

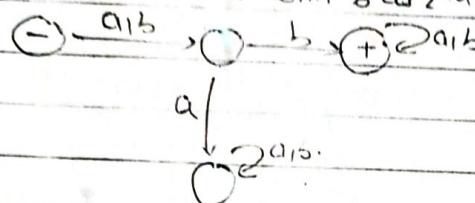
## Ch 7 Kleene's Theorem (contd.)

Converting RE's to FA's. (contd.)

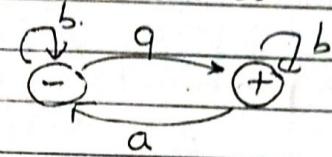
Rule 3 -  $FA_1$  accepts  $\pi_1$ ,  $FA_2$  -  $\pi_2$ ,  $FA_3$  accepts  $\pi_1\pi_2$ .

Proof - Strings with 1st part as  $\pi_1$  & 2nd part as  $\pi_2$ .

ex.  $FA_1$  - words with b as 2nd letter.



$FA_2$ : odd a's.



Str. ababbaa - word in prod. lang.  $L_1L_2 \rightarrow (ab)(q66qa)$

Start on  $FA_1$ , reach + after 2nd letter b. Somehow jump, into  $FA_2$ , run the remaining i/p; q66qa; reach +.

$FA_3$ :



ex. ababbaa = (abab)(bab).

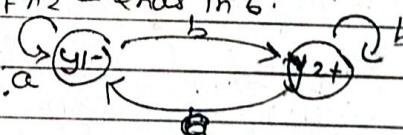
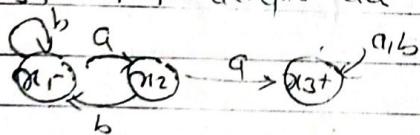
On  $FA_1$ , we reach +, when we read 2nd b. But we have not finished on  $FA_1$ . If we start from  $FA_2$ , we don't reach +, because abbab has even a's.

Here after we reach + on  $FA_1$ , we must continue to run the string on  $FA_1$  for 2 more letters, then jump on  $FA_2$ .

Problem: How do we know when to jump from  $FA_1$  to  $FA_2$ ?

Sol:-  $FA_1$  - accepts aa

$FA_2$  - ends in b.



Start with  $\pi_1\cdot z_1$ , which is exactly like  $\pi_1$ . On b, return to  $z_1$ .

On a, go to  $z_2$  - like  $\pi_2$ . From  $z_2$ , on b, go back to  $z_1$ .

$$z_1 = \pi_1, z_2 = \pi_2$$

$z_1$  (circle with a minus sign)  $\xrightarrow{a} z_2$  (circle with a plus sign). From  $z_2$ , on a, go to  $z_3$  which is somewhat like  $\pi_3$ .

$z_3$  - dual identity. i) final state (end of i/p)  
ii) continue at  $z_3$  for rest of i/p.

$$\therefore z_3 = \begin{cases} \pi_3, & \text{we're still on } FA_1, \text{ or} \\ \pi_{y_1}, & \text{we've begun on } FA_2. \end{cases}$$

In  $z_3$ , on  $a$ , 3 interpretations:

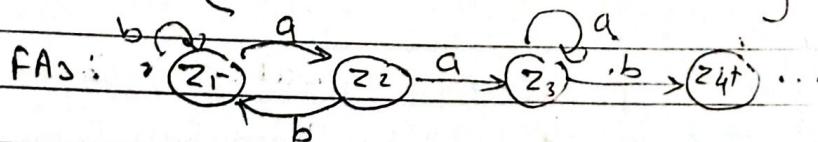
{ we are back in  $z_3$  on FA<sub>1</sub>, or  
we have just finished on FA<sub>1</sub> & beginning to run FA<sub>2</sub>  
or we've looped from  $y_1$  to  $y_1$  on FA<sub>2</sub>

$$= \pi_3 \text{ or } y_1 = z_3$$

i.e. in  $z_3$  on  $a$ , loop back to  $z_3$ .

in  $z_3$  on  $b$ , goto  $z_4$

final: { in  $\pi_3$  on FA<sub>1</sub>, or  
finished FA<sub>1</sub> & now in  $y_1$ , or }  $= \pi_3 \text{ or } y_1 \text{ or } y_2$   
 $+ z_4 = \{ \text{in } y_2 \text{ via } y_1 \}$



In  $z_4$ , on  $a$ , choices:

{ in  $\pi_3$  on FA<sub>1</sub>, or  
finished FA<sub>1</sub> & begin  $y_1$ , or }  $= \pi_3 \text{ or } y_1 = z_3$   
(from  $y_2$  basic to  $y_1$ ,  
i.e. in  $z_4$  on  $a$ , go to  $z_3$ .

In  $z_4$  on  $b$ ,

in  $\pi_3$  or  
begin  $y_1$  or  
loop  $y_2$  to  $y_2$  }  $= z_4$   
 $\therefore \text{FA}_3: \quad \begin{array}{c} z_1 \\ \xrightarrow{a} \\ z_2 \\ \xrightarrow{a} \\ z_3 \\ \xrightarrow{b} \\ z_4 \end{array}$

Thus without double  $a$  we never reach to  $z_3$  & we end in  $z_4$  if whole word ends in  $b$ .

Algorithm: for forming mfc FA<sub>3</sub>:

Make a  $z$ -state for every non-final  $\pi$  state in FA<sub>1</sub> reached before ever hitting a final state on FA<sub>1</sub>.

For each final state in FA<sub>1</sub>, we establish a  $z$ -state that expresses the options that we are continuing on FA<sub>1</sub> or are beginning on FA<sub>2</sub>.

Are in  $\alpha$  something, which is a  $\tau$ -state but still continuing on FA<sub>1</sub>  
or

have finished the FA<sub>1</sub> part of i/p string & have jumped to  $\gamma_1$  to commence tracing the remainder of i/p on FA<sub>2</sub>.

After we have reached a jump-to-FA<sub>2</sub> state, any other state we reach has an  $\alpha$  & a  $\gamma$  possibility like the  $\tau$ -states in the union m/c. a whole set of possible  $\gamma$ -states

Full nature of a  $\tau$ -state is

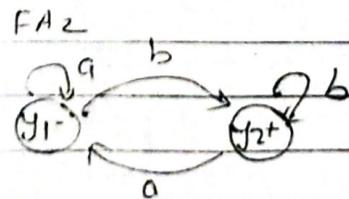
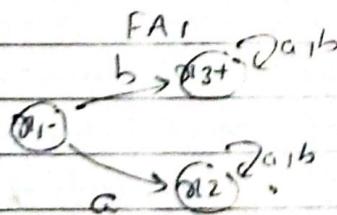
{ one in  $\alpha$  something continuing on FA<sub>1</sub> or  
one in a set of  $\gamma$ something conti. on FA<sub>2</sub>.

There are only finitely many possibilities for such  $\tau$ -states, so FA<sub>3</sub> is a finite m/c.

Thus FA<sub>3</sub> accepts only strings that first reach a final state on FA<sub>1</sub>, jump to  $\gamma_1$  & then reach a final state on FA<sub>2</sub>.

To be in FA<sub>1</sub>, FA<sub>2</sub> means to end in a final state in FA<sub>2</sub>, so any  $\tau$ -state is a final state if it contains a  $\gamma$ -machine final state as a possible position for i/p.

Ex: Construct m/c for product of lang. L<sub>1</sub>, all words that start with a b & L<sub>2</sub>, all words end with a b.



→ let  $\tau_1 = \alpha_1$  on a, go to  $\alpha_2$ , let  $\tau_2 = \alpha_2$

on b, "  $\alpha_3$ , which being a final state

we have option of jumping to  $\gamma_1$ .

$$\tau_3 = \alpha_3 \text{ or } \gamma_1$$

From  $\tau_2$ , like  $\alpha_2$ , both a & b traces to  $\tau_2$ .

$$Z_3 = y_1 \text{ or } x_2$$

from  $Z_2$ , a, b will take us from  $y_2$  to  $y_1$ ,  $y_2$  to  $x_2$  &  
from  $x_1$ ,  $x_1$  to  $x_3$ .

$$Z_4 = y_2 \text{ or } x_1 \text{ or } x_3.$$

from  $Z_3$ , a will take us from  $y_1$  to  $y_1$  or  $x_2$  to  $x_2$ .  
 $Z_3$  has an a-loop.

from  $Z_3$ , b will take us from  $y_1$  to  $y_2$  or  $y_1$  to  $x_2$  to  $x_1$ ,  
or  $x_2$  to  $x_2$ .

$$Z_5 = y_2 \text{ or } x_1 \text{ or } x_2.$$

from  $Z_4$ , a  $\rightarrow y_2$  to  $y_1$ ,  $x_1$  to  $x_2$ ,  $x_3$  to  $x_3$ .

$$Z_6 = y_1 \text{ or } x_2 \text{ or } x_3.$$

$Z_4$ , b  $\rightarrow y_1$  to  $y_2$ ,  $y_2$  to  $y_2$  to  $x_1$ ,  $x_1$  to  $x_3$ ,  $x_3$  to  $x_3$ .  
 $= Z_1$

$Z_5$ , a  $\rightarrow y_2$  to  $y_1$ ,  $x_1$  to  $x_2$ ,  $x_2$  to  $x_2$ ,  $= Z_3$ .

$Z_5$ , b  $\rightarrow y_1$  to  $y_2$ ,  $y_2$  to  $y_2$  to  $x_1$ ,  $x_1$  to  $x_3$ ,  $x_3$  to  $x_2$

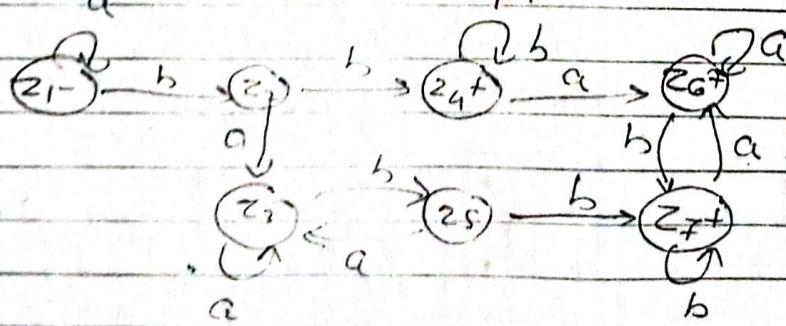
$$Z_7 = y_2 \text{ or } x_1 \text{ or } x_2 \text{ or } x_3.$$

$Z_6$ , a  $\rightarrow$  to  $Z_6$  for each of its 3 components.

b  $\rightarrow y_1$  to  $y_2$ ,  $y_1$  to  $y_2$  to  $x_1$ ,  $x_2$  to  $x_2$ ,  $x_3$  to  $x_3$   
 $= Z_7$ .

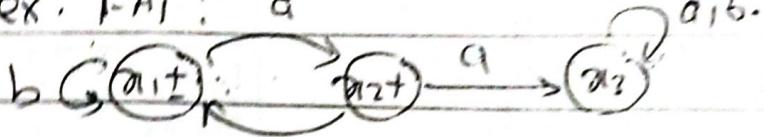
$Z_7$ , a  $\rightarrow y_1$  to  $y_1$ ,  $x_1$  to  $x_2$ ,  $x_2$  to  $x_2$ ,  $x_3$  to  $x_3$   $= Z_6$ .

$Z_7$ , b  $\rightarrow y_2$  to  $y_2$ ,  $y_2$  to  $y_2$  to  $x_1$ ,  $x_1$  to  $x_3$ ,  $x_2$  to  $x_2$ ,  
 $x_3$  to  $x_3$   $= Z_7$ .



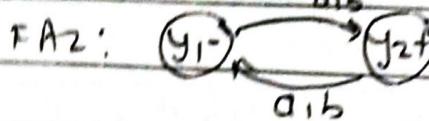
The only final states are those that contain possibility of  $x_3$ . My accepts words with  $bb$ , but it's not efficient.

Ex: FA<sub>1</sub>: a



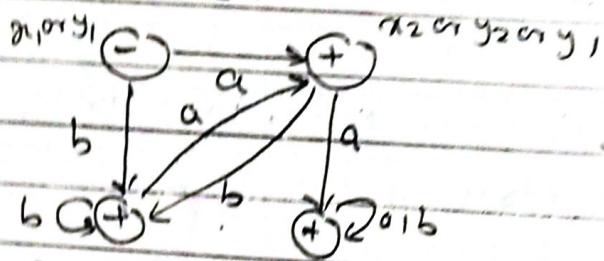
a,b.

→ words not contain  
aa



→ words with odd no of letters

FA<sub>2</sub>: L<sub>1</sub>L<sub>2</sub>:



all states except - are final  
- is left the instance if,  
letter is read & it can never  
be reentered.  
MRE accepts all words except a

H1: product lang L<sub>1</sub>L<sub>2</sub> because if w has odd  
letters, factor it as (1)(w), 1 is in L<sub>1</sub>, & w in L<sub>2</sub>.  
If it is even (not 0),

$$w = (1 \text{ letter}) (rest)$$

must be in L<sub>2</sub>.  
(can't contain aa)

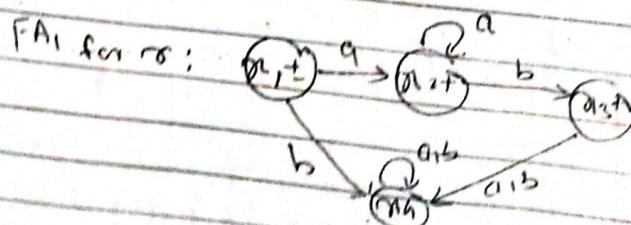
Only word 1 can't be factored into a possible, &  
a part in L<sub>2</sub>

Rule 4 -  $\gamma$  is RE, FA<sub>1</sub> accepts lang defined by  $\gamma$ , then there is  
FA<sub>2</sub> that will accept lang. defined by  $\gamma^*$ .

Proof -  $\gamma^*$  contains 1, ∵ start & final s.

ex: RE.  $\gamma = a^* + a a^* b \rightarrow$  str. of only as 2. str. of  
some as ending in b.

closure  $(a^* + a a^* b)^*$  → words in which each b has a an  
as before it: all str. without a double b that do not begin  
with b.



FA2 for  $\gamma^*$ :

$$+ z_1 = \alpha_1$$

In  $z_1$  on b, if  $\alpha_4 = z_2$

$z_1$  on a, goto  $z_3$

$$+ z_3 = \begin{cases} \alpha_2 & \text{if continue or} \\ \alpha_1 & \text{accepted a section \& go back to } \alpha_1 \end{cases}$$

$+ z_3 = \alpha_2 + \alpha_1$

While we are scanning iip string, we arrive at a break between one factor of type  $\alpha$  & another  $\beta$  factor, first ends at + & 2nd should begin at -.

• However  $\alpha$  factor does not have to stop at first +. It may terminate at  $i^{th}$  +. So this jump is only an option.

In case of product of 2 mfc's, when we hit a + on 1st mfc, we can continue on that mfc or jump to - on 2nd mfc. Here when we hit a +, we can also jump back to - (on same mfc) or ignore + status & continue or end completely.

In  $z_2$ , iip is rejected, so stay at  $z_2$ .

In  $z_3$ , on b, if  $\alpha_2$  cond?, goto  $\alpha_3$   
if  $\alpha_1$  " "  $\alpha_4$

but from  $z_3$ , we can continue or

start from scratch at  $\alpha_1$ ,

$\therefore + z_4 = \alpha_1$  or  $\alpha_2$  or  $\alpha_3$ .

$\therefore \alpha_3$  is final mfc.

In  $z_3$ , on a, if  $\alpha_2$ ,  $\alpha_2$ ,

if  $\alpha_1$ ,  $\alpha_2 \rightarrow \alpha_1$ ,

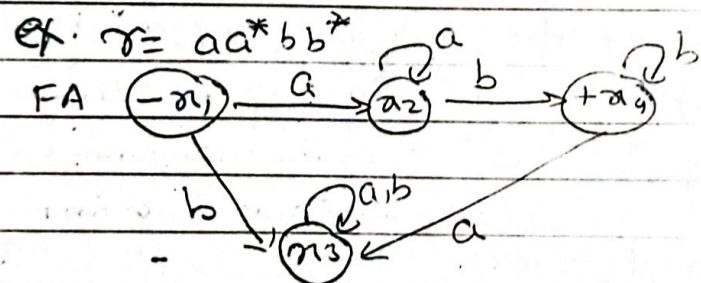
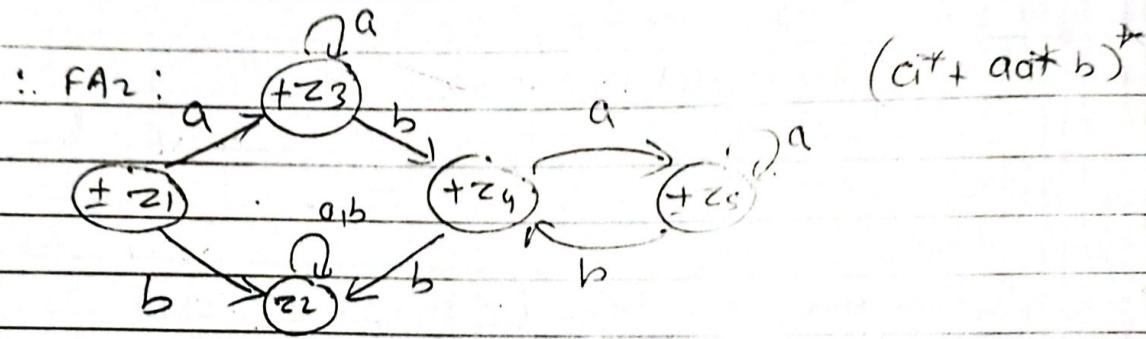
i, we return to  $z_3$ .

In  $z_4$ , on b, from  $\alpha_1, \alpha_3, \alpha_4$ , we go to  $\alpha_4$

In  $z_4$ , on  $a$ , if  $\alpha_1$  go to  $\alpha_2$   
 if  $\alpha_3$  go to  $\alpha_4$   
 if  $\alpha_4$  "  $\alpha_4$

$$+z_5 = \alpha_1 \text{ or } \alpha_2 \text{ or } \alpha_4$$

$z_5$ , on  $a$ , get to  $\alpha_1$  or  $\alpha_2$  or  $\alpha_4$  :  $z_5$   
 $b$  "  $\alpha_1 \cdot \alpha_3 \cdot \alpha_4 = z_4$



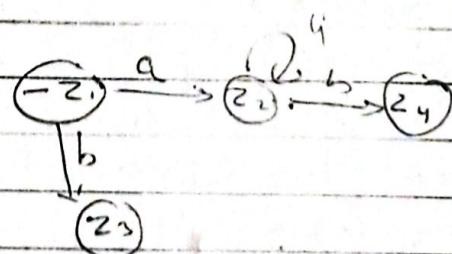
$$\gamma^* = (aa^*bb^*)^*$$

FA for  $\gamma^*$ :

$$-z_1 = \alpha_1$$

$$a \rightarrow z_2 = \alpha_2$$

$$b \rightarrow z_3 = \alpha_3$$



$$z_2 \xrightarrow{a} z_2$$

$$b \rightarrow z_4$$

$$+z_4 = \alpha_1 \text{ or } \alpha_4$$

$z_4, a \rightarrow z_3$  (if in  $\alpha_4$ )

$\alpha_2$  (if in  $\alpha_1$ )

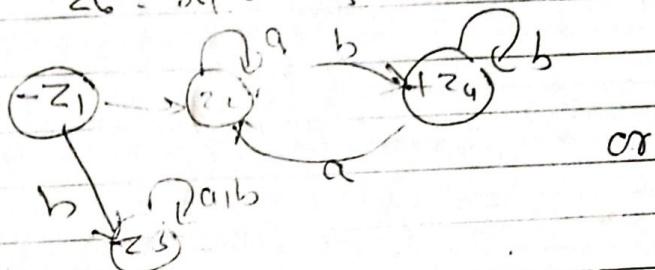
$z_5 = \alpha_2 \text{ or } \alpha_3 \rightarrow$  (optional)

but  $\alpha_3$  is worthless.

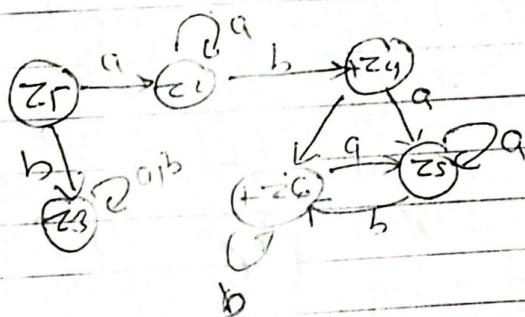
$\alpha_4, b \rightarrow \alpha_4$  (if 'in  $\alpha_4$ )

$\alpha_3 (\alpha_1)$

$\alpha_6 = \alpha_1 \text{ or } \alpha_3 \text{ or } \alpha_4$ . (optional)



or



This mle does not accept A.

$\because z_1 = \alpha_1$  is not correct. true only when  $\alpha_1$  is also a final state. Then only  $z_1$  can be a final state.

$\therefore$  we need 2 states like  $\alpha_1$ , one -  $\alpha_1$  & non final  
& other -  $\alpha_1$  & final.

Reason for nonfinal  $\beta \rightarrow$  for illp, we may be required to reenter state  $\alpha_1$  many times.

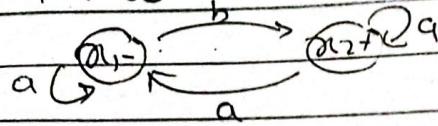
If  $\alpha_1$  is nonfinal in FA<sub>1</sub>, but we convert it to  $z_1$  which is final, then when an illp ending in  $\alpha_1$  on FA<sub>1</sub>, & is not accepted on FA<sub>1</sub>, we don't want to say that it ends in  $z_1$  which causes it to be accepted on FA<sub>2</sub>.

Above mle has no problem because  $\alpha_1$  on FA<sub>1</sub> can never be reentered (no incoming edges).

$\therefore$  here  $z_1 = \alpha_1$

$(z_1 \pm)$

Ex. FA: words with odd bs.



$$z_1 = \alpha_1 \text{ & final state}$$

$$z_1, a \rightarrow z_2$$

$$z_2 = \alpha_2 \text{ & non " "}$$



$$z_1, b \xrightarrow{t} z_2$$

$$\therefore \alpha_1 \text{ or } \alpha_2 = z_3$$

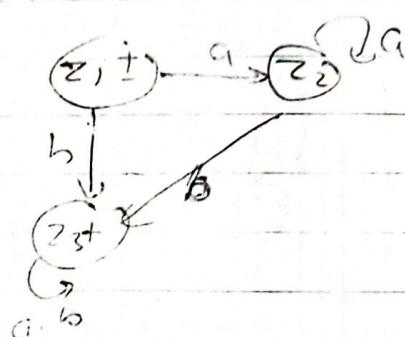


$$z_2 \rightarrow a \rightarrow z_2$$

$$b \rightarrow z_3$$

$$z_3 \rightarrow a \rightarrow z_3 \text{ if in } \alpha_1, \text{ in } \alpha_2 \\ \text{ " " } \alpha_2, \text{ " " } \alpha_2$$

$$b \rightarrow z_3 \rightarrow a_1 \rightarrow z_2 \\ a_2 \rightarrow z_1$$



Conclusion of Kleene's Thm:

All RE's have corresponding FA. While we build RE from elementary building blocks by recursive def<sup>n</sup>, we can simultaneously build corres. FA from 4 preceding algorithms. This is a powerful example of the strength of recursive def<sup>n</sup>.

Ex: To find FA for RE (ab + a\*).

RE can be built by repeated application of rules:  
letter, sym, product, star.

Process of expression & m/c building :

a is letter — FA<sub>1</sub> accepts it.

b " FA<sub>2</sub> "

ab is lang. of product of 2 m/c's — FA<sub>2</sub> = FA<sub>1</sub> FA<sub>2</sub>

a\* is lang. of closure of m/c FA<sub>1</sub> — FA<sub>3</sub> accepts it

ab + a\* is " sum of FA<sub>2</sub> & FA<sub>3</sub> — FA<sub>4</sub> accepts it.  
done.

Thus every lang. accepted by an FA can be accepted by TG, " " " " TG " defnd by RE & " defnd by RE can be accepted by FA.

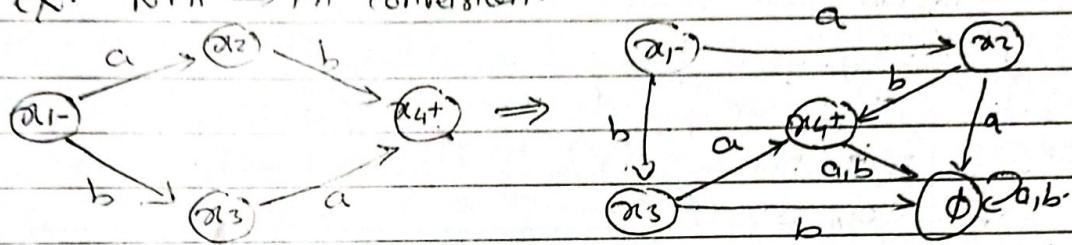
∴ RE, FA, TG are eqvt

TG's seem more understandable, we use it often instead of FA's (having to specify what happens in every state to every letter).

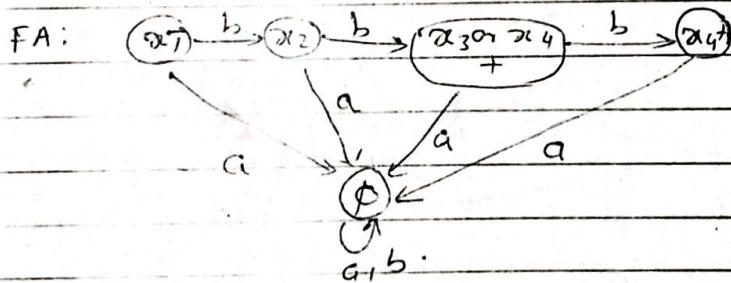
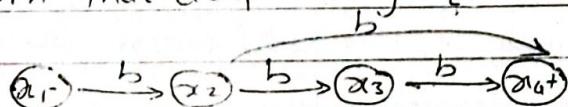
This are not more powerful than FAs, there are no extra lang. that TGs can accept that FAs could not handle already.

There are some lang. that FA's can't accept (we need more powerful type of mc than a TG to deal with them).

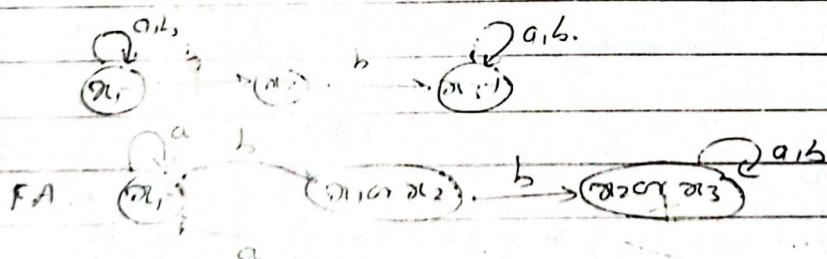
*(conversion part)*  
ex. NFA  $\rightarrow$  FA conversion.



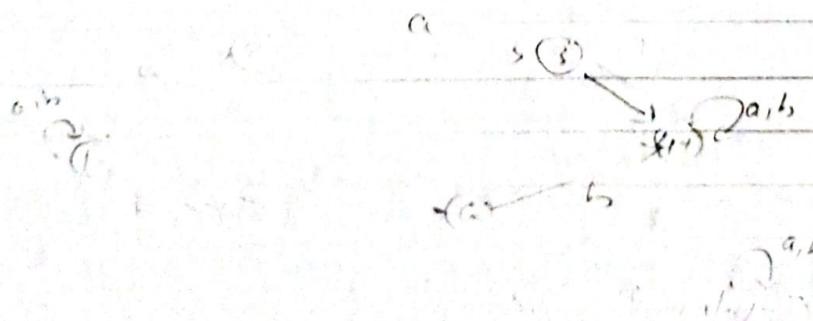
ex. NFA that accepts lang.  $\{bb, bbb\}$



ex. NFA - it's with bb in them.



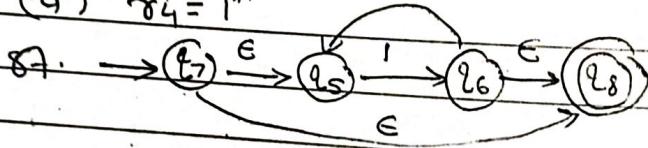
ex. NFA has a/b triple letter.



Ex: construct NFA for RL  $01^* + 1$

$$\begin{aligned} \rightarrow 01^* + 1 &= (\varphi(1^*)) + 1 \\ &= \gamma_1 + \gamma_2 \\ &= \gamma_3 \gamma_4 + \gamma_2 \\ \gamma_3 = 0, \gamma_4 = 1^* \end{aligned}$$

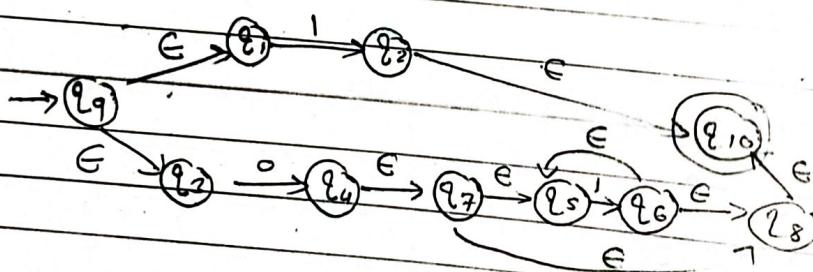
(a)  $\gamma_4 = 1^*$



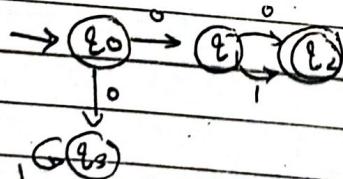
(b)  $\gamma_1 = 01^*$



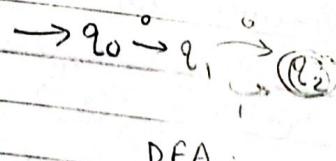
(c)  $\gamma = 01^* + 1$



→ Power of FA & NFA is same -



NFA



DFA

$L = \{00, 01\}$

## Ch. 7 Kleene's Theorem (Contd.)

### Nondeterministic Finite Automata (NFA)

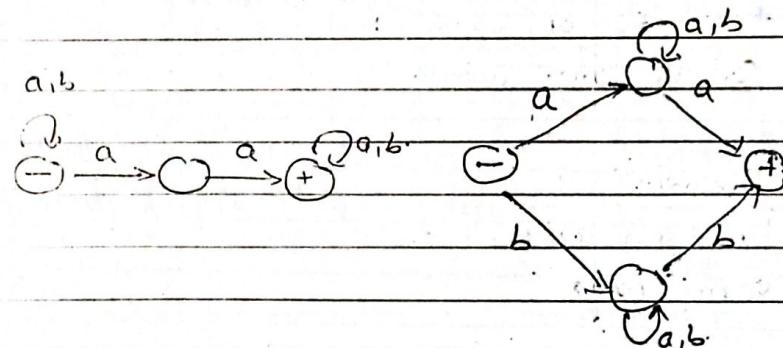
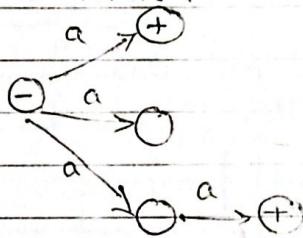
MC that occurs in practice more frequently than TG,  
but that shares with it the property of being nondeterministic.

✓ Def<sup>n</sup> NFA is a TG with a unique start state with the property that each of its edge labels is a single alphabet letter.

Adv: [Regular deterministic finite automata are referred to as p. 70 DFA].

✓ Scope of FA is expanded by allowing arbitrariness: many edges coming out of each state.  
I/P str is accepted if there exists any one possible path to +.

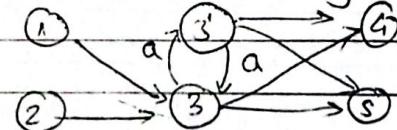
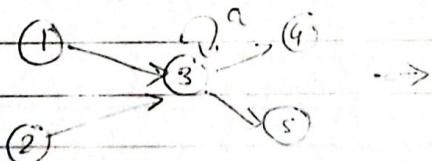
Ex: NFAs:



NFAs were invented by Rabin & Scott in 1959.

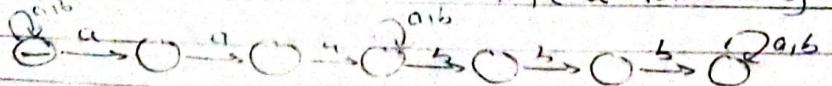
Ex: One possible use of NFA is to eliminate all loop states in a given FA.

Use twin for 3, 3' & jump betw 3 & 3'.



Adv: The fact of whether looping in state 3 occurs for given i/p is recorded in whether the path followed by i/p goes thro' state 3' or not. In comp. prog., state 3' may set a flag alerting one to the incidence of looping.

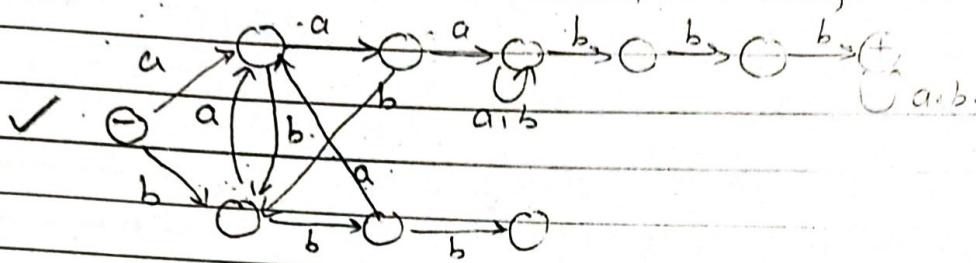
Ex: NFA accepts str with a triple a followed by a triple b,



Here some abb can occur before the first aaa (by looping).

at  $\rightarrow$  & then has another  $bbb$  lecture.

1b first triple  $b$  has to be preceded by a triple  $aa$ ,



Because NFA is a type of TG & TG can be converted into FAs by Kleene's thm, i.e. all NFAs can be converted into FAs that accept same lang.

all FAs can be considered NFAs that do not make use of the option of extra freedom of edge production.

So as lang. acceptors, NFA = FA.

Theorem 7  $\rightarrow$

For every NFA, there is some FA that accepts exactly the same lang.

Proof 1 - convert NFA into RE by state bypass oper.  
From RE, construct FA using Kleene's thm.

Proof 2 -

power of FA & NFA

## NFA to DFA conversion -

Ex. NFA state-table :

$Q$	$\Sigma$	0	1
$q_0$	$\{q_0, q_1\}$	$\{q_2\}$	
$q_1$	$\emptyset$		$\{q_0, q_1\}$

$$M = (\{q_0, q_1\}, \{0, 1\}, S, q_0, \{q_2\}).$$

$$\text{DFA: } M' = (Q', \Sigma, \delta', S', F').$$

$$Q' = \{\emptyset, \{q_0\}, \{q_2\}, \{q_0, q_1\}\}.$$

$$Q' = Q^2, \text{ use } \square \text{ to denote states in DFA.}$$

$$\therefore Q' = \{\{q_0\}, \{q_1\}, \{q_0, q_1\}\}.$$

$\emptyset$  doesn't denote any states of given NFA.

Find  $\delta'$  i.e. transitions from every state  $Q'$  on both 0 & 1. From state-table of given NFA we can write.

$$\delta'(\{q_0\}, 0) = \{q_0, q_1\}$$

$$\delta'(\{q_0\}, 1) = \{q_2\}$$

$$\delta'(\{q_2\}, 0) = \emptyset$$

$$1 = \{q_0, q_1\}$$

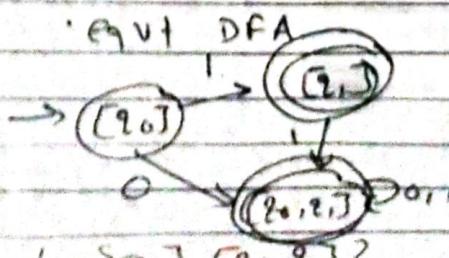
$$\delta'(\{q_0, q_1\}, 0) = [\delta'(q_0, 0) \cup \delta'(q_1, 0)]$$

$$= [\{q_0, q_1\} \cup \emptyset] = \{q_0, q_1\}$$

$$\begin{aligned} \delta'(\{q_0, q_1\}, 1) &= [\delta'(q_0, 1) \cup \delta'(q_1, 1)] \\ &= [\{q_2\} \cup \{q_0, q_1\}] \\ &= \{q_0, q_1, q_2\} \end{aligned}$$

DFA State-table

$Q'$	$\Sigma$	0	1
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_1\}$	
$\{q_2\}$			$\{q_0, q_1\}$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_1, q_2\}$



Rejected state symbols :

A: [q<sub>0</sub>], B: [q<sub>1</sub>], C: [q<sub>0</sub>, q<sub>1</sub>].

DFA : Q'	\Sigma	O/
$\delta'$ : A	C	B
B	-	C
C	C	C

$$Q' = \{A, B, C\}$$

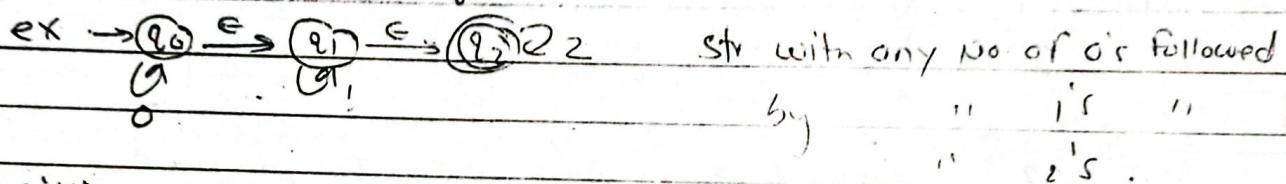
$$\Sigma = \{a, c\}$$

$$q_0 = A$$

$$F' = \{B, C\}.$$

NFA with  $\epsilon$ -moves/transitions -

$$\delta : Q \times (\Sigma \cup \{\epsilon\}) \rightarrow {}^2 Q.$$



difficult to draw FA, NFA.

State table for

above NFA with  $\epsilon$ -moves

Q	\Sigma \cup \{\epsilon\}	0	1	2	\epsilon
q <sub>0</sub>	{q <sub>0</sub> }	q <sub>1</sub>	q <sub>2</sub>	q <sub>2</sub>	{q <sub>2</sub> , q <sub>3</sub> }
q <sub>1</sub>	q <sub>1</sub>	{q <sub>1</sub> , q <sub>2</sub> }	q <sub>2</sub>	q <sub>2</sub>	{q <sub>2</sub> , q <sub>3</sub> }
q <sub>2</sub>	q <sub>2</sub>	q <sub>2</sub>	{q <sub>2</sub> }	q <sub>2</sub>	q <sub>2</sub>

pls refer to Xerox pages for -

conversion from NFA with  $\epsilon$ -moves to NFA w/o  $\epsilon$ -moves

& to DFA.