

Annexure II

Reporting Format for Category III AIFs which undertake leverage

General information		
1	Name of the AIF	
2	Registration number	
3	Name of the manager	
4	Name of the sponsor	
5	Name of the Trustee	
6	Name of custodian	
7	Name of contact person	
8	Email address of contact person	

B Report upto/as at the end of month ended _____, Year

1. Brief details of the Fund (Scheme-wise) (All figures in Rs. Crore)														
Name of Scheme	Target Corpus	Total corpus as on date	Investible funds as on date	Open ended/ Closed ended	Cumulative funds raised under the scheme					Cumulative Investments made under the scheme				Tenure of the scheme (in years)
					At the beginning of the quarter	Additions during the quarter	Redemptions during the quarter	Temporary funding by borrowing ⁴	At the end of the quarter	At the beginning of the quarter	Additions during the quarter	Divestments during the quarter	At the end of the quarter	
					(a)	(b)	(c)	(d)	(a+b-c+d)	(a)	(b)	(c)	(a+b-c)	

⁴ Include only temporary borrowings which are fully covered by capital commitments. Do not include other borrowings.

2. Exposure by the AIF as at the end of month (in Rs. Crore) (Scheme-wise)										
Name of the scheme	In listed equity and ETFs	Long Futures	Short futures	Long calls	Short calls	Long puts	Short puts	Cash & cash equivalents	Others	Gross Total (Sum of all exposures)

3. Leverage undertaken by the AIF (Scheme-wise)(as at the end of the month)							
Name of the scheme	NAV (in Rs. crore) (1)	Gross Long positions (in Rs. Crore) (2)	Gross Short positions (in Rs. Crore) (3)	Gross leverage (No. of times) $\{(2)+(3)\}/(1)$	Exposure after offsetting transactions for hedging and portfolio rebalancing (in Rs. Crore) (4)	Leverage after offsetting transactions for hedging and portfolio rebalancing (Number of times) (4) / (1)	Total borrowing as at end of the month (in Rs. crore)

4. Leverage as reported to custodian on daily basis (Leverage after offsetting transactions for hedging and portfolio rebalancing) (Number of times)				
Leverage as on the date of the month as reported to the custodian (E.g. If date is 1 for month of April 2013, enter leverage as on April 1, 2013)	Scheme 1	Scheme 2	Scheme 3	Scheme 4,...
1				
2				
3				
4,...				

5. Maximum Investment made in a single investee company (Scheme-wise)

Name of the Scheme	Name of the investee company	Amount invested (in Rs. Cr)	Amount of investment as a % of total investible funds

6. Investment in associates, if any (Scheme-wise)

Name of the Scheme	Name of the associate	Nature of association	Cumulative net amount invested as at the end of the quarter (in Rs. Crore)

7. Categories of investors

Name of the scheme	Individual (Resident)		Corporate (Resident)		Foreign investors		Others	
	Number of investors	Amount raised from investors (in Rs. Crore)	Number of investors	Amount raised from investors (in Rs. Crore)	Number of investors	Amount raised from investors (in Rs. Crore)	Number of investors	Amount raised from investors (in Rs. Crore)