

# Thresholding Method for simulating the alternate KWC model Code User Manual

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## 1 Introduction

This is the user manual for illustrating details of the numerical scheme suggested in the white paper. The code solves simulate the grain growth described by KWC (Kobayashi–Warren–Carter) model [1, 2, 3]

The material free energy density of the KWC model  $\mathcal{W}_{\text{kwc}}$  is defined by two field variable: the crystal-order phase parameter  $\eta$  and the orientation phase parameter  $\theta$ . The free energy of the alternate KWC on domain  $\Omega$  is

$$\mathcal{W}[\eta, \theta] = \int_{\Omega} \left[ \frac{(1-\eta)^2}{2\epsilon} + \frac{\epsilon}{2} |\nabla \phi|^2 + sg(\eta) \mathcal{J}(\llbracket \theta \rrbracket) \delta(x - x_0) \right] dV. \quad (1)$$

where  $\delta$  is the dirac-delta function,  $\llbracket \theta \rrbracket$  the jump of  $\theta$ ,  $x_0$  the position of grain boundary, and  $\mathcal{J}(\llbracket \theta \rrbracket) : \mathbb{R}^+ \rightarrow \mathbb{R}^+$  is the non-diffused part of grain boundary energy function.<sup>1</sup> We evolve a given polycrystal by iteratively solving the two optimization problems:

$$\eta^{k+1} = \arg \min_{\eta} \mathcal{W}[\eta, \theta^k] \quad (2)$$

$$\theta^{k+1} = \arg \min_{\theta} \mathcal{W}[\eta^{k+1}, \theta] \quad (3)$$

We employ separate numerical method for problem: we use the Primal-dual algorithm [4, 5], for the first  $\eta$ -sub problem and thresholding method for the second  $\theta$ -sub problem. The resulting solution is motion by curvature with anisotropic grain boundary energy<sup>2</sup>.

## 2 Procedures

The code is developed as C++ header-template library, which a user can freely refer. C++ Function & Class are categorized into different header files based on their task. These Functions & Classes can be replaced by a user-defined form, as long as the input & output format is consistent. See the list of header files in Table 1.

To simulate an evolution of a polycrystal, a user first needs to define initial crystal on a regular rectangular domain. Several initial grain configuration such as bicrystal, tricrystal, and randomly distributed polycrystal can be easily constructed using a function defined in `InitCrystal.h`. Next,

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<sup>1</sup>In our approach, the total grain boundary energy of KWC model  $\gamma_{\text{kwc}}$  has two parts. The contribution from  $\eta$  and its gradients appears as diffused energy over some finite length scale, and the contribution from  $\mathcal{J}$  remain sharp.

<sup>2</sup>Here, the “anisotropic” does not include GB plane inclination dependence.



Header file	Contents
<b>InitCrystal.h</b>	Functions that set the initial condition of simulation, i.e. initialize $\theta(x)$
<b>DataOut.h</b>	Functions related to output solution for visualization
<b>Material.h</b>	A class that defines GB energy $\mathcal{J}(\llbracket\theta\rrbracket)$ of different types of material
<b>PostProcessor</b>	Functions that compute the KWC free energy of polycrystal
<b>PrimalDual.h</b>	A class that solves $\eta$ -sub problem at a given $\theta(x)$ configuration
<b>KWCThresholding.h</b>	A class that solves $\theta$ -sub problem at a given $\eta(x)$ configuration
<b>KWCJumpFunction.h</b>	A class that design $\mathcal{J}$ from a provided external GB data
<b>Metrics.h</b>	A list of simple mathematical functions

Table 1: Table of header files

a user is required to define the jump function  $\mathcal{J}(\llbracket\theta\rrbracket)$ . The **Material** class defined in **Material.h** is useful for defining  $\mathcal{J}$ . In the following, the **PrimalDual** class and **KWCThreshold** class should be constructed to solve  $\eta$ -sub problem (2) and  $\theta$ -sub problem (3). After these classes are constructed, simulation will run until it reaches to a given final time. The flow chart of the overall algorithm is re-summarized in Figure 1.

### 3 An example code

Now, we will look into an example code of **KWC\_Polycrystal.cpp** in the folder **E5\_Polycrystal\_110STGB**. This code simulates grain boundary motion under given  $\mathcal{J}(\llbracket\theta\rrbracket) = \llbracket\theta\rrbracket$  fitted for FCC [110] symmetric tilt grain boundary energy. In the following, we use bullet points to indicate the purpose of each part.

- First, we begin by listing the required header files

```
#include <stdlib.h>
#include <math.h>
#include <string.h>
#include <time.h>
#include <float.h>
#include <stdio.h>
#include <assert.h>
#include <iostream>
#include <vector>

//Relevant Library
#include "DataOut.h"
#include "InitCrystal.h"
#include "PostProcessor.h"
#include "PrimalDual.h"
#include "KWCThresholding.h"
```

- Then, in the **main** function, we define domain size and declare global variables

```

int main(int argc, char *argv[]){

    //Read global variables from bash
    //Define grid size and set model parameter epsilon
    int n1=atoi(argv[1]);
    int n2=atoi(argv[2]);
    int n3=atoi(argv[3]);
    double epsilon=atof(argv[4]);

    int const DIM=3;

    //const unsigned int lcount=2;
    const unsigned int lcount=50;

    int pcount = n1*n2*n3;
    double dt= epsilon * epsilon; //initial choice of dt

    //double init_epsilon;

    double *Xangles = new double[lcount]();
    double *Yangles = new double[lcount]();
    double *Zangles = new double[lcount]();
    double *eta = new double[pcount]();
    int *labels = new int[pcount]();
    double *JField = new double[pcount]();

    int Nthread = 1; //Number total thread to be used for FFTW

```

- Then, we construct the initial polycrystal

```

double maxZangle = 70.0* M_PI/180.0;
InitializeCrystal::RandomCrystalConfiguration2D(n3,n2,n1,lcount,labels,
    maxZangle, Xangles, Yangles, Zangles);

```

We note here that other crystal configurations can also be found in `InitCrystal.h` header file. A user can also define new crystal configuration independently for one's own needs.

- Next, we construct a material class which calculates  $\mathcal{J}$

```

char materialType='C';
Material material(n3,n2,n1,materialType);

//Designate the location of Jump function data
if(materialType=='C') {
    int dataNum=361;
    material.setCovarianceModel(dataNum, "inputs/jfun_cu_110.txt");
}

```

Here, we choose the material type 'C', which stands for the material, of which grain boundary energy  $\mathcal{J}$  is described by the covariance model [6, 7]. Then, we provide the information of  $\mathcal{J}$  by

indicating the external data file. On the other hand, if a use want to simulate the original KWC model, it can be done by simply designating the `materialType` as 'S'.

- Construct numerical algorithm C++ classes and link global variables

```
//Construct PD Algorithm class
double PDerror=1e-6; // tolerance of Primal-dual algorithm
int PDmaxIters=10000; // allowable iteration number of the algorithm

PrimalDual<DIM> EtaSubProblem(n3, n2, n1, PDerror, PDmaxIters,
                             lcount, epsilon, Nthread);

//Link pointers of Global variables to the Primal-Dual algorithm class

EtaSubProblem.setUpClass(eta, Xangles, Yangles, Zangles,
labels, energyField, materialType);

double initThresCriteria = 2*epsilon ;
KWCThreshold<DIM> FastMarching(n3,n2,n1,lcount,initThresCriteria);
FastMarching.setUpClass(eta, Xangles, Yangles, Zangles, labels, JField, 'P');
```

Primal-dual class requires additional inputs for maximum allowable iteration counts and stopping criteria. Thresholding class requires the criteria for identifying the interior regions of grains. The code will identify the interior grains where  $\mathcal{J}(\theta) < \text{initThreCrietria}$ .

- Now, we are finally ready to run the simulation

```
for (int i =0 ; i<20 ; i++)
{
    std::cout << "    " << i << "time-step begins...." << std::endl;

    material.calculateFieldJ('P');
    EtaSubProblem.run(epsilon);
    FastMarching.run(epsilon);
}
```

This is the end of `KWC_Polycrystal.cpp`.

## 4 Environment and Execution

In this section, we discuss required system environment and how to execute the code.

The current code is has been tested with and has been tested with a g++ compiler. Because the Primal-dual algorithm necessitates the use of Fast Fourier Transform (FFT) and inverse FFT, we borrow relevant libraries from FFTW3 library. We suggest to use a 'makefile' tool to export these environment variables, an example format of which is as follow

```

IDIR += -I ../.. / include / KWC_Simulation
IDIR += -I ../.. / Eigen
CC= g++
CFLAGS += -Ofast
CFLAGS += -std=c++14
CFLAGS += -lm
CFLAGS += -lfftw3_threads
CFLAGS += -lfftw3
program :
    $(CC) KWC_polycrystal.cpp -o main $(CFLAGS) $(IDIR)

```

For data visualization, we use **ffmpeg** libraries and **Paraview**. Yet, those two are optional.

Once an implementation code `.cpp` is successfully compiled, it will create an executable, Say that it is ‘main’. The executable can be run with following arguments, which stands for size of computational domain  $N_x, N_y, N_z$ , and  $\epsilon$  value.

```
./main 512 512 1 0.05
```

## 5 Additional Features

I am working on it...

## References

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