

ALGEBRAIC ISOMORPHISMS OF LIMIT ALGEBRAS

A. P. DONSIG, T. D. HUDSON*, AND E. G. KATSOULIS

ABSTRACT. We prove that algebraic isomorphisms between limit algebras are automatically continuous, and consider the consequences of this result. In particular, we give partial solutions to a conjecture of Power [22, Notes to Chapter 8], and to an open problem [22, Problem 7.8]. As a further consequence, we describe epimorphisms between various classes of limit algebras.

In this paper, we study automatic continuity for limit algebras. Automatic continuity involves algebraic conditions on a linear operator from one Banach algebra into another that guarantee the norm continuity of the operator. This is a generalization, via the open mapping theorem, of the uniqueness of norms problem. Recall that a Banach algebra \mathcal{A} is said to have a unique (Banach algebra) topology if any two complete algebra norms on \mathcal{A} are equivalent, so that the norm topology determined by a Banach algebra is unique. Uniqueness of norms, automatic continuity, and related questions have played an important and long-standing role in the theory of Banach algebras [6, 31, 27, 10, 11, 2].

Limit algebras, whose theory has grown rapidly in recent years, are the nonselfadjoint analogues of UHF and AF C^* -algebras. We first prove that algebraic isomorphisms between limit algebras are automatically continuous (Theorem 1.4). This proof uses the ideal theory of limit algebras as well as key results from the theory of automatic continuity for Banach algebras. Combining this with [22, Theorem 8.3] verifies Power's conjecture that the C^* -envelope of a limit algebra is an invariant for purely algebraic isomorphisms, for limits of finite dimensional nest algebras, and in particular, for all triangular limit algebras (Corollary 1.6). In [5], the first two authors studied triangular limit algebras in terms of their lattices of ideals. By combining automatic continuity with this work, we show that within the class of algebras generated by their order preserving normalizers (see below for definitions), algebraically isomorphic algebras are isometrically isomorphic (Theorem 2.3). This

1991 *Mathematics Subject Classification.* 47D25, 46K50, 46H40.

*Research partially supported by an NSF grant.

March 17, 1998; revised March 29, 1999.

shows that the spectrum, or fundamental relation [19], a topological binary relation which provides coordinates for limit algebras and is a useful tool in classifications, is a complete algebraic isomorphism invariant for this class (Corollary 2.4). In recent work, the second two authors studied primitivity for limit algebras [9], showing that a variety of limit algebras are primitive. These results, together with automatic continuity, give descriptions of epimorphisms between various classes of limit algebras, namely lexicographic algebras (Theorem 3.2) and \mathbb{Z} -analytic algebras (Theorem 3.3).

We would like to thank the referee for comments about our original ‘proof’ of Theorem 2.3. **Preliminaries.** We briefly recall the framework for studying limit algebras; see the monograph [22] for details.

Let \mathcal{C} be an AF C^* -algebra and X, Y subalgebras of \mathcal{C} . The *normalizer* of Y in X is

$$N_Y(X) = \{x \in X \mid x \text{ is a partial isometry and } xyx^*, x^*yx \in Y \text{ for all } y \in Y\}.$$

A maximal abelian selfadjoint subalgebra (masa) \mathcal{D} of \mathcal{C} is called a *canonical masa* in \mathcal{C} if there is a nested sequence $(C_k)_k$ of finite dimensional C^* -subalgebras of \mathcal{C} so that $\mathcal{C} = \overline{\bigcup_k C_k}$, and if $D_k = C_k \cap \mathcal{D}$, then D_k is a masa in C_k satisfying $\mathcal{D} = \overline{\bigcup_k D_k}$ and $N_{D_i}(C_i) \subseteq N_{D_{i+1}}(C_{i+1})$. A *regular canonical subalgebra* is a norm-closed subalgebra of an AF C^* -algebra \mathcal{C} that contains a canonical masa in \mathcal{C} . For brevity, we refer to a regular canonical subalgebra as a *limit algebra*. A norm-closed subalgebra \mathcal{A} of \mathcal{C} is a limit algebra if and only if \mathcal{A} is the direct limit $\varinjlim (A_k, \alpha_k)$ of a directed system

$$(1) \quad A_1 \xrightarrow{\alpha_1} A_2 \xrightarrow{\alpha_2} A_3 \xrightarrow{\alpha_3} A_4 \cdots,$$

where for each k ,

- (i) A_k is a subalgebra of the finite dimensional C^* -algebra C_k containing a masa D_k of C_k ,
- (ii) α_k extends to an injective $*$ -homomorphism from C_k to C_{k+1} , and
- (iii) the extension of α_k maps $N_{D_k}(C_k)$ into $N_{D_{k+1}}(C_{k+1})$.

The limit algebra \mathcal{A} is called *triangular AF* (TAF) if $\mathcal{A} \cap \mathcal{A}^*$ equals a canonical masa in \mathcal{C} . In this case, each algebra A_k in (1) above can be taken to be a subalgebra of some upper triangular matrix algebra. If \mathcal{A} is a TAF algebra and $\mathcal{A} + \mathcal{A}^*$ is dense in \mathcal{C} , then \mathcal{A} is called *strongly maximal triangular*. If \mathcal{A} is a strongly maximal TAF algebra, then we can write $\mathcal{A} = \varinjlim (A_k, \alpha_k)$, where each A_k is a direct sum of \mathbf{T}_n ’s [16, Lemma 1.1] (\mathbf{T}_n denotes the $n \times n$ upper triangular matrices with complex entries). If each A_k is a single \mathbf{T}_n for each k , then \mathcal{A} is called *strongly maximal triangular in factors*. Note that there are strongly maximal triangular subalgebras of UHF C^* -algebras that are not strongly maximal triangular in factors [16, Example 2.10].

1. AUTOMATIC CONTINUITY FOR ALGEBRAIC ISOMORPHISMS OF LIMIT ALGEBRAS

To prove that algebraic isomorphisms of limit algebras are continuous, we require Rickart's notion of a separating space. Let $\varphi : \mathcal{A} \rightarrow \mathcal{B}$ be an epimorphism between Banach algebras, and define

$$\mathcal{S}(\varphi) = \{b \in \mathcal{B} \mid \text{there is a sequence } (a_n)_n \subseteq \mathcal{A} \text{ satisfying } a_n \rightarrow 0 \text{ and } \varphi(a_n) \rightarrow b\}.$$

Obviously $\mathcal{S}(\varphi)$ is a closed ideal of \mathcal{B} . Moreover, one can easily check that the graph of φ is closed if and only if $\mathcal{S}(\varphi) = (0)$. Thus by the closed graph theorem, φ is continuous if and only if $\mathcal{S}(\varphi) = (0)$.

The following is an adaptation of [28, Lemma 2.1].

Lemma 1.1 (Sinclair). *Let $\varphi : \mathcal{A} \rightarrow \mathcal{B}$ be an epimorphism of Banach algebras, and let $(b_n)_{n=1}^\infty$ be any sequence in \mathcal{B} . Then there exists $N \in \mathbb{N}$ so that for all $n \geq N$,*

$$\overline{b_1 b_2 \dots b_n \mathcal{S}(\varphi)} = \overline{b_1 b_2 \dots b_{n+1} \mathcal{S}(\varphi)}$$

and

$$\overline{\mathcal{S}(\varphi) b_n b_{n-1} \dots b_1} = \overline{\mathcal{S}(\varphi) b_{n+1} b_n \dots b_1}.$$

Proof. Define a_n by $\varphi(a_n) = b_n$. The first statement follows from [28, Lemma 2.1] with $X = \mathcal{A}$, $Y = \mathcal{B}$, and S, T_n , and R_n defined as $Sx = \varphi(x)$, $T_n x = a_n x$, and $R_n x = b_n x$. The second statement follows similarly, but with $Sx = \varphi(x)$, $T_n x = x a_n$, and $R_n x = x b_n$. \square

We also require two technical lemmas about ideals in limit algebras. Recall that closed ideals in limit algebras are always inductive [22], that is, if $\mathcal{A} = \overline{\bigcup_n A_n}$, and \mathcal{I} is an ideal, then $\mathcal{I} = \overline{\bigcup_n (A_n \cap \mathcal{I})}$.

Lemma 1.2. *If \mathcal{I} is a nonzero finite dimensional ideal of a limit algebra \mathcal{A} , then there exist nonzero projections p, q in \mathcal{A} so that $p\mathcal{A}q = p\mathcal{I}q \neq (0)$.*

Proof. Let $\varinjlim (A_n, \alpha_n)$ be a presentation for \mathcal{A} , where each A_n is a digraph algebra. Since \mathcal{I} is finite dimensional, for some k there are finitely many matrix units from A_k which span \mathcal{I} , and moreover, for each $n \geq k$, the image under $\alpha_{n,k}$ of each of these matrix units is a single matrix unit in A_n . Let e be a matrix unit in $\mathcal{I} \cap A_k$, and set $p = ee^*$, $q = e^*e$. Clearly $p\mathcal{I}q = \mathbb{C}e \neq (0)$. Since e has only one restriction in A_n , for each $n \geq k$, both p and q each have only one restriction in each A_n . It follows that $pA_n q = pA_k q = p\mathcal{I}q$ for each $n \geq k$, so by inductivity, $p\mathcal{A}q = p\mathcal{I}q$. \square

Lemma 1.3. *If \mathcal{I} is an infinite dimensional closed ideal in a limit algebra \mathcal{A} , then there exists a sequence $(p_n)_{n=1}^\infty$ of mutually orthogonal projections in \mathcal{A} so that either $p_n \mathcal{I} \neq (0)$ for all n or $\mathcal{I} p_n \neq (0)$ for all n .*

Proof. Let $\varinjlim (A_n, \alpha_n)$ be a presentation for \mathcal{A} . By relabeling the presentation, if necessary, we can assume $\mathcal{I} \cap A_1 \neq (0)$. We say $e \in N_{\mathcal{D}}(\mathcal{A})$ has infinitely many restrictions if there is an infinite sequence of pairwise orthogonal projections, (q_n) , in \mathcal{D} so that $q_n e \neq 0$ for all n . Note that, if there is a matrix unit e in \mathcal{I} with infinitely many restrictions, then we can choose the sequence (p_n) from the orthogonal range projections of restrictions of e . Let Ω be the collection of all final projections of matrix units from \mathcal{I} and Λ the collection of initial projections. At least one of Ω, Λ must be infinite, for else \mathcal{I} would be finite dimensional.

Assume Ω is infinite, and let \mathcal{P}_n be the set of distinct final projections of all matrix units in $\mathcal{I} \cap A_n$. If some $p \in \mathcal{P}_1$ had infinitely many restrictions, then a matrix unit in $\mathcal{I} \cap A_1$ would have infinitely many restrictions and the conclusion would follow, as noted above. So we may assume that for every n , each $p \in \mathcal{P}_n$ has only finitely many restrictions. Pick some element $p_1 \in \mathcal{P}_1$. As $p_1 \in \mathcal{P}_1 \subseteq \Omega$, $p_1 \mathcal{I} \neq (0)$. Since \mathcal{P}_1 is finite and Ω is infinite, there is some $n > 1$ so that \mathcal{P}_n contains an element, call it p_2 , which is not a restriction of some $p \in \mathcal{P}_1$. Since it is not a restriction of any element of \mathcal{P}_1 , p_2 may be chosen orthogonal to each element of \mathcal{P}_1 , and in particular, to p_1 . Again, $p_2 \mathcal{I} \neq (0)$. Next, there is some $m > n$ so that \mathcal{P}_m contains an element, call it p_3 , which is not a restriction of some $p \in \mathcal{P}_n$. Continuing in this way, we can construct the required sequence $(p_n)_{n=1}^\infty$ with $p_n \mathcal{I} \neq (0)$.

With the obvious modifications, the same argument works if Λ is infinite. \square

We can now prove our main result.

Theorem 1.4. *If $\varphi : \mathcal{A} \rightarrow \mathcal{B}$ is an algebraic isomorphism between limit algebras \mathcal{A} and \mathcal{B} , then φ is continuous.*

Proof. It suffices to prove that $\mathcal{S}(\varphi) = (0)$. Assume that $\mathcal{S}(\varphi)$ is nonzero and finite dimensional. By Lemma 1.2, there are nonzero projections $p, q \in \mathcal{B}$ so that $p \mathcal{B} q = p \mathcal{S}(\varphi) q \neq (0)$. In particular, $p \mathcal{B} q$ is finite dimensional. Let $e, f \in \mathcal{A}$ satisfy $\varphi(e) = p$ and $\varphi(f) = q$. Clearly e, f are idempotents in \mathcal{A} , and $e \mathcal{A} f$ is finite dimensional since $p \mathcal{B} q$ is.

Now let $b \in \mathcal{S}(\varphi)$ so that $p b q \neq 0$. Then there is a sequence $(a_n)_n$ in \mathcal{A} so that $a_n \rightarrow 0$ and $\varphi(a_n) \rightarrow b$. Hence

$$\varphi(e a_n f) = p \varphi(a_n) q \longrightarrow p b q \neq 0.$$

But since $e a_n f \rightarrow 0$, this shows that the map $\varphi|_{e \mathcal{A} f}$ is discontinuous. But $\dim(e \mathcal{A} f) < \infty$, a contradiction.

Hence if $\mathfrak{S}(\varphi)$ is nonzero, it must be infinite dimensional. Applying Lemma 1.3 to the ideal $\mathfrak{S}(\varphi)$, we obtain a sequence $(p_n)_{n=1}^\infty$ of mutually orthogonal projections in \mathfrak{B} so that either $p_n \mathfrak{S}(\varphi) \neq (0)$ for each n or $\mathfrak{S}(\varphi)p_n \neq (0)$ for each n . Set

$$b_n = \sum_{k=n}^{\infty} \frac{p_k}{2^k},$$

so that

$$b_1 b_2 \dots b_n = b_n b_{n-1} \dots b_1 = \sum_{k=n}^{\infty} \frac{p_k}{2^{nk}}.$$

If $p_n \mathfrak{S}(\varphi) \neq (0)$ for each n , then

$$\overline{b_1 b_2 \dots b_n \mathfrak{S}(\varphi)} \supsetneq \overline{b_1 b_2 \dots b_{n+1} \mathfrak{S}(\varphi)}.$$

If $\mathfrak{S}(\varphi)p_n$ is nonzero for each n , then

$$\overline{\mathfrak{S}(\varphi) b_n b_{n-1} \dots b_1} \supsetneq \overline{\mathfrak{S}(\varphi) b_{n+1} b_n \dots b_1}.$$

In either case, we have contradicted Theorem 1.1. It follows that if φ is an algebraic isomorphism, then $\mathfrak{S}(\varphi) = (0)$, i.e., φ is continuous. \square

Remark. A similar result for triangular subalgebras of groupoid C^* -algebras was previously announced and circulated in preprint form [26, Theorem 6.4]. Unfortunately, the proof given there is incomplete. In any case, our techniques are quite different and Theorem 1.4 applies to non-triangular algebras, albeit subalgebras of AF C^* -algebras.

The above proof, with only trivial changes, also establishes the following result.

Corollary 1.5. *If \mathcal{A} is a Banach algebra and \mathfrak{B} a limit algebra with no finite dimensional ideals, then any epimorphism from \mathcal{A} onto \mathfrak{B} is continuous.*

Power has shown that the C^* -envelope of certain limit algebras is a Banach algebra invariant [22, Theorem 8.3]. Note that Theorem 8.3 is not valid in the generality stated, as it depends on Lemma 8.1, which is false [25]; thus, Theorem 8.3 must be restricted to inductive limits of finite dimensional nest algebras. However, this includes all strongly maximal triangular limit algebras, so the theorem still applies to a wide range of algebras.

Power has suggested that the C^* -envelope is an invariant for purely algebraic isomorphisms of limit algebras [22, Chapter 8 Notes]. Power's work and Theorem 1.4 directly imply this conjecture for a variety of limit algebras.

Corollary 1.6. *If \mathcal{A} and \mathfrak{B} are algebraically isomorphic limit algebras, both inductive limits of finite dimensional nest algebras, then $C^*(\mathcal{A})$ and $C^*(\mathfrak{B})$ are isomorphic as C^* -algebras.*

Moreover, Theorem 1.4 implies that to show the C^* -envelope is an algebraic invariant for all limit algebras, it is enough to show that it is a Banach algebra invariant.

2. ALGEBRAIC ISOMORPHISMS BETWEEN ORDER PRESERVING TAF ALGEBRAS

Power has conjectured that algebraic isomorphism may be equivalent to isometric isomorphism for subalgebras of AF C^* -algebras containing a regular canonical masa [21, p. 95]. In this section we combine Theorem 1.4 with results from [5] to prove this conjecture for strongly maximal TAF algebras generated by their order preserving normalizers. That is, two algebras in this family are algebraically isomorphic if and only if they are isometrically isomorphic.

This family includes a range of fundamental examples, such as the standard, refinement, and alternation limit algebras [1, 7, 15, 17], the lexicographic algebras [14, 23, 24], and the \mathbb{Z} -analytic algebras [16, 18], as well as non-analytic algebras [8, 29]. We include the necessary definitions below, but refer the reader to [3, 5] for further details on order preservation and TAF algebras generated by their order preserving normalizers.

Fix a TAF algebra \mathcal{A} , and let $\mathcal{P}(\mathcal{A})$ denote the collection of all diagonal projections of \mathcal{A} . The *diagonal order* on $\mathcal{P}(\mathcal{A})$, denoted “ \preceq ”, is a partial order given by

$$e \preceq f \iff \text{there exists } v \in N_{\mathcal{D}}(\mathcal{A}) \text{ with } vv^* = e, v^*v = f.$$

Each $v \in N_{\mathcal{D}}(\mathcal{A})$ induces a partial homeomorphism on $\mathcal{P}(\mathcal{A})$, which has domain $\{x \in \mathcal{P}(\mathcal{A}) \mid x \leq vv^*\}$ and range $\{x \in \mathcal{P}(\mathcal{A}) \mid x \leq v^*v\}$, given by $x \mapsto v^*xv$. We say that v is *order preserving* if this map preserves the diagonal order restricted to its domain and range. Define the *order preserving normalizer* of \mathcal{A} to be

$$N_{\mathcal{D}}^{\text{ord}}(\mathcal{A}) = \{v \in N_{\mathcal{D}}(\mathcal{A}) \mid v \text{ is order preserving}\},$$

and let \mathcal{A}^{ord} be the subalgebra of \mathcal{A} generated by $N_{\mathcal{D}}^{\text{ord}}(\mathcal{A})$. Note that a sum of elements of $N_{\mathcal{D}}^{\text{ord}}(\mathcal{A})$ may not be in $N_{\mathcal{D}}^{\text{ord}}(\mathcal{A})$, even if the sum is in $N_{\mathcal{D}}(\mathcal{A})$.

An embedding $\alpha : A \rightarrow B$ between triangular algebras is called *locally order preserving* if $\alpha(v)$ is an order preserving element of B for every matrix unit $v \in A$. For example, standard embeddings, refinement embeddings, embeddings induced by ordered Bratteli diagrams (see [22, Chapter 6]) and the block standard embeddings of [8] are all locally order preserving. This is easy to verify using the following characterization.

Theorem 2.1 ([3, Theorem 18]). *A TAF algebra \mathcal{A} is generated by its order preserving normalizer, if and only if, it has a presentation $\varinjlim (A_i, \alpha_i)$ with $\alpha_j \circ \cdots \circ \alpha_i$ locally order preserving for all $i \leq j$.*

The spectrum, or fundamental relation, of a limit algebra was introduced by Power [20] and is a complete isometric isomorphism invariant for TAF algebras. For a limit algebra \mathcal{A} , let H denote the maximal ideal space of the canonical masa $\mathcal{D} \subseteq \mathcal{A}$. The *spectrum* of \mathcal{A} is the topological binary relation $R(\mathcal{A})$ on H such that $(x, y) \in R(\mathcal{A})$ if and only if there is an element v of $N_{\mathcal{D}}(\mathcal{A})$ so that $y(d) = x(vdv^*)$ for all d in \mathcal{D} . In this case we say that v *relates* x and y . If $v \in N_{\mathcal{D}}(\mathcal{A})$, define $G(v)$ to be the graph of v in $R(\mathcal{A})$, that is,

$$G(v) = \{(x, y) \in R(\mathcal{A}) \mid v \text{ relates } x \text{ and } y\}.$$

Consider the topology on $R(\mathcal{A})$ that has $\{G(v) \mid v \in N_{\mathcal{D}}(\mathcal{A})\}$ as a base of open sets. The sets $G(v)$ are then clopen and compact, and so $R(\mathcal{A})$ is a totally disconnected, locally compact Hausdorff space. Furthermore, the topology on $R(\mathcal{A})$ is completely determined by those $G(v)$ for which v is a matrix unit (see [22] for details).

We will need the following result.

Theorem 2.2 ([5, Corollary 16]). *Let \mathcal{A} and \mathcal{B} be strongly maximal TAF algebras generated by their order preserving normalizers, and assume that the ideal lattices of \mathcal{A} and \mathcal{B} are isomorphic.*

- (i) *There is a bijective isometry $\eta: \mathcal{A} \rightarrow \mathcal{B}$ and closed triangular subalgebras \mathcal{E} and \mathcal{F} of \mathcal{A} with $\mathcal{E} + \mathcal{F} = \mathcal{A}$ and $\mathcal{E} \cap \mathcal{F} = \mathcal{A} \cap \mathcal{A}^*$, so that η is an algebra isomorphism on \mathcal{E} and an anti-isomorphism on \mathcal{F} .*
- (ii) *If $\phi: \text{Id}(\mathcal{A}) \rightarrow \text{Id}(\mathcal{B})$ is a lattice isomorphism, then ϕ is induced by a bijective isometry as in (i).*

The key provided by automatic continuity is that an algebraic isomorphism between limit algebras induces a lattice isomorphism between their lattices of closed ideals. Theorem 2.2 (ii) then yields a bijective isometry between the algebras, which is then deduced to be an isomorphism.

Theorem 2.3. *Suppose \mathcal{A} and \mathcal{B} are strongly maximal TAF algebras generated by their order preserving normalizers. Then \mathcal{A} and \mathcal{B} are algebraically isomorphic if and only if they are isometrically isomorphic.*

Proof. One direction is trivial; to prove the other, suppose that $\phi: \mathcal{A} \rightarrow \mathcal{B}$ is an algebraic isomorphism.

Theorem 1.4 shows that an algebra isomorphism is automatically continuous, and so ϕ maps closed ideals to closed ideals. Thus it induces a lattice isomorphism which, by Theorem 2.2 (ii) is induced by a bijective isometry $\eta: \mathcal{A} \rightarrow \mathcal{B}$ with the properties described in Theorem 2.2 (i). We will show that $\mathcal{F} = \mathcal{A} \cap \mathcal{A}^*$ and so $\mathcal{E} = \mathcal{A}$, proving the theorem.

Let $\mathcal{C} = \mathcal{A} \cap \mathcal{A}^*$, $\mathcal{D} = \mathcal{B} \cap \mathcal{B}^*$ and let P be the conditional expectation from \mathcal{B} to \mathcal{D} .

Claim 1: $\eta(x) = (P \circ \phi)(x)$ for all $x \in \mathcal{C}$.

It is enough to prove the claim for x a projection in \mathcal{C} . Observe that ϕ and η induce the same isomorphism from the maximal ideals of \mathcal{A} to the maximal ideals of \mathcal{B} . Clearly $\phi(x)$ and $\eta(x)$ belong to the same maximal ideals of \mathcal{B} . Since any multiplicative linear form coincides on $\phi(x)$ and $(P \circ \phi)(x)$, we conclude that $(P \circ \phi)(x)$ and $\eta(x)$ belong to the same maximal ideals of \mathcal{B} , and hence of \mathcal{D} (maximal ideals of \mathcal{D} are in one-to-one correspondence with the maximal ideals of \mathcal{B} with the correspondence being intersection with \mathcal{D}). Viewing $(P \circ \phi)(x)$ and $\eta(x)$ as characteristic functions over the maximal ideal space, Claim 1 is proved.

Suppose $x \in N_{\mathcal{C}}^{\text{ord}}(\mathcal{A})$ and $x \in \mathcal{F} \setminus \mathcal{C}$. Moreover, if $\mathcal{A} = \varinjlim (A_i, \alpha_i)$, then we may regard \mathcal{A} as the closed union of the A_i . By [15, Theorem 3.6] or [19, Lemma 6.3], $x = cw$ where $c \in \mathcal{C}$ and w is a sum of matrix units in some A_l . Clearly, w is in $\mathcal{F} \setminus \mathcal{C}$ so we may assume that x is a sum of matrix units in A_i for all i sufficiently large.

Since η is an isometric algebra anti-isomorphism on \mathcal{F} , [19, Lemma 6.4] implies that $\eta(x) \in N_{\mathcal{D}}(\mathcal{B})$. Notice that the initial projection of $\eta(x)$ is $\eta(xx^*)$ and the final projection is $\eta(x^*x)$. Indeed, since $x(x^*x) = x$, we have $\eta(x^*x)\eta(x) = \eta(x)$ and so $\eta(x)\eta(x)^* \leq \eta(x^*x)$. On the other hand, for any projection $p \in \mathcal{D}$ with $p\eta(x) = \eta(x)$, $x\eta^{-1}(p) = x$ and so $\eta^{-1}(p) \geq x^*x$. This implies that $p \geq \eta(x^*x)$, as $\eta(\mathcal{C}) = \mathcal{D}$ and so η and η^{-1} are positive. Letting $p = \eta(x)\eta(x)^*$ gives the reverse inclusion. The argument that $\eta(x)^*\eta(x) = \eta(xx^*)$ is similar.

Let M_1 and M_2 be maximal ideals of \mathcal{A} with (M_1, M_2) in $G(x)$. Then $N_1 = \eta(M_1)$ and $N_2 = \eta(M_2)$ are maximal ideals of \mathcal{B} . Moreover, since $\eta(x)$ is a normalizing partial isometry and η is anti-multiplicative on x , x^*x , and xx^* , (N_2, N_1) is $G(\eta(x))$.

Since \mathcal{B} is triangular, the spectrum of \mathcal{B} is anti-symmetric and so (N_1, N_2) is not in the spectrum of \mathcal{B} . We will use this to obtain a contradiction.

Let $(p_i)_i$ and $(q_i)_i$ be decreasing sequences of projections associated to M_1 and M_2 , respectively. Clearly the sequences $(\eta(p_i))_i$ and $(\eta(q_i))_i$ are associated to N_1 and N_2 . Let

$$x_i = p_i x q_i \quad \text{and} \quad \alpha_i = \eta(p_i) \phi(x) \eta(q_i).$$

Claim 2: $\lim_{i \rightarrow \infty} \alpha_i = 0$

Since (N_1, N_2) is not in the spectrum of \mathcal{B} , for any normalizing partial isometry y in \mathcal{B} , we cannot have

$$y \eta(q_i) y^* = \eta(p_i)$$

for all i . That is, for each such y , there is some i so that $\eta(p_i)y\eta(q_i) = 0$. Because they are decreasing sequences, it follows that $\eta(p_j)y\eta(q_j) = 0$ for all $j > i$.

Given $\epsilon > 0$, we may approximate $\phi(x)$ to within ϵ by some element z from a finite-dimensional algebra $B_n \subset \mathcal{B}$. Since B_n has finitely many matrix units, there is some i so that $\eta(p_j)y\eta(q_j) = 0$ for all matrix units y in B_n and all $j \geq i$. Thus $\eta(p_j)z\eta(q_j) = 0$ and so $\alpha_j = \eta(p_j)\phi(x)\eta(q_j)$ has norm at most ϵ for all $j \geq i$. This proves Claim 2.

Claim 2 and the continuity of ϕ^{-1} imply that the sequence $\phi^{-1}(\alpha_i)$ also converges to zero. Note that

$$\phi^{-1}(\alpha_i) = \phi^{-1}(\eta(p_i))x\phi^{-1}(\eta(q_i)) = \phi^{-1}(P \circ \phi(p_i))x\phi^{-1}(P \circ \phi(q_i)).$$

We have that

$$\phi^{-1}(\alpha_i) = x_i + x_i z_i + y_i x_i + y_i x z_i.$$

where $\phi^{-1}(P(\phi(p_i))) = p_i + y_i$ and $\phi^{-1}(P(\phi(q_i))) = q_i + z_i$, for some elements y_i and z_i in the zero-diagonal ideal of \mathcal{A} . To see that y_i and z_i are in the zero-diagonal ideal of \mathcal{A} , observe that this ideal is the intersection of the maximal ideals of \mathcal{A} and similarly for the zero-diagonal ideal of \mathcal{B} . Since ϕ^{-1} maps maximal ideals to maximal ideals, it sends the zero-diagonal ideal of \mathcal{B} to the zero-diagonal ideal of \mathcal{A} . As y_i is ϕ^{-1} applied to the zero-diagonal element $(P - 1)(\phi(p_i))$, we have y_i zero-diagonal. Similar arguments apply to z_i .

Choose i sufficiently large that $\phi^{-1}(\alpha_i)$ has norm less than $1/4$ and x is a sum of matrix units in A_i . For some $n \geq i$, we can find $z' \in A_n$ with

$$\|z' - z_i\| \leq \min\left\{\frac{1}{16\|x_i\|}, \frac{1}{16\|y_i x\|}\right\}$$

and then find $y' \in A_n$ with

$$\|y' - y_i\| \leq \min\left\{\frac{1}{16\|x_i\|}, \frac{1}{16\|x z'\|}\right\}.$$

Notice that y_i and z_i are in the zero-diagonal ideal of \mathcal{A} and so we may choose y' and z' to be in the zero-diagonal ideal of A_n . Routine calculations show that

$$\|(x_i z_i + y_i x_i + y_i x z_i) - (x_i z' + y' x_i + y' x z')\| \leq \frac{1}{4}.$$

As $\|(-x_i) - (x_i z_i + y_i x_i + y_i x z_i)\| = \|\phi^{-1}(\alpha_i)\| \leq 1/4$, we have

$$\|(-x_i) - (x_i z' + y' x_i + y' x z')\| \leq \frac{1}{2}.$$

On the other hand, observe that x and hence x_i are sums of matrix units in A_n , which is a direct sum of upper-triangular matrix algebras. Since z' and y' are sums of strictly upper triangular matrix units, $x_i z'$, $y' x_i$ and $y' x_i z'$ do not include multiples of x_i . Further,

$x \in N_{\mathcal{C}}^{\text{ord}}(\mathcal{A})$ implies that x_i is not in the ideal generated by $x - x_i$, so $y'(x - x_i)z'$ does include multiples of x_i either. Thus,

$$\|(-x_i) - (x_i z' + y' x_i + y' x z')\| \geq \|x_i\| = 1.$$

Hence no such x in $N_{\mathcal{C}}^{\text{ord}}(\mathcal{A}) \cap (\mathcal{F} \setminus \mathcal{C})$ exists and so $\mathcal{F} = \mathcal{C}$. \square

For triangular limit algebras, the spectrum is an isometric isomorphism invariant [20, Theorem 3] and it has been asked if this extends to algebraic isomorphism: [20] and [22, Problem 7.8]. Theorem 2.3 combined with the cited result yields a partial solution to this problem.

Corollary 2.4. *The spectrum or fundamental relation $R(\mathcal{A})$ is a complete algebraic isomorphism invariant for strongly maximal TAF algebras \mathcal{A} generated by their order preserving normalizers.*

In addition to this result, automatic continuity can be used to provide further evidence for a positive solution to the problem. That is, we can show that certain not isometrically isomorphic TAF algebras are not algebraically isomorphic, even though some of these algebras are not generated by their order preserving normalizers.

Example 2.5. Let $A_n = \mathbf{T}_{2^n}$ and define the multiplicity 2 *refinement embedding* $\rho_k : A_k \rightarrow A_{k+1}$ by

$$\rho_k([a_{ij}]) = [a_{ij} I_2],$$

where I_2 is the 2×2 identity matrix. Now define the *elementary twist embedding* $\tau_k : A_k \rightarrow A_{k+1}$ by

$$\tau_k = (\text{Ad } U_{2^{n+1}}) \circ \rho_k,$$

where $U_{2^{n+1}}$ is the permutation unitary in $\mathbf{M}_{2^{n+1}}$ which interchanges the last two minimal diagonal projections of A_{n+1} . Let $\mathcal{A} = \varinjlim (A_n, \rho_n)$ and $\mathcal{B} = \varinjlim (A_n, \tau_n)$. The TAF algebras \mathcal{A} and \mathcal{B} are called the 2^∞ *refinement* and *refinement with twist* algebras, respectively.

One can tell that there is no isometric isomorphism between \mathcal{A} and \mathcal{B} by examining their spectrums [20]. But a more delicate question is whether there is an algebraic isomorphism between \mathcal{A} and \mathcal{B} . If there were such an isomorphism $\phi : \mathcal{A} \rightarrow \mathcal{B}$, Theorem 1.4 shows that ϕ would be continuous. Hence, since ϕ then maps closed ideals of \mathcal{A} to closed ideals of \mathcal{B} , ϕ induces a lattice isomorphism between the lattices of closed ideals of \mathcal{A} and \mathcal{B} . But the sentence before Corollary 28 in [5] shows there exists no such lattice isomorphism between the lattices of ideals of the 2^∞ refinement and the refinement with twist, and hence there is no algebraic isomorphism between \mathcal{A} and \mathcal{B} .

Using an argument similar to the proof of [5, Corollary 28], one can show that there are no algebraic isomorphisms between pairs of more general “twist” type algebras, as considered in [5, Section 5].

3. EPIMORPHISMS

The class of TAF algebras generated by their order preserving normalizers includes all lexicographic algebras and all \mathbb{Z} -analytic algebras. We can describe many of the epimorphisms for these two classes of algebras, by using the results of the second two authors on primitivity [9].

We start with the lexicographic algebras and recall their construction from [23]. Let (Ω, \leq) be a countable linear ordering, i.e., let Ω be a countable set and \leq a linear order on Ω . Let $\omega : \mathbb{N} \rightarrow \Omega$ be an enumeration of Ω and let $\nu : \Omega \rightarrow \{2, 3, 4, \dots\}$ be a multiplicity function; set $\omega_k = \omega(k)$ and $\nu_k = \nu(\omega_k)$. Also let $F_n = \{\omega_1, \omega_2, \dots, \omega_n\}$, for $n \in \mathbb{N}$. View $\mathbf{T}_{\nu_1 \nu_2 \dots \nu_n}$ as the subalgebra \mathcal{A}_n of $\mathbf{M}_{\nu_1 \nu_2 \dots \nu_n}$ which is spanned by the matrix units

$$e_{ij} = e_{(i_1, i_2, \dots, i_n), (j_1, j_2, \dots, j_n)},$$

where $i \leq j$ if and only if there is some $k_0 \in \{1, 2, \dots, n\}$, depending on i and j , such that $i_{k_0} < j_{k_0}$ and $i_k = j_k$ for all $\omega_k < \omega_{k_0}$ (notice that the indexing here is different than that of Section 2). Viewing $\mathcal{A}_{n+1} = \mathbf{T}_{\nu_1 \nu_2 \dots \nu_{n+1}}$ in a similar manner, we define the map $\psi_n : \mathcal{A}_n \rightarrow \mathcal{A}_{n+1}$ to be the linear extension of the map which takes

$$e_{ij} \mapsto \sum_{\xi=1}^{\nu_{n+1}} e_{(i_1, i_2, \dots, i_n, \xi), (j_1, j_2, \dots, j_n, \xi)}.$$

It is clear that each ψ_n is a $*$ -extendible, regular embedding, and so the direct limit defines a triangular UHF algebra $\varinjlim (\mathcal{A}_n, \psi_n)$, which we denote $A(\Omega, \nu)$. A standard argument using intertwining diagrams shows that a different enumeration of Ω will produce an isometrically isomorphic algebra, so $A(\Omega, \nu)$ is well-defined.

The algebras $A(\Omega, \nu)$, the lexicographic algebras, were studied in [23, 24] and were extended to subalgebras of direct sums of \mathbf{M}_n 's in [14]. The familiar standard, refinement, and alternation limit algebras are all examples of lexicographic algebras. Indeed, $\Omega = \mathbb{Z}_-, \mathbb{Z}_+$, and \mathbb{Z} yields the standard, refinement, and alternation algebras, respectively.

Assume that $\Omega = \{\omega_k \mid k \in \Omega_0\}$ is ordered like a subset of the integers, i.e., $\Omega_0 \subseteq \mathbb{Z} \setminus \{0\}$ and $\omega_k \leq \omega_l$ if $k \leq l$. Let $\nu : \Omega \rightarrow \{2, 3, 4, \dots\}$ be as above. We write

$$r_k = \nu(\omega_k) \quad \text{and} \quad s_k = \nu(\omega_{-k}), \quad k = 1, 2, 3, \dots$$

with the understanding that if $k \notin \Omega_0$, then $r_k = 1$, and, similarly, if $-k \notin \Omega_0$, then $s_k = 1$. Associate with μ the pair $(\underline{r}, \underline{s})$ of (possibly) generalized integers

$$\underline{r} = r_1 r_2 r_3 \dots \quad \text{and} \quad \underline{s} = s_1 s_2 s_3 \dots$$

Define an equivalence relation \sim on pairs of generalized (or finite) integers by $(\underline{r}, \underline{s}) \sim (\underline{r}', \underline{s}')$ if and only if $\underline{r}\underline{s} = \underline{r}'\underline{s}'$ and there exist coprime natural numbers a, b so that $b\underline{r} = a\underline{r}'$ and $a\underline{s} = b\underline{s}'$.

Assume now that Ω is an arbitrary countable linear ordering. Define an equivalence relation \approx on Ω such that $\omega \approx \omega'$ if the order intervals $[\omega, \omega']$ and $[\omega', \omega]$ are finite. Then the set Ω/\approx of equivalence classes is linearly ordered and each equivalence class $\langle \omega \rangle$ is itself a linearly ordered set isomorphic to a subset of the integers. Then to each equivalence class $\langle \omega \rangle$ we can associate a pair of generalized integers $p_\nu(\langle \omega \rangle) = (\underline{r}, \underline{s})$.

Power's classification of lexicographic algebras [24, Theorems 4 and 6], after invoking Theorem 2.3, becomes:

Theorem 3.1. *Let Ω, Ω' be countable linear orderings with maps $\nu : \omega \rightarrow \{2, 3, 4, \dots\}$ and $\nu' : \omega' \rightarrow \{2, 3, 4, \dots\}$.*

Then $A(\Omega, \nu)$ and $A(\Omega', \nu')$ are isomorphic as complex algebras if and only if there is an order bijection $s : \Omega/\approx \rightarrow \Omega'/\approx$ so that $p_{\nu'}(s(\langle \omega \rangle)) = p_\nu(\langle \omega \rangle)$.

By [23], the semisimple lexicographic algebras are precisely those $A(\Omega', \nu')$ where Ω' has no minimal element. Further, by [9, Proposition 3.1], these algebras are all primitive, so this class is precisely the primitive lexicographic algebras.

Theorem 3.2. *There is an epimorphism from $A(\Omega, \nu)$ onto B , a primitive Banach algebra, if and only if there is an order interval decomposition $\Omega = \widehat{\Omega} \oplus \widehat{\Omega}^c$, where Ω^c contains no minimal element, so that $A(\widehat{\Omega}^c, \nu|_{\widehat{\Omega}^c})$ is isomorphic to B , as complex algebras.*

In particular, if $B = A(\Omega', \nu')$ where, necessarily, Ω' has no minimal element, then there is an order bijection $s : \widehat{\Omega}^c/\approx \rightarrow \Omega'/\approx$ so that $p_{\nu'}(s(\langle \omega \rangle)) = p_\nu(\langle \omega \rangle)$, where $\omega \in \widehat{\Omega}^c$.

Proof. If $\varphi : A(\Omega, \nu) \rightarrow B$ is an epimorphism, then $\ker \varphi \subseteq A(\Omega, \nu)$ is a primitive ideal. Then by [9, Theorem 3.6], there exists an order interval decomposition $\Omega = \widehat{\Omega} \oplus \widehat{\Omega}^c$, where $\widehat{\Omega}^c$ has no minimal element, so that, as a complex algebra, B is isomorphic to

$$A(\Omega, \nu)/\ker \varphi \approx A(\widehat{\Omega}^c, \nu|_{\widehat{\Omega}^c}).$$

If $B = A(\Omega', \nu')$, then the conclusion follows from Theorem 3.1. \square

Theorem 3.2 is false if B is not primitive. Indeed, it is easy to see that there is an epimorphism from the 2^∞ refinement algebra onto the $3 \cdot 2^\infty$ refinement.

Finally, we investigate the epimorphisms of \mathbb{Z} -analytic algebras. The most convenient definition of \mathbb{Z} -analyticity involves a cocycle on the spectrum, so we define cocycles precisely.

Recall the definition of spectrum from just before Theorem 2.2. Let \mathcal{B} be the UHF C^* -algebra corresponding to \mathcal{A} , and let \mathcal{G} be the equivalence relation generated by $R(\mathcal{A})$. A continuous function $c: \mathcal{G} \rightarrow \mathbb{R}$ is called a *cocycle* if $c(x, y) + c(y, z) = c(x, z)$ for all points $(x, y), (y, z) \in \mathcal{G}$. The algebra \mathcal{A} is *analytic* if there is a cocycle c on \mathcal{G} so that $c^{-1}([0, \infty)) = R(\mathcal{A})$. The standard, refinement, and alternation limit algebras are analytic [30, Examples 6.1–6.3]; for strongly maximal non-analytic algebras, see [13, Section 4], [22, Proposition 10.18], or [29, Example 3.2]. Algebras that are analytic by an integer-valued cocycle are called *\mathbb{Z} -analytic* [16, 18]. Although standard embedding algebras are the generic examples of \mathbb{Z} -analytic algebras, the paper [16] gives an example, due to Donsig and Hopenwasser, of a semisimple, \mathbb{Z} -analytic algebra which is not built up out of standard embeddings.

Theorem 3.3. *Suppose \mathcal{A} and \mathcal{B} are TAF algebras which are strongly maximal triangular in factors and that \mathcal{A} is \mathbb{Z} -analytic. If $\varphi: \mathcal{A} \rightarrow \mathcal{B}$ is an epimorphism, then φ is an isomorphism.*

Proof. Since \mathcal{B} is strongly maximal triangular in factors, the zero ideal of \mathcal{B} is meet irreducible. By automatic continuity, the closed ideals of \mathcal{A} containing $\ker \varphi$ form a sublattice of the closed ideals of \mathcal{B} . Hence $\ker \varphi$ is meet irreducible in \mathcal{A} . But \mathcal{A} is \mathbb{Z} -analytic, so by [4, Proposition 4.6], $\ker \varphi$ is either zero or it must have finite codimension in \mathcal{A} . But if $\ker \varphi$ had finite codimension, since $\mathcal{A} / \ker \varphi \simeq \mathcal{B}$, we would have that \mathcal{B} is finite dimensional, a contradiction. Hence $\ker \varphi = (0)$, and so φ is an isomorphism. \square

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DEPARTMENT OF MATHEMATICS AND STATISTICS, UNIVERSITY OF NEBRASKA AT LINCOLN, LINCOLN, NE, U.S.A 68588-0323

E-mail address: `adonsig@math.unl.edu`

DEPARTMENT OF MATHEMATICS, EAST CAROLINA UNIVERSITY, GREENVILLE, NC, U.S.A 27858-4353

E-mail address: `tdh@math.ecu.edu`, `katsoulise@mail.ecu.edu`