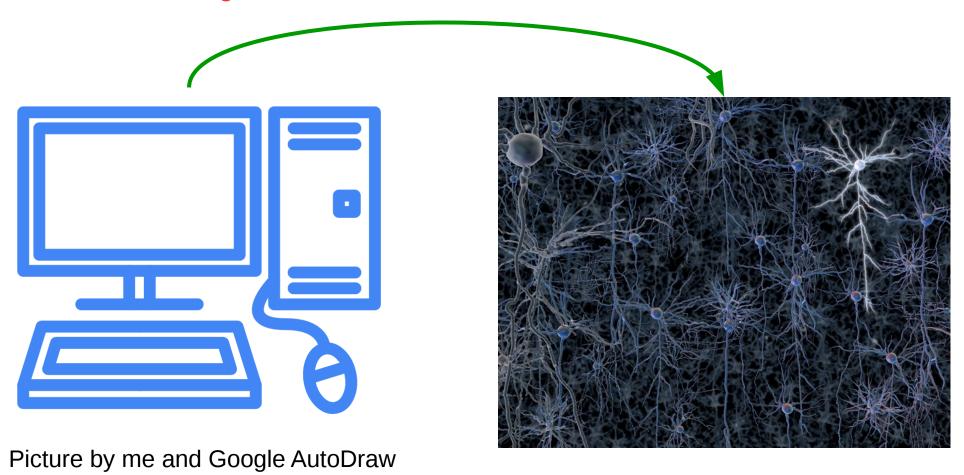


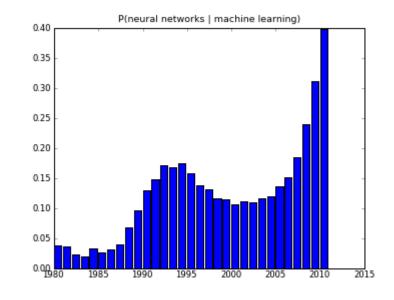
#### Neural Network

Human brain is the most sophisticated intelligence system so far. Can we create <u>algorithms to model the brain neural network</u>?



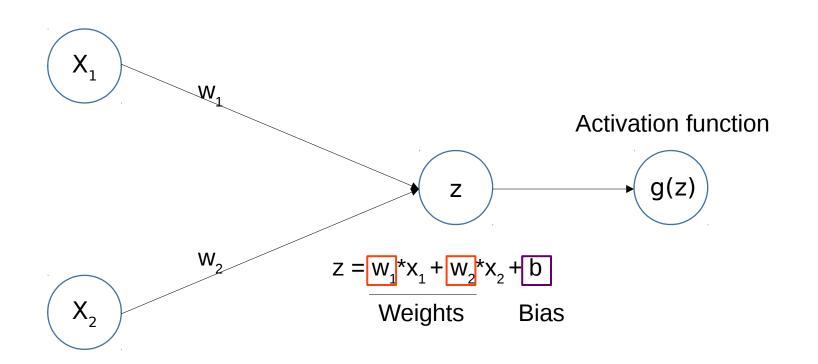
#### Neural Network

- Invented to mirror the function of the brain.
- Two resurgences:
  - 1980's: development of backpropagation
  - 2000's:
    - Improved design: CNN, RNN, GAN, ...
    - Techniques of training: <u>unsupervised pre-training...</u>
    - Increased computing power: GPU computation
    - Big Data
- Getting a fancy name: <u>Deep Learning</u>

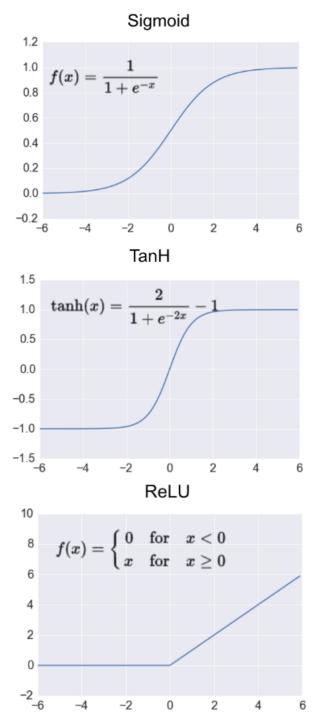


A series of techniques to construct neural networks and to facilitate their learning processes.

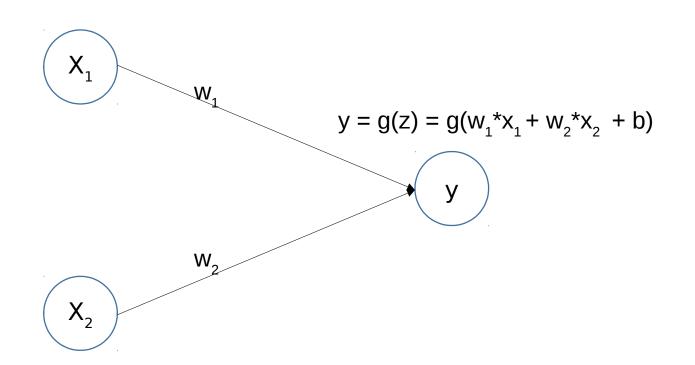
#### Neuron Model



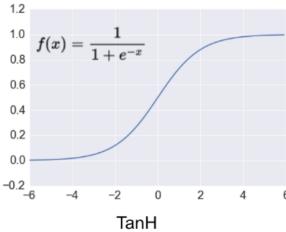
g(z) is any form of an activation function

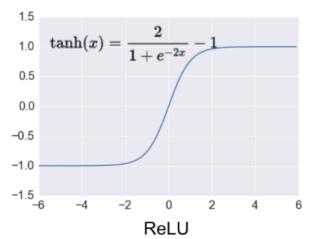


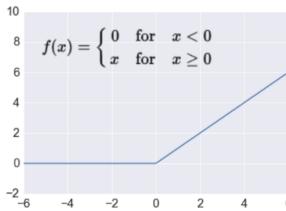
#### Neuron Model



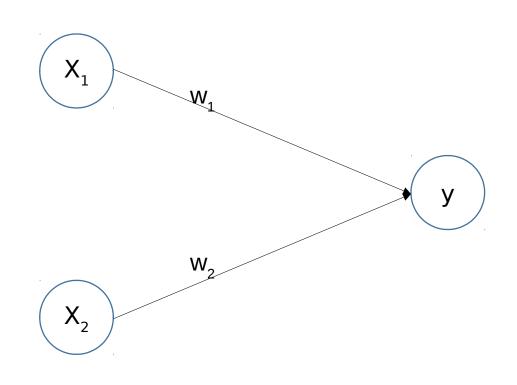




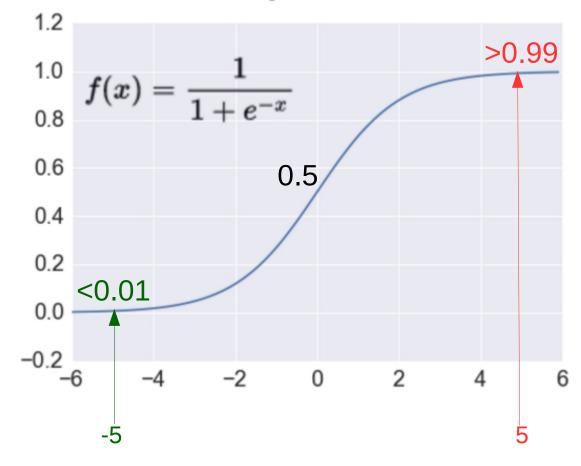




#### Neuron Model



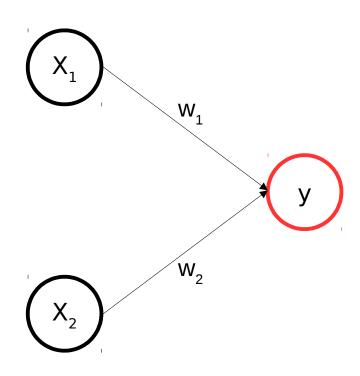
#### Sigmoid



## Neuron Model: And Logic

$$x_1 = 0 \text{ or } 1, x_2 = 0 \text{ or } 1$$
=1, if  $x_1 = x_2 = 1$ 

y
=0, otherwise

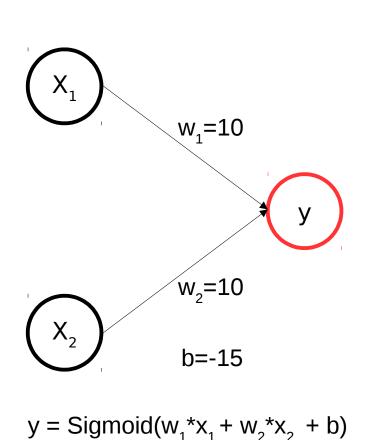


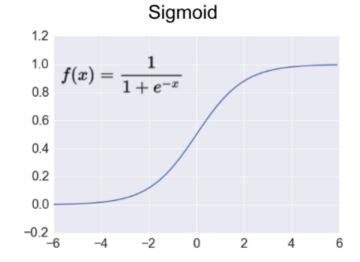
$y = Sigmoid(w_1*x_1 + w_2*x_2)$	+ b	)

$X_1$	$X_2$	у
0	0	0
0	1	0
1	0	0
1	1	1

## Neuron Model: And Logic

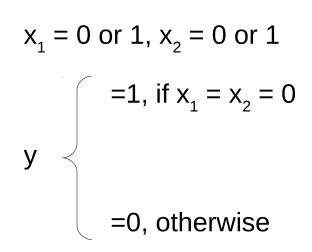
 $x_1 = 0 \text{ or } 1, x_2 = 0 \text{ or } 1$ =1, if  $x_1 = x_2 = 1$ y
=0, otherwise

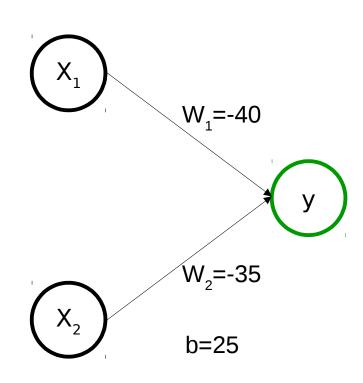




$X_1$	$X_2$	Wx+b	У
0	0	-15	0
0	1	-5	0
1	0	-5	0
1	1	5	1

## Neuron Model: NOR Logic

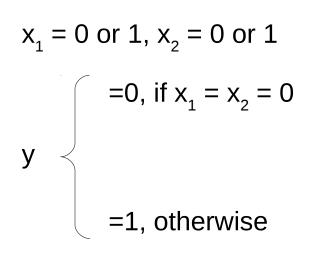


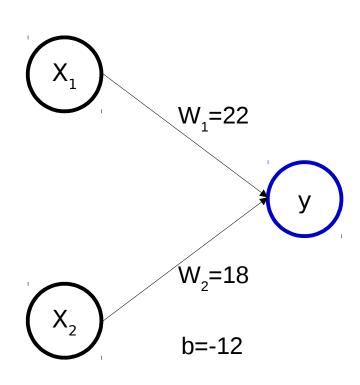


 $y = Sigmoid(w_1 * x_1 + w_2 * x_2 + b)$ 

$X_1$	$X_2$	Wx+b	У
0	0	25	1
0	1	-10	0
1	0	-15	0
1	1	-50	0

## Neuron Model: OR Logic



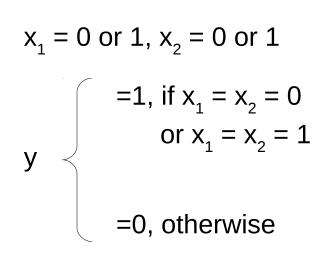


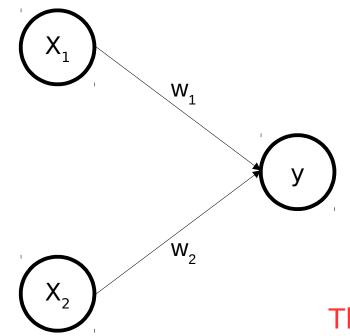
 $y = Sigmoid(w_1 * x_1 + w_2 * x_2 + b)$ 

1	2		
0	0	-12	0
0	1	6	1
1	0	10	1
1	1	28	1

Wx+b

## Neuron Model: XNOR Logic



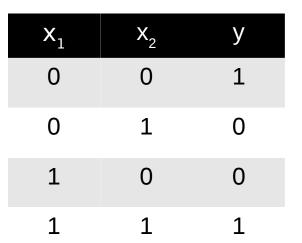


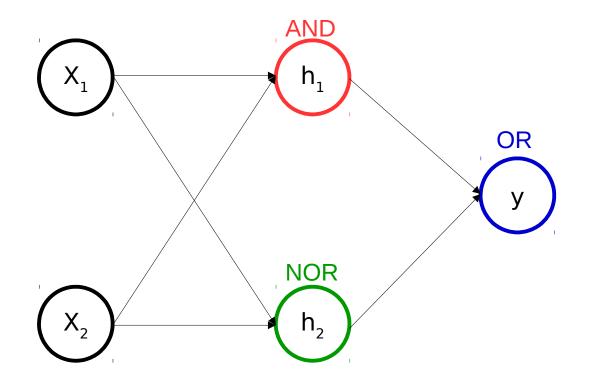
$X_1$	$X_2$	У
0	0	1
0	1	0
1	0	0
1	1	1

This is impossible with one single neuron!

$$y = Sigmoid(w_1 * x_1 + w_2 * x_2 + b)$$

## Neural Network: XNOR Logic

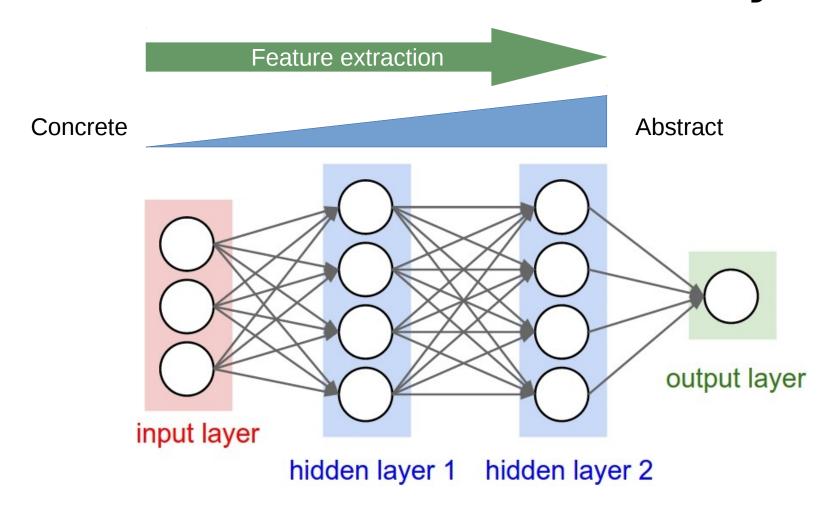




one layer and finite parameters.

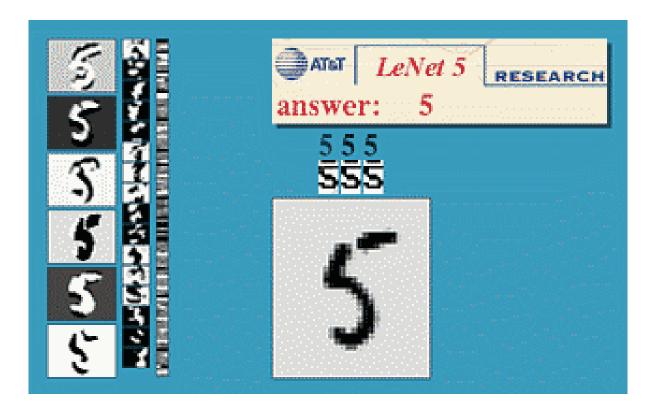
$X_1$	X <sub>2</sub>	$h_{_1}$	h <sub>2</sub>	У
0	0	0	1	1
0	1	0	0	0
1	0	0	0	0
1	1	1	0	1

Neural networks could approximate complex functions by adding hidden layers. <u>Universal approximation theorem</u>: a NN could approximate any function with



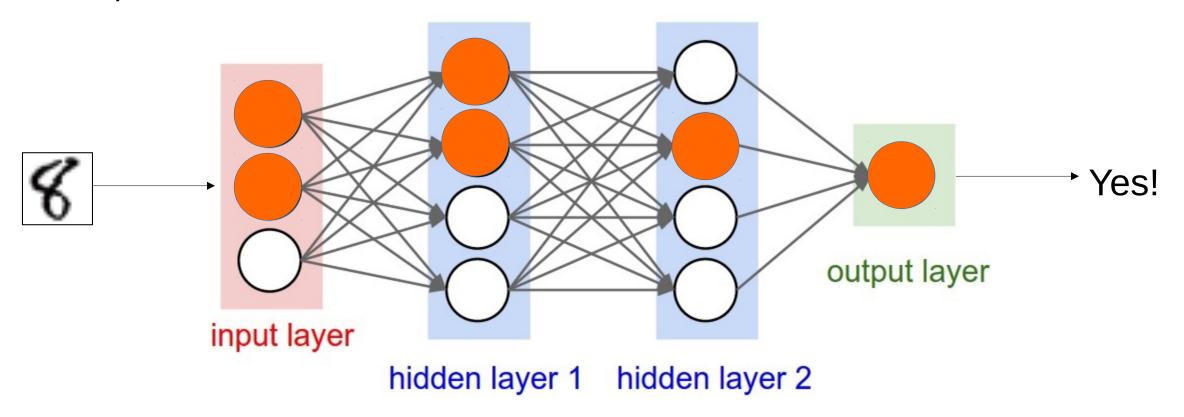
Example of a feedforward neural network

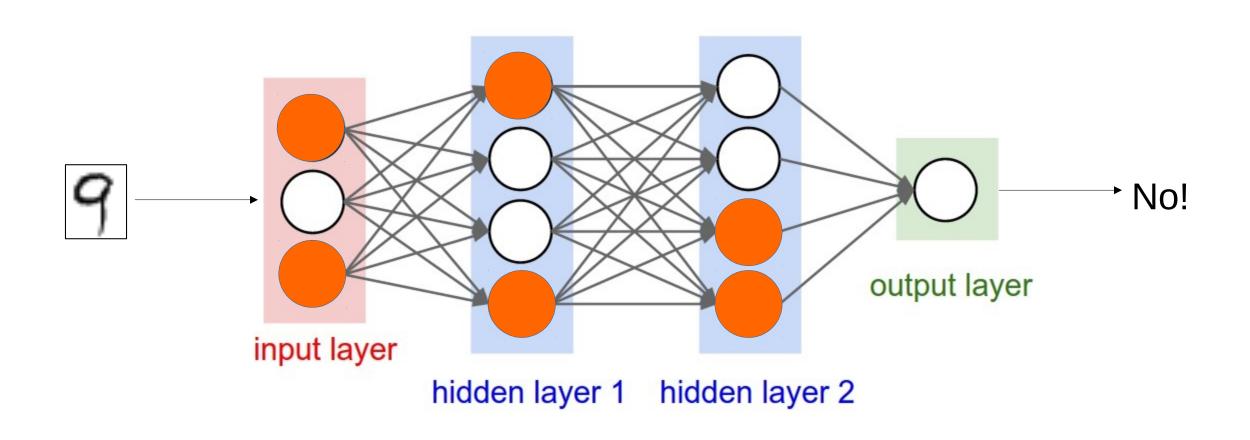
Hidden layers are usually hard to explain.



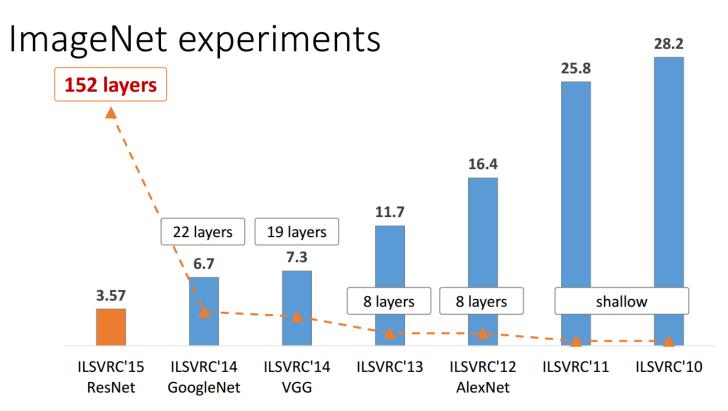
Yann Lecun, Facebook AI research, father of the convolutional neural network (CNN)

Example: "Is this an 8?"





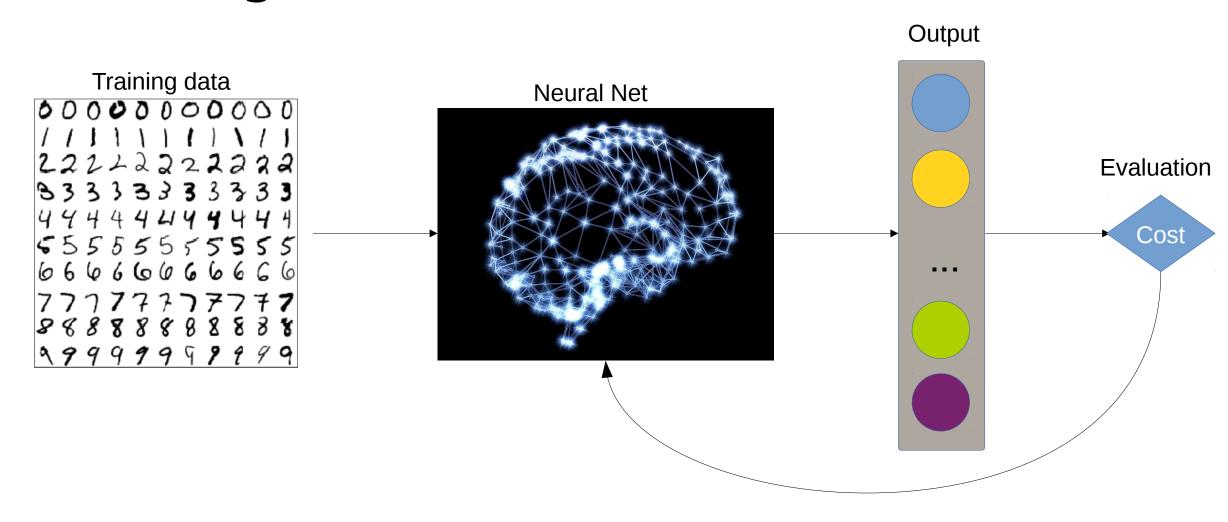
The deeper, the better? How deep is "deep"?





ImageNet Classification top-5 error (%)

#### Training NN: How Does A NN Learn?



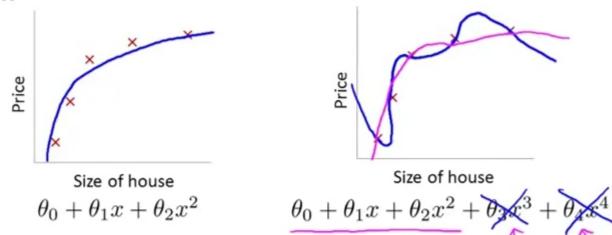
#### Cost of classification models

#### Binary

- One sample:  $-[y^{(i)} * log(h_{\theta}(x^{(i)})) + (1 y^{(i)}) * log(1 h_{\theta}(x^{(i)}))]$
- Many samples:  $-\frac{1}{m}\sum_{i=1}^{m}[y^{(i)}*log(h_{\theta}(x^{(i)}))+(1-y^{(i)})*log(1-h_{\theta}(x^{(i)})]$  Regularization term:  $\frac{\lambda}{2m}\sum_{i=1}^{n}\theta_{j}^{2}$

#### Why regularization?

#### Intuition



Suppose we penalize and make  $\theta_3$ ,  $\theta_4$  really small.

#### Cost of classification models

Binary

$$J(\theta) = -\frac{1}{m} \sum_{i=1}^{m} [y^{(i)} * log(h_{\theta}(x^{(i)})) + (1 - y^{(i)}) * log(1 - h_{\theta}(x^{(i)}))] + \frac{\lambda}{2m} \sum_{j=1}^{n} \theta_{j}^{2}$$

Loss of incorrect predictions Making your model more accurate

Loss of model complexity Prevent overfitting

#### Cost of classification models

Multi-class classification

$$J(\theta) = -\frac{1}{m} \sum_{k=1}^{K} \sum_{i=1}^{m} [y_k^{(i)} * log(h_{\theta}(x^{(i)}))_k + (1 - y_k^{(i)}) * log(1 - h_{\theta}(x^{(i)}))_k] + \frac{\lambda}{2m} \sum_{j=1}^{n} \theta_j^2 \frac{\lambda}{2m} \sum_{i=1}^{m} (y_i^{(i)} * log(h_{\theta}(x^{(i)}))_k + (1 - y_k^{(i)}) * log(1 - h_{\theta}(x^{(i)}))_k] + \frac{\lambda}{2m} \sum_{j=1}^{m} \theta_j^2 \frac{\lambda}{2$$

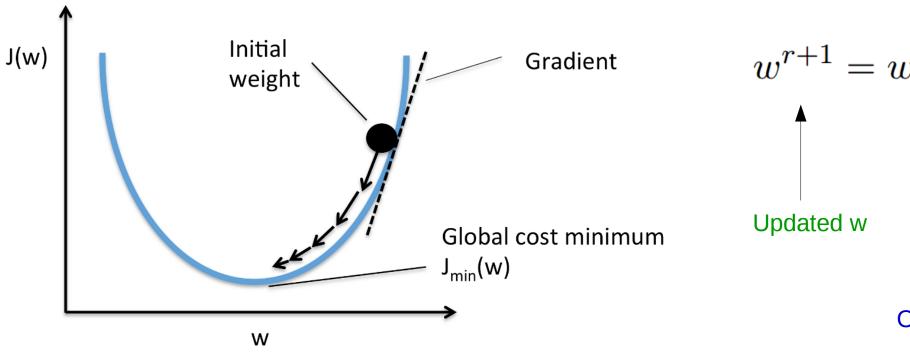
Loss of incorrect predictions Making your model more accurate

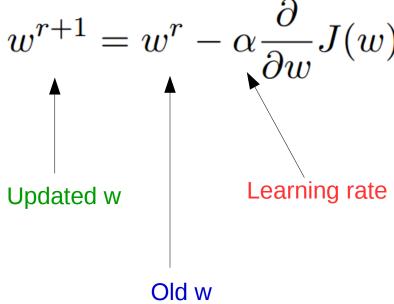
Loss of model complexity Prevent overfitting

### Training NN: Gradient Descent

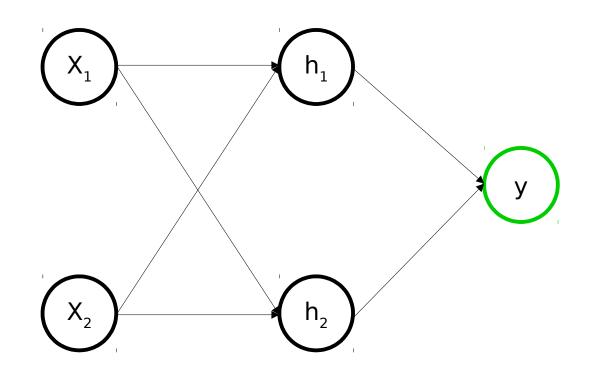
Idea: minimize cost function

J(w) decreases fastest when w moves the direction of negative gradient



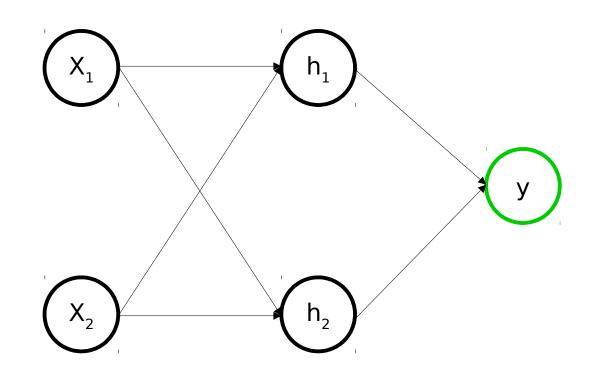


With multiple hidden layers, it's hard to get an analytic form of a neural net, let alone its gradient. Backpropagation is an approach to estimating gradient numerically.



Step 1: Forward propagation

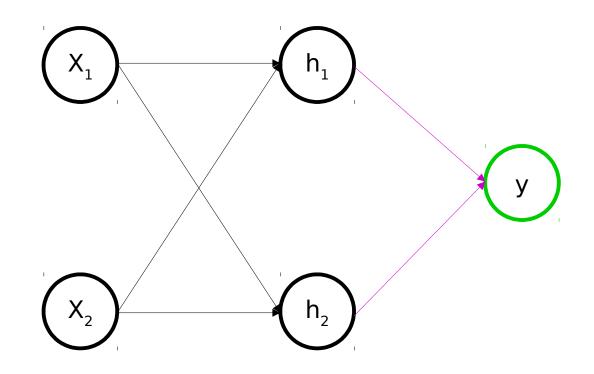
With multiple hidden layers, it's hard to get an analytic form of a neural net, let alone its gradient. Backpropagation is an approach to estimating gradient numerically.



Step 2: Calculate error of y

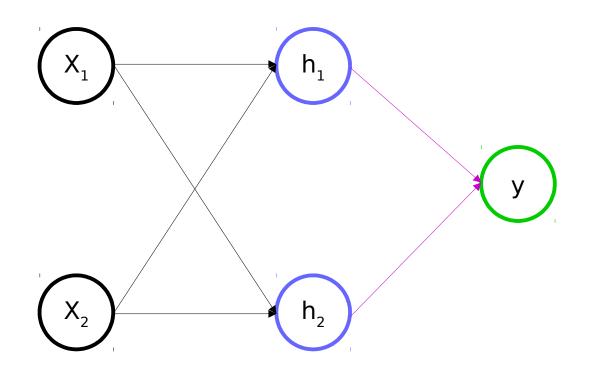
$$\Delta y = y_{truth} - y_{predition}$$

With multiple hidden layers, it's hard to get an analytic form of a neural net, let alone its gradient. Backpropagation is an approach to estimating gradient numerically.



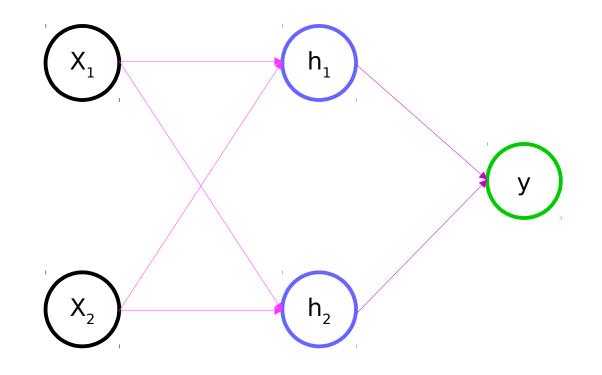
Step 3: Calculate gradients of edges connected to y

With multiple hidden layers, it's hard to get an analytic form of a neural net, let alone its gradient. Backpropagation is an approach to estimating gradient numerically.



Step 4: Calculate errors of hidden units

With multiple hidden layers, it's hard to get an analytic form of a neural net, let alone its gradient. Backpropagation is an approach to estimating gradient numerically.



Step 5: Calculate gradients of edges connected to the hidden layer

#### Training NN: A Bag of Tricks (Geoffrey Hinton)

- Unsupervised pre-training: better initial parameters
- Momentum method: more efficient updates
- Batch normalization: prevent gradient vanishing/explosion
- Stochastic gradient descent: dealing with large dataset
- Dropout: prevent overfitting
- Early termination: prevent overfitting

• . . . . . .

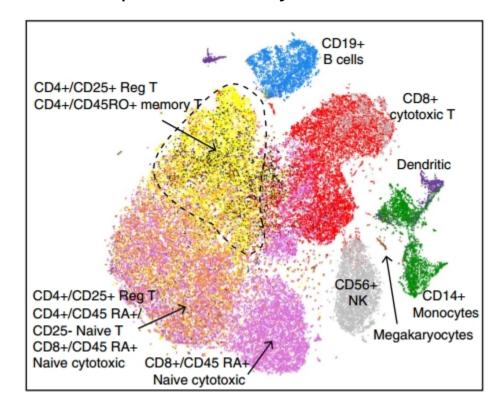
## Python libraries for implementation





#### Example: celltype predictor

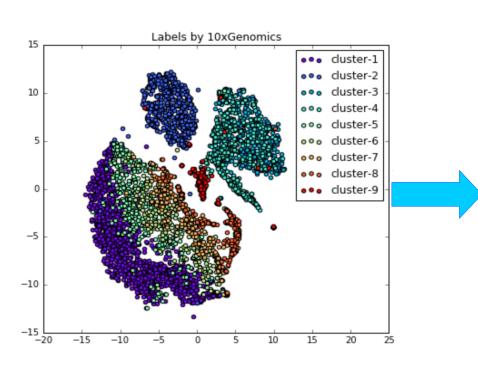
Sinlge-cell RNA-seq data from 10xGenomics PBMC sample from healthy donors



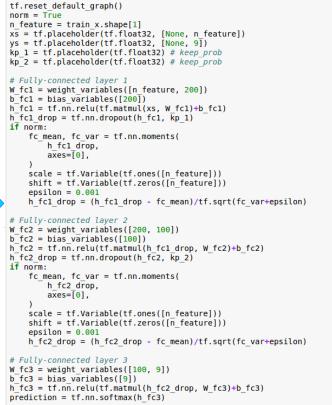
```
tf.reset default graph()
norm = True
n feature = train x.shape[1]
xs = tf.placeholder(tf.float32, [None, n feature])
ys = tf.placeholder(tf.float32, [None, 9])
kp 1 = tf.placeholder(tf.float32) # keep prob
                                                      TensorFlow
kp 2 = tf.placeholder(tf.float32) # keep prob
# Fully-connected layer 1
W fc1 = weight variables([n feature, 200])
b fc1 = bias variables([200])
h fc1 = tf.nn.relu(tf.matmul(xs, W fc1)+b fc1)
h fc1 drop = tf.nn.dropout(h fc1, kp 1)
if norm:
    fc mean, fc var = tf.nn.moments(
        h fc1 drop,
        axes=[0],
    scale = tf.Variable(tf.ones([n feature]))
    shift = tf.Variable(tf.zeros([n feature]))
    epsilon = 0.001
   h fcl drop = (h fcl drop - fc mean)/tf.sqrt(fc var+epsilon)
# Fully-connected layer 2
W fc2 = weight variables([200, 100])
b fc2 = bias variables([100])
h fc2 = tf.nn.relu(tf.matmul(h fc1 drop, W fc2)+b fc2)
h fc2 drop = tf.nn.dropout(h fc2, kp 2)
if norm:
    fc mean, fc var = tf.nn.moments(
        h fc2 drop,
        axes=[0],
    scale = tf.Variable(tf.ones([n feature]))
    shift = tf.Variable(tf.zeros([n feature]))
    epsilon = 0.001
   h fc2 drop = (h fc2 drop - fc mean)/tf.sqrt(fc var+epsilon)
# Fully-connected layer 3
W fc3 = weight variables([100, 9])
b fc3 = bias variables([9])
h fc3 = tf.nn.relu(tf.matmul(h fc2 drop, W fc3)+b fc3)
prediction = tf.nn.softmax(h fc3)
```

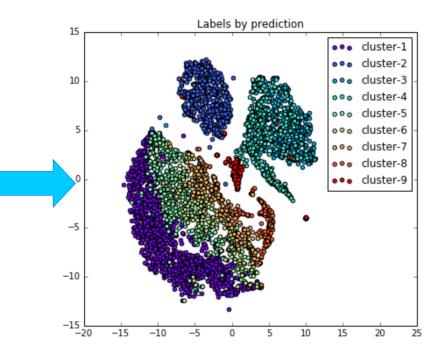
#### Example: celltype predictor

Sinlge-cell RNA-seq data from 10xGenomics PBMC sample from healthy donors

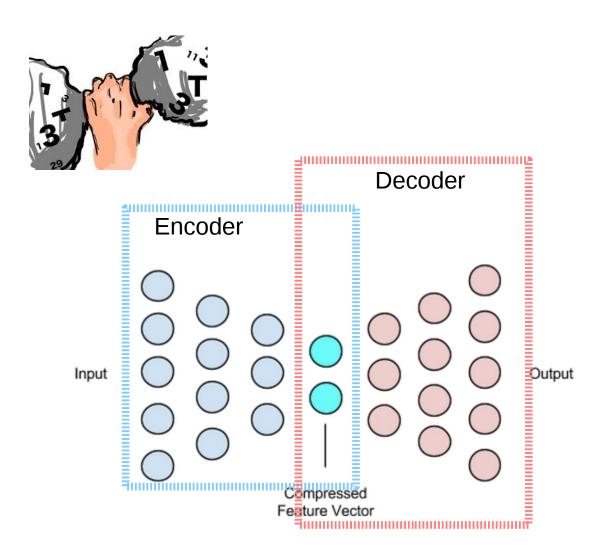


Feedforward neural net with two hidden layers

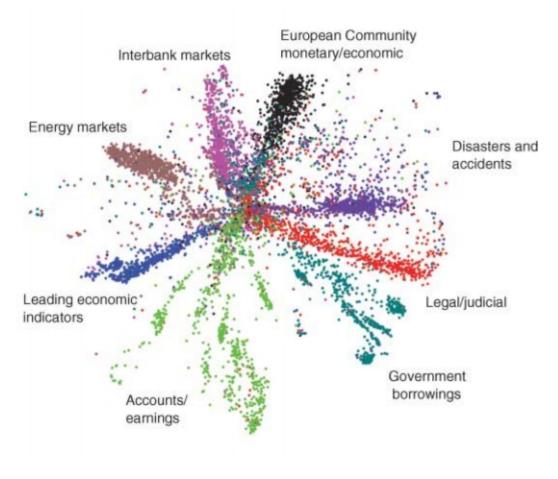




# Types of NN: Autoencoder

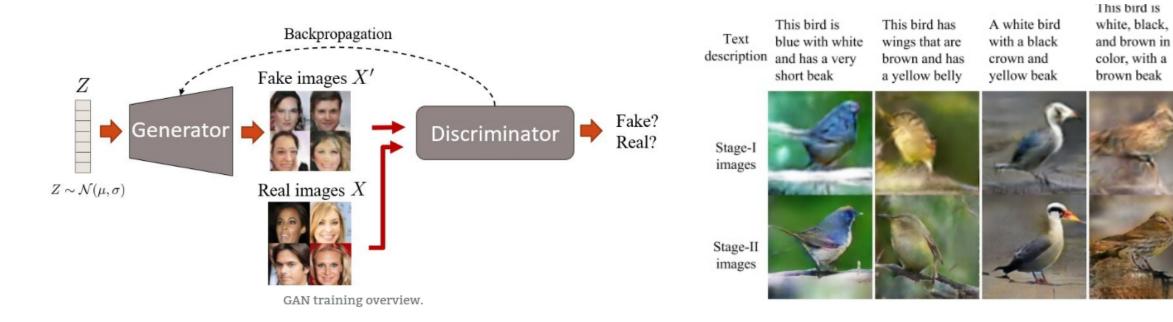


#### Dimension reduction by autoencoder



Hinton & Salakhutdinov, Science, 2006

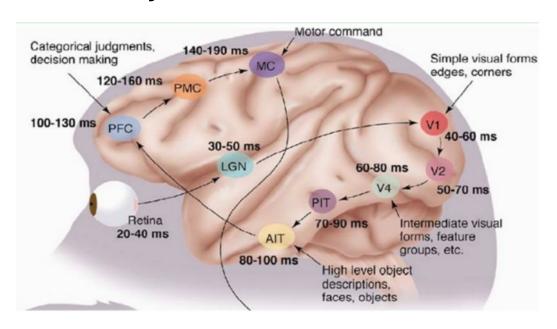
#### Types of NN: Generative Adversarial Networks (GAN)

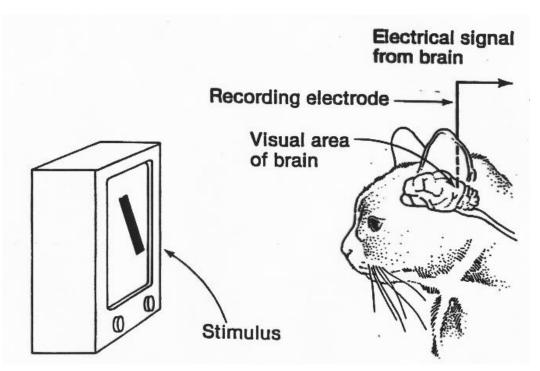


#### Types of NN: Convolutional Neural Net (CNN)

CNN is the most powerful approach for image recognition so far.

#### Visual system



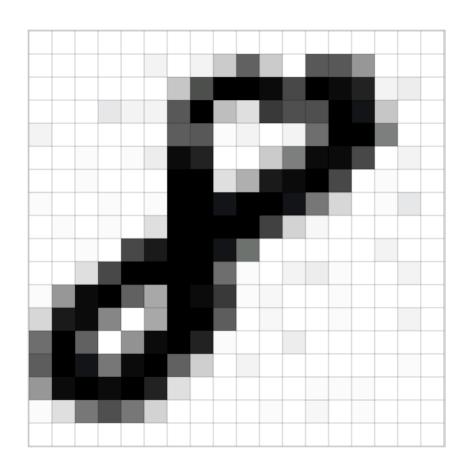


V1 cortex tested in this experiment was only active in response to one simple pattern.

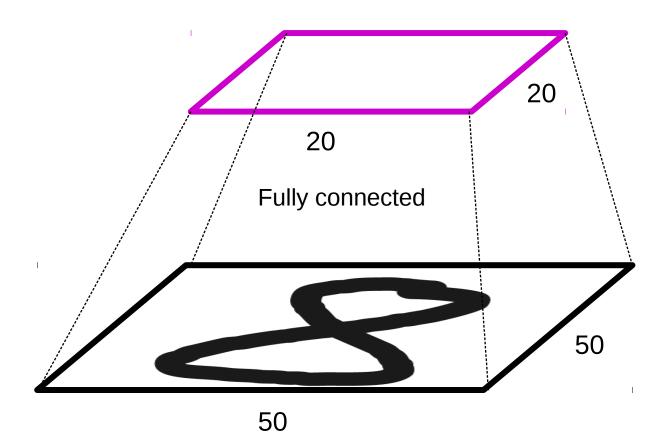
Many <u>identical cells</u> detect the same pattern, which are connected to different parts of the retina.

#### Convolutional Neural Net

#### Why not fully connected?



Parameters for one single layer: 50\*50\*20\*20 = 1 million!

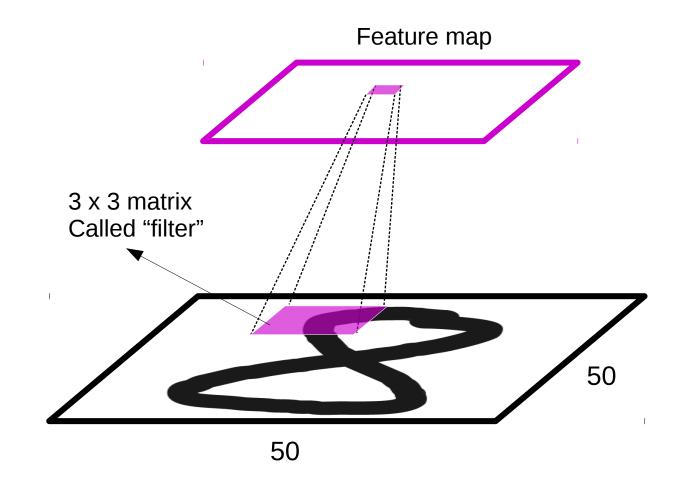


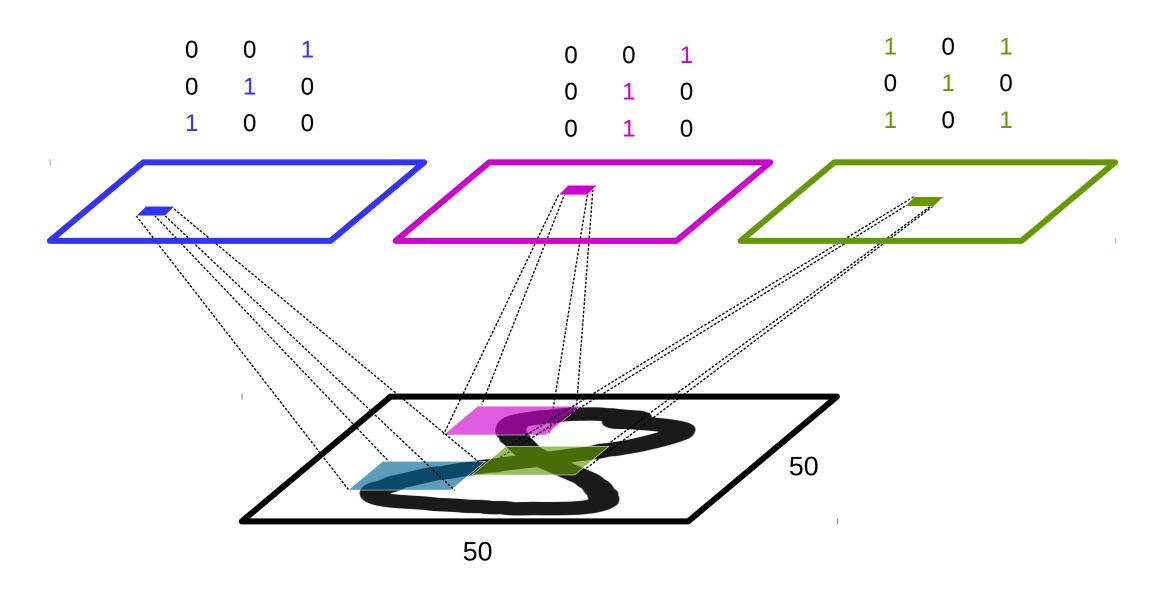
<b>1</b> <sub>×1</sub>	1_×0	1,	0	0
0,0	1,	1,0	1	0
<b>0</b> <sub>×1</sub>	0,0	1,	1	1
0	0	1	1	0
0	1	1	0	0

**Image** 

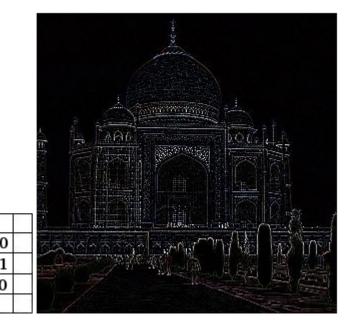
4	

Convolved Feature







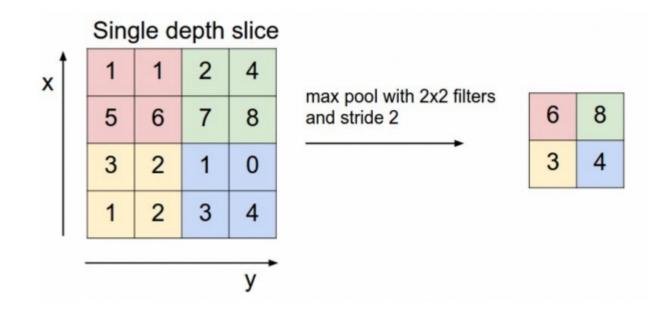


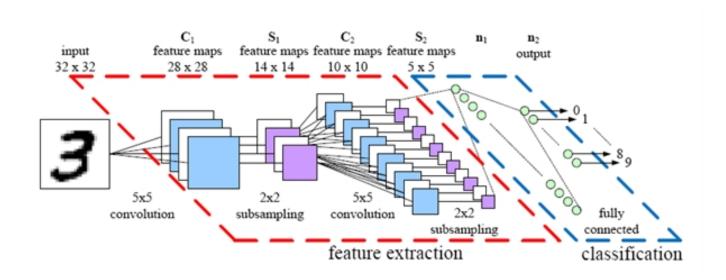
Averaging neighbors blurs the figure

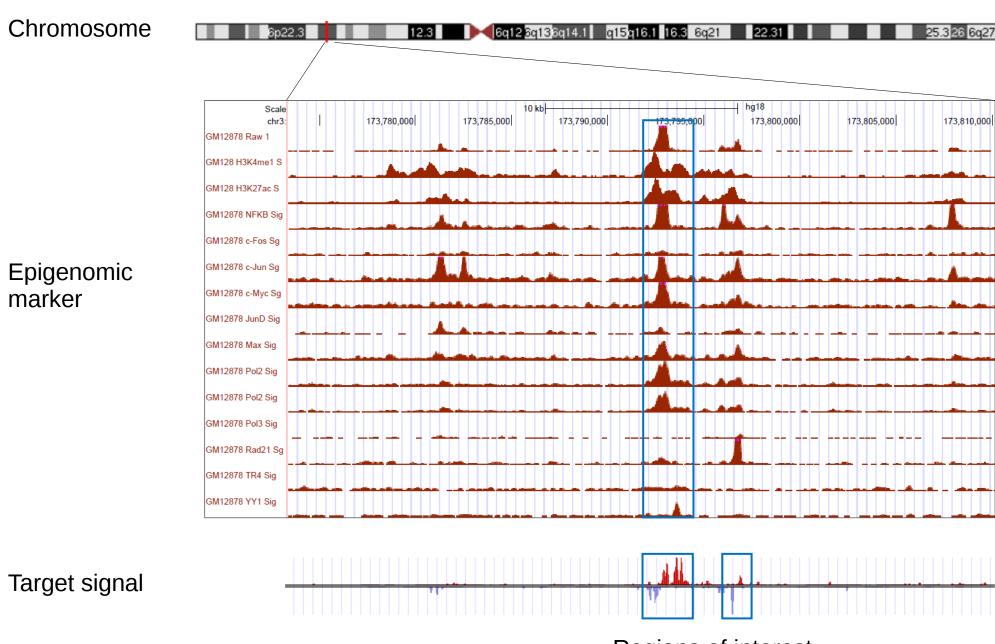
Taking difference with neighbors detects edges

#### Pooling:

- 1. Reduces dimensions
- 2. Allow positional variation







Regions of interest

Convolution (k=20, w=4)
Pooling (w=4)

Convolution (k=50, w=2)
Pooling (w=4)

Convolution (k=20, w=1)

Fully connected (n=50)

Sigmoid output (n=2)

Regularization Parameters:

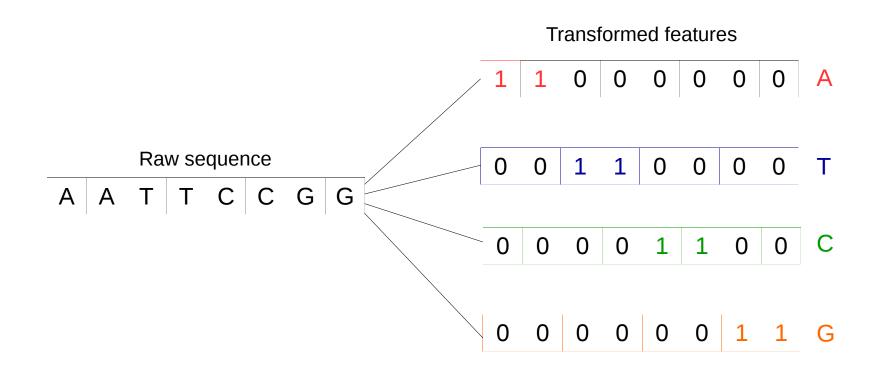
**Dropout proportion** 

Layer 2: 20% Layer 4: 20% Layer 5: 40%

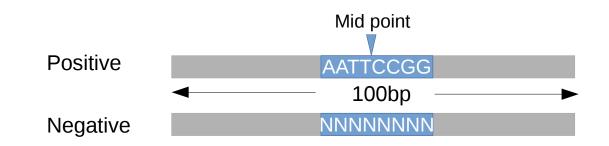
All other layers: 0%

	Training	Validation	Testing
Accuracy	93.1%	93.7%	92.1%

### Input transformation

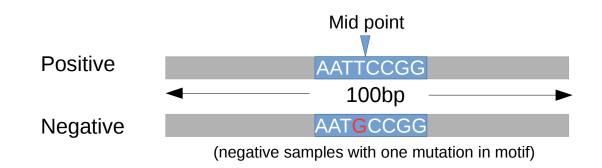


Simulation\_1: learning motif sequence



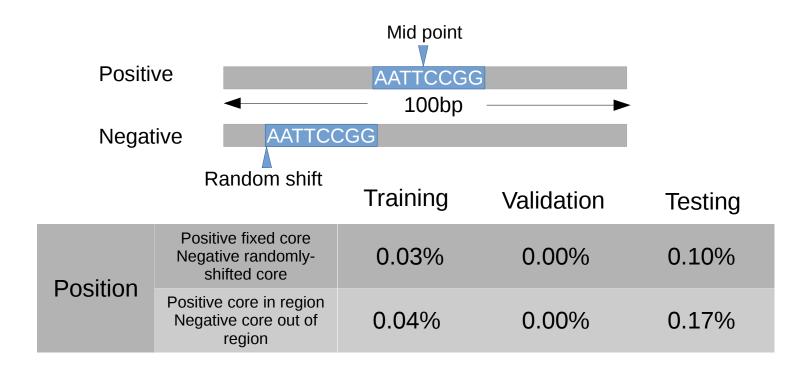
		Training	Validation	Testing
Fixed position	No mutation	0.00%	0.05%	0.00%
	2/8 mutations	0.57%	0.65%	0.57%
	4/8 mutations	5.31%	5.90%	6.95%
	6/8 mutations	47.52%	47.2%	49.98%

Simulation\_1.1: learning motif sequence and detect mutations

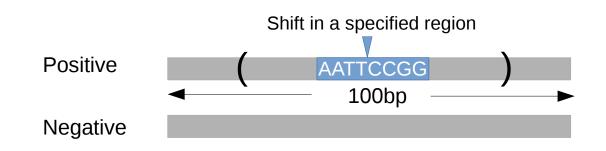


		Training	<b>Validation</b>	Testing
Fixed position	1/8 mutation	0.07%	0.00%	0.08%
	1/8 mutation [25, 75]	0.07%	0.00%	0.13%

Simulation\_2: learning motif position



Simulation\_3: testing positional flexibility

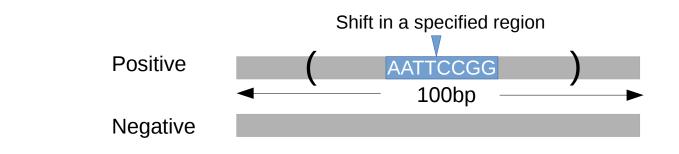


		Training	Validation	Testing
Flexibility	Region size: 50bp	0.14%	0.03%	0.03%
	Region size: 100bp	0.11%	0.08%	0.15%

#### Conclusion from 1-3:

CNN is able to learn both sequence and positional information, while allowing positional flexibility

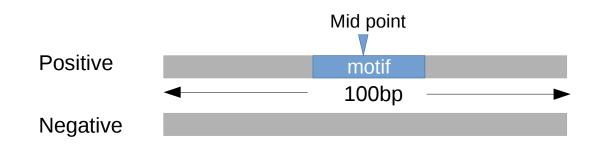
Simulation\_4: mixture



		Training	Validation	Testing
Mixture	2/8 mutations + 50bp flexible region	9.608333%	9.975000%	9.125000%
Fixed position	2/8 mutations	0.57%	0.65%	0.57%

Better alignments of regulatory sequences is helpful for feature detection

Simulation\_5: learning multiple motifs



		Training	Validation	Testing
Multiple motifs	10	0.13%	0.05%	0.20%
	20	0.74%	0.60%	0.82%
	40	1.25%	1.25%	1.93%
	80	28.76%	22.15%	23.05%
	20 motifs + 50bp region + 1/8 mutations	39.80%	43.25%	43.88%

# Summary

- Artificial intelligence should be better than human for reading and understanding biological data.
- Implementing deep learning or training a NN is easier than it seems to be (but harder than understanding it).
- "It's not who has the best algorithm that wins. It's who has the most data."

**Andrew Ng**