

# Well Structured Transition Systems

15th April 2019

# Outline

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- Well Quasi Orders and Monotonicity

- WSTS

- Safety Properties

- Applications

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# Infinite States Spaces

- ▶ Hardware systems have a fundamental restriction that the amount of hardware described is finite. This leads to possibly very large, but finite state spaces.
- ▶ This finite state framework breaks down for software systems with an unbounded domain of variable values. Even a single variable leads to infinitely large number of states.

# Essentially Finite State Spaces

- ▶ The problem of infinite configurations can be addressed by using an abstraction.
- ▶ More precisely we define an equivalence relation  $\equiv$  on the configurations such that:
  - ▶ There are finitely many equivalence classes
  - ▶  $\equiv$  is a congruence. That is if,  $c_1 \equiv c_2 \vee c_1 \rightarrow c_3$  then there exists  $c_4$ , such that,  $c_2 \equiv c_4 \vee c_2 \rightarrow c_4$ .
- ▶ This equivalence relations reduces the infinite state space to a finite one (with the states the number of equivalence classes). We have a bisimulation between the original domain and abstract domain.

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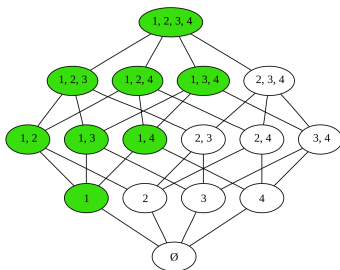
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# Relaxation of $\equiv$

- ▶ Consider a generalization of the  $\equiv$  relation as a partial order relation  $\preceq$  on the configurations.
- ▶ Extending the definition of congruence to this relation we get the notion of *upward-closedness*.



# Well Quasi Orders

- ▶ Interesting properties arise from the relation  $\preceq$  being a Well Quasi Ordering.

## WQO

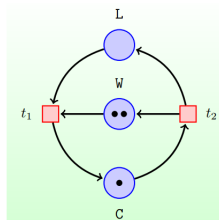
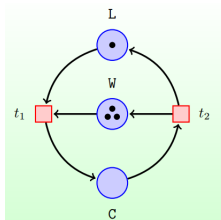
$\preceq$  is a Well Quasi Ordering if for any infinite sequence of elements  $c_0, c_1, c_2, \dots$  there exist indices  $i < j$ , such that  $c_j \preceq c_i$ .

- ▶ A consequence of an ordering being a WQO is that all upward closed sets can be expressed as a union of finitely many (principal) filters (Higman).
- ▶ Indeed, if this was not the case, then the generators of these filters would produce a contradiction to WQO.



# Monotonicity - an example - Petri Nets

- Consider the following transition system that models a mutual exclusion protocol.



- A typical safety property of this system would be - *there is at most one token in the C state*. The set of states that the above statement describes is upward closed. Hence the problem effectively reduces to the reachability of an upward closed set.

# Monotonicity - an example - Petri Nets

## Monotonicity and Upward-closedness

- ▶ We require that the transition relation  $\rightarrow$  is *monotonic*, that is  $c_1 \preceq c_2$  and  $c_1 \rightarrow c_3$  implies that  $c_2 \rightarrow c_4$  for some  $c_4 \rightarrow c_3$ .
- ▶ Monotonicity implies that upward-closedness is preserved under *Pre*.
  - ▶ This gives a scheme for determining the reachability of an upward closed set  $U$ .
    1. Initialize  $U_0 = U_{final}$ .
    2. Set  $U_{i+1} = U_i \cup Pre(U_i)$  till sequence stabilizes.
    3. Return  $C_{init} \cap U_{stable} = \phi$ ?
  - ▶ Claim: All  $U_i$  are upward closed and above procedure terminates.

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# WSTS

- ▶ The ideas of monotonicity and WQO combined give a Well Structured Transition System (WSTS or Well Quasi Ordered Transition System) represented as a tuple  $(C, \rightarrow, \preceq, C_{init})$ .
- ▶ Note that each upward closed can be characterized by a finite set of generators. For an upward closed set  $U$ , let  $gen(U)$  denote this set. If the relation is anti-symmetric,  $gen(U)$  is unique.
- ▶ Using this characterization we restate the scheme for backward reachability as an algorithm.

- ▶ Let  $c_1 \rightsquigarrow c_2$  stand for  $c_2 \in \text{gen}(\text{Pre}(\uparrow c_1))$ .
- ▶ For a configuration  $c$ , define  $(c \rightsquigarrow)$  as  $\{c' \mid c \rightsquigarrow c'\}$  and extend this definition to set of configurations.
- ▶ Some observations:  $(c \rightsquigarrow)$ 
  1.  $\rightsquigarrow$  is an analog of  $\text{Pre}()$ . More precisely, if  $C = \text{gen}(U)$ , then,  $(C \rightsquigarrow) = \text{gen}(\text{Pre}(U))$ .
  2.  $U_i \cup \text{Pre}(U_i)$  update on up-sets maps to the update  $\text{gen}(C_i \cup (C_i \rightsquigarrow))$  on their generators.

- If  $(c \rightsquigarrow)$  is computable and  $\preceq$  is decidable then, we can effectively replace the sets  $U_i$ , with their generators in the scheme defined earlier.

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## Algorithm 2 Backward Reachability

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**Input:** •  $\mathcal{T} = (C, \longrightarrow, \preceq, C_{init})$ : transition system.  
 •  $C_{fin}$ : finite set of configurations.

**Output:** Is  $\widehat{C_{fin}}$  reachable?

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1:  $i \leftarrow 0$ 
2:  $C_0 := C_{fin}$ 
3: repeat
4:    $C_{i+1} \leftarrow gen(C_i \cup (C_i \rightsquigarrow))$ 
5:    $i \leftarrow i + 1$ 
6: until  $C_i \preceq_{\forall\exists} C_{i-1}$ 
7: if  $\exists c_1 \in C_i \cdot \exists c_2 \in C_{init} \cdot c_1 \preceq c_2$  then
8:   return true
9: else
10:  return false
11: end if
```

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- ▶ Further optimization's to this algorithm are possible by making observations about  $\rightsquigarrow$  which Sriram will discuss in his presentation.

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# Unsafe configurations to unsafe traces

- ▶ Another way to specify safety properties of the system can be to characterize bad traces (sequences of transitions). For this purpose transitions are labelled with a finite alphabet.
- ▶ The resultant system is a composition of finite automata  $A = (Q, \delta, q_{init}, F)$  (recognizing traces) and the original transition system  $T = (C, \rightarrow, \preceq, C_{init})$ . A state is represented by a pair  $(c, q)$ ,  $c \in C$  and  $q \in Q$ .
- ▶ The composition is also a WSTS  $(C', \rightarrow', \preceq', C'_{init})$  defined as:
  1.  $(c_1, q_1) \preceq' (c_2, q_2)$  iff  $c_1 \preceq c_2$  and  $q_1 = q_2$ .
  2.  $(c, q) \in C'_{init}$  iff  $c \in C_{init}$  and  $q \in Q_{init}$

# Unsafe configurations to unsafe traces

- ▶ Now we have a method transforming checking regular safety properties into reachability of upward-closed sets.
- ▶ We construct an automaton  $\mathcal{A}$  recognizing language which is complement of safe traces. Compose this with the given WSTS. Check if the accepting states for  $\mathcal{A}$  are reached. *The set of these states is upward closed.*

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## Algorithm 4 Checking Safety Properties

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**Input:** •  $\mathcal{T} = (C, \longrightarrow, \preceq, C_{init})$ : LTS.  
•  $\Sigma$ : regular set of words over  $A$ .

**Output:**  $Traces(\mathcal{T}) \subseteq \Sigma$  ?

- 1: construct  $\mathcal{A}$  s.t.  $Lang(\mathcal{A}) = \neg \Sigma$
  - 2:  $\mathcal{T}' \leftarrow (\mathcal{T} \parallel \mathcal{A}) = (C', \longrightarrow', \preceq', C'_{init})$
  - 3:  $C'_{fin} \leftarrow \{(c, q) \mid c \in gen(C) \wedge q \in Q_{fin}\}$ .
  - 4: use Algorithm 3 to check whether  $\widehat{C'_{fin}}$  is reachable.
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# Applications

- ▶ Petri Nets ... As discussed in the example earlier
  1.  $\preceq$  is the natural element-wise ordering on the number of tokens in each place in the net, which is a WQO (Dickson's lemma)
  2.  $\rightarrow$  is determined by the firing transitions
  3. We also have computability of  $(c \rightsquigarrow)$  and decidability of  $\preceq$
- ▶ Lossy Channel Systems ... Finite state transition automata are augmented by a set of channels on which the automata can read and write tokens. There are no guarantees about the channel as tokens may be lost non-deterministically.
  1.  $\preceq$  is the sub-word ordering (for each channel). The fact that this is a WQO follows from Higman's lemma.
  2.  $\rightarrow$  is given by  $\{\textit{silent transitions}\} \cup \textit{read} \cup \textit{write}$  actions to the channels

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- ▶ The above *backward-reachability* methods fall under the class of set saturation methods. We perform iterative updates on upward-closed sets (or their generators).
- ▶ Another method is to consider *forward-reachability* using structures such as coverability trees. This class of methods is called tree-saturation methods.

# Finite Reachability Tree

Given a WSTS,  $(C, \rightarrow, \preceq, C_{init})$  consider a tree defined as follows:

- ▶ nodes are represented as  $(n : c)$  (labelled with configurations) and flagged as either *dead* or *live*
- ▶ a leaf is dead (has no children) while a live node  $(n : c)$  has its  $Post(c)$  set as its children
- ▶ if a node  $(n_1 : c_1)$  has a node  $(n_2 : c_2)$  as its strict descendant with  $c_1 \preceq c_2$  then we say that  $n_1$  subsumes  $n_2$  (in set terms  $c_2$  is in upward closure of  $c_1$  and hence keeping track of  $n_1$  is sufficient for reachability)
- ▶ the leaves are exactly the set of subsumed nodes and terminal nodes

# Finite Reachability Tree

Further results need slightly restricted notions of compatibility:  
transitive, stuttering, strict compatibility

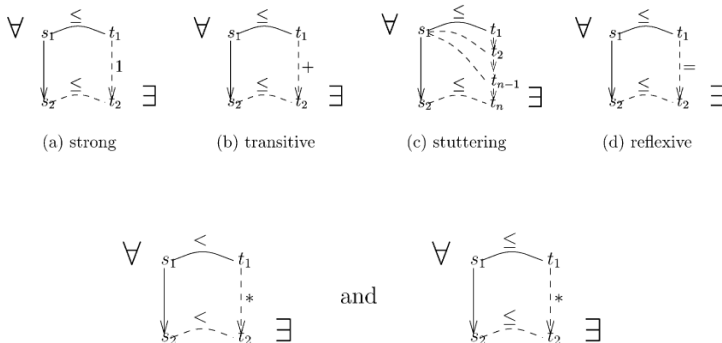


Fig. 3. Strict compatibility.

Figure: compatibility in WSTS



# Finite Reachability Tree

## Termination

**Proposition 4.5.**  *$\mathcal{S}$  has a non-terminating computation starting from  $s$  iff  $FRT(s)$  contains a subsumed node.*

**Theorem 4.6.** *Termination is decidable for WSTSs with (1) transitive compatibility, (2) decidable  $\leq$ , and (3) effective Succ.*

## Boundedness

**Proposition 4.10.** *For any  $s \in S$ ,  $Succ^*(s)$  is infinite iff  $FRT(s)$  contains a leaf node  $n : t$  subsumed by an ancestor  $n' : t'$  with  $t' < t$ .*

**Theorem 4.11.** *The boundedness problem is decidable for WSTSs with (1) strict transitive compatibility, (2) a decidable  $\leq$  which is a partial ordering, and (3) computable Succ.*

Further discussions on board.

# References I



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*Well (and better) quasi-ordered transition systems*

Bulletin of Symbolic Logic, 2010



A. Finkel, Ph. Schoebelen

*Well structured transition systems everywhere!*

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Thank You!