Parallel Concurrent and Parallel Programming 3

Peter Sestoft
IT University of Copenhagen

Friday 2016-09-16

Plan

- Java 8 functional programming
 - Package java.util.function
 - Lambda expressions, method reference expressions
 - Functional interfaces, targeted function type
- Java 8 streams for bulk data
 - Package java.util.stream
- High-level parallel programming
 - Streams: primes, queens
 - Array parallel prefix operations
 - Class java.util.Arrays static methods

Materials

- Java Precisely 3rd edition, MIT Press 2016
 - §11.13: Lambda expressions
 - §11.14: Method reference expressions
 - §23: Functional interfaces
 - §24: Streams for bulk data
 - §25: Class Optional<T>
- Book examples are called Example154.java etc
 - Get them from the book homepage http://www.itu.dk/people/sestoft/javaprecisely/

Parallel functional programming

Parallel evaluation. The evaluation of the operator and operand could be initiated simultaneously and proceed concurrently, using two machines. This involves the same amount of computation as in normal evaluation, but the computation may be spread over several machines so as to reduce the elapsed evaluation time. In this case each object is either evaluated or in

Burge: Recursive programming techniques, 1975

- 1980'es: Language-driven parallel functional programming: dataflow, Peyton Jones, Roe, ...
- Now: Technology-driven, "free lunch is over":
 Multicore chips => Java needs parallelism
 - => Java needs (parallelizable) streams
 - => Java needs functional programming

New in Java 8

- Lambda expressions(String s) -> s.length
- Method reference expressionsString::length
- Functional interfacesFunction<String,Integer>
- Streams for bulk data
 Stream<Integer> is = ss.map(String::length)
- Parallel streamsis = ss.parallel().map(String::length)
- Parallel array operations

```
Arrays.parallelSetAll(arr, i -> sin(i/PI/100.0))
Arrays.parallelPrefix(arr, (x, y) -> x+y)
```

Functional programming in Java

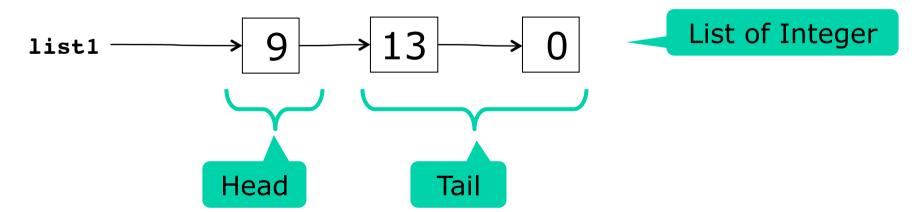
- Immutable data instead of objects with state
- Recursion instead of loops
- Higher-order functions that either
 - take functions as argument
 - return functions as result

```
class FunList<T> {
    final Node<T> first;
    protected static class Node<U> {
        public final U item;
        public final Node<U> next;
        public Node(U item, Node<U> next) { ... }
    }
    ...
}
```

Immutable data

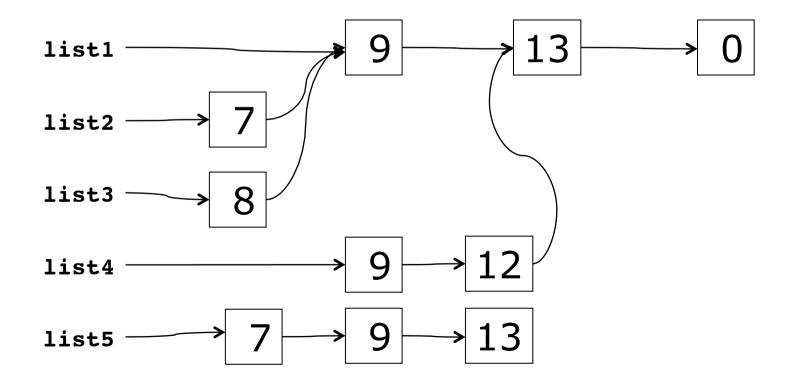
FunList<T>, linked lists of nodes

```
class FunList<T> {
  final Node<T> first;
  protected static class Node<U> {
    public final U item;
    public final Node<U> next;
    public Node(U item, Node<U> next) { ... }
  }
  static <T> FunList<T> cons(T item, FunList<T> list) {
    return new Node(item, list.first);
  }
```



Existing data do not change

```
FunList<Integer> empty = new FunList<>(null),
  list1 = cons(9, cons(13, cons(0, empty))),
  list2 = cons(7, list1),
  list3 = cons(8, list1),
  list4 = list1.insert(1, 12),
  list5 = list2.removeAt(3);
```



Recursion in insert

- "If i is zero, put item in a new node, and let its tail be the old list xs"
- "Otherwise, put the first element of xs in a new node, and let its tail be the result of inserting item in position i-1 of the tail of xs"

Immutable data: Bad and good

- Immutability leads to more allocation
 - Takes time and space
 - But today allocators and garbage collectors are fast
- Immutable data can be safely shared
 - May actually lead to less allocation
- Immutable data are automatically threadsafe
 - No (other) thread can change the data
 - And also due to visibility effects of final modifier



Lambda expressions 1

One argument lambda expressions:

```
Function<String,Integer>
    fsi1 = s -> Integer.parseInt(s);

... fsi1.apply("004711") ... Function that takes a string s and parses it as an integer

Calling the function

Same, written in other ways

fsi2 = s -> { return Integer.parseInt(s); },
    fsi3 = (String s) -> Integer.parseInt(s);
```

Two-argument lambda expressions:

```
BiFunction<String,Integer,String>
  fsis1 = (s, i) -> s.substring(i, Math.min(i+3, s.length()));
```

Lambda expressions 2

Zero-argument lambda expression:

```
Supplier<String>
now = () -> new java.util.Date().toString();
```

One-argument result-less lambda ("void"):

```
Consumer<String>
    show1 = s -> System.out.println(">>>" + s + "<<<");

Consumer<String>
    show2 = s -> { System.out.println(">>>" + s + "<<<"); };</pre>
```

Method reference expressions

```
BiFunction<String,Integer,Character> charat
                                                               Example67.java
  = String::charAt;
                                   Same as (s,i) -> s.charAt(i)
System.out.println(charat.apply("ABCDEF", 1));
Function<String,Integer> parseint = Integer::parseInt;
                                    Same as fsi1, fs2 and fs3
Function<Integer,Character> hex1
  = "0123456789ABCDEF"::charAt;
                                     Conversion to hex digit
                                  Class and array constructors
Function<Integer,C> makeC = C::new;
Function<Integer,Double[]> make1DArray = Double[]::new
```

Targeted function type (TFT)

- A lambda expression or method reference expression does not have a type in itself
- Therefore must have a targeted function type
- Lambda or method reference must appear as
 - Assignment right hand side:

```
• Function<String,Integer> f = Integer::parseInt;
```

- Argument to call:

TFT

- stringList.map(Integer::parseInt)
- In a cast:

map's argument type is TFT

- (Function<String,Integer>)Integer::parseInt
- Argument to return statement: TFT
 - return Integer::parseInt;

Enclosing method's return type is TFT

Functions as arguments: map

```
public <U> FunList<U> map(Function<T,U> f) {
   return new FunList<U>(map(f, first));
}
static <T,U> Node<U> map(Function<T,U> f, Node<T> xs) {
   return xs == null ? null
      : new Node<U>(f.apply(xs.item), map(f, xs.next));
}
```

- Function map encodes general behavior
 - Transform each list element to make a new list
 - Argument f expresses the specific transformation
- Same effect as OO "template method pattern"

Calling map

7 9 13

```
FunList<Double> list8 = list5.map(i -> 2.5 * i);

17.5 22.5 32.5
```

```
FunList<Boolean> list9 = list5.map(i -> i < 10);</pre>
```

true true false

Functions as arguments: reduce

```
static <T,U> U reduce(U x0, BiFunction<U,T,U> op, Node<T> xs) {
  return xs == null ? x0
  : reduce(op.apply(x0, xs.item), op, xs.next);
}
```

```
• Example: list.reduce(0, (x,y) -> x+y)
= 0+x1+...+xn
```

Example154.java

Calling reduce

```
Exam<mark>ple15</mark>4.java
      17.5 22.5 32.5
double sum = list8.reduce(0.0, (res, item) -> res + item)
                                                         72.5
double product = list8.reduce(1.0, (res, item) -> res * item);
                                                         12796.875
boolean allBig
       = list8.reduce(true, (res, item) -> res && item > 10);
                                                         true
```

Tail recursion and loops

```
static <T,U> U reduce(U x0, BiFunction<U,T,U> op, Node<T> xs) {
  return xs == null ? x0
    : reduce(op.apply(x0, xs.item), op, xs.next);
}
```

Tail call

- A call that is the func's last action is a tail call
- A tail-recursive func can be replaced by a loop

```
static <T,U> U reduce(U x0, BiFunction<U,T,U> op, Node<T> xs) {
   while (xs != null) {
      x0 = op.apply(x0, xs.item);
      xs = xs.next;
   }
   return x0;
}
Loop version
of reduce
```

- The Java compiler does *not* do that automatically

Java 8 functional interfaces

A functional interface has exactly one abstract

method

```
interface Function<T,R> {
  R apply(T x);
}
```

```
interface Consumer<T> {
  void accept(T x);
}
```

```
Type of functions from T to R
```

```
C#: Func<T,R>
```

```
F#: T -> R
```

```
Type of functions from T to void
```

```
C#: Action<T>
```

```
F#: T -> unit
```

(Too) many functional interfaces

Interface	Sec.	Function Type	Single Abstract Method Signature	
		One-Argument Functions and Predicates		
Function <t,r></t,r>	23.5	T -> R	R apply(T)	
UnaryOperator <t></t>	23.6	T -> T	T apply(T)	
Predicate <t></t>	23.7	T -> boolean	boolean test(T)	
Consumer <t></t>	23.8	T -> void	void accept(T)	
Supplier <t></t>	23.9	void -> T	T get()	
Runnable		void -> void	void run()	
Two-Argument Functions and Predicates				
BiFunction <t,u,r></t,u,r>	23.10	T * U -> R	R apply(T, U)	
BinaryOperator <t></t>	23.11	T * T -> T	T apply(T, T)	
BiPredicate <t,u></t,u>	23.7	T * U -> boolean	boolean test(T, U)	
BiConsumer <t,u></t,u>	23.8	T * U -> void	void accept(T, U)	
Primitive-Type Specialized Versions of the Generic Functional Interfaces				
DoubleToIntFunction	23.5	double -> int	int applyAsInt(double)	
DoubleToLongFunction	23.5	double -> long	long applyAsLong(double)	
IntToDoubleFunction	23.5	int -> double	double applyAsDouble(int)	
IntToLongFunction	23.5	int -> long	long applyAsLong(int)	
LongToDoubleFunction	23.5	long -> double	double applyAsDouble(long)	
LongToIntFunction	23.5	long -> int	int applyAsInt(long)	
DoubleFunction <r></r>	23.5	double -> R	R apply(double)	
IntFunction <r></r>	23.5	int -> R	R apply(int)	
LongFunction <r></r>	23.5	long -> R	R apply(long)	
ToDoubleFunction <t></t>	23.5	T -> double	double applyAsDouble(T)	
ToIntFunction <t></t>	23.5	T -> int	int applyAsInt(T)	
ToLongFunction <t></t>	23.5	T -> long	long applyAsLong(T)	
ToDoubleBiFunction <t,u></t,u>	23.10	T * U -> double	double applyAsDouble(T, U)	
ToIntBiFunction <t,u></t,u>	23.10	T * U -> int	int applyAsInt(T, U)	
ToLongBiFunction <t,u></t,u>	23.10	T * U -> long	long applyAsLong(T, U)	
DoubleUnaryOperator	23.6	double -> double	double applyAsDouble(double)	
IntUnaryOperator	23.6	int -> int	int applyAsInt(int)	
LongUnaryOperator	23.6	long -> long	long applyAsLong(long)	
DoubleBinaryOperator	23.11	double * double -> double	double applyAsDouble(double, double	
IntBinaryOperator	23.11	int * int -> int	int applyAsInt(int, int)	
LongBinaryOperator	23.11	long * long -> long	long applyAsLong(long, long)	
DoublePredicate	23.7	double -> boolean	boolean test(double)	
IntPredicate	23.7	int -> boolean	boolean test(int)	
LongPredicate	23.7	long -> boolean	boolean test(long)	
DoubleConsumer	23.8	double -> void	void accept (double)	
IntConsumer	23.8	int -> void	void accept(int)	
LongConsumer	23.8	long -> void	void accept (long)	
ObjDoubleConsumer <t></t>	23.8	T * double -> void	void accept(T, double)	
ObjIntConsumer <t></t>	23.8	T * int -> void	void accept(T, int)	
ObjLongConsumer <t></t>	23.8	T * long -> void	void accept (T, long)	
BooleanSupplier	23.9	void -> boolean	boolean getAsBoolean()	
DoubleSupplier	23.9	void -> double	double getAsDouble()	
IntSupplier	23.9	void -> int	int getAsInt()	
LongSupplier	23.9	void -> long	long getAsLong()	
			<u> </u>	

```
interface IntFunction<R> {
   R apply(int x);
}
```

Use instead of Function<Integer,R> to avoid (un)boxing

Primitive-type specialized interfaces

Java Precisely 3rd ed. page 125

Primitive-type specialized interfaces for int, double, and long

```
interface Function<T,R> {
   R apply(T x);
}

interface IntFunction<R> {
   R apply(int x);
}
```

Why both?

What difference?

```
Function<Integer,String> f1 = i -> "#" + i;
IntFunction<String> f2 = i -> "#" + i;
```

- Calling f1.apply(i) will box i as Integer
 - Allocating object in heap, takes time and memory
- Calling **f2.apply(i)** avoids boxing, is faster
- Purely a matter of performance

Functions that return functions

Conversion of n to English numeral, cases

```
n < 20 : one, two, ..., nineteen n < 100: twenty-three, ...
```

```
n >= 100: two hundred forty-three, ... n >= 1000: three thousand two hundred forty-three... n >= 1 million: ... n >= 1 billion: ...
```

Functions that return functions

Using the general higher-order function

Converting to English numerals:

toEnglish(2147483647)

```
two billion one hundred forty-seven million four hundred eighty-three thousand six hundred forty-seven
```

Streams for bulk data

- Stream<T> is a finite or infinite sequence of T
 - Possibly lazily generated
 - Possibly parallel
- Stream methods
 - map, flatMap, reduce, filter, ...
 - These take functions as arguments
 - Can be combined into pipelines
 - Java optimizes (and parallelizes) the pipelines well
- Similar to
 - Iterators, but very different implementation
 - The extension methods underlying .NET Linq

Some stream operations

- Stream<Integer> s = Stream.of(2, 3, 5)
- s.filter(p) = the x where p.test(x) holds s.filter(x -> x%2==0) gives 2
- s.map(f) = results of f.apply(x) for x in s s.map(x -> 3*x) gives 6, 9, 15
- s.flatMap(f) = a flattening of the streams
 created by f.apply(x) for x in s

```
s.flatMap(x \rightarrow Stream.of(x,x+1)) gives 2,3,3,4,5,6
```

- s.findAny() = some element of s, if any, or else the absent Option<T> value
 - s.findAny() gives 2 or 3 or 5
- s.reduce(x0, op) = x0*s0*...*sn if we write
 op.apply(x,y) as x*y

```
s.reduce(1, (x,y)->x*y) gives 1*2*3*5 = 30
```

Similar functions are everywhere

- Java stream map is called
 - map in Haskell, Scala, F#, Clojure
 - Select in C#
- Java stream flatMap is called
 - flatMap in Haskell, Scala
 - collect in F#
 - SelectMany in C#
 - mapcat in Clojure
- Java reduce is a special (assoc. op.) case of
 - fold1 in Haskell
 - foldLeft in Scala
 - fold in F#
 - Aggregate in C#
 - reduce in Clojure

Counting primes on Java 8 streams

• Our old standard Java for loop:

```
int count = 0;
for (int i=0; i<range; i++)
  if (isPrime(i))
    count++;</pre>
```

Classical efficient imperative loop

Sequential Java 8 stream:

```
IntStream.range(0, range)
.filter(i -> isPrime(i))
.count()
```

Pure functional programming ...

Parallel Java 8 stream:

```
IntStream.range(0, range)
.parallel()
.filter(i -> isPrime(i))
.count()
```

... and thus parallelizable and thread-safe

Performance results (!!)

Counting the primes in 0 ...99,999

Method	Intel i7 (ms)	AMD Opteron (ms)
Sequential for-loop	9.9	40.5
Sequential stream	9.9	40.8
Parallel stream	2.8	1.7
Best thread-parallel	3.0	4.9
Best task-parallel	2.6	1.9

- Functional streams give the simplest solution
- Nearly as fast as tasks and threads, or faster:
 - Intel i7 (4 cores) speed-up: 3.6 x
 - AMD Opteron (32 cores) speed-up: 24.2 x
- The future is parallel and functional ©

Purity: side-effect freedom

From the java.util.stream package docs:

Side-effects

Side-effects in behavioral parameters to stream operations are, in general, discouraged, as they can often lead to unwitting violations of the statelessness requirement, as well as other thread-safety hazards.

This means "catastrophic"

- Java compiler (types) cannot enforce purity
- Java runtime cannot detect violation of purity

Creating streams 1

• Explicitly or from array, collection or map:

```
IntStream is = IntStream.of(2, 3, 5, 7, 11, 13);
                                                   Example 164. java
String[] a = { "Hoover", "Roosevelt", ...};
Stream<String> presidents = Arrays.stream(a);
Collection<String> coll = ...;
Stream<String> countries = coll.stream();
Map<String,Integer> phoneNumbers = ...;
Stream<Map.Entry<String,Integer>> phones
  = phoneNumbers.entrySet().stream();
```

• Finite, ordered, sequential, lazily generated

Creating streams 2

- Useful special-case streams:
- IntStream.range(0, 10_000)
- random.ints(5_000)
- bufferedReader.lines()
- bitset.stream()
- Functional iterators for infinite streams
- Imperative generators for infinite streams
- StreamBuilder<T>: eager, only finite streams

Creating streams 3: generators

• Generating 0, 1, 2, 3, ...

Functional

```
IntStream nats1 = IntStream.iterate(0, x -> x+1);
Most efficient (!!),
    and parallelizable
Imperative
```

```
IntStream nats2 = IntStream.generate(new IntSupplier() {
   private int next = 0;
   public int getAsInt() { return next++; }
});
```

Imperative, using final array for mutable state

```
final int[] next = { 0 };
IntStream nats3 = IntStream.generate(() -> next[0]++);
```

Example182.java

Creating streams 4: StreamBuilder

Convert own linked IntList to an IntStream

```
class IntList {
 public final int item;
 public final IntList next;
 public static IntStream stream(IntList xs) {
    IntStream.Builder sb = IntStream.builder();
   while (xs != null) {
      sb.accept(xs.item);
      xs = xs.next;
    return sb.build();
```

- Eager: no stream element output until end
- Finite: does not work on cyclic lists

Streams for backtracking

Generate all n-permutations of 0, 1, ..., n-1

```
- Eg [2,1,0], [1,2,0], [2,0,1], [0,2,1], [0,1,2], [1,0,2]
```

Set of numbers not yet used

An incomplete permutation

```
public static Stream<IntList> perms(BitSet todo, IntList tail) {
  if (todo.isEmpty())
    return Stream.of(tail);
  else
    return todo.stream().boxed()
    .flatMap(r -> perms(minus(todo, r), new IntList(r, tail)));
}
```

```
public static Stream<IntList> perms(int n) {
   BitSet todo = new BitSet(n); todo.flip(0, n);
   return perms(todo, null);
}
```

{ 0, ..., n-1 }

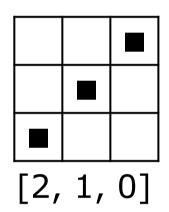
Empty permutation []

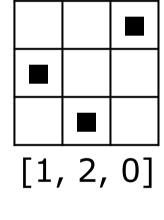
A closer look at generation for n=3

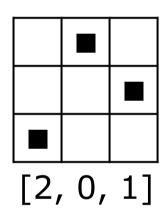
```
({0,1,2},[])
(\{1,2\},[0])
   ({2}, [1,0])
      (\{\}, [2,1,0])
                       Output to stream
   (\{1\}, [2,0])
      (\{\}, [1,2,0])
                       Output to stream
(\{0,2\},[1])
   ({2}, [0,1])
      (\{\}, [2,0,1])
                       Output to stream
   ({0}, [2,1])
      (\{\}, [0,2,1])
                       Output to stream
(\{0,1\},[2])
```

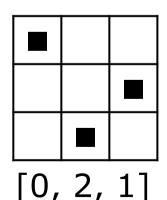
A permutation is a rook (tårn) placement on a chessboard

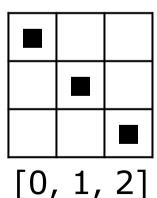
- Uses each column (position) exactly once
- Uses each row (number) exactly once

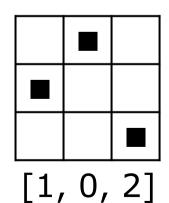












Solutions to the n-queens problem

- For queens, just take diagonals into account:
 - consider only r that are safe for the partial solution

- Simple, and parallelizable for free, 3.5 x faster
- Solve and generate sudokus: much the same

Versatility of streams

- Many uses of a stream of solutions
 - Print the number of solutions

```
System.out.println(queens(8).count());
```

Print all solutions

```
queens(8).forEach(System.out::println);
```

Print an arbitrary solution (if there is one)

```
System.out.println(queens(8).findAny());
```

- Print the 20 first solutions

```
queens(8).limit(20).forEach(System.out::println);
```

- Much harder in an imperative version
- Separation of concerns (Dijkstra): production of solutions versus consumption of solutions

Example174.java

Streams for quasi-infinite sequences

- van der Corput numbers
 - 1/2, 1/4, 3/4, 1/8, 5/8, 3/8, 7/8, ...
 - Dense and uniform in interval [0, 1]
 - For simulation and finance, Black-Scholes options
- Trick: v d Corput numbers as base-2 fractions
 0.1, 0.01, 0.11, 0.001, 0.101, 0.011, 0.111 ...
 are bit-reversals of 1, 2, 3, 4, 5, 6, 7, ... in binary

```
public static DoubleStream vanDerCorput() {
   return IntStream.range(1, 31).asDoubleStream()
        .flatMap(b -> bitReversedRange((int)b));
}

private static DoubleStream bitReversedRange(int b) {
   final long bp = Math.round(Math.pow(2, b));
   return LongStream.range(bp/2, bp)
        .mapToDouble(i -> (double)(bitReverse((int)i) >>> (32-b)) / bp);
}
```

Collectors: aggregation of streams

- To format an IntList as string "[2, 3, 5, 7]"
 - Convert the list to an IntStream
 - Convert each element to get Stream<String>
 - Use a predefined Collector to build final result

```
public static String toString(IntList xs) {
   StringBuilder sb = new StringBuilder();
   sb.append("[");
   boolean first = true;
   while (xs != null) {
     if (!first)
        sb.append(", ");
     first = false;
     sb.append(xs.item);
        xs = xs.next;
   }
   return sb.append("]").toString();
}
```

The alternative "direct" solution requires care and cleverness

Java 8 stream properties

- Some stream dimensions
 - Finite vs infinite
 - Lazily generated (by iterate, generate, ...)
 vs eagerly generated (stream builders)
 - Ordered (map, filter, limit ... preserve element order) vs unordered
 - Sequential (all elements processed on one thread)
 vs parallel
- Java streams
 - can be lazily generated, like Haskell lists
 - but are use-once, unlike Haskell lists
 - reduces risk of space leaks (and limits expressiveness)

How are Java streams implemented?

Spliterators

```
interface Spliterator<T> {
   void forEachRemaining(Consumer<T> action);
   boolean tryAdvance(Consumer<T> action);
   void Spliterator<T> trySplit();
}
```

- Many method calls (well inlined/fused by the JIT)
- Parallelization
 - Divide stream into chunks
 - Process each chunk in a task (Haskell "spark")
 - Run on thread pool using work-stealing queues
 - ... thus similar to Haskell parBuffer/parListChunk

Parallel (functional) array operations

- Simulating random motion on a line
 - Take n random steps of length at most [-1, +1]:

Compute the positions at end of each step:
 a[0], a[0]+a[1], a[0]+a[1]+a[2], ...

```
Arrays.parallelPrefix(a, (x,y) -> x+y);
```

- Find the maximal absolute distance from start:

- A lot done, fast, without loops or assignments
 - Just arrays and streams and functions

Array and streams and parallel ...

Side-effect free associative array aggregation

```
Arrays.parallelPrefix(a, (x,y) -> x+y);
```

- Such operations can be parallelized well
 - So-called prefix scans (Blelloch 1990)
 - Lots of applications: sum, product, sorting, comparison, lexing, polynomial evaluation, ...
- Streams and arrays complement each other
 - Streams: lazy, possibly infinite, non-materialized, use-once, parallel pipelines
 - Arrays: eager, finite, materialized, use-many-times, parallel prefix scans

Some problems with Java streams

- Streams are use-once & have other restrictions
 - Probably to permit easy parallelization
- Hard to create lazy finite streams
 - Probably to allow high-performance implementation
- Difficult to control resource consumption
- A single side-effect may mess all up completely
- Sometimes .parallel() hurts performance a lot
 - See exercise
 - And strange behavior, in parallel + limit in Sudoku generator
- Laziness in Java is subtle, easily goes wrong:

This week

- Reading
 - Java Precisely 3rd ed. §11.13, 11.14, 23, 24, 25
- Exercises
 - Extend immutable list class with functional programming; use parallel array operations; use streams of words and streams of numbers
- Read before next week's lecture
 - Sestoft: Microbenchmarks in Java and C# http://www.itu.dk/people/sestoft/papers/benchmarking.pdf
 - Optional: McKenney chapter 3