

# ENT-ICIPATE

## Checkpoint #2

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FONDAZIONE

links



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**OVERVIEW**

**DATA  
EXPLORATION**

**DATA PRE-  
PROCESSING**

**IMPLEMENTATION**

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# OBJECTIVES

**POST-OPERATIVE COMPLICATIONS FOR ENT PATIENTS SUCH AS NOSOCOMIAL INFECTION AND PHARYNGO-/ORO-CUTANEOUS FISTULA ARE RARE BUT HAVE STRONG IMPACT ON PATIENT'S SAFETY AND HOSPITAL RESOURCES**

**WE WANT TO:**

- **BUILD A ML MODEL TO ESTIMATE EACH PATIENT'S RISK OF SOME COMPLICATIONS**
- **COMPARE DIFFERENT MODELS AND STRATEGY TO IDENTIFY A ROBUST AND INTERPRETABLE SOLUTION**



# VALUE PROPOSITION

**Transforms raw clinical data from 550+ ENT oncology patients into actionable insights, enabling earlier identification of high-risk cases and improving post-surgical safety.**



**Builds a data-driven infrastructure that standardizes heterogeneous medical records, integrates them into a predictive pipeline, and lays the foundation for scalable AI-assisted clinical workflows.**





# RESEARCH QUESTIONS

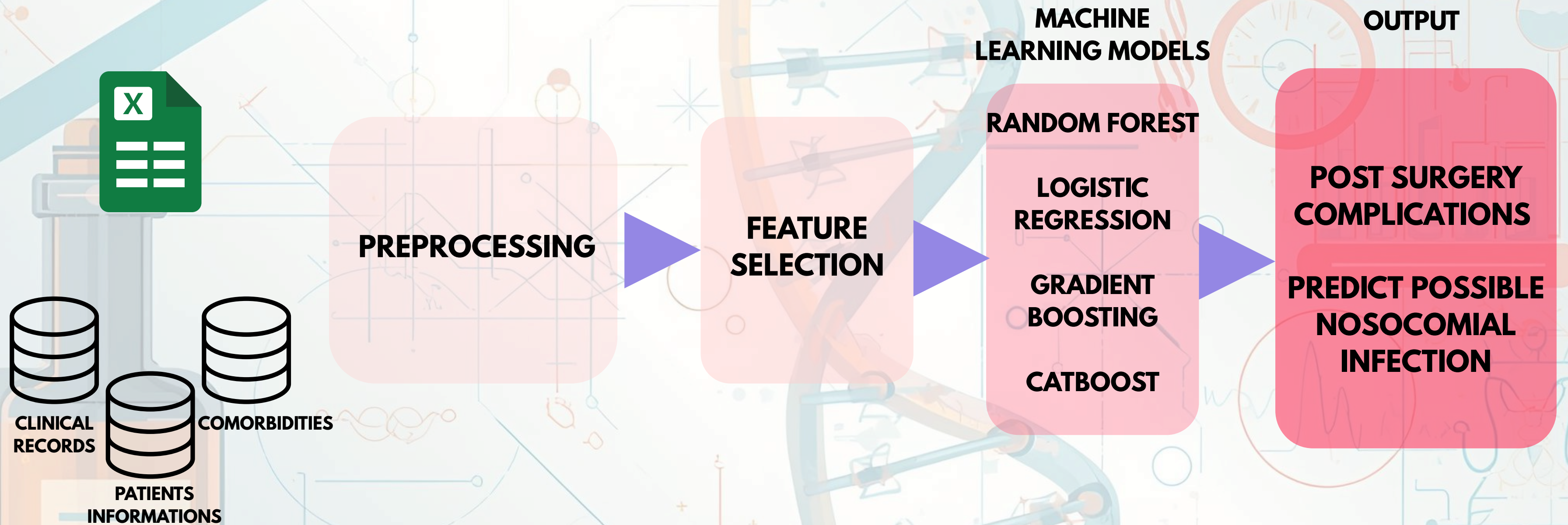


**CAN MACHINE LEARNING MODELS  
ACCURATELY PREDICT POST-  
SURGICAL COMPLICATIONS IN ENT  
ONCOLOGY PATIENTS, EVEN  
WHEN SUCH EVENTS ARE RARE?**

**WHICH CLINICAL AND SURGICAL  
FEATURES CONTRIBUTE MOST TO  
THE RISK OF POST-OPERATIVE  
COMPLICATIONS?**



# FUNCTIONAL DIAGRAM







# WHAT WE ARE DOING

## **STEP 1 → DATA UNDERSTANDING AND CLEANING**

**IDENTIFY INCONSISTENT FORMATS  
DROPPING IRRELEVANT COLUMNS  
FIXING INCOSISTENT LABELS**

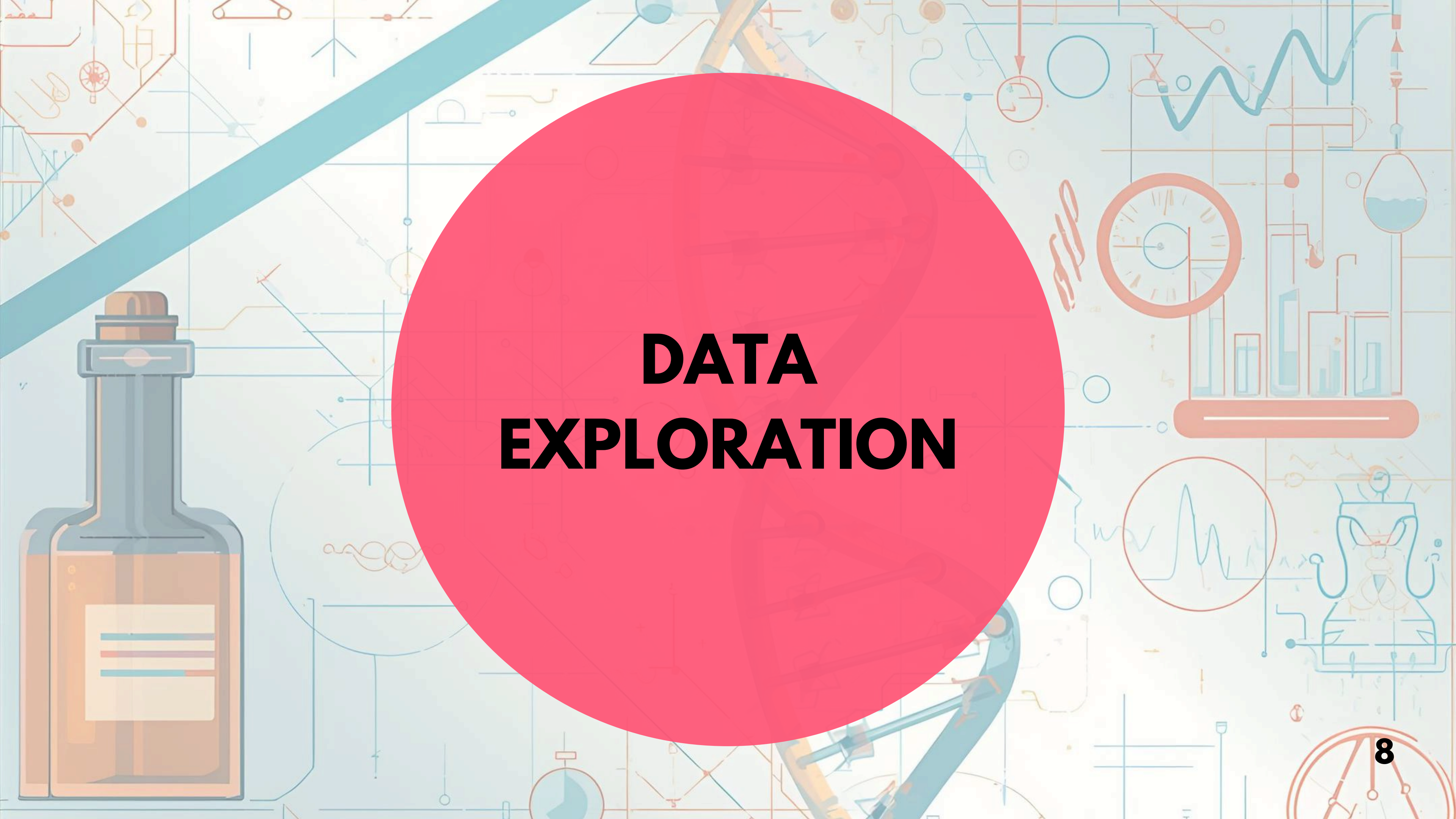
## **STEP 2 → HANDLING DATA QUALITY**

**DETECT MISSING VALUES  
IMPUTE NUMERICAL AND CATEGORICAL FIELDS**

## **STEP 3 → MODEL PREPARATION**

**STRATIFIED TRAIN-TEST SPLIT  
IMBALANCE HANDLING  
READY-TO-TRAIN FEATURE MATRIX**





# **DATA EXPLORATION**



# COMPOSITION OF THE DATASET

**574 Patients**



**64 Features**

**Patients  
demographics and  
habits**

**Comorbidities**

**Surgical and  
operative  
treatments**

**Targets (binary)**  
**Fistula**  
**Nosocomial  
infection**

## Clinical Data Challenges

- High heterogeneity across variables
- Many categorical features stored as free text
- TNM staging unstructured and inconsistently encoded
- Hidden missing values not immediately detectable

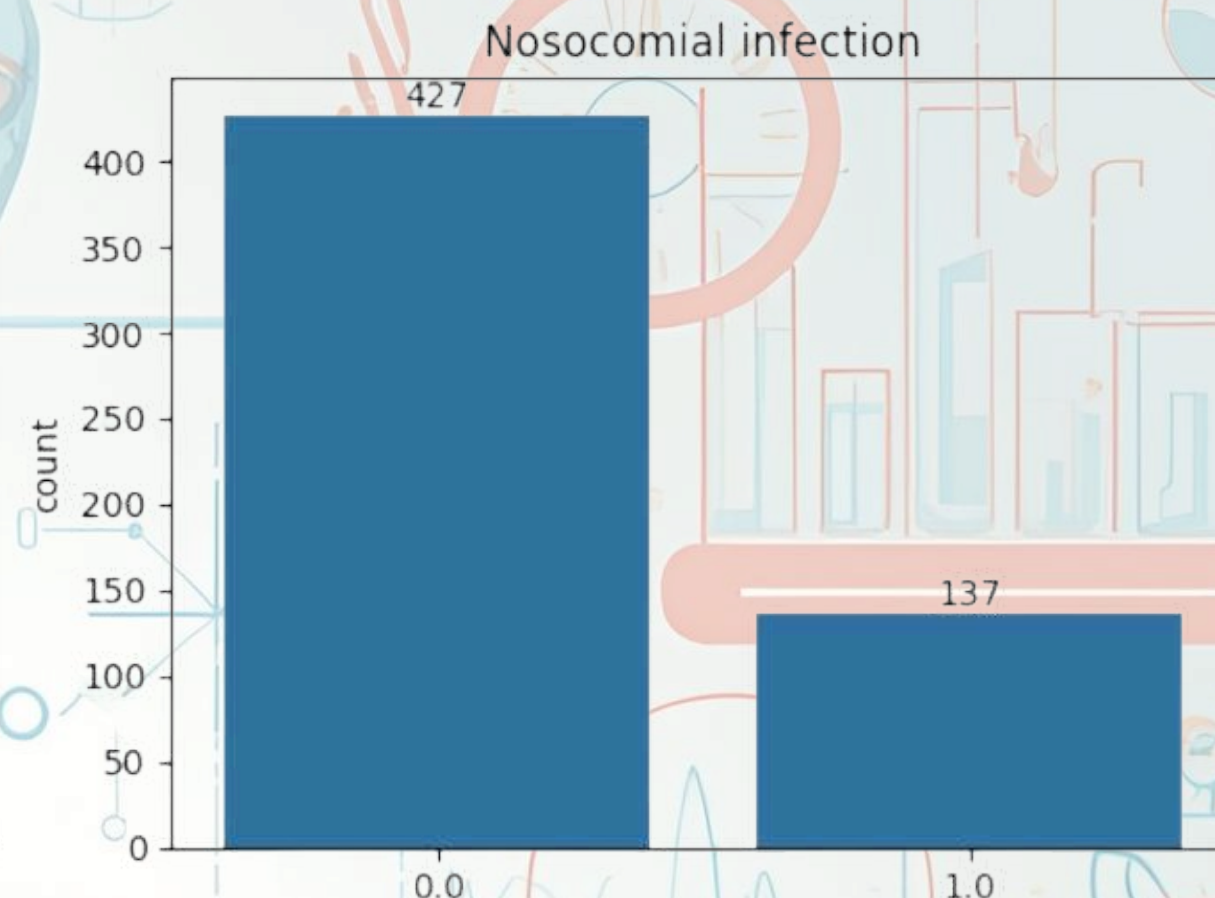
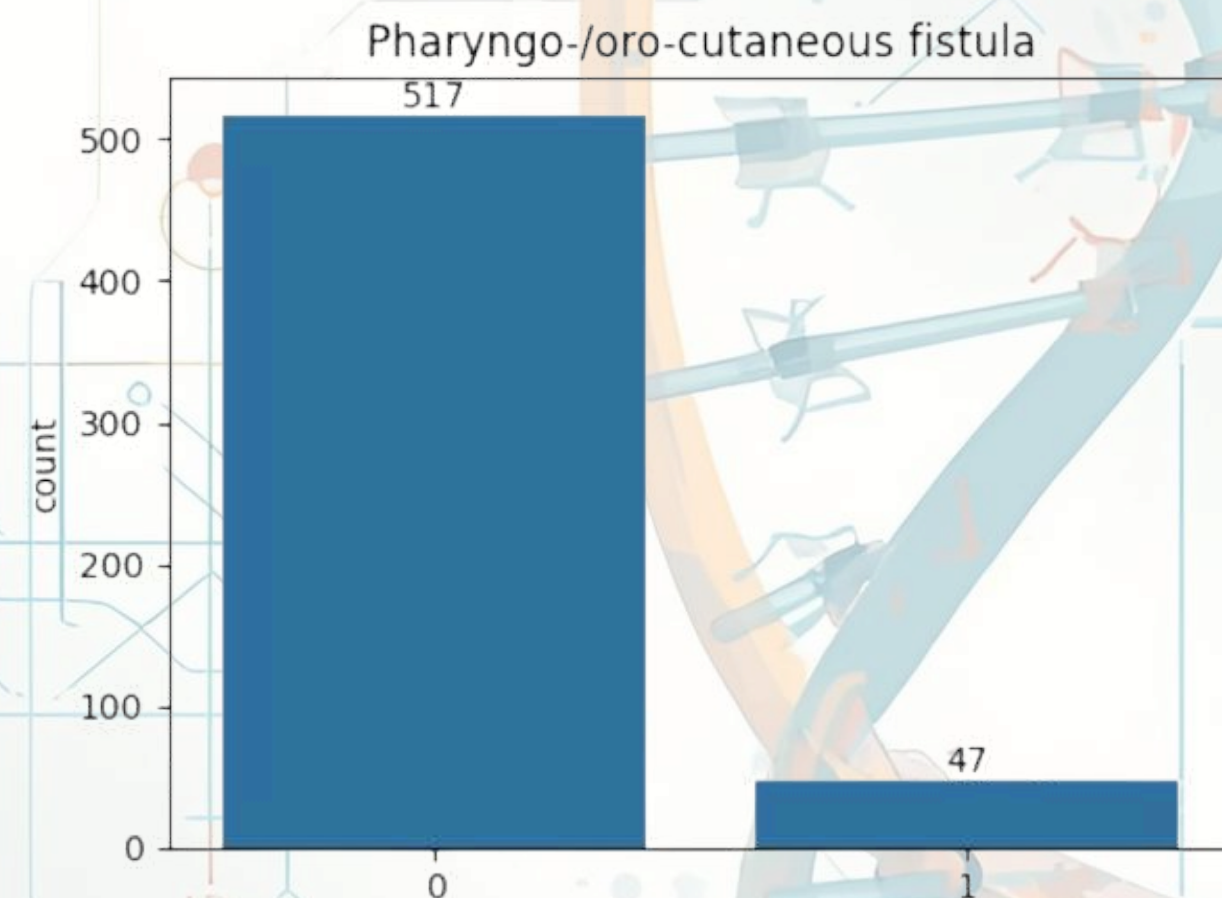




# IMBALANCE ASSESTMENT

The dataset shows a **strong class imbalance** in both target variables

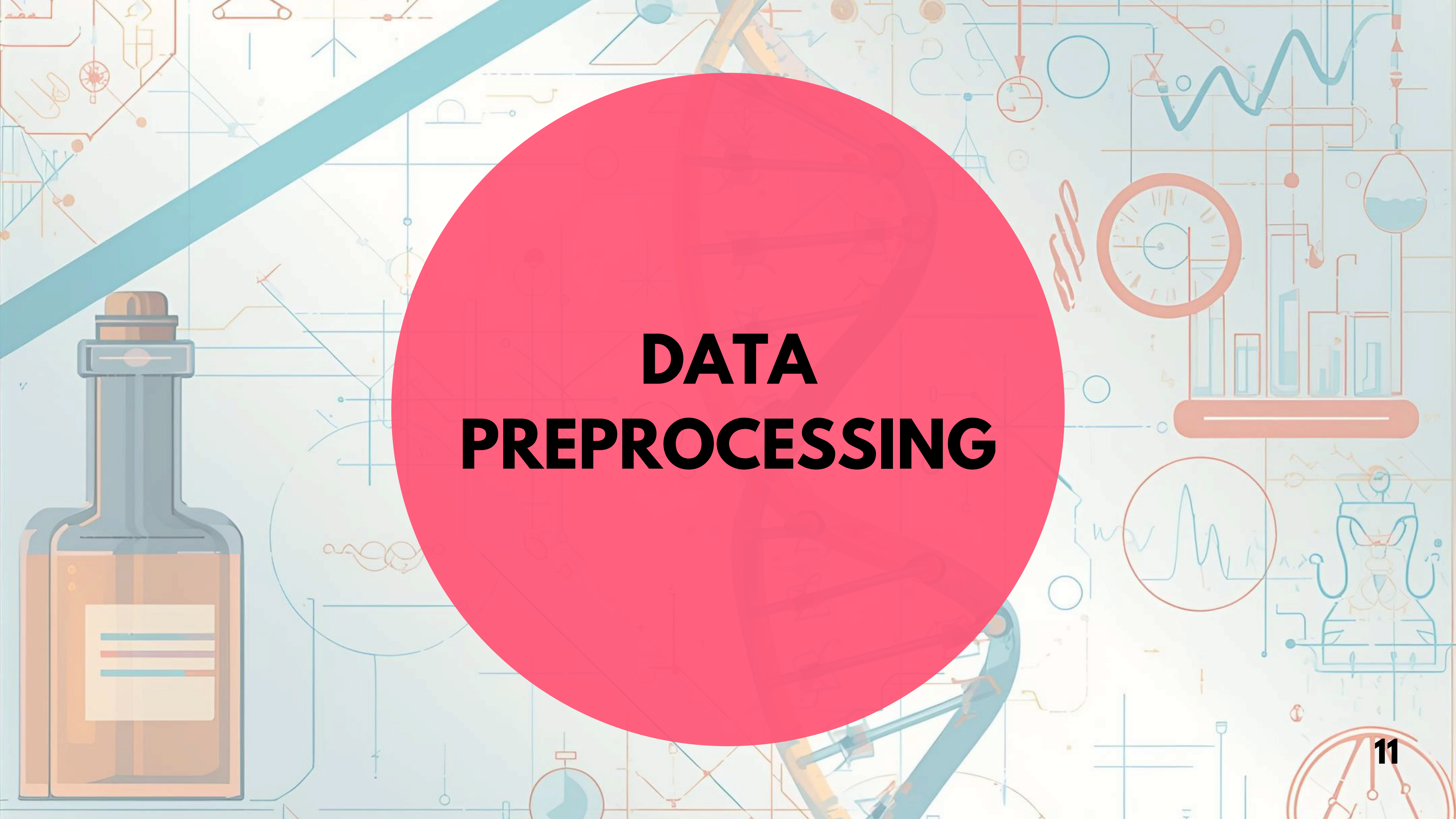
These postoperative complications are rare events, which is expected from a **real clinical setting**.



**IMBALANCED DATA CAN LEAD MACHINE-LEARNING MODELS TO FAVOR THE MAJORITY CLASS, REDUCING THE ABILITY TO DETECT HIGH-RISK PATIENTS.**







# **DATA PREPROCESSING**



# MISSING VALUES

12



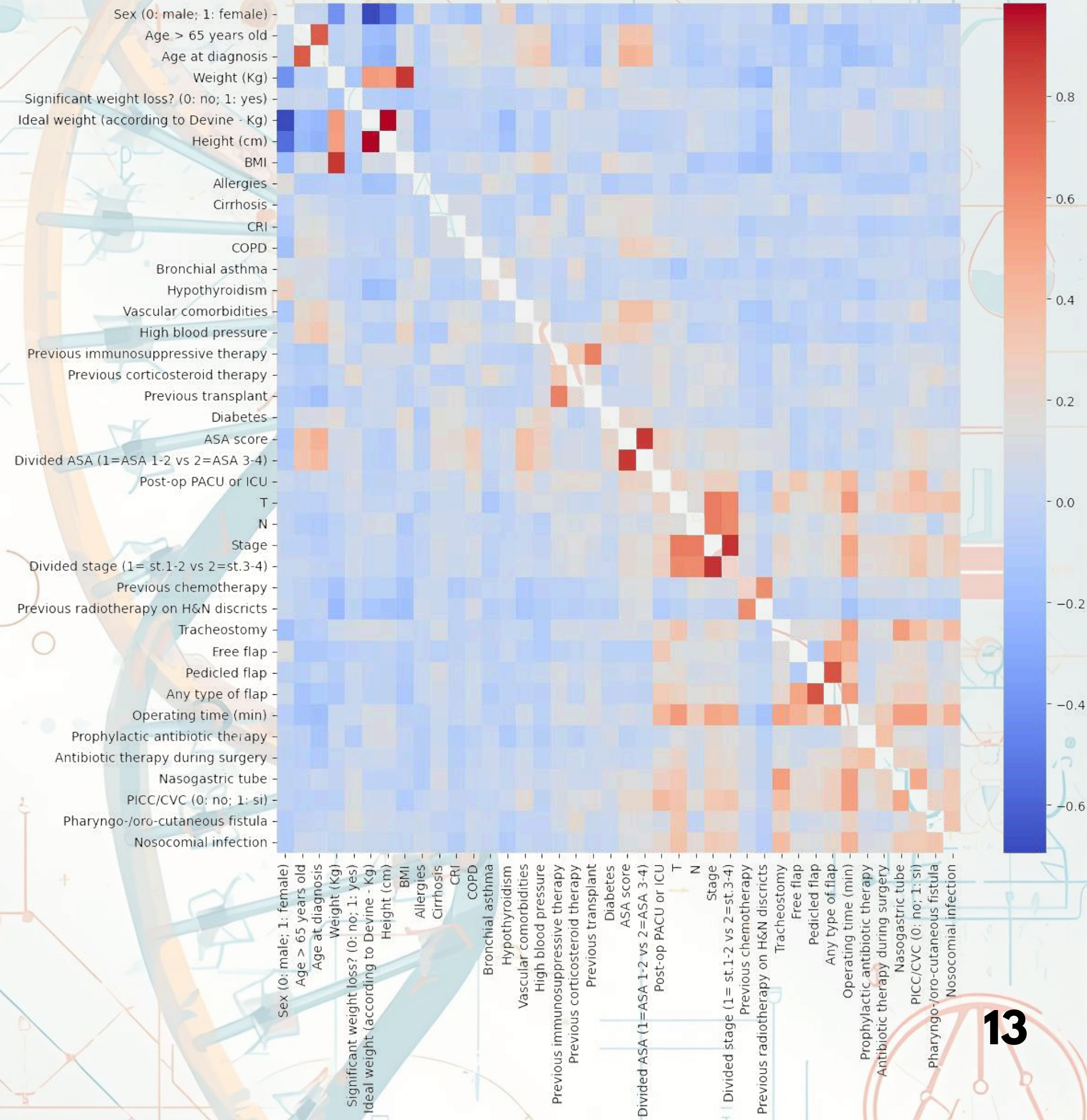
# CORRELATION MATRIX

**Strong pairwise correlations.**  
**This reduces overall **interpretability**.**

**Some groups of variables represent the same clinical concept **encoded in multiple ways**. This provides **redundant information** to the model, which does not improve predictive power and can even reduce model stability.**

**Affected variables:**

- **Weight informations**
- **ASA and Stage score encoded in two ways.**
- **Age information**
- **Presence of flap**





# FEATURE - TARGET CORRELATION





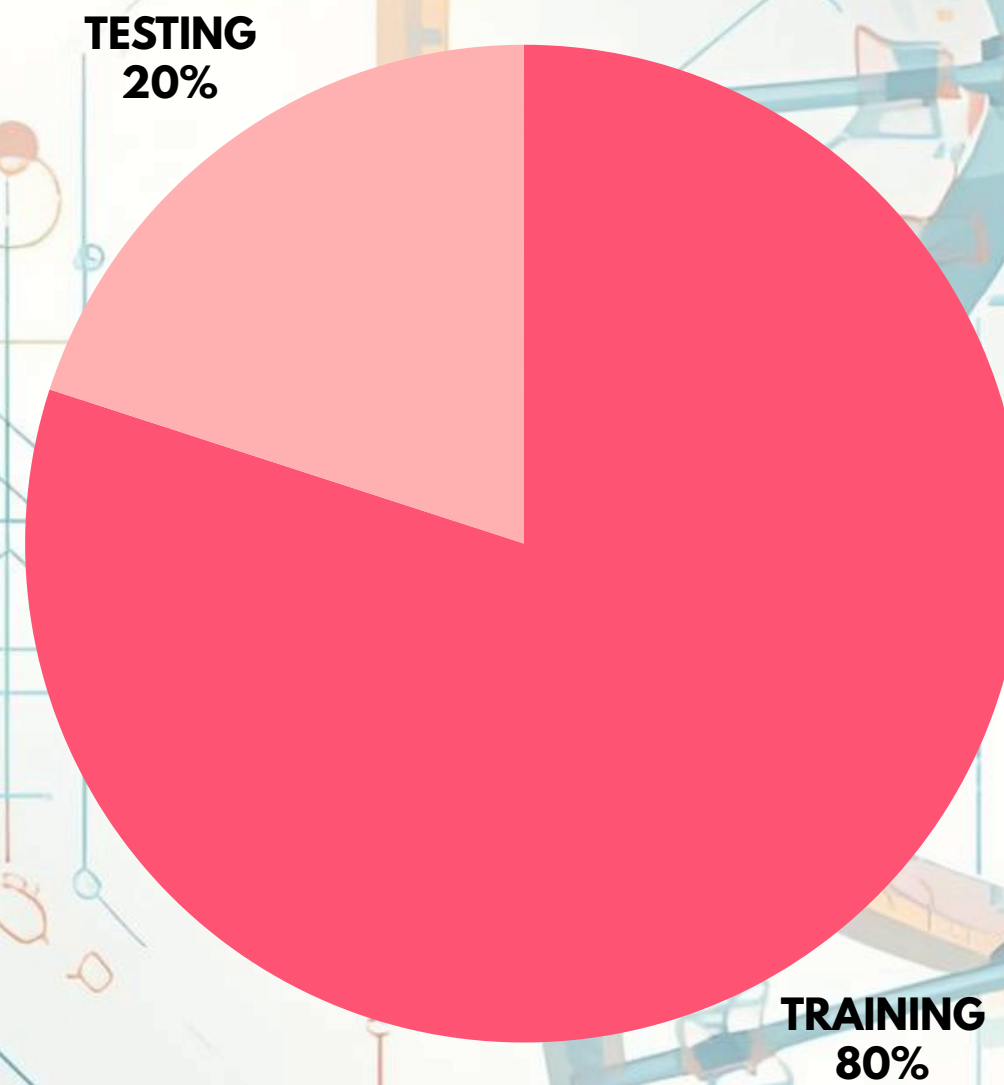


# **IMPLEMENTATION**



# DATA SPLIT

## Stratified Data Splitting



**STRATIFIED: KEEPS THE SAME CLASS DISTRIBUTION IN BOTH TRAIN AND TEST SETS**



# RECALL: PRIMARY METRIC

## HOW MANY HIGH-RISK PATIENTS WE ACTUALLY CATCH?

- **Missing a true complication (false negative) is more dangerous than raising an unnecessary alert**
- **Accuracy is important, but in this case misleading: a model that predicts “no complication” would have very high accuracy due to the dataset, but NO clinical value**



# BEST RESULTS

## EVALUATION METRIC

**RANDOM FOREST**  
for TARGET:  
Pharyngo-/oro-  
cutaneous fistula

**CATBOOST**  
for TARGET:  
Nosocomial infection

**ROC-AUC**

**0.913**

**0.813**

**F1**

**0.516**

**0.625**

**Recall**

**0.889**

**0.741**

**Precision**

**0.364**

**0.541**

**For class "1"**



# NEXT STEPS

## **MODEL COMPARISON**

**Test further models and techniques, and compare them**

## **CATEGORICAL ENCODING & FEATURE ENGINEERING**

**Explore additional feature engineering techniques and try alternative encodings for categorical features to evaluate whether performance improves**

## **INTERPRETABILITY**

**Analyse feature importance of the best model to provide interpretable insights**



**THANK YOU**

**FOR THE ATTENTION**



**QUESTIONS?**