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## ENGINE COMBUSTION SYSTEM OPTIMIZATION USING CFD AND MACHINE LEARNING: A METHODOLOGICAL APPROACH

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### ABSTRACT

Gasoline compression ignition (GCI) engines are considered an attractive alternative to traditional spark-ignition and diesel engines. In this work, a Machine Learning-Grid Gradient Algorithm (ML-GGA) approach was developed to optimize the performance of internal combustion engines. Machine learning (ML) offers a pathway to transform complex physical processes that occur in a combustion engine into compact informational processes. The developed ML-GGA model was compared with a recently developed Machine learning Genetic Algorithm (ML-GA). Detailed investigations of optimization solver parameters and variables limits extension were performed in the present ML-GGA model to improve the accuracy and robustness of the optimization process. Detailed descriptions of the different procedures, optimization tools and criteria that must be followed for a successful output are provided here. The developed ML-GGA approach was used to optimize the operating conditions (case 1) and the piston bowl design (case 2) of a heavy-duty diesel engine running on a gasoline fuel with a Research Octane Number (RON) of 80. The ML-GGA approach yielded > 2% improvements in the merit function, compared to the optimum obtained from a thorough computational fluid dynamics (CFD) guided system optimization. The predictions from the ML-GGA approach were validated with engine CFD simulations. This study demonstrates the potential of ML-GGA to significantly reduce the time needed for optimization problems, without loss in accuracy compared to traditional approaches.

Keywords: machine learning, engine optimization, piston bowl geometry.

### ABBREVIATIONS

GCI	Gasoline compression ignition
ML-GA	Machine learning Genetic algorithm
ML	Machine learning
RON	Research octane number
CFD	Computational fluid dynamic
GPD	Gross domestic product
IC	Internal combustion
3-D	Three dimensional
DoE	Design of experiments
GA	Genetic algorithms
AI	Artificial intelligence
HD	Heavy duty
SI	Spark ignition
nNoz	Number of nozzles
SOI	Start of injection
TNA	Total nozzle area
NozAngle	Nozzle angle
SR	Swirl ratio
EGR	Exhaust gas recirculation
Pinj	injection pressure
Pivc	Pressure at intake valve closing
Tivc	Temperature at intake valve closing
GGA	Grid Gradient Ascent
ML-GGA	Machine learning grid gradient ascent
ISFC	Indicated specific fuel consumption
MPRR	Maximum pressure rise rate
PFP	Peak firing pressure
NOx	Nitric oxides
HD-GCI	Heavy duty gasoline compression ignition

CAD	Computer-aided design
Pmax	Maximum pressure

## INTRODUCTION

The global demand for energy used in the transportation sector is expected to continue rising at an annual rate of 1 – 1.5% by 2040 according to recent projections [1, 2]. This increase is mainly driven by the expected rise in population, gross domestic product (GDP) and living standards. Currently, internal combustion (IC) engines, fueled by petroleum-derived liquid hydrocarbons (gasoline and diesel), dominate the passenger and commercial transportation sectors with over 99% market share. IC engines are expected to remain the major source of the transportation energy demand in the interim future, despite significant growth in alternative energy and competing technologies (e.g., electric and fuel cells) [1-3].

The legislative requirements aimed at reducing tailpipe emissions, improving vehicle efficiency and mitigating the impact of transportation on CO<sub>2</sub> emissions are the main drivers when it comes to changes in the transportation landscape. Those legislative requirements, along with consumer demands for vehicles with improved efficiency, drivability, and affordability, are pushing automakers to explore many aspects of engine designs, combustion control and after-treatment systems that simultaneously reduce fuel consumption and emissions.

IC engines have been around since the 19<sup>th</sup> century and their conceptual identity as a fuel-powered machine has not changed since. There have been significant technological improvements to their performance in response to fuel efficiency and emissions regulations [4]. The tools used to co-optimize the fuel/engine system have evolved over the years. Until 20 years ago, experimental prototyping was the main optimizing method. It was followed by numerical simulations, including complex three-dimensional (3D) computational fluid dynamics (CFD), which played a major role in the engine/fuel system optimization. This development was enabled by the significant advancements in computing power (supercomputers, clusters, parallelization, etc.) and numerical models (turbulence, combustion, spray, heat transfer, meshing, moving boundaries, etc.). Due to high dimensionality, complexity and highly nonlinear dependencies in engine properties and responses, both experimental and numerical optimization approaches can be inefficient, and take a significant amount of time and effort to obtain local rather than global optimum designs and operating conditions [5-7]. To overcome the issues with manual optimizations, alternative approaches have been developed over the years. The design of experiments (DoE) technique is commonly used now to enhance design optimization [8]. Probst et al. [8] showed that the sequential design of experiments (DoE) approach, coupled with CFD models can successfully and efficiently optimize engines. The sequential DOE optimization was compared to an optimization performed using a GA. Their study highlighted the strengths of both methods for optimization. The GA (known to be an efficient and effective method) found a better optimum, while the DOE method found a good optimum

with fewer total simulations. The DOE method also ran more simulations concurrently, which is an advantage when sufficient computing resources are available. Genetic algorithms (GAs) [9-14] have also been used to facilitate design optimization, where an objective function is defined to represent CFD simulations. This GA approach often yields better optimum solutions compared with DoE-based optimization.

Significant advancements have been made in the area of Artificial Intelligence (AI) in the last couple of decades. These advances have enabled machine learning (ML) to be a potentially effective tool to optimize engine/fuel systems [15-18]. Particularly, neural networks have been used in several studies to efficiently optimize engine/fuel systems. The real-time engine control system correction [19, 20] and the effects of fuel properties on engine emissions [7, 21] are examples of some recent applications. Other ML algorithms, such as random forest and support vector machines, have also been used in engine and vehicle-related problems [22-24]. Recently, Moiz et al. [25] proposed an improved Machine Learning-Genetic Algorithm (ML-GA) approach based on an ensemble machine learning technique known as SuperLearner [26], to optimize the operating conditions of a heavy-duty (HD) gasoline compression ignition engine (GCI). DoE was first performed to generate a database to train an ML algorithm. The ML optimization algorithm was then used without any further CFD modeling to search for optimum engine design parameters. Their approach yielded comparable optimum operating conditions in significantly less computing time compared to the CFD-GA approach [25]. Other studies that implemented ML-GA algorithms for engine optimization may be found in the literature [7, 10-12].

Compression ignition engine technologies have been considered as attractive alternatives, because they have the potential to combine the best of gasoline and diesel engines. CI combustion mode eliminates traditional engine knock observed in spark-ignition (SI) engines and the globally lean operation reduces the engine-out emissions. Additionally, lean, throttle-less operation, coupled with higher compression ratios, improves engine efficiency. Better mixing of fuel and air prior to combustion (due to the higher volatility of gasoline) reduces soot production relative to the conventional mixing-controlled (diesel) combustion. Our research group in Saudi Aramco extensively investigated the combustion of various fuels in GCI engines [27-39].

In this work, an ML-GGA (Machine Learning Grid Gradient Ascent) approach, with similar functionality to that of Moiz et al. [25] is proposed. The developed ML-GGA code builds upon the work of Moiz et al. [25]. In addition, the repeatability of the optimization method is investigated. Also, the validity of the SuperLearner when applied with modest extrapolations to the original design space is investigated. Finally, guidelines are provided to assess solution robustness. The functionality of the ML-GGA code is then extended using a feedback strategy and employed to optimize the piston bowl geometry of a heavy-duty (HD) gasoline compression ignition engine (GCI).

## ML-GA APPROACH

### Definition and details

The ML-GA is an optimization technique that can be used to efficiently optimize internal combustion engines. More details about this approach can be found in Moiz et al. [25] and only a brief description of the main features is provided here. In an engine optimization task, the optimization problem is first posed and the different engine design parameters are defined. A sample of cases with different combinations of these parameters are solved using detailed CFD simulations or are prototyped to define the effect of these design variables on the performance of the studied engine. The performance of the engine is normally measured by a merit function that combines a certain set of output parameters which need to be defined before starting the optimization process. These sets of input variables and output parameters, are utilized in a supervised ML algorithm for training purposes. The trained ML routine is then coupled with an optimization tool — in this case a genetic algorithm (GA) — to search for the optimum set of engine design variables that lead to improved performance. Moiz et al. [25] used the ML package SuperLearner [26], which is available as an add-on package to the statistical software R [40]. The super learning technique calculates the optimal combination of a pool of prediction algorithms, such as the arithmetic mean (SL.mean), Lasso and Elastic-Net Regularized Generalized Linear Models (SL.glmnet), Breiman and Cutler's Random Forests for Classification and Regression (SL.randomForest), Support Vector Machines (SL.svm), Extreme Gradient Boosting (Xgboost), Linear regression models (SL.lm) and Feed-Forward Neural Networks and Multinomial Log-Linear Models (SL.nnet). The optimal combination is a set of these multiple models with weighting factors that minimize the cross-validated error. The GA method used to find the optimal design by Moiz et al. [25] is the genetic algorithm “malschains” (memetic algorithms with local search chains) [41]. The implementation in R (Rmalschains [42]) was used in their work. Malschains uses a combination of local and global optimization techniques. The idea behind the algorithm is to apply a local search method on the most promising regions, which are found to have the highest fitness value using a (global) genetic algorithm. The GA in malschains is different from a standard genetic algorithm, where the individuals of the population are subjected to genetic operations simultaneously. The malschains algorithm randomly generates an initial population of individuals. The genetic algorithm then evaluates the merit values (fitness) of these individuals and builds a set of individuals that can be further refined by the local search method. The main advantage of the ML-GA technique is the ability to find an optimum design for highly nonlinear problems in a short time for engine optimization tasks, where repeated CFD simulations can be time consuming and expensive, as detailed in Moiz et al. [25]. They showed that ML-GA reduces the optimization time from about 50 days to one day.

## Repeatability and Enhancements in the ML-GA approach

In this work, we opted to use the ML-GA methodology of Moiz et al. [25], and proposed some modifications/improvements to it. In this section, a detailed investigation of the repeatability ability of the optimization scheme and two additional enhancements are presented and applied to the case study from Moiz et al. [25] to demonstrate their utility. More details about the objective, design variables, and optimization problem may be found in Moiz et al. [25]. In this section, only the impact of the proposed enhancements on the design solution is presented.

### Repeatability

Although ML-GA presents a resource-efficient and relatively easily-implemented tool for engine optimization, it can suffer from repeatability issues where the optimum set of design variables that yields the highest merit are not the same for subsequent runs. This is an anticipated behavior because the Rmalschains optimization method in R is based on random selections and hence running it multiple times could lead to different local solutions if not set with care. To avoid finding unrepeatably local optimum designs, special care has to be taken when using certain optimization schemes. To demonstrate this, the ML-GA code of Moiz et al. [25] is reconstructed here. It is then solved using the exact same training data set for five consecutive times while changing the search parameters of the Rmalschains algorithm. The following table summarizes the variability among the 5 best designs found using each set of Rmalschains where the first line (case 0) represents the base parameters used by Moiz et al. [25]. Higher than  $1e^{-4}$  variance means that the merit values of the 5 best designs are different from each other by more than 1%. It can be clearly seen that all Rmalschains parameters affect the repeatability of the solution and hence correctly setting those parameters is critical to ensure reaching the absolute optimum design in terms of merit value. For example, the number of search iterations has to be around 35000. An iteration number of only 2400 represented by case 1 in Table 1 leads to 5 different local optimum designs. For illustration purposes, the optimum solutions for case 1 as well as that from Moiz et al. [25] are presented in Figure 1.

Table 1. Dependence of the optimal solutions on the parameters' setting of the Rmalschains.

Case	popsize	ls	istep	effort	alpha	maxevals	Variance of the 5 best designs found
0	100	sw	100	0.8	1	35000	3.83E-07
1	200	cmaes	300	0.5	0.5	2400	1.05E-01
2	100	sw	200	0.8	1	35000	1.63E-07
3	100	sw	350	0.8	1	35000	5.05E-07
4	100	sw	3500	0.8	1	35000	2.23E-07
5	50	sw	3500	0.8	1	35000	7.76E-07

6	10	sw	3500	0.8	1	35000	1.44E-04
7	10	cmaes	3500	0.8	1	35000	2.98E-07
8	10	cmaes	3500	0.8	1	3500	7.29E-04
9	10	cmaes	3500	0.8	1	500	7.01E-02
10	10	cmaes	3500	0.5	1	3500	1.30E-03
11	10	cmaes	3500	0	1	3500	7.58E-03
12	10	cmaes	3500	0	0.5	3500	1.34E-04
13	10	cmaes	3500	0	0.2	3500	8.22E-04
14	10	cmaes	3500	0	0	3500	8.73E-02
15	10	cmaes	3500	0.5	0	3500	1.88E-03
16	10	cmaes	3500	0.5	0	3500	1.15E-01

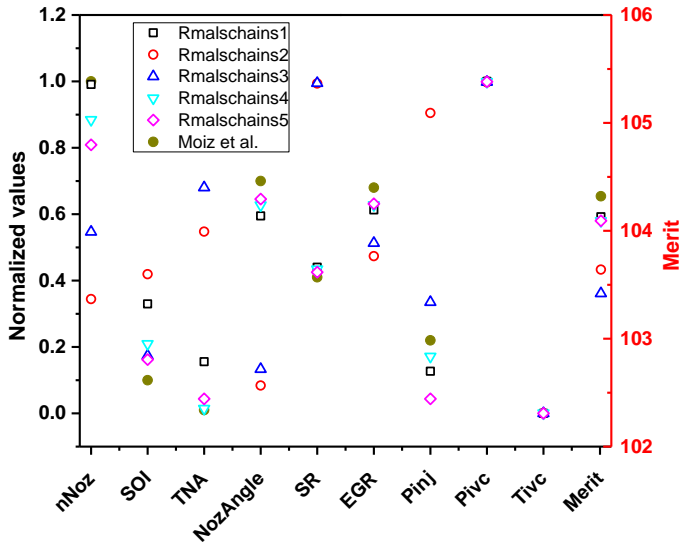


Figure 1. Optimum designs obtained using Rmalschains. The five designs obtained from repeating Rmalschains five times are compared with the optimum design parameters reported in Moiz et al. [25].

As seen in Figure 1, the 5 optimal designs obtained here are different from each other despite having similar merit value (right y-axis). The observed differences can be significant for some design variables such as the number of nozzles (nNoz), start of injection (SOI), total nozzle area (TNA), nozzle angle (NozAngle), swirl ratio (SR), exhaust gas recirculation (EGR) and injection pressure (Pinj). Only the obtained optimum intake valve closing pressure (Pivc) and temperature (Tivc) are similar for the different runs. Finding the best set of optimum solutions using Rmalschains can be a tedious exercise that requires a know-how expertise. As a remedy for this, a new method is adopted in this work by utilizing a different GA, Grid Gradient Ascent (GGA) which is, although classical and forward, does not include many setup knobs and is easy to implement with no black-boxed information. The GGA divides the multidimensional domain (d) of the design parameters into equal

multidimensional cubes where the axis of each parameter is divided into  $n$  equal segments. The center of each of the  $n^d$  cubes is then used as the initial design for the optimization technique that uses the classical gradient ascent method. At the end of this step,  $n^d$  local optimum designs are obtained and the best among them is chosen as the global optimum design. Applying the ML-GGA for the case study of Moiz et al. [25] leads to an optimal design that has similar merit value as the design reported by their study, as shown in Figure 2. This repeatable optimum design was obtained by setting the value of  $n$  to 2 which requires  $2^9 = 512$  iterations as compared to 35000 iterations for the case of Rmalschains. The optimum designs from five runs are the same as the one shown in Figure 2.

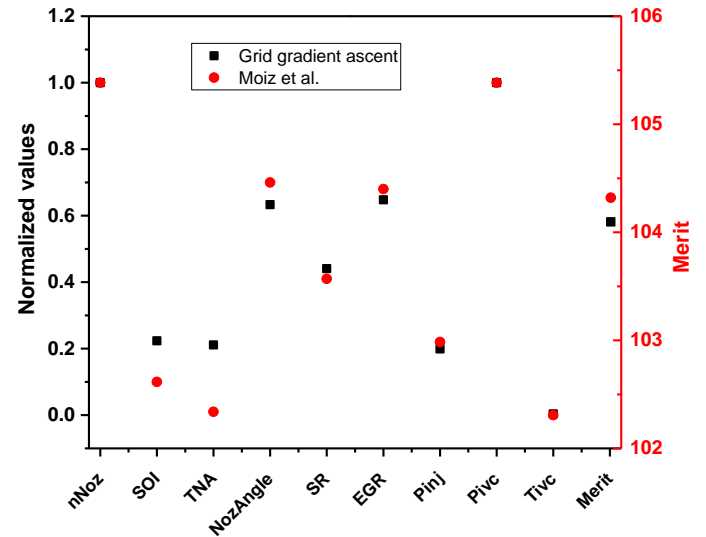


Figure 2. Optimum design by using ML-GA versus ML-GGA method. The ML-GA design is taken from Moiz et al. [25].

### Extension of variable domain

The optimum designs reported by Moiz et al. [25] and the best designs obtained in this work have some design variables residing on or near the boundaries set by the predetermined limits. These variables are the number of Nozzles (nNoz), temperature at injection valve closing (Tivc) and total nozzle area (TNA). Extending the design parameters range outside their initial preset limits could potentially lead to better performance. To demonstrate this, the ML-GGA algorithm using Moiz et al.'s case study is repeated by allowing 10% extension of the design parameters around their upper and lower limits. Only 10% margin is used here so that the predictability of the ML is still acceptable and within the feasible design space. The five best optimum designs are presented in Table 2 in absolute values and their normalized values are shown in Figure 3.

Table 2: Optimum design properties from CFD, reference [25] and this work.

Parameter		This work					Reference [25]		
		Design 1	Design 2	Design 3	Design 4	Design 5	ML-GA	CFD <sub>MLGA</sub>	CFD-GA
nNoz		10	10	10	10	10	10	10	10
SOI		-7.98	-8.36	-8.17	-8.09	-8.08	-10.65	-10.65	-10.3
TNA		1.06	1.05	1.07	1.05	1.05	1.05	1.05	1.0
NozzleAngle		156.74	157.54	157.5	157.08	157.1	159.26	159.26	158.0
SR		-1.78	-2.02	-2.02	-1.78	-1.78	-1.81	-1.81	-1.66
EGR		0.44	0.44	0.44	0.44	0.44	0.45	0.45	0.44
Pinj		1488.70	1454.73	1496.02	1501.81	1494.11	1492.5	1492.5	1490
Pivc		2.33	2.33	2.33	2.33	2.33	2.3	2.3	2.3
Tivc		318.37	318.13	318.34	319.15	319.05	323.0	323.0	323.5
Engine out parameters									
Soot (g/kWh)	ML-GGA	0.0066	0.0082	0.0071	0.0069	0.0070	0.011	0.02	0.022
	CFD <sub>MLGGA</sub>	0.0082	0.0097	0.0087	0.0084	0.0086			
NOx (g/kWh)	ML-GGA	1.33	1.32	1.34	1.33	1.33	1.32	1.23	1.28
	CFD <sub>MLGGA</sub>	1.14	1.2	1.22	1.16	1.16			
MPRR (bar/CAD)	ML-GGA	10.32	10.30	10.67	10.36	10.30	13.28	12.22	11.31
	CFD <sub>MLGGA</sub>	10.16	10.46	11.06	10.25	10.10			
PCP (bar)	ML-GGA	157.08	157.27	158.21	157.41	157.21	166.73	165.23	162.03
	CFD <sub>MLGGA</sub>	156.78	157.18	157.90	157.20	156.97			
ISFC (g/kWh)	ML-GGA	153.16	153.18	153.18	153.21	153.21	153.37	153.97	153.85
	CFD <sub>MLGGA</sub>	153.10	153.09	153.09	153.18	153.17			
Merit	ML-GGA	104.46	104.46	104.45	104.43	104.43	104.32	103.91	104.0
	CFD <sub>MLGGA</sub>	104.51	104.51	104.52	104.45	104.46			

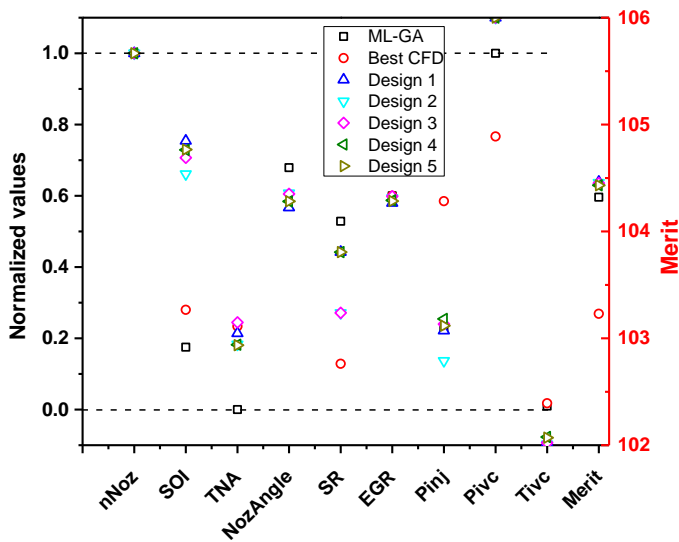


Figure 3. Optimum designs from ML-GGA method with extended limits. The ML-GA design and best CFD taken from Moiz et al. [25] are also shown.

The actual outputs from CFD simulation for the 5 best designs obtained in this work are also included in Table 2. This CFD verification exercise was performed using the same CFD model employed in Moiz et al. [25]. For reference, we have also included the predicted ISFC and merit value by the ML where good predictability (within less than 0.5%) is achieved. The best ML-GA and CFD-GA results from Moiz et al. [25] are also shown in Table 2. As can be seen from Figure 3 and Table 2, all optimum designs (1 – 5) have slightly higher merit values than that of Moiz et al. [25] with extended ranges. In fact, design 1 has an indicated specific fuel consumption (ISFC) that is 0.14% better than the best reported by Moiz et al. [25]. Similarly, the engine-out parameters such as emissions, MPRR and PCP are better from the ML-GGA and CFD<sub>MLGGA</sub> compared to those reported in Moiz et al. [25]. Around 10% reductions in soot and NOx were obtained from the new simulations. The design parameters that were at their predetermined limits, such as Tivc



and Pivc are now residing at the edge of the extended ranges (-0.1 and -1.1), suggesting that further extensions are potentially favorable to obtain higher merit values. However, more than 10% extension of the training data space could lead to poor prediction capability of the ML.

### Post-processing and robustness

An additional enhancement of the ML-GGA technique is to take advantage of the ML algorithm for fast qualification of the optimal design in terms of robustness. In many cases, the optimum design is not unique and the optimization process produces multiple optimum designs with similar merit values. The challenge then is to further assess these results and eventually come up with a selection criterion. For engines, the main criterion that a proposed design should offer is robustness. A robust design is a design that will not rapidly deteriorate and lose its merit value once its design parameters experience small perturbations. Multiple reasons can cause perturbations in the design variables. Experimental uncertainties are the main factors for variations in the design variables. Intentional perturbations to the design variables to prevent engine-out parameters such as maximum pressure rise rate (MPRR), peak firing pressure (PFP), soot and nitric oxides (NO<sub>x</sub>) emissions from hitting their design or regulated limits, can be another factor. To prevent the engine performance from significantly deteriorating, a robustness parameter is defined here to evaluate the sensitivity of the merit value on the design parameters. First, the permitted perturbation ranges of the design variables are defined. Subsequently, a new optimization problem can be initiated where the objective is to minimize the merit function, such that the search space is confined around the optimum design within some perturbation radius. The top five local optimum designs, reported in Table 2, are first saved. Afterwards, a sensitivity analysis is used to evaluate the robustness of each of the designs. The sensitivity is performed by looking for the worst design in the vicinity of each local optimum design. The vicinity space is defined as the multidimensional sphere centered around the local optimum design and with a radius equal to the % deterioration rate. Here, we used the Rmalschains to discover the vicinity space of each of the 5 best designs. The utilization of a Grid Gradient descent scheme in the vicinity of each of the best designs would also be possible. The comparison is reported in Figure 4. It is clear that design 2 is more robust than the other designs.

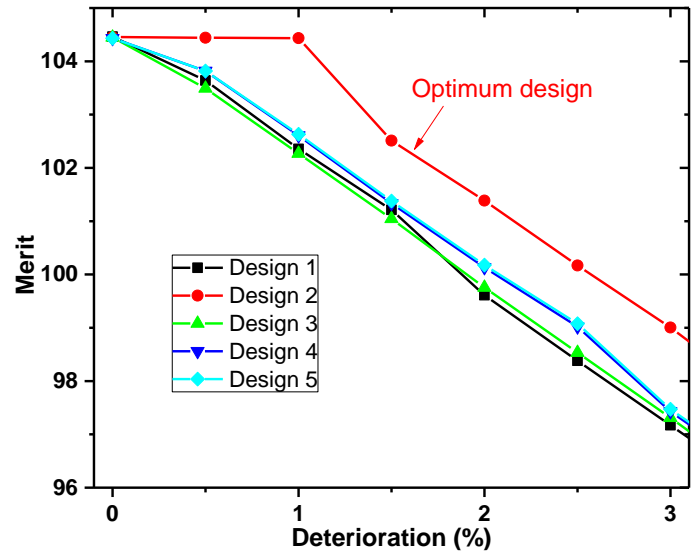


Figure 4. Robustness of the five local optimum designs.

## PISTON BOWL OPTIMIZATION

### Problem definition:

A different engine application is studied here to test the capability of the developed ML-GGA model. The optimization of piston bowl geometry of a heavy-duty gasoline compression ignition (HD-GCI) engine is performed here. Pei et al. [39] conducted a CFD guided combustion system optimization study of a heavy-duty diesel engine running on a gasoline fuel with research octane number (RON) of 80. A two-stage design of experiments (DoE) approach was adopted in their work. The first stage focused on the piston bowl shape optimization and the second addressed refinement of the combustion recipe. For optimizing the piston bowl geometry, a software tool, CAESES, was utilized to automatically perturb key bowl design parameters.

CAESES is a highly specialized computer-aided design (CAD) system for the parametric design and optimization of flow-exposed geometries, such as various components of internal combustion engines (e.g., ports, manifolds, combustion chambers, cooling passages, etc.). Its CAD modeling approach is focused specifically on a robust and efficient parametric variation of the geometry within an automated process. Models are built up in such a way that inside a pre-defined design space, the generation of infeasible variants is prevented, be it from a pure geometry regeneration point of view, or with regard to set design constraints. In addition to the modeling environment, CAESES also contains tools for process automation, i.e., connection to external simulation codes, as well as DoE and optimization algorithms for driving the process. The design object of this study is an axisymmetric piston bowl. Hence, the geometry can be generated simply as a surface of revolution from a parameterized profile. More details about the design generation

approach, the constraints and the design variable limits may be found in [39].

A set of 256 piston bowl designs were generated by CAESSES using a Sobol sequence and were evaluated at four engine operating conditions using multidimensional CFD simulations. Different optimum designs were identified based on the CFD simulations and these are shown in Figure 5 for the four studied engine operating points. Further details about the engine, its operating points, optimization techniques and results may be found in [39]; only a brief description is provided here. It may be seen that the overall shape of the top five designs at each operating point share some key features (bowl depth, bowl width, center height, etc.) but they are different from each other. This indicates that all the design parameters are affecting the performance of the engine, but some more than others. Subsequently, it will be almost impossible to understand the relationships between inputs and outputs depending on visual inspection, and therefore ML can be a very useful optimization tool for such a complicated problem.

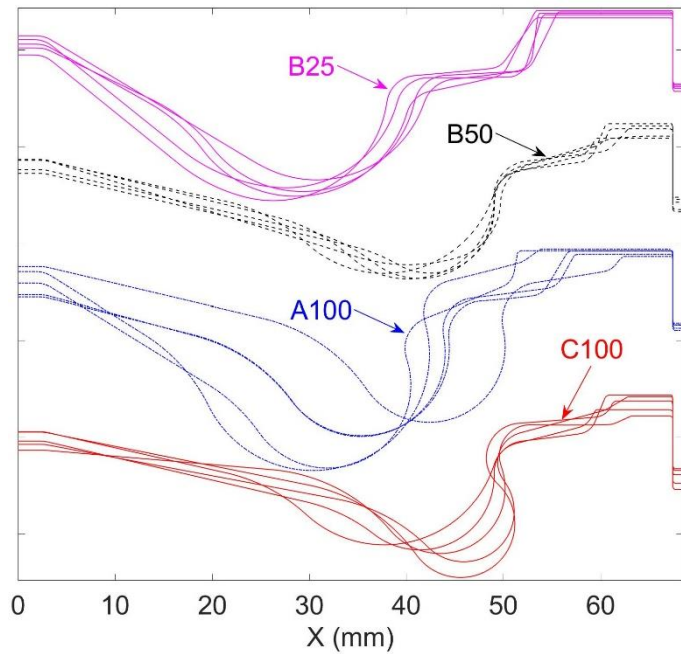


Figure 5. Top five CFD designs at the four operating conditions (adapted from Ref. [39]).

## Methodology

### Design variables

The purpose of the current work is to extend the CFD study conducted by Pei et al. [39] using the ML-GGA methodology developed here to obtain a better design compared to their best CFD design.

First, the design variables were identified. The piston geometry was parametrized using 11 different design variables, as shown in Figure 6. These 11 variables were allowed to change within their predetermined ranges (see Table 5 in [39]). A constraint on the compression ratio was imposed. The

bowlRadius was set as a dependent parameter for achieving the exact compression ratio (16.5). Its value was determined by an internal optimization loop that is triggered once all other parameter values were set (see Fig. 5 in [39]).

The ML-GGA algorithm developed here was modified to be able to optimize piston bowl geometries instead of engine operating parameters. The modified code can reproduce the piston bowl profile for any combination of design variables while maintaining the compression ratio (CR) at 16.5. To obey the compression ratio constraint, the volume above the baseline piston bowl profile at the top dead center position is first calculated by the code. For other profiles to have the same compression ratio, it is mandatory that they result in the same clearance volume at the top dead center. For each new piston bowl profile, the clearance volume is first calculated for the bowlRadius of the baseline design. If the clearance volume is different from the baseline value, then the bowlRadius is changed in the direction that minimizes this difference [39]. If no possible bowlRadius able to match the baseline clearance volume, then the suggested design must be discarded. In the Jacobian optimization scheme, this is achieved by attributing a very low merit value to this design in order to push the search direction away from it.

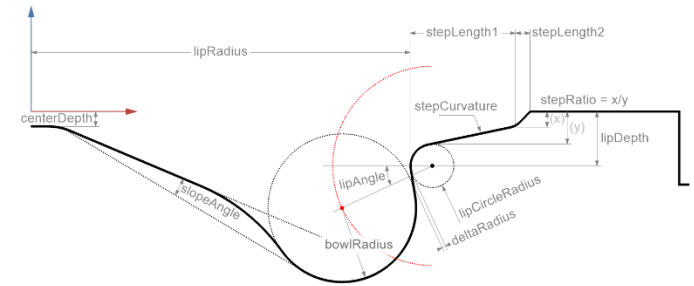


Figure 6. Piston bowl profile with design parameters.

### Training data

In ML, training data is critical and generating this data is a key step towards obtaining an efficient algorithm that is able to model engine performance with sufficient accuracy. The quality of training data is measured by the quantity of information it conveys to a learning algorithm. For instance, training data in which the input design parameters only cover small ranges of the feasible space (training data 2 in Figure 7) can be described as low quality because they will not teach the algorithm about the performance of engine designs in the larger feasible space. Similarly, if the training data are only covering designs with a limited range of performance functions (training data 1 in Figure 7), the algorithm wouldn't learn the effect of each of the design parameters on improving the merit function. The actual behavior of the design is in blue. All training sets contain 9 data points. Training data 3 (green) is the most effective in obtaining good predictive capabilities as can be visually seen when comparing the perfect behavior (blue line) with the predicted behavior (lines in other colors).



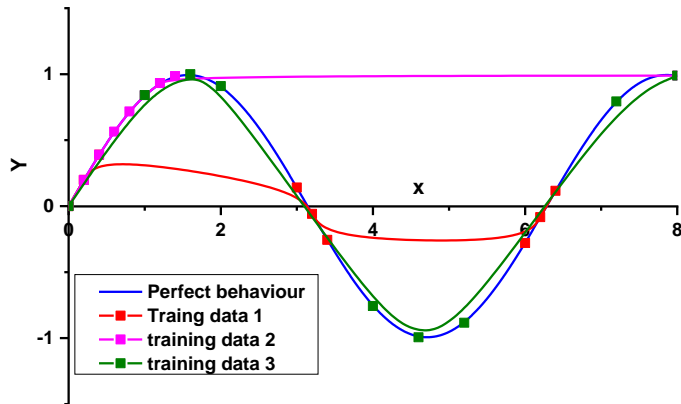


Figure 7: Effect of training data on predictive capabilities of polynomial fitting.

A number of methods can be applied to select the training data, such as Markov Decisions Trees. These algorithms may be categorized as reinforcement learning algorithms. Their main drawback is that they have to be run in a sequential manner, which limits the use of parallel computing. Other simpler methods may be employed where the effect of output is neglected and only the distribution of inputs is diversified as much as possible. These design of experiments tools are widely used and documented [8, 43-45]. In the work of Pei et al. [39], the 256 designs used as training data were generated using a Sobol sequence, which is part of CAESES.

Many ML algorithms, such as neural networks work better if the input data is normalized. This is due to the fact that neural network parameters are usually obtained based on gradient calculations. These gradient based problems could easily become ill-conditioned if the inputs are not normalized and have absolute values that are too different. Ill-conditioning of neural networks and the importance of data normalization have been discussed in detail by many authors [46, 47]. Therefore, it is recommended to normalize the training data before feeding it to the ML algorithm. After specifying the ranges of each input parameter design, it is straightforward to normalize the input data using a min-max relation. Normalizing the output data could be tricky, especially if no obvious limits of outputs could be

anticipated. In this case, the user has to get as wide as possible range of outputs and normalize them accordingly, although output normalization is not as critical as input normalization.

### **Fitting and prediction capabilities of the ML model**

One of the main properties of an unsupervised ML algorithm is its fitting capability. It is important that the ML model is able to fit the performance of the engine design to its design parameters. This fitting capability can be tested on the training data. The ML model should reproduce very well the engine performance of the training data. However, this is not the main objective of the ML model. In fact, the ML model is used to predict the performance of engine designs that are not within the training data, and hence a better qualification of the ML model is its prediction capability. Usually, there is a compromise between fitting and prediction capability and hence care should be taken to avoid over-fitting where the ML model may fit the training data very well but fails to correctly predict new points. In R, a cross-validation routine is available where it is possible to divide the training data into test data and training data and perform a test of the prediction capability of the ML algorithm. Figure 8 presents a comparison between the predicted and actual relevant outputs such as the nitric oxides (NO<sub>x</sub>), soot emissions (Soot), indicated specific fuel consumption (ISFC), the maximum pressure (P<sub>max</sub>) and the maximum pressure rise rate (MPRR) of 51 randomly selected test designs when the ML is trained using the remaining 205 designs for the operating case B25 (see Pei et al. [39] for load conditions). The SuperLearner (that is a combination of many submethods including Xgboost) and Xgboost (only) were tested here.

It is clear from Figure 8 that SuperLearner performs slightly better than Xgboost. For example, the  $R^2$  of the SuperLearner ISFC prediction is 0.935 compared to 0.913 of Xgboost. Although the prediction accuracy has some uncertainty, the SuperLearner is able to capture the trend of all relevant outputs for the 51 designs. Increasing the size of the training data would eventually lead to enhancement in the prediction capability, but would also require more CFD simulations.

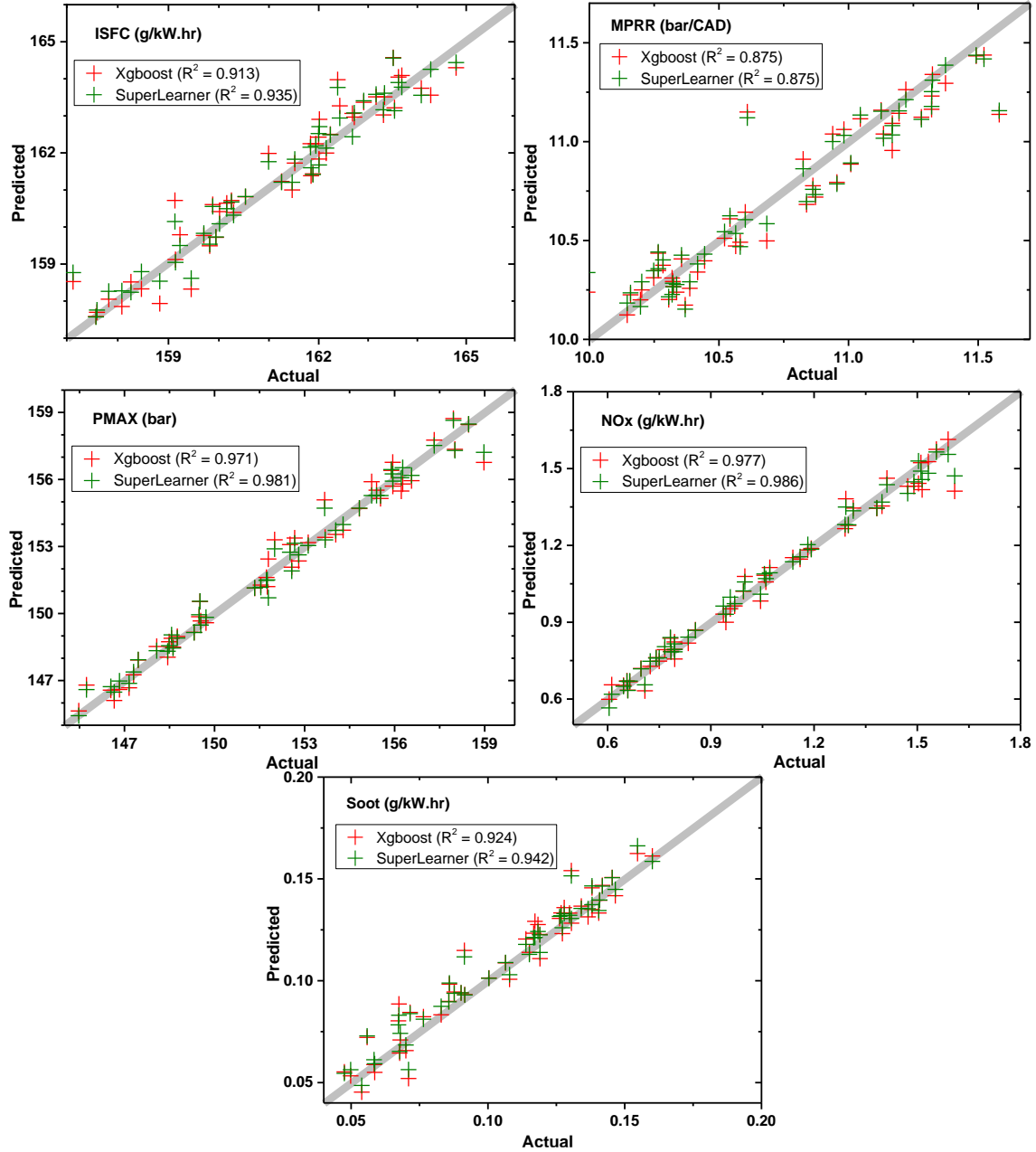


Figure 8. Comparison between predicted and actual CFD results of NOx and Soot emissions, fuel consumption (ISFC), Maximum pressure (Pmax) and maximum pressure rise rate (MPRR) for 51 testing designs.

Figure 9 reports the 5-fold cross validation error in the prediction of ISFC by the ML model as we increase the size of the training data from 56 to 256 designs. It is noted that the designs chosen in each validation set are randomly selected and hence the trend of the cross-validation error is subject to some random error and is presented here for illustration. A firm conclusion about what is the optimal size of the training data to have minimum prediction error is hard to obtain from Figure 9 but it is clearly larger than 256. In this work, the final ML model

was based on the SuperLearner code and it was trained using all 256 designs for better prediction accuracy.

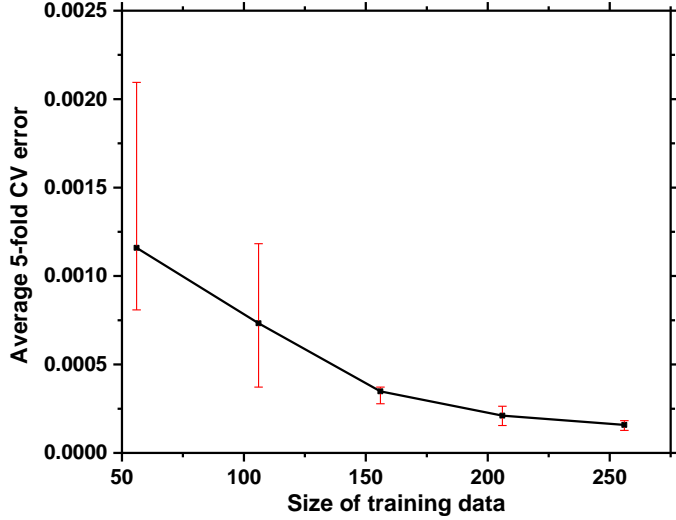


Figure 9. Evolution of the cross-validation error of the Superlearner ML a function of the training data size. 5-fold cross validation error is divided by the number of test designs to get the average 5-fold CV error.

### Merit function

The merit function used here is a modification of that used by Pei et al. [39]. Here, output normalization and smoothing (making the merit function differentiable) were taken into account. The merit function is defined as:

$$\text{Merit normalized} = 100 - \frac{\left( wf_1 \times \frac{ISFC - \text{limit}}{\text{limit} - \min(ISFC)} + wf_2 \times f(P_{max}^*) + wf_3 \times f(MPRR^*) \right)}{\frac{\sum_{i=1}^7 wf_i}{wf_4 \times f(FSN^*) + wf_5 \times f(sNOx^*) + wf_6 \times f(sHC^*) + wf_7 \times f(sCO^*)}} \quad (1)$$

with:

$$f(\text{Parameter}^*) = \begin{cases} \frac{\text{Parameter} - \text{limit}}{\text{limit} - \min(\text{parameter})} - 1, & \text{if } \text{Parameter} > \text{Limit} \\ 0, & \text{if } \text{Parameter} \leq \text{Limit} \end{cases} \quad (2)$$

where  $wf_i$  and limits of the various parameters are summarized in Table 3.

Table 3: Limits and weighting factors used for the merit function calculation.

Parameter	Weighting factor (wfi)	Limits			
		B25	B50	A100	C100
Pmax (bar)	1	220			
MPRR (bar/CAD)	1	12			

Soot (g/kWh)	0.1	0.05	0.12	0.1	0.1
NOx (g/kWh)	1	1	1	1.5	1.5
ISFC (g/kWh)	1	170.7			

The merit function used is the square of the normalized merit defined as:

$$\text{Merit} = |\text{Merit normalized}| \times \frac{\text{Merit normalized}}{100} \quad (3)$$

The new merit function definition is consistent with that of Pei et al. [39] resulting in the same order of designs based on the merit values. In our case, the order of the best 30 designs out of the 256 CFD designs are the same for both merit functions (Eq. (3) and Pei et al. [39]). This property is very important to ensure consistency of the results given by the optimization scheme later.

The same fitting/prediction capability tests were performed for the merit function as well. Figure 10 presents the prediction capability of the merit function using the SuperLearner and Xgboost algorithms. As seen in Figure 10, the prediction capability of SuperLearner is better than that of Xgboost. This is expected because SuperLearner was shown to predict the engine output parameters better than Xgboost (Figure 8). In general, the SuperLearner is able to depict the trend of the merit value of engine designs correctly.

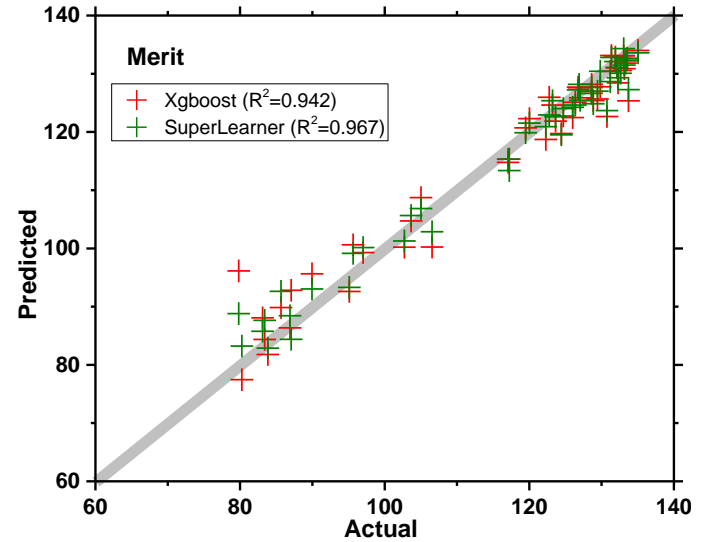


Figure 10. Prediction versus actual merit values of the 51 testing designs.

### Optimization scheme

As seen from Figure 8 and Figure 10, having only 256 designs for an 11-dimension problem to train the ML algorithm poses a potential issue with predictability. To improve the predictability, an iterative process was implemented here where the ML-GGA was first run using the 256 training data sets.

Subsequently, the design variables and the engine output parameters of the 5 best designs identified by ML-GGA were added to the training dataset. The optimum designs identified by the ML-GGA model were solved using CFD before adding them to the training data. This loop was repeated until no further improvements were observed after adding new designs from the previous iteration (see Figure 11). This process ensures that the predictability of ML around the good designs enhances from one iteration to another. In general, three or four iterations were required to achieve convergence.

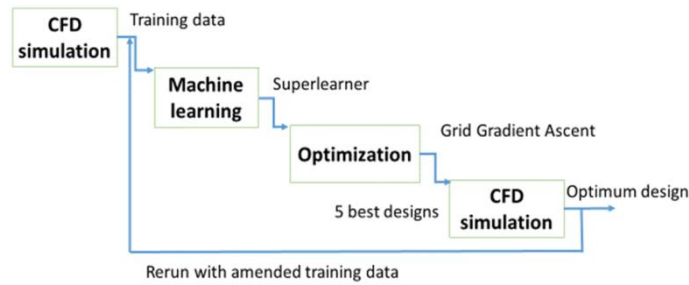


Figure 11. ML-GGA piston bowl geometry optimization scheme.

## Results

The ML-GGA optimization scheme described herein was used to identify piston bowl geometries that would yield better engine performance compared to the ones obtained by Pei et al. [39] at the four operating conditions. Better piston bowl designs

were obtained from the ML-GGA optimization algorithm at only two operating conditions (B25 and B50). The design variables, engine output parameters, merit values and improvement in merit for the best CFD and ML-GGA designs are presented in Table 4 for the four operating conditions. The ML-GGA results shown in Table 4 are from the CFD simulations of the proposed optimum designs by the ML-GGA model. Evidently, 2.13 and 0.7% improvements in merit were obtained by the ML-GGA for B25 and B50 operating points, respectively. The best CFD and ML-GGA piston bowl designs are shown in Figure 12. The optimum designs obtained from the ML-GGA approach for B25 and B50 share the same high-level features with those from the best CFD case. This is expected because the ML-GGA code essentially refines the search for optimum designs in the vicinity of the best CFD designs. Considering the set of weighting factors derived from the EPA SET Weights shown in Table 6 of Ref. [39], the overall weighted average improvement in merit obtained from the current work is  $2.13\% \times 0.278 + 0.7\% \times 0.278 = 0.78\%$ . Finally, the robustness of the best CFD and ML-GGA designs at all operating conditions is investigated. Figure 13 shows the sensitivity of the merit function on the merit deterioration rate for all optimum designs at the four operating conditions. The deterioration rates are similar for the best CFD and ML-GGA designs at B25, B50 and C100 conditions. The decay of the merit of the ML-GGA design at A100 is, however, higher than that of the best CFD. This indicates that the best CFD at A100 is a more robust design despite having similar merit values to the ML-GGA best designs.

Table 4: Design variables, emissions, fuel consumption, merit values and merit improvements of the best CFD and ML-GGA designs at all operating conditions.

	<b>B25</b>		<b>B50</b>		<b>A100</b>		<b>C100</b>	
	Best [39]	CFD	ML-GGA	Best [39]	CFD	ML-GGA	Best [39]	CFD
<b>Piston design variables</b>								
lipAngle	-14.53		-18.59	-7.97		-1.20	11.17	11.17
lipCircleRadius	3.30		3.29	4.16		3.31	3.29	3.29
lipRadius	38.98		38.50	42.27		41.50	43.79	43.79
slopeAngle	2.77		-4.91	-5.20		-8.22	-10.95	-10.95
stepCurvature	0.10		0.07	0.01		0.03	0.02	0.02
stepRatio	0.98		0.78	0.52		0.75	0.76	0.76
stepLength1	12.98		10.17	9.70		10.28	11.70	11.70
stepLength2	2.09		3.66	3.29		3.12	1.89	1.89
centerDepth	2.56		3.77	3.69		4.18	4.41	4.41
bowlRadius	12.00		10.85	12.00		10.78	8.83	8.83
lipDepth	9.77		11.58	8.54		6.49	7.65	7.65
<b>Engine-out parameters</b>								
ISFC (g/kW.hr)	161.07		160.54	160.85		160.61	165.76	161.77
Soot (g/kW.hr)	0.01		0.01	0.10		0.10	0.05	0.11
NOx (g/kW.hr)	0.82		0.79	0.99		0.99	1.48	1.49
MPRR (bar/CAD)	9.88		10.18	10.69		10.48	10.03	10.07
Pmax (bar)	97.42		97.19	151.97		151.77	215.69	209.30
Merit	153.29		156.55	137.82		138.79	154.44	142.56
Improvement in merit (%)		2.13			0.70		0.0	0.0

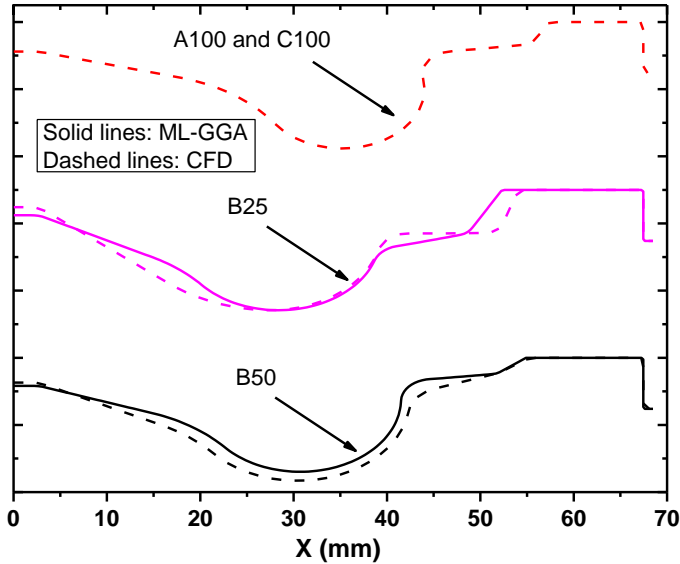


Figure 12. The best designs from CFD [39] and ML-GGA for all operating points.

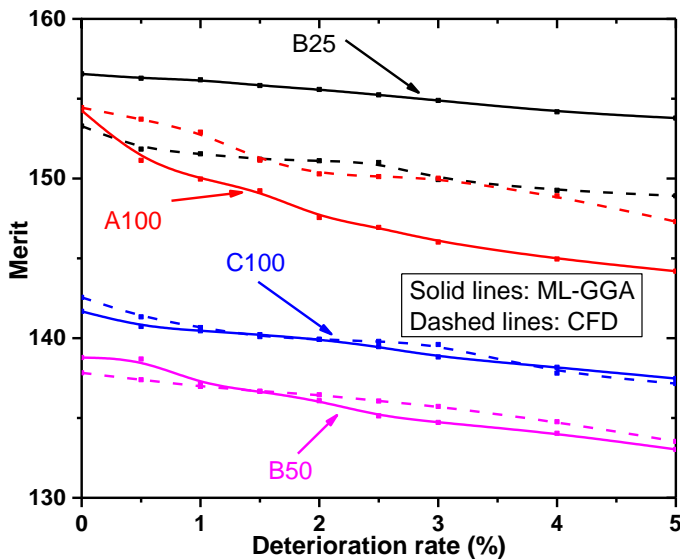


Figure 13. Robustness of the best designs from CFD [39] and ML-GGA for all operating points.

## CONCLUSIONS

In this work, a methodical approach for tackling engine optimization problems using machine learning is presented. Critical precautions, recommended algorithms and suitable optimization techniques are discussed. Internal combustion engine optimization is a highly complex problem because it is coupled and highly nonlinear. Therefore, multidimensional optimization approach can avoid design failure in operation modes beyond the focal optimization criteria. A careful definition of the objective function for optimization is a critical step. Training data for these machine learning algorithms has to be cleverly prepared to improve the prediction efficiency. Global optimum search optimization methods have to be adopted to avoid local optimum designs with reduced merit value. Post-

processing of the optimization outputs is also needed to evaluate the recommended design by employing sensitivity and robustness analysis. A Machine Learning-Genetic Algorithm (ML-GGA) code was developed here and validated against literature data. Additional analyses and improvements were introduced to the ML-GA code and its potential was demonstrated using two case studies. The first case study reproduced and analyzed the recent work performed by Moiz et al. [25], which focused on optimizing the operating conditions of a heavy-duty engine operating in GCI mode. Our analysis showed that extending the parameter range outside the training data limits and performing a sensitivity study has the potential to yield better optimal conditions. The second case study was aimed at optimization of the piston bowl geometry of a heavy-duty GCI engine at different operating conditions. Improved piston bowl geometries compared to the best CFD designs were obtained from the ML-GGA code developed here with up to 2.13% improvements in the merit value.

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