

Brief Introduction to complexity Classes P, NP, NPC, NP-Hard

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Types of problems

- **Tractable problems:-** problems which are solvable in reasonable (**polynomial**) time
 - Efficient algorithm **exists** to solve them
(e.g. sorting, searching)
- **Intractable problems:-** Some problems are intractable, as they grow large, we are unable to solve them in reasonable time.
 - Efficient algorithm **do not exists** to solve them
 - Algorithm exists but are **not efficient**

Intractable- difficult to manage/solve

Notion of polynomial time

- A problem is solvable in **polynomial time** if the number of arithmetic operations required to solve it can be defined by (bounded from above by) a polynomial in **n**, for **input size n**.

for a matrix multiplication of 2 (nxn) matrices

- we need to compute n^2 elements
- for computation of 1 element of result we need
n - multiplications
(n - 1) - additions

$$O(n^3)$$

$$\text{total} = n^2 (n + (n - 1))$$

$$= \boxed{2n^3 - n^2} (\Leftarrow \text{polynomial in } n)$$

Notion of polynomial time

- Polynomial time solvable problems are problems whose worst case time complexity is $O(n^k)$, where n -is input size and k -is constant and $k \ll n$ (if $k > n$ it behaves like exponential)
- Following are polynomial time problems
- $O(1)$, $O(n)$, $O(n^2)$, $O(n^3)$
- But, we also recognize following problems as polynomial time $O(\log n)$, $O(n \log n)$, since they are bounded from above by polynomials like n , n^2 , respectively.

Non polynomial time problems

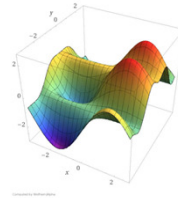
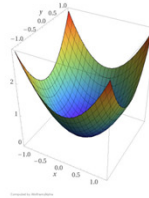
- Problems whose worst case time complexity is $O(k^n)$, where n -is input size and k is constant, are called **non-polynomial time** problems.
- k^n -is genuinely exponential
- But we also recognize following functions as **non polynomial (exponential)**
 - $O(n^{\log n})$ – not quite exponential
 - $O(n!)$, - not exactly exponential, grows faster than $O(2^n)$
 - $O(n^n)$, - super exponential
- So we wrapped problems in two broad classes.

Some common complexity functions

constant	$O(1)$	Polynomial time
logarithmic	$O(\log n)$	
linear	$O(n)$	
n-log-n	$O(n \times \log n)$	
quadratic	$O(n^2)$	
cubic	$O(n^3)$	Non-Polynomial time
exponential	$O(k^n)$, e.g. $O(2^n)$	
factorial	$O(n!)$	
super-exponential	e.g. $O(n^n)$	

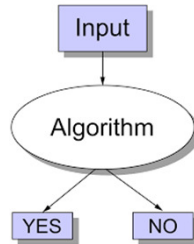
Types of problems-Optimization problems

- **Optimization Problems** – An optimization problem is one which asks, “What is the optimal solution to problem X?”
- **Examples:**
 - 0-1 Knapsack
 - Fractional Knapsack
 - Minimum Spanning Tree (MST)
- **Optimization algorithms** are used for solving them.

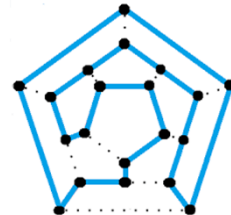


Types of problems-Decision problems

- **Decision Problems:-**
- A decision problem is one with yes/no answer
- **Examples:**
- Does a graph G have a **MST** of weight $\leq W$?



- Many problems will have both **decision** and **optimization** versions
- Eg: **Traveling salesman problem**
 - **optimization:** find **Hamiltonian cycle** (cycle containing each vertex in V) of minimum weight
 - **decision:** is there a **Hamiltonian cycle** of weight $\leq k$???



P- Class problems

- **P class:-** the class of problems that are solvable in **polynomial-time** using **deterministic** algorithms.
- That is, they are solvable in **$O(n^k)$** , where k is constant (highest power of n) in the **polynomial** on n (input size).
- In **computer science**, a **deterministic algorithm** is an **algorithm** which, given a particular input, will always produce the **same output**, with the underlying machine always passing through the **same sequence of states**. (Ref:- wikipedia)
- Everything is **determined/decided/defined** without confusion.
- **E.g.** Sorting, searching, matrix multiplication and etc.

Time complexities of P-class problems

n - linear search

n^2 - Quadratic (bubble sort)

n^3 - matrix multiplication

$\log n$ - binary search

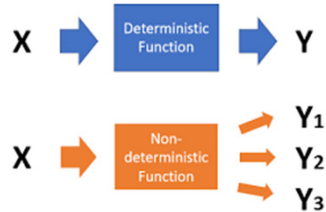
$n \log n$ - merge sort

note that $(\log n)$ is $O(n)$

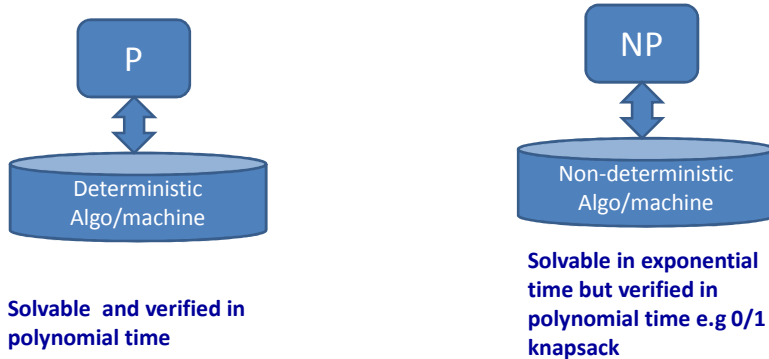
and $(n \log n)$ is $O(n^2)$

NP- Class problems

- Note that **NP** stands for “**Nondeterministic Polynomial-time**”
- **NP Class Problems:** - the class of problems that are **solvable** in **polynomial time** using a **nondeterministic algorithm**, **whose solution can be verified in polynomial time**”
- NP- class problems are **decision** problems.
- A **nondeterministic algorithm/computer** is one that can “**guess**” the answer or solution .
- **nondeterministic algorithm-** **not known/determined today.**
We don't know that algorithm



P and NP classes



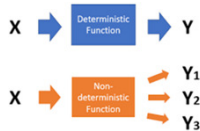
NP class examples :- Fractional Knapsack, MST , Traveling Salesman , **Satisfiability (SAT) decision problem-** the problem of deciding whether a given **Boolean formula** is satisfiable

What is Non-deterministic algorithm???

-In [computer programming](#), a **nondeterministic algorithm** is an [algorithm](#) that, even for the same input, can exhibit different behaviors on different runs, as opposed to a [deterministic algorithm](#).

-There are several ways an algorithm may behave differently from run to run. A [concurrent algorithm](#) can perform differently on different runs due to a [race condition](#).

-The notion was introduced by [Robert W. Floyd](#) in 1967.

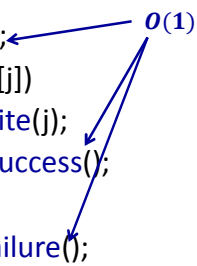


Non-deterministic algorithm

A is array of size n

Search(A, n, key)

```
{  
    j=guess( );  
    if(key==A[j])  
        then write(j);  
        return success();  
    else  
        return failure();  
}
```



Algorithm is, thus of $O(1)$ and hence of polynomial time.

-I can not determine/decide /define algorithm **guess()**

- Thus, it is **non deterministic**
- But solvable in polynomial time
- Today , we don't know algorithm **guess**, but may be able to define in future.

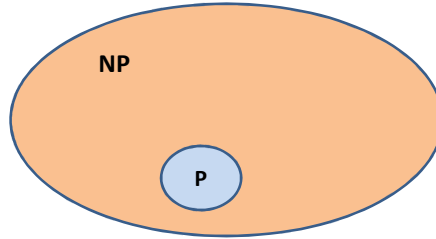
P and NP summary

- P = set of problems that can be solved and verified in polynomial time using deterministic algorithm
 - Examples: sorting, searching, matrix multiplication
- NP = set of problems for which a solution can be verified in polynomial time but solvable in exponential time (includes $O(n!)$ and $O(n^{\log n})$ also) using deterministic algo. OR solvable in polynomial time using nondeterministic algo.
 - Examples: Fractional Knapsack, TSP, SAT (decision)

P and NP -Relationship

- Clearly $P \subseteq NP$

P-tractable
NP-intractable

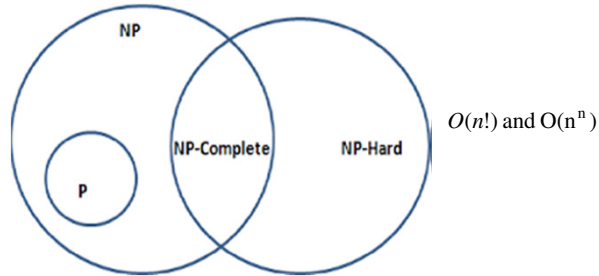


Venn Diagram

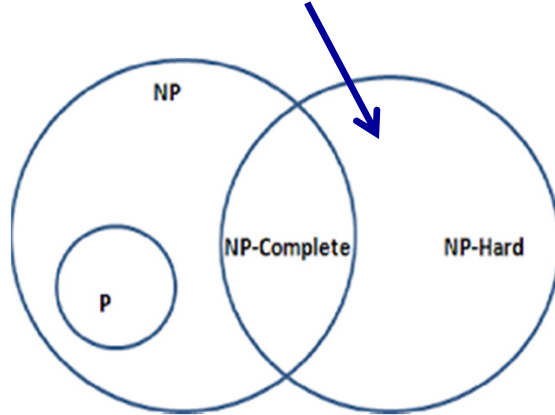
- As and when we know a **deterministic polynomial time** algorithm for a **NP problem**, it will be entered into **P class** region.
- Open question does **$P = NP$** or **$P \neq NP$** ?
- For some problem instance in NP if we can find polynomial time deterministic algorithm, then for that instance **$P = NP$**
- But in general **$P \neq NP$**

NP-Hard class Problems

- Non deterministic polynomial time hard problems
- NP-Hard problems are optimization problems.
- **NP-hard**:- A problem is NP-hard if an algorithm for solving it can be translated/Reduced into one for solving any NP-problem (nondeterministic polynomial time) problem.
- **NP-hard** therefore means "at least as hard as any NP-problem," although it might, **in fact, be harder..**



NP-Hard problems

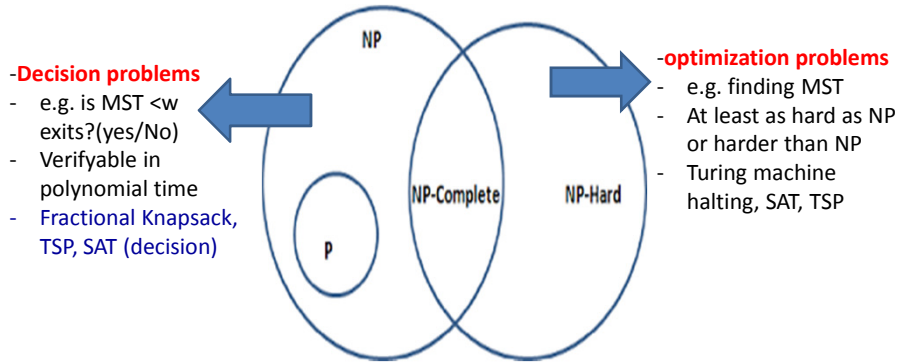


A problem R is polynomial time reduced to Q , denoted as

$$R \leq_p Q$$

and if Q is in NP-class, then R is called NP-hard

Difference between NP and NP-Hard problems



NP-Complete problems

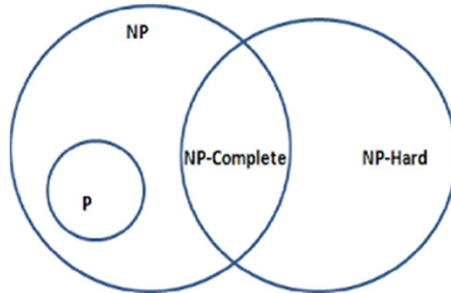
We say that a problem Q belongs NP – Complete, if it is

1. NP-Hard and

2. $Q \in NP$

$$NPC = NP \cap NP - Hard$$

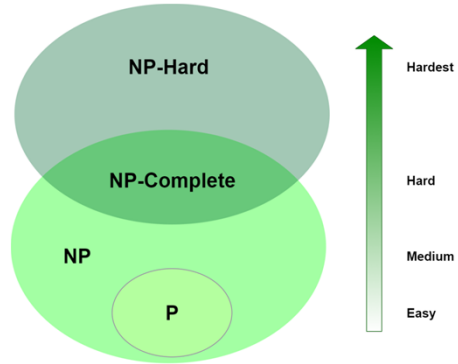
NPC problems are more hard problems in NP and thus are in NP-hard also



NP-Complete- is a decision as well as optimization problem

NP-complete problems can be verified in polynomial time but not NP-hard

Easy to Hard problem classification



Boolean satisfiability Problem

Two instances of circuit satisfiability problems

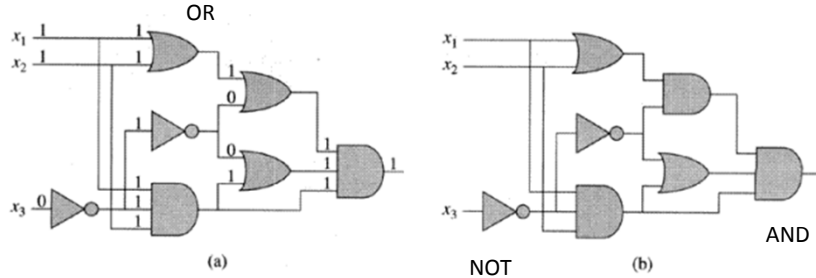


Figure 34.8 Two instances of the circuit-satisfiability problem. (a) The assignment $(x_1 = 1, x_2 = 1, x_3 = 0)$ to the inputs of this circuit causes the output of the circuit to be 1. The circuit is therefore satisfiable. (b) No assignment to the inputs of this circuit can cause the output of the circuit to be 1. The circuit is therefore unsatisfiable.

Boolean satisfiability Problem

- **Circuit satisfiability problem** can be reduced to **formula satisfiability** problem

3CNF formula:

literal **3-SAT**

$$(x_1 \vee \overline{x_2} \vee \overline{x_3}) \wedge \underbrace{(x_3 \vee \overline{x_5} \vee x_6)}_{\text{clause}} \wedge (x_3 \vee \overline{x_6} \vee x_4) \wedge (x_4 \vee x_5 \vee x_6)$$

For N input variable it is of $O(c * 2^N)$ for $c > 0$