

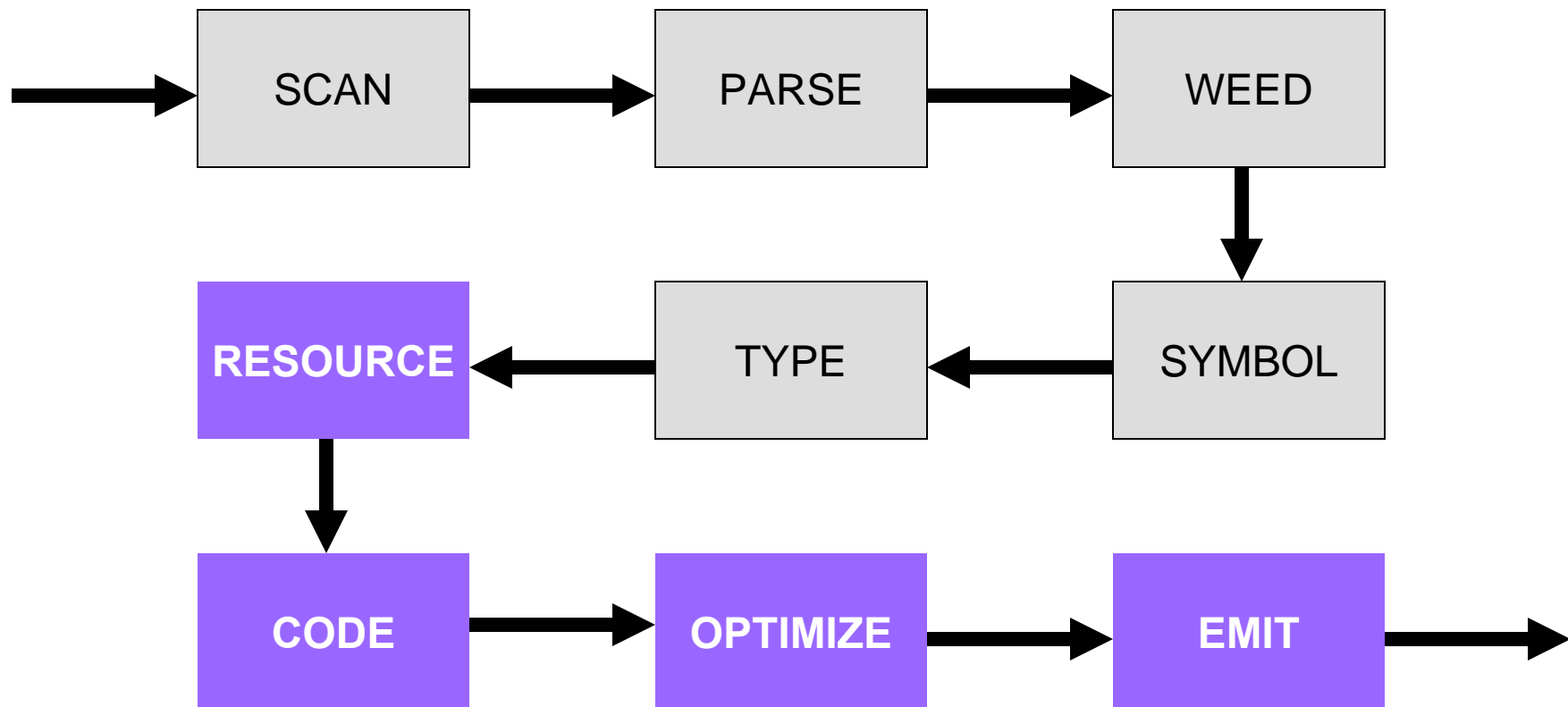


# Compiler

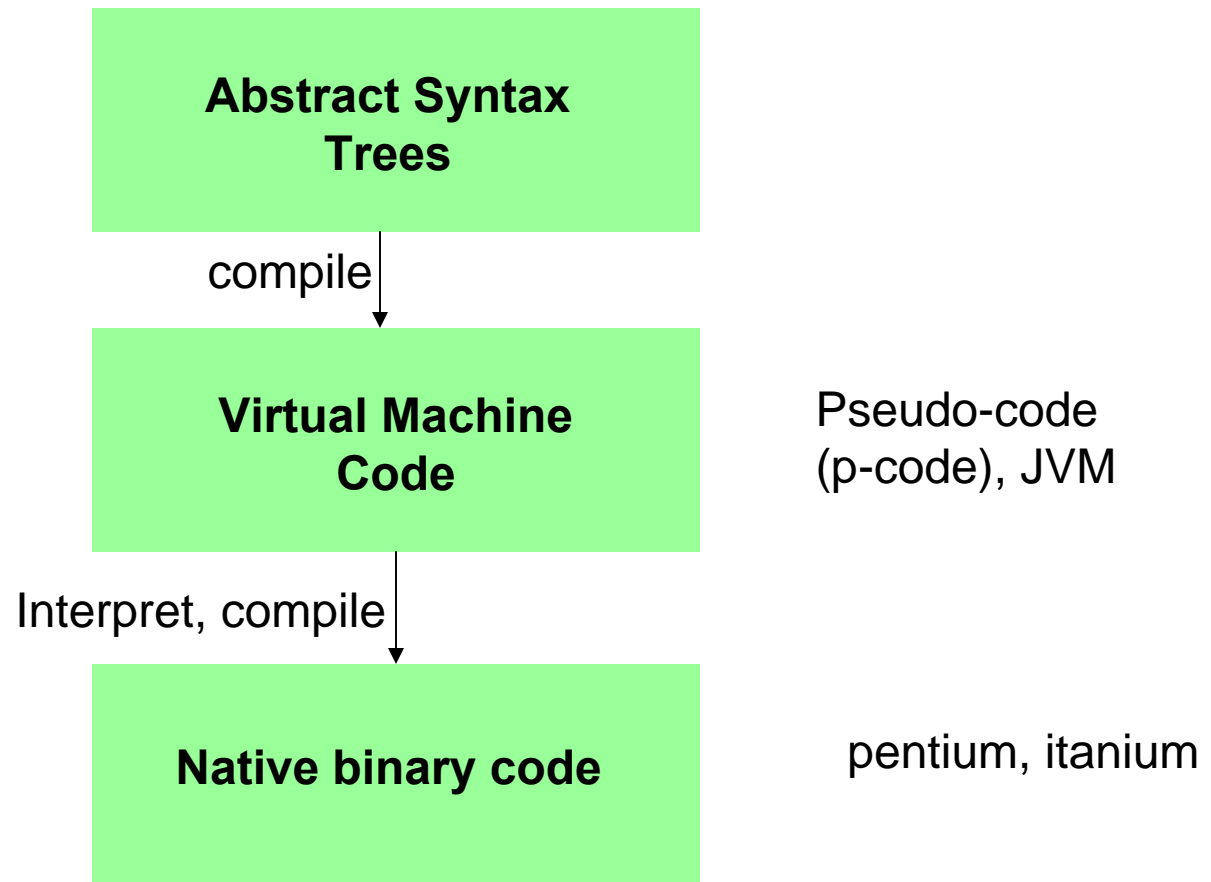
## Virtual Machines

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# Compiler Architecture



# Virtual Machines





# Compiling to VM Code

## ■ Example:

- gcc translates into Register Transfer Language (RTL), optimizes RTL, and then compiles RTL into native code.

## ■ Advantages:

- exposes many details of the underlying architecture; and
- facilitates production of code generators for many target architectures.

## ■ Disadvantage:

- a code generator must be built for each target architecture.



# Interpreting VM Code

## ■ Examples:

- P-code for early Pascal interpreters;
- Postscript for display devices; and
- Java bytecode for the Java Virtual Machine.

## ■ Advantages:

- easy to generate the code;
- the code is architecture independent; and
- bytecode can be more compact.

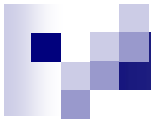
## ■ Disadvantage:

- poor performance due to interpretative overhead (typically 5-20 times slower).
  - advancements in Just In-Time (JIT) compiling closing the gap!



# Java Virtual Machine

- memory;
- registers;
- condition codes; and
- execution unit.



# JVM Memory

- a stack
  - used for function call frames;
- a heap
  - used for dynamically allocated memory;
- a constant pool
  - used for constant data that can be shared; and
- a code segment
  - used to store JVM instructions of currently loaded class files.



# JVM Registers

- no general purpose registers;
- the stack pointer ( $sp$ ) which points to the top of the stack;
- the local stack pointer ( $lsp$ ) which points to a location in the current stack frame; and
- the program counter ( $pc$ ) which points to the current instruction.





# JVM Condition Codes

- stores the result of last instruction (in the stack) that can set condition codes (used for branching).



# JVM Execution Unit

- reads the Java Virtual Machine instruction at the current  $pc$ , decodes the instruction and executes it;
- this may change the state of the machine (memory, registers, condition codes);
- the  $pc$  is automatically incremented after executing an instruction; but
- method calls and branches explicitly change the  $pc$ .

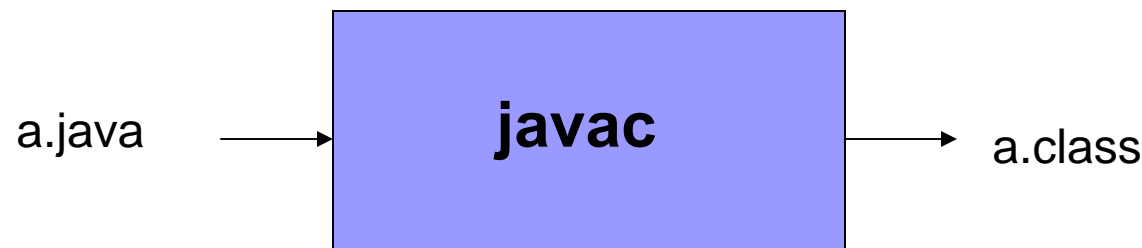


# JVM Stack Frames

- Have space for:
  - a reference to the current object (`this`);
  - the method arguments;
  - the local variables; and
  - a local stack used for intermediate results.
- The number of local slots and the maximum size of the local stack are fixed at compile-time.

# Java Compilation

- Java compilers translate source code to class files.
- Class files include the bytecode instructions for each method.



Magic number
Version number
Constant pool
Access flags
this class
super class
Interfaces
Fields
Methods
Attributes



# Example Java Method

```
public class JVMExamples {  
    public int abs(int x) {  
        if (x < 0)  
            return(x * -1);  
        else  
            return(x);  
    }  
}
```

# Example JVM Bytecodes

```
public abs(I)I           // one int argument, returns an int
  L0 (0)                 // label L0
    LINENUMBER 3 L0      // line number 5
                          // --locals-- --stack---
    ILOAD 1              // [ o -3 ]      [ -3 * ]
    IFGE L1              // [ o -3 ]      [ * * ]
  L2 (3)                 // label L2
    LINENUMBER 4 L2      // line number 6
    ILOAD 1              // [ o -3 ]      [ -3 * ]
    ICONST_M1            // [ o -3 ]      [ -3 -1 ]
    IMUL                 // [ o -3 ]      [ 3 * ]
    IRETURN              // [ o -3 ]      [ * * ]
  L1 (8)                 // label L1
    LINENUMBER 6 L1
    ILOAD 1
    IRETURN
  L3 (11)
    LOCALVARIABLE this LJVMExamples; L0 L3 0           // receiver object scope
    LOCALVARIABLE x I L0 L3 1                          // scope of x
    MAXSTACK = 2                                         // stack with 2 locations
    MAXLOCALS = 2                                       // has space for 2 locals
```



# Bytecode Interpreter

```
pc = code.start;
while(true) {
    npc = pc + inst_length(code[pc]);
    switch (opcode(code[pc])) {
        ILOAD_1: push(local[1]);
                break;
        ILOAD:   push(local[code[pc+1]]);
                break;
        ...
    }
    pc = npc;
}
```



# Bytecode Interpreter

```
pc = code.start;
while(true){
    ...
    ISTORE: t = pop();
            local[code[pc+1]] = t;
            break;
    IADD:   t1 = pop(); t2 = pop();
            push(t1 + t2);
            break;
    IFEQ:   t = pop();
            if (t == 0) npc = code[pc+1];
            break;
}
```





# JVM Arithmetic Operators

```
ineg      [...:i]      -> [...:-i]
iadd      [...:i1:i2]   -> [...:i1+i2]
isub      [...:i1:i2]   -> [...:i1-i2]
imul      [...:i1:i2]   -> [...:i1*i2]
idiv      [...:i1:i2]   -> [...:i1/i2]
irem      [...:i1:t2]   -> [...:i1\%i2]
iinc k a  [...]        -> [...]
          local[k]=local[k]+a
```



# JVM Branch Operations

<code>goto L</code>	<code>[...] -&gt; [...]</code>
	branch always
<code>ifeq L</code>	<code>[...:i] -&gt; [...]</code>
	branch if <code>i == 0</code>
<code>ifne L</code>	<code>[...:i] -&gt; [...]</code>
	branch if <code>i != 0</code>
<code>ifnull L</code>	<code>[...:o] -&gt; [...]</code>
	branch if <code>o == null</code>
<code>ifnonnull L</code>	<code>[...:o] -&gt; [...]</code>
	branch if <code>o != null</code>



# More Branches

`if_icmpeq L [...:i1:i2] -> [...]`

branch if `i1 == i2`

`if_icmpne L [...:i1:i2] -> [...]`

branch if `i1 != i2`

`if_icmpgt L [...:i1:i2] -> [...]`

branch if `i1 > i2`

`if_icmplt L [...:i1:i2] -> [...]`

branch if `i1 < i2`



# More Branches

```
if_icmple L    [...:i1:i2] -> [...]
```

```
    branch if i1 <= i2
```

```
if_icmpge L    [...:i1:i2] -> [...]
```

```
    branch if i1 >= i2
```

```
if_acmpeq L    [...:o1:o2] -> [...]
```

```
    branch if o1 == o2
```

```
if_acmpne L    [...:o1:o2] -> [...]
```

```
    branch if o1 != o2
```



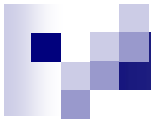
# Loading Constants

<code>iconst_0</code>	<code>[...] -&gt; [...:0]</code>
<code>iconst_1</code>	<code>[...] -&gt; [...:1]</code>
<code>iconst_2</code>	<code>[...] -&gt; [...:2]</code>
<code>iconst_3</code>	<code>[...] -&gt; [...:3]</code>
<code>iconst_4</code>	<code>[...] -&gt; [...:4]</code>
<code>iconst_5</code>	<code>[...] -&gt; [...:5]</code>
<code>aconst_null</code>	<code>[...] -&gt; [...:null]</code>
<code>ldc i</code>	<code>[...] -&gt; [...:i]</code>
<code>ldc s</code>	<code>[...] -&gt; [...:String(s)]</code>



# Memory Access

<code>iload k</code>	<code>[...] -&gt; [...:local[k]]</code>
<code>istore k</code>	<code>[...:i] -&gt; [...]</code> <code>local[k]=i</code>
<code>aload k</code>	<code>[...] -&gt; [...:local[k]]</code>
<code>astore k</code>	<code>[...:o] -&gt; [...]</code> <code>local[k]=o</code>
<code>getfield f sig</code>	<code>[...:o] -&gt; [...:o.f]</code>
<code>putfield f sig</code>	<code>[...:o:v] -&gt; [...]</code> <code>o.f=v</code>



# Stack Operations

dup            [ . . . : v1 ] -> [ . . . : v1 : v1 ]

pop           [ . . . : v1 ] -> [ . . . ]

swap          [ . . . : v1 : v2 ] -> [ . . . : v2 : v1 ]

nop           [ . . . ] -> [ . . . ]



# Class Operations

```
new C    [...] -> [...:o]
```

```
instance_of C    [...:o] -> [...:i]
```

```
    if (o==null) i=0
```

```
    else i=(C<=type(o))
```

```
checkcast C    [...:o] -> [...:o]
```

```
    if (o!=null && !C<=type(o))
```

```
        throw ClassCastException
```





# Method Operations

```
invokevirtual m sig [...:o:a_1:...:a_n] -> [...]
```

```
entry=lookup(m,sig,o.methods);
```

```
block=select(entry,type(o));
```

```
push frame of size block.locals+block.stacksize;
```

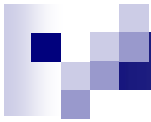
```
local[0]=o;
```

```
local[1]=a_1;
```

```
...
```

```
local[n]=a_n;
```

```
pc=block.code;
```



# Method Operations

```
invokenonvirtual m sig  
    [...:o:a_1:...:a_n] -> [...]
```

```
block=lookup(m,sig,o.methods);  
push stack frame of size  
    block.locals+block.stacksize;  
local[0]=o;  
local[1]=a_1;  
...  
local[n]=a_n;  
pc=block.code;
```



# Method Operations

`ireturn`      `[...:i] -> [...]`  
return `i` and pop stack frame

`areturn`      `[...:o] -> [...]`  
return `o` and pop stack frame

`return`      `[...] -> [...]`  
pop stack frame



# A Java Method

```
class Cons {  
    Object first;  
    Cons rest;  
    public boolean member(Object item) {  
        if (first.equals(item))  
            return true;  
        else if (rest == null)  
            return false;  
        else  
            return rest.member(item);  
    }  
}
```

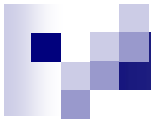
# Corresponding Bytecode

```
public member(Ljava/lang/Object;)Z // one Object argument, returns boolean
L0 (0)                               // label L0
  LINENUMBER 5 L0                     // line number 5
  ALOAD 0                             // [ c o ] [ c * ]
  GETFIELD Cons.first : Ljava/lang/Object; // [ c o ] [ c.first * ]
  ALOAD 1                             // [ c o ] [ c.first o ]
  INVOKEVIRTUAL
    java/lang/Object.equals(Ljava/lang/Object;)Z // [ c o ] [ 1/0 * ]
  IFEQ L1                             // [ c o ] [ * * ]
L2 (6)                               // label L2
  LINENUMBER 6 L2                     // line 37
  ICONST_1                           // [ c o ] [ 1 0 ]
  IRETURN                             // [ c o ] [ * * ]
L1 (9)                               // label L1
  LINENUMBER 7 L1                     // line 7
  ALOAD 0                             // [ c o ] [ c * ]
  GETFIELD Cons.rest : LCons;         // [ c o ] [ c.rest * ]
  IFNONNULL L3                       // [ c o ] [ * * ]
...
MAXSTACK = 2
MAXLOCALS = 2
```



# Corresponding Bytecode

```
public member(Ljava/lang/Object;)Z
...
L4 (13)                                // label L4
    LINENUMBER 8 L4                    // line number 8
    ICONST_0                           // [ c o ] [ 0 * ]
    IRETURN                            // [ c o ] [ * * ]
L3 (16)                                // label l3
    LINENUMBER 10 L3                   // line number 10
    ALOAD 0                            // [ c o ] [ c * ]
    GETFIELD Cons.rest : LCons;        // [ c o ] [ c.rest * ]
    ALOAD 1                            // [ c o ] [ c.rest o ]
    INVOKEVIRTUAL Cons.member(Ljava/lang/Object;)Z // [ c o ] [ 1/0 * ]
    IRETURN                            // [ c o ] [ * * ]
L5 (22)                                // label l5
    LOCALVARIABLE this LCons; L0 L5 0
    LOCALVARIABLE item Ljava/lang/Object; L0 L5 1
    MAXSTACK = 2
    MAXLOCALS = 2
```



# Bytecode Verification

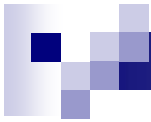
- bytecode cannot be trusted to be well-formed and well-behaved;
- before executing any bytecode that is received over the network, it should be verified;
- verification is performed partly at class loading time, and partly at run-time; and
- at load time, dataflow analysis is used to approximate the number and type of values in locals and on the stack.



# Properties of Verified Bytecode

- each instruction must be executed with the correct number and types of arguments on the stack, and in locals (on all execution paths);
- at any program point, the stack is the same size along all execution paths; and
- no local variable can be accessed before it has been assigned a value.





# Interpreting Java

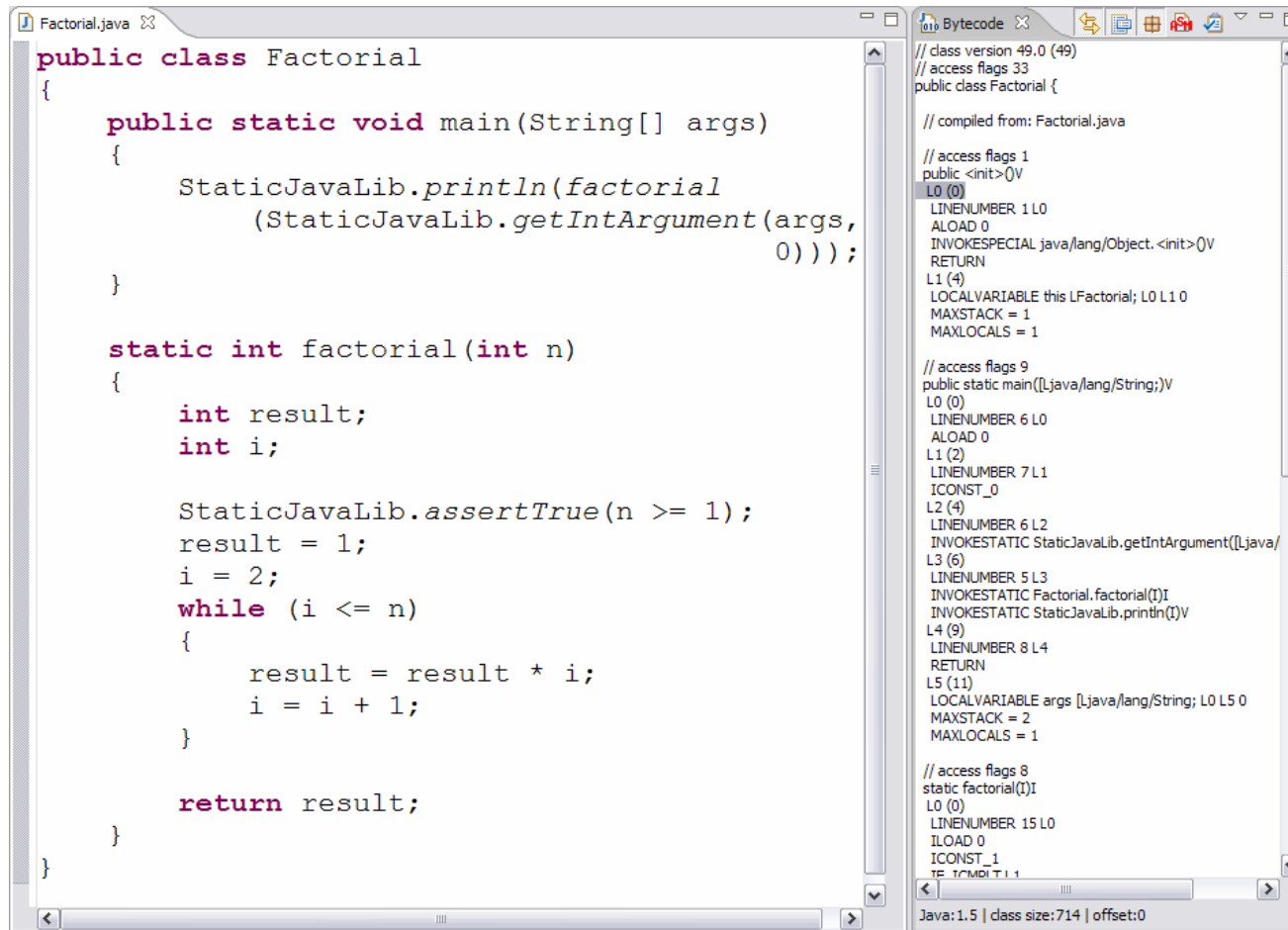
- when a method is invoked, a `ClassLoader` finds the correct class and checks that it contains an appropriate method;
- if the method has not yet been loaded, then it may be verified (remote classes);
- after loading and verification, the method body is interpreted; or
- the bytecode for the method is translated to native code (only for the first invocation).



# ASM – A Java Bytecode Manipulation Framework

- can be used to
  - generate classes directly in binary form
  - modify classes
- similar tools/projects
  - BCEL
  - SERP
- advantages of ASM
  - small footprints
  - faster
  - has nice Eclipse plugins

# ASM/Bytecode Outliner Plugins Demo



The screenshot displays the ASM/Bytecode Outliner application with two panes. The left pane shows the source code of `Factorial.java`, and the right pane shows the corresponding bytecode.

```
public class Factorial
{
    public static void main(String[] args)
    {
        StaticJavaLib.println(factorial
                               (StaticJavaLib.getIntArgument(args,
                                                                0)));
    }

    static int factorial(int n)
    {
        int result;
        int i;

        StaticJavaLib.assertTrue(n >= 1);
        result = 1;
        i = 2;
        while (i <= n)
        {
            result = result * i;
            i = i + 1;
        }

        return result;
    }
}
```

The right pane shows the bytecode for the `Factorial` class. It includes class version information, access flags, and the compiled code for the `main` and `factorial` methods. The bytecode is organized into blocks with line numbers and local variable information.

```
// class version 49.0 (49)
// access flags 33
public class Factorial {
    // compiled from: Factorial.java
    // access flags 1
    public <init>()V
    L0 (0)
    LINENUMBER 1 L0
    ALOAD 0
    INVOKESPECIAL java/lang/Object.<init>()V
    RETURN
    L1 (4)
    LOCALVARIABLE this LFactorial; L0 L1 0
    MAXSTACK = 1
    MAXLOCALS = 1

    // access flags 9
    public static main([Ljava/lang/String;)V
    L0 (0)
    LINENUMBER 6 L0
    ALOAD 0
    L1 (2)
    LINENUMBER 7 L1
    ICONST_0
    L2 (4)
    LINENUMBER 6 L2
    INVOKESTATIC StaticJavaLib.getIntArgument([Ljava/
    L3 (6)
    LINENUMBER 5 L3
    INVOKESTATIC Factorial.factorial(I)I
    INVOKESTATIC StaticJavaLib.println(I)V
    L4 (9)
    LINENUMBER 8 L4
    RETURN
    L5 (11)
    LOCALVARIABLE args [Ljava/lang/String; L0 L5 0
    MAXSTACK = 2
    MAXLOCALS = 1

    // access flags 8
    static factorial(I)I
    L0 (0)
    LINENUMBER 15 L0
    ILOAD 0
    ICONST_1
    IF_ICMPLT 1
    ...
```



# Creating Class using ASM

- ASM has utility classes/methods that makes it easier to write/read class files
  - we do not even need to know class file structure, or even maximum local variables and stack elements!
- Writing and reading class files are implemented using the Visitor pattern
- For more information
  - The ASM website contains excellent introductory tutorials on how to use ASM
  - ASM/Bytecode Outliner plugins have JVM instruction reference and the corresponding ASM visit methods



# Creating Factorial Class using ASM

```
ClassWriter cw = new ClassWriter(false);

MethodVisitor mv;

cw.visit(V1_5, ACC_PUBLIC + ACC_SUPER,
        "Factorial", null, "java/lang/Object",
        null);
cw.visitSource("Factorial.java", null);
...
cw.visitEnd();

byte[] factorialClass = cw.toByteArray();
```

# Creating Factorial's (Implicit) Constructor using ASM

```
public <init>()V          mv = cw.visitMethod(ACC_PUBLIC, "<init>",
                          "()"V", null, null);

                          mv.visitCode();
L0 (0)                   Label l0 = new Label(); mv.visitLabel(l0);
  LINENUMBER 1 L0        mv.visitLineNumber(1, l0);
  ALOAD 0                mv.visitVarInsn(ALOAD, 0);
  INVOKESPECIAL          mv.visitMethodInsn(INVOKE SPECIAL,
  java/lang/Object...    "java/lang/Object", "<init>", "()"V");
  RETURN                mv.visitInsn(RETURN);
L1 (4)                   Label l1 = new Label(); mv.visitLabel(l1);
  LOCALVARIABLE this      mv.visitLocalVariable("this", "LFactorial;",
  LFactorial; L0 L1 0     null, 10, l1, 0);
  MAXSTACK = 1           mv.visitMaxs(1, 1);
  MAXLOCALS = 1          mv.visitEnd();
```

# Creating Factorial's main Method using ASM

```
public static  
main([Ljava/lang/String;)V
```

```
L0 (0)  
  LINENUMBER 6 L0  
  ALOAD 0  
L1 (2)  
  LINENUMBER 7 L1  
  ICONST_0  
L2 (4)  
  LINENUMBER 6 L2  
  INVOKESTATIC  
    StaticJavaLib..  
L3 (6)  
  LINENUMBER 5 L3  
  INVOKESTATIC  
    Factorial...
```

```
mv = cw.visitMethod(ACC_PUBLIC + ACC_STATIC,  
    "main",  
    "([Ljava/lang/String;)V",  
    null, null);  
  
mv.visitCode();  
Label l0 = new Label(); mv.visitLabel(l0);  
mv.visitLineNumber(6, l0);  
mv.visitVarInsn(ALOAD, 0);  
Label l1 = new Label(); mv.visitLabel(l1);  
mv.visitLineNumber(7, l1);  
mv.visitInsn(ICONST_0);  
Label l2 = new Label(); mv.visitLabel(l2);  
mv.visitLineNumber(6, l2);  
mv.visitMethodInsn(INVOKESTATIC, "StaticJavaLib",  
    "getIntArgument",  
    "([Ljava/lang/String;I)I");  
Label l3 = new Label(); mv.visitLabel(l3);  
mv.visitLineNumber(5, l3);  
mv.visitMethodInsn(INVOKESTATIC, "Factorial",  
    "factorial", "(I)I");
```

# Creating Factorial's factorial Method using ASM

```
static factorial(I)I      mv = cw.visitMethod(ACC_STATIC, "factorial",
                          "(I)I", null, null);

                          mv.visitCode();
                          Label l0 = new Label(); mv.visitLabel(l0);
                          mv.visitLineNumber(15, l0);
                          mv.visitVarInsn(ILOAD, 0);
                          mv.visitInsn(ICONST_1);
                          mv.visitInsn(ICONST_1);
                          Label l1 = new Label(); // create now, visit later
                          mv.visitJumpInsn(IF_ICMPLT, l1);
                          mv.visitInsn(ICONST_1);
                          Label l2 = new Label(); // create now, visit later
                          mv.visitJumpInsn(GOTO, l2);
                          mv.visitLabel(l1); // visit L1 here
                          mv.visitInsn(ICONST_0);
                          mv.visitLabel(l2); // visit L2 here
                          mv.visitMethodInsn(INVOKESTATIC, "StaticJavaLib",
                          "assertTrue", "(Z)V");
                          Label l3 = new Label(); mv.visitLabel(l3);
                          mv.visitLineNumber(16, l3);
                          mv.visitInsn(ICONST_1);
                          mv.visitVarInsn(ISTORE, 1);

L0 (0)
  LINENUMBER 15 L0
  ILOAD 0
  ICONST_1

  IF_ICMPLT L1
  ICONST_1

  GOTO L2
L1 (6)
  ICONST_0
L2 (8)
  INVOKESTATIC
    StaticJavaLib...
L3 (10)
  LINENUMBER 16 L3
  ICONST_1
  ISTORE 1
```