CS 3510 — Algorithms, Fall 2022

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Lecture 5: Modular Arithmetic

January 25, 2022

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Homework 2 (1B) is out and due on Saturday. Further, I also posted an announcement on how to properly format an algorithm for homeworks.

Modular Arithmetic

What is 2 + 2? Pretty easy, it's obviously $1 \pmod{3}$. Modulo simply means the remainder after dividing two numbers.

$$a \pmod{b}$$

is equal to the remainder of a after dividing it by b. For example, $37 \pmod{5} = 2$ since $37 = (7 \cdot 5) + 2$. A popular example of modulo arithmetic is clocks where the 24-hour time format is $\pmod{24}$.

A more formal definition for this class is talking about a and b in the modulo classes. In notation, we write

$$a \equiv b \pmod{n}$$
 or $a \equiv_N b$

if a and b are part of the same modulo class. For example:

$$-2 \equiv_3 1 \equiv_3 4 \equiv_3 7 \equiv_3 10$$
 or $-1 \equiv_3 2 \equiv_3 5 \equiv_3 8$

In the left, all numbers are $1 \pmod{3}$ and in the second, they are $2 \pmod{3}$

If $x \equiv_N x'$ and $y \equiv_N y'$, then the following are true:

1.
$$x + y \equiv_N x' + y'$$

2.
$$xy \equiv_N x'y'$$

3.
$$x^c \equiv_N (x')^c$$

These operations are useful, as you can compute calculations such as $321 \cdot 165 \pmod{160}$ where you could naively multiply 321 and 165 first and then apply the modulo or you could take the modulo first and only need to multiply $321 \pmod{160} \cdot 165 \pmod{160} \equiv 1 \cdot 5 \pmod{160} \equiv 5$

Similarly, you could compute $2^{210} \pmod{31}$ by doing:

$$2^{210} \equiv_{31} (2^5)^{42} \equiv_{31} (32)^{42} \equiv_{31} 1^{42} \equiv_{31} 1$$

1 Modular Arithmetic Algorithms

What is the time complexity for the mod operation? We can write a division algorithm that returns the quotient and remainder written as:

```
1 Function Div (x, y):
        if x = 0 then
         return 0, 0
 3
        q,r \leftarrow \operatorname{Div}\left(\left\lfloor \frac{x}{2} \right\rfloor,y\right)
        q, r \leftarrow 2q, 2r
 5
        if x is odd then
 6
        \mid r = r + 1 // error when flooring x/2
 7
        /\star~r>y means remainder overflowed divisor
                                                                                           */
        if r > y then
            q=q+1\,// an additional copy of y fits in x
         r=r-y // reduce remainder by overflow amount
10
        return q, r
11
```

For this algorithm, the doubling of q, r are O(1) and all other operations are O(n) as we could have an n long carry when adding numbers together (q = q + 1, r = r - y, etc). Hence, the non-recursive work is O(n)

The recursive call has a size of n-1 since we divide x by 2 which removes a bit meaning the recurrence relation is:

$$T(n) = T(n-1) + O(n) = O(n^2)$$

This is $O(n^2)$ because there are roughly n calls with roughly O(n).

1.1 Addition under Modulo

What is the time complexity for addmod (addition under a modulo)? If we assume that we are given inputs x, y that are both already under \pmod{n} , then we don't need to worry about modding them again.

Hence, we can simply perform (x + y) mod N which takes O(n) time and since x < N, y < N, then x + y < 2N so if $x + y \ge N$, we can subtract N.

In total, O(n) for addition, O(n) for comparision (subtraction and determining sign), gives a total of O(n) runtime for addition with mod.

1.2 Multiplication under Modulo

What is the time complexity for multmod (multiplication under a modulo)? Under the same assumptions as above: $xy \pmod{n}$ requires xy multiplication which can take $O(n^{\log_2 3})$ and then computing the modulo takes $O(n^2)$ making multiplication under modulo an $O(n^2)$ operation.

1.3 Exponentiation under Modulo

What is the time complexity for modexp (exponentiation under modulo)? A bad implementation for this could be to multiply:

$$x \pmod{N}$$

$$x^2 \pmod{N}$$

$$x^3 \pmod{N}$$

$$\vdots$$

$$x^y \pmod{N}$$

and multiply them together which is a total of y multiplications, giving you $O(2^n)$ multiplications (which is very bad). Rather, we need to compute x taken to a power 2^i for multiple values i.

An alternative method can be constructed after realizing that any number x^y can be written as $x^{2^ny_n+\cdots+2^1y_1+2^0y_0}$ where y_i are the bits of y. Due to exponentiation

rules, this is equivelant to:

$$x^{2^{n}y_{n}+\cdots+2^{1}y_{1}+2^{0}y_{0}} = x^{2^{n}y_{n}}\cdots x^{2^{1}y_{1}}\cdot x^{2^{0}y_{0}}$$

Since these constructions are equivelant, we only need to compute x^{2^i} for $i \in [0, n-1]$ as any exponentiated number can be reconstructed with these n numbers. How long does this method take? Since we need to multiply x^{2^i} for $i \in [0, n-1]$, we are doing n multiplications which each take $O(n^2)$ giving a runtime of $O(n^3)$ to compute our table of exponentiated x.

The $O(n^2)$ multiplication comes from the fact that when squaring the number, there is a possibility of overflowing under modulo requiring us to call the mod algorithm again (with runtime $O(n^2)$).

Then, to reconstruct x^y , y can have as many n bits potentially requiring n total multiplications which also has a runtime of $O(n^3)$. Hence, the modexp algorithm is $O(n^3) + O(n^3) = O(n^3)$.

As an example, let's compute $7^{25} \pmod{23}$ and since $25 = (11001)_2$ then, we need to compute $2^{2^0}, 2^{2^1}, 2^{2^2}, 2^{2^3}, 2^{2^4}$ all under $\pmod{23}$:

$$7^{2^{0}} = 7^{1} = 7$$

$$7^{2^{1}} = 7^{2} = 49 \equiv_{23} 3$$

$$7^{2^{2}} = 7^{2} \cdot 7^{2} \equiv_{23} 3 \cdot 3 \equiv_{23} 9$$

$$7^{2^{3}} = (7^{2^{2}})^{2} \equiv_{23} 9^{2} \equiv_{23} 12$$

$$7^{2^{4}} = (7^{2^{3}})^{2} \equiv_{23} 12^{2} \equiv_{23} 6$$

Hence, we can combine these together to compute 7^{25} as:

$$7^{25} = 7^{2^4 + 2^3 = 2^0} = 7^{2^4} 7^{2^3} 7^{2^0} \equiv_{23} 6 \cdot 12 \cdot 7 \equiv_{23} 504 \equiv_{23} 21$$

meaning we can reach our final conclusion of $7^{25} \equiv 21 \pmod{23}$.

1.4 Division under Modulo

What is the time complexity of divmod (division under modulo)? Since fractions don't exist within modular arithmetic, this becomes slightly complicated. For example, how can we solve $5x \equiv_7 3$? By inspection, we can find x = 2 would give $10 \equiv_7 3$ which is true.

More precisely, if we can find some number $a \cdot 5 = 1$, then we say a is the multiplicative inverse of $5 \pmod{7}$. In this case, a = 3 as $3 \cdot 5 = 15 \equiv_7 1$.

The multiplicative inverse is powerful because:

$$5x \equiv_7 3$$
$$3(5x) \equiv_7 3 \cdot 3$$
$$15x \equiv_7 9$$
$$x \equiv_7 2$$

which is a more robust way of finding that x = 2. But, how did we find the multiplicative inverse (the value a from above)? It doesn't always exist!

Theorem. x only has a multiplicative inverse modulo N if gcd(x, N) = 1

In other words, the inverse only exists if x and N are relatively prime.

2 Greatest Common Denominator

gcd(a, b) is the largest integer that divides both a and b. How do we find it?

One way is to factor both numbers and pick the largest factors:

$$gcd(24,54) = gcd(3 \cdot 2 \cdot 2 \cdot 2, 3 \cdot 3 \cdot 3 \cdot 2) = 3 \cdot 2 = 6$$

We picked out $3 \cdot 2$ because both numbers had $3 \cdot 2$ as multiplied factors. However, this method relies on factoring which is an extremely slow operation, so rather: we use the Euclidean Algorithm!

2.1 Euclidean Algorithm

```
1 Function gcd(x, y):
2 | if y = 0 then
3 | return x
4 | return gcd(y, x \pmod{y})
```

Performing $x \pmod y$ takes $O(n^2)$ time so the non-recursive work is $O(n^2)$ and we make a single recursive call with size n-1 because after two calls of this function, the input goes from $(x,y) \to (x,x \pmod y) \to (x \pmod y),\cdots)$ and $x \pmod y$ is bounded by x/2 meaning we have reduced the number of bits by 1 giving a final running time recurrence relation of

$$T(n) = T(n-1) + O(n^2) = O(n^3)$$

This is $O(n^3)$ as we are making roughly n total calls with $O(n^2)$ work per call giving a total of $nO(n^2) = O(n^3)$.

An interesting fact regarding GCDs is that we can always write gcd(x, y) as a linear combination of x and y:

$$gcd(x,y) = ax + by$$

But, what is the important of this form? From an above theorem, a multiplicative inverse only exists for $x \pmod{N}$ if gcd(x, N) = 1.

This form allows us to say:gcd(x, N) = 1 then 1 = ax + bN.

$$gcd(x, N) = 1 \implies 1 = ax + bN \implies 1 \pmod{n} = (ax + bN) \pmod{N}$$

 $\implies ax \equiv 1 \pmod{n}$

Hence, if there exists an algorithm to find values a, b such that gcd(x, y) = ax + by then we can find the multiplicative inverse if x and N are relatively prime.

The algorithm to find these values is known as the Extended Euclidean algorithm:

```
1 Function extgcd (x,y):
2 | if y = 0 then
3 | ___ return x, 1, 0
4 | d, a', b' \leftarrow \text{extgcd}(y, x \pmod{y})
5 | a'', b'' \leftarrow b', a' - \left\lfloor \frac{x}{y} \right\rfloor \cdot b'
6 | return d, a'', b''
```

The runtime of the non-recursive work is $O(n^2)$ as we are computing $x \pmod y$ and are performing a recursion with size n-1 giving a recurrence relation that is the same as the Euclidean algorithm:

$$T(n) = T(n-1) + O(n^2) = O(n^3)$$