

Screening ID

KYC ID

Date:

मिति: 2018-10-14

Account Number

खाता नं:

0010001836CA

Client ID

ग्राहक आईडी

Account Holder's Name:

खातावालाको नाम:

CHANDRA PSD SHRESTHA

PAN No.:

स्थायी लेखा नं:

500020728

Date of Birth:

जन्म मिति:

2023/04/22

Citizenship / ID No.:

नागरिकता/परिचय पत्र नं:

1670/2674

Issuing Office & Date:

जारी गर्ने जिल्ला/मिति:

KATHMANDU

Gender:

लिंग

MALE

Passport No.:

साहदानी नं:

Issuing Office & Date:

जारी गर्ने जिल्ला/मिति:

2039/06/04

Nationality:

राष्ट्रियता

NEPALI

Passport Expiry Date:

साहदानी समाप्ति मिति:

Phone No.:

फोन नं:

Marital Status:

वैवाहिक स्थिति:

MARRIED

Mobile No.:

मोबाइल नं:

9851041220

Occupation:

पेशा:

AUDITING

E mail:

ईमेल:

cps.shrestha@hotmail.com

PO Box:

पोस्ट बक्स नं:

7328

Present Address (हालको ठेगाना)

Ward No.:

वडा नं:

16

Toile:

टोल:

NAYABAZAR

House No.:

घर नं:

1280

District:

जिल्ला:

KATHMANDU

Province No.:

प्रदेश नं:

3

Permanent Address (स्थायी ठेगाना)

Ward No.:

वडा नं:

3

Toile:

टोल:

BUDHANILKANTHA MUNICIPALITY

House No.:

घर नं:

District:

जिल्ला:

KATHMANDU

Province No.:

प्रदेश नं:

3

In case of Non Residence (गैर आवासीय नेपाली मयमा)

NRN ID (If applicable):

गैर आवासीय परिचयपत्र (भएमा)

Foreign Address:

वैदेशिक ठेगाना:

Country:

देश:

City/State:

शहर/प्रान्त:

Contact No.:

सम्पर्क ठेगाना:

Type of Visa:

मिसाको प्रकार:

Visa Expiry Date:

मिसा समाप्ति मिति:

Beneficial Owner (हिताधिकारी)

If Yes (यदि छ भने),

Beneficial Owner Name:

हिताधिकारीको नाम:

Citizenship No.:

नागरिकता नं:

Address:

ठेगाना:

Relation:

नाता:

Contact No.:

सम्पर्क नं:

☐ Yes

☐ No

☐ छ

☐ छैन

Family Members (परिवारका सदस्यहरू)

SN	Relation	Name & Surname	Citizenship No.	Issuing Office	Date of issue
क्र.सं.	सम्बन्ध	नाम र थर	नागरिकता नं.	जारी गर्ने कार्यालय	जारी मिति
1	Spouse (पति/पत्नी)	SARALA SHRESTHA	2460/7453	KATH	2051/11/23
2	Father (बुबा)	MADHAV PSD SHRESTHA			
3	Mother (आमा)	RADHA DEVI SHRESTHA			
4	Grandfather (हजुरबुबा)	GANESHLAL SHRESTHA			
5	Grandmother (हजुरआमा)	RATNA MAYA SHRESTHA			
6	Son 1 (छोरा १)	SICHO SHRESTHA			
	Son 2 (छोरा २)	SOCHIT SHRESTHA			
7	Daughter 1 (छोरी १)				
	Daughter 2 (छोरी २)				
8	Daughter in Law (बुहारी)				
	(son's wife)				
9	Father in Law (ससुरा)				
	(of married women)				

Occupation/Business (पेशा/व्यवसाय)

SN	Name of Firm/Company/Office	Address	Web Site	Post	Expected Annual Income
क्र.सं.	संस्थाको नाम	ठेगाना	वेबसाइट	पद	अनुमानित वार्षिक आय
1	C P Shrestha & Company	NAYABAZAR	-	PROPRIETOR	20,00,000/-
2					
3					
4					

Are you civil servant/high position/politician/Relatives of politician?

के तपाईं राष्ट्रसेवक/उच्च पदाधिकारी/राजनीतिज्ञ/राजनीतिज्ञको नातेदार हुनुहुन्छ हो?

☐ Yes

☒ No

Expected Monthly Turnover:

अनुमानित मासिक कारोबार रकम:

☒ Less than 5 Lakhs

☐ ५ लाख भन्दा कम

☐ Less than 50 Lakhs

☐ ५० लाख भन्दा कम

☐ More than 50 Lakhs

☐ ५० लाख भन्दा बढी

Expected Monthly No. of Transaction:

अनुमानित मासिक कारोबार संख्या:

☒ Less than 15

☐ १५ भन्दा कम

☐ Less than 25

☐ २५ भन्दा कम

☐ More than 25

☐ २५ भन्दा बढी

Purpose of Account:

खाताको उद्देश्य:

☐ Remittance

विशेषण

☐ Savings

बचत

☒ Business

व्यापार

☐ Others

अन्य

Source of fund

आयको स्रोत

☐ Salary

तलब

☐ Remittance

विशेषण

☐ Investment

लगानी

☐ Sale of Asset

सम्पत्ती विक्रि

☐ Rental Income

घर भाडा

☒ Business

व्यापार

☐ Borrowings

सापटी

☐ Loan Repayment

ऋण किरता भुक्तानी

☐ Others (Please Specify)

अन्य (कल्लेख गर्नुहोस्)

Punished or charged for any criminal activities in the past?

विगतमा फौजदारी कसुरमा दोषीत वा जरिवाना हुनु भएको छ ?

☐ Yes

☒ No

छ

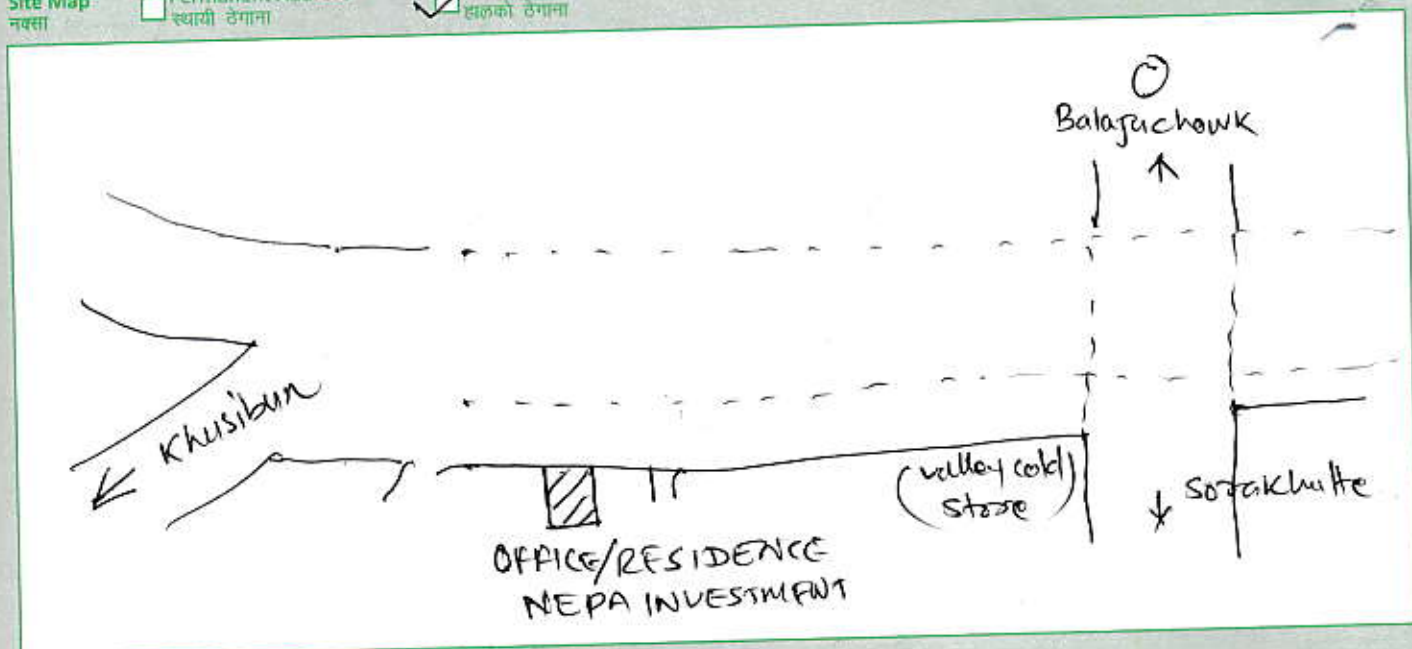
छैन



Site Map  
नक्सा

☐ Permanent Address  
स्थायी ठेगाना

☒ Present Address  
हालको ठेगाना



I/We hereby declare that all the information & documents provided to the bank are true & Correct.  
म/हामी यहाँ उपलब्ध गराइएका सम्पूर्ण विवरणहरू तथा कागजातहरू सही रहेको घोषणा गर्दछु/गर्दछौ ।

Right बायाँ

Left बायाँ



Thumb Impression  
औंठा छाप

*[Handwritten signature]*

Account Holder's Signature  
खातावालाको हस्ताक्षर

Note: Any document/information if not exists, shall be declared as N/A.

### Bank's Use Only

#### Supporting Documents (provided by the customer)

Photo of account holder	<input type="checkbox"/> Obtained	<input type="checkbox"/> Not obtained	
Photo of beneficial owner	<input type="checkbox"/> Obtained	<input type="checkbox"/> Not obtained	
Identification Document	<input type="checkbox"/> Citizenship	<input type="checkbox"/> Passport	<input type="checkbox"/> Others _____
Address verifying document (Any one)	<input type="checkbox"/> Utility Bill (Water/Electricity/ Telephone Bill)	<input type="checkbox"/> Driving License	<input type="checkbox"/> Land ownership document
	<input type="checkbox"/> Rental Agreement	<input type="checkbox"/> letter from local authority	<input type="checkbox"/> Voter ID
Employee ID (Mandatory for Govt. Officials)	<input type="checkbox"/> N/A	<input type="checkbox"/> Yes	<input type="checkbox"/> No

#### Account Risk Grading:

☐ High Risk ☒ Medium Risk ☐ Low Risk  
☐ HPP ☐ PEP

Name list in Sanction

☐ Yes ☒ No

Remarks / information if any

Branch Manager

Date:

#### Information Update in Core Banking System & accuity Check:

☒ Yes ☐ No

Date Updated on: 14/10/2018

Remarks if any:

CSD Staff

Date: 14/10/2018



# KYC FORM FOR CORPORATE CUSTOMER

Screening ID

KYC ID

Account Number 0010001836CA

Date: 14-10-2018

Client ID

Account Holder's Name: NEPA INVESTMENT PRIVATE LIMITED

Date of Registration 2068/11/09

Registration No.: 908011068/069 Registration Office & Date: Company Registrar

Contact No:

PAN/VAT No. 600486758

Regd./PAN Expiry Date: 12.11.2068

Office:

Beneficial Owner-Name:

Fax:

Address:

Email: Nepainvestment11@gmail.com

Relation:

P.O.Box: gmail.com

Contact No.:

Registered Address

Business Address

Ward No.: 16

Ward No.: 16

Tole: NAYABAZAR

Tole: NAYABAZAR

House No.: 1280

House No.: 1280

District: KATHMANDU

District: KATHMANDU

Business Area: NEPAL

Business Objectives: SHARE TRADING

Number of Office: 1 Office Location:

## Management (BOD Member and Chief Executive)

SN	Full Name & Post	Permanent Address	Present Address	Citizenship No./ Issuing Office	Phone/Mobile No.
1	chandra psd Shrestha	Budhanilkanth	Nayabazar	1670/2674	9851041220

HPP/PEP/NF2F: ☐ Yes ☐ No

If yes, remark on affiliation:

Expected Monthly Turnover:

☒ Less than 25 Lakhs

☐ Less than 50 Lakhs

☐ >50 Lakhs

Expected Monthly Transaction:

☒ Less than 25

☐ Less than 50

☐ >50

Purpose of Account:

☒ Business

☐ Other (Please specify)

## Supporting Documents (provided by the customer)

Remarks, if any

Photo of account operators

☐ Obtained

☐ Not Obtained

Photo of all managerial personnel

☐ Obtained

☐ Not Obtained

Citizenship of all managerial personnel

☐ Obtained

☐ Not Obtained

Registration Document

☐ Registration Certificate

☐ MOA/AOA

Audited Financials of last fiscal year

☐ Yes

☐ No

Specify the FY.

Income Tax Clearance of Last Fiscal Year

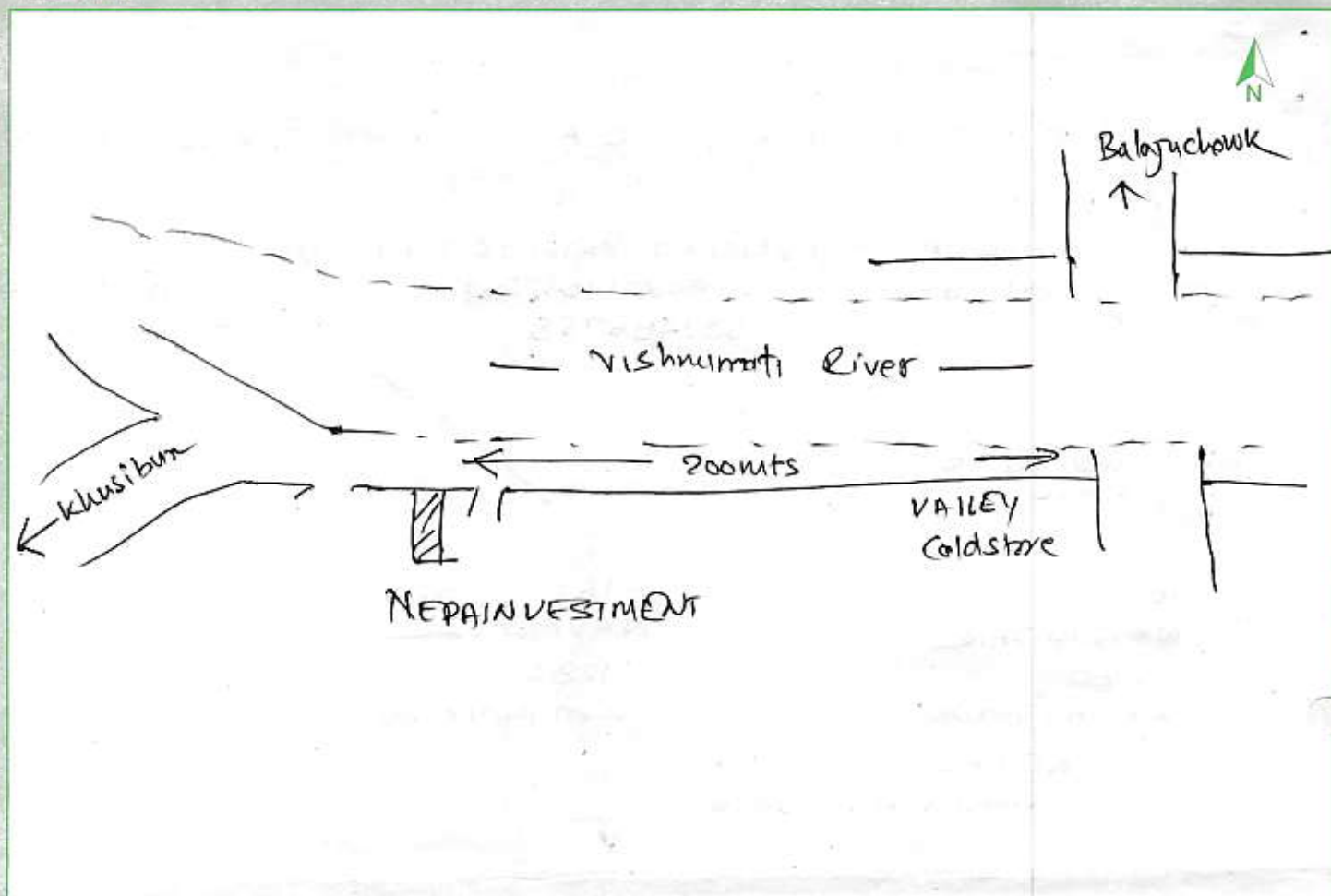
☐ Yes

☐ No

Specify the FY.



Location Map



Company Seal

Bank's Use Only

Account Risk Grading:

☐ High Risk ☒ Medium Risk ☐ Low Risk  
☐ HPP/PEP

Name listed in OFAC (Name listed in sanction)

☐ Yes ☒ No

Remarks / Information if any:

Branch Manager

Date:

Information Update in Core Banking System:

☒ Yes ☐ No

Date Updated on: 14/10/2018

Remarks if any:

CSD Staff

Date

14/10/2018

# KNOW YOUR CUSTOMER (KYC) FORM

KYC REQUIREMENT	DOCUMENTATION NEEDED
1. IDENTITY	
a. Beneficial Owner	FULL NAME <u>Nepal Investment Pvt. Ltd</u> (For Individuals) or Title of Domain Partnership / Sole Proprietorship / Ltd. Companies Names of Partners / Owners / Directors / Major Share Holders
b. ID Documents	CITIZENSHIP CERTIFICATE OR <u>MOA, COC, PAN.</u> PASSPORT (Obtain Photocopy)
c. Legal Status	INDIVIDUALS SOLE PROPRIETORSHIP PARTNERSHIP* LTD. Cos.* OTHERS*  Documents as per policy to be obtained & kept with Account Opening Form  * Copies of ID Documents for all Directors / Partners / Trustees etc. to be obtained.
2. RESIDENCE / BUSINESS INFORMATION	
a. Residence Address / Telephone	<u>Kathmandu - 16</u> <u>Nagarbazar</u>
b. Occupation or Line of Business	
c. Business Address / Telephone	
3. INCOME	OBTAIN SOURCE OF INCOME & APPROXIMATE FIGURES FOR INDIVIDUALS. FOR OTHERS IT SHOULD BE AVAILABLE FROM THEIR ACCOUNTS AND BALANCE SHEETS
4. RELATIONSHIP	
a. Purpose	<u>current</u>
b. Commensurate Activity	CASH <u>NPR</u> AMOUNT <u>Rs. 300,000</u>
c. Funds for Opening Accounts	DO YOU PRESENTLY MAINTAIN AN ACCOUNT WITH CBIL? YES / NO
RELATIONSHIP	IF YES - ACCOUNT NO: BRANCH NAME
6. INTRODUCTION	NAME & A/C NO. <u>Shree Krishna Shrestha / 307 SB</u> ADDRESS ANY CORRESPONDENCE RETURNED DURING LAST SIX MONTHS HOW LONG THE ACCOUNT HOLDER IS KNOWN TO THE INTRODUCER ACCOUNT STATUS DATE OF MEETING IF ANY & BRIEF COMMENTS
7. CLIENT REVIEW / MEETING	
a. Initial	Date of Meeting <u>07/27/02/2012</u> Brief Comments
b. Subsequent	Date of Meeting Brief Comments

SIGNATURE  
INTERVIEWING OFFICER

SIGNATURE  
MANAGER



# 3711

**KYC FORM FOR CORPORATE CUSTOMER**

Account Number 0010001836CA Date 6-12-2015

Account Holder's Name: <b>NEPA INVESTMENT PRIVATE LIMITED</b>		
Date Of Registration: <b>2068-11-09</b>	Registration No. <b>90801</b>	Registration Office & Date: <b>C.R.O. 2068-11-09</b>
Contact No. <b>9851041220</b>	PAN/VAT No. <b>60048675</b>	Regd/PAN Expiry Date: <b>2068-11-12</b>
Office <b>4361621</b> Fax Email P.O.Box <b>7328</b>	Beneficial Owner-Name: <b>chandra psd. Shrestha</b> Address: <b>1280, Naya Bazar, Kathmandu 16</b> Relation: <b>M.D.</b> Contact No. <b>9851041220</b>	
Registered Address: Ward No.: <b>16</b> Tole: <b>NAYABAZAR</b> House No.: <b>1280</b> District: <b>KATHMANDU</b>		Business Address: Ward No.: <b>16</b> Tole: <b>NAYABAZAR</b> House No.: <b>1280</b> District: <b>KATHMANDU</b>

Business Area: <b>NEPAL</b>
Business Objectives: <b>Import Export &amp; INVESTMENT</b>
Number of Offices: <b>1</b> Office Locations: <b>NAYABAZAR</b>

**Management (BOD Members and Chief Executive):**

SN	Full Name & Post	Permanent Address	Present Address	Citizenship No. / Issuing Office	Phone / Mobile No.
1	<b>CHANDRA PSD SHRESTHA</b>	<b>KATHMANDU 16 KMC</b>		<b>2674 KATH</b>	<b>9851041220</b>
2					
3					
4					
5					
6					
7					

HPP/PEP/NF2F::	<input type="checkbox"/> Yes	<input type="checkbox"/> No	If Yes, remark on affiliation:
Expected Monthly Turnover:	<input checked="" type="checkbox"/> Less Than 25 Lakhs	<input type="checkbox"/> Less Than 50 Lakhs	<input type="checkbox"/> >50 Lakh
Expected Monthly Transaction:	<input checked="" type="checkbox"/> Less Than 50	<input type="checkbox"/> Less Than 150 Lakhs	<input type="checkbox"/> >150Lakh
Purpose of Account:	<input checked="" type="checkbox"/> Business	<input type="checkbox"/> Other	
Supporting Documents (provided by the customer)			Remarks, If Any:-
Photo of account operators	<input checked="" type="checkbox"/> Obtained	<input type="checkbox"/> Not Obtained	
Photo of all managerial personnel	<input type="checkbox"/> Obtained	<input checked="" type="checkbox"/> Not Obtained	

*Chandra*



Citizenship of all managerial personnel	<input checked="" type="checkbox"/> Obtained	<input type="checkbox"/> Not Obtained	
KYC Form of all managerial personnel	<input checked="" type="checkbox"/> Obtained	<input type="checkbox"/> Not Obtained	
Registration Document:	<input checked="" type="checkbox"/> Registration Certificate	<input type="checkbox"/> MOA/AOA	
Audited Financials Of Last Fiscal Year	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	Specify the FY. _____
Income Tax Clearance Of Last Fiscal Year	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	Specify the FY. _____

Balaju charik  
 Vishnupat River  
 Nepa investment  
 Sorakhetta



[Signature]  
 Authorised Signatory  
 Date: \_\_\_\_\_

**Bank's Use Only**

<p><b>Account Risk Grading:</b></p> <p> <input type="checkbox"/> High Risk            <input checked="" type="checkbox"/> Medium Risk            <input type="checkbox"/> Low Risk       </p> <p> <input type="checkbox"/> PEP/PIP       </p> <p>Name listed in OFAC (Office of Foreign Assets Control)?</p> <p> <input type="checkbox"/> Yes    <input type="checkbox"/> No       </p> <p>Remarks / information if any:</p> <p>         [Signature]          Branch Manager          Date: 22/11/13       </p>	<p><b>Information Update in Core Banking System:</b></p> <p> <input checked="" type="checkbox"/> Yes    <input type="checkbox"/> No       </p> <p>Date Updated on: 31/03/2016</p> <p>Remarks if any:</p> <p>         [Signature]          CSD Staff          Date: 31/03/2016       </p>
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## List of Directors/Working Committee Members & CEO

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### Details of the Directors/Working Committee Members and CEO:

S.	Position	Full Name	Father's Name	Grand Father's Name	Spouse Name	Permanent & Present Address	Telephone Number, Mobile Number, & Email Address
1.	MD	CHANDRA PSD SHRESTHA	MADHAV PSD	GANESH LAL	SARALA	KATHMANDU 16	9851061220
2.							
3.							
4.							
5.							
6.							
7.							
8.							

  
 Company's Seal  
 Date: 8-12-2015



## KYC FORM FOR CORPORATE CUSTOMER

Account No.:

0010001836CA Date: 2070-7-17

Account Holder's Name: NEPA INVESTMENT P/LTD.

Date of Registration	Registration No	Registration Office & Date
	90801	C.E.O.
Telephone No.: 4361621	PAN/VAT No.	Regd/PAN Expiry Date
Fax No.:	Beneficial Owner- Name: CHANDRA PSD SHRESTHA	
Email:	Address: NAYABAZAR, KATHMANDU	
P.O.Box:	Designation: MD	
Website:	Mobile Number: 9851041220	

Registered Address: NAYABAZAR	Business Address: (if different from registration address)
Ward No.: 16	Ward No.:
Tole: INDRAAYANI SADAK	Tole:
House No.: 1280	House No.:
District: KATHMANDU	District:

Business Area: NEPAL

Nature of Business: INVESTMENT / IMPORT EXPORT

Number of Branch Office: Branch Office Locations:

Management (BOD Members, Chief Executive and Account Operators):

S. No.	Position	Full Name	Father's Name	Grand Father's Name	Spouse Name	Permanent & Present Address	Telephone Number, Mobile Number & Email Address
1.	MD	CHANDRA PSD	MAHADEV PSD	GANESH LAL	SARALA SHRESTHA	NAYABAZAR	9851041220
2.							
3.							
4.							
5.							
6.							
7.							

Politically Exposed / Influential Person? ☐ Yes ☒ No If Yes, remarks on affiliation: \_\_\_\_\_

Expected Monthly Turnover: ☒ Less than 25 Lakhs ☐ Less than 50 Lakhs ☐ Above 50 Lakhs

Expected Annual Transaction: ☒ Less than 50 ☐ Less than 150 ☐ Above 150

Purpose of Account: ☒ Business ☐ Other

Supporting Documents (provided by the customer) Remarks, If Any: \_\_\_\_\_

Photo of BoD, CEO & Account Operators ☐ Obtained ☐ Not Obtained

Citizenship/Passport of BoD, CEO & Account Operators ☒ Obtained ☐ Not Obtained

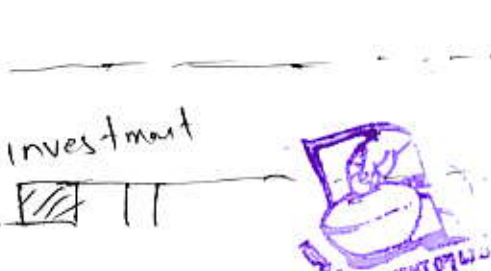
Registration Documents: ☐ Registration Certificate ☐ MOA & AOA

Audited Financials of Last Fiscal Year ☐ Yes ☐ No Specify the FY: \_\_\_\_\_

Income Tax Clearance Of Last Fiscal Year ☒ Yes ☐ No Specify the FY: \_\_\_\_\_

Minutes and PoA to carryout Financial Transactions ☐ Yes ☐ No

Site Map ☐ Present Address ☐ Permanent Address

Nepa Investment    
 Authorized Signatory   
 Date: \_\_\_\_\_

## Bank's Use Only

Account Risk Grading:

☐ High Risk ☒ Medium Risk ☐ Low Risk

☐ PEP/PIP

Name listed in OFAC (Office of Foreign Assets Control)

☐ Yes ☒ No

Remarks / Information if any:



Branch Manager

Date: 30/10/2013

Information Updated in Core Banking System:

☒ Yes ☐ No

Date Updated on: \_\_\_\_\_

Remarks if any:

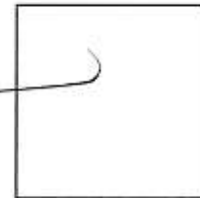
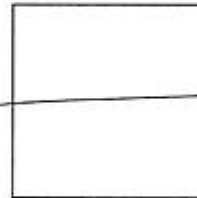
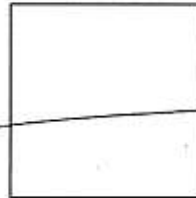
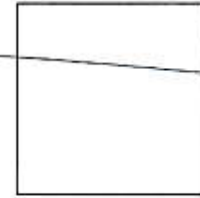
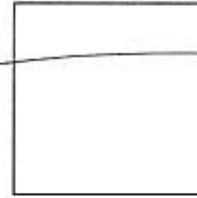


CSD Staff

Date: 30/10/2013



## List of Directors/Working Committee Members & CEO



### Details of the Directors/Working Committee Members and CEO:

S. No.	Position	Full Name	Father's Name	Grand Father's Name	Spouse Name	Permanent & Present Address	Telephone Number, Mobile Number, & Email Address
1.	MD	CHANDRA PSD SHRESTHA	MADHAV PSD SHRESTHA	GANESH LAL SHRESTHA	SARALA SHRESTHA	16-NAYA Bazar Kathmandu	9851041220
2.							
3.							
4.							
5.							
6.							
7.							
8.							



Company's Seal  
Date: