercussions).

A hypervisor can always prevent a guest OS from invalidating an address by denying it stage 2 write permissions.

# 831921: AuxReg field of ID\_MMFR0 reports incorrect value

**Category C** 

Products Affected: Cortex-A53 MPCore.

Present in: r0p0, r0p1, r0p2, r0p3

#### **Description**

The ARM architecture defines auxiliary fault status registers, ADFSR and AIFSR in AArch32. These are required to be implemented in the v8 architecture, and are implemented in the Cortex-A53 MPCore processor. However, due to this erratum, the AuxReg field in the ID\_MMFR0 feature register indicates that these registers are not supported.

# **Configurations Affected**

All configurations of Cortex-A53 are affected.

#### **Conditions**

There are no specific conditions for this erratum - any read of ID\_MMFR0 in AArch32 or ID\_MMFR0\_EL1 in AArch64 will return the incorrect value of 0x1 for the AuxReg field, rather than 0x2 as would be expected.

#### **Implications**

It is not believed that this erratum will have any impact on system software. While the ADFSR and AIFSR are implemented on Cortex-A53, they always return zero on reads and ignore writes, so there will be no issues arising if software treats them as not implemented based on the ID\_MMFR0.AuxReg value.

#### Workaround

There is no workaround for this erratum.

# 836870: Non-allocating reads might prevent a store exclusive from passing

Category C

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

#### **Description**

If a Cortex-A53 processor is executing a load and store exclusive instruction in a loop then there are certain conditions that are allowed to cause the store exclusive instruction to repeatedly fail. This includes another processor repeatedly writing to the same cache line.

In addition to these allowed conditions, a non-allocating load from another processor might also cause the store exclusive instruction to repeatedly fail.

#### Configurations Affected

All configurations are affected.

#### **Conditions**

- 1) One CPU executes a loop containing a load exclusive and a store exclusive instruction. The loop will continue until the store exclusive instruction succeeds.
- Another CPU, or master in the system, repeatedly executes a load to the same L1 data cache index as the store exclusive instruction.
- 3) The cache line containing the address of the load is present in the L1 cache of the CPU, and is not present in the L2 cache.
- 4) The load does not cause an allocation into the cache of the CPU or master executing the load. This must result in a snoop arriving at the CPU holding the cache line every time the load is performed.
- 5) The load is repeated at exactly the same frequency as the load and store exclusive loop, such that every time around the loop, the snoop arrives at the same time as the store exclusive instruction is executing.

A load can be non-allocating in Cortex-A53 in the following conditions:

- A load instruction is executed on a CPU, while the CPUACTLR.DTAH bit is not set, with one of the following conditions:
  - 1. The memory address is marked as writeback cacheable, with no read allocate, in the pagetables.
  - 2. The memory address is marked as writeback cacheable, transient, in the pagetables.
- 3. The memory address is marked as writeback cacheable, and an LDNP non-temporal load instruction is used.
- 2) A read transaction is made on the ACP interface.

# **Implications**

If a CPU or other master is polling a location to determine when the value changes, then it can prevent another CPU from updating that location, causing a software livelock. However most polling routines would use memory that could be allocated into their cache, and for improved efficiency it is generally recommended to use a load exclusive and WFE instruction to avoid repeated polling. In addition, the frequency of the polling loop must match the frequency of the load store exclusive loop, so it is unlikely to get into this situation, and any other disturbance in the system such as an interrupt or other bus traffic could easily alter the frequency of either loop sufficiently to break the livelock.

#### Workaround

If the repeating load is being executed on a Cortex-A53 CPU then this erratum can be worked around by setting the CPUACTLR.DTAH bit. Note that from r0p4 and onwards, this bit is set by default.

If the repeating load is being executed by another master in the system connected to the ACP interface, then the erratum can be worked around by changing the frequency of the polling so that it no longer aligns with the frequency of the load and store exclusive loop.

If the repeating load is being executed by another master in the system connected through the coherent interconnect, then the erratum can be worked around either by ensuring that the other master allocates the line into its cache, or by changing the frequency of the polling so that it no longer aligns with the frequency of the load and store exclusive loop. Note that Cortex-A57 will always allocate the line into either the cache or a temporary buffer, and so will not require any workaround for typical polling loops.

# 836919: Write of JMCR in EL0 does not generate an UNDEFINED exception

**Category C** 

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

# **Description**

When EL0 is using AArch32 register width state and a write is performed in EL0 to JMCR, the write will be permitted but ignored. The intended behavior is that the instruction should be UNDEFINED.

# **Configurations Affected**

All configurations are affected.

#### **Conditions**

- 1) The processor is executing in AArch32 User mode.
- 2) A write to the JMCR is executed, using the instruction MCR p14,7, <Rt>, c2, c0, 0.

# **Implications**

Rather than treating the MCR instruction as UNDEFINED, the write to the JMCR is ignored.

#### Workaround

There is no workaround for this erratum.

# 845819: Instruction sequences containing AES instructions might produce incorrect results

## Category C

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

### Description

Certain sequences of instructions that include AES instructions might cause incorrect results to be generated when executed in AArch64 state on the Cortex-A53 processor.

#### Configurations affected

Only configurations of Cortex-A53 that include the Cortex-A53 Cryptography Extension are affected.

#### **Conditions**

There are two code sequences which can stimulate this erratum, both in AArch64 execution state.

#### Condition 1:

- 1) An AESE instruction is executed.
- 2) A USQADD instruction is executed.
  - Both Vn and Vd of this instruction must be the same register as Vd for the AES instruction.
  - The "size" field for this instruction must be '00', indicating byte-sized elements.
  - This can be either the "Vector" or "Scalar" form of the USQADD instruction.

#### Condition 2:

- 1) A SUQADD instruction is executed.
  - The "size" field for this instruction must be '00', indicating byte-sized elements.
  - This can be either the "Vector" or "Scalar" form of the SUQADD instruction.
- 2) An AESMC or AESIMC instruction is executed.
  - Both Vn and Vd of this instruction must be the same register as Vd for the SUQADD instruction.

For both these conditions, the two instructions must be executed consecutively, with no other instructions or exceptions between them. If either of these sets of conditions is met, the final result of the affected instruction sequence might be incorrect.

### **Implications**

The sequences of instructions described in the conditions above are not expected to occur in real code, because they do not perform useful computation. As such, there is no impact expected to real systems.

# Workaround

Because the code sequences for this erratum are not expected to occur in real code, no workaround is required.

# 851672: ETM might trace an incorrect exception address

# **Category C**

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

#### **Description**

The address in an exception packet should be the preferred exception return address. Because of this erratum, the address might be equal to the target address of the exception. The trace stream is not corrupted, and decompression can continue after the affected packet.

# **Configurations Affected**

All configurations are affected.

#### **Conditions**

The following sequence is required to hit this erratum:

- 1) The ETM must start tracing instructions because of one of the following:
  - Viewinst goes high.
  - The security state changes such that trace is now permitted.
  - The values of the external debug interface (DBGEN, SPIDEN, NIDEN, SPNIDEN) change such that trace is now permitted.
  - The core exits debug mode.
- 2) Before the core executes any other behavior which would cause trace to be generated, it executes a direct branch instruction, which might be taken or not-taken.
- 3) The next instruction is a load or store that takes a Data Abort or Watchpoint exception.

After this sequence, provided certain timing specific conditions are met, the address in the exception packet might be incorrect.

# **Implications**

The trace decompressor might incorrectly infer execution of many instructions from the branch target to the provided address.

#### Workaround

The trace decompressor can detect that this erratum has occurred by checking if the exception address is in the Vector Table and the branch was not expected to be taken to the Vector Table.

A decompressor can infer the correct address of the exception packet. It will be given by the target of the preceding branch (If the branch was taken), or the next instruction after the branch (If the branch was not-taken).

# 851871: ETM might lose counter events while entering wfx mode

# **Category C**

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

#### **Description**

If the ETM resources become inactive because of low-power state, there is a one-cycle window during which the counters and the sequencer might ignore counter-at-zero resources.

## **Configurations Affected**

This erratum affects all configurations of the processor.

#### **Conditions**

The following sequence is required to hit this erratum:

- 1) The core executes a WFI or WFE instruction.
- 2) The ETM enters low-power state because of this.
- 3) In a one-cycle window around this point, either:
  - A counter in self-reload mode generates a counter-at-zero resource.
  - A counter in normal mode gets a RLDEVENT on the cycle in which it has just transitioned to zero.
- 4) A counter or sequencer is sensitive to the counter-at-zero resource.

# **Implications**

Counters sensitive to a counter-at-zero resource might not reload or decrement. If the sequencer is sensitive to a counter-at-zero resource, it might not change state, or might change to an incorrect state.

# Workaround

The ETM can be prevented from entering low-power mode by programming LPOVERRIDE bit of TRCEVENTCTL1R to 1. This workaround is only needed if there is a counter or sequencer sensitive to a counter-at-zero resource, and is not normally necessary.

# 852071: Direct branch instructions executed before a trace flush might be output in an atom packet after flush acknowledgement

Category C

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

# Description

The Embedded Trace Macrocell (ETMv4) architecture requires that when a trace flush is requested on the AMBA Trace Bus (ATB), a processor must complete any packets that are in the process of being encoded and output them prior to acknowledging the flush request. When trace is enabled, the Cortex-A53 processor attempts to combine multiple direct branch instructions into a single Atom packet. If a direct branch instruction is executed, and an Atom packet is in the process of being generated, Cortex-A53 does not force completion of the packet prior to acknowledging the flush request. This is a violation of the ETMv4 architecture.

#### Configurations affected

All configurations are affected.

#### **Conditions**

- 1) ETM is enabled.
- 2) Instruction tracing is active.
- 3) One or more direct branch instructions are executed.
- 4) An Atom packet is being encoded but is not complete.
- 5) A trace flush is requested on the AMBA ATB.

## **Implications**

When the above conditions occur, the Atom packet being encoded should complete and be output prior to the trace flush request being acknowledged. Because of this erratum, the Atom packet is output after the flush is acknowledged. Therefore, it will appear to software monitoring the trace that the direct branch was executed after that requested flush.

#### Workaround

Enabling the timestamp by setting TRCCONFIGR.TS will solve the issue as it will complete the atom packets through the timestamp behavior.

# 852521: A64 unconditional branch might jump to incorrect address

**Category C** 

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

# **Description**

When executing in AArch64 state with address translation disabled, unconditional immediate branch instructions might jump to an incorrect address.

## **Configurations Affected**

All configurations of Cortex-A53 are affected.

#### **Conditions**

- 1) The processor is executing in AArch64 state.
- 2) The SCTLR\_ELx.M bit for the current exception level is 0.
- 3) The HCR\_EL2.VM bit is 0.
- 4) A B or BL instruction from the "Unconditional branch (immediate)" encoding class is executed.
- 5) This branch has an imm26 field of 0x1FFFFFF, encoding a branch target of {pc}+0x7FFFFFC.

#### **Implications**

If these conditions are met, then the processor might incorrectly branch to the target  $\{pc\}$ -0x8000004 instead of  $\{pc\}$ +0x7FFFFFC.

#### Workaround

The workaround for this erratum is to avoid the conditions described.

# 853172: ETM might assert AFREADY before all data has been output

# **Category C**

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

#### **Description**

When the AFVALID signal on the ATB interface is asserted, the ETM should immediately start outputting all buffered trace. It should assert the AFREADY output one cycle after all trace that was buffered on the cycle in which AFVALID was first asserted.

Because of this erratum, the AFREADY signal might be asserted before all the necessary trace has been output.

# **Configurations Affected**

All configurations are affected.

#### **Conditions**

The ETM must contain buffered trace.

#### **Implications**

This might result in the ETM containing trace that was generated before the flush request when the rest of the system expects this trace to have been output.

#### Workaround

The system can ensure that all trace has been drained from the ETM by disabling it by setting TRCPRGCTLR.EN to 0. The system should then poll the TRCSTATR.IDLE bit, and once it reads as 1, then the ETM is idle, and all trace that was generated before the write to TRCPRGCTLR has been output.

# 855827: PMU counter values might be inaccurate when monitoring certain events

# **Category C**

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

#### **Description**

The Cortex-A53 processor implements a Performance Monitor Unit (PMU). The PMU allows programmers to gather statistics on the operation of the processor during runtime. Because of this erratum, the PMU counter values might be inaccurate when monitoring certain events. Specifically:

- The INST\_RETIRED event should count architecturally executed instructions. Because of this erratum, it might count more instructions than were architecturally executed.
- The ST\_RETIRED event should not count Store-Exclusive instructions that fail. Because of this erratum, it does
  count these instructions.
- The UNALIGNED\_LDST\_RETIRED event should count loads and stores that fail their alignment check. Because of this erratum, it might also count LDRD and STRD instructions that pass their alignment check.
- The EXC\_TAKEN and EXC\_RETURN events should be filtered precisely according to the Exception level/Security state they were executed in. Because of this erratum, they will be filtered according to the destination Exception level/Security state.

# **Configurations Affected**

All configurations of Cortex-A53 are affected.

#### **Conditions**

- 1) A performance counter is enabled and configured to count one of the following events: INST\_RETIRED, ST\_RETIRED, UNALIGNED\_LDST\_RETIRED, EXC\_TAKEN, or EXC\_RETURN.
- 2) This condition depends on which event the performance counter is configured to monitor:
  - INST\_RETIRED: An immediate branch instruction is executed.
  - ST\_RETIRED: A Store-Exclusive instruction is executed, and fails.
  - UNALIGNED\_LDST\_RETIRED: A LDRD or STRD instruction is executed that is word aligned but not doubleword aligned.
  - EXC\_TAKEN: An exception is taken.
  - EXC\_RETURN: An exception return is executed.
- 3) For INST\_RETIRED, ST\_RETIRED, and UNALIGNED\_LDST\_RETIRED, the filtering settings for the performance counter are set so that an event should be counted if it occurs. For EXC\_TAKEN and EXC\_RETURN, the filtering setting are set so that:
  - Events should be counted in the original Exception level/Security state, but should not be counted in the Exception level/Security state following the exception or the exception return, or
  - Events should not be counted in the original Exception level/Security state, but should be counted in the Exception level/Security state following the exception or the exception return.

#### **Implications**

If the erratum conditions are met, the performance counter might increment when it should not or it might not increment when it should. Specifically:

- INST\_RETIRED: The counter might erroneously increment by two when only one instruction is executed.
- ST\_RETIRED: The counter will erroneously increment for the failed Store-Exclusive instruction.
- UNALIGNED\_LDST\_RETIRED: The counter will erroneously increment for the LDRD or STRD instruction.
- EXC\_TAKEN: The counter will erroneously increment or erroneously fail to increment.
- EXC\_RETURN: The counter will erroneously increment or erroneously fail to increment.

# Workaround

For the EXC\_TAKEN and EXC\_RETURN events, the erratum can be worked around by changing the filtering settings for the performance counter to monitor the destination Exception level/Security state instead of the Exception level/Security state that the exception or exception return are executed in.

There is no workaround for the other PMU events.

# 855829: Reads of PMEVCNTR<n> are not masked by HDCR.HPMN

**Category C** 

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

#### **Description**

The HDCR.HPMN/MDCR\_EL2.HPMN field can be set by software executing at EL2 to restrict access to the performance counters by Non-secure EL0/EL1 software to a subset of the counters. If n > HPMN, then performance counter n is not accessible to Non-secure EL0/EL1. Because of this erratum, on Cortex-A53 reads of inaccessible registers might not return zero as expected, but instead might read the actual contents of the counter registers.

# **Configurations Affected**

All configurations of Cortex-A53 are affected.

#### **Conditions**

- 1) The processor is executing at Non-secure EL1 or EL0.
- 2) HDCR.HPMN/MDCR\_EL2.HPMN is set to a value n, where n < 6.
- 3) A read of PMEVCNTR<n>/PMEVCNTR<n> EL0 is executed.

# **Implications**

If the conditions described above are met, then Non-secure EL0/EL1 software can read the values of performance counters reserved for EL2.

#### Workaround

Software executing at EL2 can set the HDCR.TPM/MDCR\_EL2.TPM bit. This will cause all Non-secure EL0 and EL1 accesses to performance monitor registers to trap to EL2. The EL2 software can then emulate accesses as required, and can mask out accesses to reserved registers.

# 855830: Loads of mismatched size might not be single-copy atomic

**Category C** 

Products Affected: Cortex-A53 MPCore. Present in: r0p0, r0p1, r0p2, r0p3, r0p4

#### **Description**

The Cortex-A53 processor supports single-copy atomic load and store accesses as described in the ARM architecture. However, in some unusual code sequences, this erratum can cause the CPU executing a store and later a load to the same address but with a different access size to load data that does not meet the requirements of a single-copy atomic load.

# Configurations affected

All Cortex-A53 configurations are affected.

#### **Conditions**

On one CPU, the following sequence must occur:

1) A store instruction is executed. This could be any halfword, word, or doubleword store instruction that is not a store release, and the address must be aligned to the access size.

On a second CPU, which can be within the same cluster or in a different cluster, the following sequence must occur:

- 1) A store instruction is executed. This store must be a smaller access size to the store on the first CPU, and must be to an address with the bytes accessed by the first CPU. The address must also be aligned to the access size.
- 2) The store instruction must not allocate into the cache. This could be because:
  - The memory address is marked as transient, or
  - The write allocate hint in the translation table is not set, or The memory is marked as non-cacheable, or
  - The CPU has recently executed a stream of stores and so has dynamically switched into a no write allocate
    mode.
- 3) A load instruction is executed. The load must be a larger access size than the store from the same CPU, and at least some bytes of the load must be to the same address as the store. The address must also be aligned to the access size.

The ARM architecture requires that the load is single-copy atomic. However, in the conditions described, the load might observe a combination of the two stores, indicating that the store on the first CPU was serialized first. However, if the load is repeated, then the second time it might see just the data from the store from the first CPU indicating that the store on the first CPU was serialized second.

# **Implications**

Concurrent, unordered stores are not common in multi-threaded code. In the C11 standard, they are restricted to the family of "relaxed" atomics. In addition, using different size load and store instructions to access the same data is unusual. Therefore the majority of multi-threaded software is not going to meet the conditions for this erratum.

# Workaround

Most multi-threaded software is not expected to meet the conditions for this erratum and therefore will not require a workaround. If a workaround is required, then the store on the second CPU should be replaced with a store release instruction.