# Ramanujan $\tau$ -Functions

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### 1 First Note

**Definition 1.** For any  $A \in GL(2,\mathbb{R})$ , the slash operator defined on  $f: \mathcal{H} \to \mathbb{C}$ 

$$f|_k A(z) = (det(A))^{k/2} j_A(z)^{-k} f(Az),$$

we have  $j_A(z) = cz + d$ , if  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ .

Definition 2.

$$\Delta_n := \left\{ \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \middle| ad = n, 0 \le b < d \right\}.$$

**Lemma 3.** There is a one to one correspondence

$$\Delta_n \times SL(2,\mathbb{Z}) \leftrightarrow SL(2,\mathbb{Z}) \times \Delta_n$$
.

That is for any  $\rho \in \Delta_n$ ,  $\tau \in SL(2,\mathbb{Z})$ , there exist unique  $\tau' \in \Gamma$ ,  $\rho' \in \Delta_n$ , such that  $\rho\tau = \tau'\rho'$ .

Proof. If  $\rho = \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \in \Delta_n$  and  $\tau = \begin{pmatrix} \alpha & * \\ \gamma & \delta \end{pmatrix} \in SL(2, \mathbb{Z})$ , we want to find  $\tau' = \begin{pmatrix} \alpha' & * \\ \gamma' & \delta' \end{pmatrix} \in SL(2, \mathbb{Z})$  and  $\rho' \begin{pmatrix} a' & b' \\ 0 & d' \end{pmatrix} \in \Delta_n$  such that  $\rho\tau = \tau'\rho'$ . This is we want to solve:

$$\begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \begin{pmatrix} \alpha & * \\ \gamma & \delta \end{pmatrix} = \begin{pmatrix} \alpha' & * \\ \gamma' & \delta' \end{pmatrix} \begin{pmatrix} a' & b' \\ 0 & d' \end{pmatrix}.$$

This is

$$\begin{pmatrix} a\alpha + b\gamma & * \\ d\gamma & d\delta \end{pmatrix} = \begin{pmatrix} a'\alpha' & * \\ a'\gamma' & b'\gamma' + d'\delta' \end{pmatrix},$$

and this is equal to

$$\begin{cases} a'\alpha' &= a\alpha + b\gamma; \\ a'\gamma' &= d\gamma; \\ b'\gamma' + d'\delta' &= d\delta. \end{cases}$$

For  $\gamma \neq 0$ , we can see that  $a' \mid (a\alpha + b\gamma)$  and  $a' \mid d\gamma$ , so

$$\left(\frac{a\alpha + b\gamma}{a'}, \frac{d\gamma}{a'}\right) = (\alpha', \gamma').$$

From  $\alpha'\delta' - \beta'\gamma' = 1$ , we can see that  $(\alpha', \gamma') = 1$ , so  $a' = (a\alpha + b\gamma, d\gamma)$ . In addition, we have

$$\begin{cases} a' = (a\alpha + b\gamma, d\gamma); \\ d' = n/(a\alpha + b\gamma, d\gamma); \\ \alpha' = (a\alpha + b\gamma)/(a\alpha + b\gamma, d\gamma); \\ \gamma' = d\gamma/(a\alpha + b\gamma, d\gamma). \end{cases}$$
(1)

We can see that  $a', \alpha', \gamma'$  are positive integers. For d', we have

$$(a, \gamma) = (a\alpha, \gamma) = (a\alpha + b\gamma, \gamma),$$

is a divisor of a due to  $(\alpha, \gamma) = 1$ , so we have  $(a\alpha + b\gamma, d\gamma) \mid ad$  and d' is an integer.

We have  $\alpha'\delta' \equiv 1 \pmod{|\gamma'|}$  and  $(\alpha', \gamma') = 1$ , there is a  $\delta'' \in \mathbb{Z}$ , such that  $\delta' \equiv \delta'' \pmod{|\gamma'|}$ , so  $\delta' = \delta'' + \gamma'm$ . From  $b'\gamma' + d'\delta' = d\gamma$ , we have  $(b' + md')\gamma' + \delta''d' = d\delta$ .

$$\alpha' d\delta - \alpha' \delta'' d' \equiv \alpha' d\delta - d' \qquad (\text{mod } |\gamma'|)$$

$$\equiv \frac{a d\alpha \delta + b d\delta \gamma - n}{(a\alpha + b\gamma, d\gamma)} \qquad (\text{mod } |\gamma'|)$$

$$\equiv \frac{(\alpha \delta - 1)n}{(a\alpha + b\gamma, d\gamma)} + \gamma' \delta b \qquad (\text{mod } |\gamma'|)$$

$$\equiv 0 \qquad (\text{mod } |\gamma'|).$$

So we find b' and  $\delta'$ .

If  $\gamma = 0$ , then we have

$$\begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & v \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & b' \\ 0 & d \end{pmatrix},$$

so we take  $b' \equiv au + b \pmod{d}$  and  $v = d^{-1}(au + b - dv)$ , and v is an integer for  $d \mid (au + b - dv)$ .

For surjection, we need some matrices transform. If we have  $\tau' \in \Gamma$ ,  $\rho' \in \Delta_n$ , we want to find  $\tau$  and  $\gamma$ . We can assume that  $\tau' \rho' = \begin{pmatrix} x & y \\ z & w \end{pmatrix}$ , where xw - yz = n. Then we have

$$\begin{pmatrix} x & y \\ z & w \end{pmatrix} \begin{pmatrix} w/(z,w) & * \\ -z/(z,w) & * \end{pmatrix} = \begin{pmatrix} * & * \\ 0 & * \end{pmatrix}.$$

With a matrix like  $\begin{pmatrix} 1 & n \\ 0 & 1 \end{pmatrix}$  we will get the surjection.

## 2 Second Note

**Definition 4.** Let  $\chi : \mathbb{Z} \to \mathbb{C}$ , and  $\chi(ab) = \chi(a)\chi(b)$ , for any  $(a,b) \in \mathbb{Z}^2$ . If  $n \geq 1$ , we define Hecke operators  $T_n$  on  $f : \mathcal{H} \to \mathbb{C}$  to be

$$T_n f := \frac{1}{n} \sum_{ad=n} \chi(a) a^k \sum_{b \pmod{d}} f(\frac{a\tau + b}{d}).$$

**Theorem 5.** For any  $m, n \ge 1$ , we have

$$T_m T_n f = \sum_{d \mid (m,n)} \chi(d) d^{k-1} T_{\frac{mn}{d^2}} f$$

*Proof.* From Definition 4, we have

$$mnT_mT_nf(\tau)$$

$$= \sum_{\substack{a_1d_1=m\\a_2d_2=n}} \chi(a_1a_2)(a_1a_2)^k \sum_{\substack{b_1 \pmod{d_1}\\b_2 \pmod{d_2}}} f\left(\begin{pmatrix} a_1 & b_1\\0 & d_1 \end{pmatrix} \begin{pmatrix} a_2 & b_2\\0 & d_2 \end{pmatrix} \tau\right)$$

$$= \sum_{\substack{d \mid (m,n) \\ a_1d_1 = m \\ (a_2d_2 = n \\ (a_2d_3) \neq d}} \sum_{\substack{a_1d_1 = m \\ b_2 \pmod{d_2} \\ b_2 \pmod{d_2}}} f\left(\begin{pmatrix} a_1 & b_1 \\ 0 & d_1 \end{pmatrix} \begin{pmatrix} a_2 & b_2 \\ 0 & d_2 \end{pmatrix} \tau\right)$$

$$= \sum_{\substack{d \mid (m,n)}} \chi(d) d^k \sum_{\substack{a'_1 d_1 = m/d \\ a_2 d'_2 = n/d \\ (a'_1, d'_2) = 1}} \chi(a'_1 a_2) (a'_1 a_2)^k \sum_{\substack{b_1 \pmod{d_1} \\ b_2 \pmod{dd'_2}}} f\left(\begin{pmatrix} da'_1 a_2 & d(a'_1 b_2 + b_1 d'_2) \\ 0 & dd_1 d'_2\end{pmatrix}\right) \tau\right).$$

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We can see that for any  $a \in \mathbb{R}$  and  $\gamma \in SL(2,\mathbb{Z})$ , we have  $f(a\gamma\tau) = f(\gamma\tau)$ , so

$$f\left(\begin{pmatrix}da_1'a_2 & d(a_1'b_2+b_1d_2')\\0 & dd_1d_2'\end{pmatrix}\tau\right)=f\left(\begin{pmatrix}a_1'a_2 & a_1'b_2+b_1d_2'\\0 & d_1d_2'\end{pmatrix}\tau\right).$$

I claim that for fixed  $a'_1, a_2, d_1, d'_2$  and  $(a'_1, d'_2) = 1$ , if  $b_1$  runs over  $\pmod{d_1}$  and  $b_2$  runs over  $\pmod{d'_2}$ , then we have  $a'_1b_2 + b_1d'_2$  runs over  $\pmod{d_1d'_2}$  one times.

 $(b_1,b_2)$  has  $d_1d_2'$  ways to take, so we just need to show that taking different  $(b_1^*,b_2^*)$  we have  $a_1'b_2+b_1d_2'\not\equiv a_1'b_2^*+b_1^*d_2'\pmod{d_1d_2'}$ . In fact, if they are equal, we have  $a_1'(b_2-b_2^*)\equiv d_2'(b_1^*-b_1)\pmod{d_1d_2'}$ , so we have  $d_2'\mid a_1'(b_2-b_2^*)$ . But  $(a_1',d_2')=1$ , so  $b_2\equiv b_2^*\pmod{d_2'}$ . So we prove the claim.

So we have

$$\sum_{\substack{b_1 \pmod{d_1}\\b_2 \pmod{dd_2}}} f\left(\begin{pmatrix} a_1'a_2 & a_1'b_2 + b_1d_2'\\0 & d_1d_2'\end{pmatrix}\tau\right) = d\sum_{\substack{b \pmod{d_1d_2'}}} f\left(\begin{pmatrix} a_1'a_2 & b\\0 & d_1d_2'\end{pmatrix}\tau\right)$$

We have

 $mnT_mT_n$ 

$$= \sum_{\substack{d \mid (m,n)}} \chi(d) d^{k+1} \sum_{\substack{a'_1 d_1 = m/d \\ a_2 d'_2 = n/d \\ (a'_1, d'_2) = 1}} \chi(a'_1 a_2) (a'_1 a_2)^k \sum_{\substack{b \pmod{d_1 d'_2}}} f\left(\begin{pmatrix} a'_1 a_2 & b \\ 0 & d_1 d'_2 \end{pmatrix} \tau\right)$$

$$= \sum_{\substack{d \mid (m,n)}} \chi(d) d^{k+1} \sum_{\substack{a d = \frac{mn}{d^2}}} \chi(a) a^k \sum_{\substack{b \pmod{d}}} f\left(\begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \tau\right)$$

$$= \sum_{\substack{d \mid (m,n)}} \chi(d) d^{k+1} T_{\frac{mn}{d^2}} f.$$

Recall that we have

Definition 6.

$$\Delta_n := \left\{ \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \middle| ad = n, 0 \le b < d \right\}.$$

Lemma 7. There is a one to one correspondence

$$\Delta_n \times SL(2,\mathbb{Z}) \leftrightarrow SL(2,\mathbb{Z}) \times \Delta_n$$
.

That is for any  $\rho \in \Delta_n$ ,  $\tau \in SL(2,\mathbb{Z})$ , there exist unique  $\tau' \in \Gamma$ ,  $\rho' \in \Delta_n$ , such that  $\rho\tau = \tau'\rho'$ .

If we take  $\chi(a) = 1$  for all  $a \in \mathbb{Z}$ , we have

$$T_n f = \frac{1}{n} \sum_{ad=n} \chi(a) a^k \sum_{b \pmod{d}} f(\frac{a\tau + b}{d})$$

$$= n^{k-1} \sum_{\rho \in \Delta_n} d^{-k} f(\rho\tau) \qquad \text{for ad=n}$$

$$= n^{k/2-1} \sum_{\rho \in \Delta_n} f|_k \rho(\tau).$$

So we have

**Proposition 8.**  $T_n: M_k(\Gamma) \to M_k(\Gamma)$ , and if

$$f = \sum_{m=0}^{\infty} a(m)e(mz),$$

then we have  $T_n f(z) = \sum_{m=1}^{\infty} a_n(m) e(mz)$ , where

$$a_n(m) = \sum_{d \mid (m,n)} d^{k-1} a(mnd^{-2}).$$

*Proof.* From Lemma 7, we have

$$T_n f|_k \gamma = n^{k/2-1} \sum_{\rho \in \Delta_n} f|_k \rho \gamma(\tau)$$
$$= n^{k/2-1} \sum_{\rho' \in \Delta_n} f|_k \gamma' \rho'(\tau)$$
$$= T_n f.$$

For the Fourier expansion, we have

$$T_{n}f(z) = \frac{1}{n} \sum_{ad=n} a^{k} \sum_{0 \le b < d} f(\frac{az+b}{d})$$

$$= \frac{1}{n} \sum_{ad=n} a^{k} \sum_{0 \le b < d} \sum_{m=0}^{\infty} a(m)e(\frac{amz+bm}{d})$$

$$= \frac{1}{n} \sum_{ad=n} a^{k} \sum_{m=0}^{\infty} a(m) \sum_{0 \le b < d} e(\frac{amz+bm}{d})$$

$$= \frac{1}{n} \sum_{ad=n} a^{k} d \sum_{m=0}^{\infty} a(m)e(amz)$$

$$= \sum_{N=0}^{\infty} \sum_{\substack{ad=n \\ am=N}} a^{k-1}a(md)e(Nz).$$

Corollary 9. We have  $\Delta(\tau) = \sum_{n=1}^{\infty} \tau(n)q^n$ , and

$$\tau(n)\tau(m) = \sum_{d \mid (m,n)} d^{11}\tau(\frac{mn}{d^2}).$$

## 3 Third Note

In this part, I will introduce the following theorem, whose proof is from Math Stackexchange, and there are some corollaries giving some congruence of  $\tau$  functions, which is from [1].

Theorem 10.

$$(1-n)\tau(n) = 24\sum_{j=1}^{n-1} \sigma(j)\tau(n-j).$$

**Definition 11.**  $\delta_k$  is an operator from holomorphic functions to holomorphic functions.

$$\delta_k(f) := 12\theta(f) - kE_2 f$$

where  $\theta(f) := q \frac{df}{da}$ ,  $q = e^{2\pi i \tau}$  and

$$E_2(\tau) = 1 - 2\sum_{n=1}^{\infty} \sigma q^n.$$

**Lemma 12.**  $\delta_k: M_k(\Gamma) \to M_{k+2}(\Gamma)$  and  $\delta_k(S_k(\Gamma)) \subset S_{k+2}(\Gamma)$ .

*Proof.* To prove  $\delta_k(M_k(\Gamma)) \subset M_{k+2}(\Gamma)$ , we just need to show for any  $f \in M_k(\Gamma)$  and  $\alpha \in \Gamma$ , we have

$$(\delta_k f)|_{k+2}\alpha = \delta_k f. \tag{3}$$

We can see that  $(\delta_k f)|_{k+2}\alpha = 12(\theta_k f)|_{k+2}\alpha - kE_2|_2\alpha f|_k\alpha$ . Firstly, we have  $\theta(f) = q\frac{df}{dq} = \frac{1}{2\pi i}f'(\tau)$ , so we have

$$\theta(f)|_{k+2}\alpha = \frac{1}{2\pi i}f'|_{k+2}\alpha = \frac{1}{2\pi i}(c\tau + d)^{-k-2}f'(\alpha\tau).$$

We have  $f(\alpha \tau) = (c\tau + d)^k f(\tau)$ , and taking the derivative of the equation we have  $\frac{1}{(c\tau + d)^2} f'(\alpha \tau) = kc(c\tau + d)^{k-1} f(\tau) + (c\tau + d)^k f'(\tau)$ . So we have

$$f'(\alpha \tau) = kc(c\tau + d)^{k+1} f(\tau) + (c\tau + d)^{k+2} f'(\tau).$$

Taking the  $f'(\alpha \tau)$  to  $(\theta_k f)|_{k+2}\alpha$ , we have

$$(\theta_k f)|_{k+2} \alpha = \frac{1}{2\pi i} (c\tau + d)^{-k-2} f'(\alpha \tau)$$

$$= \frac{1}{2\pi i} \left( \frac{kc}{c\tau + d} f(\tau) + f'(\tau) \right)$$

$$= \frac{kcf(\tau)}{2\pi i (c\tau + d)} + \theta f.$$

So we have

$$(\delta_k f)|_{k+2} \alpha - \delta_k f = 12(\theta_k f)|_{k+2} \alpha - kE_2|_2 \alpha f - (12\theta(f) - kE_2 f)$$

$$= kf(\tau) \left( \frac{12c}{2\pi i(c\tau + d)} - E_2|_2 \alpha + E_2 \right)$$

$$= 0.$$

Last equation is from  $E_2|_{2}\alpha = E_2 + \frac{12c}{2\pi i(c\tau + d)}$ 

Proof of Theorem 10. We have  $\delta_k \Delta \in S_{14}(\Gamma) = 0$ , so  $\delta_k \Delta = 0$ . So we have

$$\delta_k \Delta = 12 \sum_{n=1}^{\infty} n \tau(n) q^n - 12 E_2 \Delta = 0.$$

Hence, we have

$$\sum_{n=1}^{\infty} n\tau(n)q^n = \left(1 - 24\sum_{n=1}^{\infty} \sigma(n)q^n\right) \left(\sum_{n=1}^{\infty} \tau(n)q^n\right)$$
$$= \sum_{n=1}^{\infty} \tau(n)q^n - 24\sum_{n=1}^{\infty} \left(\sum_{m=1}^{n-1} \tau(m)\sigma(n-m)q^n\right).$$

Corollary 13. We have

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(a)\tau(2n) \equiv 0 \pmod{8}.

(b)\tau(3n-1) \equiv \tau(3n) \equiv 0 \pmod{3}.

(c)\tau(4n-1) \equiv 0 \pmod{4}.
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*Proof.* We know that  $\tau(n)$  are integers, so if we modulo 24 on the two sides of the equation of Theorem 10, we have

$$(1-n)\tau(n) \equiv 0 \pmod{24}.$$

If n = 2k, we have  $(1 - 2k)\tau(2k) \equiv 0 \pmod 8$ , so we have (a). If n = 3k - 1, we have  $(2 - 3k)\tau(3k - 1) \equiv 2\tau(n) \equiv 0 \pmod 3$ , so we get (b). If n = 4k - 1, we have  $(2 - 4k)\tau(4k - 1) \equiv 2(1 - 2k)\tau(4k - 1) \equiv 0 \pmod 24$ , so we have  $(1 - 2k)\tau(4k - 1) \equiv 0 \pmod 4$ , and we have (c).

**Proposition 14.**  $\tau(n)$  is odd if and only if n is the square of an odd number

This proposition is proved by Hansraj Gupta, but I haven't check.

Proposition 15. We have

$$(i)\tau(6n-1) \equiv 0 \pmod{6}.$$
  
 $(ii)\tau(8n-1) \equiv 0 \pmod{8}.$ 

*Proof.* For (i), we know that  $\left(\frac{-1}{6}\right) = -1$ , so from the corollary and proposition, we have (i).

For (ii), we need the observation that  $\tau(8n-r)\sigma(r-1) \equiv 0 \pmod{2}$ , for  $1 < r \le 8n-1$ . From the proposition, we can see that  $\tau(8n-r)$  is odd if and only if  $r \equiv 7 \pmod{8}$ . Then we can see that  $r-1 \equiv 6 \pmod{8}$ , so we can see that there is a prime factor of r-1 has odd power, and from the multiplication of  $\sigma$ , we get  $\sigma(r-1) \equiv 0 \pmod{2}$ . Hence, we get (ii).

#### References

[1] R. P. Bambah, S. Chowla, H. Gupta, and D. B. Lahiri. Congruence properties of Ramanujan's function  $\tau(n)$ . Quart. J. Math. Oxford Ser., 18:143–146, 1947.