DIR ID Factor	Risk Factors	Low Risk Cue	Medium Risk Cue	High Risk Cue	Low	Medium	High	N/A	Need Info	Notes
SAM14	**Acceptance Test Cases**	Test cases based on defined requirements or prior system functions	Testing with supplier-defined cases	No test cases defined						
SAM33	**Acceptance Test Plan**	Acceptance tests scripts are documented and available early; supplier has approved	Acceptance tests developed based on solution provided; limited supplier involvement	Acceptance tests are not documented; key users will approve solution						
SAM55	**Access to Source**	Source code to system is in escrow	Source code will be made available if supplier stops supporting system	No provisions for obtaining source code						
SAM44	**Accountability in Fault Allocation and Correction**	System faults can be easily isolated and corrected	System faults are somewhat difficult to isolate due to interoperability with other systems	System faults cannot be isolated due to interoperability with other systems						
SAM71	**Adequacy of Escalation**	Escalation paths are well defined and used as appropriate to handle issues and problems	Escalation paths are defined, but people tend to circumvent them at times	No escalation paths are defined; issues get resolved by executives or by the contract offices						
SAM40	**Adequate Documentation for Modifications**	The supplier provides sufficient documentation to support those making modifications	The documentation to support making modifications is sketchy and/or incomplete	There is no documentation to support making modifications						
G44 S47	**Alternatives Analysis**	Analysis of alternatives complete, all considered, assumptions verifiable	Analysis of alternatives complete, some assumptions questionable or alternatives not fully considered	Analysis not completed, not all alternatives considered, or assumptions faulty						

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LEGEND to DIR Risk factor template: **G** = generic **S** = software **SAM** = software acquisition

Form: Risk Statement Exposure Ranking Example

The following table is a composite of risk exposures identified by the expert judgment of the project team. The formula within the Excel spreadsheet to calculate the individual risk utilizes this formula:

(Impact times Probability) divided by 10 = Risk Exposure

Impact rating is based on a scale of 1 – 10 (where 10 means the impact could cause the project/process to fail completely)
Probability rating is based on a scale of 1 – 10 (where 10 means the event will occur)

	Risk Statement Exposure Ranking of **DATE**					
Risk ID Number	**Risk Statement**	**Impact on Project**	**Probability of Occurrence**	**CURRENT Risk Exposure**		
				0.00		
				0.00		
				0.00		
				0.00		
				0.00		
				0.00		
				0.00		
				0.00		

LEGEND to DIR Risk factor template: **G** = generic   **S** = software   **SAM** = software acquisition

Form: Risk Detail Example

Risk Identification Number & **NAME**		Insert graph of history of risk
Risk Current Rating		
Impact on Project		
Probability of Occurrence		
Risk Exposure Score		
Description of Risk		
Impact on Project		
Probability of Occurrence		
Current Relevant Comments		

How will the risk materialize?	Who should monitor?	What can be done to minimize?	What has been done to contain?