# Anand D. Sarwate

# **Curriculum Vitæ**

#### **CONTACT INFORMATION**

Associate Professor

Department of Electrical and Computer Engineering

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#### RESEARCH INTERESTS

I am broadly interested in probability, statistics, and algorithms applied to problems in distributed systems, communications, and privacy and security.

#### **EDUCATION**

1/06-7/08	University of California, Berkeley, (Berkeley, California USA) Ph.D., Electrical Engineering and Computer Sciences (awarded 12/2008) Designated Emphasis in Communication, Computation and Statistics Thesis: Robust and adaptive communication under uncertain interference
8/02-12/05	Advisor: Professor Michael Gastpar  University of California, Berkeley, (Berkeley, California USA)  M.S., Electrical Engineering and Computer Sciences (awarded 12/2005)  Thesis: Observation uncertainty in Gaussian sensor networks

Advisor: Professor Michael Gastpar

9/97–6/02 **Massachusetts Institute of Technology**, (Cambridge, Massachusetts USA)

B.S., Electrical Science and Engineering (awarded 6/2002)

B.S., Mathematics (awarded 6/2002)

Minor in Music Minor in Theater Arts

#### **EMPLOYMENT**

1/14–present	Rutgers, The State University of New Jersey, (Piscataway, New Jersey USA) Associate Professor (7/20-present), Assistant Professor (1/14-6/20)
10/11-12/13	<b>Toyota Technological Institute at Chicago</b> , (Chicago, Illinois USA) Research Assistant Professor
9/08-9/11	University of California, San Diego, (La Jolla, California USA)  Postdoctoral Researcher  Supervisors: Professors Alon Orlitsky, Tara Javidi, and Young-Han Kim

## Awards and Honors

IEEE Information Theory Society Distinguished Lecturer, 2024–2025

Oustanding Engineering Professor, Rutgers School of Engineering, 2023

Board of Trustees Research Fellowship for Scholarly Excellence, 2020

A. Walter Tyson Assistant Professor Award, Rutgers School of Engineering, 2018

NSF CAREER Award, 2015

**IEEE Senior Member** 

NIPS Reviewer Award, 2013

Demetri Angelakos Memorial Achievement Award, UC Berkeley Department of EECS, 2008

Samuel Silver Memorial Scholarship Award, UC Berkeley Department of EECS, 2007

National Defense Science and Engineering Graduate Fellowship, 2002-2005

MIT : Laya and Jerome B. Wiesner Student Art Award, Joseph Everingham Award (Theater), Philip Lowe Memorial Award (Music)

#### SELECTED PREPRINTS

Preprints with published versions are omitted.

- [1] M. Vargas, R. Cannon, A. Engel, A. D. Sarwate, and T. Chiang. *Understanding Generative AI Content with Embedding Models*. Tech. rep. arXiv:2408.10437 [cs.LG]. ArXiV, Aug. 2024. DOI: 10.48550/arXiv. 2408.10437.
- [2] S. Banerjee, T. Marrinan, R. Cannon, T. Chiang, and A. D. Sarwate. *Measuring model variability using robust non-parametric testing*. Tech. rep. arXiv:2406.08307 [stat.ML]. ArXiV, June 2024. DOI: 10.48550/arXiv.2406.08307.
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# JOURNAL AND ARCHIVAL CONFERENCE PAPERS

[1] Y. Wu, Y. Li, Z. Dong, N. Sathyavageeswaran, and A. D. Sarwate. "Learning to Help in Multi-Class Settings". In: *The Thirteenth International Conference on Learning Representations*. Apr. 2025. URL: https://openreview.net/forum?id=NCgTbt2j1F.

- [2] K. Rootes-Murdy, S. Panta, R. Kelly, J. Romero, Y. Quidé, M. J. Cairns, C. Loughland, V. J. Carr, S. V. Catts, A. Jablensky, M. J. Green, F. Henskens, D. Kiltschewskij, P. T. Michie, B. Mowry, C. Pantelis, P. E. Rasser, W. R. Reay, U. Schall, R. J. Scott, O. J. Watkeys, G. Roberts, P. B. Mitchell, J. M. Fullerton, B. J. Overs, M. Kikuchi, R. Hashimoto, J. Matsumoto, M. Fukunaga, P. S. Sachdev, H. Brodaty, W. Wen, J. Jiang, N. Fani, T. D. Ely, A. Lorio, J. S. Stevens, K. Ressler, T. Jovanovic, S. J. van Rooij, L. M. Federmann, C. Jockwitz, A. Teumer, A. J. Forstner, S. Caspers, S. Cichon, S. M. Plis, A. D. Sarwate, and V. D. Calhoun. "Cortical similarities in psychiatric and mood disorders identified in federated VBM analysis via COINSTAC". In: *Patterns* (May 2024), p. 100987. DOI: https://doi.org/10.1016/j.patter.2024.100987.
- [3] A. W. Engel, Z. Wang, N. Frank, I. Dumitriu, S. Choudhury, A. Sarwate, and T. Chiang. "Faithful and Efficient Explanations for Neural Networks via Neural Tangent Kernel Surrogate Models". In: *The Twelfth International Conference on Learning Representations*. Vienna, Austria, May 2024. URL: https://openreview.net/forum?id=yKksu38BpM.
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- [5] S. Costanza-Chock, K. Rose (editor), K. Henne, S. Mhlambi, and A. Sarwate. "Critical AI and Design Justice: An Interview with Sasha Costanza-Chock". In: *Critical AI* 1–2.1 (Oct. 2023). DOI: 10.1215/2834703X-10734036.
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- [8] N. Tasnim, J. Mohammadi, A. D. Sarwate, and H. Imtiaz. "Approximating Functions with Approximate Privacy for Applications in Signal Estimation and Learning". In: *Entropy* 25.5 (May 2023), p. 825. DOI: 10.3390/e25050825.
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- [11] Y. Zhang, S. Vatedka, S. Jaggi, and A. D. Sarwate. "Quadratically Constrained Myopic Adversarial Channels". In: *IEEE Transactions on Information Theory* 68 (Aug. 2022), pp. 4901–4948. DOI: 10.1109/TIT.2022.3167554.
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#### BOOK CHAPTERS AND MONOGRAPHS

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#### RESEARCH SUPPORT

PNNL PNNL-642052: \$70,000, 9/1/2022-6/30/2024

Statistical Interference Generates Knowledge for Artificial Learners (SIGNAL)

PI: Anand D. Sarwate

This project uses statistical techniques to understand the variability of training ML/AI

models.

NSF CNS-2148104: \$1,000,000, 5/1/2022-4/30/2025

RINGS: REALTIME: Resilient Edge-cloud Autonomous Learning with Timely

**Inferences** 

PI: Anand D. Sarwate, Co-PIs: Dipankar Raychaudhuri, Waheed Bajwa, Roy D. Yates This project studies how to design real-time operation, online decision-making, and offline training of real-time ML-based applications that are resilient to data, application,

user, and system changes.

NIH 2R01DA040487 : \$623,113, 9/30/2020-6/30/2025

COINSTAC 2.0: Decentralized, Scalable Analysis of Loosely Coupled Data

PI: Vince Calhoun (Georgia State), subcontract to Rutgers (PI: Anand D. Sarwate) This is a continuation of the COINSTAC project (see below) to develop a system for automated and privacy-sensitive statistical analyses of data from neuroimaging researchers

studying the same condition at different sites.

NSF CCF-1910110: \$499,976, 10/1/2019-9/30/2022

CIF: Small: ESTRELLA: Exploiting Structure in Tensors for Representation,

**Estimation, and Limits of Learning Algorithms** 

PI: Anand D. Sarwate, Co-PI: Waheed Bajwa

This project pursues a comprehensive theory to simplify the measurement, storage, and

statistical modeling of tensor-structured data.

NSF CCF-1909468: \$250,000, 10/1/2019-9/30/2022

CIF: Small: Collaborative Research: Between Shannon and Hamming

PI: Anand D. Sarwate, Co-PI: Michael Langberg (U. Buffalo)

This proposal studies fundamental coding strategies communication over channels in

which the interference lies between the average and worst-case models.

NSF SaTC-1617849: \$500,000.00, 9/1/2016-8/31/2020

TWC: Small: PERMIT: Privacy-Enabled Resource Management for IoT Networks

PI: Anand D. Sarwate, Co-PI: Narayan Mandayam

This proposal studies how privacy, utility, and bandwidth affect each other in networked

data collection and information processing systems.

Verisign Gift: \$25,000, 11/2015

Differential Privacy, Multi-target Search, and Anomaly Detection

PIs: Rebecca Wright, Anand D. Sarwate Gift through DIMACS Center to work on applied

and theoretical privacy.

DHS Subcontract from CICCADA: \$125,000, 10/1/2015-6/30/2016

PIs: Rebecca Wright, Anand D. Sarwate

**DPAD: Differentially Private Anomaly Detection** 

This work seeks to understand how and when we can safely detect anomalies in private data.

**NSF** CCF-1525276: \$160,000.00. 9/1/2015-8/31/2017

CIF: Small: Active data screening for efficient feature learning

PI: Waheed Bajwa, Co-PI: Anand D. Sarwate

This proposal develops methods for screening samples to use for dictionary learning algorithms to balance representation accuracy and computational efficiency.

NIH 1R01DA040487-01A1: \$692,575, 07/01/2015-04/30/2020

COINSTAC: Decentralized, Scalable Analysis of Loosely Coupled Data

PI: Vince Calhoun (Georgia State), subcontract to Rutgers (PI: Anand D. Sarwate) This proposal is to develop a system for automated and privacy-sensitive statistical analyses of data from neuroimaging researchers studying the same condition at different

sites.

**NSF** CCF-1453432: \$540,000.00, 7/1/2015-6/30/2020

CAREER: Privacy-preserving learning for distributed data

PI: Anand D. Sarwate

This proposal develops key design principles for making practical privacy-preserving distributed learning algorithms and validate them in collaboration with neuroimaging researchers. The results will identify new challenges for information processing and

machine learning in general distributed systems.

N66001-15-C-4070: \$1,013.723, 3/15/2015-3/14/2020 DARPA/Navy

Jana: Ensuring Secure, Private and Flexible Data Access

PI: David Archer (Galois, Inc.), subcontract to Rutgers (PI: Rebecca Wright, co-PIs: Anand

D. Sarwate, David Cash)

This project is about building a secure database system that uses secure multiparty computing and privacy-preserving algorithms to hold and process queries on data held

by multiple parties.

ARL CTA on Robotics: \$125,526, 4/16/2014-4/15/2015

Subaward from General Dynamics to Rutgers (PI: Waheed Bajwa, Co-PIs: Athina Petrop-

ulu, Anand Sarwate)

Active Feature Learning and Classifier Training for Object Recognition

This work was to develop active learning approaches for feature learning for object

recognition in rich data such as video. Subaward from General Dynamics.

NSF CCF-1218331: \$208,426, 9/1/2012-4/30/2014

CIF: Small: Collaborative Research: Inference by social sampling

PI: Tara Javidi (UCSD), Co-PI: Anand D. Sarwate

This work investigates communication and networking paradigms that can enable a network of individual agents to collaboratively estimate distributions over high dimensional spaces, even when individual observations are severely limited in accuracy, space,

or time.

AcademyHealth EDM Forum: \$5,000, 11/2011

PI: Xiaoqian Jiang (UCSD), co-PIs: Anand D. Sarwate (TTI-Chicago), Lucila Ohno-

Machado (UCSD)

# Review of Technologies to Protect Patient Privacy When Sharing Data for Comparative Effectiveness Research

Commissioned paper for a systematic review of privacy-preserving methods for sharing data for medical research.

## **EDITORSHIPS**

10/21-ongoing	Associate Editor, IEEE Transactions on Information Theory
1/20-ongoing	Consulting Associate Editor, IEEE Open Journal of Signal Processing (OJSP)
1/15-12/18	Associate Editor, IEEE Transactions on Signal and Information Processing over Networks

# Professional Service

2021-2026	Member, Board of Governors, IEEE Information Theory Society
2017-2022	Member, Machine Learning for Signal Processing Technical Committee, IEEE Signal Processing Society
1/15-1/19	Online Editor, IEEE Information Theory Society
01/14-12/14	Online Associate Editor, IEEE Information Theory Society
10/08-12/10	Member, Student Committee, IEEE Information Theory Society
2007-2009	Member, Ad Hoc Committee on Online Content and Services, IEEE Information Theory Society

# Conference and Workshop Organization

2024	$\hbox{Co-Organizer, DIMACS Workshop on Modeling Randomness in Neural Network Training}$
2024	Tutorials Co-Chair, 2024 IEEE International Workshop on Machine Learning for Signal Processing (MLSP 2024)
2023	Finance Chair, 2023 North American School of Information Theory (NASIT 2023)
2022	Online Platform Co-Chair, 2022 IEEE International Symposium on Information Theory (ISIT 2022)
2019	Technical Program Chair, 2019 North American School of Information Theory (NASIT 2019)
2019	Chair, Simons Center Workshop on Privacy and the Science of Data Analysis, Simons Institute for Theoretical Computer Science
2018	Co-Organizer, Algorithmic Challenges for Protecting Privacy for Biomedical Data, Institute for Pure and Applied Mathematics (IPAM)

Co-Organizer, Program on the Nexus of Information and Computation Theories: Secrecy and Privacy, Institute Henri Poncaré

# PROGRAM COMMITTEES (LAST 5 YEARS)

2016

2024	Technical Program Committee, 2024 IEEE International Symposium on Information Theory (ISIT 2024)
2023	Technical Program Committee, 2023 IEEE International Symposium on Information Theory (ISIT 2023)
2022	Technical Program Committee, Workshop on the Theory and Practice of Differential Privacy (TPDP 2022)
2022	Technical Program Committee, 2022 IEEE International Symposium on Information Theory (ISIT 2022)
2022	Technical Program Committee, IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP 2022)
2021	Technical Program Committee, 2021 IEEE International Symposium on Information Theory (ISIT 2021)
2021	Technical Program Committee, 2021 IEEE International Symposium on Information Theory (ISIT 2021)
2021	Technical Program Committee, IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP 2021)
2020	Technical Program Committee, Workshop on the Theory and Practice of Differential Privacy (TPDP 2020)
2020	Technical Program Committee, IEEE International Workshop on Machine Learning for Signal Processing (MLSP 2020)
2020	Technical Program Committee, NeurIPS 2020 Workshop on Privacy Preserving Machine Learning - PriML and PPML Joint Edition
2020	Technical Program Committee, ICLR 2020 Workshop on Trustworthy ML
2020	Senior Area Chair, Conference on Learning Theory (COLT 2020)
2020	Technical Program Committee, 2020 IEEE International Symposium on Information Theory (ISIT 2020)
2020	Technical Program Committee, IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP 2020)

# PEER REVIEWING

IEEE Transactions : Information Theory, Signal Processing, Automatic Control, Information Forensics and Security, Communications, Wireless Communications, Vehicular

Technology, Computational Biology and Bioinformatics, Parallel and Distributed Systems, Smart Grid, Network Science and Engineering, Signal and Information Processing over Networks, Dependable and Secure Computing

IEEE Journal of Selected Areas in Information Theory, IEEE Journal of Selected Areas in Communication, IEEE Journal of Selected Topics in Signal Processing, IEEE Open Journal of Signal Processing, IEEE Signal Processing Magazine, IEEE Signal Processing Letters, IEEE Communications Letters,

Journal of Machine Learning Research (JMLR), Machine Learning

Journal of the American Statistical Association (JASA), Statistical Science, Mathematical Statistics and Learning

Journal of Privacy and Confidentiality

Bernoulli, Random Structures and Algorithms, Queueing Systems : Theory and Applications

Problems of Information Transmission, Entropy

IEEE/ACM Transactions on Networks, ACM Transactions on Sensor Networks, EURASIP Journal on Wireless Communications and Networking, IEEE Open Journal of Signal Processing

SIAM Journal on Matrix Analysis and Applications (SIMAX)

**AMS Mathematical Reviews** 

Conferences: ISIT (2007–2024), ITW (2008, 2010, 2013–2022), ICASSP (2024), MLSP (2023), EUSIPCO (2018), SPAWC (2018), GlobalSIP (2015–2017), CAMSAP (2017), DSLW (2022), Asilomar (2024) COLT (2011, 2012, 2020), STOC (2010), SODA (2015), NIPS (2012–2016), ICML (2012–2016), AISTATS (2012, 2013, 2017–2019), TPDP (2024, 2018, 2020), ICC (2012), Infocom (2012), Globecom (2007, 2009), WiOpt (2015), DCOSS (2015), PIMRC (2007) CDC (2009, 2012), ACC (2013, 2024), ACM Richard Tapia Celebration of Diversity in Computing Poster Track (2019),

February 17, 2025