



Pension Schemes Online

**Election to Contract-Out for an Industry-wide money
purchase and money purchase stakeholder scheme**

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1. About this document

HMRC will use the following default values within the error structure when parser errors are located.

On submission the message is tested against the XML schema by the parser. XML that successfully passes through the parser will then be tested against the GovRules, which test the cross-field validation.

This document identifies every element in the business validation rules. In all cases a parser error will be generated if the submission fails at parser level. If there is no specific cross-field validation for an element it is simply noted 'Parser error'. Where there is a cross-field validation the error and error message only is detailed.

Element	Description and Value
RaisedBy	HMRC-ERIC
Number	5012 – all errors caused by schema validation 6010 – all errors from badly-formed XML
Type	business
Text	Default text from Parser
Location	Line and position number from the Parser

2. Response Messages**What is the name and Registration number of the scheme?****Scheme Details**

1. Scheme Name		
Error Number	5012	
Error	Parser level	

2. Pension Scheme Tax Reference

Error Number		Pension Scheme Tax Reference and SF Reference absent
Error		
Error Message		[BVR Election to Contract Out Industry Wide 2] You must complete either [data item 3] 'SF Reference' or [data item 2] 'Pension Scheme Tax Reference'

3. SF Reference

Error Number		Pension Scheme Tax Reference and SF Reference absent
Error		
Error Message		[BVR Election to Contract Out Industry Wide 3] You must complete either data item 3] 'SF Reference' or [data item 2] 'Pension Scheme Tax Reference'

4. SCON

Error Number		
Error		'SCON' has not been completed when 'Type of certificate' [data item 5] is 'Converting an existing Industry-wide money purchase scheme to an 'Industry-wide Stakeholder Pension Scheme (COMPSHP)'
Error Message		[BVR Election to Contract Out Industry Wide 4] Must be completed if 'Type of certificate' [BVR data item 5] is 'Converting an existing Industry-wide money purchase scheme to an 'Industry-wide Stakeholder Pension Scheme (COMPSHP)'

5. What type of certificate are you applying for?

Error Number	5012	
Error	Parser level	

6. Effective date of contracting-out	
Error Number	
Error	'Effective date of contracting-out' is earlier than 6 th April 1998 when 'Type of certificate' [BVR Data item 5] is 'Industry-wide Money Purchase Scheme (COMP)' or 'Industry-wide Money Purchase Stakeholder Pension Scheme (COMP)'
Error Message	[BVR Election to Contract-Out 6] Date cannot be earlier than 6 th April 1998 when 'Type of certificate' [BVR Data item 5] is 'Industry-wide Money Purchase Scheme (COMP)' or 'Industry-wide Money Purchase Stakeholder Pension Scheme (COMP)'
Error Number	
Error	'Effective date of contracting-out' is earlier than 6 th April 2001 when 'Type of certificate' [BVR Data item 5] is 'Converting an existing Industry-wide Money Purchase Scheme to an Industry-wide Money Purchase Stakeholder Pension Scheme (COMPSPH)'
Error Message	[BVR Election to Contract-Out Industry Wide 6] Date cannot be earlier than 6 th April 2001 when 'Type of certificate' [BVR Data item 5] is 'Converting an existing Industry-wide Money Purchase Scheme to an Industry-wide Money Purchase Stakeholder Pension Scheme (COMPSPH)'

Scheme Management

Manager Details – Only Individual or Organisation Name can be sent at a time, they cannot be sent together

7. Title	
Error Number	5012
Error	Parser level

8. Forename[s]	
Error Number	
Error	Present when [data item 10] 'Organisation Name' is also present
Error Message	[BVR – Election to Contract-Out – Industry-wide 8] Must not be completed if 'Organisation Name' is completed
Error Number	
Error	Absent when [data item 10] 'Organisation Name' is also absent
Error Message	[BVR – Election to Contract-Out – Industry-wide 8] Must be completed if 'Organisation Name' is not completed

9. Surname	
Error Number	
Error	Present when [data item 10] 'Organisation Name' is also present
Error Message	[BVR – Election to Contract-Out – Industry-wide 9] Must not be completed if 'Organisation Name' is completed
Error Number	
Error	Absent when [data item 10] 'Organisation Name' is also absent
Error Message	[BVR – Election to Contract-Out – Industry-wide 9] Must be completed if 'Organisation Name' is not completed

10. Organisation name	
Error Number	
Error	Present when [data item 8] 'Forename' and [data item 9] 'Surname' are also present
Error Message	[BVR – Election to Contract-Out – Industry-wide 10] Must not be completed if [data item 8] 'Forename' and [data item 9] 'Surname' are completed
Error Number	
Error	Absent when [data item 8] 'Forename' and [data item 9] 'Surname' are also absent
Error Message	[BVR – Election to Contract-Out – Industry-wide 10] Must be completed if [data item 8] 'Forename' and [data item 9] 'Surname' are not completed

11. Address	
Error Number	5012
Error	Parser level

12. Postcode	
Error Number	5012
Error	Parser level

13. Country	
Error Number	5012
Error	Parser level

14. Telephone Number	
Error Number	5012
Error	Parser level

15. e-mail		
Error Number	5012	
Error	Parser level	

16. Reference number		
Error Number	5012	
Error	Parser level	

Who is the main trustee for the scheme?

Trustee Details - Only Individual details or Organisation Name can be sent at a time, they cannot be sent together.

17. Title		
Error Number	5012	
Error	Parser level	

18. Forename[s]		
Error Number		
Error	Present when [data item 20] 'Organisation Name' is also present	
Error Message	[BVR – Election to Contract-Out – Industry-wide 18] Must not be completed if 'Organisation Name' is completed	
Error Number		
Error	Absent when [data item 20] 'Organisation Name' is also absent	
Error Message	[BVR – Election to Contract-Out – Industry-wide 18] Must be completed if 'Organisation Name' is not completed	

19. Surname		
Error Number		
Error	Present when [data item 20] 'Organisation Name' is also present	
Error Message	[BVR – Election to Contract-Out – Industry-wide 19] Must not be completed if 'Organisation Name' is completed	
Error Number		
Error	Absent when [data item 20] 'Organisation Name' is also absent	
Error Message	[BVR – Election to Contract-Out – Industry-wide 19] Must be completed if 'Organisation Name' is not completed	

20. Organisation Name	
Error Number	
Error	Present when [data item 18] 'Forename' and [data item 19] 'Surname' are also present
Error Message	[BVR – Election to Contract-Out – Industry-wide 20] Must not be completed if [data item 18] 'Forename' and [data item 19] 'Surname' are completed
Error Number	
Error	Absent when [data item 18] 'Forename' and [data item 19] 'Surname' are also absent
Error Message	[BVR – Election to Contract-Out – Industry-wide 20] Must be completed if [data item 18] 'Forename' and [data item 19] 'Surname' are not completed
21. Address	
Error Number	5012
Error	Parser level
22. Postcode	
Error Number	5012
Error	Parser level
23. Country	
Error Number	5012
Error	Parser level
24. Telephone Number	
Error Number	5012
Error	Parser level
25. e-mail	
Error Number	5012
Error	Parser level
26. Trustee Reference Number	
Error Number	5012
Error	Parser level

Which type of notice has been issued?

27. Type of notice		
Error Number	5012	
Error	Parser level	

28. Intention date

Error Number		
Error		Not present when 'Type of notice' [BVR Data item 27] is 'A notice of intention which expired on has been given to the earners in respect of whose employment the election is intended to be made'
Error Message		[BVR Election to Contract-Out Industry Wide 28] Must be completed when 'Type of notice' [BVR Data item 27] is 'A notice of intention which expired on has been given to the earners in respect of whose employment the election is intended to be made'

Data items 29 and 30 are described in the schema as complex types with an element added for each choice of value

29. How was the notice issued?

Error Number	5012	
Error	Parser level	

30. Withdrawn

At least one or more or a combination of the following must be present:

- 30.1 The trustees
- 30.2 The person responsible for the day to day management of the scheme
- 30.3 The insurers/Friendly Society
- 30.4 The Trade Unions(s) recognised in relation to the earners concerned

30.1 The trustees

Error Number	5012	
Error	Parser level	

30.2 The person responsible for the day to day management of the scheme

Error Number	5012	
Error	Parser level	

30.3 The insurers/Friendly Society		
Error Number	5012	
Error	Parser level	

30.4 The Trade Union(s) recognised in relation to the earners concerned		
Error Number	5012	
Error	Parser level	

Information relating to the Employers

Employer Details - Only Individual Details or Organisation Name can be sent at a time, they cannot be sent together

There is no limit on the number of times that data items 31 to 40 can be completed

31. Title		
Error Number	5012	
Error	Parser level	

32. Forename[s]		
Error Number		
Error	Present when [data item 34] 'Organisation Name' is also present	
Error Message	[BVR – Election to Contract-Out – Industry-wide 32] Must not be completed if 'Organisation Name' is completed	
Error Number		
Error	Absent when [data item 34] 'Organisation Name' is also absent	
Error Message	[BVR – Election to Contract-Out – Industry-wide 32] Must be completed if 'Organisation Name' is not completed	

33. Surname		
Error Number		
Error	Present when [data item 34] 'Organisation Name' is also present	
Error Message	[BVR – Election to Contract-Out – Industry-wide 33] Must not be completed if 'Organisation Name' is completed	
Error Number		
Error	Absent when [data item 34] 'Organisation Name' is also absent	
Error Message	[BVR – Election to Contract-Out – Industry-wide 33] Must be completed if 'Organisation Name' is not completed	

34. Organisation name	
Error Number	
Error	Present when [data item 32] 'Forename' and [data item 33] 'Surname' are also present
Error Message	[BVR – Election to Contract-Out – Industry-wide 34] Must not be completed if [data item 32] 'Forename' and [data item 33] 'Surname' are completed
Error Number	
Error	Absent when [data item 32] 'Forename' and [data item 33] 'Surname' are also absent
Error Message	[BVR – Election to Contract-Out – Industry-wide 34] Must be completed if [data item 32] 'Forename' and [data item 33] 'Surname' are not completed

35. Address	
Error Number	5012
Error	Parser level

36. Postcode	
Error Number	5012
Error	Parser level

37. Country	
Error Number	5012
Error	Parser level

38. Company Registration Number	
Error Number	5012
Error	Parser level

39. ECON	
Error Number	5012
Error	Parser level

40. Required effective date	
Error Number	
Error	Date is earlier than 'Effective data of contracting-out'
Error Message	[BVR Election to Contract-Out Industry Wide 40] 'Required effective date' cannot be earlier than 'Effective Date of contracting-out'

Rebate of NI Contributions

The scheme is required to accept rebates of National Insurance contributions paid to the scheme. If you qualify for a rebate, you must provide Bank Details and Payment Contact Details

Bank Details

41. Name of bank/building society		
Error Number	5012	
Error	Parser level	

42. Address of bank/building society		
Error Number	5012	
Error	Parser level	

43. Postcode		
Error Number	5012	
Error	Parser level	

44. Country		
Error Number	5012	
Error	Parser level	

45. Account Name		
Error Number	5012	
Error	Parser level	

46. Account Number		
Error Number	5012	
Error	Parser level	

47. Bank Sort Code		
Error Number	5012	
Error	Parser level	

48. Magnetic Media Number		
Error Number	5012	
Error	Parser level	

Name and address for payments Information**Payment Contact Details - Only Individual Details or Organisation Name can be sent at a time, they cannot be sent together**

49. Title		
Error Number	5012	
Error	Parser level	

50. Forename[s]		
Error Number		
Error	Present when [data item 52] 'Organisation Name' is also present	
Error Message	[BVR – Election to Contract-Out – Industry-wide 50] Must not be completed if 'Organisation Name' is completed	
Error Number		
Error	Absent when [data item 52] 'Organisation Name' is also absent	
Error Message	[BVR – Election to Contract-Out – Industry-wide 50] Must be completed if 'Organisation Name' is not completed	

51. Surname		
Error Number		
Error	Present when [data item 52] 'Organisation Name' is also present	
Error Message	[BVR – Election to Contract-Out – Industry-wide 51] Must not be completed if 'Organisation Name' is completed	
Error Number		
Error	Absent when [data item 52] 'Organisation Name' is also absent	
Error Message	[BVR – Election to Contract-Out – Industry-wide 51] Must be completed if 'Organisation Name' is not completed	

52. Organisation Name	
Error Number	
Error	Present when [data item 50] 'Forename' and [data item 51] 'Surname' are also present
Error Message	[BVR – Election to Contract-Out – Industry-wide 52] Must not be completed if [data item 50] 'Forename' and [data item 51] 'Surname' are completed
Error Number	
Error	Absent when [data item 18] 'Forename' and [data item 19] 'Surname' are also absent
Error Message	[BVR – Election to Contract-Out – Industry-wide 20] Must be completed if [data item 50] 'Forename' and [data item 51] 'Surname' are not completed

53. Address	
Error Number	5012
Error	Parser level

54. Postcode	
Error Number	5012
Error	Parser level

55. Country	
Error Number	5012
Error	Parser level

Declaration

56. Occupational Pension Scheme Declaration – 'I confirm that the scheme meets the conditions set out in regulation 6 of the Contracting-out Regulations (SI 1996/1172), so far as they apply to the scheme'	
Error Number	5012
Error	Parser level

57. Date	
Error Number	5012
Error	Parser level

58. Capacity in which submitted	
Error Number	5012
Error	Parser level

Where this form is not submitted by the employer electing to contract-out [both data items 59 and 60 may be completed]

59. The content of this form has been approved by the employers making this election	
Error Number	
Error	Absent when 'Capacity in which submitted' [data item 58] is not 'Employer' and [data item 60] 'The employer making this election has authorised me to submit this form' is absent
Error Message	[BVR Election to Contract-Out Industry Wide 59] Must be completed when the 'Capacity in which submitted' [data item 58] is not 'Employer' and [data item 60] 'The employer making this election has authorised me to submit this form' has not been completed

60. The employer making this election has authorised me to submit this form	
Error Number	
Error	Absent when 'Capacity in which submitted' [data item 58] is not 'Employer' and [data item 59] 'The content of this form has been approved by the employers making this election' is absent
Error Message	[BVR Election to Contract-Out Industry Wide 60] Must be completed if the 'Capacity in which submitted' [data item 58] is not 'Employer' and [data item 59] 'The content of this form has been approved by the employers making this election' is not completed

61. WITHDRAWN

62. WITHDRAWN