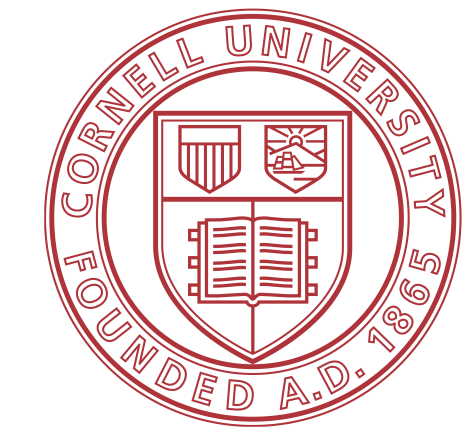
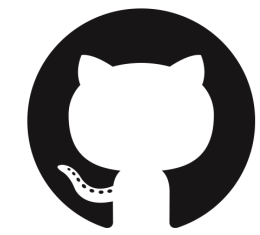


# Predicting DNA-Protein Binding with Deep Convolutional Neural Networks

Andrew Wiens • Computer Science & Computational Biology • Cornell University



Cornell University

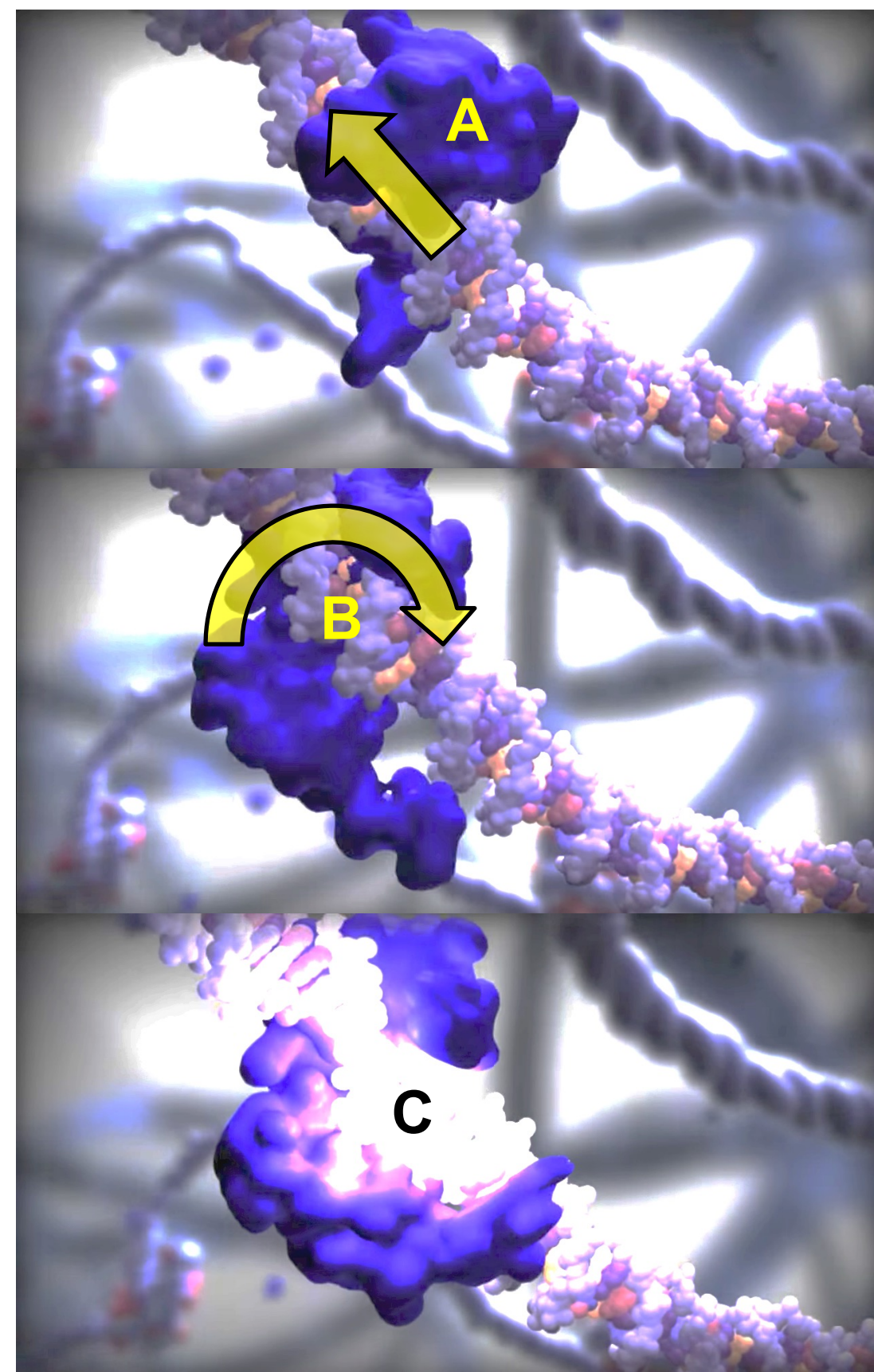


This project is on GitHub:  
<https://git.io/v1sAy>

## Question

Which deep neural network architecture(s) should biologists use to predict whether a transcription factor protein will bind to a particular DNA sequence?

## Background



Images from ref. [4]

- A **transcription factor (TF)** protein slides unbound along chromatin, the particular form of the DNA double helix that exists inside a cell's nucleus
- The TF slides along the chromatin in a spinning motion around the double helix until a **binding site** is encountered
- If the TF reaches an **accessible** location on the chromatin containing a **motif**, a short DNA sequence that is compatible with the TF, the TF changes shape (conformation) and **binds** to the DNA. This causes interactions with other proteins which affects the **expression** of genes

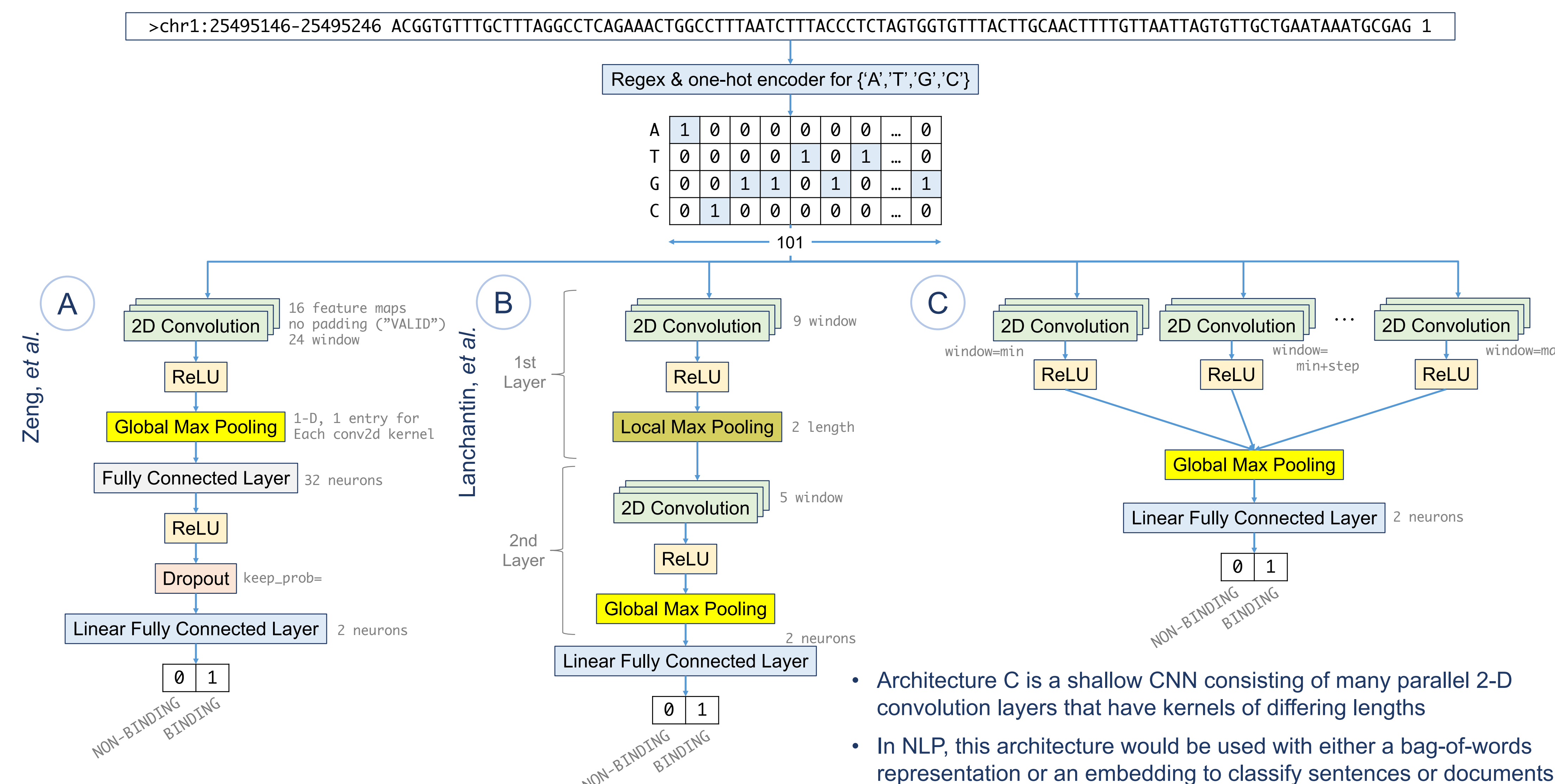
- Modeling DNA sequence protein-binding specificity is analogous to the computer vision task of binary (two-class) image classification
- Instead of processing 2-D images with three color channels (R,G,B), consider a genome sequence as a fixed length 1-D sequence window with four channels (A,C,G,T)
- Advantage of convolutional neural networks (CNN) for genomics is the ability to detect a motif anywhere in the DNA sequence window
- Two tasks have been explored with deep neural networks:
  - Motif discovery** classifies sequences that are bound by a transcription factor from negative sequences that are di-nucleotide shuffles of the positively bound sequences
    - This is a relatively easier classification task
  - Motif occupancy** discriminates genomic motif instances that are bound by a transcription factor (positive set) from motif instances that are not bound by the same transcription factor (negative set) in the same cell type
    - Tested in this work
- Previous work used Theano (Quang *et al.*), Torch (Lanchantin, *et al.*), and Caffe (Zeng *et al.*)
  - To our knowledge, TensorFlow has not been used yet

## Materials

- TensorFlow + Nvidia GeForce GTX 970 GPU
- 108 datasets from the Encyclopedia of DNA Elements (ENCODE) for motif occupancy classification of different transcription factors was used. (Datasets were compiled by Zeng *et al.*)
  - DNA sequences of 101 base-pairs from K562 cell line labeled as either **1** (TF bound) or **0** (TF unbound)

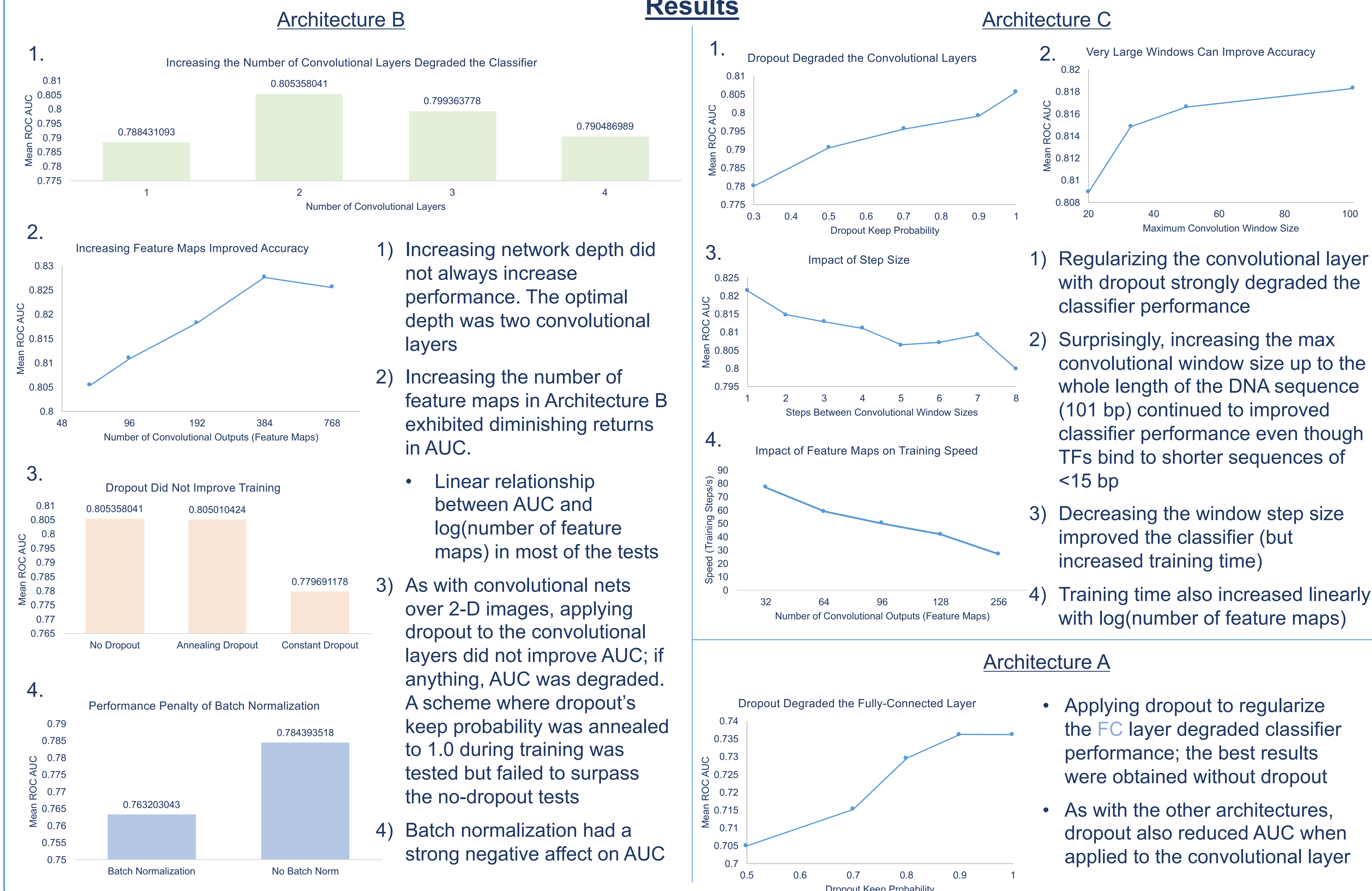
## Methods

Three architectures were tested:



- Architecture C is a shallow CNN consisting of many parallel 2-D convolution layers that have kernels of differing lengths
- In NLP, this architecture would be used with either a bag-of-words representation or an embedding to classify sentences or documents

## Results



## Results cont'd

Overall best results obtained with each architecture:

	Mean ROC AUC
Arch. A (Zeng, <i>et al.</i> )	0.7302
Arch. B (Lanchantin, <i>et al.</i> )	0.8276
<b>Arch. C</b>	<b>0.8297</b>

- 1 convolutional layer with 128 feature maps and one fully-connected layer  
AUC *decreased* with more feature maps (AUC = 0.7020)
- 2 convolutional layers with 768 feature maps each  
15 training steps per second on GTX 970
- 99 convolution layers with windows from 3 to 101 in steps of 1 and 96 feature maps each  
But slower to train (< 6 training steps per second)

## Conclusions

- Global max pooling is the most important component of convolutional nets for FC binding prediction. Preliminary trials with standard MNIST-type convolutional nets were very poor (< 0.7 AUC) because they used the more typical local pooling method. A *bad network can be improved dramatically by switching from local to global max pooling!*
- Omit fully connected layers. Despite the fact that Architecture A (Zeng, *et al.*) improved results over DNNs studied earlier in 2015, it performed much worse than a newer architecture that eliminated the FC layer (Lanchantin, *et al.*). Increasing beyond 1 FC layer did not improve AUC, either.
- Drop dropout. The authors of architectures A and B both used dropout to reduce overfitting. Surprisingly, dropout in the FC layer of architecture A decreased AUC; less surprisingly, dropout also decreased AUC when applied to the convolutional layers of B and C as it does in many CNNs for images. Holdout sets were used
- Use more feature maps. ROC AUC increased with greater numbers of feature maps in architectures B and C, however training time increased linearly; A was the only architecture hindered by additional feature maps
- Use fewer than three convolutional layers of depth. It may be possible to improve results with more than two conv layers of depth, but not with these specific architectures
- Use good coverage of all convolutional window sizes. Previous work has focused on windows < 24 bp. Evidence here suggests benefits from even very long windows (101 bp) relative to the length of TF binding sites (<15 bp)
- Choose convolutional window sizes  $\geq 3$  bp. Window sizes of 1 and 2 base pairs did not improve classification
- Generally safe to stop training at 7000 iterations
- Also, this is the first work to test these methods for TF binding prediction in TensorFlow, to the author's knowledge ☺

## Future Work

- Try RNNs like LSTM for TF binding
- Steal from NLP. Genome + TF binding is a language

## References

- Zeng, H., Edwards, M. D., Liu, G., & Gifford, D. K. (2016). Convolutional neural network architectures for predicting DNA-protein binding. *Bioinformatics*, 32(12), i121–i127. <https://doi.org/10.1093/bioinformatics/btw255>
- Quang, D., & Xie, X. (2016). DanQ: A hybrid convolutional and recurrent deep neural network for quantifying the function of DNA sequences. *Nucleic Acids Research*, 44(11), 1–6. <https://doi.org/10.1093/nar/gkw226>
- Lanchantin, Jack, Ritambhara Singh, Beilun Wang, and Yanjun Qi. "Deep Motif Dashboard: Visualizing and Understanding Genomic Sequences Using Deep Neural Networks." arXiv preprint arXiv:1608.03644 (2016).
- How Genes are Regulated: Transcription Factors. <https://www.youtube.com/watch?v=MkUgDLp2iE>