

# Purely infinite $C^*$ -algebras associated to étale groupoids

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Nebraska-Iowa Functional Analysis Seminar

April 2014

## Purely infinite simple

Let  $A$  be a simple  $C^*$ -algebra.

- For  $a \in M_n(A)$ ,  $b \in M_m(A)$  be positive, we say  $a$  is *Cuntz below*  $b$ ,  $a \precsim b$ , if there exist  $x_k \in M_{m,n}(A)$  such that  $x_k^* b x_k \rightarrow a$  in norm.
- $a \in A^+$  is *infinite* if there exists  $b \in A^+$   $a \oplus b \precsim a$ .
- A projection  $p \in A$  is infinite if and only if it is Murray-von Neumann equivalent to a proper subprojection of itself. (KR00 Lemma 3.1)
- $A$  is purely infinite if every  $a \in A^+ - \{0\}$  is infinite. (KR00 Theorem 4.16)

### Theorem (Kirchberg Phillips)

Let  $A$  and  $B$  be separable nuclear, purely infinite simple  $C^*$ -algebras satisfying the Universal Coefficient Theorem (UCT). Assume  $A$  and  $B$  are both unital or both nonunital. If there exists a graded isomorphism  $\alpha : K_*(A) \rightarrow K_*(B)$ , which (in the unital case) satisfies  $\alpha([1_A]) = [1_B]$ , then there exists an isomorphism  $\phi : A \rightarrow B$

# Graph $C^*$ -algebras

Let  $E = (E^0, E^1, r, s)$  be a directed graph.

- $E$  is *row-finite* if  $r^{-1}(v) < \infty \quad \forall v \in E^0$ .
- $E$  has *no sources* if  $r^{-1}(v) \neq \emptyset \quad \forall v \in E^0$ .
- $\alpha = \alpha_1 \alpha_2 \cdots$  is a path if  $s(\alpha_i) = r(\alpha_{i+1})$ :  $r(\alpha) = r(\alpha_1)$ .
- $E^*$  is the set of finite paths,  $E^\infty$  is the set of infinite paths.
- For  $\alpha \in E^*$ 
  - ▶  $|\alpha|$  is the length  $\alpha$ ;
  - ▶  $s(\alpha) = s(\alpha_{|\alpha|})$ ;
  - ▶  $\alpha$  is a *return path* if  $r(\alpha) = s(\alpha)$ .
    - ★ A return path  $\alpha$  has an *entrance* if there exists  $i$  and  $e \in r^{-1}(r(\alpha_i)) - \{\alpha_i\}$ .

# Purely infinite graph algebras

KPRR 1997 construct a  $C^*$ -algebra  $C^*(E)$  from  $E$ .

## Theorem (KPR 1998)

$C^*(E)$  is purely infinite simple if and only if

- ① There exists a return path in  $E$ ,
- ② Every return path in  $E$  has an entrance, and
- ③  $\forall v \in E^0, x = x_1 x_2 \cdots \in E^\infty \exists \alpha \in E^*, i \in \mathbb{N}$  such that  $r(\alpha) = v, s(\alpha) = r(x_i)$ . (cofinal)

- $C^*(E)$  simple iff items (2) and (3) above hold.
- That (1)-(3) are sufficient is a result about groupoids from Anantharaman-Delaroch 97.
- To show they are necessary, the authors show that if  $E$  satisfies (2) and (3) but not (1) then  $C^*(E)$  is AF.
  - ▶ This dichotomy is particular for graphs.

If we try to generalize to  $k$ -graphs, A-D 97 still gives a sufficient condition, but no necessary condition is known.

# Groupoids

A groupoid  $G$  can be defined as a small category in which every morphism is invertible.

- We identify the objects of the category with the identity morphisms and denote both by  $G^{(0)}$ ;  $G^{(0)}$  is called the unit space of  $G$ .
- Denote the range of a morphism  $\gamma$  by  $r(\gamma)$  and its source by  $s(\gamma)$ ;  $r, s : G \rightarrow G^{(0)}$ .
- We say a pair of morphisms  $(\gamma, \eta)$  is composable if and only if  $s(\gamma) = r(\eta)$  and denote the composition by  $\gamma\eta$ .
- $G$  acts on  $G^{(0)}$  by  $\gamma \cdot s(\gamma) = r(\gamma)$ ; for  $C \subset G^{(0)}$  we denote  $G \cdot C := \{r(\gamma) : s(\gamma) \in C\}$ .

# Topological groupoids

We say  $G$  is a topological groupoid if  $G$  has a topology in which composition and inversion of morphisms are continuous.

- This implies  $r$  and  $s$  are continuous.
- We assume this topology is second countable locally compact and Hausdorff.
- $G$  is étale if it is a topological groupoid such that  $r$  and  $s$  are local homeomorphisms.
  - ▶  $G$  étale implies  $G^{(0)}$  is open and closed in  $G$ .
  - ▶ we call open sets  $B$  such that  $r, s$  are homeomorphisms on  $B$  *bisections*.
- $G$  is *topologically principal* if  $\{u \in G^{(0)} : r^{-1}(u) \cap s^{-1}(u) = \{u\}\}$  is dense in  $G^{(0)}$ .
- $G$  is *minimal* if  $G \cdot U \subset U$  and  $U$  open implies  $U \in \{\emptyset, G^{(0)}\}$ .
  - ▶ Minimal implies: for  $x \in G^{(0)}$ ,  $U$  open there exists an open bisection  $B$  such that  $x \in B \cdot U$ .

# The groupoid of a directed graph

Let  $E$  be a row-finite directed graph with no sources. Define:

$$G_E := \{(x, k, y) \in E^\infty \times \mathbb{Z} \times E^\infty : \exists N \text{ with } x_{i+k} = y_i \text{ for } i \geq N\}.$$

- If  $\alpha = x_1 x_2 \cdots x_{N+k}$ ,  $\beta = y_1 y_2 \cdots y_N$  and  $z = y_{N+1} y_{N+2} \cdots$ , then  $(x, k, y) = (\alpha z, |\alpha| - |\beta|, \beta z)$ .
- $G_E$  is a groupoid with unit space  $E^\infty$ :
  - ▶  $(x, k, y)$  is a morphism from  $y$  to  $x$ ,
  - ▶ composition is given by  $(x, k, y)(y, \ell, z) = (x, k + \ell, z)$ ,
  - ▶  $(x, k, y)^{-1} = (y, -k, x)$ .
- The sets

$$Z(\alpha, \beta) := \{(\alpha x, |\alpha| - |\beta|, \beta x) : r(x) = s(\alpha) = s(\beta)\} \quad \text{for } \alpha, \beta \in E^*$$

form a basis for a locally compact Hausdorff topology on  $G_E$ :

- ▶  $Z(\alpha, \beta)$  is compact.
- $G_E$  topologically principal iff every return path in  $E$  has an entrance
- $G_E$  minimal iff  $E$  cofinal.

## Groupoid $C^*$ -algebras

For an étale groupoid  $G$  we define a convolution algebra structure on  $C_c(G)$  by

$$f * g(\gamma) = \sum_{r(\eta)=r(\gamma)} f(\eta)g(\eta^{-1}\gamma) \quad f^*(\gamma) = \overline{f(\gamma^{-1})}.$$

We define the regular representation of  $C_c(G)$  at  $u \in G^{(0)}$  on  $\ell^2(Gu)$  by

$$\pi_u(f)\delta_\gamma = \sum_{s(\eta)=r(\gamma)} f(\eta)\delta_{\gamma\eta}$$

- $C_r^*(G)$  is the completion of  $C_c(G)$  in the norm

$$\|f\|_r = \sup_{u \in G^{(0)}} \|\pi_u(f)\|.$$

$C_r^*(G)$  is simple if  $G$  is topologically principal and minimal (Renault 1980).



# Conditional expectation

Let  $G$  be an étale groupoid.

- $G^{(0)}$  is open and closed in  $G$ .
- $f \in C_c(G^{(0)})$  the  $f \in C_c(G)$  (extend by 0)
  - ▶ This extends to an embedding of  $C_0(G^{(0)})$  into  $C_r^*(G)$ .
- $f \in C_c(G) \implies f|_{G^{(0)}} \in C_0(G^{(0)})$ .
  - ▶  $f \mapsto f|_{G^{(0)}}$  extends to a faithful conditional expectation

$$E : C_r^*(G) \rightarrow C_0(G^{(0)}).$$

▶ That is:

- ★  $E(ba) = bE(a) \ \forall \ b \in C_0(G^{(0)}), \ a \in C_r^*(G)$
- ★  $E(a) \in C_0(G^{(0)})^+ \ \forall \ a \in C_r^*(G)^+$
- ★  $E(a^*a) = 0 \implies a = 0$ .

# Purely infinite simple étale groupoids

Given  $a \in C_r^*(G)^+$ ,  $E(a) \in C_0(G^{(0)})^+$ .

- Take

$$h = \max\{E(a/\|a\|) - 1/2, 0\}$$

- Then  $h \precsim a$  by Lemma 2.2 of Kirchberg Rørdam 2000.
- So if  $h$  is infinite then so is  $a$ .
- Thus if every element in  $C_0(G^{(0)})^+$  is infinite then every element  $C_r^*(G)^+$  is.

## Theorem (B., Clark, Sierakowski)

*If  $G$  is a locally compact étale groupoid that is topologically principal and minimal then  $C_r^*(G)$  is purely infinite if and only if every element of  $C_0(G^{(0)})^+$  is infinite (in  $C_r^*(G)$ ).*

## Purely infinite ample groupoids

A groupoid is ample if it has a basis of compact open bisections.

- Ample groupoids are étale and locally compact.
  - ▶ So the previous theorem applies to them.
- Ample groupoids have lots of projections:
  - ▶ If  $U$  compact open bisection then  $\chi_U$  is continuous.
  - ▶ For  $U, V$  compact open bisections  $\chi_U * \chi_V = \chi_{UV}$  so if  $U \subset G^{(0)}$  we have

$$\chi_U \in C_0(G^{(0)}) \quad \text{and} \quad \chi_U^2 = \chi_U.$$

- If  $h \in C_0(G^{(0)})^+$ , then there exists  $U$  and  $s \in \mathbb{R}_{>0}$  such that  $h|_U \geq s$ .
- Therefore  $h \geq s\chi_U$  and so  $\chi_U \precsim h$ .
- Thus if  $\chi_U$  is infinite then  $h$  is infinite.

### Theorem (B., Clark, Sierakowski)

*If  $G$  is a locally compact ample groupoid that is topological principal and minimal and  $\mathcal{B}$  is a basis of compact open sets for  $G^{(0)}$  then  $C_r^*(G)$  is purely infinite if and only if  $\chi_U$  is infinite for all  $U \in \mathcal{B}$ .*

# More fun with ample groupoids

## Theorem (B., Clark, Sierakowski)

*If  $G$  is a locally compact ample groupoid that is topological principal and minimal and  $\mathcal{B}$  is a basis of compact open sets for  $G^{(0)}$  then  $C_r^*(G)$  is purely infinite if and only if  $\chi_U$  is infinite for all  $U \in \mathcal{B}$ .*

Now  $G$  is minimal.

- So if  $x \in G^{(0)}$  and  $U \in \mathcal{B}$  there exists a compact open bisection such that  $x \in r(B)$  and  $s(B) \subset U$ .
- Since  $\chi_B^* \chi_B \leq \chi_U$  and  $\chi_B \chi_B^* = \chi_{r(B)}$ , we have  $\chi_U$  infinite if  $\chi_{r(B)}$  infinite.

Thus

## Corollary (B., Clark, Sierakowski)

*$C^*(G)$  is purely infinite if and only if  $\chi_V$  is infinite for all  $V \in \mathcal{N}$  where  $\mathcal{N}$  is a neighborhood basis at some point  $x \in G^{(0)}$  of compact open sets.*

## $k$ -graphs

A  $k$ -graph  $\Lambda$  is a generalization of a graph where paths have “shape” given by elements of  $\mathbb{N}^k$ .

- $C^*(\Lambda)$  is the universal  $C^*$ -algebra generated by a Cuntz-Krieger  $\Lambda$ -family  $\{s_\lambda : \lambda \in \Lambda\}$ ;
  - ▶ in particular  $s_\lambda^* s_\lambda = s_{s(\lambda)}$ .

Define

$$G_\Lambda := \{(x, n, y) \in \Lambda^\infty \times \mathbb{Z}^k \times \Lambda^\infty : \sigma^l(x) = \sigma^m(y), n = l - m\}$$

where  $\sigma$  is the shift map and  $x$  and  $y$  are infinite paths.

- The unit space of  $G_\Lambda$  is  $\Lambda^\infty$  the set of infinite paths.

For  $\lambda, \mu \in \Lambda$  with  $s(\lambda) = s(\mu)$ , the sets

$$Z(\lambda, \mu) := \{(\lambda z, d(\lambda) - d(\mu), \mu z) : z \in \Lambda^\infty(s(\lambda))\}.$$

give a basis of a second countable locally compact Hausdorff topology of compact open sets.

- $\phi : C^*(\Lambda) \rightarrow C^*(G_\Lambda) \quad s_\lambda \mapsto \chi_{Z(\lambda, s(\lambda))}$  is an isomorphism.

# Purely infinite $k$ -graphs

- For  $x \in \Lambda^\infty$  the sets  $Z(\lambda, \lambda)$  where  $\lambda$  ranges over initial segments of  $X$  is a neighborhood basis at  $x$ .
- $s_\lambda s_\lambda^*$  is infinite iff  $\phi(s_\lambda s_\lambda^*) = \chi_{Z(\lambda, \lambda)}$  is infinite.
- $s_{s(\lambda)} = s_\lambda s_\lambda^*$  is equivalent to  $s_\lambda s_\lambda^*$ .
- So  $s_\lambda s_\lambda^*$  is infinite if and only if  $s_{s(\lambda)}$  is.

## Theorem (B., Clark, Sierakowski)

*Let  $\Lambda$  be a row-finite  $k$ -graph with no sources then  $C^*(\Lambda)$  is purely infinite if and only if there exists  $x \in \Lambda^\infty$  such that  $s_v$  is infinite for all vertices  $v$  in  $x$ .*

GPOTS 2014  
Kansas State University  
May 27-31